

The LBA Problem and its Importance  
in the Theory of Computing

J. Hartmanis<sup>†</sup>

and

H.B. Hunt, III<sup>††</sup>

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Department of Computer Science  
Cornell University  
Ithaca, New York 14850

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Abstract:

In this paper we study the classic problem of determining whether the deterministic and non-deterministic context-sensitive language are the same or, equivalently, whether the languages accepted by deterministic and non-deterministic linearly bounded automata are the same. We show that this problem is equivalent to several other natural problems in the theory of computing and that the techniques used on the LBA problem have several other applications in complexity theory. For example, we show that there exists a hardest tape recognizable non-deterministic context-sensitive language  $L_1$ , such that  $L_1$  is a deterministic context-sensitive language if and only if the deterministic and non-deterministic context-sensitive languages are the same. We show furthermore, that many decision problems about sets described by regular expressions are instances of these tape-hardest recognizable context-sensitive languages. Thus, it follows that non-determinism in Turing machine computations (using at least linear tape) can not save memory over deterministic Turing machine computations if and only if the equivalence of regular expressions can be decided by a deterministic linearly bounded automaton. It also follows that the equivalence

of regular expressions can be decided by a non-deterministic linearly bounded automaton if and only if the family of context-sensitive languages is closed under complementation.

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1. Introduction

In this section we sketch the history of the LBA problem and outline the results in this paper.

Linearly bounded automata were first defined and investigated by John Myhill in 1960 [13]. As Myhill points out, the definition of a linear bounded automaton was motivated by an observation made by Rabin and Scott about two-way finite automata with erasing. This remark appeared in a technical report on which the well-known Rabin and Scott paper "Finite Automata and their Decision Problems" was based. The observation was that two-way finite automata, which can erase input symbols, can accept non-regular sets and that the equivalence problem for these automata is recursively undecidable. These observations never appeared in the published paper, but the short paragraph in the original technical report sufficed to convince Myhill that this model with erasing only was artificial and that the automaton should be permitted to erase and print on the tape squares occupied by the initial input word. Thus these automata are just one-tape Turing machines which can use for computation as much tape as is needed to write down the input word. Since this definition bounds the available tape linearly to the length of the input word Myhill called them linearly bounded automata.

The importance of linearly bounded automata was further emphasized when their connection with language theory was discovered. In the late fifties and early sixties Chomsky initiated an intensive study of formal languages and defined four classes of grammars with the corresponding languages: the regular, context-free, context-sensitive and recursively enumerable languages. After it was realized in 1962 that the context-free languages were exactly the languages accepted by non-deterministic push-down automata, the regular, context-free and recursively-enumerable languages could all be defined by their grammars or equivalently by the automata which accepted them. The context-sensitive languages remained the only exception.

In 1963 Landweber [10] showed that every set or language accepted by a deterministic linearly bounded automaton was a context-sensitive language. In 1964 Kuroda [9] introduced the non-deterministic linearly bounded automaton and showed that the family of languages accepted by the non-deterministic linearly bounded automata is exactly the same as the family of languages generated by the context-sensitive grammars.

These results revealed another natural connection between families of formal languages and families of automata; but it also raised the now classic, LBA problem (or the First LBA problem):

Are the languages accepted by deterministic and non-deterministic linearly bounded automata the same? Or equivalently, are the deterministic and non-deterministic context-sensitive languages the same? Abbreviated, DSCL=NDCSL?

If  $DCSL = NDCSL$  then the family of context-sensitive languages is closed under complementation. On the other hand, it still could happen that  $DCSL \neq NDCSL$  but the family of context-sensitive languages is closed under complementation. Thus we are lead to the Second LBA problem:

Are the context-sensitive languages closed under complementation?

Both of these problems are basically problems about the minimal amount of memory needed to perform a computation. In general, such problems are quite difficult and so far in computational complexity theory we have had little success in determining lower complexity bounds for specific computations. The above mentioned LBA problems appear to be no exception. At the same time, our inability to answer them indicates that we have not yet understood the nature of non-deterministic computations.

Considerable progress on the first LBA problem was made in 1969 by W. Savitch in his doctoral dissertation [14]. Savitch showed that every non-deterministic Turing machine using  $L(n)$  tape,  $L(n) \geq \log n$ , can be simulated by a deterministic Turing machine using no more than  $[L(n)]^2$  tape. Thus the non-deterministic context-sensitive languages can all be recognized by  $n^2$ -tape bounded deterministic Turing machines. The result was surprising since all previous simulation methods required an exponential amount of tape. Furthermore, Savitch showed that there exists one non-deterministic  $L(n) = \log n$  - tape recognizable language  $L_0$  such that if  $L_0$

is recognizable deterministically in  $\log n$  - tape, then for all tape bounds  $L(n)$ ,  $L(n) \geq \log n$ , the non-deterministic and deterministic recognizable languages are the same. Thus if non-determinism can be eliminated for the  $\log n$  - tape recognizable language  $L_0$  then  $DCSL = NDCSL$  and we see that we have a sufficient condition for the LBA problem. Unfortunately, this was shown only to be a sufficient condition for  $DCSL = NDCSL$ .

In this paper we show that we can find necessary and sufficient conditions for  $DCSL = NDCSL$  in terms of one non-deterministic context-sensitive language by constructing a hardest deterministic tape recognizable context-sensitive language  $L_1$ . Thus we get that  $DCSL = NDCSL$  if and only if  $L_1$  is a deterministic context-sensitive language.

Similarly, the family of context-sensitive languages is closed under complementation if and only if the complement of  $L_1$ ,  $\bar{L}_1$ , is a non-deterministic context-sensitive language.

Actually the results are stronger in that  $DCSL = NDCSL$  implies that the deterministic and non-deterministic tape bounded computations are the same for all tape bounds  $L(n) \geq n$ . Furthermore, there exists a recursive translation which maps every non-deterministic Turing machine onto a deterministic one using no more tape than the non-deterministic one (provided it used at least linear tape).

Similarly, if the family of context-sensitive languages is closed under complementation then there exists a recursive

translation which maps every lba onto another lba accepting exactly those sequences not accepted by the first.

Next we show that the LBA problems can be reduced to equivalent problems about very simple non-writing automata or flowchart computations. Consider finite automata with  $k$  read-only heads which can move in both directions on the input and sense when two heads are scanning the same tape square. Then, utilizing our previous results and an observation by Savitch, we show that there exists a language  $L_2$  over a one-symbol alphabet,  $L_2 \subseteq a^*$ , which is recognizable by a 7-head non-deterministic finite automaton and has the property that,  $L_2$  is recognizable by a  $k$ -head deterministic finite automaton if and only if  $DCSL = NDCSL$ .

Again,  $\bar{L}_2$  is recognizable by a  $k$ -head non-deterministic finite automaton if and only if the family of CSL's is closed under complementation which happens, iff the family of languages over a one-symbol alphabet recognizable by multi-head automata is closed under complementation.

Thus we will show that if non-determinism can be eliminated in one specific 7-head finite automaton by using more heads then it can be eliminated in all Turing machine computations using no less than linear tape. A similar result holds for flowcharts where we must eliminate non-determinism by using more variables.

