The mutation effect reaction norm (Mu-RN) highlights environmentally dependent mutation effects and epistatic interactions

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Abstract - Since the modern synthesis, the fitness effects of mutations and epistasis have been central yet 2 provocative concepts in evolutionary and population ge-3 netics. Studies of how the interactions between parcels of 4 genetic information can change as a function of environ-5 mental context have added a layer of complexity to these 6 discussions. Here I introduce the "mutation effect reac-7 tion norm" (Mu-RN), a new instrument through which 8 one can analyze the phenotypic consequences of muta-9 tions and interactions across environmental contexts. It 10 embodies the fusion of measurements of genetic inter-11 actions with the reaction norm, a classic depiction of 12 the performance of genotypes across environments. I 13 demonstrate the utility of the Mu-RN through the signa-14 ture of a "compensatory ratchet" mutation that under-15 mines reverse evolution of antimicrobial resistance. More 16 broadly, I argue that the mutation effect reaction norm 17 may help us resolve the dynamism and unpredictability 18 of evolution, with implications for theoretical biology, ge-19 netic modification technology, and public health. 20

I. INTRODUCTION

Modern perspectives in evolutionary genetics are increas-21 ingly driven by notions that complex traits are the product of 22 interactions between genes [1, 2]. In many ways, these are 23 renditions of classical debates surrounding the eminence of 24 gene interactions that were a feature of the modern synthesis 25 [3, 4, 5]. At one level, the debates have not changed much 26 during the last century, still defined by a simple question-27 how many different actors do we need to consider in order 28 to understand the relationshp between genotype and pheno-29 type? Can we understand meaningful changes in phenotypes 30 by studying biology one-mutation-at-a-time? Or do we need 31 better models for how mutations interact with each other, 32 and/or the environment? 33

The importance of understanding interactions between mu-34 tations has emerged as its own area of evolutionary genetics, 35 to the tune of several different (but related) concepts that are 36 studied under the umbrella concept of epistasis. One of these 37 concepts, "physiological epistasis," has been defined as "any 38 situation in which the genotype at one locus modifies the 39 phenotypic expression of the genotype at another [6]." An 40 expansive literature exists that has examined physiological 41

epistasis in adaptive landscapes [7, 8, 9], with respect to pro-
tein biophysics [10, 11, 12], in terms of genomic architecture42[13, 14], and many other arenas.44

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This broader notion that mutations may interact with other parcels of genetic information in a cryptic, spurious fashion casts a shadow over much of modern genetics [15, 16, 17, 18], and may contribute to phenomenon like phantom heritability [19, 20, 21]. Relatively underexplored in conversations about how interactions manifest in complex phenotypes are theoretical treatments of how environmental gradients may influence the interactions between mutations or SNPs.

Conveniently, an abstraction exists in the evolutionary biology and ecology canons—the reaction norm (also known as the "norm of reaction")—to describe how the environment shapes the performance (phenotype) of genotypes [22]. The reaction norm is widely applied in quantitative genetics [23, 24], in discussions of phenotypic plasticity [25, 26, 27], and other subtopics.

While several studies have examined how environments 61 can tune nonlinear interactions between mutations [28, 29, 62 30, 31, 32, 27, 33], there have been few formal attempts to 63 integrate details of the environment into measurements of 64 mutation effects and interactions. In this study, I introduce 65 the "mutation effect reaction norm," an abstraction that com-66 bines the reaction norm with mutation effects and physiolog-67 ical epistasis. It demonstrates how the strength and nature 68 of interactions can change appreciably across environmen-69 tal contexts of various kinds. To demonstrate its utility, I 70 explore data sets corresponding to a collection of alleles as-71 sociated with antimicrobial drug resistance. I analyze these 72 data using the mutation effect reaction norm framework and 73 diagnose the signature of a "compensatory ratchet" mutation 74 whose effect is specific to environment. 75

Summarizing, I discuss how this abstraction is relevant in many problems where the effects of individual mutations are influenced by environments, including genetic modification, public health and biomedicine. More broadly, I use the concept to emphasize the importance of more detailed biographies of mutation interactions in present and future attempts to capture the shape of molecular evolution.

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II. METHODS

83 A. Data Sets

While much of the argument surrounding the utility of the
Mu-RN is conceptual, I thought that it would be most effective to demonstrate how it works using real world data and
analyses. In this way, the ideas are less abstract, and the
reader can observe firsthand how they can be applied.

In this study I decided to study mutation effects and inter-89 actions involved in the evolution of antimicrobial resistance. 90 I utilized two data sets, each a combinatorially complete set, 91 where suite of mutations within a locus (a protein in this 92 case) are engineered in all possible combinations. While this 93 data structure is not necessary to measure interactions and 94 epistasic effects, it facilitates the use of certain transparent, 95 established algebraic formulations. 96

A note on the use of "reaction norm." I should mention that
use of the term "reaction norm" describes depictions of how
the alleles in this set perform across drug environments. Very
similar analyses and descriptions were used in prior studies
[34], but without using the "reaction norm" descriptor.

