

THE NON-SOLVABILITY BY RADICALS OF GENERIC 3-CONNECTED PLANAR LAMAN GRAPHS

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ABSTRACT. We show that planar embeddable 3-connected Laman graphs are generically non-soluble. A Laman graph represents a configuration of points on the Euclidean plane with just enough distance specifications between them to ensure rigidity. Formally, a Laman graph is a maximally independent graph, that is, one that satisfies the vertex-edge count $2v - 3 = e$ together with a corresponding inequality for each subgraph. The following main theorem of the paper resolves a conjecture of Owen (1991) in the planar case. Let G be a maximally independent 3-connected planar graph, with more than 3 vertices, together with a realisable assignment of generic distances for the edges which includes a normalised unit length (base) edge. Then, for any solution configuration for these distances on a plane, with the base edge vertices placed at rational points, not all coordinates of the vertices lie in a radical extension of the distance field.

1. INTRODUCTION

A fundamental problem in Computer Aided Design (CAD) is the formulation of effective algebraic algorithms or numerical approximation schemes which solve for the location of points on a plane, given a set of relative distances between them. For CAD applications the relevant class of configurations are those for which the distances are just sufficient to ensure that the points are located rigidly with respect to one another. It is a well-known result of Laman [10] that the graphs underlying generically rigid configurations (frameworks) have a simple combinatorial description. In our terminology they are the so-called maximally independent graphs, that is, those satisfying the vertex-edge count $2v - 3 = e$ together with a corresponding inequality for each subgraph.

A number of algebraic and numerical methods have been proposed for solving these plane configurations (Owen [12], Bouma et al. [2], Light and Gossard [11]), and these have been successfully implemented in CAD programs. Algebraic and combinatorial algorithms for graphs are particularly desirable for their speed and robustness and the resulting dramatic efficiency gains. For instances of this see, for example, the quadratic extension algorithm of [12], the graph decomposition algorithm of Hopcroft and Tarjan [9], or the combinatorial approach to protein molecule flexibility in Jacobs et al. [5].

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Current algebraic methods for solving Laman graphs assemble the solution for complete configurations from the solutions of rigid subcomponents. By introducing a limited coupling between rigid subgraphs in the form of virtual edges, we have shown [12] that the solutions for any Laman graph can be constructed from the successive combination of solutions to certain basic subgraphs (see section 3 below). The recombination consists of matching the basic subgraphs at appropriate pairs of vertices and requires only the solution of linear equations. The basic rigid subgraphs consist of triangles of edges (some edges may be virtual) which are readily solved using quadratic equations, and graphs which are vertex 3-connected [17]. In this way the problem of solving general configurations reduces to the problem of solving configurations which are represented by 3-connected graphs. Determination of 3-connectivity can be effected rapidly with order $O(v + e)$. (See [9].)

We have previously suggested that with generic distance values a subcomponent which is represented by a 3-connected graph cannot be solved by quadratic equations (Owen [12]). Configurations that can be solved in this way are also known as “ruler and compass constructible”, and Gao and Chou [6] have given a procedure for determining in principle if any given configuration is ruler and compass constructible. However their analysis is based on the detail of derived elimination equations, and they do not address the problem of generic solubility or non-solubility for general classes of graphs.

Despite the importance of algebraic solubility, the intractability or otherwise of generic 3-connected configurations has not been put on a firm theoretical basis, and in the present paper we begin such a project.

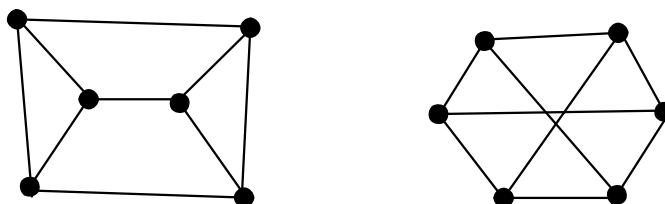
The solution configurations that we consider are comprised of points in the plane with a number of specified distances between them. With the natural correspondence of points to vertices and constraint pairs to edges, each constraint system has an associated abstract graph. It is the nature of the abstract graph that is significant for the solubility of the constraint system, and we shall be concerned with the situation where the abstract graph is a planar graph in the usual graph-theoretic sense; it can be drawn with edges realised by curves in the plane with no crossings.

We show that a planar 3-connected maximally independent graph with generic distances is not only not solvable by quadratic extensions but is not soluble by radical extensions, that is, by means of the extraction of roots of arbitrary order together with the basic arithmetical operations. In fact our methods make use of some intricate planar graph theory leading to an edge contraction reduction scheme which is also of independent interest. The main theorem of the paper can be stated as follows.

Theorem 1.1. *Let G be a maximally independent 3-connected planar graph, with more than 3 vertices, together with a realisable assignment of generic distances for the edges which includes a normalised unit length (base) edge. Then, for any solution configuration for these distances on a plane, with the base edge vertices placed at rational points, not all coordinates of the vertices lie in a radical extension of the field of rational numbers.*

It follows in particular that the current algebraic schemes already solve all of the generic configurations with a planar graph that can be solved by radical extensions! Also, we conjecture that planarity is not necessary for this conclusion.

Although our initial focus on planar graphs was made in order to identify a class of graphs for which we could complete a proof, we have subsequently found that

FIGURE 1. The doublet and $K_{3,3}$.

planar Laman graphs are of specific interest in robotics problems, and so even this partial proof has some merit. See [15], [16] and [7].

Recall that a celebrated and fundamental achievement of classical Galois theory is that a polynomial of degree 5 or more, with rational coefficients, is not generally soluble by radical extensions over \mathbb{Q} . For a generic version of this, one can assert that a generic monic polynomial of degree $r \geq 5$ is not soluble by radical extensions of the base field $\mathbb{Q}(\{d\})$, where $\{d\} = \{d_1, \dots, d_{r-1}\}$ are the generic (algebraically independent) coefficients. In this case, with coefficient field understood, the polynomial is said to be, simply, non-soluble. These facts suggest that if one is presented, as we are here, with N polynomial equations in N unknowns, with no apparent step-by-step solution scheme involving at most degree 4 polynomials, then solutions will not lie in radical extensions of the coefficient field. On the other hand, possibly working against this intuition is the fact that our constraint equations are all of quadratic type, in four variables, with a single generic constant term, and the variables of the equations reflect a (planar) graph structure which may possess an intrinsic reduction scheme. However our result shows that in fact there can be no grounds for a solution scheme by radical extraction which embraces more than the known quadratically soluble graphs. To paraphrase Theorem 1.1, *planar embeddable 3-connected Laman graphs are generically non-soluble*.

Let us now outline the structure of the proof, the entirety of which is lengthy and eclectic, making use of graph theory, elimination theory for the ideals of complex affine varieties, Galois theory for specialised coefficient fields, and a brute force demonstration of the non-solubility of a vertex minimal 3-connected maximally independent planar graph. We refer to this graph, indicated in Figure 1, as the doublet.

The fact that the generic doublet graph is not soluble by radicals is obtained in Section 8 by first obtaining an explicit doublet with integral lengths which is not soluble. Here the Galois groups of univariate polynomials in the elimination ideals for the constraint equations are computed with some computer algebra assistance. Generic non-solubility then follows from our Galois group specialisation theorem.

The strategy of the proof is to show that if there exists a graph G which is maximally independent, planar, 3-connected and radically soluble, then there is a smaller such graph with fewer vertices. By the minimality of the doublet this implies that the doublet is radically soluble, which gives the desired contradiction.

There are two aspects to the reduction step. The first of these is purely graph theoretic and is dealt with in the extensive analysis of Section 4. The main theorem there shows that a 3-connected planar maximally independent graph G has either an edge e in a triangle of edges which can be contracted to give a smaller such

graph G/e , or has a maximally independent subgraph which can be replaced by a triangle to produce a smaller such graph, say H . The second aspect is to connect the solubility of the (finite) variety of solutions for the distance specified graph G , with generic distances, to that of the varieties of the resulting smaller graphs. In the latter case we can simply compare generic constraint equations (see Proposition 8.1) to deduce that

$$\text{generic } G \text{ radical} \Rightarrow \text{generic } H \text{ radical.}$$

However the former case of edge contraction is much more subtle. We approach this by noting first that the complex variety $V(G/e)$ of solutions for the generic contracted graph is identifiable with the variety of solutions for G with partially specialised distances, with the contracted edge distance d_e specialised to 0 and the two other edges of the contracted triangle specified as being equal. This gives the easy implication

$$\text{specialised } G \text{ radical} \Rightarrow \text{generic } G/e \text{ radical.}$$

However we now need the final step, that is, the implication

$$\text{generic } G \text{ radical} \Rightarrow \text{specialised } G \text{ radical.}$$

To obtain this we carefully consider the polynomials which are the generators of the single variable elimination ideals associated with the constraint equations. We relate these generators to the corresponding polynomials for the ideals of the specialised equations. In fact we relate the solubility of these polynomials through a two-step process for the double specialisation. This is effected in Sections 5, 6. The proof of the final step is then completed by means of another application of the Galois group specialisation theorem, Theorem 7.2. This theorem asserts, roughly speaking, that the Galois group of a polynomial p is a subgroup of the Galois group of a polynomial P when p derives from P by partial specialisation of coefficients. We were unable to find a reference for this seemingly classical assertion.

Let us highlight two very important ideas which run through the proof of the reduction step for edge contractions (Theorem 6.1).

The first of these is that we must restrict our attention to graphs whose constraint equations, both generic and specialised, have finitely many complex solutions. This form of rigidity for complex variables is *zero dimensionality*, and its significance is explained fully in the next section. It guarantees that univariate elimination ideals for the constraint equations are generated by univariate polynomials. Unfortunately, to maintain zero dimensionality our contraction scheme to the doublet must operate entirely in the framework of maximally independent graphs, and it is this that necessitates the extended graph theory of Section 4.

The second important idea is that the constraint equations happen to be of parametric type. As is well known this means that various associated complex affine varieties are irreducible, and in particular (Theorem 2.8) this is so for the so-called big variety in which the coordinates of vertices and the distances of edges are viewed as complex variables. With irreducibility present we can arrange the univariate generators of single variable elimination ideals to be irreducible over the appropriate field (Theorem 5.2), and so either all roots of the generator are radical or none are. Now it is the case that not every root of the generator need derive from a solution of the constraint equations. Thus the fact that either all roots or no roots are radical allows us to compare the solubility or otherwise of G and G/e

by examining the solubility or otherwise of these univariate generators (Theorem 5.3).

Finally, we remark that the assumption that graphs have a planar embedding is used to guarantee that there is a reduction scheme to a minimal graph based on contracting edges. We expect that there are more general reduction schemes which terminate in either the doublet or the non-planar graph K_{33} . Also we are able to show that K_{33} is generically non-soluble, and this gives further support to our conjecture that general 3-connected maximally independent graphs are non-soluble.

The results of this article were announced at the Fourth International Workshop on Automated Deduction in Geometry in September 2002 [13]. We thank Walter Whiteley for helpful discussions and for directing our attention to the paper of Asimov and Roth [1], and we thank the referee for some helpful clarifications.

2. CONSTRAINT EQUATIONS AND ALGEBRAIC VARIETIES

We begin by formulating the main problem which is to determine the complex algebraic variety arising from the solutions to the constraint equations of a distance specified graph.

Let $G = (V, E)$ be a graph with vertex set V and edge set E . We are concerned with the problem of determining coordinates (x_v, y_v) for each vertex v so that for some preassigned distances for the edges e in E , we have solutions to the set of equations

$$f_e = 0, \quad e \in E,$$

where, for the edge $e = (vw)$,

$$f_e = (x_v - x_w)^2 + (y_v - y_w)^2 - d_e.$$

The distances d_e are taken to be non-negative real numbers, representing the square of the edge lengths of realised graphs.

It is convenient to refer to the set $\{f_e\}$ as a set of (unnormalised) *constraint equations* for the graph. Although in practice one is interested primarily in the real solutions in \mathbb{R}^2 for the vertices, which in turn account for the Euclidean realisations of the distance specified graph, it is essential to our approach that we consider all complex solutions. One reason for this is that solutions always exist and we can employ the elimination theory for complex algebraic varieties.

Bearing in mind the multiplicity of solutions associated with Euclidean isometries we assume that for some base edge $b = (vw)$ in E we have $d_b = 1$ and the specification $(x_v, y_v) = (0, 0)$, $(x_w, y_w) = (1, 0)$. This gives rise to a set of normalised constraint equations $\{f_e\}$. If, in addition, the distances are algebraically independent, then we say that $\{f_e\}$ is a set of *generic constraint equations* for G . We shall generally assume that distance sets and sets of constraint equations are normalised.

Let $(G, \{d_e\})$ be a graph with normalised distance specification, with n vertices, and let $x_i, y_i, 1 \leq i \leq n - 2$, be the coordinate variables for the non-base vertices. We write $V(\{f_e\})$ for the complex affine variety in \mathbb{C}^{2n-4} determined by the corresponding set of constraint equations $\{f_e\}$.

