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# THE ISOMETRY GROUPS OF RIEMANNIAN MANIFOLDS ADMITTING STRICTLY CONVEX FUNCTIONS

BY TAKAO YAMAGUCHI

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## 0. Introduction

A function  $f$  on a complete connected Riemannian manifold  $M$  is said to be *convex* if for any geodesic  $\gamma : \mathbb{R} \rightarrow M$ , any  $t_1, t_2 \in \mathbb{R}$  and any  $0 < \lambda < 1$ ,  $f$  satisfies the following inequality;  $f \circ \gamma((1-\lambda)t_1 + \lambda t_2) \leq (1-\lambda)f \circ \gamma(t_1) + \lambda f \circ \gamma(t_2)$ . It is well known that a convex function is Lipschitz continuous on every compact subset. If the above inequality is strict for all  $\gamma, t_1, t_2$  and  $\lambda$ , then  $f$  is said to be *strictly convex*. A function is said to be *locally nonconstant* if it is not constant on any open subset. If  $M$  admits a nontrivial convex function, then  $M$  is noncompact. Clearly strict convexity induces local nonconstancy. Recently the topological structure of manifolds which admit locally nonconstant convex functions has been decided by Greene-Shiohama [4]. Since a convex function imposes a certain restriction to the Riemannian structure, it is natural to ask the influences of the existence of a convex function on the Riemannian structure. In this paper we will investigate the influences of the existence of strictly convex functions with compact levels on the isometry groups. According to [4], if a level set  $f^{-1}(t)$  of a locally nonconstant convex function  $f$  on  $M$  is compact then all level sets are also compact. Such an  $f$  is said to be with compact levels. And corresponding to each  $t \in f(M)$  the diameter  $\delta(t)$  of  $f^{-1}(t)$ , the diameter function of  $f$ ,  $\delta : f(M) \rightarrow \mathbb{R}$ , is well defined and is monotone nondecreasing. We will prove the following theorems.

**THEOREM A.** — *If  $M$  admits a strictly convex function with minimum, then each compact subgroup of the isometry group  $I(M)$  of  $M$  has a common fixed point.*

**THEOREM B.** — *If  $M$  admits a strictly convex function with compact levels and with no minimum, then all the isometric images of any level set intersect the level set. In particular,  $I(M)$  is compact.*

Cheeger-Gromoll [3] proved the following splitting theorem for complete manifolds of nonnegative sectional curvature by constructing an expanding filtration of  $M$  by compact totally convex sets which are sublevel sets of a convex function.

THEOREM [3]. — *A complete Riemannian manifold  $M$  of nonnegative sectional curvature splits uniquely as  $\overline{M} \times \mathbb{R}^k$ , where the isometry group of  $\overline{M}$  is compact and  $I(M) = I(\overline{M}) \times I(\mathbb{R}^k)$ .*

Recently S. T. Yau [9] has obtained a similar result to Theorem A for strongly convex functions, which is stronger than strict convexity. A function  $f: M \rightarrow \mathbb{R}$  is said to be *strongly convex* if for a given compact set  $K$  of  $M$  there exists a  $\varepsilon > 0$  such that  $\{f \circ \gamma(t) + f \circ \gamma(-t) - 2f \circ \gamma(0)\} / t^2 > \varepsilon$  for any geodesic  $\gamma$  with  $\gamma(0) \in K$ . Clearly  $f(t) = t^4$  is not strongly convex but strictly convex. It will be clear from examples which we will construct later that Theorem A is a natural extension of a classical theorem due to E. Cartan which states that each compact subgroup of the isometry group of a simply connected complete Riemannian manifold of nonpositive sectional curvature has a common fixed point. We note that any manifold satisfying the hypothesis of Theorem A is diffeomorphic to  $\mathbb{R}^n$  ( $n = \dim M$ ), and in the situation of Theorem B  $M$  is homeomorphic to  $N \times \mathbb{R}$ , where  $N$  is a level set [4]. The key to the proof of Theorem B is to show that the metric projection onto any sublevel set is locally distance decreasing. This is done in paragraph 3.