To relate the LBA problems to a different problem area we show that the complexity of the LBA problems is equivalent to many decision problems about sets described by regular expressions. In this case the proofs exploit an observation



due to Meyer and Stockmeyer [12] about the descriptive power of regular expressions. It turns out that for any non-deterministic lba  $M_i$  there exists a deterministic lba which for any input  $y$  to  $M_i$  can write down a regular expression  $R(y)$  describing the set of all invalid computations of  $M_i$  on input  $y$ . Therefore the input  $y$  is accepted by  $M_i$  if and only if there is a valid computation by  $M_i$  on  $y$ , which happens if and only if  $L[R(y)] \neq \Sigma^*$ , where  $L(R)$  denotes the language described by  $R$ . Thus we see that if a deterministic lba can check whether a regular expression describes a set not equal to  $\Sigma^*$ , every non-deterministic lba  $M_i$  can be replaced by a deterministic lba, using the above procedure. Furthermore, since the set of all regular expressions  $R$  not describing  $\Sigma^*$  is, easily seen to be, a non-deterministic csl, we get the following result:

DCSL = NDCSL if and only if

$$L_3 = \{R \mid R \text{ regular expression, } L(R) \neq \Sigma^*\}$$

is a deterministic context-sensitive language.

Similarly one proves that the family of context-sensitive languages is closed under complementation if and only if  $\bar{L}_3$  is a csl.

A generalization of this result leads to a metatheorem about properties of regular expressions which link the LBA problems to the tape complexity of many other decision problems about regular sets.

Let  $P$  be any property on the regular sets over  $\Sigma = \{0,1\}$  such that

- 1)  $P(\Sigma^*) = \text{True}$ , and
- 2) The set of languages

$$\bigcup_{x \in \Sigma^*} \{x \setminus L \mid P(L) = \text{True}\}$$

is properly contained in the family of regular sets over  $\Sigma$  where  $x \setminus L = \{w \mid xw \in L\}$

Let

$L = \{R \mid R \text{ is a regular expression over } \{0,1\} \text{ and } P[L(R)] = \text{False}\}$   
be a non-deterministic csl. Then  $L$  is a deterministic csl if and only if  $\text{DCSL} = \text{NDCSL}$ .

Similarly,

$\tilde{L} = \{R \mid R \text{ is a regular expression over } \{0,1\} \text{ and } P[L(R)] = \text{True}\}$   
is a non-deterministic csl if and only if the family of non-deterministic csl's are closed under complementation.

To illustrate the power of this result we list five other decision problems about regular sets such that any one of them can be recognized by a det. lba if and only if  $\text{NCSL} = \text{DCSL}$ , and furthermore if the complement of any one of these languages is a csl then the context-sensitive languages are closed under complementation. In all examples  $R$  and  $S$  are restricted regular expressions over  $\{0,1\}$ :

$$\{(R,S) \mid L(R) \neq L(S)\}$$

$$\{R \mid L(R) \neq \Sigma^*\}$$

$$\{R \mid L(R) \text{ is coinfinite}\}$$

$$\{R \mid L(R) \neq \text{REVERSAL } L(R)\}$$

$$\{R \mid L(R) \neq L(R^*)\}.$$

## 2. Hardest Tape and Time Recognizable CSL.

In this section we give the first of two proofs that there exists a hardest tape and time recognizable context-sensitive language and show, furthermore, that the LBA problem is equivalent to the problem of eliminating non-determinism in non-writing automata or flowchart computations.

For the sake of completeness we recall that a linearly bounded automaton is a one-tape Turing machine whose input is placed between end markers and the TM cannot go past these end markers. Thus all the computations of the lba are performed on as many tape squares as are needed to write down the input and since the lba can have arbitrary large (but fixed) tape alphabet, we see that the amount of tape for any given lba (measured as length of equivalent binary tape) is linearly bounded by the length of the input word. If the TM defining the lba operates deterministically we refer to the automaton as a deterministic lba, otherwise as a non-deterministic lba or simply an lba.

Since the connection between linearly bounded automata and context-sensitive languages is well-known we will also refer to the languages accepted by non-deterministic and deterministic lba's as non-deterministic and deterministic context-sensitive languages, respectively.

The essence of the first proof is to write down a universal context-sensitive language so that no other CSL can be more difficult to recognize. The surprising thing is that this can be done very easily. Below we give a

"universal" csl.

$$L_1 = \{ \#M_i \# \text{CODE}(x_1x_2\dots x_n) \# \mid x_1x_2\dots x_n \text{ is accepted by lba } M_i \}$$

Thus the sequences in  $L_1$  consist of a simple encoding of an lba,  $M_i$ , followed by an encoded form of an input accepted by  $M_i$ . The input encoding  $\text{CODE}(x_1x_2\dots x_n)$  is any straightforward, symbol by symbol encoding of sequences over alphabets of arbitrary cardinality (the input and tape alphabet of  $M_i$ ) into a fixed alphabet, say  $\{0,1\}$ ; with the provision that  $|\text{CODE}(x_j)| \geq$  the cardinality of the tape alphabet of  $M_i$ . Clearly, by inspecting the description of  $M_i$  it can be determined what encoding is used.

It is easily seen that  $L_1$  is a csl since it can be accepted by a non-deterministic lba,  $M$ , which simulates  $M_i$  on input  $x_1 \dots x_n$ . Since  $M_i$  uses no more tape than required to write down the input, the encoded input  $\text{CODE}(x_1 \dots x_n)$  gives enough tape for  $M$  to simulate  $M_i$ . Thus  $L_1$  is a context-sensitive language and we get the next result in terms of  $L_1$ .

Theorem 1:

1.  $L_1 \in \text{NDCSL}$ .
2.  $L_1 \in \text{DCSL}$  iff  $\text{NDCSL} = \text{DCSL}$ .
3.  $\bar{L}_1 \in \text{NDCSL}$  iff the family of context-sensitive languages is closed under complementation.

Proof: From the construction of  $L_1$  we know that  $L_1$  is a csl. This follows, as mentioned above, since the codes for the input symbols  $x_j$  of  $M_i$  are long enough to encode all tape symbols of  $M_i$ . Thus  $\text{NDCSL} = \text{DCSL}$  implies that  $L_1$  is recognized by a deterministic lba.

On the other hand, if  $L_1$  is recognizable by a deterministic lba,  $M_D$ , then  $DCSL = NDCSL$  since for every ndlba  $M_i$  we can recursively construct an equivalent deterministic lba  $M_{D(i)}$ . The d lba  $M_{D(i)}$  operates as follows: for input  $x_1 \dots x_n$   $M_{D(i)}$  writes  $\#M_i\#CODE(x_1x_2\dots x_n)\#$  on its tape and starts the d lba  $M_D$  on this input and accepts the input iff  $M_D$  accepts its input. Because of the definition of  $M_D$  the input  $\#M_i\#CODE(x_1x_2\dots x_n)\#$  is accepted by  $M_D$  if and only if the input  $x_1\dots x_n$  is accepted by  $M_i$  and therefore  $M_{D(i)}$  and  $M_i$  accept the same set. Furthermore, since the length of  $\#M_i\#CODE(x_1x_2\dots x_n)\#$  is linearly bounded by the length of  $x_1x_2\dots x_n$  (for any fixed  $i$ ) we see that  $M_{D(i)}$  is a deterministic lba. Thus  $NDCSL = DCSL$ , as was to be shown.

