

# The mutual exclusion scheduling problem for permutation and comparability graphs\*

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## Abstract

In this paper, we consider the mutual exclusion scheduling problem for comparability graphs. Given an undirected graph  $G$  and a fixed constant  $m$ , the problem is to find a minimum coloring of  $G$  such that each color is used at most  $m$  times. The complexity of this problem for comparability graphs was mentioned as an open problem by Möhring (1985) and for permutation graphs (a subclass of comparability graphs) as an open problem by Lonc (1991). We prove that this problem is already NP-complete for permutation graphs and for each fixed constant  $m \geq 6$ .

## 1 Introduction

The following problem arises in scheduling theory: there are  $n$  jobs that must be completed on  $m$  processors in minimum time  $t$ . A processor can execute only one job at a time, and each job requires one time unit for completion. The scheduling is complicated by additional resources (e.g. I-O devices, communication links). A job can only be scheduled onto a processor in a given time unit after it has an exclusive lock on all required resources. Problems of this form have been studied in the Operations Research literature [6, 17, 18]. Another problem arises in load balancing the parallel solution of partial differential equations (pde's) by domain decomposition [5, 2]. The domain for the pde's is decomposed into regions where each region corresponds to a subcomputation. The subcomputations are scheduled on  $m$  processors so that subcomputations corresponding to regions that touch at even one point are not performed simultaneously. Other applications are in constructing school course time tables [16] and scheduling in communication systems [14].

### 1.1 Mutual exclusion scheduling

These scheduling problems can be solved by creating an undirected graph  $G = (V, E)$  with a vertex for each of the  $n$  jobs, and an edge between every pair of conflicting jobs. In each time

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step, we can execute any subset  $U \subset V$  of jobs for which  $|U| \leq m$  and  $U$  is an independent set in  $G$ . A minimum length schedule corresponds to a partition of  $V$  into a minimum number  $t$  of such independent sets. Baker and Coffman called this graph theoretical problem *Mutual Exclusion Scheduling* (short: MES). Bodlaender and Jansen [7] studied the decision problem of a complementary scheduling problem. Their initial interest was in *compatibility scheduling* (short: CS) which has the same instance and makespan objective function as MES but has a different meaning on the adjacency in  $G$ : if two tasks are adjacent in  $G$  then they can not be executed on the same processor. Therefore, in MES an independent set is processed in a time unit, whereas in CS an independent set is executed on one processor.

Lonc [19] showed that MES for split graphs can be solved in polynomial time. He proved that MES is NP-complete for complements of comparability graphs and fixed  $m \geq 3$  and that MES is polynomial solvable for complements of interval graphs and every  $m$  and for cographs and fixed  $m$ . However, Bodlaender and Jansen [7] showed that MES is NP-complete when  $G$  is restricted to cographs, bipartite or interval graphs. They also proved the following result: if either  $t$  or  $m$  is a fixed constant, then MES is in  $P$  for cographs; if  $t$  is a fixed constant, then the problem is in  $P$  for interval graphs, and if  $m$  is a constant, then it is in  $P$  for bipartite graphs. MES remains NP-complete for bipartite graphs and any fixed  $t \geq 3$ , and for interval graphs and any fixed  $m \geq 4$ . Independently, Hansen et al. [13] proved that MES restricted to bipartite graphs and fixed  $m$  is solvable in polynomial time.

Corneil [10] reports that Kirkpatrick has shown the NP-completeness of MES restricted to chordal graphs and fixed  $m \geq 3$ . For  $m = 2$ , MES is equivalent to the maximum matching problem in the complement graph and, therefore, in  $P$ . On the other hand, for  $m = 3$  the complexity of MES is the same as that of the NP-complete problem partition into triangles in the complement graph. Moreover, Baker and Coffman [2] proved that MES is in  $P$  for forests and general  $t, m \in \mathbb{N}$ . A linear time algorithm was proposed in [15] for MES restricted to graphs with constant treewidth and fixed  $m$ . Furthermore, MES is NP-complete for complements of line graphs and fixed  $m \geq 3$  [9] and polynomial for line graphs and every fixed  $m$  [1].

In this paper, we prove the following main result.