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### 1. Preliminaries

Hereafter let  $M$  be a complete connected Riemannian manifold with  $\dim M \geq 2$  and let  $p$  be the distance function induced from the Riemannian metric. For an  $r > 0$  and a point  $p$  of  $M$  let  $B_r(p)$  denote the open metric ball of radius  $r$  around  $p$ . It is well known as the Whitehead Theorem (see [2]) that there exists a positive continuous function  $c$  on  $M$ , which is called a convexity radius function, such that for every point  $p \in M$  (1) any open ball  $B_r(p')$  contained in  $B_{c(p)}(p)$  is a strongly convex set, (2)  $\rho^2(p', \cdot)$  is  $C^\infty$ -strongly convex on  $B_r(p')$ . A set  $A \subset M$  is called to be *strongly convex* if for any two points  $p$  and  $q$  of  $A$  there exists a unique minimizing geodesic from  $p$  to  $q$  and it is contained in  $A$ . A set  $A \subset M$  is called to be *totally convex* if  $A$  contains all geodesic segments which join any two points of  $A$ , and a set  $C \subset M$  is called to be *convex* if for any point  $p$  of the closure  $\overline{C}$  of  $C$  there exists a positive number  $\varepsilon(p)$ ,  $0 < \varepsilon(p) \leq c(p)$ , such that  $C \cap B(p)$  is strongly convex.

PROPOSITION (cf. [4], Prop. 1.2). — *If  $C$  is a closed convex set of  $M$  then there exists an open neighborhood  $U$  of  $C$  such that for any point  $p$  of  $C$  there exists a unique point  $q$  of  $C$  such that  $\rho(p, q) = \rho(p, C)$ .*

Then the map  $\pi_c: U \rightarrow C$ , which is called the metric projection of  $U$  onto  $C$ , can be defined by  $\rho(p, \pi_c(p)) = \rho(p, C)$  and is continuous.

For a real valued function  $f$  on  $M$  and for arbitrary real numbers  $a$  and  $b$ ,  $a \leq b$ , we will denote  $f([a, b])$  and  $f((-\infty, a])$  by  $M_a^b(f)$  and  $M^a(f)$  respectively, or briefly  $M_a^b$  and  $M^a$ . If  $M_a^b$  (resp.  $M^a$ ) is not empty, then it is called a level set of  $f$  (resp. a sublevel set of  $f$ ). It is clear that every sublevel set of a convex function is totally convex.

Let  $C$  be a convex set of  $M$  and let  $p \in C$ . A tangent vector  $v$  to  $M$  at  $p$  is *normal* to  $C$  at  $p$  if for any smooth curve  $\gamma$  in  $C$  emanating from  $p$  we have  $\langle \gamma'(0), v \rangle \leq 0$ . If  $\pi_c: U \rightarrow C$  is a metric projection onto  $C$  and if  $p \in U - C$  and if  $\gamma$  is a minimizing geodesic from  $\pi_c(p)$  to  $p$ , then  $\gamma'(0)$  is normal to  $C$  at  $\pi_c(p)$ . Conversely if  $v$  is a normal vector to  $C$  at  $p$  then

$\pi_c(\exp_p tv/\|v\|)=p$  for any sufficiently small  $t>0$ . We note that the set of all normal vectors to  $C$  at  $p$  is a closed subset of  $M_p$ .

## 2. Proof of Theorem A and examples

*Proof of Theorem A.* — Let  $f$  be a strictly convex function with minimum on  $M$  and let  $G$  be a compact subgroup of the isometry group of  $M$ . We note that  $M^\alpha(f)$  is compact for any  $\alpha \in f(M)$ . Let  $\mu$  denote the Haar measure on  $G$  normalized by  $\int_G d\mu = 1$ . We define a function  $F$  on  $M$  by:

$$F(x) = \int_G f(gx) d\mu(g).$$

For every element  $g$  of  $G$ ,  $f \circ g$  is also strictly convex, and so is  $F$ . Now we will show that  $F$  has also minimum.

