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# The origin of species richness patterns along environmental gradients: uniting explanations based on time, diversification rate and carrying capacity

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# The origin of species richness patterns along environmental gradients: uniting explanations based on time, diversification rate and carrying capacity

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Abstract: Aims: Patterns of species richness, such as the remarkable biodiversity of tropical regions, have been documented and studied for centuries. However, their underlying evolutionary and ecological causes are still incompletely understood. A commonly stated paradigm in the literature is that high richness in some habitats is directly caused by one of three competing explanations: (1) greater time-for-speciation (earlier colonization), (2) more rapid diversification rates (faster speciation relative to extinction) or (3) higher carrying capacity. However, these three explanations have been relatively little studied using theoretical approaches (especially in terms of comparing all three). Furthermore, empirical studies give conflicting results about their relative importance. Here, we use simulations to study the processes that drive richness patterns along environmental gradients. Location: Globally applicable. Methods: We use individual-based and trait-based modelling of eco-evolutionary dynamics to simulate the evolutionary radiation of a clade across five habitats with differing ecological conditions, and track patterns of species richness within and between habitats over time. We specifically address the roles of time and diversification rates in explaining richness patterns and the potential impact of carrying capacity. Main results and conclusions: Contrary to the widespread paradigm, we find that variation in carrying capacity can underlie differences in diversification rates and time-for-speciation among habitats. Therefore, carrying capacity is not a competing, alternative explanation for richness patterns. We also find that the time-for-speciation effect dominates richness patterns over short time-scales, whereas diversification rates dominate over longer time-scales. These latter observations can help reconcile the seemingly conflicting results of many empirical studies, which find that some patterns are explained by time and others by differences in diversification rates.

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## 25 ABSTRACT

26 Aims Patterns of species richness, such as the remarkable biodiversity of tropical regions, 27 have been documented and studied for centuries. However, their underlying evolutionary 28 and ecological causes are still incompletely understood. A commonly stated paradigm in 29 the literature is that high richness in some habitats is directly caused by one of three 30 competing explanations: higher richness is caused by either (i) higher carrying capacity, 31 (ii) greater time for speciation (earlier colonization), or (iii) more rapid diversification 32 rates (faster speciation relative to extinction). However, these three explanations have 33 been relatively unstudied theoretically using theoretical approaches (especially in terms 34 of comparing all three). Furthermore, empirical studies give conflicting results about their 35 relative importance. Here, we use simulations to study the processes that drive richness 36 patterns along environmental gradients. 37 Location Globally applicable. 38 Methods We use individual-based and trait-based modelling of eco-evolutionary 39 dynamics to simulate the evolutionary radiation of a clade across five habitats with 40 differing ecological conditions, and track patterns of species richness within and between 41 habitats over time. We specifically address the roles of time and diversification rates in 42 explaining richness patterns and the potential impact of carrying capacity. 43 Main results and conclusions Contrary to the widespread paradigm, we find that 44 variation in carrying capacity can underlie differences in diversification rates and time-45 for-speciation among habitats. Therefore, carrying capacity is not a competing, 46 alternative explanation for richness patterns. We also find that the time-for-speciation 47 effect dominates richness patterns over short time scales, whereas diversification rates

- 48 dominate over longer time scales. These latter observations can help reconcile the
- 49 seemingly conflicting results of many empirical studies, which find that some patterns are
- 50 explained by time and others by differences in diversification rates.

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## 52 **INTRODUCTION**

Explaining patterns of species richness is a fundamental goal of biogeography, ecology,
and evolutionary biology. Species richness often varies along environmental gradients,
and at many different spatial scales. For example, many clades have more species in
tropical than temperate regions (e.g. Pianka, 1966; Rohde, 1992; Hillebrand, 2004). Yet,
richness can also vary among habitats within a region, such as at different elevations (e.g.
Rahbek, 1995).

