# THE NONTRIVIALITY OF THE FIRST RATIONAL HOMOLOGY GROUP OF SOME CONNECTED INVARIANT SUBSETS OF PERIODIC TRANSFORMATIONS 

AMIR ASSADI AND DAN BURGHELEA


#### Abstract

This note was inspired by some results of P. A. Smith [S]. One proves that for any periodic map of a manifold $M$ and any codimension two invariant submanifold $P$ of $M$ containing part of the stationary point set, connected invariant subsets of the complement of $P$ must carry nontrivial one-dimensional rational cycles, provided that $M$ satisfies some simple homological conditions (Theorem A). This fact has interesting consequences in transformation group theory.


0. Introduction. If $m \geqslant 2$ is a positive integer let $Z_{m}=\mathbf{Z}_{m}$ be the cyclic group of order $m$ and if $m=\infty$ let $Z_{m}$ resp. $\mathbf{Z}_{m}$ be the infinite cyclic group, respectively, $S^{1}=\{z \in \mathbf{C}| | z \mid=1\}$. We also denote by $G_{m, n}, m, n=2,3,4, \ldots, \infty$, a semidirect product $\mathbf{Z}_{m} \ltimes{ }_{t} \mathbf{Z}_{n}$ for $t: \mathbf{Z}_{n} \rightarrow$ Aut $\mathbf{Z}_{m}$. Such a semidirect product has the inclusion $\mathbf{Z}_{m} \stackrel{i}{\rightarrow} G_{m, n}$, the projection $G_{m, n} \xrightarrow{\pi} \mathbf{Z}_{n}$ and the section $s: \mathbf{Z}_{n} \rightarrow G_{m, n}(\pi \circ s=\mathrm{id})$ as part of the data. Clearly if $n=\infty, G_{m, n}=\mathbf{Z}_{m} \times S^{1}$. The groups $G_{m, n}$ are regarded as compact Lie groups.

Given a compact Lie group $G, \mu: G \times M \rightarrow M$ a topological action, $N$ an invariant submanifold, and $x \in M$, then the action $\mu: G \times(M, N) \rightarrow(M, N)$ is called locally smooth at $x$ if there exists a smooth action $\tilde{\mu}: G \times V \rightarrow V$, an invariant submanifold $W \subset V$, and an invariant neighborhood $\mathscr{U}$ of $x \in M$ together with an equivariant homeomorphism $\psi(\mathscr{Q}, \mathscr{Q} \cap N) \rightarrow(V, W)$. The main result of this note is the following:

Theorem A. Let $\mu: \mathbf{Z}_{m} \times M^{n} \rightarrow M^{n}$ be a smooth (topological) orientation preserving action, $P^{n-2} \subset M^{n}$ an invariant smooth (locally flat) closed oriented submanifold whose orientation is also invariant. Assume that $H_{1}(M)$ is torsion prime to $m, H_{2}(M ; \mathbf{Q})=0$, and
(i) $M^{\mathbf{Z}_{m}} \neq \varnothing^{2}$ and $P \cap M^{\mathbf{Z}_{m}} \neq \varnothing$ is a union of connected components of $M^{\mathbf{Z}_{m}}$,
(ii) if $\mu$ is a topological action then there exists $y \in P \cap M^{\mathbf{Z}_{m}}$ so that $\mu: \mathbf{Z}_{m} \times$ $(M, P) \rightarrow(M, P)$ is locally smooth at $y$.

[^0]Then
(a) for any connected invariant subset $X \subseteq M \backslash P, H_{1}(X ; Q) \rightarrow H_{1}(M \backslash P ; Q)$ and $H_{1}\left(X ; Z_{m}\right) \rightarrow H_{1}\left(M \backslash P ; Z_{m}\right)$ are nontrivial,
(b) $P \supset M^{\mathbf{Z}_{m}}$.

Theorem A is applied to transformation groups through
Corollary A'. Let $M, \mu, P$ be as in Proposition A and let $K^{r} \subset M^{n}$ be a nonempty connected invariant submanifold with $H_{1}\left(K^{r} ; k\right)=0$ for $k=\mathbf{Q}$ or $\mathbf{Z}_{m}$. Then $K^{r} \cap P$ $\neq 0$ and $\operatorname{dim}\left(K^{r} \cap P\right) \geqslant r-2$.

Corollary $\mathrm{A}^{\prime}$ implies Theorem B and is an important tool in the proof of Theorem C.