ASSERTION. — For any  $a \in \mathbb{R}$  there is a  $b \in \mathbb{R}$  such that  $M^a(F) \subset M^b(f)$ .

To prove the assertion, suppose that it is not true. Then there are some  $a \in \mathbb{R}$  and a sequence  $\{x_n\}$  in  $M^a(F)$  so that  $f(x_n) \rightarrow \infty$ . It follows from the definition of  $F$  that for each  $n$  there is a  $g_n \in G$  such that  $f(g_n x_n) \leq a$ . Thus it turns out that  $G \cdot M^a(f)$  is unbounded. This contradicts the compactness of  $G$  and  $M^a(f)$ .

The proof of Theorem A is complete since  $F$  has a unique minimum point by the strict convexity of  $F$  and since it is  $G$ -invariant.

Q.E.D.

*Examples.* — (a) Let  $H$  denote a simply connected Riemannian manifold of nonpositive sectional curvature. For a given point  $p$  of  $H$   $\rho^2(p, \cdot)$  is  $C^\infty$ -strongly convex with minimum.

(b) Paraboloid;  $\{(x, y, z) \in \mathbb{R}^3; z = x^2 + y^2\}$ .  $f(x, y, z) = z$  is strictly convex with minimum. The curvature is positive everywhere.

(c) (see [8]). Let  $0 < a < b$  and  $h : [0, \infty) \rightarrow [0, 1]$  be a  $C^\infty$ -function such that (1)  $h(v) = 0$  for  $v \leq a$  and  $h(v) = 1$  for  $v \geq b$ , (2) if we define  $g$  by  $g(v) = v^2 + h(v)$  for  $v \geq 0$ , then  $g'(v) > 0$  for all  $v > 0$  and  $g''(v_0) < 0$  for some  $v_0$ ,  $a < v_0 < b$ . We consider a surface of revolution;  $S = \{(v \cos u, v \sin u, g(v)); 0 \leq u \leq 2\pi, v \geq 0\}$  whose curvature is negative on a neighborhood of  $\{(u, v_0); 0 \leq u \leq 2\pi\}$  and is positive on  $\{(u, v); 0 \leq u \leq 2\pi, v \leq a \text{ or } v \geq b\}$ . For each positive integer  $n$  we define a function  $f_n$  on  $S$  by  $f_n(u, v) = g^n(v)$ . Then  $f_n$  is strongly convex with minimum for any sufficiently large  $n$ .

## 3. The diameter functions for strictly convex functions

Let  $f$  be a locally nonconstant convex function with compact levels on  $M$  and let  $m = \inf_M f$ , then the diameter function  $\delta : (m, \infty) \rightarrow \mathbb{R}$  is defined by  $\delta(t) = \max\{\rho(x, y); x, y \in M_t^f\}$ .  $\delta$  is monotone nondecreasing [4]. In this section we will

prove that if  $f$  is strictly convex with compact levels, then  $\delta$  is strictly increasing. Hereafter we will fix a strictly convex function  $f$  with compact levels. Let  $a, b \in (m, \infty)$ ,  $a \leq b$ , be fixed and  $B$  be a sufficiently large compact neighborhood of  $M_a^b$  and let  $r_0 = \min_B c$  where  $c$  is a convexity radius function on  $M$ . There exists a neighborhood  $U$  of the zero section of  $TM$  such that  $\text{Exp}|_U$  is an embedding and  $\text{Exp}(U) \supset \overline{B_{r_0}(x)} \times \overline{B_{r_0}(x)}$  for any  $x \in M_a^b$ , where  $\text{Exp} : TM \rightarrow M \times M$  is the exponential mapping defined by  $\text{Exp}(v) = (\pi(v), \exp_{\pi(v)} v)$  and  $\pi : TM \rightarrow M$  is the natural projection. For each  $x \in B$  let:

$$L_x = \inf \{ L > 0; L^{-1} \leq \|d(\text{Exp}|_U)^{-1} | \overline{B_{r_0}(x)} \times \overline{B_{r_0}(x)} \| \leq L \}$$

and let  $L = \sup \{ L_x; x \in B \}$ . It is clear from compactness argument that  $0 < L < \infty$ . Let  $\kappa$  be the maximum of the absolute values of the sectional curvature on  $B$ . Let  $\mu = \min \{ \delta(a)/8, r_0/8 \}$  and let  $A = \{ (x, y) \in M_a^b \times M_b^b; \mu \leq \rho(x, y) \leq r_0/2, a \leq \beta \leq b \}$ . For each  $x \in M$  we denote the set of all unit normal vectors to  $M^{f(x)}$  at  $x$  by  $N_x^1(f)$ . Now for each  $(x, y) \in A$  and for each  $v_1 \in N_x^1(f)$ ,  $v_2 \in N_y^1(f)$  let  $\gamma_1$  and  $\gamma_2$  be the geodesics emanating from  $x$  and  $y$  whose velocity vectors are  $v_1$  and  $v_2$  respectively. Let  $x' = \gamma_1(t_1)$  and  $y' = \gamma_2(t_2)$  be arbitrary fixed points on  $\gamma_1$  and  $\gamma_2$  so that  $t_1 > 0$ ,  $\mu/4 \geq t_1 \geq t_2 \geq 0$ . We reparametrize the subarc of  $\gamma_1$  and  $\gamma_2$  by  $\tau_1(s) = \gamma_1(s)$  and  $\tau_2(s) = \gamma_2(t_2 s/t_1)$ ,  $0 \leq s \leq t_1$ .  $\alpha : [0, 1] \times [0, t_1] \rightarrow M$  is the rectangle such that each  $\alpha_s = \alpha(\cdot, s)$  is a unique minimizing geodesic from  $\tau_1(s)$  to  $\tau_2(s)$ . Let  $L(\alpha_s)$  be the length of  $\alpha_s$ . The next lemma follows from a standard argument using the second variation formula and the Rauch comparison theorem. See [4] for details.

LEMMA 3.1. — *There exists a positive constant  $C_2 = C_2(r_0, L, \kappa, \mu)$  such that for any  $(x, y) \in A$  and any  $v_1 \in N_x^1(f)$ ,  $v_2 \in N_y^1(f)$ ,  $x', y'$  as above and for any  $s \in [0, t_1]$ , we have  $|L''(\alpha_s)| \leq C_2$ .*

Next we will estimate the first variation for  $\alpha$ . By the first variation formula, we have:

$$L'(\alpha_s)|_{s=0} = (\langle t_2 v_2/t_1, \alpha'_0(1) \rangle - \langle v_1, \alpha'_0(0) \rangle).$$

From the definition of normal vectors, we have  $\langle v_2, \alpha'_0(1) \rangle \geq 0$ ,  $\langle v_1, \alpha'_0(0) \rangle \leq 0$ . By the strict convexity of  $f$ ,  $f(\alpha_0(1/2)) < \beta$ . Suppose that  $\langle v_1, \alpha'_0(0) \rangle = 0$  and let  $U_1$  be a neighborhood of  $\alpha_0(1/2)$  on which  $f$  takes values smaller than  $\beta$ . Take a point  $z$  of the intersection of the geodesic surface  $\{ \exp_x(t_1 v_1 + t_2 \alpha'_0(0)); t_1, t_2 > 0 \}$  with  $U_1$  and let  $\gamma$  be a unique minimizing geodesic segment from  $x$  to  $z$ . Then by the convexity of  $f$ ,  $\gamma$  is contained in  $M^\beta$ . Since  $\gamma'(0)$  makes an acute angle with  $v_1$ , this is a contradiction for  $v_1$  to be a normal vector. It follows that  $L'(\alpha_s)|_{s=0} > 0$ . Now let:

$$C_1 = \inf \{ L'(\alpha_s)|_{s=0}; (x, y) \in A, v_1 \in N_x^1(f), v_2 \in N_y^1(f), x', y' \text{ as above} \}.$$