**Theorem 1.1** *For each fixed constant  $m \geq 6$ , the problem MUTUAL EXCLUSION SCHEDULING is NP-complete for permutation graphs (and also comparability graphs).*

## 1.2 $M$ -machine scheduling

A *partial order* will be denoted by  $P = (V, <_P)$  where  $V$  is the set of vertices and  $<_P$  is the order relation, i.e. an irreflexive and transitive relation whose pairs  $(a, b) \in <_P$  are written as  $a <_P b$  (for  $a, b \in V$ ). If the relation is clear, we write  $<$  instead of  $<_P$ . Two elements  $a, b \in V$  are *comparable* in  $P$  if  $a < b$  or  $b < a$ . A set of pairwise comparable elements is called a *chain*, and a set of pairwise incomparable elements is called an *antichain*. An element  $a$  is *minimal* in  $P$ , if it has no predecessor. With each partial order  $P = (V, <)$ , we may associate an undirected graph  $G(P)$  as follows. The vertices of  $G(P)$  are the elements in  $V$ , and two vertices are connected by an edge in  $G(P)$  if they are comparable in  $P$ .  $G(P)$  is called the comparability graph of  $P$ . In general, an undirected graph  $G$  is called a *comparability graph*, if  $G = G(P)$  for some partial order on its vertex set. Algorithmic aspects of comparability graphs are given e.g. in [20].

Let us consider another famous scheduling problem. The *m-machine scheduling* problem with unit times can be modeled by a partition of a partial order  $P = (V, <)$  into antichains  $A_1, A_2, \dots, A_t$  such that  $|A_i| \leq m$  and

(\*) each set  $A_i$  consists of some minimal elements of  $V \setminus (A_1 \cup \dots \cup A_{i-1})$  for  $i = 1, \dots, t$ .

In spite of intensive research by several scientists, the complexity status of the problem of finding such a partition with minimum  $t$  for each fixed constant  $m \geq 3$  still remains unsolved [4]. In fact, this problem is mentioned already in the original list of ten basic open problems in complexity theory [11]. For an overview about different classes of partial orders and complexity results, we refer to [4, 22]. Möhring [21] proposed 1985 a related problem. He asked for the complexity of the problem with condition (\*) dropped. This amounts to the mutual exclusion problem restricted to comparability graphs and fixed constant  $m \geq 3$ . Furthermore, Lonc [19] asked 1991 for the complexity of MES restricted to permutation graphs (a subclass of all comparability graphs) and fixed  $m \geq 3$ .

In the classical *m-machine scheduling* problem, the jobs in antichains  $A_1, \dots, A_t$  with  $|A_i| \leq m$  corresponding to a partition that satisfies property (\*) can be executed on  $m$  processors one after another in  $t$  time steps. This follows from the fact that each vertex  $v$  in an antichain  $A_i$  has no predecessor in  $V \setminus (A_1 \cup \dots \cup A_{i-1})$ . The makespan of the corresponding schedule is equal to the number of antichains. If we drop condition (\*), it is possible that the antichains can not be ordered to form a feasible schedule. Furthermore for each  $m \geq 3$ , there exists a comparability graph  $G$  and a number  $t$  such that the answer to the problem MES is *yes* for  $G$  and  $t$ , but the answer to the  $m$  machine scheduling problem is *no* for  $t$  and any partial order  $P$  (such that  $G$  is the comparability graph of  $P$ ). However, it could be possible to modify the graph in the construction or to extend the ideas in the proof to get a NP-completeness result for the  $m$ -machine scheduling problem.

A *linear order* is a partial order without incomparable elements. A linear extension of a partial order  $P = (V, <_P)$  is a linear order  $L = (V, <_L)$  on the same ground set  $V$  that extends  $P$ , i.e.  $a <_P b$  implies  $a <_L b$  for all  $a, b \in V$ . Linear orders can be written as sequences  $L = x_1 \dots x_n$  defining the order relation  $x_1 <_L x_2 <_L \dots <_L x_n$ . The *dimension*  $\dim(P)$  of a partial order  $P$  is the smallest number of linear extensions  $L_1, \dots, L_k$  of  $P$ ,  $L_i = (V, <_i)$  whose intersection is  $P$ , i.e.  $a <_P b$  if and only if  $a <_i b$  for  $i = 1, \dots, k$ . A partial order is called *k-dimensional* for  $k \in \mathbb{N}$ , if  $\dim(P) \leq k$ .