Definition 2.1. The distance specified graph $(G, \{d_e\})$ is said to be *zero dimensional* if the complex algebraic variety $V(\{f_e\})$ is zero dimensional, that is, $V(\{f_e\})$ is a finite non-empty set.

There is a connection between our general formalism and that of the theory of rigid frameworks, and in particular the notion of an independent graph is taken from this context.

Definition 2.2. Let G be a graph with v_G vertices and e_G edges. Then G is said to be *independent* if for every vertex induced subgraph H , we have $2v_H - e_H \geq 3$. The graph G is said to be *maximally independent* if it is independent and in addition $2v_G - e_G = 3$.

The graphs for which generic distances give zero-dimensional varieties admit a simple combinatorial description, namely they are precisely the maximally independent graphs. Such graphs are also known colloquially as Laman graphs since this equivalence follows readily from a celebrated theorem of Laman on the characterisation of rigid frameworks. In fact we shall only need one direction, proved in Theorem 2.4, namely that maximally independent graphs with generic distances are zero dimensional.

Let us indicate more fully the nature and significance of zero dimensionality.

In the theory of bar-joint structures [19] a configuration is called generic if the coordinates of the points are algebraically independent and real. This implies that the distances are also algebraically independent and real. The usual definitions of rigidity (no continuous path of motion) and infinitesimal rigidity (maximal rank Jacobean) refer to the properties of a single point in the real variety defined by the constraint equations. By contrast our definition of zero dimensionality refers to all the zeros of the variety and admits complex values for the coordinates. It is well known that for generic Laman graphs rigidity and infinitesimal rigidity are equivalent [1], and we show in Theorem 2.4 that these imply zero dimensionality.

For non-generic distances however, zero dimensionality is a stronger requirement than (real) rigidity. To appreciate this consider the maximally independent graph in Figure 2 which we view as a graph with normalised generic distances $\{d_e\}$. In particular these distances admit real solutions such as the one realised by Figure 2. The two arrowed edges suggest a specialisation of $\{d_e\}$ to a new distance set $\{d'_e\}$ for the same graph in which the arrowed edges have length zero and two pairs of edges are of equal length. If the points of the variety are required to be real, then the specialisation of both of the arrowed distances to be zero implies that the coordinates of their end points are exactly the same. The real zeros of this semi-generic configuration thus correspond exactly with the zeros of the generic doublet (which is rigid at all of its zeros by Laman's theorem). However if the coordinates of the points can be complex, then the degeneracy of the arrowed edge in the centre of the graph requires only that its end points have $(x_1 - x_2)^2 + (y_1 - y_2)^2 = 0$, which implies only that $(x_1 - x_2) = \pm i(y_1 - y_2)$. In particular the tail vertex (x_1, y_1) of this arrowed edge can be placed in complex coordinates to realise its remaining constraint. (The end points of the arrowed edge at the lower left are in fact constrained to be identical because the zero length edge is in a triangle of edges.) Plainly the doublet graph becomes flexible in real coordinates when the distance constraint at the centre is removed. For these configurations of the vertices we can choose (x_1, y_1) in complex coordinates to realise the remaining constraint for the original distanced graph. In this way it follows that its variety is one dimensional. In fact the specialised equations determine a complex one-dimensional variety in \mathbb{C}^{12} which meets the real subset \mathbb{R}^{12} in a finite set.

the isomorphism $(\{d_i\}, \{x_j\}) \rightarrow \{x_j\}$. On the other hand if the elimination ideal $I \cap \mathbb{C}[\{d_i\}]$ is empty, then it follows from the closure theorem (see Theorem 5.1) that W has dimension at least n . This proves the existence of a non-zero polynomial $p(\{d_i\})$ in I . This polynomial evaluates to zero on the specific distances associated with the point $\{x_j\}$ since the generators of I vanish on these points.

Any algebraically independent set $\{x_j\}$ defines an algebraically independent set $\{d_i\}$ for which V is not empty. It follows that V is not empty for all algebraically independent $\{d_i\}$ because V is empty only if the ideal of V contains a constant element of the field $\mathbb{Q}(\{d_i\})$. Also, any zero of V for algebraically independent $\{d_i\}$ has algebraically independent coordinates $\{x_j\}$, and so every point of V has $\det(J)$ non-zero. It follows that $\dim(V) = 0$. \square

The next theorem now follows from Laman's theorem.

Theorem 2.4. *Let G be a maximally independent graph with e edges and normalised constraint equations $\{f_i\}$, and let V be the associated variety in \mathbb{C}^{e-1} for algebraically independent $\{d\}$. Then $\dim(V) = 0$.*

Proof. The normalised constraint equations have the form required by Theorem 2.3 above while Theorem 6.5 of [9] implies that $\det(J)$ is not zero as a polynomial in $\{x_j\}$. \square

We shall use elimination theory to study the varieties arising from various ideals generated by the constraint equations. In order to keep track of the nature of solutions (whether they are radical or not) it will be important, as we have intimated in the Introduction, to identify generators of one variable elimination ideals which are irreducible polynomials. Theorem 2.8 below will be needed to achieve this.

Definition 2.5. Let I be an ideal in the polynomial ring $k[x_1, \dots, x_m]$ over a field k . Then I is *prime* if whenever fg is in I , then either f is in I or g is in I .

Proposition 2.6. *If I is a prime ideal in $k[x_1, \dots, x_m]$ and if $\{x_{i_1}, \dots, x_{i_t}\}$ is a subset of $\{x_i\}$, then the elimination ideal*

$$I \cap k[x_{i_1}, \dots, x_{i_t}]$$

is also a prime ideal.

We now make a simple but important observation. The constraint equations for a graph are a parametric set when viewed as equations in the vertex coordinate variables and the distances. Indeed they are parametric in the vertex coordinate variables. From this it follows that various associated complex algebraic varieties are irreducible. For a discussion of such irreducibility see [4]. Thus we have the following general theorem which in turn gives the irreducibility of what we call the big variety V_b .

Theorem 2.7. *Let $x = \{x_1, \dots, x_m\}$, $d = \{d_1, \dots, d_r\}$ be indeterminates defining the polynomial ring $\mathbb{Q}[x, d]$. Let $f_i(x, d)$ be polynomials of the form $h_i(x) - d_i$, $1 \leq i \leq r$, and let I be the ideal of polynomials in $\mathbb{Q}[x, d]$ which vanish on the variety determined by $\{f_i : 1 \leq i \leq r\}$. Then I is a prime ideal.*

Theorem 2.8. *Let G be a maximally independent graph with n vertices and let $\{f\}$ be the normalised constraint equations for G for the distance set $\{d_e\} = \{d_1, \dots, d_r\}$*

(where $r = |E(G)| - 1$). Let $V_b \subseteq \mathbb{C}^{2n-4+r}$ be the complex affine variety determined by $\{f\}$ as polynomial functions belonging to

$$\mathbb{Q}[d_1, \dots, d_r, x_1, \dots, x_{n-2}, y_1, \dots, y_{n-2}].$$

Then V_b is irreducible.

3. CONNECTEDNESS AND QUADRATIC SOLVABILITY

The most tractable Laman graphs from the perspective of solvability are those which we term quadratically solvable. These are graphs whose zeros can be obtained by the successive solving of a sequence of quadratic equations where the coefficients in an equation may depend on the solution to preceding equations in the sequence. In this section we describe an (infinite) class of graphs which are quadratically solvable. Our conjecture that a generic 3-connected graph is not quadratically soluble implies that this class exhausts all such graphs.

Definition 3.1. Let G be a maximally independent graph and let V be the variety defined by the constraint equations with generic normalised distances $\{d_e\}$.

(i) The graph G is said to be (generically) quadratically soluble (or simply QS) if there is a Galois extension of the base field $\mathbb{Q}(\{d_e\})$ with degree 2^n for some n which contains every coordinate of every point of V

(ii) The graph G is said to be soluble by radicals (or RS), or, simply, soluble, if every such coordinate lies in a radical extension of the base field.

One could equally well define what it means for a specific distance-specified graph to be QS or RS. For example it would be of interest to know if particular graphs with integral distances are soluble. Such problems lead rapidly into arithmetical problems associated with multi-variable diophantine analysis and, with the exception of some considerations of integral doublets, we shall not address such non-generic issues.

The field $\mathbb{Q}(\{d_e\})$ is the field of fractions of polynomials in the distances. An irreducible quadratic polynomial over this base field determines a field extension of degree 2, and so a sequence of n irreducible quadratic polynomials, with coefficients in the new fields, give rise to a final field extension of degree 2^n . (In fact any Galois field extension of this degree arises in this way.) It follows that if a maximally independent planar graph G is constructed through a sequence of triangles joined at common edges, then G is QS. However, as is evident from Figure 3, not all QS graphs are triangulated in this way.

Recall that a graph G is n -connected if there does not exist a separation set with $n - 1$ vertices. Thus the doublet is 3-connected, while the graph of Figure 3 is 2-connected. The following sufficient condition for quadratic solubility was obtained by Owen [12].

Theorem 3.2. *A Laman graph is (generically) QS if it admits a reduction to triangle graphs by a process of repeated separation at two-point separation pairs. If the separation pair is joined by an edge, then this edge is duplicated into each separation component. Otherwise, a new virtual edge is added between the separation pair in all but one of the separation components (the non-rigid ones).*

In the statement of this theorem, a triangle graph is the complete graph with three vertices and three edges. Each edge may be either an edge of the original graph or a virtual edge deriving from a previous separation. It is straightforward to

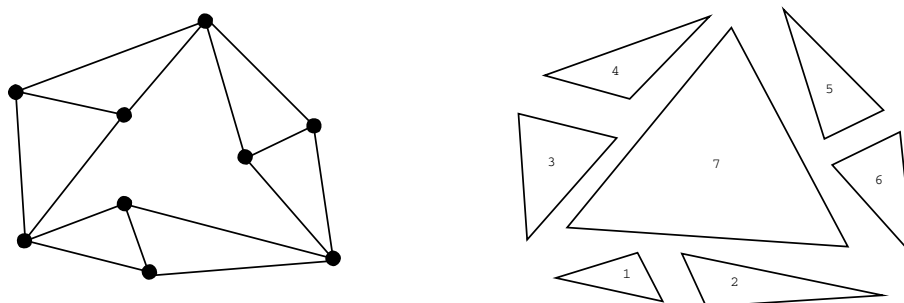


FIGURE 3. A QS graph and its reduction to triangles.

show that after the addition of the virtual edges following a separation each of the components is again a Laman graph with fewer vertices than the original. Clearly this separation procedure terminates when a component graph is either a triangle graph or is a 3-connected Laman graph.

If the procedure terminates in only triangle graphs, then a zero for the composite graph is obtained by solving for the triangles in the reverse order to which they were created. It is clear that there are at least two triangle graphs consisting of original edges (they arise from the final separation) which can be solved by quadratic equations. The triangles are positioned together sequentially (using rigid body motion which involves only linear equations) in the reverse order to which they were created. The distance value to be used for any virtual edge arising in the reverse order solving can be measured from points already computed since at least one of the components created at the separation pair corresponding to the virtual edge did not have a virtual edge added, and the solving order can be arranged so that this component is computed first.

Note that the graph in Figure 3 can be reduced to a collection of triangles in the manner of Theorem 3.2. The central triangle arises from new virtual edges and numbers represent a possible solving sequence. Graphs which are not algorithmically reducible in this way of necessity gives rise to a Laman graph which is 3-connected. Thus the main theorem of the present paper provides a converse to Owen's theorem in the case of graphs with a planar embedding; algorithmic reducibility of a planar CAD graph is a necessary condition to be (generically) QS or RS.

An alternative non-recursive way to express a necessary and sufficient condition for quadratic solvability is obtained by introducing the notion of a rigidly induced graph as follows.

Definition 3.3. A graph R is a rigidly induced graph of G if the vertices of R are a subset of the vertices of G and every edge (xy) of R corresponds either to an edge of G or to a maximally independent subgraph G_{xy} of G which contains the vertices x and y . Moreover the vertex sets $V(G_{xy}) \setminus \{x, y\}$ are required to be pairwise disjoint.

Now the absence of certain rigidly induced graphs provides a sufficient condition for a graph to be quadratically (and thus radically) solvable, and our conjecture following Theorem 1.1 asserts that this condition is also necessary.

Theorem 3.4. (i) *A generic Laman graph is QS (and thus RS) if it has no rigidly induced graph that is 3-connected and maximally independent.*

Furthermore, the following two assertions are equivalent:

(ii) *A generic Laman graph is QS (respectively RS) only if it has no rigidly induced graph that is 3-connected and maximally independent.*

(iii) *Every generic 3-connected Laman graph is not QS (not RS).*

Proof. (i) We claim that every graph R which occurs in the recursive algorithm of Theorem 3.2 is a maximally independent, rigidly induced graph of G . Suppose that after a number of separations every graph R is a rigidly induced graph of G and suppose that R separates at the vertex pair x, y into components R_i . If (xy) is an edge of G , then each R_i is also a maximally independent, rigidly induced graph of G . Otherwise some component R_j is maximally independent, and all of the other components are maximally independent after the addition of a new virtual edge (xy) which can be associated with the component R_j . All of the $R_i \setminus \{xy\}$ are disjoint and remain disjoint when the subgraphs corresponding to all of their existing virtual edges are included. Thus all the R_i plus new virtual edges are maximally independent, rigidly induced graphs of G , and the claim follows by induction.