The third part of this theorem follows by a similar argument.

It is interesting to note that if  $L_1$  can be recognized on a deterministic lba then all non-deterministic tape computations using  $L_1(n) \geq n$  tape can be replaced by equivalent deterministic computations using no more tape. Furthermore, there is a recursive translation which maps the non-deterministic Turing machines onto the equivalent deterministic Turing machines.

Corollary 2:  $DCSL = NDCSL$  if and only if there exists a recursive translation  $\sigma$  such that for every non-deterministic TM  $M_i$ , which uses  $L_i(n) \geq n$  tape,  $M_{\sigma(i)}$  is an equivalent deterministic TM using no more than  $L_i(n)$  tape.

Proof: The "if" part of the theorem is obvious.

To show the "only if" part, let  $M_i$  be any non-deterministic TM accepting the set  $A_i \subseteq \Sigma^*$  and using  $L_i(n) \geq n$  tape.

We first define two auxillary languages used in the proof.

Let

$$A_1' = \{\#w\#^t \mid M_1 \text{ on input } w \text{ uses more than } (t + |w| - 1) \text{ tape squares}\}.$$

Clearly,  $A_1'$  is a non-deterministic csl, since we can run  $M_1$  non-deterministically on input  $w$  and see whether for some choice of moves more than  $t + |w| - 1$  tape is required. But if  $\text{DCSL} = \text{NDCSL}$  then  $A_1'$  is accepted by a deterministic lba  $M_1'$ .

Next, we define

$$A_1'' = \{\#w\#^t \mid M_1 \text{ accepts } w \text{ using no more than } (|w| + t) \text{ tape squares}\}$$

Again,  $A_1''$  is accepted by a non-deterministic lba and therefore, by our assumption,  $A_1''$  is accepted by a deterministic lba  $M_1''$ .

We now show that from  $M_1'$  and  $M_1''$ , which can be obtained recursively from  $M_1$  by Theorem 1, we can recursively obtain  $M_{\sigma(i)}$  which accepts  $A_i$  using no more than  $L_i(n)$  deterministic tape.

$M_{\sigma(i)}$  operates as follows:

1. for input  $w = x_1 \dots x_n$   $M_{\sigma(i)}$  finds the largest  $t$  (if it exists) such that

$$\#w\#^t \text{ is in } A_1'$$

by successively checking

$$\#w\#, \#w\#^2, \#w\#^3, \dots$$

with the deterministic lba  $M_1'$ .

2. On  $\#w\#^t$   $M_{\sigma(i)}$  simulates the deterministic lba  $M_i''$  and accepts the input  $w$  iff  $M_i''$  accepts  $\#w\#^t$ .

Clearly,  $M_{\sigma(i)}$  accepts  $A_i$  on deterministic tape  $L_i(n)$ , as was to be shown.

From the above results we see that if  $DCSL = NDCSL$  then all other deterministic and non-deterministic tape-bounded computations using more than a linear amount of tape are the same. On the other hand, we have not been able to force the equality downward. For example, we have not been able to show that if all deterministic and non-deterministic tape-bounded computations using  $L_i(n) \geq 2^n$  tape are the same, that then  $DCSL = NDCSL$ .

Similarly, it could happen that  $DCSL = NDCSL$  but that the  $\log n$  - bounded deterministic languages are properly contained in the non-deterministic  $\log n$  - bounded computations.

Our next result shows that the previous theorem can be generalized to hold for a wide class of tape-bounded languages. Similar results have also been obtained by R. V. Book [1] using AFL Theoretic techniques.

We say that  $f:N \rightarrow N$  is a semihomogeneous function if for all  $c > 0$  there exists a  $k_c$  such

$$f(cn) \leq k_c f(n).$$

Thus  $f(n) = n^5$  is a semihomogeneous function but  $f(n) = 2^n$

is not. We say that  $f(n)$  is non-deterministic tape constructible iff there exists a non-deterministic TM which for input  $a^n$  computes  $f(n)$  using no more than  $f(n)$  tape squares.

Let

$$L_f = \left\{ \begin{array}{c} \# \text{CODE}(x_1 x_2 \dots x_n) \# \\ \# M_i \# \dots \# \end{array} \middle| |M_i| \leq |\text{CODE}(x_1 x_2 \dots x_n)|, \right.$$

$x_1 x_2 \dots x_n$  is accepted by  $M_i$  using no more than  $f(n)$  tape, and  $|\text{CODE}(x_j)| \geq$  cardinality of tape alphabet of  $M_i$  }.

We assume that all codes of input and tape alphabet symbols of  $M_i$  have the same length.

Theorem 3: Let  $f$  be a non-deterministic tape constructible, semihomogeneous function such that for all  $k$ ,  $k \geq 1$ ,  $f(kn) \geq kf(n) > 0$ . Then  $L_f$  is non-deterministic  $f(n)$  - tape recognizable. Furthermore,  $L_f$  is deterministic  $f(n)$  - tape recognizable iff the deterministic and non-deterministic  $f(n)$  - tape recognizable languages are the same.



Proof: Let  $L_f$  be defined as above and note that  $f(kn) \geq kf(n)$  implies for all  $n$   $f(n) \geq c \cdot n$ , for a fixed constant  $c > 0$ .

Then the following algorithm describes a  $f$ -tape bounded non-deterministic TM which recognizes  $L_f$ .

1. Check  $|M_i| \leq |\text{CODE}(x_1x_2\dots x_n)|$ .
2. Verify that the format is correct and that the proper coding is used.
3. On a work track of the tape mark off  $|\Sigma|f(n)$  squares for scratch space,  $\Sigma$  is the tape alphabet of  $M_i$ .
4. Simulate  $M_i$  on  $x = x_1x_2\dots x_n$  using the scratch space from 3. Accept the input iff  $M_i$  accepts  $x$ .

Note: we have enough tape since the simulation needs to encode no more than  $f(n)$  tape symbols of  $M_i$ .

The space required to execute (1) and (2) is linear in  $n$ .

To execute steps (3) and (4) we need  $|\Sigma| f(n)$  tape squares.

But  $|\Sigma| f(n) \leq f(|\Sigma|n) \leq k \cdot f(n)$ , thus  $L_f$  is non-deterministic  $f(n)$  - tape acceptable.