Baker et al. [3] have given the following graph theoretic characterization: A partial order  $P$  is 2-dimensional, if and only if the complement graph  $G(P)^c$  is also a comparability graph. This implies that a graph  $G$  is a comparability graph of a 2-dimensional partial order if and only if  $G$  and its complement graph  $G^c$  are comparability graphs. These graphs have been studied also under the name *permutation graphs* [23, 12].

Let  $\Pi = (i_1, \dots, i_n)$  be a permutation of  $\{1, \dots, n\}$ . We denote with  $\Pi^{-1}(i)$  the position of  $i$  in  $\Pi$ . A graph  $G = (V, E)$  with  $V = \{1, \dots, n\}$  is a *permutation graph*, if there is a permutation  $\Pi$  such that  $\{i, j\} \in E$ , if and only if  $(i - j) \cdot (\Pi^{-1}(i) - \Pi^{-1}(j)) < 0$ . Different techniques for solving algorithmic problems on a permutation graph are given in [8]. In our proof, the permutation graph is given as a *set of points*  $\{p_j = (j, \Pi^{-1}(j)) | 1 \leq j \leq n\}$  in the plane  $\mathbb{N}^2$ . An independent set  $U = \{a_1, \dots, a_u\}$  in  $G$  corresponds to the point set  $S_U = \{(a_1, \Pi^{-1}(a_1)), \dots, (a_u, \Pi^{-1}(a_u))\}$

in increasing order (with  $a_1 < \dots < a_u$  and  $\Pi^{-1}(a_1) < \dots < \Pi^{-1}(a_u)$ ). Moreover, a clique  $C$  corresponds to a point set  $S_C$  in decreasing order.

### 1.3 Main ideas

The main ideas of the NP-completeness proof are the following. First, we choose a restricted SAT problem where each variable occurs three times (once negated and twice unnegated or once unnegated and twice negated) and where each clause contains two or three literals. Let  $n$  be the number of variables and  $r$  be the number of clauses. We prove that the restricted SAT problem remains NP-complete under a separation condition: for each variable  $x_i$  there exists an index  $l_i \in \{1, \dots, r-1\}$  such that  $x_i$  appears only negated in the first  $l_i$  clauses and unnegated in the other clauses, or vice versa.

Next, we construct a pointset in a rectangle that represents the permutation graph and that simulates the SAT formula. The rectangle can be divided into  $n$  horizontal and  $r$  vertical stripes such that each horizontal stripe corresponds to a variable and that each vertical stripe corresponds to a clause. If  $x_i$  or  $\bar{x}_i$  occurs in a clause  $c_j$  then we place a point in the square corresponding to the  $i$ .th horizontal and  $j$ .th vertical stripe. To simulate the variable setting, we differ between two parallel lines in each horizontal stripe and place the points on the upper or lower line in dependence whether the variable is negated or unnegated.

Finally, we place some points (in two chains) around the rectangle such that each independent set in an optimum solution of the restricted coloring problem for the permutation graph covers only points in a horizontal or vertical stripe. Our proof was inspired by the NP-completeness proof of K. Wagner [24].

## 2 A restricted satisfiability problem

To prove the main theorem, we consider the following restricted satisfiability problem:

### Restricted SAT

**Given:** A set of unnegated variables  $X = \{x_1, \dots, x_n\}$  and negated variables  $\bar{X} = \{\bar{x}_1, \dots, \bar{x}_n\}$ , a collection of clauses  $c_1, \dots, c_r$  over  $X \cup \bar{X}$  (subsets of  $X \cup \bar{X}$ ) such that

- (i) each clause  $c_i$  contains two or three literals  $y \in X \cup \bar{X}$ ,
- (ii) each variable  $x_j$  appears either twice unnegated and once negated or twice negated and once unnegated in the clauses,
- (iii) no clause  $c_i$  contains a pair  $x_j, \bar{x}_j$ .

**Question:** Does there exist a truth mapping for the variables such that each clause is satisfied?

The *literals* of a clause  $c_i$  are denoted by  $y_{i,1}$  and  $y_{i,2}$  (and  $y_{i,3}$  if we have three literals).

