

# The Microanatomic Location of Metastatic Breast Cancer in Sentinel Lymph Nodes Predicts Nonsentinel Lymph Node Involvement

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**Background:** The majority of sentinel node (SN) positive breast cancer patients do not have additional non-SN involvement and may not benefit from axillary lymph node dissection (ALND). Previous studies in melanoma have suggested that microanatomic localization of SN metastases may predict non-SN involvement. The present study was designed to assess whether these criteria might also be used to be more restrictive in selecting breast cancer patients who would benefit from an ALND.

**Methods:** A consecutive series of 357 patients with invasive breast cancer and a tumor-positive axillary SN, followed by an ALND, was reviewed. Microanatomic SN tumor features (subcapsular, combined subcapsular and parenchymal, parenchymal, extensive localization, multifocality, and the penetrative depth from the SN capsule) were evaluated for their predictive value for non-SN involvement.

**Results:** Non-SN metastases were found in 136/357 cases (38%). Microanatomic location and penetrative depth of SN metastases were significant predictors for non-SN involvement (<0.001); limited penetrative depth was associated with a low frequency of non-SN involvement with a minimal of 10%.

**Conclusions:** Microanatomic location and penetrative depth of breast cancer SN metastases predict non-SN involvement. However, based on these features no subgroup of patients could be selected with less than 10% non-SN involvement.

**Key Words:** Breast cancer—Sentinel node—Axillary lymph node metastases—Morphometry.

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Axillary nodal status is among the most important prognostic factors in breast cancer patients. SN biopsy with an intensive pathological assessment of selectively removed lymph nodes is currently a highly accurate, minimally invasive technique to assess no-

dal status.<sup>1,2</sup> It reduces the morbidity of breast cancer surgery by avoiding unnecessary axillary lymph node dissection (ALND) in patients with negative sentinel nodes (SNs).<sup>3</sup> The optimal treatment of patients with a positive SN is however less clear. The few SNs can be cost-effectively analyzed by multiple-level evaluation and immunohistochemistry (IHC) which increases the likelihood of detecting small metastases.<sup>4</sup> The decision to proceed with an ALND in patients with macrometastatic SN involvement does not pose

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a major clinical dilemma. The need for routine ALND in patients with minimal SN involvement however continues to be debated since only a minority of these patients (10–15%) show non-SN involvement.<sup>5</sup> Predicting the chance of involvement of the non-SN would facilitate the selection of patients with a potential therapeutic benefit of ALND.

Several features of the primary tumor and the involved SNs have been investigated as potential predictors for non-SN involvement. Primary tumor size, palpability, presence of peritumoral lymphovascular invasion, number of tumor-involved SNs, size of the SN metastases, and extracapsular extension (ECE) correlate with non-SN status.<sup>6–10</sup> However, none of these factors are sensitive and reproducible enough to reliably identify a subgroup of patients who might be spared ALND.

Several melanoma studies have reported that the microanatomic pattern of SN involvement and the penetrative depth (defined as the maximum distance of cancer cells from the inner margin of the SN capsule) predict non-SN involvement.<sup>11–14</sup> In breast cancer, this has been studied less extensively.<sup>15</sup> We therefore set out to study the predictive value of microanatomic location and penetrative depth of SN metastatic deposits for non-SN metastases, accurately assessed by morphometry, in a large series of SN-positive breast cancer patients.

## PATIENTS AND METHODS

### Patients

A retrospective database was analyzed, including patients with invasive breast cancer and a tumor positive axillary SN followed by ALND, treated at the University Medical Center Utrecht or the St Antonius Hospital in Nieuwegein from January 2000 to May 2007 ( $n = 357$ ), including patients from our previous study.<sup>16</sup> Exclusion criteria were multicentric tumors, neoadjuvant chemotherapy, and a total of fewer than six lymph nodes examined.