59 There has been growing appreciation for the idea that these diverse species 60 richness patterns originate through a combination of both ecological and evolutionary 61 processes (e.g. Ricklefs, 1987; Wiens & Donoghue, 2004; Mittelbach et al., 2007). Richness patterns arise through the processes of speciation, extinction, and dispersal, 62 63 where dispersal refers to successful establishment of a lineage in a new region or habitat. 64 These are the processes that directly change the number of species in a given location, 65 even if there is a perfect relationship between richness and one or more environmental 66 variables (i.e. correlations with ecological variables do not negate the importance of these 67 evolutionary and biogeographic processes). Therefore, in order to understand how 68 richness patterns originate along environmental gradients, we need to understand how environmental variables influence these three processes (e.g. Ricklefs, 1987; Wiens & 69 70 Donoghue, 2004; Mittelbach et al., 2007). 71 Given this perspective, a widespread paradigm in the literature is that there are

three main explanations for why species richness patterns vary along environmental gradients. These explanations involve variation in diversification rates, time, and carrying capacity (review in Mittelbach *et al.*, 2007; see also Rabosky, 2009). Many prominent

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studies have specifically emphasized the idea that these are three competing explanations
(e.g. Rabosky & Glor, 2010), especially diversification rates and carrying capacity (e.g.
Rabosky, 2009).

First, local environmental conditions at one end of the gradient may increase diversification rates there (diversification is the balance of speciation and extinction over time). Various ecological factors may act to modify these rates and patterns of speciation and extinction (review in Mittelbach *et al.*, 2007). For example, the latitudinal diversity gradient may arise due to higher tropical speciation rates (possibly related to narrower ecological niches in the tropics) and higher temperate extinction rates (potentially caused by climatic changes associated with glacial cycles).

Second, patterns of richness may be explained by the time-for-speciation effect (sensu Stephens & Wiens, 2003). Specifically, if one part of the gradient is colonized first, then these habitats may have higher richness simply because there is more time for speciation to occur and for species to accumulate in these habitats. Limited dispersal between habitats is a key component of this hypothesis, and may be related to strong ecological differences between habitats and the limited ability of species to adapt to these differences (e.g. niche conservatism; review in Wiens *et al.*, 2010).

Empirical studies have found mixed support for these first two hypotheses, with many studies within regions supporting the time effect (e.g. Brown *et al.*, 2000; Rangel *et al.*, 2007; Wiens *et al.*, 2007; Kozak & Wiens, 2010; Hutter *et al.*, 2013), many largerscale studies supporting the diversification rate hypothesis (e.g. Jansson & Davies, 2008; Condamine *et al.*, 2012; Pyron & Wiens, 2013; Rolland *et al.*, 2014), and some globalscale studies supporting the time hypothesis within smaller clades (e.g. families: Stephens

98	& Wiens, 2003; Stevens, 2006; Wiens et al., 2006; Wiens et al., 2009; Wiens et al., 2011;
99	Kozak & Wiens, 2012). The explanation for these conflicting results remains unclear.
100	A third explanation is based on carrying capacity or "ecological limits" related to
101	finite resources (e.g. Mittelbach et al., 2007; Rabosky, 2009; but with many earlier
102	antecedents in the ecological and paleontological literatures; e.g. MacArthur & Wilson,
103	1967; Raup, 1972). This hypothesis is based on the idea that competition for limited
104	resources will constrain the number of species that can occur together in a given location
105	or habitat. However, the specific mechanisms that relate this hypothesis to richness
106	patterns along environmental gradients are still not fully understood. Furthermore,
107	carrying capacity might instead be seen as another ecological factor that influences
108	speciation, extinction, and colonization of new habitats over time (Wiens, 2011). Thus,
109	carrying capacity might influence both diversification and time-for-speciation rather than
110	being a third, separate explanation (Wiens, 2011). This makes it difficult to assess the
111	extent to which empirical studies have (or have not) supported this hypothesis.
112	Despite many empirical studies, the evolutionary and ecological processes that
113	generate richness patterns along environmental gradients remain incompletely understood
114	from a theoretical perspective (i.e. including analytical, modelling, and simulation
115	studies). For example, no theoretical studies have addressed under what conditions the
116	time-for-speciation effect might explain richness patterns instead of diversification rates
117	(and thus the reasons for the conflicting results of empirical studies over these two
118	hypotheses are uncertain). Similarly, despite interest in the idea of ecological limits on
119	richness (e.g. Mittelbach et al., 2007; Rabosky, 2009; Rabosky & Glor, 2010), the
120	mechanisms underlying this hypothesis are also unclear (but see Hurlbert & Stegen,