**Lemma 2.1** *The restricted SAT problem is NP-complete.*

$\bar{x}_i \bar{x}_i$ $x_i$ (1)	$x_i x_i$ $\bar{x}_i$ (2)
$x_i$ $\bar{x}_i \bar{x}_i$ (3)	$\bar{x}_i$ $x_i x_i$ (4)

Figure 1: The four cases for a variable

$x_i$ $\bar{x}_i$	$\bar{x}_i$
$\bar{a}$ $a$	$x_i \rightarrow a$
$\bar{b}$ $b$	$x_i \rightarrow b$

Figure 2: The transformation for case 1

**Proof:** By a reduction from the NP-complete SAT problem where each clause contains exactly three literals [11]. We may assume that each variable appears not only negated or unnegated; otherwise we replace  $x_j$  (or  $\bar{x}_j$ ) by the truth values *TRUE* and get a smaller instance. If a clause contains only one literal  $y \in \{x_j, \bar{x}_j\}$  (after this reduction), we replace the corresponding variable  $x_j$  in all clauses by *TRUE* if  $y = x_j$  or by *FALSE* if  $y = \bar{x}_j$ . If a clause contains a pair  $x_j$  and  $\bar{x}_j$ , we can remove the clause. Then, we have to consider the following remaining cases:

**Case 1:** A variable  $x_j$  appears once unnegated and once negated. In this case, we choose a new variable  $a_1$ , insert the clauses  $(x_j \vee \bar{a}_1), (\bar{x}_j \vee a_1)$  and replace the second occurrence of  $x_j$  in the old clauses by  $a_1$ .

**Case 2:** A variable  $x_j$  appears  $k \geq 4$  times in the clauses. In this case, we choose new variables  $a_1, a_2, \dots, a_{k-1}$ , insert the clauses  $(x_j \vee \bar{a}_1), (a_1 \vee \bar{a}_2), \dots, (a_{k-1} \vee \bar{x}_j)$  and replace the  $h$ .th occurrence of  $x_j$  in the old clauses by  $a_{h-1}$  for  $1 < h \leq k$ .

In both cases, we obtain that the truth value  $x_j$  is equal to  $a_1 = \dots = a_{k-1}$  and that each variable appears now in three different clauses.  $\square$

For our reduction, we need a further property for the restricted SAT problem. Let us assume that the clauses are numbered by  $1, \dots, r$  and that the number of the  $j$ .th occurrence of variable  $x_i$  in the clauses is denoted by  $i[j]$ . Since each clause contains a variable  $x_i$  at most once, we have  $i[1] < i[2] < i[3]$ . We say that an instance  $I$  has the **separation** property if for each variable  $x_i$

$\bar{x}_i$	$x_i$	$x_i$
$a$	$\bar{a}$	$\bar{x}_i \rightarrow \bar{a}$
$b$	$\bar{b}$	$\bar{x}_i \rightarrow \bar{b}$

Figure 3: The transformation for case 2

one of the following cases is true (observe that each variable appears either twice negated and once unnegated or twice unnegated and once negated):

- (1)  $x_i$  appears negated in clauses  $i[1]$  and  $i[2]$  and unnegated in  $i[3]$ ,
- (2)  $x_i$  appears unnegated in clauses  $i[1]$  and  $i[2]$  and negated in  $i[3]$ ,
- (3)  $x_i$  appears negated in clauses  $i[2]$  and  $i[3]$  and unnegated in  $i[1]$ ,
- (4)  $x_i$  appears unnegated in clauses  $i[2]$  and  $i[3]$  and negated in  $i[1]$ .

The separation property means that only the cases in Figure 1 are possible. This property is necessary for our reduction in section 3. The Figure 1 illustrates how the points corresponding to  $x_i$  and  $\bar{x}_i$  are placed in the horizontal stripe corresponding to variable  $x_i$ .

**Lemma 2.2** *The restricted SAT problem with separation property is also NP-complete (even if there are only case (3) or (4) variables).*

**Proof:** By a reduction from the restricted SAT problem. For each variable  $x_i$  consider the following two possibilities:

**Case 1:** Variable  $x_i$  appears twice unnegated and once negated in the clauses. In this case, we choose two new variables  $a, b$  and insert the new clauses  $(x_i \vee \bar{a})$ ,  $(a \vee \bar{b})$ ,  $(b \vee \bar{x}_i)$  at the beginning of the sequence of clauses. Furthermore, we replace the first unnegated occurrence of  $x_i$  by  $a$  and the second unnegated occurrence by  $b$  in the old clauses. Then, we obtain the ordering as illustrated in Figure 2 and observe that the variables  $x_i$ ,  $a$  and  $b$  now have the desired property.