On the other hand, if  $L_f$  is deterministic  $f(n)$  - tape acceptable, then there exists a deterministic  $f(n)$  - tape bounded TM  $M'$  such that  $L(M') = L_f$ . We use  $M'$  to find for every non-deterministic  $f(n)$  - tape bounded TM an equivalent deterministic  $f(n)$  - tape bounded machine. For any TM  $M_i$  construct  $M_{\sigma(i)}$  as follows:

1. Short inputs are accepted by table look-up.

For input  $x_1x_2\dots x_n$ , such that

$$|\text{CODE}(x_1x_2\dots x_n)| \geq |M_i|$$

$M_{\sigma(i)}$  writes out

$$\begin{aligned} & \# \text{CODE}(x_1x_2\dots x_n) \# \\ & \# M_i \# \dots \# . \end{aligned}$$

2.  $M_{\sigma(i)}$  applies  $M'$  to the new input from (1).

The tape required by  $M_{\sigma(i)}$  is less than

$$k_1n + f(k_1n)$$

which is less than

$$k_1n + k_2 f(n) ,$$

since  $f$  is semihomogeneous. But then the required tape can be bounded by  $c f(n)$  and we see that  $M_{\sigma(i)}$  is a deterministic  $f(n)$  - tape bounded TM, as was to be shown.

Note that in Theorem 3 we could replace the condition

$$f(kn) \geq kf(n)$$

by the weakened condition

$$f(kn) \geq (\log k) f(n) ,$$

and still carry through the proof. Thus we know, for example, that there exists hardest tape recognizable languages for functions such as:  $n^{1/2}$ ,  $n^{1/3}$ ,  $n^{2/3}$ , etc. Combining this observation with our previous result we get:

Corollary 4: For any positive rational number  $r$  the language  $L_{n^r}$  is  $f(n) = n^r$  - non-deterministic tape recognizable. Furthermore  $L_{n^r}$  is deterministic  $n^r$ -tape recognizable iff all  $n^r$  non-deterministic tape bounded computations can be so recognized.

So far all considerations have involved tape as our computational complexity measure. It turns out that the hardest tape recognizable language  $L_1$  is also a hardest time recognizable context-sensitive language. We cast our result in terms of polynomial time computable languages.

Theorem 5: All context-sensitive languages can be recognized in deterministic polynomial time (non-deterministic polynomial time) if and only if the csl  $L_1$  can be recognized in deterministic polynomial time (non-deterministic polynomial time).

Proof: Recall that

$$L_1 = \{ \#M_i \# \text{CODE}(x_1 x_2 \dots x_n) \# \mid x_1 x_2 \dots x_n \text{ is accepted by lba } M_i \}$$

Clearly, if csl's are accepted in polynomial time then so is the csl  $L_1$ .

If  $L_1$  is accepted in polynomial time by a multi-tape Turing machine  $M$  then for any lba  $M_i$  we can recursively obtain a TM  $M_{p(i)}$  accepting the same language in polynomial time.  $M_{p(i)}$  operates as follows: for input  $x_1 x_2 \dots x_n$   $M_{p(i)}$  writes down

$$\#M_i \# \text{CODE}(x_1 x_2 \dots x_n) \#$$

and then simulates  $M$  on this input. Clearly, if  $M$  operates in polynomial time then so does  $M_{p(i)}$ , as was to be shown.,

It is worth mentioning that Greibach [4] has recently exhibited a context-free language which plays the same role among context-free languages as  $L_1$  does for context-sensitive languages. Namely, this context-free language is the hardest time and tape recognizable cfl and there also exist two recursive translations mapping context-free grammars onto Turing machines recognizing the language generated by the grammar in the minimal time and on the minimal amount of tape, respectively. Though at this time we do not know what is the minimal time or tape required for the recognition of context-free languages.

Before proceeding with the study of context-sensitive languages we will state two conjectures about tape requirements for the recognition of context-free languages.

Conjecture 1: There exists a context-free language which cannot be recognized non-deterministically on  $\log n$  - tape. Though we know that all context-free languages are deterministically recognizable on  $[\log n]^2$  tape [11].

Conjecture 2: If  $L$  is a non-regular context-free language which can be recognized deterministically on  $\log \log n$  - tape, then  $\bar{L}$  is not a context-free language. We know that there exist  $\log \log n$  - tape recognizable context-free languages [11], but in all such cases the complement is not a context-free language and its recognition does not require counting (i.e.  $\log n$  - tape). On the other hand, intuitively it seems that if  $L$  and  $\bar{L}$  are non-regular context-free languages then the recognition process must involve counting and therefore must require at least  $\log n$  - tape.

Finally we note that the methods used to construct the "universal" csl  $L_1$  can be used to construct other "universal" languages. We illustrate this by constructing the language  $\tilde{L}_1$ , which plays the same role for non-deterministic polynomial time-bounded computations as  $L_1$  does for the context-sensitive languages.

Let DPTIME and NDPTIME designate the families of languages accepted by deterministic and non-deterministic polynomial time-bounded Turing machines, respectively.

We will say that a language  $L$  is p-complete iff  $L$  is in NDPTIME and for all  $L_i$  in NDPTIME there exists a deterministic polynomial time-bounded function  $f_i$  such that

$$x \text{ is in } L_i \text{ iff } f_i(x) \text{ is in } L.$$

Let

$\tilde{L}_1 = \{ \#M_i \# \text{CODE}(x_1 x_2 \dots x_n) \# \begin{matrix} 3|M_i|t \\ | \end{matrix} \mid x_1 x_2 \dots x_n \text{ is accepted by the one-tape, non-deterministic TM } M_i \text{ in time } t \}$

Theorem 6: The language  $\tilde{L}_1$  is accepted in non-deterministic linear time by a four tape TM. Furthermore,

$$\tilde{L}_1 \text{ is in DPTIME iff NDPTIME} = \text{DPTIME}.$$

Proof: It is easily seen that a four-tape TM  $M'$  can accept  $\tilde{L}_1$  in linear time. We indicate how  $M'$  uses its tapes: on the first sweep of the input  $M'$  checks the format of the input, copies  $M_i$  from the input on the first working tape and  $\begin{matrix} 3|M_i|t \\ | \end{matrix}$  on the second working tape. The third working tape is used to record the present state of  $M_i$  (in a tally notation) during the step-by-step simulation of  $M_i$ . It is seen that with the available information

on its working tapes  $M'$  can simulate  $M_i$  on the input in time  $2|M_i|t$  (for an appropriate, agreed upon representation of  $M_i$ ). Thus  $M'$  operates in non-deterministic linear time and accepts  $\tilde{L}_1$ . Therefore,  $\tilde{L}_1$  is in NDPTIME and the assumption

$$\text{NDPTIME} = \text{DPTIME}$$

implies that  $\tilde{L}_1$  is in DPTIME.

To prove that  $\tilde{L}_1$  in DPTIME implies that  $\text{DPTIME} = \text{NDPTIME}$ , assume that  $\tilde{L}_1$  is accepted by a deterministic TM  $M''$  operating in deterministic time  $n^p$ . Then for any non-deterministic TM  $M_i$  working in time  $n^q$  we can recursively construct a TM  $M_{\sigma(i)}$  operating in polynomial time as follows:

1. for input  $x_1x_2\ldots x_n$   $M_{\sigma(i)}$  writes down

$$\#M_i\#\text{CODE}(x_1x_2\ldots x_n)\# \cdot 3|M_i|n^q$$

2.  $M_{\sigma(i)}$  starts the deterministic machine  $M'$  on the sequence in (1) and accepts the input  $x_1x_2\ldots x_n$  iff  $M''$  accepts its input.