The traits of interest in this study are growth rates of the 102 alleles in different concentrations of pyrimethamine and cy-103 cloguanil, antifolate drugs used to treat malaria [35]. I exam-104 ined 16 alleles composed of combinations of four mutations 105 (N51I, C59R, S108N, I164L; 2⁴ = 16 alleles)) in *Plasmod*-106 ium falciparum (a cause of malaria) dihydrofolate reductase 107 (DHFR, an essential enzyme). All 16 alleles have growth rate 108 values across a gradient of drug concentrations $(10^{-3} \mu M \text{ to})$ 109 $10^5 \mu M$). These data arise from a set utilized in previous stud-110 ies that examined the evolution of resistance to antimalarials 111 [36, 34]. Also note that while we use growth rates as our 112 main phenotype, the methods described here can be used to 113 study fitness measurements of various kinds, including rela-114 tive fitness. 115

116 B. A note on methods to measure interactions

Many methods exist for measuring the strength of inter-117 actions between mutations in empirical datasets. Questions 118 surrounding which methods are appropriate are similar to 119 many statistical questions surrounding how to disentangle 120 nonlinear effects in complex systems (biological or other): 121 the shape, scope, and size of the data dictate which analyses 122 are most appropriate. Furthermore, epistasis might be de-123 scribed as an idea whose definitions are at least partly based 124 on how it is measured. For example, some methods con-125 sider how noise can conflate the measurement of resistance 126 [37, 38], accommodate non-binary encoding or gaps in data 127 [39], interrogate the limits of regression methods [40, 41], 128 or measure marginal epistasis across large genomic data sets 129 [42]. These methods can be considered more ideal for certain 130

questions or data structures. However, a rigorous treatment of methods used to measure epistasis is beyond the scope of this manuscript.

An example method: The Walsh-Hadamard transform. In 134 this study, I used the Walsh-Hadamard transform, which 135 computes a coefficient corresponding to the magnitude and 136 sign of an interaction between mutations with respect to a 137 phenotype. It was pioneered for use in the study of higher-138 order epistasis in a 2013 study that both provided a primer for 139 the calculation and analyzed several combinatorially com-140 plete data sets [7]. It has since been further applied to study 141 of higher-order epistasis across a larger sampling of empiri-142 cal data sets [43]. 143

The Walsh-Hadamard transform implements phenotypic 144 measurements into a vector, then a Hadamard matrix, which 145 is scaled by an additional diagonal matrix and is used to act 146 on this phenotypic vector. The result is a set of coefficients 147 that measure the degree to which the genotype-phenotype 148 map (perhaps described in the guise of an adaptive land-149 scape) is linear, or second order, third, and so forth. For 150 more rigorous discussions of the method, I encourage readers 151 to engage several published manuscripts-especially Wein-152 reich et. al. (2013) [7] and Poelwijk et al. (2016) [44] — 153 each of which explore the methods and their related issues in 154 greater detail. For clarity, I will describe selected aspects of 155 the method in this manuscript. 156

As the data examined by the Walsh-Hadamard transform 157 are combinatorially complete, one can represent the pres-158 ence or absence of a given mutation by a 0 or 1 at a given 159 locus. For example, one can represent a wildtype variant of 160 a gene as 0000. In this one scenario, the mutations at each of 161 four sites (e.g. the four mutations corresponding to antifolate 162 resistance Plasmodium falciparum dihydrofolate reductase) 163 [45, 46] are, N51I, C59R, S108N, and I164L. For those un-164 familiar with this notation: the number corresponds to the 165 location in the protein, and the letters on each side of the 166 number correspond to single-letter amino acid abbreviations 167 for the variants at that site. For example, N51I corresponds to 168 an asparagine to isoleucine mutation at the 51st amino acid 169 in DHFR). The quadruple mutant, IRNL, would be encoded 170 as 1111 in this scenario. 171

The full data set consists of a vector of phenotypic values (growth rate in the presence of two different antifolates) for all possible combinations of mutations (for 16 alleles in total): 172

NCSI, NCSL, NCNI, NCNL, NRSI, NRSL,	176
NRNI, NRNL, ICSI, ICSL, ICNI, ICNL, IRSI,	177
IRSL, IRNI, IRNL	178

These can be depicted in binary notation as the following: 179

0000, 0001, 0010, 0011, 0100, 0101, 0110, 0111,	180
1000, 1001, 1010, 1011, 1100, 1101, 1110, 1111	181

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This vector of phenotypes, denoted by p (arranged *numerically* as in the order presented above), is multiplied by a (16 ×