If G has no 3-connected, maximally independent, rigidly induced graphs, then none of the graphs in Theorem 3.2 can be 3-connected, so the algorithm terminates on triangle graphs and G is QS.

(iii) implies (ii). If G has a generic, maximally independent subgraph G_{xy} , then by Theorem 2.3 the distance $|x - y|$ is generic over \mathbb{Q} , and so to any maximally independent, 3-connected rigidly induced graph of G there corresponds a similar 3-connected maximally independent graph with generic edges which is certainly QS (RS) if G is QS (RS). This contradicts (iii).

(ii) implies (iii) is trivial because every graph induces itself as a rigidly induced graph. \square

4. 3-CONNECTED MAXIMALLY INDEPENDENT GRAPHS

We now embark on a graph-theoretic analysis of maximally independent, 3-connected, planar graphs. We shall prove the following main graph reduction theorem.

Theorem 4.1. *Let G be a 3-connected, maximally independent, planar graph with $|G| > 6$. Then G has either*

- (i) *an edge which can be contracted to give a 3-connected, maximally independent planar graph with $|G| - 1$ vertices, or*
- (ii) *a proper vertex-induced subgraph with three vertices of attachment which is maximally independent.*

We begin by stating some definitions and properties from graph theory.

The order of a graph G , denoted $|G|$, is the number of vertices in G . The degree of a vertex v in G , denoted $\deg(v)$, is the number of edges of G which are incident to v or equivalently the number of neighbours of v in G . An edge joining vertices x and y is denoted by (xy) .

It is assumed throughout this section that all graphs G have $|G| \geq 2$, and if H is described as a subgraph of G , then also $|H| \geq 2$, unless it is explicitly stated otherwise. A vertex-induced subgraph H of G has the additional property that if

vertices x and y are in H and the edge (xy) is in G , then the edge (xy) is also in H .

Let H be a graph or a subgraph with v vertices and e edges. Define the freedom number of H , written $free(H)$, to be $2v - e - 3$. A graph G is independent if all its subgraphs H have the property $free(H) \geq 0$. The graph G is maximally independent if it is independent and $free(G) = 0$.

The graph $G \setminus e$ is the graph G with the edge e deleted. If G is independent, then $G \setminus e$ is also independent and $free(G \setminus e) = free(G) + 1$.

The graph G/e is the graph obtained from G by contracting the edge e . This means that if the edge e joins vertices x and y , then G/e is obtained from G by deleting the edge e , merging the vertices x and y , and reducing any resulting double edges to single edges. Any such double edge must derive from a 3-cycle in G that contains the contracted edge e . Thus $|G/e| = |G| - 1$ and if the edge e is in a total of c 3-cycles of G , then $free(G/e) = free(G) + c - 1$.

An edge e in an independent graph G is said to be contractible if G/e is independent and $free(G/e) = free(G)$. A necessary condition for e to be contractible is thus that it is in exactly one 3-cycle of G . However, this condition is not sufficient as we show in Lemma 4.5 below.

If H is a vertex-induced subgraph of G , then $G \setminus H$ is the subgraph of G induced by the vertices of G that are not in H . Here $|G \setminus H| < 2$ is not excluded. Thus $|G| = |H| + |G \setminus H|$. The vertices of H that have neighbours in $G \setminus H$ are the vertices of attachment of H in G . A vertex-induced subgraph H with v vertices of attachment is described as proper if $|H| > v$. An internal vertex of H is a vertex of H that is not a vertex of attachment. An internal edge of H is an edge that joins to at least one internal vertex.

All vertices v of a 3-connected graph G with $|G| > 3$ have $\deg(v) \geq 3$. The 3-cycle is the only 3-connected graph with $|G| < 4$. If G is 3-connected and $|G| > 3$, then any pair of vertices in G are joined by at least 3 paths which are internally disjoint. We call such paths independent.

We shall say that a graph is planar if it has a planar embedding. A planar embedding of a 2-connected graph G , $|G| > 2$, divides the plane into disjoint regions called faces. One of these faces includes the points at infinity. Each face is bounded by a cycle of edges in G .

There are certain subgraphs whose occurrence is enough to ensure that the graph resulting from an edge contraction is definitely not 3-connected. The simplest of these consists of a 3-cycle connected into the remaining graph by exactly three edges as shown in Figure 4. We call this subgraph the limpet.

If a graph G contains a limpet, then G also contains a subgraph H with three vertices of attachment in G , where H is the subgraph induced by all vertices of G that are not in the 3-cycle of the limpet. Clearly, $|H| = |G| - 3$ and H has 6 less edges than G , so if G is maximally independent, then H is also maximally independent. If $|G| = 6$, then G is the doublet. If $|G| > 6$, then H is a proper vertex-induced subgraph of G with 3 vertices of attachment that is maximally independent.

The blocking role of the limpet should be clear by observing that attaching the limpet by two vertices of attachment to any contractible edge in a 3-connected graph and assigning the third vertex of attachment to any other vertex gives a 3-connected graph for which the result of contracting that same edge is definitely not 3-connected. This is shown in Figure 5. By adding limpets into a graph in this

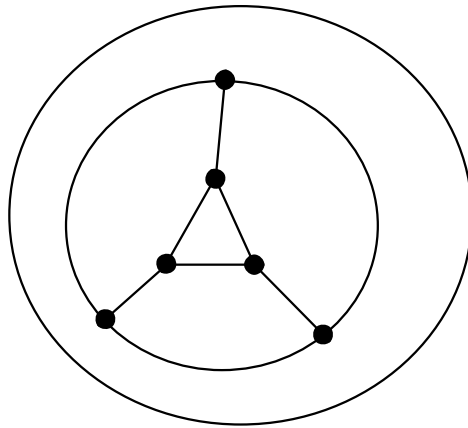


FIGURE 4. The limpet subgraph.

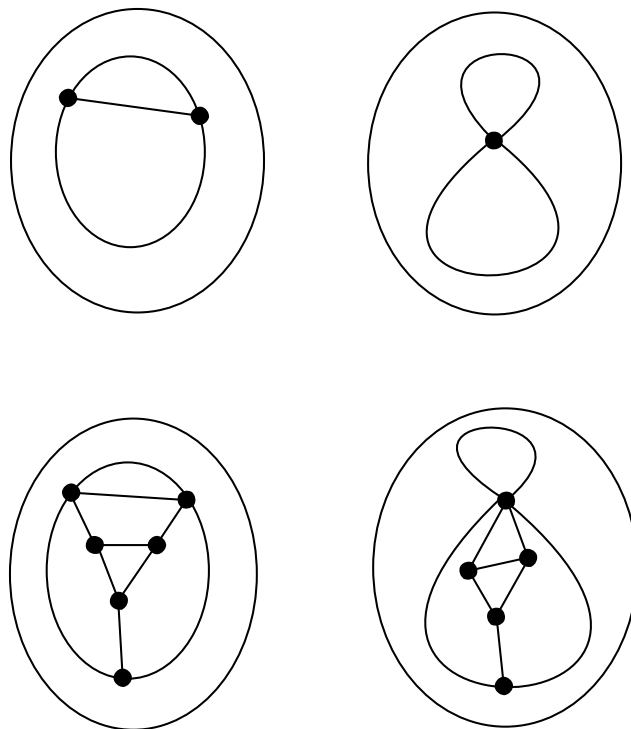


FIGURE 5. Adding a limpet subgraph to an edge contraction.

way it is easy to generate graphs, all of whose contractible edges produce graphs that are not 3-connected. Case (ii) of Theorem 4.1 is needed to deal with limpets.

We are now in a position to prove the main theorem of this section using the sequence of lemmas proved below. To give some motivation to these lemmas we begin with the proof of the main theorem.

Proof of Theorem 4.1. Suppose that G has no proper vertex-induced subgraph with three vertices of attachment that is maximally independent.

Assume for the sake of a proof by contradiction that G contains no edge e such that G/e is 3-connected and maximally independent.

G is not the doublet because $|G| > 6$, and G has no limpets because it is maximally independent and has no proper vertex-induced subgraph which is maximally independent with three vertices of attachment.

By Lemma 4.7, G has no degree-3 vertex on a 3-cycle.

By Corollary 4.12, G contains an edge e joining vertices x and y such that G/e is maximally independent. Then G/e is not 3-connected, by the assumption, and by Lemma 4.17, G has a 3-vertex separation set (x, y, w) for some w , and this set separates G into 2 proper components H_1 and H_2 . Let $H = H_1$ if $|H_1| < |H_2|$, otherwise $H = H_2$. Now chose e in G which gives a minimal value for $|H|$.

By Lemmas 4.17 and 4.16, the subgraph H contains an edge k which is internal to H and which is contractible as an edge in G . Thus G/k is not 3-connected by the assumption. By Lemma 4.18, k generates a 3-vertex separation set which has one proper component properly contained in H . This contradicts the minimal condition on $|H|$ and completes the proof. \square

This proof requires a number of lemmas which deal with the effect of an edge contraction on both maximal independence and 3-connectivity. The apparent complexity of the proof, including the lemmas, is a result of the need to find edge contractions which maintain both of these properties simultaneously.

The first three lemmas give some useful properties of maximally independent graphs and subgraphs.

Lemma 4.2. *Let H_1 and H_2 be maximally independent subgraphs of an independent graph G with $|H_1 \cap H_2| \geq 2$. Then $H_1 \cup H_2$ and $H_1 \cap H_2$ are both maximally independent.*

Proof. $H_1 \cup H_2$ and $H_1 \cap H_2$ are both subgraphs of G so they are both independent. Let $H_1, H_2, H_1 \cup H_2$ and $H_1 \cap H_2$ have v_1, v_2, v_u, v_i and e_1, e_2, e_u, e_i vertices and edges respectively. We have

$$2v_1 - e_1 - 3 = 0, 2v_2 - e_2 - 3 = 0, v_u = v_1 + v_2 - v_i, e_u = e_1 + e_2 - e_i.$$

Thus $free(H_1 \cup H_2) = 2v_u - e_u - 3 = 3 - 2v_i + e_i = -free(H_1 \cap H_2)$.

Since both $H_1 \cup H_2$ and $H_1 \cap H_2$ are independent they both have freedom numbers greater than or equal to zero and thus equal to zero. \square

Lemma 4.3. *Let G be a maximally independent graph. Then G is 2-connected.*

Proof. Suppose to the contrary. Then there exist vertex-induced subgraphs H_1 and H_2 such that $G = H_1 \cup H_2$ and $|H_1 \cap H_2| = 1$. Using the same notation as for Lemma 4.2 we have

$$free(G) = 2v_u - e_u - 3 \geq 2(v_1 + v_2 - 1) - e_1 - e_2 - 3 = 1,$$

which contradicts the fact that G is maximally independent. \square

Lemma 4.4. *Let G be a maximally independent graph. Then for any edge e the contraction G/e has at most one separation vertex.*

Proof. Suppose the edge e joins vertices (x, y) in G which become the vertex w in G/e . Then any separation vertex of G/e which is different from w is also a separation vertex of G contrary to Lemma 4.3. \square

The next lemma gives a useful criterion for an edge to be contractible.

Lemma 4.5. *Let G be an independent graph. An edge $e = (xy)$ of G is contractible if and only if*

- (i) e is on exactly one 3-cycle (x, y, z) of G , and
- (ii) there is no maximally independent subgraph R of G , $|R| \geq 3$, such that x and y are in R and z is not in R .

Condition (i) can be replaced with the weaker condition

- (i') e is on one or more 3-cycles of G .

Proof. By definition e is contractible if and only if $free(G/e) = free(G)$ and G/e is independent. We show that the first of these conditions is equivalent to (i) and the second equivalent to (ii).

If e is on c 3-cycles, then $free(G/e) = free(G) + c + 1 - 2$, so $free(G/e) = free(G)$ if and only if $c = 1$.

Now suppose (i) is true and (ii) is false. Then there is a maximally independent subgraph R of G such that x, y are in R and z is not in R . We have $free(R) = 0$ and R contains e , but not z . Thus $free(R/e) = -1$ (because R contains no 3-cycle containing e), so G/e is not independent.

Conversely, suppose G/e is not independent. Then G/e contains a subgraph, say R/e , with $free(R/e) = -1$ (since contracting an edge reduces $free(H)$ by at most 1 for any subgraph H of G). R/e must contain the edge e (or R/e would also be a subgraph of G), so R/e does indeed derive from a subgraph R in G following contraction of e . Thus R contains vertices x and y and $free(R) = 0$. The vertex z cannot be in R because this would give $free(R/e) = 0$.

Clearly (i) implies (i'). Also (i') and (ii) imply (i) because if e is on two or more 3-cycles, then one of these contains a vertex w different from z and the 3-cycle (w, x, y) gives a subgraph R which violates (ii). \square

The next lemma is standard graph theory [3] and describes what happens if the result of an edge contraction in a 3-connected graph is not 3-connected.