Theorem B (P. A. Smith). Suppose $G_{p . q}$ acts locally smoothly and effectively on the acyclic topological manifold $M^{n}$ preserving the orientation. Let $p, q$ be distinct primes or $\infty$, and $\operatorname{dim}\left(M^{\mathbf{Z}_{q}}\right)=n-2$. Then $\operatorname{dim} M^{G_{p .4}} \neq \varnothing$ and $\operatorname{dim} M^{G_{p .4}}=\left(\operatorname{dim} M^{\mathbf{Z}_{p}}\right)$ -2 .

Theorem B is due to P. A. Smith whose paper [ $\mathbf{S}$ ] has inspired this note. Smith has stated it for $G_{p, q}=Z_{p q}$ and without any conclusion about the dimension $M^{G_{p .4}}$. Notice that if we drop the condition $\operatorname{dim}\left(M^{\mathbf{Z}_{p}}\right)=n-2$ the conclusion is false (see Kister [K2]). A systematic class of fixed point free $G_{p, q}$-actions has been constructed by Assadi [A].

A manifold $M^{n+r}$ is called $r$-spheric if its universal cover has the homotopy type of $S^{r}$.

Theorem C. (1) Let $M^{n+2}$ be a 2-spheric topological manifold and $\mu: \mathbf{Z}_{m} \times M^{n+2}$ $\rightarrow M^{n+2}$ be a locally smooth effective action with $m$ prime or $\infty$. If $M^{\mathbf{Z}_{m}} \neq \varnothing$ then

$$
H_{*}\left(M^{\mathbf{Z}_{m}} ; Z_{m}\right)=H_{*}\left(S^{0} \times K\left(\pi_{1}(M), 1\right) ; Z_{m}\right)
$$

and $\operatorname{dim} M^{\mathbf{Z}_{m}}=n$; hence, if $m=\infty, \pi_{1}(M)$ is a Poincare duality group of formal dimension $n$.
(2) Let $M^{n+2}$ be a 2-spheric topological manifold and $\mu: G_{m, r} \times M^{n+2} \rightarrow M^{n+2}$ an orientation preserving effective locally smooth action with $M^{\mathbf{Z}_{m}} \neq \varnothing$ and $M^{\mathbf{Z}_{r}} \neq \varnothing$. Then $m$ and $r$ are finite and $M^{\mathbf{Z}_{m}} \cap M^{\mathbf{Z}_{r}}$ is a nonempty manifold with all connected components of dimension $n$ and $n-2$. If there are connected components of dimension $n$ then $G_{m, r}=\mathbf{Z}_{m r}$. If all components have dimension $n$ then $M^{\mathbf{Z}_{m}}=M^{\mathbf{Z}_{r}}=M^{G_{m, r}}$ and the action is semifree. If $m=r$ all components have dimension $n$.

The proof of Theorem A and Corollary $\mathrm{A}^{\prime}$ will be given in $\S 1$, and the proof of Theorems B and C in $\S 2$.
1.

Proof of Theorem A. It is easy to see that (a) implies (b) because any stationary point outside $P$ forms a connected invariant subset with trivial homology. To prove (a) we observe that one can choose a closed tubular neighborhood of $P, \tilde{\pi}: \tilde{P} \rightarrow P$, $\tilde{P} \subset M$ so that for at least one $y \in P \cap M^{\mathbf{Z}_{m}}, \tilde{\pi}^{-1}(y)$ is invariant. If the action is smooth, a closed invariant tubular neighborhood is the right choice for $\tilde{P}$. If the action is not smooth, let $y \in P \cap M^{\mathbf{Z}_{m}}$ so that $\mu: \mathbf{Z}_{m} \times(M, P) \rightarrow(M, P)$ is locally
smooth at $y$. We choose an invariant tubular neighborhood with fibre discs for a small neighborhood of $y$ in $P$. Eventually shrinking this neighborhood, we can extend this to a closed tubular neighborhood of $P$ because, for locally flat $P$, this is possible by a theorem of Kirby [K1] which states the existence and uniqueness of the closed tubular neighborhood for closed locally flat embeddings in codimension 2. Let $E=\partial \tilde{P}$ and $\pi=\tilde{\pi} \mid \partial \tilde{P} ; \pi: E \rightarrow P$ being the restriction of $\tilde{\pi}$ to $\partial \tilde{P}=E$ is a bundle with fibre $S^{1}$. Let us denote by $\tilde{E}$ the complement of $\operatorname{Int}(\tilde{P})$ in $M$ so that $E=\tilde{E} \cap \tilde{P}$. The first step in our proof is to show that $H_{1}(M \backslash P)=H_{1}(\tilde{E} ; Z)$ is isomorphic to $Z \oplus G$ where $G$ is a torsion group with $G \otimes Z_{m}=0$, and for any $z \in P$ the map $i_{z}: S^{1}=\pi^{-1}(z) \rightarrow E \rightarrow M \backslash P$ represents in the homology group $H_{1}(M \backslash P ; Z)$ an element of the form $(t, g) \in Z \oplus G$ with $t$ prime to $m$.

