

The introduction of BCG vaccination to neonates in Northern Sweden, 1927-31

Re-analysis of historical data to understand the lower mortality among BCGvaccinated children

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ABSTRACT

2	Background: Following the introduction of oral Bacille Calmette-Guérin (BCG) a century ago,
3	Albert Calmette suggested that BCG both provided protection against death from
4	tuberculosis (TB) and other causes. The findings were not pursued. Today, there is
5	considerable evidence that intradermal BCG have beneficial non-specific effects (NSEs). We
6	re-analyzed data from BCG's introduction 1927-1931 in Sweden hypothesizing that BCG
7	reduced infectious deaths.
8	Methods: In three papers on BCG, the progress of BCG vaccination rollout and effects on the
9	child mortality in Norrbotten was sequentially updated. BCG was provided free of charge to
10	newborns in the highly TB-prevalent region Norrbotten. We excluded deaths from
11	congenital conditions and analyzed cause-specific post-neonatal mortality by vaccination
12	status. Due to apparent differences in effects during the study periods, post-hoc, effects
13	were assessed overall and separately in 2 periods (1927-1929, 1930-1931).
14	Results: TB households were slightly more likely to accept vaccination; much fewer sick
15	newborns and those with congenital problems were vaccinated. BCG coverage was 28.3%
16	(5,659/20,012); 8.7% (1,746/20,012) died. Excluding congenital disease deaths, the
17	BCG/unvaccinated Risk Ratio (RR) of post-neonatal childhood death was 0.53 (0.45-0.62).
18	BCG was associated with 80% (49-92%) reduced mortality from TB in both periods. From
19	1927-29, BCG appeared to protect strongly against deaths from all diseases, including the
20	non-infectious, RR=0.09 (0.02-0.36), presumably reflecting non-vaccination of sick children.
21	From 1930-1931, there was only a modest protection against non-infectious deaths,
22	RR=0.92 (0.49-1.70), indicating less bias (p=0.004 for same effect). During 1930-1931, BCG

- was associated with reductions in non-TB infectious deaths (RR=0.75 (0.58-0.97)). 2/3 of
 those deaths were caused by respiratory infection, against which the BCG/unvaccinated RR
 was 0.61 (0.43-0.84). Other causes of death were less frequent and provided no clear
 pattern, except that BCG was associated with more deaths from meningitis, RR=6.85 (2.2021.37). **Conclusion:** Healthy vaccinee bias, particularly in 1927-1929, resulted in strongly beneficial
- 7 overall BCG effects. However, the 1930-1931 data provided some support that BCG both
- 8 protected against TB deaths and from respiratory infections.

9

1 INTRODUCTION

2 Bacille Calmette-Guérin (BCG) will celebrate 100 years of use as a vaccine against 3 tuberculosis (TB) in 2021 [1,2]. Many high-income countries stopped the use of BCG several 4 decades ago due to low prevalence of TB, but TB persists as an epidemic disease in low-5 income countries associated with marked morbidity and mortality and the TB research 6 community is working hard to develop a new and more effective TB vaccine. The future use 7 of BCG may therefore depend on whether BCG, aside from providing some protection 8 against TB, also has beneficial effects against non-TB infections, what has been called 9 beneficial non-specific effects (NSEs) [1]. A series of observational studies and randomized controlled trials indicate that BCG has marked effects on overall mortality and morbidity [3-10 11 6]; a WHO-commissioned systematic review reported that BCG vaccination is associated with a 50% reduction in all-cause mortality[7]. In the first decade (1920s-1930s) after the 12 13 introduction of BCG, the vaccine was distributed from France to several laboratories around 14 the world and administered to nearly 1 million infants [8]. In 1929-1930, however, the BCG 15 strain used in Lübeck, Germany, was contaminated with human TB causing 72 child deaths 16 and many more TB infestations [8]. Presumably to counter the BCG skepticism that followed 17 the Lübeck disaster, Albert Calmette (Figure 1) published a report with the Royal Society of 18 Medicine to assure that BCG was safe and could not revert to a virulent form of TB [8]. The 19 focus in the 1920s was on providing BCG to those who could benefit the most from vaccination: newborns living in TB-infected families. Calmette noted in his article: "The 20 general mortality of 8,075 vaccinated children exposed to tuberculous infection, aged from 21 22 one month to one year, controlled by 114 dispensaries, has been 4.6%, whereas in non-23 vaccinated children of the same age, living under similar conditions, it is at least 16%, and

often exceeds 25%." [8] Thus, Calmette suggested a 4-fold difference in mortality between 1 vaccinated and unvaccinated infants and he continued: "Can it be that tuberculous infection 2 3 plays a more important part in infant mortality than we have supposed? (...) Or does the 4 harbouring of BCG, followed by its digestion and elimination, confer on the organism a special aptitude to resist those other infections which are so frequent in young children?" [8] 5 6 Hence, Calmette formulated already in 1931, the question which may now determine BCG's 7 future: Does BCG have beneficial effects against non-TB infections? Calmette referred to a 8 series of similar reports that he had received from Romania, Sweden, Belgium, Holland, 9 Spain, Greece, USA, Canada, and Uruguay. "In Roumania, where more than 80,000 have now been vaccinated, the general mortality since 1927 has been 50% less in the vaccinated than 10 11 in the non-vaccinated. In Sweden, 4,009 infants were vaccinated in the province of North Bothnia alone between September 1927, and May 1, 1930; 8,342 have not been vaccinated, 12 13 serving as controls. The general mortality has been 2.3% in the vaccinated, 9.5% in the non-14 vaccinated" [8]. Again, there appeared to be a 4-fold difference in mortality between 15 vaccinated and unvaccinated infants.

These studies did usually not have clearly defined control groups and they mostly focused on deaths from TB. But were the effects on mortality so large that this would indicate that BCG had detectable beneficial NSEs already when introduced, preventing more than just the TB deaths?