184 16) square matrix, which is the product of a diagonal matrix

V and a Hadamard matrix *H*. These are defined recursively:

$$V_n = \begin{pmatrix} \frac{1}{2}V_{n-1} & 0\\ 0 & -V_{n-1} \end{pmatrix}, V_0 = 1$$
(1)

$$H_n = \begin{pmatrix} H_{n-1} & H_{n-1} \\ H_{n-1} & -H_{n-1} \end{pmatrix}, H_0 = 1$$
(2)

¹⁸⁶ n is the number of loci (n = 4 in this *Plasmodium falci-*¹⁸⁷ *parum* DHFR setting corresponding to resistance mutations). ¹⁸⁸ For n = 4, these matrices can be depicted as follows:

189 V₄ =

	$\left[\frac{1}{16}\right]$	0	0	0	() 0	0	0	() 0	0	0	0	0	0	0]	
	0	$-\frac{1}{8}$	0	0	() 0	0	0	() 0	0	0	0	0	0	0	
	0	Ő	$-\frac{1}{8}$	0	() 0	0	0	() 0	0	0	0	0	0	0	
	0	0	Ő	$\frac{1}{4}$	() 0	0	0	() 0	0	0	0	0	0	0	
	0	0	0	0	$-\frac{1}{8}$	0	0	0	() 0	0	0	0	0	0	0	
	0	0	0	0	(0	0	() 0	0	0	0	0	0	0	
	0	0	0	0	(0	() 0	0	0	0	0	0	0	
	0	0	0	0	() 0		$-\frac{1}{2}$	() 0	0	0	0	0	0	0	
	0	0	0	0	() 0	0	õ	$-\frac{1}{8}$	0	0	0	0	0	0	0	
	0	0	0	0	() 0	0	0	(0	0	0	0	0	0	
	0	0	0	0	() 0	0	0	($\frac{1}{4}$	0	0	0	0	0	
	0	0	0	0	() 0	0	0	() 0		$-\frac{1}{2}$	0	0	0	0	
	0	0	0	0	() 0	0	0	() 0	0	Õ	$\frac{1}{4}$	0	0	0	
	0	0	0	0	() 0	0	0	() 0	0	0	0	$-\frac{1}{2}$	0	0	
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)	and																
I	$H_4 =$																
	[1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1]	
		-1		-1	1	-1	1	-1	1	-1	1	-1	1	-1	1	-1	
	1			-1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	
	-		1	1	1	-1	-1	1	1	-1	-1	1	1	-1	-1	1	
	1	1	1	1	-1	-1	-1	-1	1	1	1	1	-1	-1	-1	-1	
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		-1			-1	1	-1	1	-1	1	-1	1	1	-1	1	-1	
	1			-1	-1	-1	1	1	-1	-1	1	1	1	1	-1	-1	
			1	1	-1	1	1	-1	-1	1	1	-1	1	-1	-1	1	

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$$\gamma = V_n H_n p \tag{3}$$

¹⁹³ Where V_n and H_n are the matrices described in equations 1 ¹⁹⁴ and 2 above and γ is the Walsh coefficient vector, the mea-¹⁹⁵ sure of the interaction between mutations. Using this for-¹⁹⁶ mulation, I compute γ values for every possible interaction ¹⁹⁷ between bits in each string.

In addition to the aforementioned references where this ap-198 proach was introduced and described in good detail [7, 44], 199 this method is also made available for exploration in the Sup-200 porting Information. It contains a spreadsheet that outlines 201 the calculation and provides a means for inexperienced users 202 to calculate interaction coefficients. While this is not a sub-203 stitute for learning the methods from their proper sources, it 204 does provide a simple way for those interested to perform 205 these calculations on data of a certain structure. 206

Having outlined the method used to calculate the Walsh-207 Hadamard coefficient, I must be clear about the interpreta-208 tion. The Walsh coefficient corresponds to the average effect 209 of a given mutation effect (first order, pairwise, etc.) across 210 all cognate genetic backgrounds. Negative values for an ef-211 fect suggest that the average effect is adverse for a given phe-212 notype in a given setting, positive if it has a beneficial effect 213 on a phenotype (e.g., antibiotic resistance). Please note that 214 the idea of "adverse" or "beneficial" in this context is simply 215 a description of its quantitative impact, and not a biological 216 (or ethical/moral) interpretation of the "goodness" of an ef-217 fect. 218

One limitation of the iteration of the Walsh-Hadamard 219 transform used in this study is that it requires combinato-220 rial data sets, where an often small set of mutations are con-221 structed in all possible combinations. Another limitation 222 is that it can only accommodate two variants (amino acid 223 substitutions in this case) per locus. For example, if one 224 wanted to measure the higher-order interactions between 4 225 mutations within a gene, one would need $2^L = 16$ individ-226 ual measurements, with L corresponding to the number of 227 different mutations whose effects one is interested in disen-228 tangling (4 in this case). Another established limitation of 229 the method is that it doesn't formally incorporate experimen-230 tal noise. Consequently, its resultant measurement is more 231 consistent with an average of the effect of a mutation or 232 mutation-interactions. Though these limitations reveal that 233 the Walsh-Hadamard transform might be specific to certain 234 datasets, it still applies to many real-world settings, and pro-235 vides relevant biological insight. 236