Lemma 4.6. *Let G be a 3-connected graph. For any edge e joining vertices x and y , either G/e is 3-connected or G has a 3-vertex separation set consisting of x , y and another vertex w of G .*

Proof. Let v be the vertex in G/e that results from contracting e and identifying x and y in G . If G/e is not 3-connected, then it contains a separation pair (a, w) and $a = v$ because G is 3-connected. Thus (v, w) separates G/e for some w , and (x, y, w) separates G . \square

The next lemma identifies a class of 3-connected independent graphs that always have a contractible edge whose contraction gives a 3-connected graph. These are graphs that contain a 3-cycle with one or two vertices with degree 3. Eliminating these graphs is helpful because the remaining graphs with a 3-cycle either contain a limpet or have all vertices on the 3-cycle with at least two additional neighbours.

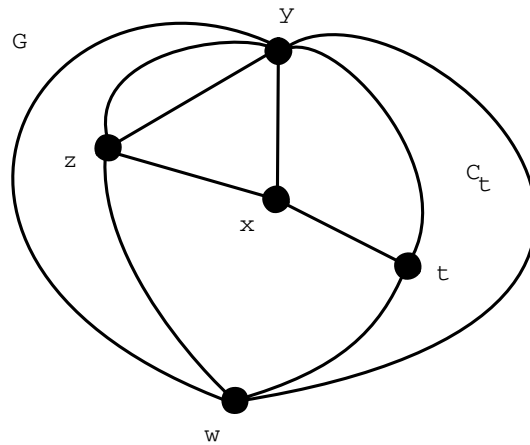


FIGURE 6. $G/(xy)$ is 2-connected. The separation set (x, y, w) in G gives two separation components.

Lemma 4.7. *Let G be a 3-connected, independent graph with no contractible edges whose contraction gives a 3-connected graph. Then any 3-cycle in G either has all its vertices with degree 3 or none of its vertices with degree 3.*

Proof. Suppose that G contains a 3-cycle (x, y, z) with $\deg(x) = 3$. Let the third neighbour of x be t . We will show that $\deg(y) = \deg(z) = 3$.

We claim that both (xy) and (xz) are contractible.

Suppose that neither (xy) nor (xz) is contractible. By Lemma 4.5 there is a maximally independent subgraph R_{xy} containing (xy) and not containing z with $|R_{xy}| \geq 3$, and a maximally independent subgraph R_{xz} containing (xz) and not containing y with $|R_{xz}| \geq 3$. By Lemma 4.3 the vertex x has at least two neighbours in R_{xy} which must be y and t , and at least two neighbours in R_{xz} which must be z and t . Thus $R_{xy} \cap R_{xz}$ contains the vertices x and t , so $R_{xy} \cup R_{xz}$ is maximally independent by Lemma 4.2. Then the subgraph $R_{xy} \cup R_{xz} + (yz)$ has freedom number -1 (since (yz) is in neither R_{xy} nor R_{xz}) which contradicts the independence of G .

Now suppose that (xy) is contractible and that (xz) is not. Then $G/(xy)$ is not 3-connected, so there exists a separation set (x, y, w) of G . Since G is 3-connected each separation component contains a vertex connected to x , so there are just two separation components C_z containing z and C_t containing t , and w is distinct from t and z . This is shown in Figure 6. Then all paths from x to z in G include the edge (xz) or include the vertex y or include both the vertices t and w . If (xz) is not contractible there exists maximally independent R_{xz} which includes x and z but not y . But then all paths from x to z in $R_{xz}/(xz)$ include both t and w , so t and w are two separation vertices for $R_{xz}/(xz)$ which contradicts Lemma 4.4.

We can now suppose that both (xy) and (xz) are contractible and neither $G/(xy)$ nor $G/(xz)$ is 3-connected. Then G has a separation set (x, y, w) with a component C_t which contains the vertex t and not the vertex z . G also has a separation set (x, z, w') with a component C'_t which contains the vertex t and not the vertex y .

Since t and y are in different components of the separation set (x, z, w') , all paths from t to y contain either x , z or w' . The vertex set (x, y, w) also separates G and

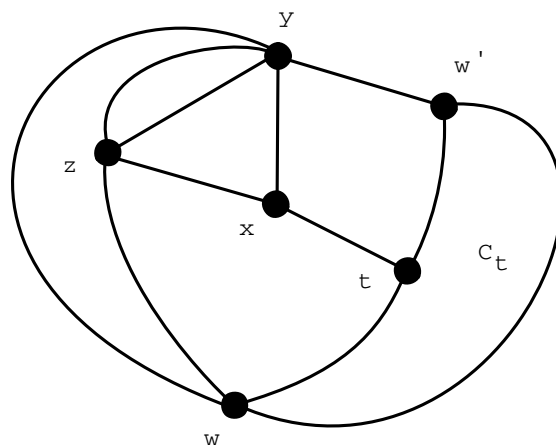


FIGURE 7. If (x, z, w') is also a separation set of G , then w' is in C_t and w' is the only neighbour of y in C_t .

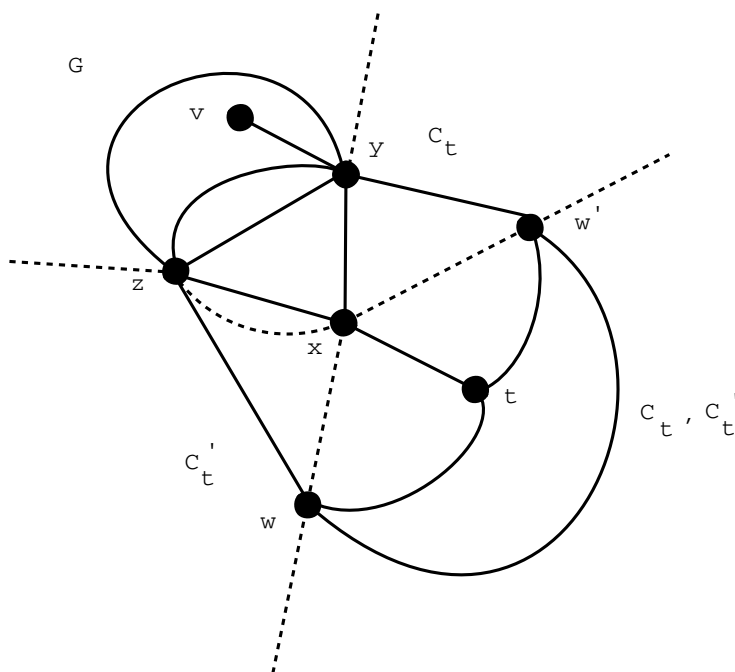


FIGURE 8. Demonstration that (y, z) is a separation pair of G if the contractions of both (x, y) and (x, z) are not 3-connected.

one component C_t contains t (and not z), so there is a path from t to y which lies inside C_t . Neither z nor x is inside C_t , so w' is in C_t and w' separates y from t inside C_t . Since G is 3-connected this implies that the vertex y is connected by the single edge (yw') to w' in C_t . Similarly w is in C'_t and the vertex z is connected by the single edge (zw) to w in C'_t . This is shown in Figure 7 and Figure 8.

Suppose that y has a neighbour v in addition to x , z and w' . Then v is not in C_t and v is not in C'_t , because y is not in C'_t , and v and y are both distinct from (x, z, w') . Since t is in C_t all paths from v to t include one on the separation set (x, y, w) before any other vertices of C_t . The vertex x is connected only to z outside C_t , so a path including x includes z . The vertex w is separated from v by the separation set (x, z, w') , and of these vertices only z is outside C_t , so a path including w includes z . Then all paths from v to t include either y or z , which contradicts the fact that G is 3-connected.

We conclude that $\deg(y) = 3$ and similarly $\deg(z) = 3$. \square

The remaining lemmas make use of planarity in order to simplify certain decompositions and to ensure a supply of contractible edges. The first of these lemmas makes use of the Kuratowski theorem [3] to simplify the number of separation components if the result of contracting an edge is not 3-connected.

Lemma 4.8. *Let G be a 3-connected, planar graph with a 3-vertex separation set. Then this separation set divides G into exactly 2 proper components.*

Proof. The separation set divides G into at least 2 proper components by definition. Suppose for a contradiction that there are 3 or more proper separation components. Then we can identify 3 vertices w_1, w_2 , and w_3 , each internal to a different separation component. Let the separation set be the vertices v_1, v_2 and v_3 . There are paths connecting each of the w_i to each of the v_j . By Menger's theorem, the 3 paths from a w_i to each of the three v_j can be selected to be internally disjoint because G is 3-connected and the paths from different w_i to any v_j are internally disjoint because they are in different separation components. Thus G contains $K(3, 3)$ as a topological minor contrary to Kuratowski's theorem. \square

The next two lemmas lead to Corollary 4.12 that states that every maximally independent, planar graph has at least 3 contractible edges. Lemma 4.11 is stronger than is required for this corollary, but the greater detail will be useful subsequently.

Lemma 4.9. *Let $G, |G| > 2$, be a 2-connected planar graph with freedom number f . Then every planar embedding of G has the property*

$$2(f - 1) = \sum_i (n_i(i - 4))$$

where the embedding has n_i faces with i edges.

Proof. It is a standard result of graph theory [3] that every planar embedding of a 2-connected graph divides the plane into disjoint faces with each edge in exactly two faces. Let G have n vertices and e edges and let the planar embedding have F faces. From Euler's relation $F + n = e + 2$ and from the definition, $f = 2n - e - 3$, so $f = e - 2F + 1$. By definition $F = \sum_i (n_i)$. Each edge is in 2 faces of the planar embedding so $2e = \sum_i (i(n_i))$, and the result follows by substituting into $f = e - 2F + 1$. \square

Corollary 4.10. *A maximally independent, planar graph $G, |G| > 2$, contains at least one 3-cycle.*

Proof. A maximally independent graph has $f = 0$ and is 2-connected by Lemma 4.3. Thus in Lemma 4.9 $n_3 \geq 2$, and the boundary of one of these faces is a 3-cycle of G . \square

Lemma 4.11. *Let G be an independent, planar graph which contains a 3-cycle (x, y, z) , and let (i, j, k) be any permutation of (x, y, z) .*

- (i) *There exists a maximally independent subgraph R_{ij} of G with i and j in R_{ij} and k not in R_{ij} , such that R_{ij} contains an edge e_{ij} which is contractible in G , and*
- (ii) *$R_{ij} \cap R_{jk} = j$.*

Proof. Define the R_{ij} as follows: If the edge (ij) is contractible, then $R_{ij} = (ij)$. Otherwise, by Lemma 4.5 let R_{ij} be a maximally independent subgraph containing i and j but not k with $|R_{ij}| \geq 3$. Additionally take R_{ij} to be a maximal subgraph with these properties (maximal in the sense that there is no subgraph F with these properties and $H \subseteq F$).

With this definition it is clear that j is in $R_{ij} \cap R_{jk}$. If $|R_{ij} \cap R_{jk}| \geq 2$, then $\text{free}(R_{ij} \cup R_{jk}) = 0$ by Lemma 4.2. The vertices i and k are in $R_{ij} \cup R_{jk}$ but the edge (ik) is not in $R_{ij} \cup R_{jk}$, so the subgraph $R_{ij} \cup R_{jk} + (ik)$ of G would have freedom number -1 which contradicts the fact that G is independent. Thus $|R_{ij} \cap R_{jk}| = 1$ and $R_{ij} \cap R_{jk} = j$.

It remains to show that each R_{ij} contains a contractible edge which we do by induction. This is true for $|G| = 3$. Assume it is true for $|G| = N$.

Since every maximally independent planar graph contains a 3-cycle (Corollary 4.10), it follows from the hypotheses that every maximally independent, planar graph R with $3 \leq |R| \leq N$ has at least 3 contractible edges. Thus if (ij) is not contractible, then each R_{ij} contains at least 3 edges which are contractible as edges in R_{ij} and one of these, say edge e_{ij} , is different from (ij) .

We claim that each e_{ij} is also contractible as an edge in G . Otherwise there exists a maximally independent subgraph H in G , not contained in R_{ij} but also containing e_{ij} . In fact $H \cap R_{ij} = e_{ij}$, because otherwise $H \cap R_{ij}$ would be a maximally independent subgraph of R_{ij} (by Lemma 4.2), containing e_{ij} with $|H \cap R_{ij}| \geq 3$, which contradicts the contractibility of e_{ij} in R_{ij} . Now $H \cup R_{ij}$ is also maximally independent by Lemma 4.2 and $|H \cup R_{ij}| > |R_{ij}|$, which contradicts the maximality of R_{ij} unless k is in $H \cup R_{ij}$. Suppose k is in $|H \cup R_{ij}|$. Then the independence of the subgraph $H \cup R_{ij} + (ik) + (jk)$ in G requires (ik) and (jk) in H (since k is not in R_{ij}). But i and j are in R_{ij} and $H \cap R_{ij} = e_{ij}$, which would require $e_{ij} = (ij)$, contrary to the assumption that e_{ij} and the edge (ij) are distinct. \square

Corollary 4.12. *Every maximally independent, planar graph G has at least 3 contractible edges.*

Proof. This was proved in Lemma 4.11. \square

The next lemma guarantees the existence of a contractible edge in certain subgraphs of an independent, planar graph.