### SN Biopsy Technique

The technical aspects used for the SN procedure are described in detail elsewhere.<sup>16</sup> Briefly, before surgery, SN identification was performed by peritumoral injection of 120 MBq <sup>99m</sup>Tc-Nanocolloid (Amersham Cygne, Eindhoven, The Netherlands) in a maximal volume of 0.5 mL. Dynamic and static scintigraphic images were subsequently obtained. On

the same day, immediately preoperatively 0.5 mL Patent blue dye (Guerbet, Aulnay-sous-Bois, France) was injected intradermally and intra/peritumorally. The SN was identified after careful dissection of blue lymphatic channels and detection of radioactivity with a handheld gamma ray detection probe. Palpation of the open axilla was performed to detect enlarged non-SN metastases.

### Histopathological Evaluation

The SNs were processed according to the protocols described previously.<sup>16–18</sup> SNs were lamellated according to their size, fixed in neutral buffered formaldehyde, and completely embedded. Step sections 5  $\mu$ m thick were cut at five levels with 250  $\mu$ m intervals for staining with haematoxylin and eosin (H&E). In the absence of apparent metastases by H&E examination, immunohistochemistry was performed with CAM 5.2 (Beckton Dickinson, Franklin Lakes, New Jersey, USA) or CK AE1/3 (Dako, Glostrup, Denmark) at each level. All non-SNs were identified visually or by palpation, dissected, processed routinely, and examined at one level with H&E staining. All SNs and non-SNs were examined initially by multiple pathologists at the two institutions, reviewed histologically, and reclassified according to the current 6<sup>th</sup> edition of the American Joint Committee on Cancer (AJCC) staging system by one observer (CHMvD). All cases were evaluated without knowledge of non-SN involvement.

### Clinicopathological Features

Clinicopathological features recorded included age, pT (TNM system of the AJCC), histological subtype (according to the WHO), histological grade (defined according to the Nottingham modified Bloom–Richardson score based on the percentage of tubule formation, nuclear pleomorphism and mitotic activity), mitotic activity index (MAI),<sup>19</sup> steroid receptor and HER-2/*neu* status (not routinely determined before 2005).

### SN and Non-SN Characteristics

SN characteristics included metastatic size according to the 6<sup>th</sup> edition of the AJCC staging system [isolated tumor cells (ITC) ( $\leq 0.2$  mm), micrometastases ( $> 0.2$  mm and  $\leq 2$  mm), macrometastases ( $> 2$  mm)], the number of SNs, ECE, maximal diameter of the largest metastases, microanatomic location of the metastatic deposit, and the penetrative

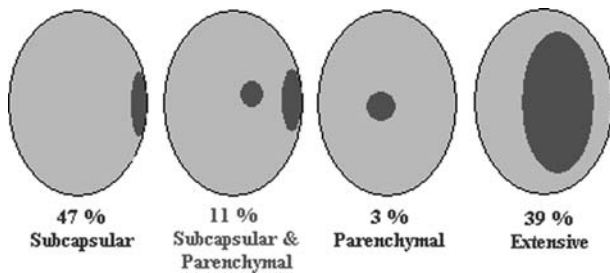


FIG. 1. Pattern of distribution of metastatic breast cancer deposits in SNs.

depth. If multiple but distinct deposits were identified in the same SN, the largest metastasis was recorded. If single tumor cells, cluster of nests were continuous, or separated by a few cells distance, they were measured as one focus.<sup>20</sup> If more than one SN was involved in an individual patient, the most extensive and/or deepest metastatic deposit was recorded. In case both axillary and internal mammary SNs were involved, the features were measured in the axillary SN.

The microanatomic location of metastatic deposits within each SN was classified as subcapsular, combined subcapsular and parenchymal, parenchymal or extensive. Extensive SN involvement, as defined in the study of Ruiter et al.,<sup>21</sup> was a deposit >5 mm in diameter (Fig. 1). The centripetal depth was, according to Starz et al.,<sup>11</sup> defined as the maximal depth at which tumor cells have infiltrated the SN, as measured from the inner margin of the capsule (Fig. 2), further denoted *tumor penetrative depth* according to the proposal of Scolyer et al.<sup>12</sup> Multifocality was defined as two or more separated metastatic deposits at some distance from each other. All measurements were calculated microscopically in the plane of the tissue sections using interactive video morphometry systems (Q-PRODIT, Leica, Cambridge, UK or Research Video Assistant, Baarn, The Netherlands).