Justification: The historical data from Sweden report mortality data by cause of death but
 was not analyzed using modern statistical methods. The data represents the first
 community introduction of BCG, at a time when other childhood vaccines were not in

1 common use and there was a heavy TB burden. Hence, the specific and non-specific effects 2 of BCG can be assessed over a longer age range without interference from other vaccines 3 and the vaccine was administered orally rather than by the intradermal route used today. 4 Having the limitations of this observational historic dataset in mind, which was published in 5 French and is not commonly available online, we therefore re-analyzed the data from 6 Norrbotten (North Bothnia) [9–11] to make this historical data accessible to a broader 7 audience and assess whether indications of non-specific effects of BCG are detectable in this 8 early data.

9 METHODS

10 Data sources

11 The introduction of BCG in the northernmost district in Sweden, Norrbotten, was initiated by the physician Carl Näslund in September 1927 in a project sponsored by the Swedish 12 13 National Anti-tuberculosis Society. Näslund wrote three papers on BCG which sequentially updated the progress of BCG vaccination and the child mortality in Norrbotten [9–11]. For 14 15 the present analysis, only the original data reported in Näslund's papers was available. Calmette's statements regarding data from Sweden [8] were based on Näslund's first 16 report, which was first reported at a TB congress in 1930 [9], and the total dataset was later 17 provided in a final report covering the period from September 1927 to December 1931 [11]. 18 We extracted data from the original publications and calculated 1930-31 data as the 19 20 difference between the total 1927-31 data set [11] and the data reported for 1927-29 [9].

21 Intervention and target population

1 Oral BCG was provided to newborns within 5 days of birth by physicians or nurses.

Vaccinated children were listed in a special register which also had information on parents
and other family members. The register had precise information on the health of family
members, possible sources of TB in the family or the environment, and on hygienic and
economic conditions of the family. Follow-up information was also noted in this register
[10].

7 There is no information on which BCG strain was used, but presumably it was the same as 8 used by Calmette. The vaccine was produced according to Calmette's specifications and new 9 batches of the vaccine were distributed every week to the dispensaries across the region for oral administration within 5 days of birth. Calmette recommended 3 doses of oral BCG 10 11 provided with 2-day intervals [8]; however, Näslund did not mention whether three doses were used in Norrbotten [9–11]. BCG vaccination was voluntary and offered to all newborns 12 13 irrespective of whether the family had TB cases or not. Social and health conditions were 14 registered within the program and healthy neonates were eligible for vaccination; neonates 15 deemed frail and those suspected of congenital illness were not vaccinated.

16 Control group

Infants that were unvaccinated (due to frailty, logistic difficulty in providing BCG within 5
days of birth, choice not to be vaccinated) served as controls.

19 Follow-up period

Since the children were recruited at birth and the study started in the end of 1927 and the
follow-up was ongoing until 1931, no child was followed longer than four years (see Table
1). According to Näslund, vaccinated and unvaccinated children were monitored and

1 followed as thoroughly as possible and the mortality data provided was compiled using

2 extracts from the Swedish Central Statistical Office Mortality Registry [11]. It is not

3 described whether Näslund assessed the quality of the cause of death data.

4 Outcomes

5 Due to the policy of providing BCG within 5 days of birth, the historic mortality data is available in the following age groups: 0-5 days after birth, neonatal period (0-30 days), post-6 7 neonatal infant period (1-12 months), and for 1-, 2- and 3-year-old children. In most 8 analyses, Näslund excluded deaths that occurred before 5 days of age because there had 9 not been time for such infants to be vaccinated shortly after birth. Furthermore, deaths occurring during the first month of life were excluded because he believed it would take a 10 11 month to develop an immune response against TB and that mortality in the first month of 12 life could therefore not have been affected by BCG [10,11]. Finally, congenital deaths were 13 excluded since congenital weakness had been an exclusion criteria for the vaccinators.

We therefore examined the deaths overall and by cause of death between 1 and 48 monthsof age with exclusion of the congenital deaths.

16 Statistical analyses

The original reports listed mortality numbers among vaccinated versus unvaccinated
children with no statistical assessment of the respective mortality rate ratios in the two
groups. The historical reports thus simply provides percentages to be assessed and
interpreted by the reader. Applying more modern statistical methods to the historical data,
we assessed the BCG vaccinated vs. unvaccinated mortality risk overall and stratified by
causes of death and age group using Fisher's 2-sided exact tests, providing Risk Ratios (RRs).

To address whether the possible biases affecting the selection for vaccination might have
changed over time, we conducted post hoc analyses to address whether the BCG effect was
different in the 1927-1929 and 1930-1931 periods.

The data was not organized and prepared for a modern survival analysis. For example, no
child was censored because it had moved or was lost to follow-up. Hence, the denominator
was the total number of children that had entered the study. There was no published data
on sex of the children.

All analyses were conducted using Stata16 (Stata Corp, College Station, Texas) and reported
with 95% Confidence Intervals (CIs).

10 **RESULTS**

11 Summary of the historical data published by Näslund

12 A total of 20,012 newborns were included in the cohort. According to Näslund, the program did not vaccinate children, who, at birth, were sick or weak [10]. Hence, the approach 13 14 suggest healthy vaccinee bias. While the specific data was not provided, Näslund claimed, however, to have identified no major differences between vaccinated and unvaccinated 15 children with respect to hygiene, social, economic conditions, and nutritional practices 16 17 (breastfeeding). Families with TB cases were slightly more likely to have their children vaccinated than families without TB cases. In Näslund's view, nothing prevented a 18 comparison of the vaccinated and unvaccinated children to study the protective effect of 19 20 BCG against TB [10].