Assuming the first step proved, the proof of Theorem $\mathbf{A}(\mathrm{a})$, for $m=\infty$, goes as follows: Let $\mu_{x}: S^{1} \rightarrow M \backslash P$ be the restriction of the action $\mu$ to $S^{1} \times x$ where $x \in M \backslash P$. Since any connected invariant subset of $M \backslash P$, say $X$, contains $\mu_{x_{0}}\left(S^{1}\right)$ for $x_{0} \in X$, it suffices to show that for each $x, \mu_{x}: S^{1} \rightarrow M \backslash P$ induces a nontrivial homomorphism $\left(\mu_{x}\right)^{*}: H_{1}\left(S^{1} ; Q\right) \rightarrow H_{1}(M \backslash P ; Q)$. Because $M \backslash P$ is connected it suffices to verify this for one $x$ since if $x^{\prime}, x^{\prime \prime} \in M \backslash P, \mu_{x^{\prime}}$ is homotopic to $\mu_{x^{\prime \prime}}$. Now choose $x \in \pi^{-1}(y)$ where $y \in P \cap M^{S^{1}}$ is a point where $\tilde{\pi}^{-1}(y)$ is $S^{1}$-invariant; $\mu_{x}$ : $S^{1} \rightarrow \mu_{x}\left(S^{1}\right)=\pi^{-1}(y)$ is a finite cover. Therefore the image of the generator $u \in H_{1}\left(S^{1} ; Z\right)$ in $H_{1}(M \backslash P ; Z)$ is ( $\left.k t, k g\right)$ with $k \neq 0$. This proves our statement for $m=\infty$.

If $m \neq \infty$ the proof requires supplementary considerations. Let $\mu: \mathbf{Z}_{m} \times M \rightarrow M$ be our action and let $\sigma: M \rightarrow M$ the homeomorphism induced by the generator. Given an invariant subset $U \subset M$, a 1-dimensional singular simplex $l:[0,1] \rightarrow U$ is called special if $l(1)=\sigma(l(0))$. Each special simplex $l$ defines a map $\mu^{\prime}: S^{1} \rightarrow U$ by taking

$$
\mu^{\prime}\left(e^{i \theta}\right)= \begin{cases}l\left(\frac{\theta m}{2 \pi}\right) & \text { if } 0 \leqslant \theta \leqslant \frac{2 \pi}{m} \\ \sigma \circ l\left(\frac{\theta m-2 \pi}{2 \pi}\right) & \text { if } \frac{2 \pi}{m} \leqslant \theta \leqslant \frac{4 \pi}{m} \\ \sigma^{m-1} \circ l\left(\frac{\theta m-2 \pi(m-1)}{2 \pi}\right) & \text { if } \frac{2 \pi}{m}(m-1) \leqslant \theta \leqslant 2 \pi\end{cases}
$$