**Case 2:** Variable  $x_i$  appears twice negated and once unnegated in the clauses. We insert the same clauses as in case 1, but we change the order as follows:  $(\bar{x}_i \vee b)$ ,  $(\bar{b} \vee a)$ ,  $(\bar{a} \vee x_i)$ . Next, we replace the first negated occurrence of  $x_i$  by  $\bar{a}$  and the second negated occurrence by  $\bar{b}$ . Then, we obtain the ordering in Figure 3 and get the desired property for the variables  $x_i$ ,  $a$  and  $b$ .  $\square$

### 3 The proof of the main theorem

The permutation graph in our proof is represented by a *set of points*  $\{p_j = (j, \Pi^{-1}(j)) | 1 \leq j \leq n\}$  in the plane  $\mathbb{N}^2$ . We notice that an independent set  $U = \{a_1, \dots, a_u\}$  in  $G$  corresponds to the point set  $S_U = \{(a_1, \Pi^{-1}(a_1)), \dots, (a_u, \Pi^{-1}(a_u))\}$  in increasing order (with  $a_1 < \dots < a_u$  and  $\Pi^{-1}(a_1) < \dots < \Pi^{-1}(a_u)$ ). Moreover, a clique  $C$  corresponds to a point set  $S_C$  in decreasing order. In the following, we give a proof of the main theorem with fixed constant  $m = 6$ . The proof can be simply modified such that the result is true for each fixed constant  $m \geq 6$ .

**Proof of main theorem:** The theorem is proved by a reduction from the restricted SAT problem with separation property (and with only case (3) and (4) variables). Let  $I_1$  be an instance of such problem containing a collection of  $r$  clauses  $c_1, \dots, c_r$  over a set of unnegated and negated variables  $\{x_1, \bar{x}_1, \dots, x_n, \bar{x}_n\}$ . Let  $r_2$  (and  $r_3$ ) be the number of 2-clauses (3-clauses) in  $I_1$ . The *literals* of clause  $c_i$  are denoted by  $y_{i,1}$  and  $y_{i,2}$  (and  $y_{i,3}$  if we have three literals). We denote with  $\ell(i)$  the number of literals in clause  $c_i$ .

An instance  $I_2$  of the mutual exclusion scheduling problem with bound 6 for each independent set is constructed as follows. The constructed point set for the restricted SAT instance  $(x_1 \vee \bar{x}_2 \vee x_i) \wedge (\bar{x}_1 \vee x_2 \vee x_n) \wedge \dots \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_n)$  is given in Figure 4. In this example,  $x_1$  and  $x_n$  are case (3) variables and  $x_2$  is a case (4) variable. The permutation graph  $G$  is given by the corresponding point set

$$P = \bigcup_{i=1}^r [A_i \cup B_i] \cup \bigcup_{i=1}^r [E_i \cup D_i \cup \{a_{i,1}, a_{i,2}\}] \cup \bigcup_{1 \leq i \leq r, \ell(i)=3} [E'_i \cup D'_i \cup \{a_{i,3}\}]$$

where

- $A_i$  consists of one point and  $B_i$  consists of three points on the increasing line as marked in Figure 4.
- $E_i$  (and  $E'_i$  if clause  $c_i$  contains three literals) consists of four points on the increasing line and  $D_i$  (and  $D'_i$  if  $c_i$  contains three literals) consists of one point as marked in Figure 4.
- $a_{i,k}$  is a point situated in the crossing of the decreasing line  $h_i$  and the increasing line marked by  $f_\ell$  or  $g_\ell$  for  $y_{i,k} \in \{x_\ell, \bar{x}_\ell\}$ .

We choose the line  $f_\ell$  or  $g_\ell$  in dependence to the cases (3) and (4) for the variable  $x_\ell$  (as constructed in the proof of Lemma 2.2). We place the points as given in Figure 5. If the first occurrence of variable  $x_\ell$  is unnegated, we have case (3) (otherwise we have case (4)). In case (3), the unnegated literal is placed on line  $f_\ell$  and the negated literals on line  $g_\ell$ . This means for  $y_{i,1} = x_\ell$  that  $a_{i,1}$  lies in the crossing of the lines  $h_i$  and  $f_\ell$ . In case (4), we place the negated literal on line  $f_\ell$  and the unnegated literals on line  $g_\ell$ .