Clearly,  $M_i$  and  $M_{\sigma(i)}$  are equivalent, furthermore  $M_{\sigma(i)}$  operates in time less than

$$2[3|M_i|n^q + |\#M_i\#\text{CODE}(x_1x_2\ldots x_n)|]^p \leq Cn^{pq}$$

Thus  $M_{\sigma(i)}$  operates in polynomial time, as was to be shown.

The previous proof shows that if  $\tilde{L}_1$  is in DPTIME, then we can recursively obtain for every  $M_i$  running in time  $n^q$  an equivalent deterministic TM running in time  $O[n^{pq}]$ . Unfortunately, for a given TM we cannot recursively determine the running time and thus we do not know whether  $M_i$  runs in polynomial time

or not. Even if we know that  $M_i$  runs in polynomial time we can still not recursively determine the degree of the polynomial.

Our next result shows that, nevertheless, we can get a general translation result. For a related result see [3].

Theorem 7:  $DPTIME = NDPTIME$  iff there exists a recursive translation  $\sigma$  and a positive integer  $k$ , such that for every non-deterministic TM  $M_i$ , which uses time  $T_i(n) \geq n$ ,  $M_{\sigma(i)}$  is an equivalent deterministic TM working in time  $O[T_i(n)^k]$ .

Proof: The "if" part of the proof is obvious. To prove the "only if" part assume that  $DPTIME = NDPTIME$ . We will outline a proof that we can recursively construct for any  $M_i$ , running time  $T_i(n) \geq n$ , an equivalent deterministic TM  $M_{\sigma(i)}$  operating in time  $O[T_i(n)^k]$ , for a fixed  $k$ .

In our construction we use two auxiliary languages:

$$B_i' = \{ \#w\#^t \mid M_i \text{ accepts } w \text{ in less than } t \text{ time} \}$$

$$B_i'' = \{ \#w\#^t \mid M_i \text{ on input } w \text{ takes more than } t \text{ time} \}.$$

Clearly, both languages can be accepted in non-deterministic linear time. Therefore, by our previous result, we can recursively construct two deterministic machines  $M_i'$  and  $M_i''$  which accept  $B_i'$  and  $B_i''$ , respectively, and operate in time  $O[n^P]$ . From  $M_i'$  and  $M_i''$  we can recursively construct the deterministic TM  $M_{\sigma(i)}$ , which operates as follows:

1. For input  $w$   $M_{\sigma(i)}$  finds the smallest  $t_0$  such that  $\#w\#^{t_0}$  is not in  $B_i''$ . This is done by checking with  $M_i''$  successively  $\#w\#$ ,  $\#w\#^2$ ,  $\#w\#^3$ , ... .

2.  $M_{\sigma(i)}$  starts  $M_i$  on input  $\#w\#^{t_0}$  and accepts  $w$  iff  $M_i$  accepts  $\#w\#^{t_0}$ .

Clearly,  $M_{\sigma(i)}$  is equivalent to  $M_i$  and  $M_{\sigma(i)}$  operates in time

$$O\left[\sum_1^{T_i(n)} n^p\right] = O\left[T_i(n)^{p+1}\right].$$

By setting  $k = p+1$ , we have completed the proof.

We conclude by observing that  $\tilde{L}_1$  is a  $p$ -complete problem, as defined above.



### 3. Non-writing Devices and Flowcharts

Next we will show that the LBA problem is equivalent to problems about eliminating non-determinism in some very simple non-writing automata. Then we will use this result to show that the LBA problem is also equivalent to eliminating non-determinism from a single 10-variable elementary flowchart by using more variables.

A k-head finite automaton (or a multi-head finite automaton) is a one-tape Turing machine with  $k$  read-only heads,  $k = 1, 2, 3, \dots$ . The input string is written on the tape with special end markers at both ends of the input, and the finite automaton is so designed that the read-heads cannot leave the input. The automaton is an accepting device and an input is accepted if, after starting the automaton in its starting state with all heads on the left end marker, the automaton enters an accepting state and halts. We assume that the automaton is capable of sensing when two heads are on the same tape square. We distinguish between deterministic and non-deterministic multi-head automata.

We first establish a relationship between linearly bounded languages and  $\log n$  - tape bounded languages over one-letter alphabets, due to Savitch [15].

For any language  $A$  over an alphabet  $\Sigma = \{a_1, a_2, \dots, a_k\}$ ,  $A \subseteq \Sigma^*$ , let

$$\text{TALLY}(A) = \{1^{n(w)} \mid w \text{ in } A\},$$

where  $n$  maps each word  $w$  in  $\Sigma^*$  onto the number  $n(w)$  which  $w$

denotes in k-adic notation, that is

$$n(a_{i_0} a_{i_1} \dots a_{i_t}) = \sum_{j=0}^t a_{i_j} k^j .$$

(where we interpret  $a_i$  as  $i$ ).

Clearly, this mapping establishes a one-one correspondence between strings over  $\Sigma$  and non-negative integers; zero is denoted by the null string.

Lemma 8: The language  $A$ ,  $A \subseteq \Sigma^*$  with  $|\Sigma| = k$ , is accepted by a deterministic (non-deterministic) linearly bounded automaton if and only if  $\text{TALLY}(A)$  is accepted by a deterministic (non-deterministic)  $\log n$  - tape bounded Turing machine.

Proof: Since going from  $A$  to  $\text{TALLY}(A)$  the length of every string is increased exponentially, for input  $1^{n_i}$  the  $\log n_i$ -tape bounded Turing machine has as much tape available as the lba has for input  $n_i$ . Thus a  $\log n$  - tape bounded Turing machine can accept  $\text{TALLY}(A)$  if an lba can accept  $A$ . Conversely, if  $A = \{1^{n_i}\}$  is accepted by a  $\log n$  - tape bounded TM, then  $\{n_i\}$ , where  $n_i$  is written in k-adic notation with  $k \geq 2$ , can be accepted by an lba which simulates the  $\log n$  - tape bounded TM. Since this lba, has enough tape to carry out the simulation we have the desired result.

Thus we immediately obtain the following result.

Corollary 9: The deterministic and non-deterministic context-sensitive languages are the same if and only if the deterministic and non-deterministic  $\log n$  - bounded languages over one-

letter alphabets are the same.

At the same time it is known that:

Lemma 10: The language  $A$ ,  $A \subseteq \Sigma^*$ , is accepted by a deterministic (non-deterministic) multi-head finite automaton if and only if  $A$  is accepted by a deterministic (non-deterministic)  $\log n$  - tape bounded Turing machine.

Proof: (For a more complete proof see [ 5 ]). The basic idea of the proof is that a  $\log n$  - tape bounded TM can count up to  $n$  ( $k$ -times) and thus can encode the  $k$ -head positions of a  $k$ -head automaton, say in binary form, on the  $\log n$  - tape and use this encoding for a stepwise simulation of the  $k$ -head finite automaton. Thus every set accepted by a  $k$ -head automaton is also accepted by a  $\log n$  - tape bounded TM.