which represents the integral cycle $\Sigma l=l+\sigma l+\cdots+\sigma^{m-1} l$. If $l, l^{\prime}:[0,1] \rightarrow U$ are two special simplices let $\gamma:[0,1] \rightarrow U$ be a singular 1 -dimensional simplex with $\gamma(0)=l(0), \gamma(1)=l^{\prime}(0)$. The 1 -dimensional singular chain $\Delta=\gamma+l^{\prime}-\sigma \gamma-l$ is obviously a cycle and $\Sigma l=\Sigma l^{\prime}-\Delta-\sigma \Delta-\cdots-\sigma^{m-1} \Delta$. In the sequel, let $U$ be $M \backslash P$. As a second step of our proof we will show that: if $\sigma_{*}$ is the isomorphism of $H_{1}(M \backslash P ; Z)=Z \oplus G$, resp. of $H_{1}\left(M \backslash P ; Z_{m}\right)=Z_{m}$ induced by $\sigma$, then $\sigma_{*}(s, g)$ $=(s, \theta(s, g))$ where $s \in Z, g, \theta(s, g) \in G$, resp. $\sigma_{*}(s)=s, s \in Z_{m}$. Assuming this second step proved, it suffices to show that for some special 1 -simplex $l$, the integral homology class of $[\Sigma l]$ resp. the homology class $\bmod m,[\Sigma l]_{m}$ is of the form $\left(t^{\prime}, g^{\prime}\right) \in Z \oplus G$ with $t^{\prime}$ prime to $m$ resp. $\mathbf{t}^{\prime} \in Z_{m}$ where $\mathbf{t}^{\prime} \neq 0$. Then we can conclude that for any other special simplex $l^{\prime}, \quad\left[\Sigma l^{\prime}\right]=[\Sigma l]+[\Delta]+\sigma_{*}[\Delta]$ $+\cdots+\sigma_{*}^{m-1}[\Delta]=\left(t^{\prime}+m r, g^{\prime \prime}\right) \in Z \oplus G$ where $[\Delta]=\left(r, g^{\prime \prime}\right)$ resp. $\left[\Sigma l^{\prime}\right]_{m}=$ $[\Sigma l]_{m}=\mathbf{t}^{\prime}$. Hence any integral homology class $\left[\Sigma l^{\prime}\right]$ is of infinite order and nontrivial
resp. any mod $m$ homology class $\left[\Sigma l^{\prime}\right]=\mathbf{t}^{\prime}$ is hence nontrivial. Now let us take $l$ to be a special cycle lying on $\pi^{-1}(y), y \in P \cap M^{\mathbf{Z}_{m}}$ where $\tilde{\pi}^{-1}(y)$ is invariant. We can choose $l$ so that $[\Sigma l]=c\left[\pi^{-1}(y)\right]$ where $0<c<m$ and $c$ divides $m$. Hence $[\Sigma l]=$ $\left[c t, g^{\prime}\right]$ with $c t \neq 0 \bmod m$; thus $[\Sigma l]_{m} \neq 0$ which verifies the claim of Theorem $\mathrm{A}(\mathrm{a})$.

To prove Step 1, consider the exact sequence
$(*) \quad \rightarrow H_{2}(\tilde{E} ; k) \xrightarrow{i_{2}} H_{2}(M ; k) \xrightarrow{j_{2}} H_{2}(M, \tilde{E} ; k) \rightarrow H_{1}(\tilde{E} ; k) \xrightarrow{i_{1}} H_{1}(M ; k) \rightarrow \cdots$
associated with the pair $(M, \tilde{E})$ and observe that because $H_{2}(M ; Q)=0$ and

$$
H_{i}(M, \tilde{E} ; k)=H_{i}(\tilde{P}, E ; k)= \begin{cases}0 & \text { if } i=0,1 \\ k & \text { if } i=2\end{cases}
$$

$j_{2}$ is zero. Hence (*) shortens to

$$
0 \rightarrow k \rightarrow H_{1}(\tilde{E} ; k) \rightarrow H_{1}(M ; k) \quad \text { and } \quad H_{2}(\tilde{E} ; k) \xrightarrow{i_{2}} H_{2}(M ; k) \rightarrow 0 .
$$

By hypotheses $H_{1}(M ; Z)$ is torsion prime to $m$ and for $k=Z_{m}, H_{1}\left(\tilde{E} ; Z_{m}\right)=Z_{m}$. This implies $H_{1}(\tilde{E} ; Z)=Z \oplus G$ with $G$ a torsion group so that $G \otimes Z_{m}=0$.

The Mayer-Vietoris sequence applied to $M=\tilde{E} \cup \tilde{P}, E=\tilde{E} \cap \tilde{P}$ gives the exact sequence

$$
\begin{align*}
& \rightarrow H_{2}(\tilde{E} ; k) \oplus H_{2}(\tilde{P} ; k) \xrightarrow{i_{2} \oplus \pi_{2}} H_{2}(M ; k) \xrightarrow{\delta} H_{1}(E ; k)  \tag{**}\\
& \stackrel{i \oplus \pi_{1}}{\rightarrow} H_{1}(\tilde{E} ; k) \oplus H_{1}(\tilde{P} ; k) \rightarrow H_{1}(M ; k) .
\end{align*}
$$

Because $i_{2}$ is surjective, so is $i_{2} \oplus \pi_{2}$, hence $\delta$ is zero. Thus (**) shortens to

$$
0 \rightarrow H_{1}(E ; k) \xrightarrow{i \oplus \pi_{1}} H_{1}(\tilde{E} ; k) \oplus H_{1}(\tilde{P} ; k) \rightarrow H_{1}(M ; k) .
$$