The maximum clique size  $\omega(G)$  of the constructed permutation graph is equal to

$$t = n + r_2 + 2 \cdot r_3.$$

One maximum clique is given e.g. by

$$K = A_1 \cup \dots \cup A_n \cup \{z_i | 1 \leq i \leq r\} \cup \{z'_i | E'_i \neq \emptyset\}$$

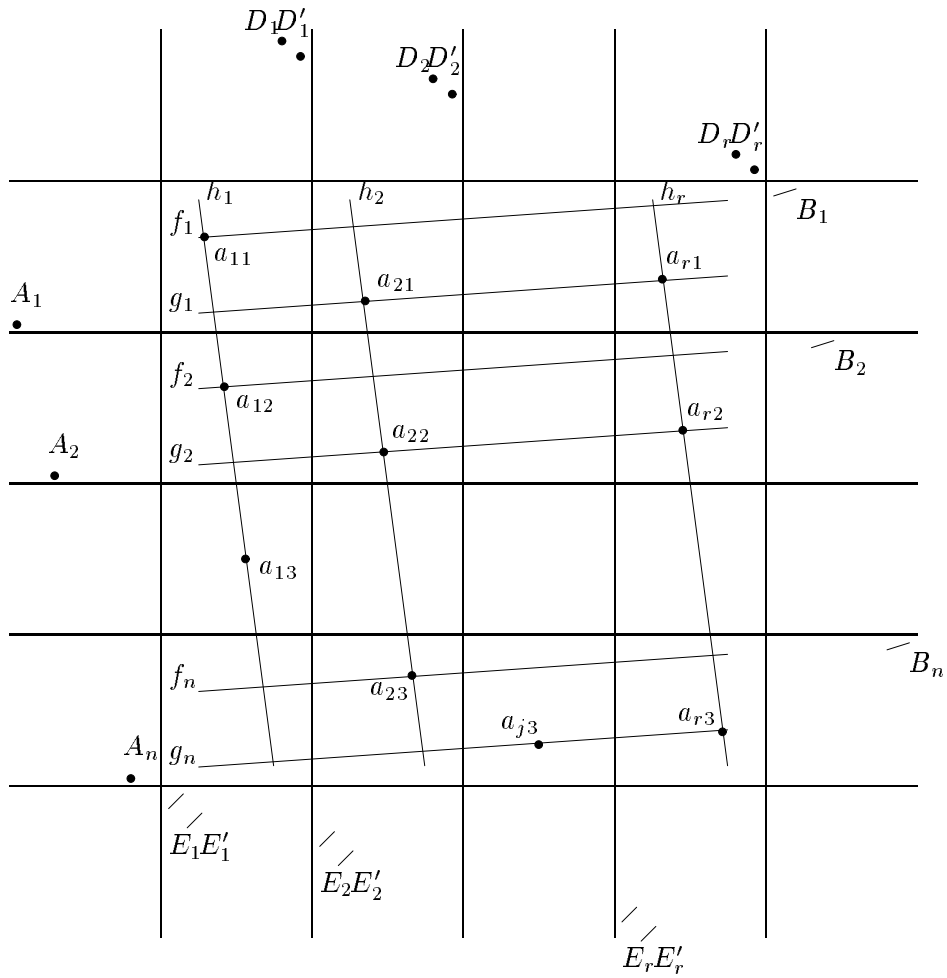


Figure 4: The point set  $P$  constructed for a restricted SAT instance  $(x_1 \vee \bar{x}_2 \vee x_i) \wedge (\bar{x}_1 \vee x_2 \vee x_n) \wedge \dots \wedge (\bar{x}_1 \vee x_2 \vee \bar{x}_n)$ .