Lemma 4.13. *Let H be a subgraph with 3 vertices of attachment in an independent, planar graph G . If H contains a 3-cycle with at least one vertex internal to H , then H has an internal edge that is contractible as an edge of G .*

Proof. Let the 3-cycle be (x, y, z) with internal vertex x . By Lemma 4.11 there exist maximally independent subgraphs R_{xy} and R_{xz} containing (xy) and (xz) respectively, and each of these contains a contractible edge.

We claim that either R_{xy} or R_{xz} have all their edges internal to H . Otherwise both R_{xy} and R_{xz} each contain at least two vertices of connection, since if say R_{xy}

contains no vertex of connection it is internal to H , and if it contains one vertex of connection, then either all its edges are internal to H or R_{xy} contains a vertex of G/H . Then the vertex of connection would be a separating vertex for R_{xy} , which contradicts Lemma 4.3. But if R_{xy} and R_{xz} each contain at least two out of the three vertices of connection, then one of these vertices must be in both R_{xy} and R_{xz} and thus equal to the vertex x since $R_{xy} \cap R_{xz} = x$. This contradicts the requirement that x is internal to H . \square

The next sequence of lemmas has implications for 3-connected maximally independent planar graphs for which the contraction of any contractible edge gives a graph which is not 3-connected. We have already shown that such a graph has a 3-vertex separation set with exactly two components. The critical case for the proof of Theorem 4.1 is when each component has freedom number 1. The difficulty is to show that each of these components contains a 3-cycle so that a reduction argument can be applied to the smaller of the two components. Lemma 4.9 alone is not sufficient because substituting $f = 1$ into this lemma leaves the possibility that all faces have exactly 4 edges. We exclude this possibility by showing that at least one face has at least 5 edges.

Lemma 4.14. *Let G be a 3-connected graph and let H be a proper vertex-induced subgraph of G with 3 vertices of attachment. If each vertex of attachment has at least 2 neighbours in H , then H is 2-connected.*

Proof. Suppose to the contrary that H has a separation vertex w . All three vertices of attachment cannot be in the same separation component of w because G is 2-connected. Thus there is a separation component for w which contains exactly one vertex of attachment, say v_1 , and this component must be just the edge (wv_1) or else (w, v_1) would be a separation pair for G . This contradicts the requirement that v_1 has at least 2 neighbours in H . \square

Lemma 4.15. *Let G be a 3-connected planar graph, let H be a proper vertex-induced subgraph of G with 3 vertices of attachment and let each vertex of attachment have at least 2 neighbours in H . Then a planar embedding of G implies a planar embedding of H , and this embedding of H has the three vertices of attachment in one face boundary.*

Proof. G has a planar embedding, and deleting G/H plus any edges connected to G/H gives a planar embedding of H . By Lemma 4.14 H is 2-connected, so the planar embedding of H divides the plane into disjoint faces.

The three vertices of attachment of H in G are a separation set for G . We claim that all vertices of G/H lie in the same face with respect to the embedding of H . By Lemma 4.8 the 3-vertex separation set divides G into exactly 2 separation components. Thus every pair of vertices in G/H is joined together by a path in G/H . All vertices of G/H are therefore embedded in the same face of the embedding of H , because otherwise these paths would cross a face boundary of the embedding of H , and these face boundaries lie in H . There is a vertex of G/H adjacent to each of the three separation vertices, so the three separation vertices lie on this face boundary. \square

Lemma 4.16. *Let G be a 3-connected, independent, planar graph, let H be a proper vertex-induced subgraph of G with 3 vertices of attachment (v_1, v_2 and v_3) and let each vertex of attachment have at least 2 neighbours in H . If H has freedom*

number 1 and if H contains at most one of the edges (v_1v_2) , (v_2v_3) or (v_3v_1) , then H contains an edge adjacent to an interior vertex of H that is contractible as an edge of G .

Proof. A planar embedding of G gives a planar embedding of H . By Lemma 4.14 H is 2-connected, and by Lemma 4.15 one of the face boundaries contains v_1 , v_2 and v_3 . Since H contains at most one of the edges (v_1v_2) , (v_2v_3) or (v_3v_1) this face boundary has at least 5 edges, so by Lemma 4.9 with $f = 1$ the embedding of H has at least one face with 3 edges and so H contains a 3-cycle. Since H has at most one of the edges (v_1v_2) , (v_2v_3) or (v_3v_1) , H has a 3-cycle with an interior vertex, and by Lemma 4.13 H contains an edge adjacent to an interior vertex of H that is contractible as an edge of G . \square

Lemma 4.17. *Let G be a 3-connected, maximally independent planar graph that contains no maximally independent vertex-induced subgraph with 3 vertices of attachment and which has no degree-3 vertex on a 3-cycle. For any contractible edge e joining vertices x and y , either G/e is 3-connected or G has a 3-vertex separation set consisting of x , y and another vertex w of G with the following properties:*

1. G does not contain edges (xw) or (yw) .
2. The separation set divides G into exactly 2 proper components such that each proper component plus the edge (xy) has freedom number 1.
3. w has at least 2 neighbours in each of the two proper components.

Proof. Suppose G/e is not 3-connected. By Lemmas 4.6 and 4.8 G has a 3-vertex separation set (x, y, w) which separates G into exactly 2 proper components, C_1 and C_2 . Let $H_1 = C_1 + (xy) + (xw)' + (yw)'$ and $H_2 = C_2 + (xy) + (xw)' + (yw)'$, where $(xw)' = (xw)$ only if the edge (xw) is in G and similarly for $(yw)'$. Let G , H_1 and H_2 have v , v_1 , v_2 and e , e_1 , e_2 edges and vertices, respectively. Let $d = 0, 1$ or 2 if none, one or both of (xw) and (yw) is in G and let H_1 and H_2 have freedom numbers f_1 and f_2 . We have

$$\begin{aligned} v &= v_1 + v_2 - 3, e = e_1 + e_2 - 1 - d, 2v - e - 3 = 0, \\ f_1 &= 2v_1 - e_1 - 3, f_2 = 2v_2 - e_2 - 3. \end{aligned}$$

Thus $2(v_1 + v_2 - 3) - (e_1 + e_2 - 1 - d) - 3 = 0$, and so $f_1 + f_2 = 2 - d$.

By hypothesis neither H_1 nor H_2 is maximally independent, so $f_1 > 0$ and $f_2 > 0$. This requires $f_1 = 1$, $f_2 = 1$ and $d = 0$.

Suppose a component, say C_1 , has only vertex a adjacent to w . Then $H_1 - w - (aw)$ has freedom number 0 and 3 vertices of attachment in G . $H_1 - w - (aw)$ is not the 3-cycle because w would be a degree-3 vertex on a 3-cycle contrary to hypothesis, so $H_1 - w - (aw)$ is a proper maximally independent vertex-induced subgraph of G , contrary to hypothesis. \square

The final lemma allows us to conclude that under certain conditions one of the separation components that can result from contracting an edge in a subgraph must lie entirely within that subgraph.

Lemma 4.18. *Let G be a 3-connected graph, let H be a proper vertex-induced subgraph of G with 3 vertices of attachment v_1, v_2 and v_3 such that G has the edge (v_1v_2) and does not have the edge (v_2v_3) or the edge (v_1v_3) and let v_3 have at least 2 neighbours in the subgraph induced by the vertices of $G \setminus H + v_1 + v_2 + v_3$. Then*

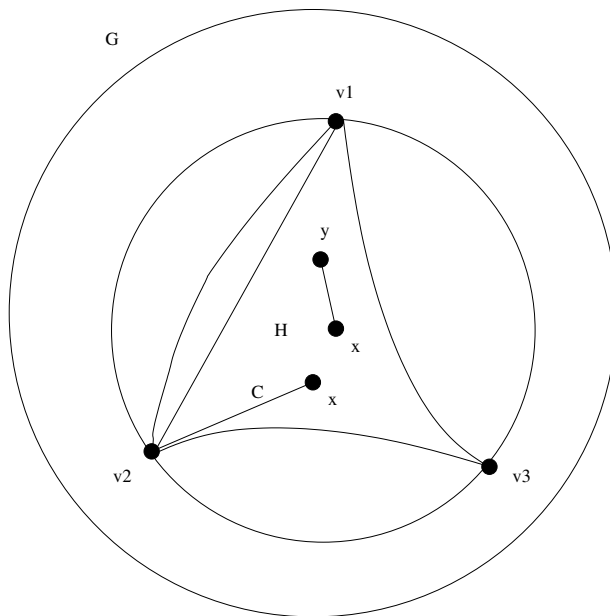


FIGURE 9. The subgraph H with 3 vertices of connection in G . There are two different placings for an interior edge $e = (x, y)$ with x interior.

for any interior edge e of H either G/e is 3-connected or one of the separation components of G/e is properly contained in H .

Proof. Let the edge e join vertices x and y with vertex x interior to H . Suppose G/e is not 3-connected. By Lemma 4.6 G has a 3-vertex separation set (x, y, w) . See Figure 9.

We claim that w is in H . Suppose to the contrary that w is in $G \setminus H$. Since v_1 and v_2 are adjacent they are internal vertices of only one component, so there is another component C that has either none of v_1, v_2 or v_3 as an internal vertex or contains v_3 and not v_1 and v_2 as internal vertex. If C contains none of v_1, v_2 or v_3 , then there is a path in C from w in $G \setminus H$ to x in H that avoids all vertices of attachment, contrary to the definition of vertices of attachment. Suppose C contains v_3 as an internal vertex and not v_1 or v_2 . The vertex v_3 has at least 2 neighbours in $G \setminus H$ (because it has at least 2 neighbours in $G \setminus H + v_1 + v_2 + v_3$ and G does not contain $(v_1 v_3)$ or $(v_2 v_3)$), so there is a vertex u in $G \setminus H$ that is a neighbour of v_3 and is different from w . See Figure 10. Thus u is in $(G \setminus H) \cap C$ and is different from v_1, v_2, x, y and w . One of the vertices v_1 or v_2 , say v_1 , is not x or y and is thus in $G \setminus C$. Now all paths from u to v_1 include one of w, x or y before any vertices in $G \setminus C$. All paths in C from u to x or y contain v_3 , and thus all paths from u to v_1 contain v_3 or w , contradicting the fact that G is 3-connected.

Now x, y and w are in H , and one vertex of attachment, say v_1 , is different from x, y and w . All vertices in $G \setminus H$ are connected on paths excluding x, y and w , so one separation component contains at least $G \setminus H + v_1$ as internal vertices and so the other component is properly contained in H . \square

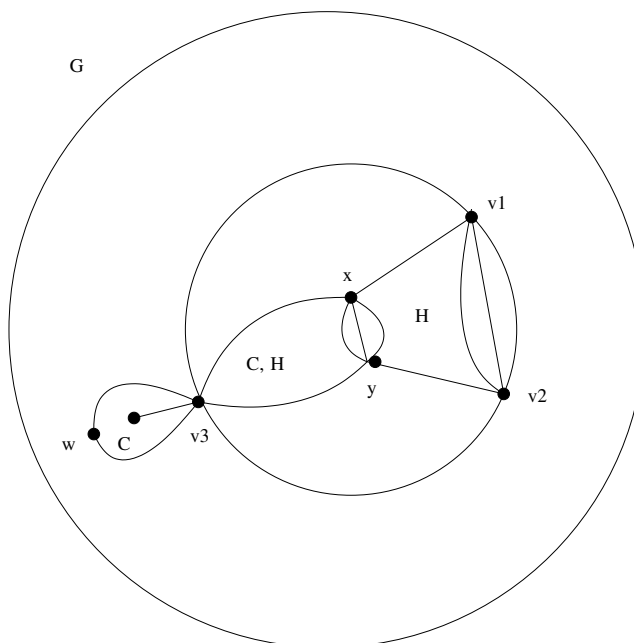


FIGURE 10. The hypothetical structure of the separation component C if ω is in $G \setminus H$. The vertex y may be identical to v_1 or v_2 .

5. ELIMINATION IDEALS AND SPECIALISATION

In the present section we obtain irreducibility and divisibility properties for generators of univariate elimination ideals and their specialisations. These properties play a prominent role in the heart of our proof of the reduction step in that they connect the radical solvability of generic equations with the radical solvability of the specialised equations.

Let f_1, \dots, f_r be polynomials in the complex variables $\{x_1, \dots, x_n\}$ which determine the complex algebraic variety $V = V(f_1, \dots, f_r)$ in \mathbb{C}^n . For $1 \leq t < n$ the elimination ideal

$$I_t = \langle f_1, \dots, f_r \rangle \cap \mathbb{C}[x_1, \dots, x_t]$$

determines a variety $V(I_t)$ in \mathbb{C}^t . Plainly $V(I_t)$ contains $\pi_t(V)$, the projection of V onto the subspace \mathbb{C}^t . The following fundamental closure theorem may be found in [4].

Theorem 5.1. *The variety $V(I_t)$ is the Zariski closure of $\pi_t(V)$, that is, the smallest affine variety containing $\pi_t(V)$.*

Let $\{d\} = \{d_1, \dots, d_r\}$ be complex numbers forming an algebraically independent set with field extension $\mathbb{Q}(\{d\})$.