It is easy to see that  $C_1 > 0$ . It follows from the preceding lemma that  $L'(\alpha_s) = L'(0) + sL''(\theta s) \geq C_1 - sC_2$  for some  $\theta$ ,  $0 \leq \theta \leq 1$ . Hence we have obtained:

LEMMA 3.2. — *For any  $(x, y) \in A$  and any  $v_1 \in N_x^1(f)$ ,  $v_2 \in N_y^1(f)$  and for any  $x' = \gamma(t_1)$ ,  $y' = \gamma(t_2)$  such that  $C_1/C_2 \geq t_1 \geq t_2 \geq 0$ ,  $t_1 > 0$  as before,  $L(\alpha_s)$  is strictly increasing on  $[0, t_1]$ .*

For any  $\beta \in [a, b]$   $M^\beta$  is a totally convex set. If we set  $U = \bigcup_{x \in M^a} B_{r_0/2}(x)$  then the metric projection  $\pi_{M^\beta}$  of  $U$  onto  $M^\beta$ , which we briefly denote by  $\pi_\beta$ , can be defined as in paragraph 1.

LEMMA 3.3. — *There exists a positive constant  $\varepsilon_0$  such that for each  $\beta \in [a, b]$  if  $x \in M^{\beta+\varepsilon_0} - M^\beta$  and  $y \in M^{\beta+\varepsilon_0}$  satisfy  $2\mu \leq \rho(x, y) \leq 3r_0/8$ , then we have  $\rho(x, y) > \rho(\pi_\beta(x), \pi_\beta(y))$ .*

*Proof.* — Let  $\varepsilon_1 = \min \{ \mu/4, C_1/C_2 \}$  and let:

$$\varepsilon_0(\beta) = \inf \{ f(\exp_x \varepsilon_1 v_x); x \in M^\beta, v_x \in N_x^1(f) \} - \beta.$$

The required constant will be obtained by  $\varepsilon_0 = \inf \{ \varepsilon_0(\beta); a \leq \beta \leq b \}$ . We note that  $\varepsilon_0 > 0$ . Then for any  $x$  and  $y$  as in this lemma we have  $\rho(\pi_\beta(x), x) \leq \varepsilon_1$ ,  $\rho(\pi_\beta(y), y) \leq \varepsilon_1$  and  $(\pi_\beta(x), \pi_\beta(y)) \in A$  by triangle inequalities. Therefore the preceding lemma completes the proof.

Q.E.D.

PROPOSITION 3.4. —  $\delta$  is strictly increasing.

*Proof.* — For a given  $c \in (m, \infty)$  let  $\varepsilon_0$  be the positive constant given in the preceding lemma for  $a = b = c$ . Fix an arbitrary  $s$  such that  $0 < s \leq \varepsilon_0$ . Let  $x_0$  and  $y_0$  be two points of  $M_c^c$  such that  $\rho(x_0, y_0) = \delta(c)$ , and let  $v_1 \in N_{x_0}^1(f)$ ,  $v_2 \in N_{y_0}^1(f)$  and let  $x_1$  and  $y_1$  be two points of  $M_{c+s}^{c+s}$  at which two geodesics  $\exp_{x_0} t v_1$ ,  $\exp_{y_0} t v_2$ ,  $t \geq 0$ , intersect  $M_{c+s}^{c+s}$  respectively. By  $\sigma : [0, d] \rightarrow M$  we denote a minimizing unit speed geodesic from  $x_1$  to  $y_1$ . We consider two cases.