C. Calculations of higher-order epistasis

Previous studies have examined how higher-order epistasis 238 manifests across empirical adaptive landscapes [7, 43, 30]. 239 "Order" corresponds to the number of actors involved in 240 an interaction. "First-order" would correspond to the effect 241 of single mutations, second-order or "pairwise" interactions 242 would apply to pairs of mutations, and so forth. One can 243 calculate higher-order epistasis using several minor modifi-244 cations to the Walsh-Hadamard transform method outlined 245 above, very similar to how prior studies carried this out [7]. 246

For example, in a combinatorially complete data set comprising 16 alleles, one can also depict the interactions between individual loci and genetic background using a binary representation (just as one can with whole alleles). In this 250

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case, each 0 or 1 represents a locus interaction. To emphasize the distinction in using binary notation both for phenotype and for epistasis coefficients, one should consider using

 γ_{0000} : zeroth order interaction

 $\gamma_{0001}, \gamma_{0010}, \gamma_{0100} \& \gamma_{1000}$ are first-order interactions. For example, this translates to the average effect of the N511 mutation across all possible genetic backgrounds (composed of combinations of the other three loci), those between the C59R mutation and all possible genetic backgrounds, between S108N mutation and all possible genetic backgrounds, and between 1164L and the other loci.

Relatedly: γ_{0011} , γ_{0101} , γ_{0110} , γ_{1001} , γ_{1100} & γ_{1010} are second-263 order or pairwise interactions; γ_{0111} , γ_{1011} , γ_{1101} & γ_{1110} are 264 third-order interactions; and γ_{1111} is a fourth-order interac-265 tion, the interaction between the four mutations that consti-266 tute the quadruple mutant, IRNL. For even more clarity, one 267 can replace the 0s with asterisks (*) to emphasize that binary 268 sites represent mutation interaction effects across all possible 269 genetic backgrounds. For example, the pairwise effect coeffi-270 cient corresponding to "0110" truly means the average effect 271 of the C59R and S018N mutations, across all other genetic 272 backgrounds. One can depict this effect as "*11*". 273

Though the data used in this study are not normalized, it is often prudent to take the absolute value of coefficients, then compute a normalized version of the epistatic coefficients. The normalization standardizes the value so that the analyses might be compared to other data sets. For a given epistatic coefficient γ , I define the normalized epistatic coefficient E, as in prior studies of in silico adaptive landscapes [47]:

$$E_i = \frac{|\gamma_i|}{\sum_j |\gamma_j|} \tag{4}$$

Where the sum over *j* runs over all epistatic coefficients 281 comprised in γ . In this study, I only use the absolute values 282 of the epistatic coefficients for all analyses, without normal-283 ization. One can average the interaction coefficients within 284 an order to facilitate comparisons between orders (e.g., are 285 third order effects stronger than pairwise effects across en-286 vironments?). I label these order-averaged effects with the 287 term "absolute mean." This provides mean values for each 288 order, which calculates the overall contribution of, for exam-289 ple, 1st order effects and higher-order (3rd order, 4th order, 290 etc.) effects. And one can examine how the order of effects 291 changes across environmental gradients, representing a kind 292 of mutation effect reaction norm for higher-order epistasis. 293

III. RESULTS

First, we discuss the reaction norm, the performance (growth rate) of the 16 alleles across drug environments. Second, we will discuss what happens when these alleles are deconstructed into their mutation effects using the procedures outlined in the Method and depicted across environments.298This is the anatomy of the Mu-RN, and the most critical aspect of the results. Lastly, we provide a real-world example300of a type of problem that the Mu-RN might be applied to:301the diagnosis of mutations that have certain effects in specific302environments, and serve as "compensatory ratchets" against303reverse evolution.304

A. The reaction norm demonstrates the growth rate of alleles 305 across drug environments 306

Figure 1 depicts reaction norms for a combinatorial set of
16 alleles. The data demonstrate growth rates as a function
of concentrations of two different drugs: pyrimethamine (1A,
B) and cycloguanil (1C, D).307

The dynamism of the reaction norms is further encapsu-311 lated by depictions of the respective rank orders of alleles in 312 the presence of the two antimalarial drugs, pyrimethamine 313 and cycloguanil (Fig. 1B, D). That the rank order of alleles 314 changes rapidly at some concentrations is a signature of epis-315 tasis present in the system, as rank order reflects nonlinear 316 interactions between the mutations that compose the allele 317 [48]. Specifically, note the rapid rank order changes occur-318 ring at certain drug concentrations (roughly from $10^{-2}\mu M$ to 319 $10^2 \mu M$, on both pyrimethamine and cycloguanil). The data 320 that compose these reaction norms were previously exam-321 ined with respect to how drug environments create different 322 evolutionary dynamics (across drug type and concentration) 323 [36, 34]. 324