Theorem 5.2. *Let $\{f\}$ be a set of polynomials in $\mathbb{Q}[d_1, \dots, d_r][\{x\}]$ which generates an ideal I in $\mathbb{C}[\{x\}]$ whose complex variety $V(I)$ has dimension zero. Then each elimination ideal*

$$I_{x_i} = I \cap \mathbb{C}[x_i],$$

for $i = 1, \dots, n$, is generated by a polynomial g_i with coefficients in $\mathbb{Q}[d_1, \dots, d_r]$ and $\deg(g_i) > 0$. If, in addition, the set $\{f\}$ generates a prime ideal in the polynomial ring $\mathbb{Q}[d_1, \dots, d_r, x_1, \dots, x_n]$, then each g_i may be chosen to be irreducible in $\mathbb{Q}[d_1, \dots, d_r, x_i]$.

Proof. Let \hat{I} denote the ideal in $\mathbb{Q}(\{d_i\})[x_1, \dots, x_n]$ generated by $\{f\}$ with elimination ideals

$$(\hat{I})_{x_i} = \hat{I} \cap \mathbb{Q}(\{d\})[x_i].$$

Plainly, with the given inclusion $\mathbb{Q}(\{d\}) \subseteq \mathbb{C}$ we have $\hat{I} \subseteq I$, and I is the ideal in $\mathbb{C}[x_1, \dots, x_n]$ generated by \hat{I} .

Since $(\hat{I})_{x_i}$ is an ideal in $\mathbb{Q}(\{d\})[x_i]$ it is generated by a single polynomial g_i , which is unique up to a non-zero multiplier in $\mathbb{Q}(\{d\})$. Since $V(I)$ is nonempty g_i is not a non-zero constant, and so if $\deg(g_i) = 0$, then $g_i = 0$, and $(\hat{I})_{x_i} = \{0\}$. However, in this case we deduce that $I_{x_i} = \{0\}$. This follows, for example, from the fact that a basis for I_{x_i} may be derived from the generators of I by algebraic operations and so lie in $(\hat{I})_{x_i}$. (Consider a Groebner basis construction for example.) It now follows that $V(I_{x_i}) = \mathbb{C}$, and the closure theorem implies that the projection $\pi_{x_i}(V)$ of $V(I)$ onto \mathbb{C}_{x_i} is infinite and hence that $V(I)$ is infinite, contrary to hypothesis. Thus $\deg(g_i) > 0$.

The coefficients of g_i are in $\mathbb{Q}(\{d\})$ and so are ratios of polynomials in $\mathbb{Q}[\{d\}]$. Thus we may replace g_i by $p(d_1, \dots, d_r)g_i$ for some polynomial p to obtain the desired generator with polynomial coefficients. We may also arrange that the highest common factor of the coefficients of g_i is 1.

We claim that the generator g_i , when viewed as an element of the ring $\mathbb{Q}[\{d\}, \{x_i\}]$, is also a generator for the polynomial ring elimination ideal

$$J_{x_i} = J \cap \mathbb{Q}[\{d\}, x_i],$$

where J is the ideal in $\mathbb{Q}[\{d\}, \{x\}]$ generated by $\{f\}$.

Considering a Groebner basis construction of g_i , one sees that there is a non-zero polynomial $r(d_1, \dots, d_r)$ such that $r(d_1, \dots, d_r)g_i$ lies in J_{x_i} . Since, by the hypotheses, the ideal J is prime, so too is J_{x_i} , and so one of the factors belongs to J_{x_i} . However, if $r(d_1, \dots, d_r)$ belongs to J_{x_i} , then it belongs to $(\hat{I})_{x_i}$. This is impossible since $(\hat{I})_{x_i}$ is generated by g_i , which has positive degree in x_i . Hence g_i is in J_{x_i} . Now take any h in J_{x_i} . Then h is also in $(\hat{I})_{x_i}$, and so $h = qg_i$ with q in $\mathbb{Q}(\{d\})[x_i]$. Clearing the denominators of the coefficients of q gives the factorization $r(d_1, \dots, d_r)h = (r(d_1, \dots, d_r)q)g_i$, where $r(d_1, \dots, d_r)$ is in $\mathbb{Q}[\{d\}]$ and $r(d_1, \dots, d_r)q$ is in $\mathbb{Q}[\{d\}][x_i]$. Since the coefficients of g_i have no common factor, it follows that $r(d_1, \dots, d_r)$ divides every coefficient of $r(d_1, \dots, d_r)q$, which proves that q lies in $\mathbb{Q}[\{d\}][x_i]$. This shows that g_i generates J_{x_i} in $\mathbb{Q}[\{d\}][x_i]$. Since J_{x_i} is prime this in turn entails that the generator g_i is irreducible in $\mathbb{Q}[\{d\}, x_i]$. \square

We now show that in the case $r = 1$ the specialised generator $g(d', x_i)$ is non-zero and divisible by the generator $g_i(x_i)$ of the elimination ideal of the specialised ideal. As we note below, such divisibility may fail for a double specialisation!

For later convenience the role of \mathbb{Q} in the theorem above is played below by $\mathbb{E} \subseteq \mathbb{C}$, a finite transcendental field extension of \mathbb{Q} . (It is trivial to generalise the theorem above with \mathbb{Q} replaced by \mathbb{E} .) Specialisation occurs for the single variable d associated with the transcendental extension $\mathbb{E}(d)$. For an ideal \hat{I} in $\mathbb{E}[d][x_1, \dots, x_n]$ we shall write $(\hat{I})'$ for the specialisation of \hat{I} resulting from the substitution $d \rightarrow d'$.

Theorem 5.3. *Let $\{f\}$ be a set of polynomials in $\mathbb{E}[d][x_1, \dots, x_n]$ which generate an ideal \hat{I} in $\mathbb{E}(d)[x_1, \dots, x_n]$ and an ideal I in $\mathbb{C}[x_1, \dots, x_n]$ whose complex variety $V(I)$ has dimension zero. Let $d' \in \mathbb{Q}$ be a specialisation of d giving rise to the set $\{f'\}$ in $\mathbb{E}[x_1, \dots, x_n]$ with an ideal I' whose complex variety also has dimension zero.*

Let $g(d, x_1)$ in $\mathbb{E}[d][x_1]$ and $g'(x_1)$ in $\mathbb{E}[x_1]$ be generators for the elimination ideals I_{x_1} and $(I')_{x_1}$, respectively, as provided by the previous theorem. Finally, assume that the ideal in $\mathbb{E}[d, x_1, \dots, x_n]$ generated by $\{f\}$ is prime. Then

- (i) *the specialisation $((\hat{I})_{x_1})'$ of $(\hat{I})_{x_1}$ is contained in $((\hat{I}')_{x_1})'$,*
- (ii) *the degree of $g(d', x_1)$ is greater than zero, and*
- (iii) *$g'(x_1)$ divides $g(d', x_1)$.*

Proof. We have

$$((\hat{I})_{x_1})' = \{p(d', x_1) : p \in \hat{I} \cap \mathbb{E}(d)[x_1]\}.$$

But if $p(d, x_1) \in \hat{I}$, then $p(d', x_1) \in (\hat{I})'$ and so $((\hat{I})_{x_1})' \subseteq ((\hat{I}')_{x_1})'$. Thus if $g(d', x_1)$ is not the zero polynomial, then $g'(x_1)$ divides $g(d', x_1)$ and $\deg(g(d', x_1)) > 0$.

Let J be the ideal in $\mathbb{E}[d, x_1, \dots, x_n]$ generated by $\{f\}$ and let J_{d,x_1} be the elimination ideal $J \cap \mathbb{E}[d, x_1]$. Then J_{d,x_1} has generator $g_1(d, x_1)$ where this polynomial is the generator of $(\hat{I})_{x_1}$ in $\mathbb{E}(d)[x_1]$ provided by the previous theorem. By this theorem we may assume that $g_1(d, x_1)$ is irreducible in $\mathbb{E}[d, x_1]$. In this case it is not possible to have $g_1(d', x_1) = 0$ for all x_1 , for otherwise g_1 would have a proper factor $(d - d')$. □

It is instructive to note that Theorem 5.3 is not valid without the assumption that the big ideal is prime. Consider the equation set

$$(dx - 1)p(x) = 0, \quad d(dx - 1) = 0,$$

where $p(x)$ is a polynomial in one variable x over \mathbb{Q} and d is a single parameter. For generic d the ideal $I = \langle (dx - 1)p(x), d(dx - 1) \rangle$ in $\mathbb{Q}[x]$ is the principal ideal $\langle dx - 1 \rangle$, $V(I)$ is the singleton $\{1/d\}$ and $\dim(V(I)) = 0$. For the specialisation $d = 0$ the ideal for the specialised equations is $I' = \langle p(x) \rangle$ and $V(I')$ is the finite set of zeros of p and so is also zero dimensional. However, it is not possible to choose a generator for I' which divides a non-zero generator of I , and so the conclusion of Theorem 5.3 cannot hold for this equation set.

Note also that in this example we may choose $p(x)$ to be a polynomial which is not soluble over \mathbb{Q} , so that while the generic variety $V(I)$ is radical the variety for the specialised equations is not radical.

It is also instructive to note that Theorem 5.3 is not valid for the specialisation of more than one parameter. For example, let

$$f_1 = x_1(1 - x_1x_2) - d_1, \quad f_2 = x_2(1 - x_1x_2) - d_2, \quad f_3 = x_3(1 - x_1x_2) - d_3.$$

For the double specialisation $d_1 = d_2 = 0$, $V(I')$ is the single point $x_1 = 0, x_2 = 0, x_3 = d_3$ and

$$g_1(d_1, d_2, x_1) = d_2x_1^3 - d_1x_1 + d_1^2$$

which becomes zero on this specialisation.

6. THE REDUCTION STEP

Equipped with the elimination theory of the last section we are now able to prove the reduction step stated in the Introduction.

Let G be a maximally independent graph with n vertices and $r + 1$ edges and suppose that G has an edge contraction to a maximally independent graph G/e . We label the vertices so that e is the edge $(v_{n-1}v_n)$, e is in the 3-cycle (v_n, v_{n-1}, v_{n-2}) and we regard $(v_{n-1}v_n)$ as the base edge. Furthermore, we normalise the constraint equations $\{f_e\}$ so that the coordinates for the base vertices are $(x_{n-1}, y_{n-1}) = (0, 0)$, $(x_n, y_n) = (1, 0)$. Let us label edges so that the contractible edge e is the r th edge, with the associated (squared) distance d_r , and the edge $(v_{n-2}v_n)$ has distance d_{r-1} . Finally let f_1, \dots, f_r be a listing of the normalised constraint equations for G compatible with this notation.

Now consider a set of normalised constraint equations for the contracted graph G/e . We lose two edges from G (edge $r - 1$ and edge r), and we can take the normalised constraint equations for G/e to be the equations f_1, \dots, f_{r-2} with the substitution $x_{n-2} = 0$, $y_{n-2} = 0$.

First consider the *non-base* distances on G/e , namely $\{d\} = \{d_1, \dots, d_{r-2}\}$, to be a generic set of real numbers. Since the contracted graph is maximally independent, the solutions (for $x_1, \dots, x_{n-3}, y_1, \dots, y_{n-3}$) form a zero-dimensional variety, $V(0, 0)$ say. (The choice of notation will become clear shortly.) Clearly this is essentially the variety of the constraint equations $\{f_1, \dots, f_r\}$ for the distance set

$$\{d_1, \dots, d_{r-3}, d_{r-2}, 1, 0\}$$

for G resulting from the double specialisation $d_{r-1} = 1, d_r = 0$. Thus, in order to establish the reduction step it will be sufficient to show that if G is generically radical, then the variety arising from the semi-generic double specialisation is also a radical variety. This requires some care, in view of the failure of a double specialisation variant of the Theorem 5.3. We shall break the double specialisation into two steps. Also, instead of specialising the generic edge lengths d_r, d_{r-1} we choose to start afresh and specialise the given coordinates x_{n-2}, y_{n-2} . This results in a simpler comparison of varieties.

In fact we can prove the reduction step for general non-planar graphs.

Theorem 6.1. *Let G be a maximally independent graph which has an edge contraction to a maximally independent graph G/e . If G is radically soluble, then the graph G/e is also radically soluble.*

Proof. Consider the set of distances $\{d\} = \{d_1, \dots, d_{r-2}\}$ and the constraint equations $\{f_1, \dots, f_{r-2}\}$ in the variables $x_1, \dots, x_{n-3}, y_1, \dots, y_{n-3}$, which arise when the pair (x_{n-2}, y_{n-2}) takes three possible pairs of values, namely (X, Y) , $(X, 0)$ and $(0, 0)$, where X, Y are generic values in the coefficient field. Denote the three corresponding “big” varieties, where $\{d\}$ is a set of variables, by $V_b(X, Y)$, $V_b(X, 0)$ and $V_b(0, 0)$. For generic values of $\{d\}$ let the corresponding “small” varieties be $V(X, Y)$, $V(X, 0)$ and $V(0, 0)$. Also we write $I_b(X, Y)$, $I(X, Y)$, etc., for the six corresponding ideals.