The BCG coverage was 27% in 1928, 38% in 1929, 27% in 1930, and 20% in 1931 for an 1 2 overall coverage of 28% (5,659/20,012) [11]. The decline in coverage during 1930-31 was 3 caused by the Lübeck disaster [11]. Näslund stated, without providing specific numbers, that 4 during the last 6 months of 1931 and the beginning of 1932, the population regained 5 confidence in BCG, and the BCG coverage rose again [11]. 6 The crude overall mortality was 8.7% (1,746/20,012) from birth to the end of 1931; 3.7% 7 (211/5,659) among BCG-vaccinated infants and 10.7% (1,535/14,353) among the 8 unvaccinated, nearly a 3-fold difference (**Table 1**). 9 Causes of death were presented by age group (Table 1, Figure 2). The cause was unknown for 21% (361/1,746) of the deaths, the percentage being similar for unvaccinated (21%, 10 11 319/1,535) and vaccinated children (20%, 42/211). A very large share of the deaths, 28% 12 (484/1,746), were classified as congenital debility (Table 1). Furthermore, non-infectious 13 causes, a designation not further specified, amounted to 9% (160/1,746) of the deaths [11]. Only 4% (69/1,746) of deaths were classified as being due to TB and Näslund reiterated that 14 15 there may well have been more undiagnosed TB deaths, since autopsies were not done [9– 16 11]. A further 4% were due to spasmophilia (71/1,746), which most likely meant 17 convulsions. Näslund grouped diphtheria, erysipelas, measles, scarlet fever, and sepsis 18 together as one group representing 3% (51/1,746) of all deaths that could therefore not be further subdivided. In some analyses, we have grouped pneumonia, bronchitis, influenza, 19 and whooping cough as "respiratory infections", which amounted to 25% (437/1,746) of all 20 21 deaths. The last two groups were meningitis representing 2% (29/1746) and gastrointestinal 22 infections representing 5% (84/1,746).

1 Novel analysis of the mortality data

2 Näslund conducted several subgroup analyses to see how certain exclusions affected the 3 difference in mortality between BCG-vaccinated and unvaccinated children. Mimicking 4 these steps by excluding all deaths from congenital causes, the 1-48-month mortality risk 5 was 3.0% (170/5,618) for BCG-vaccinated and 5.8% (783/13,601) for unvaccinated children, 6 nearly a two-fold difference in mortality (Table 2). From 1-12 months, the all-cause 7 mortality risk was 2.4% (132/5,620) among BCG recipients versus 4.6% (626/13,605) for the 8 unvaccinated, the BCG/unvaccinated RR being 0.51 (0.43-0.62) (Table 2). Between 1 to 4 9 years, the BCG/unvaccinated RR was 0.57 (0.40-0.81); the combined BCG/unvaccinated RR was thus 0.53 (0.45-0.62) from 1 month to 48 months of age. 10

11 Effects of BCG against death from TB

12 From 1-48-months, there were a total of 64 deaths from TB (BCG: 5, unvaccinated: 59). BCG

13 vaccination was associated with reduced mortality from TB in both infancy and childhood,

14 the overall reduction between 1-48 months of age being 80% (49-92%) (Table 2).

15 Effects of BCG against death from non-TB infections and non-infectious causes

16 BCG vaccination was associated with increased risk of death from meningitis between 1-48-

17 months, the RR being 2.24 (1.05-4.76) (Table 3). For other infections, however, there was a

18 protective effect. BCG-vaccinated children had 44% (28-56%) lower risk of death from

respiratory infections, 63% (23-83%) for gastrointestinal infections, 42% (-12 to 70%) for

- 20 convulsions, and 58% (-7 to 84%) for the small group of other infections. The effect of
- 21 meningitis differed significantly (p<0.002) from the effect on other infections. Overall, the
- reduction in the mortality risk from non-TB infections between 1-48 months of age was 42%

(28-53%). Hence, the effect of BCG differed significantly between TB and non-TB infections
 (test of interaction, p=0.03). However, there was also a 52% (18-72%) reduction in death
 from non-infectious diseases which may suggest selection bias favoring the BCG-vaccinated
 children (Table 2).

5 The 1927-1929 and the 1930-1931 data: post hoc analysis

We present the data from 1927-1929 [9] in Table 4 and from 1930-1931 [11] in Table 5 and 6 7 Figure 3. Due to the non-vaccination of frail children, BCG vaccination was associated with a 8 very low risk of deaths from congenital conditions in both periods. The vaccine efficacy 9 against death from TB was similar in the two periods. However, we noted that the effect on non-infectious diseases was modified by period; in the first report covering 1927-29, BCG 10 11 was associated with a RR of 0.09 (0.02-0.36) for death from non-infectious diseases (Table 12 4) [9], whereas the same RR was 0.92 (0.49-1.70) in the second period from 1930-1931 (test 13 of interaction, p=0.004) (Table 5) [11]. The RR of death from non-TB infectious diseases also changed from 0.32 (0.23-0.45) in the first period to 0.75 (0.58-0.97) in 1930-1931 (test of 14 interaction, p<0.001). Respiratory deaths constituted 60% (168/279) and 63% (225/357) of 15 the infectious disease deaths in the two periods. The RR for death from respiratory infection 16 17 changed from 0.40 (0.27-0.60) in the first period to 0.61 (0.43-0.84) in the second period (test of interaction, p=0.11). For the smaller groups of infections, i.e. convulsions, 18 gastrointestinal, meningitis and other infections, BCG was beneficial for all in the first period 19 20 (Table 4) but less so in the second period (Table 5). In the second period, BCG vaccination 21 was associated with a strong increase in the risk of death from meningitis (Table 5). Even if we assume that convulsions might have been misclassified cases of meningitis, there would 22

still be an increased risk of death associated with BCG vaccination, the RR being 1.97 (1.06-

2 3.67).

3 DISCUSSION

4 Main findings

Oral BCG was associated with reduced risk of death from TB and from non-TB infections,
particularly respiratory infections, but not from meningitis. The estimated effect of BCG on
respiratory and other non-TB infections differed by period and was less pronounced in the
last period where there may have been less healthy vaccinee bias, as judged by the effect on
non-infectious deaths.

10 Strengths and weaknesses

The Norrbotten data is from a period with a high all-cause mortality due to infectious
diseases. To our knowledge, no other detailed analyses of the disease-specific effects
associated with the community introduction of BCG has been presented from any country.
There appear to have been no other vaccine provided to the youngest children, so it has
been possible to track both specific and non-specific effects related to BCG over an
extended age range.
BCG was associated with reduced risk of non-TB infectious diseases, except meningitis, both

18 during infancy and between 1-4 years of age, when the selection biases occurring shortly

19 after birth would be anticipated to have a less pronounced effect.

We had only the data reported in Näslund's papers, providing no possibility of confirming
the accuracy of the data or the cause of death classifications. The deaths with unknown

cause had similar distribution as the infectious deaths, so the lack of some causes of death
 has probably simply reduced the power of the study.