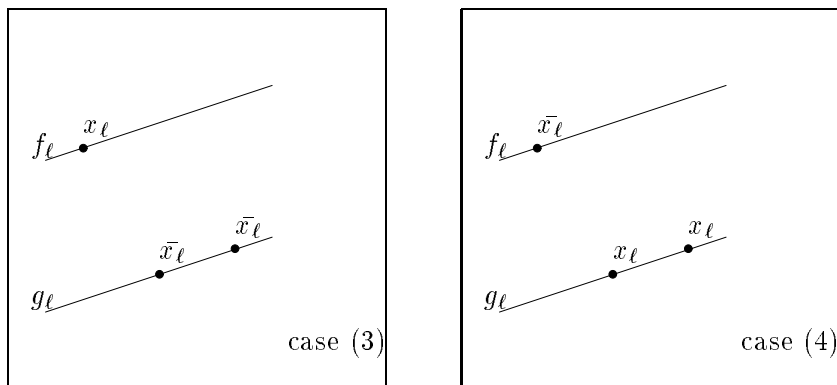


Figure 5: The way to place the points on the lines  $f_\ell$  or  $g_\ell$



with arbitrarily chosen  $z_i \in E_i$  and  $z'_i \in E'_i$  (if  $c_i$  is a 3-clause). As illustrated in Figure 4, the point set  $\{a_{i,k} | 1 \leq i \leq r, 1 \leq k \leq \ell(i)\}$  can be divided into  $n$  horizontal (one stripe for each variable) and  $r$  vertical stripes (one stripe for each clause).

We prove the following **claim**:  $I_1$  is a yes - instance of the restricted SAT problem with separation property if and only if there is a coloring of the permutation graph in instance  $I_2$  with at most  $t$  colors where each color is used at most 6 times.

Suppose that  $\alpha$  is a truth assignment for the variables which makes the clauses  $c_1, \dots, c_r$  true. The first  $n$  colors cover the points of  $A_j \cup B_j$  and all points (at most two) on  $f_j$  if  $[\alpha(x_j) = FALSE$  and  $x_j$  is a case (4) variable] or  $[\alpha(x_j) = TRUE$  and  $x_j$  is a case (3) variable] or all points on  $g_j$  if  $[\alpha(x_j) = FALSE$  and  $x_j$  is a case (3) variable] or  $[\alpha(x_j) = TRUE$  and  $x_j$  is a case (4) variable]. Then, at least one of the points  $a_{i,1}, \dots, a_{i,\ell(i)}$  is colored with one of these  $n$  colors for each clause  $c_i$ . The remaining  $t - n$  colors are used to color the points of  $D_i, D'_i, E_i, E'_i$  and the remaining uncolored points in the vertical stripes. We use two colors for a 3-clause and one color for a 2-clause. This gives us a  $t$ -coloring of  $G$  such that each color is used at most 6-times.

Conversely, suppose that we have a  $t$ -coloring  $f$  of the permutation graph  $G$  where each color is used at most 6 times. First, we observe that

$$K = A_1 \cup \dots \cup A_n \cup \{z_i | 1 \leq i \leq r\} \cup \{z'_i | E'_i \neq \emptyset\}$$

with arbitrarily chosen  $z_i \in E_i$  and  $z'_i \in E'_i$  and that

$$\bar{K} = D_1 \cup \dots \cup D_r \cup \{D'_i | 1 \leq i \leq r, \ell(i) = 3\} \cup \{b_1, \dots, b_n\}$$

with arbitrarily chosen  $b_i \in B_i$  are cliques in  $G$  of size  $t$ . This implies that the vertices in the cliques  $K$  (and  $\bar{K}$ ) must be colored differently and that points in every chain  $E_i$  (and  $E'_i, B_j$ ) must be colored with the same color. This means e.g. that the number of colors  $|\{f(z) | z \in E_i\}|$  is equal 1. Since the cliques  $K$  and  $\bar{K}$  are disjoint, there must be an 1 - 1 assignment between the vertices in  $K$  and  $\bar{K}$  to obtain a  $t$ -coloring.

**Feasible assignments:** Since each color can be used at most 6 times, it is not possible to take the same color for a  $E_i$  chain (or  $E'_i$  chain) and a  $B_j$  chain; otherwise we have 7 vertices colored with the same color. This implies that only the following assignments (or colorings) are possible:

- (1) the color set  $\{f(A_j) | 1 \leq j \leq n\}$  is equal to  $\{f(b_j) | 1 \leq j \leq n, b_j \in B_j\}$ ,
- (2) the color set  $\{f(z_i), f(z'_i) | z_i \in E_i, z'_i \in E'_i, 1 \leq i \leq r\}$  is equal to  $\{f(D_i), f(D'_i) | 1 \leq i \leq r\}$ .

W.l.o.g. we may assume that  $f(A_j) = j$  for  $1 \leq j \leq n$ . In the next step, we can prove that

- (3)  $f(A_j) = f(b_j) = j$  with  $b_j \in B_j, 1 \leq j \leq n$ ,
- (4)  $f(z_i) = f(D_i)$  with  $z_i \in E_i$  and  $f(z'_i) = f(D'_i)$  with  $z'_i \in E'_i$  for a 3-clause  $c_i$ .