We have the following:

1. The varieties $V_b(X, Y)$, $V_b(X, 0)$ and $V_b(0, 0)$ are irreducible. This follows from the fact that the equations are parametric in the variables. See Theorem 2.8.
2. The variety $V(0, 0)$ is zero dimensional by Theorem 2.4 because it is the variety of the maximally independent generic graph G/e . The varieties $V(X, 0)$

and $V(X, Y)$ also have the form required for Theorem 2.3. The determinant of the Jacobian matrix for $V(0, 0)$ is obtained from the corresponding determinants for $V(X, 0)$ and $V(X, Y)$ by substituting $X = 0$ and $Y = 0$, and thus neither of the determinants of the Jacobian matrices for $V(X, 0)$ and $V(X, Y)$ are identically zero. Then $V(X, 0)$ and $V(X, Y)$ are zero dimensional by Theorem 2.3.

We may now apply the specialisation theorem of Section 5 two times, once for the specialisation $(X, 0) \rightarrow (0, 0)$ and once for the specialisation $(X, Y) \rightarrow (X, 0)$.

Suppose then, that $V(0, 0)$ is non-radical. In fact assume that there is a point of this variety with coordinate x_i not in a radical extension of $\mathbb{Q}(\{d\})$. Since $V_b(0, 0)$ is irreducible and $V(0, 0)$ is zero dimensional, it follows from Theorem 5.2 that there exists a univariate polynomial $g(x_i)$ in $\mathbb{Q}[\{d\}][x_i]$ which generates the elimination ideal $I(0, 0)_{x_i}$. By the closure theorem, Theorem 5.1, $\pi_{x_i}(V(0, 0))$ is precisely the variety of the elimination ideal for x_i , and this is precisely the set of zeros of g_i . By the non-radical hypothesis there exists an x_i such that g_i has some of its roots non-radical (over $\mathbb{Q}(\{d\})$). By irreducibility, all the roots are non-radical.

Likewise, $V(X, 0)$ is zero dimensional and there exists a polynomial $g(x_i, X)$, with positive degree in x_i , which generates $I(X, 0)_{x_i}$. Moreover, since $V_b(X, 0)$ is irreducible we may choose g so that $g(x_i, X)$ is not divisible by X and hence $g(x_i, 0)$ is not identically zero. But $g(x_i, 0)$ is in $I(0, 0)_{x_i}$, and by Theorem 5.3 $g(x_i)$ divides $g(x_i, 0)$. Thus $g(x_i, 0)$ has a non-radical root, $g(x_i, 0)$ is non-radical and $V(X, 0)$ is non-radical.

Repeating this argument for $V(X, 0)$ and $V(X, Y)$ shows that $V(X, Y)$ is non-radical over $\mathbb{Q}(\{d\})$. Thus V is non-radical over $\mathbb{Q}(\{d\}, X, Y)$. However, by triangle geometry X and Y are radical functions of d_{r-1} and d_r . Thus V is non-radical over $\mathbb{Q}(d_1, \dots, d_r)$. \square

Remark. One needs to take care with simultaneous specialisation. If we do both specialisations together on $V(X, Y)$ we might have

$$g(x_i, X, Y) = Xp(x_i, X, Y) + Yq(x_i, X, Y),$$

where, for example, Y does not divide p and so $g(x_i, 0, 0) = 0$, which gives no information on divisibility. In fact we have not excluded this possibility by doing the specialisations one at a time. However we have shown that if this does occur, then p and q both have factors which are non-radical. This is sufficient to deduce that $g(x_i, X, Y)$ is non-radical, even if it is zero on the double specialisation.

7. GALOIS GROUP UNDER SPECIALISATION

We now obtain a theorem concerning the Galois groups of polynomials whose coefficients contain indeterminates which may be specialised. This theorem plays a role in the proof of the fact that if the graph G is soluble by radicals for generic dimensions, then it is also soluble by radicals for certain specialised dimensions. In the proof we make use of the identification of the Galois group of p as the set of permutations in an index set associated with a certain irreducible factor of a multi-variable polynomial constructed from p . This identification is well known and given in Stewart [14].

Let $d = \{d_1, \dots, d_n\}$ be algebraically independent variables with the rational field extension $\mathbb{Q}(d)$ and let $d' = \{d'_1, \dots, d'_n\}$ be an n -tuple of rationals, viewed as a specialisation of d .

Theorem 7.1. *Let $p \in \mathbb{Q}[d][t]$ be an irreducible monic polynomial with Galois group $\text{Gal}(p)$ when viewed as a polynomial in $\mathbb{Q}(d)[t]$. Let $d' \in \mathbb{Q}^n$ be a specialisation of d and let p' be the associated specialisation of p with Galois group $\text{Gal}(p')$ over \mathbb{Q} . Then $\text{Gal}(p')$ is a subgroup of $\text{Gal}(p)$. In particular if p is a radical polynomial, then so too is p' .*

We are not aware of a published proof of this general specialisation theorem, but we have discovered that the elementary case when the specialised polynomial has distinct roots can be found in Van Der Waerden [18] (Section 61). The proof there is similar to the first part of our proof below.

Proof. Consider the irreducible polynomial

$$p(t) = t^m + b_{m-1}(d)t^{m-1} + \dots + b_0(d)$$

with coefficients $b_i(d)$ in $\mathbb{Q}[d]$. Let $\alpha_1, \dots, \alpha_m$ be the roots of $p(t)$ in some splitting field, let $\{x_1, \dots, x_m\}$ be indeterminates and let

$$\beta = \alpha_1 x_1 + \dots + \alpha_m x_m.$$

Let S_m be the symmetric group and define the Q -polynomial of p to be

$$Q(t, x_1, \dots, x_m) = \prod_{\sigma \in S_m} (t - \sigma(\beta)),$$

where $\sigma(\beta) = \alpha_1 x_{\sigma(1)} + \dots + \alpha_m x_{\sigma(m)}$. On expanding the product it can be seen that the coefficient of a monomial $t^k x_1^{i_1} x_2^{i_2} \dots x_m^{i_m}$ is a symmetric polynomial in the roots α_i . It follows that these coefficients are polynomials in $b_{m-1}(d), \dots, b_0(d)$. (See [14].) Thus the polynomial Q belongs to $\mathbb{Q}[d][t, x]$.

Let $Q = Q_1 Q_2 \dots Q_r$, where each Q_i is irreducible in $\mathbb{Q}[d][t, x]$ and where Q_1 contains the factor $(t - \beta)$. Since the roots of an irreducible polynomial are distinct so too are the expressions $\sigma(\beta)$, and it follows that the polynomial Q_1 is well defined.

We have

$$Q_1 = \prod_{\sigma \in S} (t - \sigma(\beta))$$

for some index set S . This index set is a subgroup of S_m which is identifiable with the Galois group of p . It coincides with the group of permutations σ of the variables x_1, \dots, x_m for which $\sigma(Q_1) = Q_1$. In fact each Q_i has the form $\tau(Q_1)$ for some permutation τ , and from this it follows that if $\sigma(Q_i) = Q_i$ for some i , then this holds true for all i and σ is in the Galois group. (For any permutation σ and polynomial P in $\mathbb{Q}[d][t, x]$ the polynomial $\sigma(P)$ is defined by permuting the indeterminates x_1, \dots, x_m .) Note that in fact the Galois group identification holds whenever p has distinct roots.

Now consider the specialisation Q' of the polynomial Q in $\mathbb{Q}[d][t, x]$ upon replacing d by d' . Since the coefficients of Q are polynomials in $b_{m-1}(d), \dots, b_0(d)$, it is easy to see that Q' coincides with the Q -polynomial for p' . Thus

$$Q' = \prod_{\sigma \in S_m} (t - \sigma(\beta')),$$

where $\beta' = \alpha'_1 x_1 + \dots + \alpha'_m x_m$ and $\alpha'_1, \dots, \alpha'_m$ are the roots of the specialisation p' in some order. (Despite the notation we do not imply that there is a link between α'_i and α_i .)

Now consider both the specialisation of the factorisation, namely

$$Q' = Q'_1 Q'_2 \dots Q'_r,$$

and the irreducible factorisation of Q' in $\mathbb{Q}[t, x]$, say

$$Q' = P_1 P_2 \dots P_s.$$

Let us first assume that the roots α'_i are distinct. Then, since each P_i is necessarily a product of some of the linear factors $t - \sigma(\beta')$, there is a unique factor, P_1 say, divisible by $t - \beta'$. Once again the Galois group $Gal(p')$ is identifiable with a subgroup T of S_m , where T is the index set such that

$$P_1 = \prod_{\sigma \in T} (t - \sigma(\beta')).$$

Moreover, if $\sigma \in Gal(p')$, then $\sigma(P_i) = P_i$ for each i , and in particular $\sigma(Q'_1) = Q'_1$ since Q'_i is a product of such factors. But if $\sigma(Q'_i) = Q'_i$, then $\sigma(Q_i) = Q_i$, by the distinctness of the roots, and so $\sigma \in Gal(p)$. The resulting inclusion $T \subseteq S$ identifies $Gal(p')$ as a subgroup of $Gal(p)$.

We need a considerable refinement of this argument in the general case for which we have $p' = h_1^{n_1} h_2^{n_2} \dots h_q^{n_q}$, where the h_i are distinct irreducible polynomials. Once more we can form the Q -polynomial for p' ,

$$Q' = \prod_{\sigma \in S_m} (t - \sigma(\beta')),$$

which has an irreducible factor, Q'_* say, with

$$Q'_* = \prod_{\sigma \in R} (t - \sigma(\beta')).$$

Because of root repetition the index set R is not uniquely associated with the factor Q'_* , and so we now give a more specific definition of Q'_* . Let $\hat{Q}_1(X_1, \dots, X_s)$ be the leading irreducible factor of the Q -polynomial (\hat{Q} say) for the polynomial $h_1 h_2 \dots h_q$ (with variables X_i to avoid ambiguity). Since the roots of this product are distinct, it follows from the first part of the proof that the index set for \hat{Q}_1 gives an identification of $Gal(h_1 h_2 \dots h_q)$ as a subgroup of S_k for some k . We now define Q'_* by

$$Q'_*(x_1, \dots, x_m) = \hat{Q}_1(X_1, \dots, X_s),$$

where each X_i is the sum over n_i of the indeterminates x_j corresponding to each of the repeated roots of p' . Since \hat{Q}_1 is irreducible over $\mathbb{Q}[d][t, X]$ it follows that Q'_* is irreducible over $\mathbb{Q}[d][t, x]$. Also Q'_* does divide Q' since it is a product of some of the linear factors of Q' . In this way we have arranged that the index set R for Q'_* is a subgroup of S_m . Also R is isomorphic to $Gal(h_1 h_2 \dots h_q) = Gal(p')$.

The irreducible factor Q'_* divides some factor Q'_i of Q' . At this point we have identified $Gal(p')$ with R , a subgroup of S_m , but we are still at liberty to relabel the roots $\alpha_1, \dots, \alpha_m$ in order to re-identify $Gal(p)$ with a new subgroup of S_m . We choose to rearrange these roots so that the factors Q_i , and hence the Q'_i , are permuted so that Q'_* divides Q'_1 . With these identifications it follows that the index set R is identified as a subgroup of S and hence that

$$Gal(p') = R \subseteq S = Gal(p),$$

as desired.

The last assertion of the theorem follows from the fact that a subgroup of a soluble group is soluble. (See [14].) \square

The non-monic case of the last theorem can be deduced with the following change of variables argument.

Suppose that p is an irreducible polynomial in $\mathbb{Q}[d][t]$ with non-zero specialisation p' . Choose a rational number a so that $p'(a) \neq 0$, and hence $p(a) \neq 0$. Define the irreducible polynomial

$$q(z) = t^n p(t^{-1} + a) \frac{1}{p(a)}.$$

Then q is monic with well-defined specialisation

$$q'(t) = t^n p'(t^{-1} + a) \frac{1}{p'(a)}.$$

The splitting fields of p and q are isomorphic, as are those of p' and q' , and so it follows from the theorem above that $\text{Gal}(p')$ is a subgroup of $\text{Gal}(p)$.

It is clear that the arguments above extend verbatim to the specialisation of algebraic independents over any field of characteristic zero, and we shall need results in this setting. Let \mathbb{E} be such a field and let $\{d\}$ be a set of algebraically independent variables over \mathbb{E} with rational field extension $\mathbb{E}(d)$.

Theorem 7.2. *Let $p \in \mathbb{E}[d][t]$ be an irreducible polynomial with Galois group $\text{Gal}(p)$ when viewed as a polynomial in $\mathbb{E}(d)[t]$. Let $d' \in \mathbb{E}^n$ be a specialisation of d and let p' be the associated specialisation of p with Galois group $\text{Gal}(p')$ over \mathbb{E} . If p' is non-constant, then $\text{Gal}(p')$ is a subgroup of $\text{Gal}(p)$. In particular if p is a radical polynomial, then so too is p' .*

8. PLANAR 3-CONNECTED LAMAN GRAPHS ARE NON-SOLUBLE

We are now able to prove the main theorem stated in the Introduction.