B. Exploring how the phenotypic effects of mutations changes according to environment

Mutation effect reaction norms display interactions 327 between mutations for two similar antifolate drugs 328 (pyrimethamine and cycloguanil) along continuous en-329 vironmental dimensions (Fig. 2A and 2C). Using this 330 approach, we can observe how mutation effects are tuned 331 differently across environments that are at least somewhat 332 similar. That is, pyrimethamine and cycloguanil are both 333 antifolate drugs used to treat malaria infections and have 334 slightly different patterns of mutation effects across contexts, 335 all coefficients converging towards [0] as drug concentra-336 tions increase. That is, at a high enough drug concentration, 337 no alleles grow (see the reaction norm results, Figure 1), 338 and so the mutation interactions that compose the alleles 339 in the reaction norm have small effects at the highest drug 340 concentrations. 341

The differences between pyrimethamine and cycloguanil 342 manifest in the topographies of their respective adaptive 343 landscapes[34, 36] and in the shape of their mutation effect 344 reaction norms. For clarity, the average effects of single mu-345 tations are emphasized in the Figures 2A and 2C (thicker 346 lines), as they are the coefficients whose interpretation are 347 the most intuitive: the single mutation effect lines provide 348 an average description of how impactful each of the four in-349

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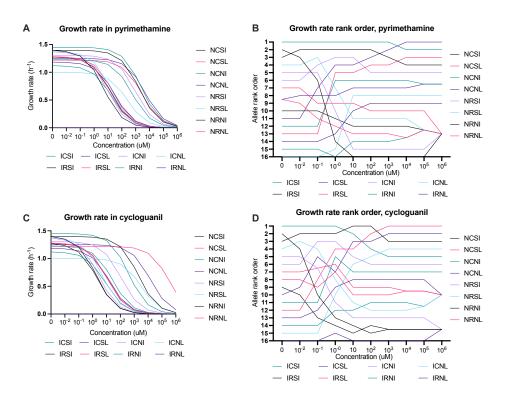


Figure 1: Reaction norms and rank orders. The reaction norm for growth rates corresponding to *Plasmodium falciparum* carrying 16 different alleles of dihydrofolate reductase associated with resistance to antifolates, across drug environments. (A) Reaction norm for growth rate of alleles across drug concentrations of pyrimethamine. (B) Rank order of alleles across drug concentrations in pyrimethamine. (C) Reaction norm for growth rate of alleles across drug concentrations of cycloguanil, and (D) Rank order of alleles across drug concentrations in cycloguanil.

dividual loci are across the drug environments. The values
of the remainder of the interactions arise from the formula
outlined in the Walsh-Hadamard transform calculation (see
equations 1-4) and are more challenging to summarize verbally (see Methods).

To offer a clearer understanding of how environments 355 shape higher-order interactions, I provide a mutation effect 356 reaction norm corresponding to the absolute mean values of 357 mutation effects, organized by order (Fig. 2B and 2D). These 358 represent magnitude differences between orders of effects 359 and communicate the overall presence of higher-order inter-360 actions across environmental gradients (the environments be-361 ing drug type and concentration). 362

C. The mutation effect reaction norm highlights the specific signature of "compensatory ratchet" mutations

Of particular interest are the effects of S108N in *P. falciparum* dihydrofolate reductase (Fig. 2A, 2C). The effects of an orthologous mutation were described in a study of reverse evolution of antifolate resistance in *Plasmodium vivax* [49]. Note that in both pyrimethamine and cycloguanil, the mutation effect has a similar pattern: a negative effect at low drug concentrations, with a sign change (from negative to positive) as drug concentrations increase towards 1.0 μ M. As drug concentrations get very high, mutation effects are low. 373

This change of of the sign of a mutation effect (from neg-374 ative to positive) is a signature of a mutation that could be 375 described as "compensatory." For example, the mutation cor-376 responding to S108N is conditionally beneficial, conferring 377 positive epistatic interactions in high drug concentration en-378 vironments (Fig. 2A). These mutations restore growth in ge-379 netic backgrounds where alleles are growing poorly (gener-380 ally true in high drug concentrations). This compensatory 381 S108N mutation also serves as a ratchet that undermines the 382 reversal of evolution (from the IRNL quadruple mutant to-383 wards the NCSI wildtype in this setting). 384

Figure 3 further describes how mutation interactions in-385 volving the S018N mutation can influence evolution. In 3A, 386 we observe that alleles that contain S018N have a signifi-387 cantly higher growth rate, across all drug concentrations of 388 both pyrimethamine (Kruskal-Wallis: 5A, pyrimethamine, p 389 = 0.0002). As mentioned, the S108N mutation is an ortholog 390 of a mutation, S117N, that has been described as a "pivot" 391 mutation, that both dictates the direction of adaptive evolu-392 tion, and precludes reversal [49]. 393