Case 1. —  $\sigma([0, d]) \cap M_c^c = \emptyset$ .

We can choose a subdivision  $0 = t_0 < t_1 < \dots < t_k = d$  of  $[0, d]$  such that  $2\mu \leq t_i - t_{i-1} \leq 3r_0/8$  for all  $i$ ,  $1 \leq i \leq k$ . Using Lemma 3.3 we have:

$$\rho(x_1, y_1) = \sum_1^k \rho(\sigma(t_{i-1}), \sigma(t_i)) > \sum_1^k \rho(\pi_c \sigma(t_{i-1}), \pi_c \sigma(t_i)) \geq \rho(x_0, y_0).$$

Hence  $\delta(c+s) > \delta(c)$ .

Case 2. —  $\sigma([0, d]) \cap M_c^c \neq \emptyset$ .

Then there exist  $s_1, s_2 \in (0, d)$ ,  $s_1 \leq s_2$ , such that  $\sigma([0, s_1])$  and  $\sigma([s_2, d])$  are contained in  $M^{c+s} - M^c$  and  $\sigma([s_1, s_2])$  is contained in  $M^c$ . We can choose two subdivision,  $0 = t_0 < t_1 < \dots < t_{k_1} = s_1$  and  $s_2 = u_0 < u_1 < \dots < u_{k_2} = d$  of  $[0, s_1]$  and  $[s_2, d]$  which satisfy the following conditions:

$$\begin{aligned} 2\mu \leq t_i - t_{i-1} \leq 3r_0/8 & \quad \text{for } i=1, \dots, k_1-1, s_1 - t_{k_1-1} < 2\mu, \\ 2\mu \leq u_i - u_{i-1} \leq 3r_0/8 & \quad \text{for } i=2, \dots, k_2, u_1 - s_2 < 2\mu. \end{aligned}$$

Since  $\rho^2(\sigma(s_1), \cdot)$  and  $\rho^2(\sigma(s_2), \cdot)$  are  $C^\infty$ -strongly convex on  $B_{r_0}(\sigma(s_1))$  and  $B_{r_0}(\sigma(s_2))$  respectively, we have  $\rho(\sigma(t_{k-1}), \sigma(s_1)) > \rho(\pi_c(\sigma(t_{k-1})), \sigma(s_1))$  and  $\rho(\sigma(s_2), \sigma(u_1)) > \rho(\sigma(s_2), \pi_c(\sigma(u_1)))$ . It follows from the same argument as in case 1 that  $\rho(x_1, \sigma(s_1)) > \rho(\pi_c(x_1), \sigma(s_1))$  and  $\rho(\sigma(s_2), y_1) > \rho(\sigma(s_2), \pi_c(y_1))$ . It follows that  $\rho(x_1, y_1) > \rho(\pi_c(x_1), \pi_c(y_1))$ . Therefore  $\delta(c+s) > \delta(c)$ .

Q.E.D.

#### 4. Proof of Theorem B

Let  $f$  be a strictly convex function on  $M$  with compact levels and with no minimum, and let  $m = \inf_M f$ . The proof of Theorem B is achieved by supposing that it is not true and then by deriving a contradiction. The contradiction, roughly speaking, comes as follows. By the fact that  $M$  is homeomorphic to  $N \times \mathbb{R}$  where  $N$  is any level set (see [4], Theorem C), the isometric image of a level set must always separate  $M$  into two unbounded components. But by the diameter increasing property this is not possible if a low level set is moved to a higher level, where a larger diameter would be required.

Suppose that  $M_c^c \cap \psi(M_c^c) = \emptyset$  for some  $c \in f(M)$  and some  $\psi \in I(M)$ . It follows that  $\psi(M_c^c) \cap M^c = \emptyset$  or  $\psi(M_c^c) \subset M^c$ . We consider two cases.