Non-SN characteristics included the total number of non-SNs, maximal tumor diameter, AJCC classification, and ECE. If more than one non-SN was involved, the largest diameter was recorded.

### Statistical Analysis

Statistical analysis was performed using SPSS 13.0 for Windows. Patients were divided into groups with and without non-SN involvement. The Pearson chi-square test was used to determine the relationship between categorical variables (histological type and grade, steroid receptor and HER-2/*neu* status, number of SNs, AJCC classification, ECE) on the one

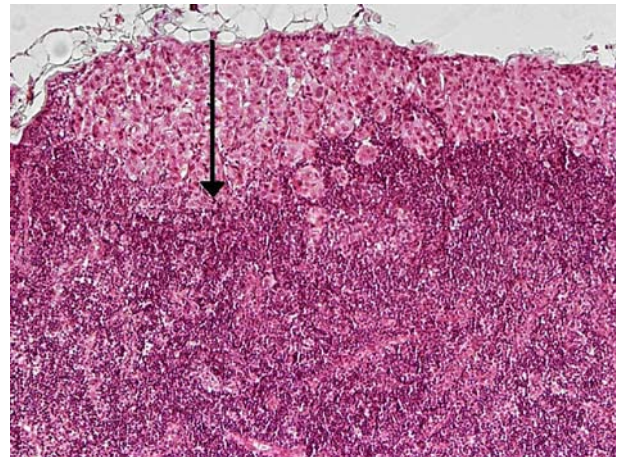


FIG. 2. The tumor penetrative depth of metastases was defined as the maximal distance of breast cancer cells from the inner margin of the SN capsule (arrow) (H&E, original magnification  $\times 10$ ).

hand and non-SN status on the other. Continuous data (age, diameter primary tumor, MAI, SN tumor diameter) were analyzed using the Mann–Whitney *U*-test. *P*-values <0.05 were considered significant. All relevant variables that were associated with the presence of positive non-SNs were included in a multivariate logistic regression model. SN metastatic characteristics (diameter and penetrative depth) were further compared by receiver operating characteristic (ROC) analysis, calculating the area under curve (AUC) as a measure of discriminative value.

## RESULTS

The median age was 53 years (range 22–86 years) and the mean histological invasive tumor size was 2.4 cm (range 0.3–9.0 cm). Overall, 571 SNs were obtained (mean 1.6 SN per patient) of the 357 patients with a positive SN as well as 4939 non-SNs (mean 14 per patient). The metastatic deposits were subcapsular in 167 patients (47%), combined subcapsular and parenchymal in 40 patients (11%), parenchymal in 11 patients (3%), and extensive in 139 patients (39%) (Fig. 1). Of the 357 patients, 24 (7%) patients had ITC only in the SN, whereas 112 (31%) had micrometastases and 221 (62%) had macrometastases. Localisation of ITCs was subcapsular (96%) or parenchymal (4%). SN micrometastases were located subcapsularly (81%), parenchymally (5%) or had combined localisation (13%). The overall prevalence of non-SN involvement was 38% (136/357 patients). Other descriptive characteristics of the study population are listed in Tables 1 and 2.

**TABLE 1.** Clinicopathological characteristics of 357 invasive breast cancer patients with a positive SN and subsequent axillary lymph node dissection

Feature	No.	%
Mean age (range)	54 y (22–86)	
<b>Primary tumor</b>		
Mean tumor size (range)	2.4 cm (0.3–9.0)	
pT1	187	52
pT2	150	42
pT3	19	5
pTx	1	0
<b>Histological subtype</b>		
Invasive ductal cancer	298	84
Invasive lobular cancer	36	10
Others	23	6
<b>Histological grade (B&amp;R)</b>		
1	74	21
2	161	45
3	122	34
MAI, mean/2 mm <sup>2</sup> (range)	13 (0–102)	
<b>Steroid receptor status</b>		
ER – positive †	322	90
ER – negative	34	10
ER – unknown	1	
PR – positive †	284	80
PR – negative	70	20
PR – unknown	3	
<b>HER-2/neu status</b>		
Positive	26	15
Negative	150	85
Unknown	181	

† ≥10% immunoreactive neoplastic cells.