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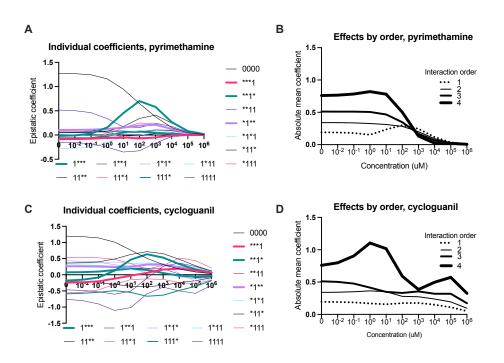


Figure 2: Mutation effect reaction norms (Mu-RN). The mutation effect reaction norm corresponding to the strength of interactions. (A) The Mu-RN depicts interactions between individual loci associated with resistance within the *Plasmodium falciparum* dihydrofolate reductase across a breadth of concentrations of pyrimethamine (x-axis title removed for clarity). The binary notation corresponds to interactions between one of four individual loci within the *P. falciparum* dihydrofolate reductase. (B) Mu-RN corresponding to a transformation of the data in (A), whereby the absolute mean of values of all the effects of a certain order are combined, which provides a perspective on how higher-order epistasis varies across environmental context (see Methods for details). (C) A Mu-RN for individual loci interactions effects on growth rate across cycloguanil concentrations (x-axis title removed for clarity). (D) A Mu-RN depiction of higher-order epistasis for resistance across a set of cycloguanil environments. Note that both A and C, the single mutation effects corresponding to **1* is emphasized with a thicker line. This effect, corresponding to the average effect of the S108N mutation across genetic backgrounds and drug environments, is of special interest, as discussed in the main text.

Figure 3B is a hypergraph summary of the predicted evo-394 lutionary trajectories in pyrimethamine [34]. Predictions can 395 be made from the rank orders of alleles outlined in Fig-396 ure 1. That is, starting from NCSI, evolution may fol-397 low a path of increasing growth rate, a proxy for repro-398 ductive fitness in this setting. Figure 3B depicts "forward" 399 evolution starting from the wild type (NCSI) allele evolv-400 ing at $10^6 \mu M$ pyrimethamine, as summarized in previ-401 ous studies [34]. In addition, Figure 3B shows the pre-402 dicted "reverse" evolution trajectory, when the IRNL quadru-403 ple mutant evolves in a drugless environment. The pre-404 ferred trajectories for both forward and reverse evolution 405 in pyrimethamine—Forward: NCSI → NCNI → NRNI 406 \rightarrow IRNI \rightarrow IRNL; Reverse IRNL \rightarrow IRNI all steps in the pre-407 ferred pathways-forward and reverse contain the S108N 408 mutation. The mutation plays a central role in dictat-409 ing the direction of evolution in both high drug (10⁶ μM 410 pyrimethamine) and the drugless environment. 411

IV. DISCUSSION

In this study, I introduce an abstraction called the muta-412 tion effect reaction norm (Mu-RN), that depicts how muta-413 tion effects and epistasis vary across environmental contexts. 414 To demonstrate how it works, I apply previously developed 415 mathematical methods introduced to measure higher-order 416 epistasis on combinatorially complete data sets, across drug 417 type and concentration. One of this study's key messages are 418 about how the capriciousness of mutation effects and interac-419 tions, as a function of environments and contexts, contributes 420 to the complexity of the relationship between genotype and 421 phenotype. 422

We should note the parallels between this perspective and classical debates about the "gene's-eye view of evolution" development between Sewell Wright and Ronald Fisher [3]. Though the specifics of Wright's arguments were different than the ones outlined in this manuscript, he was an advocate of a more complex view of genetic systems, and critical of a simple, development term of the specific systems and the specific systems are specific to the specific systems and the specific systems are specific to the specific systems and the specific systems are specific to the specific to the specific systems are specific to the specific systems are specific to the specific

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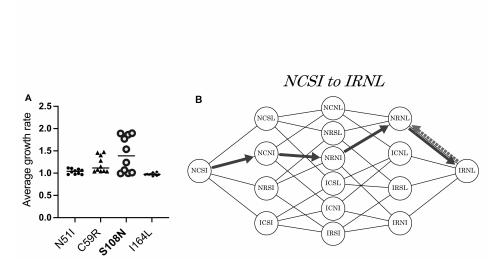