3 Even though Näslund noted no hindrance for comparisons of vaccinated and unvaccinated children [10], the study was likely confounded because most children excluded due to 4 5 congenital problems were in the unvaccinated group [10]. In the first period (Table 4), non-6 infectious deaths occurred mostly among the unvaccinated. In the second period, however, 7 there was little difference in the risk of non-infectious death for vaccinated and 8 unvaccinated children and the protective efficacy for all other infectious diseases was also reduced (Table 6). Hence, it seems that healthy vaccinee bias was more pronounced in the 9 10 first period (1927-1929).

Näslund did not note the difference between the two periods and provided no information
that recruitment practices changed, except that acceptance went down after the Lübeck
disaster.

Results of observational studies like Näslund's are often dismissed since they are assumed 14 to be determined mainly by biases and confounding. However, several trends in Näslund's 15 data from the second period are difficult to reconcile with *healthy vaccinee bias* being the 16 main cause of the much lower mortality of BCG-vaccinated children. First, TB-infected 17 families were more likely to get vaccinated. This appears unlikely to be consistent with 18 families with lower mortality having preferentially had their infants BCG-vaccinated, since 19 20 TB-infested families presumably were poorer and had worse socioeconomic conditions. 21 However, there may have been a positive selection bias among the non-TB infected families. 22 Second, in 1930-1931, BCG had little effect on non-infectious diseases; if healthier families

preferentially had their infants vaccinated, then BCG-vaccinated children should also have
had a lower risk of deaths from non-infectious diseases. Perhaps the Lübeck disaster
preferentially caused BCG vaccine hesitancy among the wealthier, better informed families.
Third, meningitis deaths were significantly increased among the BCG-vaccinated, an effect
different from all other infectious causes of death (Table 3 and Table 5).

Hence, though bias most likely occurred with respect to who were BCG-vaccinated, it is
difficult to understand the totality of data unless it is assumed that BCG provided substantial
protection against TB and also some non-specific protection against respiratory infections.

9 Näslund's interpretation

10 Näslund was not in doubt that BCG protected against death from TB, even though autopsies 11 were not made, and he recommended in each report that the vaccination program ought to be continued [9–11]. However, Näslund struggled to understand the almost 3-fold 12 13 difference in mortality between vaccinated and unvaccinated children. Though he did not 14 directly make use of the concepts, he attempted to evaluate whether bias or confounding 15 could explain why BCG vaccination was associated with much lower mortality than being unvaccinated. Though he provided no data in support of the conclusions, Näslund noted 16 that there were no differences in hygienic, social, economic conditions and nutritional 17 practices for the families of vaccinated and unvaccinated children in the data which had 18 been collected by the TB control program. The only difference he emphasized was that 19 slightly more families with TB accepted to have their child vaccinated. 20

21 Like Calmette, he speculated that BCG could have prevented other infectious diseases.

In the process, he stated: "One might of course be tempted to find an explanation for this 1 2 lower mortality of vaccinated children in the idea that the BCG vaccine provokes a non-3 specific immunity." 4 But he also went on to say "If this were the case, the deaths caused by the different 5 infectious diseases should be relatively more numerous among the unvaccinated children 6 than among the vaccinated children. This was not the case, however, and Table IV [Table 1] 7 makes it perfectly clear." [11] 8 Apparently, Näslund analyzed the data as percentages of all deaths among the vaccinated and unvaccinated children and not as RRs between the two groups. From that perspective, it 9 is correct that the infectious deaths between 1-48 months of age continued to be as 10 11 numerous among the BCG-vaccinated, being 65% (116/178), versus 59% (520/885) among

the unvaccinated children (**Table 2**). But he did not compare the "risk" of vaccinated and
unvaccinated persons.

As a possible explanation, Näslund suggested that maybe the parents of vaccinated children were more careful in caring for their children [10]. However, Näslund ended his last report from 1932 [11] by stating "*None of the hypotheses that we have just presented therefore offer a certain and objective basis for specifying the causes of the relatively low mortality of children vaccinated in Norrbotten. However, certain indications prove that BCG vaccination may have contributed to this.*" [11] Unfortunately, he provided no further analysis of the effects of BCG on child survival in Norrbotten.

21 Consistency with other findings

1 A few years later in 1935, the Institute Pasteur started a trial of three doses of oral BCG at birth versus no BCG with alternate allocation based on number in the civil registration 2 3 system in Algiers [12,13]. Infant mortality was 21%, but the reduction in infant mortality in 4 the BCG-vaccinated group was only 3% (-2 to 7%). The analysis was by intention-to-treat so 5 many children in the "vaccinated" group had actually died before the public health nurses 6 had time to visit and vaccinate the newborns. However, after BCG revaccinations at 1 and 3 7 years of age, the reduction in all-cause mortality between 1 and 4 years of age was 22% (17-8 27%).

In terms of protection against TB, previous studies have reported protective efficacies of
BCG ranging from 0-80% and that the efficacy is dependent on an Equatorial gradient, being
higher the further away from the Equator, possibly due to fewer environmental
mycobacteria [14]. The TB attack rates for vaccinated versus unvaccinated infants in
Norrbotten indicates that BCG had a protective efficacy of around 80%, which would be
consistent with the hypothesis of more enhanced effects of BCG against TB further from the
Equator.

16 It is difficult to compare Näslund's study with other studies of the potential NSEs of BCG, 17 partly because BCG was administered orally and partly because more recent studies of BCG 18 have mainly focused on the first 4-6 weeks of life, because BCG provided at birth is followed 19 by a series of other childhood vaccines provided shortly after the first month of life. A meta-20 analysis of three RCTs from Guinea-Bissau providing BCG-Denmark to healthy low-weight 21 newborns at hospital discharge reported a 38% reduction in neonatal mortality[3]. An 22 analysis of pediatric ward admissions within the same cohort revealed that BCG especially