*Proof of Theorem B in the case  $\psi(M_c^c) \cap M^c = \emptyset$ .* — Let  $a = \min \{f(x); x \in \psi(M_c^c)\}$  and  $b = \max \{f(x); x \in \psi(M_c^c)\}$ . Notice that  $c < a$ . Let  $\varepsilon_0$  denote the constant obtained in Lemma 3.3 for these  $a$  and  $b$ . We choose subdivision  $a = t_0 < t_1 < \dots < t_k = b$  of  $[a, b]$  such that  $t_i - t_{i-1} \leq \varepsilon_0$  for all  $i$ ,  $1 \leq i \leq k$ . For each  $i$ ,  $1 \leq i \leq k-1$ , let  $\pi_{t_i} : M^{t_i+1} \rightarrow M^{t_i}$  be the metric projection and let  $H = \pi_{t_0} \circ \dots \circ \pi_{t_{k-1}} : M^b \rightarrow M^a$ .

ASSERTION. —  $d(H \circ \psi(M_c^c)) \leq \delta(c)$ , where  $d(H \circ \psi(M_c^c))$  is by definition the diameter of  $H \circ \psi(M_c^c)$ .

*Proof of Assertion.* — We suppose that  $d(H \circ \psi(M_c^c)) > \delta(c)$  and take two points  $x$  and  $y$  of  $H \circ \psi(M_c^c)$  such that  $\rho(x, y) = d(H \circ \psi(M_c^c))$ . Let  $x'$  and  $y'$  be such points of  $\psi(M_c^c)$  that  $H(x') = x$  and  $H(y') = y$ . We may assume that  $t_{i_0} \leq f(x') < t_{i_0+1}$  and  $t_{j_0} \leq f(y') < t_{j_0+1}$  for  $i_0 \geq j_0$ . Let  $x_i = \pi_{t_i} \circ \dots \circ \pi_{t_{i_0}}(x')$  for each  $i \leq i_0$  and let  $y_j = \pi_{t_j} \circ \dots \circ \pi_{t_{j_0}}(y')$  for each  $j \leq j_0$ . In the proof of Proposition 3.4 if we replace  $\mu = \min \{\delta(a)/8, r_0/8\}$  by  $\min \{\delta(c)/8, r_0/8\}$  then we have  $\rho(x, y) < \rho(x_1, y_1) < \dots < \rho(x_{j_0}, y_{j_0}) < \rho(x_{j_0+1}, y')$ . Let  $\eta : [0, d] \rightarrow M$  be a unit speed minimizing geodesic from  $x'$  to  $y'$ . For each  $i$ ,  $j_0 + 1 \leq i \leq i_0$ , let  $z_i$  be the point of intersection of  $\eta$  with  $M^{t_i}$ . In the same way as Proposition 3.4 we have  $\rho(x', z_{i_0}) \geq \rho(x_{i_0}, z_{i_0})$ . It follows that:

$$\rho(x', z_{i_0-1}) \geq \rho(x_{i_0}, z_{i_0}) + \rho(z_{i_0}, z_{i_0-1}) \geq \rho(x_{i_0}, z_{i_0-1}).$$

Iterating this, we have:

$$\rho(x', z_{i_0-2}) \geq \rho(x_{i_0-1}, z_{i_0-2}), \dots, \rho(x', z_{j_0+1}) \geq \rho(x_{j_0+2}, z_{j_0+1}) \geq \rho(x_{j_0+1}, z_{j_0+1}).$$

It follows that:

$$\rho(x', y') = \rho(x', z_{j_0+1}) + \rho(z_{j_0+1}, y') \geq \rho(x_{j_0+1}, z_{j_0+1}) + \rho(z_{j_0+1}, y') \geq \rho(x_{j_0+1}, y').$$

Therefore we have:

$$\delta(c) \geq \rho(x', y') \geq \rho(x, y) = d(H \circ \psi(M_c^c))$$

which contradicts the first assumption.