Since in the diagram below the horizontal line is the abelianization of the homotopy exact sequence of the bundle $S_{y} \stackrel{i_{y}}{\rightarrow} E \rightarrow P$

$$
\begin{aligned}
& H_{1}\left(S_{y}^{1} ; k\right) \xrightarrow{\left(i_{y}\right)_{1}} H_{1}(E ; k) \xrightarrow{\pi_{1}} H_{1}(P ; k) \rightarrow 0 \\
& \quad \uparrow \simeq \\
& H_{2}\left(\tilde{\pi}^{-1}(y), \pi^{-1}(y) ; k\right) \\
& \quad \downarrow \simeq \\
& H_{2}(\tilde{P}, E, k)
\end{aligned}
$$

and $\delta^{E}$ is injective, $\left(i_{y}\right)_{1}$ has to be injective. $\delta^{E}$ is injective because in the commutative diagram below $\Delta^{\tilde{E}}$ is injective and $w$ is an isomorphism:

$$
\begin{array}{ccc}
H_{2}(M, \tilde{E} ; k) & \stackrel{\delta^{\tilde{E}}}{\rightarrow} & H_{1}(\tilde{E} ; k) \\
w \uparrow \simeq & & \uparrow \\
H_{2}(\tilde{P}, E ; k) & \xrightarrow{\delta^{E}} & H_{1}(E ; k)
\end{array}
$$

Consequently we have the exact sequence

$$
0 \rightarrow H_{1}\left(S_{y}^{1} ; k\right) \rightarrow H_{1}(E ; k) \rightarrow H_{1}(P ; k) \rightarrow 0 .
$$

Since for $k=Z_{m}, i_{1} \oplus \pi_{1}$ is an isomorphism, the composition $H_{1}\left(S_{y}^{1} ; Z_{m}\right) \rightarrow$ $H_{1}\left(E ; Z_{m}\right) \rightarrow H_{1}\left(\tilde{E} ; Z_{m}\right)$ is an isomorphism, hence $t \equiv 1 \bmod m$ and the first step is proved.

To prove Step 2 we observe that by Step 1 the component $Z$ of $H_{1}(\tilde{E} ; Z)=$ $H_{1}(M \backslash P ; Z)$ comes from $H_{2}(M, \tilde{E} ; Z)=H_{2}(M, M \backslash P ; Z)$ which is invariant under the action of $\mathbf{Z}_{m}$ since $H_{2}(M, \tilde{E} ; Z) \cong H_{2}\left(\tilde{\pi}^{-1}(y), \pi^{-1}(y) ; Z\right)$ and the action preserves the orientations of $M$ and $P$; so that it is trivial on $H_{2}\left(\tilde{\pi}^{-1}(y), \pi^{-1}(y) ; Z\right)$.

Proof of Corollary A'. If $K^{r} \cap P=\varnothing$ or $\operatorname{dim} K^{r} \cap P<n-2$, then $K \backslash K \cap P$ will be connected and provides an example of an invariant set with

$$
H_{1}(K \backslash K \cap P: Q)=0,
$$

which is impossible by Proposition A.
The following example (due to R. Stong) shows that condition (i) of Theorem A cannot be weakened to $P^{\mathbf{Z}_{m}} \neq \varnothing$.

Example. Consider $M^{n}=S^{2 j+1}$ with $\mathbf{Z}_{m}$ action $\rho\left(z_{0}, z_{1}, \ldots, z_{j}\right)=$ $\left(z_{0}, z_{1}, e 2 \pi i z_{2} / m, \ldots, e 2 \pi i z_{j} / m\right)$ and let $P^{n-2}=S^{2 j-1}=\left\{z \mid z_{0}=0\right\}$, where $n=$ $2 j+1$ and $j \geqslant 2$. Clearly $M$ and $P$ are connected, $H_{2}(M, Q)=H_{1}(M, Z)=0$, $P^{\mathbf{Z}_{m}} \neq \varnothing$ and $M^{\mathbf{Z}_{m}} \not \subset P$. Also every subset of $S^{1}=\left\{z \mid z_{i}=0\right.$ for $\left.i>0\right\}$ is invariant and need not have a nontrivial image in $H_{1}\left(M-P ; \mathbf{Z}_{m}\right)$. In fact, there are invariant circles which are homologically trivial.
2.