1	reduced the risk of death from septicemia [4]. A study from India using BCG-Russia,
2	however, found no effect on neonatal mortality [15]. A meta-analysis of 6 historical trials
3	reported that BCG was associated with a 25% (6-41%) reduction in non-TB deaths not
4	caused by violence and accidents, when compared to controls [16]. Furthermore, numerous
5	observational studies have found BCG to be associated with beneficial effects on non-TB
6	deaths in both low-income and high-income countries [7,17–19].
7	With respect to specific disease effects, a recent RCT from Uganda showed that BCG-
8	Denmark-at-birth was associated with a 29% reduction in non-TB infections [6]; most of this
9	effect was related to respiratory infections [1]. The same pattern with a particularly
10	beneficial effect for respiratory infections has been seen in other studies [3,20].
11	Interpretation
12	Numerous studies have now indicated that certain strains of BCG are associated with
13	substantial NSEs that reduce the all-cause mortality risk and BCG has been shown to induce
14	protection against bacteria, viruses and parasites [21–23].
15	Judged by modern standards, Näslund's study had many flaws; the selection for BCG
16	
	vaccination was not well-described and there was no statistical control for potential
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17 18 19 20 21	vaccination was not well-described and there was no statistical control for potential confounding factors. Still, the data from particularly 1930-1931 suggests that oral BCG had substantial effects on both the risk of death from TB and respiratory infections. Hence, oral BCG had presumably also beneficial NSEs, but with a peculiar negative effect for meningitis Calmette suggested that data from several countries indicated 3 to 4-fold differences in mortality between vaccinated and unvaccinated children, but this would have been for

difference in the mortality for infectious diseases. Though selection bias occurred with
respect to who were BCG-vaccinated in the first days of life, it would be difficult to construct
a bias and confounding pattern which would explain the observed varying effects of BCG for
different causes of death. The data, on the other hand, is compatible with BCG providing
protection against TB and some non-specific protection against respiratory infections. The
signal with increased mortality from meningitis has not been reported elsewhere but may
merit further attention in future studies.

Näslund wrote no further papers about BCG after his 1932 report [11]. Calmette died
shortly after in 1933. Hence, the beneficial (and potential deleterious) NSEs of BCG were not
pursued.

11

12 CONCLUSION

Our analysis yielded some support that BCG both protected against TB deaths and from 13 respiratory infections when it was introduced in northern Sweden. The combined 14 epidemiological and immunological data documenting BCG's beneficial NSEs have major 15 16 implications for vaccination programs in both developed and developing countries, since we 17 have not fully exploited the beneficial NSEs of BCG for public health. It is urgent that this 18 potential for preventing non-TB infections is prioritized. Interestingly, the COVID-19 pandemic has raised the interest in the broad beneficial immune effects associated with live 19 vaccines and whether BCG can provide protection against COVID-19 is thus being broadly 20 21 investigated in >40 trials [24,25]. The centenary of BCG is therefore likely to be celebrated with many more studies of the vaccine's beneficial NSEs. 22

1 Authors' contributions

PAA conceived the idea of reviewing historical data and initiated a review of the historical
studies, which were procured in different archives. PAA conducted the first analysis of
Näslund's data and FSB further analyzed the historical data for his PhD dissertation and
wrote the first draft of the present paper, which was critically reviewed by CSB, FS, MKS and
PAA. All authors approved the final manuscript.

7

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10 commercial, or not-for-profit sectors.

11

12 DISCLOSURE OF INTERESTS

13 Funders did not have any influence on the study design, data collection, analysis,

14 interpretation, writing or decision to submit the present paper for publication. None of the

15 authors have any conflict of interest to declare.

16

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 the study protocols for two randomised controlled trials. Trials 2020; 21:481.
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Table 1. Causes of death by BCG vaccination status and period of follow-up.

	Total death years of	ns (birth to 4 age n (%)	Neonatal c	leaths n (%)	Infant deaths mont	between 1-12 hs (%)	Deaths bet years of	ween 1 to 4 age n (%)	Deaths from years of	1 month to 4 age n (%)
Cause of death	BCG	No BCG	BCG	No BCG	BCG	No BCG	BCG	No BCG	BCG	No BCG
cause of death	(n=5,659)	(n=14,353)	(n=5 <i>,</i> 659)	(n=14,353)	(n=5,626)	(n=13,703)	(n=5,488)	(12,979)	(n=5,626)	(n=13,703)
Tuberculosis	5 (0.1%)	64 (0.4%)	0 (0.0%)	5 (0.0%)	2 (0.0%)	23 (0.2%)	3 (0.1%)	36 (0.3%)	5 (0.1%)	59 (0.4%)
Meningitis	13 (0.2%)	16 (0.1%)	0 (0.0%)	2 (0.0%)	5 (0.1%)	10 (0.1%)	8 (0.1%)	4 (0.0%)	13 (0.2%)	14 (0.1%)
Pneumonia	35 (0.6%)	153 (1.1%)	3 (0.1%)	16 (0.1%)	24 (0.4%)	105 (0.8%)	8 (0.1%)	32 (0.2%)	32 (0.6%)	137 (1.0%)
Bronchitis	23 (0.4%)	92 (0.6%)	2 (0.0%)	16 (0.1%)	16 (0.3%)	64 (0.5%)	5 (0.1%)	12 (0.1%)	21 (0.4%)	76 (0.6%)
Influenza	12 (0.2%)	54 (0.4%)	2 (0.0%)	4 (0.0%)	8 (0.1%)	39 (0.3%)	2 (0.0%)	11 (0.1%)	10 (0.2%)	50 (0.4%)
Pertussis	11 (0.2%)	57 (0.4%)	0 (0.0%)	1 (0.0%)	10 (0.2%)	48 (0.4%)	1 (0.0%)	8 (0.1%)	11 (0.2%)	56 (0.4%)
Diphtheria, measles, scarlet			4 (0 10/)	12 (0 10/)	4 (0, 19/)	10 (0 10/)	1 (0.0%)	10 (0 10/)	F (0, 10/)	20 (0 20/)
fever, erysipelas, or sepsis	9 (0.2%)	42 (0.3%)	4 (0.1%)	13 (0.1%)	4 (0.1%)	19 (0.1%)	1 (0.0%)	10 (0.1%)	5 (0.1%)	29 (0.2%)
Gastrointestinal infections	10 (0.2%)	74 (0.6%)	2 (0.0%)	21 (0.1%)	8 (0.1%)	49 (0.4%)	0 (0.0%)	4 (0.0%)	8 (0.1%)	53 (0.5%)
Spasmophilia (Convulsions)	11 (0.2%)	60 (0.4%)	0 (0.0%)	14 (0.1%)	10 (0.2%)	39 (0.3%)	1 (0.0%)	7 (0.1%)	11 (0.2%)	46 (0.3%)
Congenital conditions	22 (0.4%)	462 (3.2%)	14 (0.2%)	360 (2.5%)	6 (0.1%)	98 (0.7%)	2 (0.0%)	4 (0.0%)	8 (0.1%)	102 (0.7%)
Non-infectious diseases	18 (0.3%)	142 (0.9%)	2 (0.0%)	61 (0.4%)	12 (0.2%)	68 (0.4%)	4 (0.1%)	13 (0.1%)	16 (0.3%)	81 (0.5%)
Cause unknown	42 (0.7%)	319 (2.2%)	4 (0.1%)	137 (1.0%)	33 (0.6%)	162 (1.2%)	5 (0.1%)	20 (0.2%)	38 (0.7%)	182 (1.3%)
Total	211 (3.7%)	1535 (10.7%)	33 (0.6%)	650 (4.5%)	138 (2.5%)	724 (5.3%)	40 (0.7%)	161 (1.2%)	178 (3.2%)	885 (6.5%)