Conversely, every  $\log n$  - tape bounded Turing Machine can be simulated by a  $k$ -head finite automaton which encodes the tape content of the  $\log n$  - tape bounded Turing machine by its head positions on the input tape. Since a  $\log n$  - tape we can record no more than  $n^p$  different patterns (for some  $p$ ), we see that on input of length  $n$ ,  $p$  heads can encode all these patterns. With a few additional bookkeeping heads, utilizing the encoded  $\log n$  - tape bounded TM tape content, the  $k$ -head automaton can simulate the  $\log n$  - tape bounded TM. Thus every  $\log n$  - tape bounded language can be accepted by a multi-head automaton. Since these considerations hold for deterministic as well as non-deterministic automata, we have completed the outline of the proof.

From this we get Savitch's result.

Corollary 11: The deterministic and non-deterministic context-sensitive languages are the same if and only if the languages over a one-letter alphabet accepted by the deterministic and non-deterministic multi-head finite automata are the same.

Next we show that we can strengthen this result by using the language

$$\text{TALLY}(L_1) ,$$

where  $L_1$  is the "universal" csl defined before.

Theorem 12: 1. The language  $\text{TALLY}(L_1)$  is recognizable

by a  $k_0$ -head non-deterministic automaton.

2.  $\text{TALLY}(L_1)$  is recognizable by a deterministic

$(k_0 + p)$ -head automaton iff  $\text{DCSL} = \text{NDCSL}$ .

Proof: Since  $L_1$  is a ndcsl we know, from our previous results, that  $\text{TALLY}(L_1)$  is accepted by a  $k_0$ -head non-deterministic automaton. ( $k_0$  can be explicitly computed from  $L_1$ ).

Similarly, if  $\text{TALLY}(L_1)$  can be accepted by a deterministic  $(k_0+p)$ -head automaton then we know that  $L_1$  can be accepted by a dlba, and vice versa. But then, using Theorem 1, we get that  $\text{TALLY}(L_1)$  is deterministically recognizable on some  $(k_0+p)$  head automaton iff  $\text{DCSL} = \text{NDCSL}$ , as was to be shown.

The next result shows that the number of heads  $k_0$  in the previous result can be reduced to 7 heads.

For  $L \subseteq a^*$  define  
 $L^{[k]} = \{a^{nk} \mid a^n \text{ in } L\}.$

Corollary 13: 1. The language

$$[\text{TALLY}(L_1)]^{[k_0]}$$

is accepted by a 7-head non-deterministic finite automaton.

2.  $[\text{TALLY}(L_1)]^{[k_0]}$  is accepted by a deterministic multi-head automaton iff DCSL = NDCSL.

Proof: Follows from the next lemma.

Lemma 14: Let  $A, A \subseteq a^*$ , be a set accepted by a non-deterministic  $k$ -head finite automaton. Then

$$A^{[k]} = \{a^{nk} \mid a^n \text{ in } A\}$$

is accepted by a 7-head non-deterministic finite automaton and  $A$  is accepted by a deterministic multi-head finite automaton if and only if  $A^{[k]}$  is accepted by a deterministic multi-head finite automaton.

Proof: The main tool in this proof is the method of encoding the position of the  $k$ -heads of a finite automaton  $M$  on the input  $a^n$  by one head of an automaton  $M_1$  on input  $a^{nk}$  and then, using six additional read-only heads, to carry out a simulation of  $M$  by  $M_1$ . The essential steps in the simulation are described below. First we note that with five read heads a deterministic finite automaton can check whether the input  $a^t$  is such that  $t = n^k$ , for some  $n$ . Thus the format of the input can be checked

and a head can be placed on the  $n$ -th tape square if  $t = n^k$ .

To encode the  $k$  heads of  $M$  on input  $a^n$  as one head position of  $M_1$  on input  $a^{n^k}$ , order the  $k$ -heads arbitrarily and place the "encoding" head of  $M_1$  on the  $r$ -th tape square  $1 \leq r \leq n^k$  with

$$r-1 = (d_1-1) + (d_2-1)n + (d_3-1)n^2 + \dots + (d_n-L)n^{k-1}$$

iff the  $i$ -th head of  $M$ ,  $1 \leq i \leq n$ , is on the  $d_i$ -th tape square.

After this by a lengthy but straightforward argument one can show that  $M_1$  can carry out a step by step simulation of  $M$  and thus  $M$  accepts input  $a^n$  if and only if  $M_1$  accepts input  $a^{n^k}$ .

Clearly, if  $A$  can be accepted by a deterministic multi-head finite automaton then so can  $A^{[k]}$  for any  $k$ . If  $A^{[k]}$  can be accepted by a deterministic  $p$ -head finite automaton  $M_2$  then we can design a  $p(k+1)$ -head deterministic automaton  $M_3$  which accepts  $A$ .

For input  $a^n$  the automaton  $M_3$  will simulate  $M_2$  on input  $a^{n^k}$  as follows:  $M_3$  uses the first  $p$  heads to mimic the  $p$  heads of  $M_2$ , as long as these heads stay on the first  $n$  tape squares. If a head of  $M_2$  goes further than the first  $n$  tape squares (recall, the simulated input is  $n^k$  long) then  $k$  heads are used on the input of length  $n$  to count how far the head has moved. Since we can count up to  $n^k$  with  $k$ -heads on an input of length  $n$ , the  $(k+1)p$  heads suffice for  $M_3$  on input  $a^n$  to simulate  $M_2$  on input  $a^{n^k}$ . Thus  $M_3$  accepts  $a^n$  if and only if  $M_2$  accepts  $a^{n^k}$ , but then  $M_3$  accepts  $A$ . Thus  $A^{[k]}$  is a deterministic language if and only if  $A$  is. This completes the proof.

Next we show that the previous results have a natural interpretation for flowchart computations, thus relating the classic non-determinism problem for context-sensitive languages to a somewhat more programming oriented problem.

We say that a flowchart is elementary (or an E-flowchart) if and only if it is a flowchart made up of the assignment statements

$$x := x \div 1$$
$$x := y$$

and the tests

$$x = 0$$
$$x = y .$$

An E-flowchart is deterministic if and only if every assignment statement and every test branch leads to exactly one assignment statement or test. If some assignment statement or test branch leads to more than one assignment or test, or leads to one or more assignments and tests, then the flowchart is non-deterministic.

The flowcharts are used as accepting devices of sets of integers. The integer  $n$  is accepted if and only if the flowchart computation with the first variable set equal to  $n$  ends at some exit labelled with "accept". Otherwise the input is rejected. (Note that the accepting condition can be handled in many different ways. For example, we could have demanded that the computation halts and that a specified variable is set to one for accepting and zero for rejecting).

Theorem 15: There exists a set of integers  $L$  accepted by a non-deterministic E-flowchart with 10 variables such that the following two statements are equivalent.

1.  $L$  is accepted by a deterministic E-flowchart.
2.  $DCSL = NDCSL$ .