Suppose, by way of contradiction, that there exists a maximally independent 3-connected planar graph which is soluble. Let G be such a graph with the fewest number of vertices. We show that G is the doublet graph and that the doublet graph is not soluble by radicals. This contradiction completes the proof.

By the reduction step, Theorem 6.1, the vertex minimal graph G has no edge contraction to a 3-connected maximally independent planar graph. It thus follows from the main reduction theorem for such graphs, Theorem 4.1, that either $|G| = 6$, and G is the doublet (since G is planar), or that G has a proper vertex induced maximally independent subgraph with three vertices of attachment. However minimality rules out the latter possibility because the next proposition shows that such a proper subgraph admits substitution by a smaller graph, namely a triangle, and the resulting graph is soluble if G is soluble.

Proposition 8.1. *Let G be a 3-connected, maximally independent graph and let H be a maximally independent subgraph of G with 3 vertices of attachment v_1, v_2 and v_3 . Let G' be the graph which is obtained from G by deleting all the internal vertices of H and all the edges of H and adding the edges $(v_1v_2), (v_2v_3), (v_3v_1)$. Then G' has the properties:*

- (i) G' is 3-connected.
- (ii) G' is maximally independent.

(iii) *If the distances of the constraint equations defined by G are algebraically independent, then any solution of the constraint equations, when restricted to the vertices of G' , is a solution of the constraint equations for G' for suitably chosen algebraically independent distances of G' .*

Proof. We prove that G' is 3-connected by showing that every pair of vertices in G' are joined by three independent paths. For any pair of vertices in G' we generate three independent paths as follows. Select the corresponding pair of vertices in G . Since G is 3-connected, they are joined by three independent paths. Then each of the paths in G corresponds to a path in G' which consists of the corresponding path segments in $G \setminus H$ plus possibly a single edge $(v_i v_j)$ or a pair of edges $(v_i v_k)(v_k v_j)$ which replace any path segment in the interior of H which enters at vertex v_i , possibly includes vertex v_k , and leaves H at v_j . Each of these paths in G' consists of a subset of the vertices of the corresponding path in G , and it follows that paths which are independent in G are also independent in G' .

If H_1 and H_2 are any two edge disjoint subgraphs in G , then it follows easily from the definition of $free(H)$ that

$$free(H_1 \cup H_2) = free(H_1) + free(H_2) + 3 - 2|H_1 \cap H_2|.$$

This gives immediately that $free(G') = 0$. If G' is not independent, then there is a subgraph R of G' with $free(R) < 0$ and there is an edge $(v_1 v_2)$, say, which is in R but not in G . If v_3 is not in R , then $(R \setminus (v_1 v_2)) \cup H$ is in G and

$$free((R \setminus (v_1 v_2)) \cup H) < 0$$

which contradicts the independence of G . If v_3 is in R , then

$$(R \setminus \{(v_1 v_2), (v_2 v_3), (v_1 v_3)\}) \cup H$$

is in G and $free((R \setminus \{(v_1 v_2), (v_2 v_3), (v_1 v_3)\}) \cup H) < 0$ which contradicts the independence of G .

Theorem 2.3 implies that for algebraically independent distances $\{d_i\}$, any zero of the variety of G has coordinates $\{x_j\}$ which are algebraically independent. This zero of the variety of G gives a zero of the variety of G' (with the same $\{x_j\}$ where they occur and with the same $\{d_i\}$ where they occur and d_{12}, d_{23} and d_{13} computed from $d_{ij} = (x_i - x_j)^2 + (y_i - y_j)^2$), and this zero therefore has coordinates which are algebraically independent. It follows from Theorem 2.3 that the distances of G' are algebraically independent. □

We now show that the doublet is a non-soluble CAD graph.

Let $v_1 = (0, 0), v_2 = (1, 0)$ be the vertices of the base edge. Introduce the coordinates (x_i, y_i) for the remaining vertices $v_i, 3 \leq i \leq 6$, and the distances $d_j, 2 \leq j \leq 9$, for the non-base edges. The indexing scheme is illustrated in Figure 11.

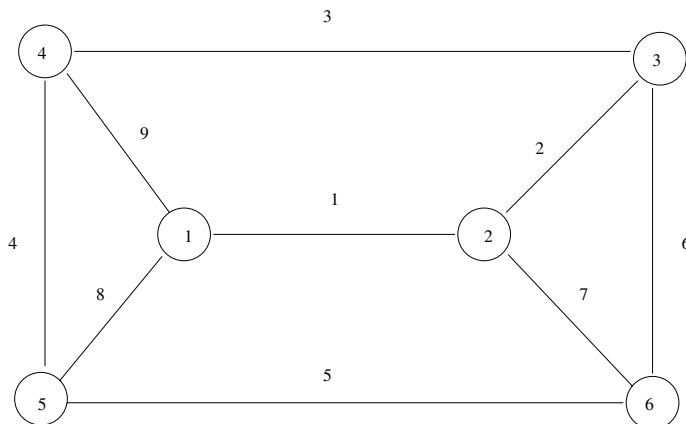


FIGURE 11. Coordinatisation of the doublet.

The resulting polynomials $\{f\}$ for the normalised constraint equations take the form

$$\begin{aligned}
 x_4^2 &+ y_4^2 - d_9^2 \\
 x_5^2 &+ y_5^2 - d_8^2 \\
 (x_3 - 1)^2 &+ y_3^2 - d_2^2 \\
 (x_6 - 1)^2 &+ y_6^2 - d_7^2 \\
 (x_3 - x_4)^2 &+ (y_3 - y_4)^2 - d_3^2 \\
 (x_4 - x_5)^2 &+ (y_4 - y_5)^2 - d_4^2 \\
 (x_5 - x_6)^2 &+ (y_5 - y_6)^2 - d_5^2 \\
 (x_6 - x_3)^2 &+ (y_6 - y_3)^2 - d_6^2.
 \end{aligned}$$

For each choice of real algebraically independent squared distances d_2^2, \dots, d_9^2 , these equations determine a zero-dimensional complex affine variety $V(\{f\})$ in \mathbb{C}^8 .

In order to prove that the zeros of the equation set are non-radical for generic $\{d^2\}$, it would be sufficient to obtain a generator (or any element) of the elimination ideal for some variable (x_3 say) over the field $\mathbb{Q}(\{d^2\})$ where the $\{d^2\}$ are generic parameters and to show that all of its zeros are non-radical. However Maple is unable to complete such a calculation, and it is necessary to use some integer values for the $\{d\}$ and to appeal to the specialisation Theorem 7.1. Note that the use of this theorem requires us to know that the specialised polynomial does indeed arise by specialising a corresponding polynomial in the generic elimination ideal. It is for this reason that we use resultants to perform the elimination explicitly.

Note that the fifth equation, and its three successors, admit the squared form

$$(d_3^2 - (x_3 - x_4)^2 + y_3^2 + y_4^2)^2 - 4y_3^2 y_4^2 = 0,$$

which in turn yields an equation in x_3 and x_4 alone on substituting for y_3^2 and y_4^2 from the first four equations. In this way we obtain a system $\{g\} = \{g_1, g_2, g_3, g_4\}$ of four quartic equations in x_3, x_4, x_5, x_6 and the squared distances. It follows that

the projection $\pi(V(\{f\}))$ for the variables x_3, x_4, x_5, x_6 is a subset of the variety $V(\{g\})$ in \mathbb{C}^4 .

To see that the doublet graph is (generically) non-soluble we show that first there is a specialised integral doublet which has non-radical solutions. This is achieved by a Maple calculation of successive resultants of the associated specialised constraint equations $\{g'\}$:

$$\begin{aligned} h'_1 &= \text{Res}(g'_1, g'_2, x_4), \\ h'_2 &= \text{Res}(g'_3, g'_2, x_6), \\ h'_3 &= \text{Res}(h'_1, h'_2, x_5). \end{aligned}$$

This results in an integral univariate polynomial $h'_3(x_3)$ which lies in the ideals $I(\{f'\})$ and $I(\{g'\})$. The polynomial h'_3 is of degree 28 which factors as the product of a degree 12 and a degree 16 polynomial (the degree 16 polynomial does not contribute to zeros of the variety, but this does not affect the argument). Maple is unable to compute the Galois group of either of these polynomials. However for our well-chosen distance values (determined by judicious trial and error) the polynomial factors as a product of four irreducible polynomials of degrees 6, 6, 8, 8. The Galois groups of these polynomial factors are computed in the Appendix, and each is a full symmetric group. It follows that h'_3 and $V(\{f'\})$ are not radical over \mathbb{Q} .

Theorem 8.2. *There exists an integral lengthed doublet graph which is not soluble by radicals.*

Proof. With the labelling order above consider the unsquared distances 1, 5, 15, 10, 16, 8, 5, 13, 13. (The two triangles in this integral doublet are isosceles, with sides 10, 13, 13 and 8, 5, 5.) By the Appendix h'_3 is a non-radical polynomial. \square

We now use the Galois group specialisation theorem to show that the doublet graph is generically non-soluble. Since the generic polynomial h_3 is not computable by Maple, we examine the resultant calculation more closely to see that h'_3 is the specialisation of the corresponding resultant polynomial h_3 for the generic equation set.

Lemma 8.3. *Let f_1, f_2 be polynomials in $\{x\}, \{d\}$ viewed as polynomials in $\{x\}$ with coefficients in $\mathbb{E}(\{d\})$. Let $\{d'\}$ be a specialisation resulting in specialisations f'_1, f'_2 such that $\deg(f_i, x_1) = \deg(f'_i, x_1)$ for $i = 1, 2$. Then the specialisation of $\text{Res}(f_1, f_2, x)$ is equal to $\text{Res}(f'_1, f'_2, x)$.*

Proof. Immediate on examination of the definition of the resultant as a Sylvester determinant. \square

For our polynomial equations $\{g\}$ Maple is able to compute the generic polynomials h_1 and h_2 and verify that if $h_1 = \text{Res}(g_1, g_2, x_4)$, $h_2 = \text{Res}(g_3, g_4, x_6)$, then

$$\deg(h_1, x_4) = \deg(h'_1, x_4) = \deg(h_2, x_6) = \deg(h'_2, x_6) = 4.$$

Although the polynomial h_3 is not readily computable, the lemma shows that h'_3 is the specialisation of h_3 .

Theorem 8.4. *The doublet graph is non-soluble.*

Proof. By Theorem 8.2 and its proof h'_3 is a non-radical polynomial, and in fact all the zeros of its irreducible factors are non-radical over \mathbb{Q} . By the Galois group specialisation theorem it follows that h_3 must be non-radical over $\mathbb{Q}(\{d\})$, and the theorem follows. \square

APPENDIX

The polynomial h'_3 and its factors are computed by the following Maple code:

```
d2:= 13; d3:= 15; d4:= 8; d5:= 16;
d6:= 10; d7:= 13; d8:= 5; d9:= 5;
yy4:=d9^2-x4^2; yy5:=d8^2-x5^2;
yy3:=d2^2-(x3-1)^2; yy6:=d7^2-(x6-1)^2;
A:= (d3^2- (x3^2+x4^2 - 2*x3*x4 + yy3 + yy4))^2 -4*yy3*yy4;
B:= (d4^2- (x4^2+x5^2 - 2*x4*x5 + yy4 + yy5) )^2-4*yy4*yy5;
C:= (d5^2- (x5^2+x6^2 - 2*x5*x6 + yy5 + yy6) )^2-4*yy5*yy6;
E:= (d6^2- (x6^2+x3^2 - 2*x6*x3 + yy6 + yy3) )^2-4*yy6*yy3;
eqns:={A=0,B=0,C=0,E=0}; expand(eqns);
X:=resultant(A,B,x4): Y:=resultant(C,E,x6):
Z:=resultant(X,Y,x5):
factor(Z):
```

The irreducible factors are the following four integral polynomials, and (according to Maple) each is non-soluble over \mathbb{Q} .

$$\begin{aligned}
& 731161600000x_3^8 - 2884724544000x_3^7 - 254604702168560x_3^6 + \\
& 929745074065696x_3^5 + 29180343859430360x_3^4 - 104245652941659832x_3^3 - \\
& 1119855862049129679x_3^2 + 4022769219537416744x_3 + 1620713038685642896, \\
& 731161600000x_3^8 - 5275493184000x_3^7 - 202247115019760x_3^6 + \\
& 1002422141698336x_3^5 + 16575444136627160x_3^4 - 46366435207277752x_3^3 - \\
& 299095702632348879x_3^2 + 813935120915198504x_3 + 13663404945744016, \\
& 753831936x_3^6 - 84641660928x_3^5 - 4996031627504x_3^4 + \\
& 486105086115256x_3^3 + 36795384322988721x_3^2 + 920226256962743080x_3 + \\
& 10127898920872530064, \\
& 2747437056x_3^6 + 143122194432x_3^5 - 17613405584624x_3^4 - 615688594921544x_3^3 + \\
& 69050497529701041x_3^2 - 776224290995754200x_3 + 1152246393155768464.
\end{aligned}$$

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