Figure 3: An example application of the Mu-RN: The signature of a "compensatory ratchet" mutation. Here we depict how the S108N mutation's effect across environments plays a critical role in "forward" evolution and undermines "reverse" evolution. (A) Averaged across pyrimethamine concentrations, alleles containing the S018N mutation grow significantly better than any set of alleles containing any other single mutation (Kruskal-Wallis: 5A, pyrimethamine, p = 0.0002). This is because of the compensatory effects of the mutation at high drug concentrations. (B) The hypercube represents the combinatorial set of 16 alleles as described in the Methods. Based on the rank-order in Figure 1, the predicted pathways of stepwise evolution from the wild-type genotype (NCSI) through the adaptive landscape at a high drug concentration (black arrows) at 10⁶ μ M, and reversal in a drugless environment (dashed arrow). In this scenario, the compensatory nature of the S108N mutation provides a "compensatory ratchet," that helps evolution evolve towards a fitness peak in the high drug concentration (black arrows) but prevents it from reversing towards wildtype (NCSI) in a drugless environment (dashed arrow).

genic view of evolution [3, 4, 5]. The mutation effect reaction
norm emerges from this intellectual tradition: it embodies
complexity and the many types of interactions that define the

shape of genetic systems and adaptive evolution.

433 A. Tracking mutation effects across environmental contexts

With the mutation effect reaction norm, several questions 434 can be examined, such as how multi-dimensional environ-435 ments tune the phenotypic effects of mutations. We observe 436 this through comparing the shape of the mutation effect re-437 action norms for a suite of mutations associated with re-438 sistance to pyrimethamine and cycloguanil (antifolate drugs 439 used to treat malaria that are similar in structure) across a 440 range of drug concentrations. In this case, we see how and 441 why the adaptive landscapes differ for the two drugs: inter-442 actions between mutations in the P. falciparum dihydrofo-443 late reductase protein backbone differ as a function of both 444 drug type (pyrimethamine or cycloguanil) and drug concen-445 tration. Though pyrimethamine or cycloguanil have a similar 446 mechanism of action and are similar in size (pyrimethamine 447 molecular weight = 248.7; cycloguanil molecular weight = 448 251.7), these ostensibly subtle differences have meaningful 449 consequences for patterns of resistance in nature [35]. 450

Moving past the specific case of antimicrobial resistance, 451 these findings speak to concepts that are of central impor-452 tance in evolutionary theory. For example, the mutation ef-453 fect reaction norm may inform models of how adaptive evo-454 lution occurs in fluctuating environments, where context-455 specific interactions can create opportunity and constraint 456 [18, 27, 33]. These ideas are especially germane to mod-457 ern efforts to improve on notions of static adaptive or fitness 458 landscapes, towards the more realistic analogy of the fitness 459 seascape [50]. 460

B. The Mu-RN and the dynamics of adaptation and reversal 461

The study's detailed examination of one mutation's inter-462 action (S108N) serves as an example of how tracking the 463 effects and interactions across environments allows one to 464 identify (i) the specific contexts in which a given mutation 465 is compensatory and (ii) the degree to which the effect is 466 compensatory or not. The story that I have revealed about 467 S108N likely applies to many "compensatory ratchet" muta-468 tions: their effects are often not binary-as in, they are ben-469 eficial in an environment or not-but rather, have stories that 470 are more nuanced. Other examples include studies of bac-471 terial translation machinery, where the contingent nature of 472 compensatory mutations in evolution also manifests [51]. 473

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The findings surrounding "compensatory ratchet" muta-474 tions also highlights why reversal can be unlikely in circum-475 stances where phenotypic effects of mutations (and interac-476 tions) are specific to certain environmental contexts. This has 477 practical relevance and informs public health and biomedical 478 approaches to addressing the antimicrobial resistance prob-479 lem (for example). Studies have revealed why resistance 480 management approaches that attempt to drive populations of 481 resistant microbes "back" to more susceptible forms can be 482 challenging [52, 53, 54]. And other studies have proposed 483 methods and perspectives for how to reverse the effects of 484 resistance [55]. We suggest that tracking mutation effects 485 across environments, using the Mu-RN, can elucidate the 486 molecular causes of irreversibility. 487

V. CONCLUSION

The scientific world is full of models and abstractions that 488 vary in their ability to describe relevant phenomena. What 489 does the mutation effect reaction norm add? Is it just an-490 other abstraction that is engineered for clarifying purposes, 491 but accomplishes the opposite? While only time will tell 492 whether this new abstraction is useful, I have argued that it 493 fills a notable gap, provides a simple, tractable, transposable 494 depiction of how mutation effects and epistatic interactions 495 can change across environmental contexts. This is consistent 496 with prior descriptions of "environmental epistasis" [27], but 497 made more generalizable, for potential applications across 498 settings. 499