**TABLE 2.** SN and non-SN characteristics of 357 invasive breast cancer patients with a positive SN and subsequent axillary lymph node dissection

Feature	No.	%
<b>SN</b>		
Total number of SNs	571	
Mean number of SNs	1.6	
Total number of positive SNs	419	
Mean diameter SN metastases	4.7 mm	
<b>AJCC classification of SN metastatic size</b>		
ITC	24	7
Micrometastasis	112	31
Macrometastasis	221	62
<b>Extracapsular extension</b>		
No	257	72
Yes	100	28
<b>Microanatomic location</b>		
Subcapsular	167	47
Parenchymal	11	3
Combined	40	11
Extensive	139	39
Mean penetrative depth	2.9 mm	
<b>Non-SNs</b>		
Total number of non-SNs	4939	
Mean number of non-SNs (range)	14 (5–38)	
Total number of positive non-SNs	474	
Total number of negative non-SNs	4465	
<b>Size of non-SN metastases</b>		
ITC	3	2
Micrometastases	33	24
Macrometastases	100	74

The following factors were significant predictors of non-SN metastases by univariate analysis: primary tumor size, number of involved SNs, ECE, AJCC classification, diameter, and penetrative depth of the

**TABLE 3.** Comparison of categorical clinicopathological and SN characteristics in invasive breast cancer patients without and with non-SN metastases by Pearson chi-square test

Feature	Without non-SN metastases	With non-SN metastases	P value
	No (%)	No (%)	
Total	221 (62)	136 (38)	
<b>Histological subtype</b>			0.914
Ductal	183 (51)	115 (32)	
Lobular	22 (6)	14 (4)	
Others	16 (5)	7 (2)	
<b>Histological grade (B&amp;R)</b>			0.798
ER positive	198 (56)	124 (35)	0.715
Negative	22 (6)	12 (3)	
PR positive	179 (50)	106 (30)	0.589
Negative	41 (12)	29 (8)	
HER-2/neu positive	16 (9)	10 (6)	0.670
Negative	83 (47)	67 (38)	
<b>No. of SN</b>			0.246
1	131 (37)	89 (25)	
> 1	90 (25)	47 (13)	
<b>No. of involved SN</b>			0.050
1	198 (55)	112 (31)	
> 1	23 (6)	24 (7)	
<b>AJCC SN metastases</b>			< 0.001
ITC	21 (6)	3 (1)	
Micrometastasis	86 (24)	26 (7)	
Macrometastasis	114 (32)	107 (30)	
<b>Extracapsular extension</b>			< 0.001
No	175 (49)	82 (23)	
Yes	46 (13)	54 (15)	
<b>Microanatomic location SN metastases</b>			< 0.001
Subcapsular	126 (35)	41 (11)	
Combined	23 (6)	17 (5)	
Parenchymal	8 (2)	3 (1)	
Extensive	64 (18)	75 (21)	
<b>Multifocality</b>			0.087
No	176 (49)	118 (33)	
Yes	45 (13)	18 (5)	

**TABLE 4.** Comparison of continuous clinicopathological and SN characteristics in invasive breast cancer patients without and with non-SN metastases by Mann–Whitney U-test

Feature	Without non-SN metastases	With non-SN metastases	P value
	metastases	metastases	
Total no. (%)	221 (62)	136 (38)	
Age (years, mean)	54	53	0.249
Primary tumor diameter, cm (mean)	2.3	2.5	0.019
MAI, 2mm <sup>2</sup> (mean)	13	12	0.693
SN tumor diameter, mm (mean)	3.6	6.5	< 0.001
SN tumor penetrative depth, mm (mean)	2.2	3.9	< 0.001

SN metastatic deposit (Tables 3 and 4). None of the other classic variables (age, histological subtype and grade, steroid receptor and HER-2/*neu* status, MAI) of the primary tumor correlated significantly with non-SN involvement.

The primary tumor features histological grade and diameter were associated with multiple SN tumor deposits ( $P = 0.03$  and  $0.05$ , respectively).