Table 2. Risk of death from major death cause categories by BCG vaccination status and period of follow-up.

	Neonatal o	deaths n (%)	Infant deaths between 1 n	Infant deaths -12months (%)	Deaths betwe of age	en 1 to 4 years e n (%)	Deaths betw months or	veen 1 to 48 f age n (%)
Cause of death	BCG	No BCG	BCG	No BCG	BCG	No BCG	BCG	No BCG
Cause of death	(n=5,659)	(n=14,353)	(n=5,626)	(n=13,703)	(n=5,488)	(12,979)	(n=5,626)	(n=13,703)
Tuberculosis (TB)	0 (0.0%)	5 (0.0%)	2 (0.0%)	23 (0.2%)	3 (0.1%)	36 (0.3%)	5 (0.1%)	59 (0.4%)
BCG/unvaccinated RR	1	1A	0.21 (0.	05-0.90)	0.20 (0.	06-0.64)	0.20 (0.	08-0.51)
Non-TB infections	13 (0.2%)	87 (0.6%)	85 (1.5%)	373 (2.7%)	26 (0.5%)	88 (0.7%)	111 (2.0%)	461 (3.4%)
BCG/unvaccinated RR	RR 0.38 (0.21-0.68)		0.56 (0.44-0.70)		0.70 (0.45-1.08)		0.58 (0.47-0.72)	
Non-infectious diseases	2 (0.0%)	61 (0.4%)	12 (0.2%)	68 (0.5%)	4 (0.1%)	13 (0.1%)	16 (0.3%)	81 (0.6%)
BCG/unvaccinated RR	0.08 (0.	02-0.34)	0.43 (0.	23-0.79)	0.73 (0.24-2.23)		0.48 (0.28-0.82)	
Unknown death cause	4 (0.1%)	137 (1.0%)	33 (0.6%)	162 (1.2%)	5 (0.1%)	20 (0.2%)	38 (0.7%)	182 (1.3%)
BCG/unvaccinated RR	0.07 (0.	03-0.20)	0.50 (0.	34-0.72)	0.59 (0.	22-1.57)	0.51 (0.36-0.72)	
All causes of death ¹	19 (0.3%)	290 (2.0%)	132 (2.3%)	626 (4.6%)	38 (0.7%)	157 (1.2%)	170 (3.0%)	783 (5.7%)
BCG/unvaccinated RR	0.17 (0.	10-0.26)	0.51 (0.43-0.62)		0.57 (0.40-0.81)		0.53 (0.45-0.62)	
Congenital conditions	14 (0.2%)	360 (2.5%)	6 (0.1%)	98 (0.7%)	2 (0.0%)	4 (0.0%)	8 (0.1%)	102 (0.7%)
BCG/unvaccinated RR	0.10 (0.	06-0.17)	0.15 (0.	07-0.34)	1.18 (0.22-6.45)		0.19 (0.09-0.39)	

2 Note: Deaths from other causes are censored in each subgroup. Abbreviations: BCG, Bacille Calmette-Guérin; RR, Risk Ratio; TB, Tuberculosis.

3 ¹Excluding death caused by congenital conditions.

Table 3. Risk of death from different infectious diseases by BCG vaccination status and period of follow-up.

	Neonata	l deaths n (%)	Infant deat betweer	hs Infant deaths n 1-12months n (%)	Deaths between n	1 to 4 years of age (%)	Deaths from 1 aຄູ	month to 4 years of ge n (%)	
Cause of death	BCG (n=5,659)	No BCG (n=14,353)	BCG (n=5,626)	No BCG (n=13,703)	BCG (n=5,488)	No BCG (12,979)	BCG (n=5,626)	No BCG (n=13,703)	
Tuberculosis	0 (0.0%)	5 (0.0%)	2 (0.0%)	23 (0.2%)	3 (0.1%)	36 (0.3%)	5 (0.1%)	59 (0.4%)	
BCG/unvaccinated RR		NA	0.21 (0.05-0.90)	0.20 (0	.06-0.64)	0.20	(0.08-0.51)	
Non-TB infections	13 (0.2%)	87 (0.6%)	85 (1.5%)	373 (2.7%)	26 (0.5%)	88 (0.7%)	111 (2.0%)	461 (3.4%)	
BCG/unvaccinated RR	ccinated RR 0.38 (0.21-0.68)		0.56 (0.56 (0.44-0.70) 0.70 (0.45-1.0		.45-1.08)	0.58 (0.47-0.72)		
Of which									
Respiratory infections ¹	7 (0.1%)	37 (0.3%)	58 (1.0%)	256 (1.9%)	16 (0.3%)	63 (0.5%)	74 (1.3%)	319 (2.3%)	
BCG/unvaccinated RR	0.48 ((0.21-1.08)	0.55 (0.42-0.73)		0.60 (0.35-1.04)		0.56 (0.44-0.72)		
Convulsions	0 (0.0%)	14 (0.1%)	10 (0.2%)	39 (0.3%)	1 (0.0%)	7 (0.1%)	11 (0.2%)	46 (0.3%)	
BCG/unvaccinated RR		NA	0.62 (0.31-1.25)		0.34 (0.04-2.75)		0.58 (0.30-1.12)		
Gastrointestinal infections	2 (0.0%)	21 (0.1%)	8 (0.1%)	49 (0.4%)	0 (0.0%)	4 (0.0%)	8 (0.1%)	53 (0.4%)	
BCG/unvaccinated RR	0.24 ((0.06-1.03)	0.40 (0.19-0.84)	I	NA	0.37 (0.17-0.77)		
Other infections ²	4 (0.1%)	13 (0.1%)	4 (0.1%)	19 (0.1%)	1 (0.0%)	10 (0.1%)	5 (0.1%)	29 (0.2%)	
BCG/unvaccinated RR	0.78 ((0.25-2.39)	0.51 (0.51 (0.17-1.51)		0.24 (0.03-1.85)		0.42 (0.16-1.07)	
Meningitis	0 (0%)	2 (0.0%)	5 (0.1%)	10 (0.1%)	8 (0.1%)	4 (0.0%)	13 (0.2%)	14 (0.1%)	
BCG/unvaccinated RR		NA	1.22 (1.22 (0.42-3.56)		42-15.70)	2.24 (1.05-4.76)		
Infectious diseases total	13 (0.2%)	92 (0.6%)	87 (1.5%)	396 (2.9%)	29 (0.5%)	124 (1.0%)	116 (2.1%)	520 (3.8%)	
BCG/unvaccinated RR	CG/unvaccinated RR 0.36 (0.20-0.64)		0.54 (0.54 (0.43-0.67)		.37-0.83)	0.54 (0.44-0.66)		