Proof of Theorem B. Let $P=M^{Z_{q}}$ and $K=M^{Z_{p}}$, and take $\mu: Z_{q} \times M \rightarrow M$ to be the induced action by a subgroup isomorphic to $Z_{q}$. Then $K$ is invariant under the $Z_{q}$-action and $K-M^{G_{p . q}} \subset M-P$. By Smith theory $\bar{H}_{*}\left(K ; Z_{p}\right)=0=\bar{H}_{*}\left(P ; Z_{q}\right)$. Thus $K$ and $P$ are both connected. If $p=\infty$, then $\bar{H}_{*}(K ; Q)=0$ and the conclusion follows from Corollary $\mathrm{A}^{\prime}$. If $p<\infty$ and $\operatorname{dim} M^{G_{p . q}}<\operatorname{dim} M^{Z_{p}}-2$ (in particular if $\left.M^{G_{p .4}}=\varnothing\right)$, then $H_{1}\left(K-M^{G_{p . q}}\right)$ will be $p$-divisible. The linking number of any 1-cycle of $M-P$ with $P$ is well defined since $H_{1}(M)=H_{2}(M)=0$.

If we choose $\tilde{\pi}: \tilde{P} \rightarrow P$ and $y \in P$ as in the proof of Theorem A , it is easily seen that one can find special l-cycles in $\tilde{\pi}^{-1}(y)-\{y\} \subset M-P$ whose linking number with $P$ is one. However, if a special 1-cycle lies on $K \backslash M^{G_{p . q}}$, its linking number with $P$ must vanish, being $p$-divisible. This contradiction establishes Theorem B.

Proof of Theorem C. Let $\tilde{M} \xrightarrow{f} M$ be the universal covering of $M$. Since $M^{\mathbf{Z}_{m}} \neq \varnothing$, the action $\mu$ can be lifted to an action $\tilde{\mu}: \mathbf{Z}_{m} \times \tilde{M} \rightarrow \tilde{M}$ with $f^{-1}\left(M^{\mathbf{Z}_{m}}\right)$ $=\tilde{M}^{\mathbf{Z}_{m}}$; hence $\tilde{M} \mathbf{Z}_{m} \xrightarrow{f} M^{\mathbf{Z}_{m}}$ is a principal covering with group $\pi_{1}(M)=\pi$. If $m$ is a prime or $\infty$, by Smith theory $\tilde{H}_{*}\left(M^{\mathbf{Z}_{m}} ; Z_{m}\right)=H_{*}\left(S^{k} ; Z_{m}\right)$ where $k=0$ or 2 ; if $k=2$ Smith theory equally implies that $H_{*}\left(\tilde{M}^{\mathbf{Z}} ; Z_{m}\right) \rightarrow H\left(M ; Z_{m}\right)$ is an isomorphism [B, Chapter III] which implies (comparing the spectral sequences associated with the fibrations $\tilde{M} \rightarrow M \rightarrow K(\pi, 1)$ and $\tilde{M}^{\mathbf{Z}_{m}} \rightarrow M^{\mathbf{Z}_{m}} \rightarrow K(\pi, 1)$ ) that $M$ and $M^{\mathbf{Z}_{m}}$ have the same homology with coefficients in $Z_{m}$; this is impossible, hence $k=0$ and $f^{-1}\left(M^{\mathbf{Z}_{m}}\right)$ consists of two connected components $\tilde{P}_{1}$ and $\tilde{P}_{2}$ each of them with trivial
homology $\bmod m$ and being the total space of principal coverings with group $\pi$. By spectral sequence arguments applied to the fibrations $\tilde{P}_{i} \rightarrow K(\pi, 1)$ we have $H_{*}\left(P_{i} ; Z_{m}\right)=H_{*}\left(K(\pi, 1) ; Z_{m}\right)$. We also claim that $\operatorname{dim} P_{i}=n$ because the composition $P_{i} \rightarrow M \rightarrow K(\pi, 1)$ induces an isomorphism for homology with coefficients in $Z_{m}$ which combined with the Gysin sequence of the fibration $\tilde{M} \rightarrow M \rightarrow K(\pi, 1)$ implies $H_{n}\left(K(\pi, 1) ; Z_{m}\right)=H_{n}\left(P_{i} ; Z_{m}\right)=Z_{m}$. This proves Theorem $\mathrm{C}(1)$.