Proof: The proof consists of a reasonably straightforward simulation of multi-head automata by E-flowcharts and vice versa.

In simulating the flowcharts on  $k$ -head automata the head positions on the input tape encode the contents of the variables of the flowchart and vice versa. The three additional variables are needed to obtain a subflowchart which performs the assignment

$$x := x + 1$$

for  $x$  less than the input variable and to permanently store the input. This completes the outline of the proof.

For related results see Warkentin and Fischer [16].

We do not know whether the number of heads or the number of flowchart variables can be reduced further in the two previous results. We conjecture, however, that this is the case. We believe that it would be worthwhile to investigate the non-deterministic  $k$ -head automata languages over a one symbol alphabet for  $k = 2$  and  $3$ . The case of  $2$  heads seems simple and it would be very interesting to determine whether all  $2$ -head non-deterministic finite automata can be replaced by equivalent deterministic multi-head finite automata. It is our hope that these  $k$ -head automata with small values of  $k$  may provide



a place where some further insights can be gained into the LBA problem and more generally, in the nature of non-determinism in computing.

We also believe that the linearly bounded automata with oracles deserve further investigation. The main problem here is to determine whether there exist recursive oracles such that the deterministic and non-deterministic lba language accepted with these oracles are different.

It is interesting to note that T. Baker [2] has shown that there are recursive oracles for which the deterministic and non-deterministic polynomial time-bounded TM computations are the same and that there are other oracles for which they are different.

#### 4. Decision Problems about Regular Expressions

In this section we show that the LBA problem can be related to several natural decision problems about regular expressions. This approach yields many hardest tape recognizable languages which appear more natural than the "universal" context-sensitive language constructed in the second section.

The main tool in this work is the observation made by Meyer and Stockmeyer [12] that restricted regular expressions can be used to describe invalid lba computations very economically. Thus these results, as well as many other results about the complexity of various decision problems [6,7,12], should also be viewed as results about the descriptive power of regular expressions.

A restricted regular expression or simply a regular expression is any valid expression over the alphabet consisting of  $0, 1, \cdot, +, *$  and the delineation  $(, )$ . The operators  $\cdot, +$  and  $*$  have their well-known meaning of concatenation, set union and Kleene closure. For a regular expression  $R$  the set of sequences described by  $R$  is designated by  $L(R)$ .

Next we look at valid lba computations which will be used to link the LBA problem to the complexity of several decision problems about regular expressions. Consider an lba  $M$  with tape alphabet  $T$  and state set  $Q$  working on an input  $y = x_1 \dots x_n$ . At each discrete time interval during the computation we can describe the state of the computation by giving the tape content, the head position of  $M$  and its state. If the computation is deterministic then after  $k$  steps of computing there will be a unique configuration describing the

situation and for a non-deterministic lba there will be a set of possible configurations. To make these ideas more precise we will refer to a sequence

$$x_1 x_2 \dots x_{j-1} (q_1 x_j) x_{j+1} \dots x_n$$

as an instantaneous description. This sequence means that the tape content is  $x_1 \dots x_n$  the lba is in state  $q$  and the reading head is scanning the  $j$ -th tape symbol  $x_j$ . Thus an instantaneous description is any string in  $[T_1 + (Q \times T)]^*$ , which contains exactly one symbol in  $Q \times T$ . If the start state is  $q_0$  then

$$(q_0, x_1) x_2 \dots x_n$$

is an initial configuration and any configuration containing a halting state is a final configuration. One instantaneous description  $ID_{i+1}$  follows  $ID_i$  if and only if there exists a move of  $M$  which changes  $ID_i$  in one operation to  $ID_{i+1}$ . A valid computation of  $M$  an input  $y = x_1 \dots x_n$  is a sequence of instantaneous descriptions

$$\#ID_0 \#ID_1 \#ID_2 \# \dots ID_t \#$$

where  $ID_0$  is the initial configuration on the input  $x_1 \dots x_n$ , i.e.,

$$ID_0 = (q_0, x_0) x_2 x_3 \dots x_n,$$

$ID_t$  is a final configuration and for all  $i$ ,  $0 \leq i \leq t$ ,  $ID_{i+1}$

follows  $ID_i$ . We denote the set of all valid computations of  $M$  on input  $y$  by  $R_M(y)$ . Thus we see that  $y$  is accepted by  $M$  if and only if

$$R_M(y) \neq \phi ,$$

or equivalently, the set of invalid computations of  $M$  and  $y$   $\bar{R}_M(y)$  , must not contain all sequences, i.e.

$$I_M(y) = \bar{R}_M(y) \neq \Sigma^* .$$

The main observation [due to Meyer and Stockmeyer] is that for every lba  $M$  and input  $y$  the set of invalid computations of  $M$  on  $y$  is a regular set and that it can be described by a restricted regular expression such that

$$|I_M(y)| \leq c_M |y| ,$$

and furthermore that a deterministic lba can map  $y$  onto  $I_M(y)$ . This is the critical step in the argument which links lba computations to regular expressions.

Thus we have the following result:

Theorem 16 (Meyer and Stockmeyer): Let  $M$  be a non-deterministic LBA with tape symbol set  $T$ , state set  $S$ , and set of designated accepting states  $F$ , with  $F \subseteq S$ . Let all accepting states be final. Let  $q_0$  be the unique start state of  $M$ . Let  $y = x_1 x_2 \dots x_n$  be an input to  $M$ .

Then there is a deterministic lba  $M'$  such that  $M'$ , started with  $\#(q_0, x_1) x_2 \dots x_n \#$  on its tape, halts with a regular expression

$\beta_y$  over  $\Sigma$  on its tape such that

$$L(\beta_y) = \overline{R_M(y)} = I_M(y) .$$

Proof: We only sketch the idea of the proof.

For a complete proof see [6].

$\beta_y$  is the union of:

$\beta_1$  , the set of strings that do not begin with

$$\#(q_0, x_1)x_2 \dots x_n \#;$$

$\beta_2$  , the set of strings that do not contain a symbol

$$(q_f, t), \text{ where } q_f \in F.$$

$\beta_3$  , the set of words that make a mistake between one

i.d. and the next (i.e.,  $ID_{j+1}$  doesnot follow from

$ID_j$  by one application of a move rule of  $M$ .)

$$\text{But, } \beta_1 = [(\Sigma - \#) \cup \# \cdot [(\Sigma - (q_0, x_1)) \cup (q_0, x_1)].$$

$$[(\Sigma - x_2) \cup x_2 [\dots \cup x_n [(\Sigma - \#)] \dots] ] \cdot \Sigma^*$$

The reader should note the similarity of the above to Horner's method for evaluating polynomials, i.e.,

$$a_0 + a_1x + a_2x^2 + \dots + a_nx^n = a_0 + x[a_1 + x[a_2 + \dots + x[a_n] \dots]].$$

$$\beta_2 = [\Sigma - (\bigcup_{q_f \in F} \{q_f\} \times T)]^* .$$

$$\beta_3 = \bigcup_{\sigma_1, \sigma_2, \sigma_3 \in \Sigma} \Sigma^* \cdot \sigma_1 \cdot \sigma_2 \cdot \sigma_3 \cdot \Sigma^{|y|-2} \cdot [\Sigma^3 - f_M(\sigma_1, \sigma_2, \sigma_3)] \cdot \Sigma^*,$$

where  $f_M: \Sigma^3 \rightarrow 2^{\Sigma^3}$ , which essentially maps correct triples of symbols into correct triples. Essentially  $\beta_3$  says that mistakes occur  $n$  symbols apart.