In order to depict environmental epistasis in simple terms, 500 I have used the reaction norm as a basis for conception 501 and comparison. Table 1 describes the differences between 502 the reaction norm and the mutation effect reaction norm, 503 including how one can interpret the information contained 504 within them. The mutation effect reaction norm abstrac-505 tion offers a different view of gene by environment interac-506 tions, by deconstructing genotype-phenotype maps in terms 507 of the often peculiar interactions between genes and mu-508 tations across environments. This can offer a "mutation-509 centric" or "interaction-centric" view of genotype-phenotype 510 maps where the object of interest is not entire haplotypes, but 511 rather, the individual interactions between the mutations that 512 compose those haplotypes, and environments. While evolu-513 tionary geneticists have long appreciated the importance of 514 individual mutations, I argue that the mutation effect reac-515 tion norm appreciates another level of nuance, whereby we 516 can author more detailed biographies of mutations and their 517 interactions. 518

Finally, I argue that the mutation effect reaction norm has
important practical implications across several domains. By
understanding how mutation effects and epistasis are driven
by context, we can appreciate their role in obfuscating results
from experimental studies of mutations [56], or in terms of
how the context specificity of mutations may complicate ge-

netic modification efforts [57]. For example, we should be careful to consider the environments in which the effects of engineered mutations evaluated. 527

The implications for predictive evolution are fairly obvi-528 ous: the environmental mediator of nonlinear genetic inter-529 actions frames the topography of genotype-phenotype space, 530 and by extension, how we expect the process of adaptive evo-531 lution to occur [58, 59, 60]. In addition, recent studies that 532 support the role of contingency in evolution might be ex-533 plained by the specificity of mutation effects, adaptive land-534 scape topography or environmental context [61, 62]. In the 535 biomedical arena, the abstraction has obvious connections to 536 modern efforts to explain, predict or steer the evolution of 537 antibiotic resistance, all of which involve an understanding 538 of the effects of mutations[63, 64, 65, 66, 67]. 539

Beyond drug resistance, the mutation effect reaction norm 540 has practical applications to a range of other problems in 541 biomedicine and public health. For example, the Mu-RN 542 may allow us to better identify mutations in pathogens that 543 are associated with emergence such as the ones identified in 544 the form of a "watchlist" of mutations [68]. This notion has 545 become especially relevant in the context of the COVID-19 546 pandemic. A year after the start of the pandemic, several 547 variants of concern (VoC) began to circulate and define a new 548 wave of the pandemic globally. These VoCs are the prod-549 uct of suites of mutations, some of which interact in a non-550 linear fashion and have complicated our attempts to resolve 551 which mutations are sole signatures of pathogenic potential 552 (specifically, increased transmission and/or possible escape 553 from vaccine-induced immunity) [69, 70]. I argue that the 554 key to properly characterizing these mutations resides in an 555 understanding of how environmental context shapes their ef-556 fect. That is, host structure, demographics (e.g., age), and 557 other factors may influence how a given SARS-CoV-2 muta-558 tion interacts with others, creating a variant of concern. 559

Notably absent from my introduction of the mutation ef-560 fect reaction norm are analytical descriptions in the formal 561 parlance of quantitative genetics. This is unlike the reaction 562 norm, which has been the subject of these efforts in the past 563 [71, 23, 72]. And the existence of analytically inspired stud-564 ies in related topics, such as the use of rank orders of geno-565 types to infer genetic interactions [48, 39], or those that have 566 examined the fate of mutations in fluctuating environments 567 [73] suggest that similar formalisms may exist for the muta-568 tion effect reaction norm. This constitutes a future direction 569 of investigation. 570

These gaps notwithstanding, the mutation effect reaction 571 norm may encourage evolutionary geneticists to add nuance 572 to conversations about how genotype relates to phenotype. Unidimensional questions about the contributions of a mutation to a phenotype are mostly insufficient. Moving forward, 575 we should consider mutation effects with respect to the multidimensional environments which define the natural world. 577

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Term	Data	Interpretation
<i>Reaction norm</i> or norm of reaction	The performance, phenotype or trait value for different alleles, strains, mutants, variants, or forms, or populations, across an environmental gradient (continu- ous or other)	Used to identify and measure the effects of genes, environments, gene x environ- ment interactions, phenotypic plasticity, and other related properties in quantitative genetics, evolutionary genetics, and ecol- ogy.
Mutation effect reaction norm (Mu-RN)	The phenotypic effect of indi- vidual or collections of muta- tions across a set of environ- ments (continuous or other)	Used to measure how environments in- fluence the effect of individual mutations, the interaction between suites of muta- tions, and epistatic interactions. It can help to explain how the environmental sculpts the topography of adaptive landscapes via environment-dependent mutation effects.

Table 1: Reaction norm vs. Mutation effect reaction norm. This table briefly describes the differences between the two abstractions

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SUPPORTING INFORMATION AND DATA ARCHIVING

Data and other materials can be found on Figshare: https://doi.org/10.6084/m9.figshare.16661371

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AUTHOR CONTRIBUTIONS

C.B.O. conceived the idea for the manuscript; curated, analyzed and interpreted the data; wrote and edited the manuscript.