Before we start the proof of (2) we notice that $\tilde{M} \backslash \tilde{P}_{i}$ satisfies $H_{1}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)=0$ and $H_{2}\left(\tilde{M} \backslash \tilde{P}_{i} ; Q\right)=0$ for $m$ prime and finite. To see this, one considers the exact sequence of the pair ( $\left.\tilde{M}, \tilde{M} \backslash \tilde{P}_{i}\right)$,

$$
\begin{aligned}
& \rightarrow H_{3}\left(\tilde{M}, \tilde{M} \backslash \tilde{P_{i}} ; Z_{m}\right) \rightarrow H_{2}\left(\tilde{M} \backslash \tilde{P_{i}} ; Z_{m}\right) \rightarrow H_{2}\left(\tilde{M} ; Z_{m}\right) \\
& \quad \xrightarrow{\psi} H_{2}\left(\tilde{M}, \tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right) \rightarrow H_{1}\left(\tilde{M} \backslash P_{i} ; Z_{m}\right) \rightarrow 0
\end{aligned}
$$

which, after applying the isomorphism $H_{3}\left(\tilde{M}, \tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)=H_{1}\left(\tilde{P}_{i} ; Z_{m}\right)$ and Smith theory, $H_{1}\left(\tilde{P}_{i} ; Z_{m}\right)=0$ becomes

$$
0 \rightarrow H_{2}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right) \rightarrow Z_{m} \xrightarrow{\psi} Z_{m} \rightarrow H_{1}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right) \rightarrow 0 .
$$

If $m$ is prime (and finite) $\psi$ is not zero, hence it is an isomorphism. To see this, assume $\psi=0$; hence $Z_{m}=H_{2}\left(\tilde{M}, \tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right) \rightarrow H_{1}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)$ is an isomorphism. If $\tilde{\tilde{P}}_{i}$ is a closed invariant tubular neighborhood of $\tilde{P}_{i}$ and $\pi: \hat{P}_{i}=\partial \tilde{\tilde{P}}_{i} \rightarrow \tilde{P}_{i}$ the equivariant map which is a bundle with fibre $S_{x}^{1}=\pi^{-1}(x), x \in \tilde{P}_{i}$, then $H_{1}\left(S_{x}^{1} ; Z_{m}\right)$ $\rightarrow H_{1}\left(\hat{P}_{i} ; Z_{m}\right) \rightarrow H_{1}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)$ is an isomorphism for each $x$ and it is exactly the isomorphism $H_{2}\left(\tilde{M} ; \tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right) \rightarrow H_{1}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)$. But since $\tilde{P}_{i}$ consists of fixed points, $S_{x}^{1}$ is a cycle of type $\Sigma l$ for a special simplex $l$, therefore its homology class $\bmod m$ is the same as that of any other cycle $\Sigma l^{\prime}$ with $l^{\prime}$ special (as in the proof of Theorem A). If we choose $l^{\prime}$ to be the degenerate special simplex which lies in $\tilde{P}_{2}$ if $i=1$ or $\tilde{P}_{1}$ if $i=2$, clearly the homology class $(\bmod m)\left[\Sigma l^{\prime}\right]$ is zero which is in contradiction with our assumption that $H_{2}\left(\tilde{M}, \tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)=Z_{m} \rightarrow H_{1}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)$ is an isomorphism. Consequently $\psi$ is an isomorphism and therefore $H_{1}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)$ $=0$ and $H_{2}\left(\tilde{M} \backslash \tilde{P}_{i} ; Z_{m}\right)=0$.