Q.E.D.

By Proposition 3.4 it is possible to take a point  $p_0$  which belongs to  $M_a^a - H \circ \psi(M_c^c)$ . Coosing :

$$p_1 \in \pi_{t_0}^{-1}(p_0) \cap M_{t_1}^{t_1}, \quad p_2 \in \pi_{t_1}^{-1}(p_1) \cap M_{t_2}^{t_2}, \quad \dots, \quad p_k \in \pi_{t_{k-1}}^{-1}(p_{k-1}) \cap M_b^b$$

and joining  $p_0$  to  $p_1$ ,  $p_1$  to  $p_2$ ,  $\dots$ ,  $p_{k-1}$  to  $p_k$  in this order by minimizing geodesics we obtain a broken geodesic  $\sigma$  from  $p_0$  to  $p_k$  which does not intersect  $\psi(M_c^c)$ . It is easy to construct a continuous extension  $\sigma_1: \mathbb{R} \rightarrow M$  of  $\sigma$  such that  $\sigma_1(\mathbb{R}) \cap \psi(M_c^c) = \emptyset$  and  $f \circ \sigma_1(\mathbb{R}) = (m, \infty)$ . Since  $M$  is topologically a product of a level set and  $\mathbb{R}$ , it turns out that  $f \circ \psi^{-1} \circ \sigma_1(\mathbb{R}) = (m, \infty)$ . This contradicts the fact that  $\sigma_1(\mathbb{R}) \cap \psi(M_c^c) = \emptyset$ .

The rest of the proof of Theorem B is a direct consequence of the following:

**COROLLARY C.** — *Under the same hypothesis as in Theorem B, every isometry of  $M$  fixes each of the two ends of  $M$ .*

*Proof.* — If some  $\psi \in I(M)$  permutes the ends, then there is a compact set  $K$  of  $M$  such that  $\psi$  maps one component  $U_1$  of  $M - K$  into the other component  $U_2$  and maps  $U_2$  into  $U_1$ . It turns out that  $\psi$  maps a low level set to a much higher level. This is impossible.

*Proof of Theorem B in the case  $\psi(M_c^c(f)) \subset M^c(f)$ .* — We note that since  $f \circ \psi^{-1}$  is strictly convex, it follows from Theorem A in [4] that every level set of  $f \circ \psi^{-1}$  is connected. Let  $A$  be the closure of the component of  $M - \psi(M_c^c(f))$  which does not contain  $M_c^c(f)$ , then we get that  $M^c(f \circ \psi^{-1}) = A$  or  $M^c(f \circ \psi^{-1}) = \overline{M - A}$ . If  $M^c(f \circ \psi^{-1}) = \overline{M - A}$ , it contradicts Corollary C. Hence  $M^c(f \circ \psi^{-1}) = A$ . We set  $\alpha = \max \{ f(x); x \in \psi(M_c^c(f)) \}$  and  $d = \max \{ f \circ \psi^{-1}(x); x \in M_\alpha^z(f) \}$ . Notice that  $\delta(\alpha) < \delta(c)$  and  $M_\alpha^z(f) \subset M_c^d(f \circ \psi^{-1})$ . Now we can use the same argument as in the case  $\psi(M_c^c(f)) \cap M^c(f) = \emptyset$  with  $f \circ \psi^{-1}$  in place of  $f$  and define a projection from  $M^d(f \circ \psi^{-1})$  onto  $M^c(f \circ \psi^{-1})$  as before. Then projecting  $M_\alpha^z(f)$  to  $M^c(f \circ \psi^{-1})$  derives a contradiction. This completes the proof of Theorem B.

Q.E.D.

In general, in the situation of Theorem B a level set is not invariant under the isometries. It is not difficult to exhibit the examples.



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