The remainder of the proof consists in noting that  $|\beta_y| \leq C_M \cdot |y|$  and that given  $y$ , the time required to deterministically write out  $\beta_y$  is bounded by a polynomial in  $|\beta_y|$ .

Using Theorem 16 a simple coding argument yields

Theorem 17: Let

$$L_4 = \{(R_1, R_2) \mid R_1 \text{ and } R_2 \text{ are regular expressions over } \{0,1\} \text{ and } L(R_1) \neq L(R_2)\}$$

Then  $L_4 \in \text{NDCSL}$  and  $L_4 \in \text{DCSL}$  iff  $\text{NDCSL} = \text{DCSL}$ .

Proof: If  $L_4$  is in DCSL then we can check  $L(\beta_y) \neq \Sigma^*$  on a deterministic lba and from Theorem 16 it follows that

$$\text{DCSL} = \text{NDCSL}.$$

To see that  $L_4$  is in NDCSL, we note that to verify

$$L(R_1) \neq L(R_2)$$

we need only to give a string  $x$  one symbol at a time and verify that

$$x \in [L(R_1) \cap L(\bar{R}_2)] \cup [L(\bar{R}_1) \cap L(R_2)].$$

This can be done on a non-deterministic lba in a straightforward way, which completes the proof.

We next extend Theorem 17 to prove a metatheorem about the deterministic tape complexity of many decision problems about the regular sets. Define

$$x \backslash L = \{w \mid xw \in L\} \text{ and } L/x = \{w \mid wx \in L\}.$$

Theorem 18: Let  $P$  be any predicate on the regular sets over  $\{0,1\}$  such that

- 1)  $P(\{0,1\}^*)$  is true and
- 2)  $\mathcal{P}_L = \bigcup_{x \in \{0,1\}^*} \{x \backslash L \mid P(L) = \text{True}\}$   
 [or  $\mathcal{P}_R = \bigcup_{x \in \{0,1\}^*} \{L/x \mid P(L) = \text{True}\}$ ]

is not the set of all regular sets over  $\{0,1\}$ .

Then

$$\{R \mid R \text{ is a regular expression over } \{0,1\} \text{ and } P[L(R)] = \text{False}\}$$

in DCSL implies  $\text{NDCSL} = \text{DCSL}$ .

Similarly,

$$\{R \mid R \text{ is a regular expression over } \{0,1\} \text{ and } P[L(R)] = \text{True}\}$$

in NDCSL implies that NDCSL is closed under complementation.

Proof: Let  $L_0$  be a regular set over  $\{0,1\}$  not in  $\mathcal{P}_L$ .

Let  $h_0(0) = 00$  and  $h_0(1) = 01$ . Then given  $R_i$  a regular expression over  $\{0,1\}$  we can effectively find in linear space and deterministic polynomial time in  $|R_i|$  a regular expression  $R_j$  such that

$$L(R_j) = h_0(L(R_i)) \cup (0+1)^* + \\
(00+01)^* \cup L_0 + \overline{(00+01)^* \cup L_0} \cup (0+1)^*$$

$$= h_0(L(R_i)) \ 10 \ (0 + 1)^* + (00 + 01)^* 10 \ L_0 + \\ (00 + 01)^* \ [\Lambda + 0 + 1 + 11(0 + 1)^*]$$

Case 1:

$$L(R_i) = (0 + 1)^* .$$

Then

$$h_0(L(R_i)) = (00 + 01)^*$$

and

$$L(R_j) = (0 + 1)^* .$$

Hence,

$$P(L(R_j)) = \text{True}.$$

Case 2:

$$L(R_i) \neq (0 + 1)^* .$$

Then

$$\exists x \in (0 + 1)^* - L(R_i) .$$

Hence

$$h_0(x) \in (00 + 01)^* - h_0(L(R_i)) .$$

But

$$P(L(R_j)) = \text{True}$$

implies

$$h_0(x) \ 10 \setminus L(R_j) = L_0 \in \mathcal{P}_L .$$

Hence

$$P(L(R_j)) \text{ is FALSE.}$$

Therefore,

$$P(L(R_j)) = \text{TRUE}$$

if and only if

$$L(R_i) = (0 + 1)^* ,$$

Thus if  $P(L(R_j)) = \text{False}$  is decidable by a ddba then so is

$L(R_i) \neq (0+1)^*$ , and therefore, by our previous results it follows



Corollary 19:  $DCSL = NDCSL$  iff any one of the following languages is in DCSL. Similarly, NDCSL is closed under complementation iff the complement of any one of the following languages is in NDCSL:

1.  $\{R \mid R \text{ is a regular expression and } L(R) \neq \{0,1\}^*\};$
2.  $\{R \mid R \text{ is a regular expression and } L(R) \neq L(R^*)\};$
3.  $\{R \mid R \text{ is a regular expression and } L(R) = L(R)^{REV.}\};$
4.  $\{R \mid R \text{ is a regular expression and } L(R) \text{ is cofinite}\};$
5.  $(\forall k \geq 1) \{R \mid R \text{ is a regular expression and } L(R) \text{ is not } k \text{ definite}\}.$

It is interesting to note that in the proofs of Theorems 16 and 17 we only used regular expressions of star-height 1 (i.e., no nested \*'s). Thus if there exists a regular expression  $R_0$  of star-height 1 not in  $\mathcal{P}_L$ , then Theorem 18 can be changed to read

" $\{R \mid R \text{ is a regular expression over } \{0,1\} \text{ of star-height 1 and } P[L(R)] = \text{False}\}$  in DCSL implies  $DCSL = NDCSL$ ".

We finally note that all the languages in Corollary 19 can be chosen to be of star-height 1. Thus we get, for example,

Corollary 20: The language

$\{R \mid R \text{ is a regular expression of star-height 1 and } L(R) \neq L(R^*)\}$

is a tape and time hardest recognizable csl.

We conclude by stating a result obtained by Hunt which indicates further similarities between the LBA problem and the NDPTIME problem. From the above observations we know that even if we restrict ourselves to regular expressions of star-height 1, then the language

$$\{(R_i, R_j) \mid L(R_i) \neq L(R_j)\}$$

is a hardest tape recognizable CSL. The next result shows that if we drop the Kleene star completely then we get a p-complete problem.

Theorem 21: Let  $R_i, R_j$  be regular expressions over  $0, 1, \cdot, +$ . Then

$$L = \{(R_i, R_j) \mid L(R_i) \neq L(R_j)\}$$

is a p-complete problem. Thus  $L$  is in DPTIME iff

$$\text{NDPTIME} = \text{DPTIME}.$$

Proof: See [ 6 ].

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