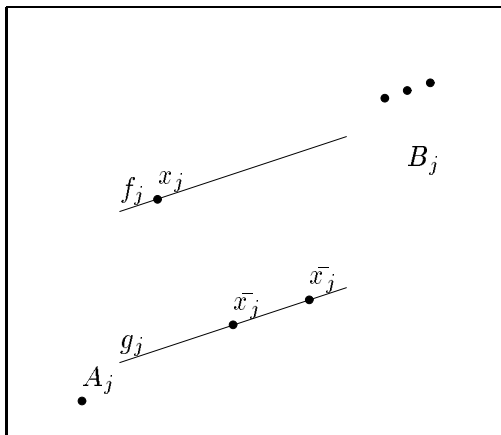


Figure 6: A horizontal stripe with points  $A_j$  and  $B_j$

For example, consider the points in the sets  $A_j, B_j$ . We know already that the color sets

$$\begin{aligned} \{f(A_j) | 1 \leq j \leq n\} &= \{f(b_j) | 1 \leq j \leq n, b_j \in B_j\} \\ &= \{1, \dots, n\}. \end{aligned}$$

Since  $A_1$  is in conflict to all points in the chain  $B_2, \dots, B_n$ , we get  $f(A_1) = f(b_1) = 1$  with  $b_1 \in B_1$ . For the induction step, observe that  $A_i$  is in conflict to the points in  $B_{i+1}, \dots, B_n$  and to the points  $A_1, \dots, A_{i-1}$ . Therefore,  $f(A_i) \notin \{f(b_j) | 1 \leq j \leq n, j \neq i, b_j \in B_j\}$ . This implies that  $f(A_i) = f(b_i)$  with  $b_i \in B_i$  and proves property (3) above. A similar idea can be used to prove property (4). We notice that it is possible that  $f(z_i) = f(D'_i)$  and  $f(z'_i) = f(D_i)$ . But we can exchange these colors without problems to obtain a coloring such that property (4) is satisfied.

**Horizontal and vertical stripes:** Finally, we look at a horizontal stripe with vertices  $A_j$  and  $b_j \in B_j$  colored with the same color  $j$ .

Using the separation property for the variable  $x_j$  we get a stripe as in Figure 6 (here given for a case (3) variable  $x_j$ ). Since the point  $A_j$  and the points in  $B_j$  are colored with  $j$ , only the point on  $f_j$  or the two points on  $g_j$  can be colored with color  $j$ . Notice that an independent set must form an increasing chain of points in the point model. Let  $U_i$  be the set of points colored with color  $i$ , for  $1 \leq i \leq t$ . We may assume that either the point on  $f_j$  or both points on  $g_j$  are colored with color  $j$ .

We define a truth assignment  $\alpha$  for the variables  $x_1, \dots, x_n$  as follows:

$$\alpha(x_j) = \begin{cases} true & \text{if } U_j \text{ contains both points on line } g_j \text{ and if } x_j \text{ is a case (4) variable} \\ true & \text{if } U_j \text{ contains a point on line } f_j \text{ and if } x_j \text{ is a case (3) variable} \\ false & \text{otherwise.} \end{cases}$$

Since  $f(z_i) = f(D_i)$  with  $z_i \in E_i$  and  $f(z'_i) = f(D'_i)$  with  $z'_i \in E'_i$  and since the points  $a_{i,k}$  lie on a decreasing line  $h_i$ , each independent set  $U_j$  with  $n+1 \leq j \leq t$  contains at most one point

in a vertical stripe. Therefore, at least one point in each vertical stripe must be covered by the sets  $U_1, \dots, U_n$ . This implies that the mapping  $\alpha$  is a truth assignment that satisfies all clauses in  $I_1$ .  $\square$

## 4 Conclusion

In this paper, we have proved that mutual exclusion scheduling (MES) restricted to permutation (and comparability graphs) is NP-complete for each fixed constant  $m \geq 6$ . Finding the complexity of MES for smaller constants  $m = 3, 4, 5$  could be a step to the solution of the famous  $m$ -machine scheduling problem with unit-times. The MES problem for permutation graphs and  $m = 3$  is equivalent to the following problem [19]:

### Problem S(3)

**Given:** A sequence of  $3 \cdot n$  distinct positive integers.

**Question:** Is there a partition of the sequence into  $n$  increasing subsequences each of length three?

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