Frequency of non-SN metastases in patients with SN ITC ( $N = 24$ ), micro- ( $N = 112$ ) and macrometastases ( $N = 221$ ) was 12.5%, 23%, and 48%, respectively ( $P < 0.001$ , Fig. 3). Of those three patients with SN ITC and involved non-SNs, two had a non-SN micro- and one had a non-SN macrometastasis. One of these ITC was located in the parenchyma, the other two patients had a subcapsular location. Two of these three patients with SN ITC and non-SN involvement showed multiple small cell clusters and single cells in the SN.

The microanatomic localization of SN metastatic deposits correlated with non-SN involvement. Patients with subcapsular ( $N = 167$ ), combined subcapsular and parenchymal ( $N = 40$ ), parenchymal ( $N = 11$ ) and extensive ( $N = 139$ ) tumor deposits showed non-SN involvement in 25%, 42%, 27%, and 54% of cases, respectively (Table 3). Morphometrically assessed penetrative depth of SN metastases was also associated with non-SN involvement. Frequency of non-SN metastases in patients with a SN tumor penetrative depth  $< 0.155$  mm ( $N = 29$ ),  $0.155-2.7$  mm ( $N = 181$ ) and  $> 2.7$  mm ( $N = 147$ ) was 10%, 28%, and 56%, respectively ( $P < 0.001$ , Table 5). In ROC analysis, diameter (AUC = 0.686) and the penetrative depth (AUC = 0.680) of the SN tumor deposit had comparable discriminative value (Fig. 4).

In multivariate analysis, SN tumor diameter ( $P = 0.032$ ) and SN tumor penetrative depth ( $< 0.155$  mm versus  $0.155-2.7$  mm versus  $> 2.7$  mm) ( $P = 0.015$ ) were significant in predicting non-SN involvement. Cutoff values were interactively statistically as those best discriminating between low and high risk of non-SN metastases. Risk stratification by combining these features identified a low-risk group, an intermediate-risk group, and a high risk group for non-SN metastases with frequency of non-SN metastases in 11%, 29%, and 56% of patients, respectively (Table 6). Frequencies of non-SN involvement in patients with SN micrometastases and a subcapsular ( $N = 91$ ), combined subcapsular and parenchymal ( $N = 15$ ), and parenchymal location ( $N = 6$ ) were 21%, 40%, and 17%, respectively. Frequencies of non-SN involvement in patients with SN macrometastases and a subcapsular ( $N = 54$ ), combined subcapsular

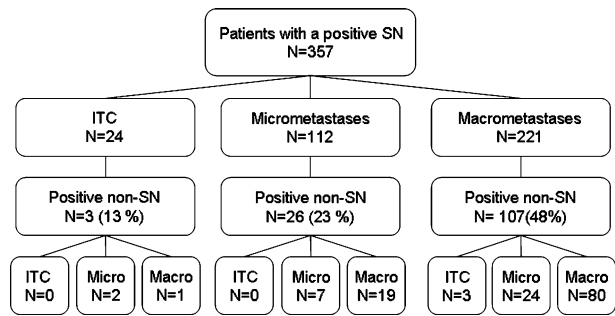


FIG. 3. Flow chart showing distribution of SN AJCC classification according to non-SN involvement.

TABLE 5. Predictive value of penetrative depth of SN metastases for non-SN involvement in patients with invasive breast cancer

SN penetrative depth (mm)	N	No. of patients with non-SN involvement (%)
< 0.155	29	3 (10)
0.155-2.7	181	51 (28)
> 2.7	147	82 (56)