2 Note: Deaths from other causes are censored in each subgroup. Abbreviations: BCG, Bacille Calmette-Guérin; RR, Risk Ratio.

3 ¹Pneumonia, bronchitis, influenza, or whooping cough. ²Diphtheria, erysipelas, measles, scarlet fever, or sepsis.

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	Neonatal deaths n (%)		Infant dea	Infant deaths Infant				Deaths from 1 month	
			deaths bet	deaths between 1-12		Deaths between 1 to 2		of age n (%)	
			mo	nths	years of	age n (%)			
			n	(%)					
Cause of death	BCG	No BCG	BCG	No BCG	BCG	No BCG	BCG	No BCG	
	(n=3,377)	(n=6,932)	(n=3,364)	(n=6,605)	(n=1,533)	(3,542)	(n=3,364)	(n=6,605)	
Tuberculosis	0 (0.0%)	4 (0.1%)	0 (0.0%)	4 (0.1%)	1 (0.1%)	9 (0.3%)	1 (0.0%)	13 (0.2%)	
BCG/unvaccinated RR	N	IA	Ν	IA	0.26 (0.	.03-2.02)	0.17 (0.	02-1.29)	
Respiratory infections ¹	2 (0.1%)	15 (0.2%)	25 (0.7%)	119 (1.8%)	3 (0.2%)	21 (0.6%)	28 (0.8%)	140 (2.1%)	
BCG/unvaccinated RR	0.27 (0.0	06-1.20)	0.41 (0.	26-0.63)	0.33 (0.	10-1.11)	0.40 (0.	27-0.60)	
Convulsions	0 (0.0%)	10 (0.1%)	5 (0.1%)	22 (0.3%)	0 (0.0%)	4 (0.1%)	5 (0.1%)	26 (0.4%)	
BCG/unvaccinated RR	N	IA	0.45 (0.	17-1.18)	Ν	IA	0.38 (0.	15-1.00)	
Gastrointestinal infections	0 (0.0%)	13 (0.2%)	2 (0.1%)	34 (0.5%)	0 (0.0%)	3 (0.1%)	2 (0.1%)	37 (0.6%)	
BCG/unvaccinated RR	Ν	IA	0.12 (0.	03-0.48)	Ν	IA	0.11 (0.	03-0.45)	
Other infections ²	1 (0.0%)	8 (0.1%)	1 (0.0%)	12 (0.2%)	0 (0.0%)	3 (0.1%)	1 (0.0%)	15 (0.2%)	
BCG/unvaccinated RR	0.26 (0.0	03-2.05)	0.16 (0.	02-1.26)	Ν	IA	0.13 (0.	02-1.02)	
Meningitis	0 (0.0%)	1 (0.0%)	1 (0.0%)	8 (0.1%)	0 (0.0%)	2 (0.1%)	1 (0.0%)	10 (0.2%)	
BCG/unvaccinated RR	Ν	IA	0.25 (0.	03-1.96)	Ν	IA	0.20 (0.	03-1.58)	
All infectious diseases	3 (0.1%)	51 (0.7%)	34 (1.0%)	199 (3.0%)	4 (0.3%)	42 (1.2%)	38 (1.1%)	241 (3.6%)	
BCG/unvaccinated RR	0.12 (0.0	04-0.39)	0.34 (0.	23-0.48)	0.22 (0.	08-0.61)	0.32 (0.	23-0.45)	
Congenital conditions	8 (0.2%)	190 (2.7%)	3 (0.1%)	49 (0.7%)	0 (0.0%)	3 (0.1%)	3 (0.1%)	52 (0.8%)	
BCG/unvaccinated RR	0.09 (0.0	04-0.18)	0.12 (0.	04-0.39)		NA	0.11 (0.	04-0.37)	
Non-infectious	0 (0.0%)	18 (0.3%)	2 (0.1%)	41 (0.6%)	0 (0.0%)	4 (0.1%)	2 (0.1%)	45 (0.7%)	
BCG/unvaccinated RR	N	IA	0.10 (0.	02-0.40)	Ν	IA	0.09 (0.	02-0.36)	
Unknown death cause	2 (0.1%)	68 (1.0%)	21 (0.6%)	77 (1.2%)	0 (0.0%	6 (0.2%)	21 (0.6%)	83 (1.3%)	
BCG/unvaccinated RR	0.06 (0.0	01-0.25)	0.54 (0.	33-0.87)	Ν	IA	0.50 (0.	31-0.81)	

1 Table 4. Risk of death from different infectious diseases by BCG vaccination status and period of follow-up – 1927-1929 [4]