To prove (2) let $\mu_{\varepsilon}, \varepsilon=m$ or $r$, be the restriction of the action of $\mu$ to $\mathbf{Z}_{\varepsilon}$. Since $\mu_{\varepsilon}$ has fixed points, let $\tilde{\mu}_{\varepsilon}$ be liftings of $\mu_{\varepsilon}$ to the actions $\tilde{\mu}_{\varepsilon}: \mathbf{Z}_{\varepsilon} \rightarrow \tilde{M} \rightarrow \tilde{M}$ with $f^{-1}\left(M^{\mathbf{Z}_{\varepsilon}}\right)=\tilde{M}^{\mathbf{Z}_{\varepsilon}}$. Notice that $\tilde{M} \mathbf{Z}_{m}$ is invariant for $\tilde{\mu}_{r}$ because $M^{\mathbf{Z}_{m}}$ is invariant for $\mu_{r}$. If $G_{m, r}=\mathbf{Z}_{m} \ltimes{ }_{t} \mathbf{Z}_{r}$, then $\tilde{M}^{\mathbf{Z}_{r}}$ is invariant for $\tilde{\mu}_{m}$. Assume $m=\infty$ and let $\tilde{P}_{1}$ and $\tilde{P}_{2}$ resp. $\tilde{R}_{1}$ and $\tilde{R}_{2}$ be the connected components of $\tilde{M}^{\mathbf{Z}_{m}}$ resp. $\tilde{M}^{\mathbf{Z}}$. By Smith theory we know that $\tilde{P}_{i}$ have trivial homology for any coefficients; we also know that $\tilde{P}_{i}$ is invariant to $\tilde{\mu}_{r}$ and the $\left(\tilde{R}_{1} \cup \tilde{R}_{2}\right) \cap \tilde{P}_{i}=\tilde{P}_{i}^{\mathbf{Z}_{n}}$. Since $H_{*}\left(\tilde{P}_{i}^{\mathbf{Z}_{n}} ; Z_{n}\right)=$ $H_{*}\left(M ; Z_{n}\right), \tilde{P}_{i}^{\mathbf{Z}_{m}}$ is nonempty so $\tilde{P}_{i}$ intersects at least one of the components $\tilde{R}_{1}$ or $\tilde{R}_{2}$, say $\tilde{R}_{1}$. Moreover if $\tilde{R}_{1} \cap \tilde{P}_{i} \neq \tilde{P}_{i}, \tilde{R}_{1} \cap \tilde{P}_{i}$ has to be of dimension at most $n-2$. Since $\tilde{R}_{1} \cap \tilde{P}_{i} \rightarrow \tilde{R}_{1} \cap \tilde{P}_{i}$ is a principal covering with group $\pi$, one concludes that $H_{n}\left(K(\pi, 1) ; Z_{n}\right)=0$. But as we have seen in the proof of (1) this is not possible, hence $\tilde{R}_{1} \cap \tilde{P}_{i}=\tilde{P}_{i}$. Since $\tilde{R}_{1} \supset \tilde{P}_{i}$, and both are closed connected submanifolds of dimension $n$, we have $R_{1}=P_{i}$, which shows that $\tilde{M}^{\mathbf{Z}_{m}}=\tilde{M}^{\mathbf{Z}_{n}}$. Of course this is not possible because this will imply that $G_{m, r}$ acts without fixed points on the fibre $S_{x}^{1}$ of the boundary of a tubular neighborhood of $M^{G_{m, r} .}$. If $r=\infty$ then $G_{m, r}=\mathbf{Z}_{m} \times S^{1}$
which is a group of the type $G_{\infty, m}$ previously considered. Now assume $m$ and $r$ are finite. We apply Corollary $\mathrm{A}^{\prime}$ to $\tilde{M} \backslash \tilde{R}_{i}$ equipped with the action $\mu_{r}(\tilde{M} \backslash \tilde{R}$ as $M$ and $\tilde{R}_{j}$ as $P, j=2$ if $i=1$ and $j=1$ if $i=2$ and $\tilde{P}_{j}$ as $R$ ); we conclude that $\tilde{P}_{i} \cap \tilde{R}_{j} \neq \varnothing$, and the dimension of each connected component is $\geqslant n-2$. Since $\tilde{P}_{i}^{\mathbf{Z}}=\tilde{P}_{i} \cap\left(\tilde{R}_{1} \cup \tilde{R}_{2}\right)$, then either $\tilde{R}_{j}=\tilde{P}_{i}$ or $\operatorname{dim}\left(\tilde{P}_{i} \cap \tilde{R}_{j}\right) \leqslant n-2$; hence the dimension of each component of $\tilde{P}_{i} \cap \tilde{R}_{j}$ is either $n$ or $n-2$. In the first case $\tilde{R}_{j}=\tilde{P}_{i}$ which implies that $G_{m, r}$ acts freely on $S^{1}$ which is possible only if $G_{m, r}$ is a cyclic group, hence $G_{m, r}=Z_{m r}$. The same conclusion holds if $M^{\mathbf{Z}_{m}}=M^{\mathbf{Z}_{n}}$. If $m=r, M^{\mathbf{Z}_{m}} \cap M^{\mathbf{Z}_{r}}$ cannot have components of dimension $n-2$ because if they have, then $\tilde{M}^{\mathbf{Z}_{m}} \cap \tilde{M}^{\mathbf{Z}_{r}}$ has components whose homology with $Z_{m}$ coefficients is trivial by Smith theory and consequently, $H_{n}\left(K(\pi, 1) ; Z_{m}\right)=0$; clearly this is not the case because of (1).

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Department of Mathematics, University of Virginia, Charlottesville, Virginia 22903
Department of Mathematics, Ohio State University, Columbus, Ohio 43210


[^0]:    Received by the editors July 20, 1982.
    1980 Mathematics Subject Classification. Primary 57S10, 57S15, 57S17.
    ${ }^{1}$ Both authors were supported by NSF grants. The authors are grateful to R. Schultz and R. Stong for helpful suggestions.
    ${ }^{2}$ For an action $\mu: G \times M \rightarrow M$ one denotes by $M^{G}$ the fixed point set of $\mu$.