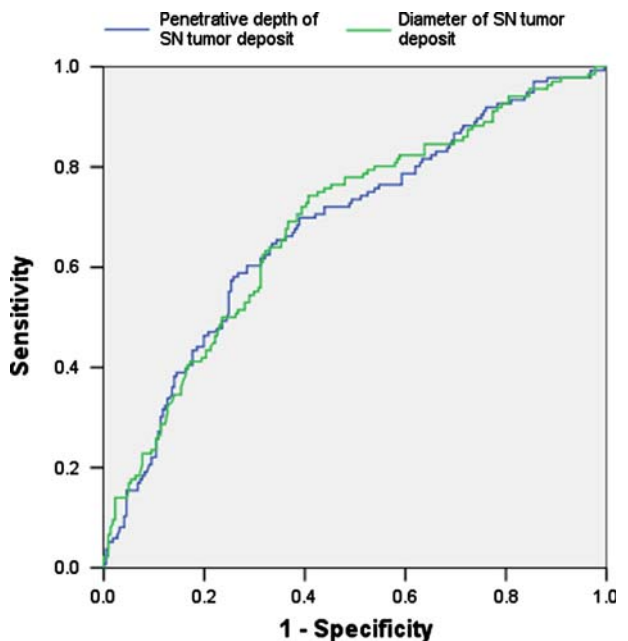


FIG. 4. ROC curves showing the sensitivity and specificity of SN tumor diameter and penetrative depth as predictors of non-SN involvement. The larger the area below the curve, the more accurate the prediction of non-SN involvement ( $P < 0.001$ ).

and parenchymal ( $N = 25$ ), parenchymal ( $N = 4$ ) and extensive location ( $N = 138$ ) were 39%, 44%, 25%, and 54%, respectively.

**TABLE 6.** Predictive value of a combination of AJCC classification of SN metastases and penetrative depth of SN metastases for non-SN involvement in patients with invasive breast cancer

	<i>N</i>	No. of patients with non-SN involvement (%)
ITC/SN penetrative depth < 2.7 mm or Micrometastases/penetrative depth < 0.155 mm	38	4 (11)
Micro- or macrometastases/penetrative depth 0.155–2.7 mm	172	50 (29)
Micro- or macrometastases/penetrative depth > 2.7 mm	147	82 (56)

## DISCUSSION

The lymphatic spread of breast cancer cells has been shown to follow an orderly progression via the SN to non-SNs, which implies that the risk of spread of tumor from the SN to the non-SN may depend on the extent of SN involvement. While many studies have focused on the maximal diameter of the metastatic tumor deposit<sup>22, 23</sup> we investigated the microanatomic location and the penetrative depth of the metastatic deposits as a putative predictor of non-SN involvement. This was based on the concept that within the SN, tumor cells also follow an orderly route, arriving in the subcapsular sinuses through an afferent lymph vessel. Later, there is subcapsular outgrowth of malignant cells in the marginal sinuses and into the cortical parenchyma. Finally, these cells extend to the deeper zones of the lymph node parenchyma, frequently following the medullary sinuses to efferent lymph vessels.<sup>24</sup> Consistent with this concept we found that the microanatomic location, the size, and the penetrative depth of SN tumor deposits were correlated significantly with non-SN involvement. This finding is consistent with recent melanoma studies. Startz et al.<sup>11</sup> proposed a micromorphometric classification, based on the depth of the metastasis from the capsule and the number of 1-mm slices containing melanoma. This classification was a highly significant predictor for distant metastases and overall survival. Dewar et al.<sup>13</sup> also recorded that the microanatomic location of melanoma SN metastases predicts non-SN involvement, and proposed that it would be possible to safely avoid a lymph node dissection in patients with subcapsular deposits only. Indeed, subcapsular location and small tumor penetrative depth correlated with less non-SN involvement in our breast cancer study, although no subgroup of patients could be selected without non-SN involvement.

The measurement of the SN tumor penetrative depth was difficult in many cases, especially in case of extensive tumor deposits. In these cases the tumor deposits frequently extended beyond the center of the lymph node, making it difficult to determine which

edge of the SN capsule should be used to measure the tumor penetrative depth. Similar difficulties were encountered when the SN had a lobulated outline. Further studies which more rigorously define the tumor penetrative depth may strengthen its predictive power and reproducibility.

In conclusion, patients with breast carcinoma and SN involvement can be stratified into subgroups at significantly different risk for non-SN involvement, according to microanatomic localization of the SN metastatic deposits and penetrative depth into the SN. However, based on these features no subgroup of patients could be selected without non-SN involvement.

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