- 1 Note: The population and deaths are based on the figures reported in the first report (3). The 1-2 year population has been calculated as the number of children born
- 2 in 1927-1929 minus neonatal and infant deaths and minus the number of children still under one year of age by the end of 1929 (3, Table II). Deaths from other
- 3 causes are censored in each subgroup. Abbreviations: BCG, Bacille Calmette-Guérin; RR, Risk Ratio.
- 4 ¹Pneumonia, bronchitis, influenza, or whooping cough. ²Diphtheria, erysipelas, measles, scarlet fever, or sepsis.
- 5

1 Table 5. Risk of death from different infectious diseases by BCG vaccination status and period of follow-up – 1930-31 [6]

	Neonatal	deaths n (%)	Infant deat 12 n n	hs between 1- nonths (%)	Deaths bet years of	ween 1 to 4 age n (%)	Deaths fror years o	n 1 month to 4 f age n (%)
Cause of death	BCG (n=2,430)	No BCG (n=7,646)	BCG (n=4,033)	No BCG (n=9,795)	BCG (n=4,392)	No BCG (9,554)	BCG (n=4,033)	No BCG (n=9,795)
Tuberculosis	0 (0.0%)	1 (0.0%)	2 (0.0%)	19 (0.2%)	2 (0.0%)	27 (0.3%)	4 (0.1%)	46 (0.5%)
BCG/unvaccinated RR		NA	0.26 (0	.06-1.10)	0.16 (0.	04-0.68)	0.20 (0	0.07-0.58)
Respiratory infections ¹	5 (0.2%)	22 (0.3%)	33 (0.8%)	137 (1.4%)	13 (0.3%)	42 (0.4%)	46 (1.1%)	179 (1.8%)
BCG/unvaccinated RR	0.72 (0).27-1.89)	0.59 (0	.40-0.85)	0.67 (0.	36-1.25)	0.61 (0	0.43-0.84)
Convulsions	0 (0.0%)	4 (0.1%)	5 (0.1%)	17 (0.2%)	1 (0.0%)	3 (0.0%)	6 (0.1%)	20 (0.2%)
BCG/unvaccinated RR		NA	0.71 (0	.26-1.93)	0.73 (0.	08-6.97)	0.72 (0).29-1.78)
Gastrointestinal infections	2 (0.1%)	8 (0.1%)	6 (0.1%)	15 (0.2%)	0 (0.0%)	1 (0.0%)	6 (0.1%)	16 (0.2%)
BCG/unvaccinated RR	0.79 (0).17-3.70)	0.97 (0	.38-2.50)	٩	IA	0.91 (0	0.36-2.31)
Other infections ²	3 (0.1%)	5 (0.1%)	3 (0.1%)	7 (0.1%)	1 (0.0%)	7 (0.1%)	4 (0.1%)	14 (0.1%)
BCG/unvaccinated RR	1.89 (0).45-7.89)	1.04 (0	.27-4.02)	0.31 (0.	04-2.53)	0.66 (0	0.22-2.00)
Meningitis	0 (0.0%)	1 (0.0%)	4 (0.1%)	2 (0.0%)	8 (0.2%)	2 (0.0%)	12 (0.3%)	4 (0.0%)
BCG/unvaccinated RR		NA	4.86 (0.	89-26.51)	8.70 (1.8	35-40.95)	6.85 (2	.20-21.37)
All infectious diseases	10 (0.4%)	41 (0.5%)	53 (1.3%)	197 (2.0%)	25 (0.6%)	82 (0.9%)	78 (1.9%)	279 (2.8%)
BCG/unvaccinated RR	0.77 (0).39-1.53)	0.65 (0	.48-0.88)	0.66 (0.	42-1.04)	0.66 (0	0.51-0.84)
Congenital	6 (0.2%)	170 (2.2%)	3 (0.1%)	49 (0.5%)	2 (0.0%)	1 (0.0%)	5 (0.1%)	50 (0.5%)
BCG/unvaccinated RR	0.11 (0).05-0.25)	0.15 (0	.05-0.48)	4.35 (0.3	39-47.97)	0.24 (0	0.10-0.60)
Non-infectious	2 (0.1%)	43 (0.6%)	10 (0.2%)	27 (0.3%)	4 (0.1%)	9 (0.1%)	14 (0.3%)	36 (0.4%)
BCG/unvaccinated RR	0.15 (0	0.04-0.60)	0.90 (0	.44-1.86)	0.97 (0.	30-3.14)	0.92 (0	0.49-1.70)
Unknown death cause	2 (0.1%)	69 (0.9%)	12 (0.3%)	85 (0.9%)	5 (0.1%)	14 (0.1%)	17 (0.4%)	99 (1.0%)
BCG/unvaccinated RR	0.09 (0	0.02-0.37)	0.34 (0	.19-0.63)	0.78 (0.	28-2.16)	0.41 (0	0.24-0.68)

- 1 Notes: The population and deaths are based on first and third reports (3,5). The neonatal group was the children born in 1930-1931 (N=9,703) as well as 1/12 of the
- 2 children born in 1929 and who survived to January 1, 1930 (373) (3, Table II). The post-neonatal infants were calculated as the children born in 1930-1931 who
- 3 survived the first month of life (N=9,360) as well as the children born in 1929 and who survived to January 1, 1930 (N=4,468) (3, Table II). The children at risk at 1-4
- 4 years of age were calculated as children born in 1927-1929 and who survived to January 1, 1930 (N=9,484) (3, Tables II and VI), and 50% of the children born in 1930-
- 5 1931 and did not die in infancy would reach the age of one year (4,462). Hence, given no more specific information, we have assumed that the births and follow-up
- 6 for children born in 1930-1931 was equally distributed between 1930 and 1931.
- 7
- 8

1 Table 6. Overview of key publications and key results from 1 month to 4 years of age.

		BCG/Control Risk Ratio	
Follow-up period (Ref.)	Tuberculosis	Infectious diseases other	Non-infectious diseases
		than tuberculosis	
1927-29 [4]	0.17 (0.02-1.29)	0.32 (0.23-0.45)	0.09 (0.02-0.36)
1930-31	0.20 (0.07-0.58)	0.75 (0.58-0.97)	0.92 (0.49-1.70)
Combined 1927-31 [6]	0.20 (0.08-0.51)	0.58 (0.47-0.72)	0.48 (0.28-0.82)