121	2014). Nevertheless, some theoretical papers have addressed related issues. Goldberg et
122	al. (2005) studied how differences in richness develop between two regions, and Roy &
123	Goldberg (2007) examined modelling methods to explain differences in richness between
124	habitats. However, these two studies did not explicitly address the processes underlying
125	richness differences. McPeek (2008) analysed diversification and community assembly
126	along an environmental gradient, but focused on declining diversification rates over time,
127	rather than richness patterns. Birand et al. (2012) examined speciation, extinction, and
128	range sizes, but not species richness. Stegen et al. (2009; 2012a,b) examined how
129	diversity evolves along a temperature gradient, focusing on how temperature impacts
130	diversification and the metabolic theory of ecology (see also Allen et al., 2002).
131	Rosindell & Phillimore (2011) examined the processes underlying richness patterns on
132	islands, but without including ecological differences among islands or species. Rabosky
133	(2012) simulated the time-for-speciation effect, primarily to test the effectiveness of
134	methods for detecting this pattern. Hurlbert & Stegen (2014) examined the possible role
135	of energetic constraints in generating richness patterns, focusing mostly on how the
136	presence of ecological limits influenced patterns of species richness (rather than on the
137	processes by which this occurred). An important set of studies has also used simulations
138	to help address the origins of specific observed richness patterns (review in Gotelli et al.,
139	2009), but have not focused on the three hypotheses described above. In general, there is
140	an extensive literature on richness patterns (much of which is theoretical; e.g. Hubbell,
141	2001) but which has not focused on comparing the relative importance of time,
142	diversification rates, and carrying capacity. Thus, despite these important contributions,
143	many questions remain unexplored.

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144	Here, we focus on the question of how patterns of species richness arise along
145	environmental gradients. We use individual-based modelling of eco-evolutionary
146	dynamics to simulate the evolutionary radiation of a clade across five habitats with
147	differing ecological conditions (in terms of both abiotic environment and biotic
148	resources), and track patterns of species richness within and between habitats over time.
149	We specifically address the roles of time and diversification rates in explaining richness
150	patterns and the potential impact of carrying capacity. We explore how relevant
151	parameters (e.g. biotic and abiotic niche widths of species, carrying capacity, abiotic and
152	biotic differences among habitats) are related to the processes that directly control
153	richness (speciation, extinction, colonization) and to the buildup of richness among
154	habitats over time. Although simulations cannot match the complexity of real systems,
155	they can offer mechanistic insights that would be difficult to obtain with empirical data
156	alone (e.g. how processes underlie patterns).
157	We test the following predictions. (i) Following from the carrying capacity
158	hypothesis, overall richness will be positively related to ecological variables increasing
159	resource availability (i.e. narrower resource specialization and higher environmental
160	carrying capacity in some habitats). But this increased richness will be directly caused by
161	influencing diversification rates or the timing of colonization of different habitats. (ii)
162	Strong richness gradients will develop rapidly when the first habitat colonized has
163	conditions that potentially promote diversification, such that the effects of time and
164	diversification rates on richness are concordant. (iii) In contrast, the differing impacts of
165	diversification rates and time will be most evident when a clade initially colonizes
166	habitats that yield low diversification rates. Under these conditions, species richness

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should initially be highest in the habitats colonized first (supporting time) but should
eventually become highest in habitats that promote diversification (supporting the
diversification rates hypothesis). This latter prediction (if supported) might explain why
many studies at smaller and shorter spatial and temporal scales support the importance of
time (e.g. younger clades), whereas larger-scale studies support diversification rates
instead.

173 In the methods section, we describe the details of our model and simulations. We 174 give a brief outline here (Fig. 1). We expand the model by Pontarp et al. (2015) to 175 multiple traits and we simulate the evolutionary radiation of a clade over time (starting 176 from a single species) across five habitats that potentially differ in abiotic environments 177 and biotic resources. There is spatial structure among the five habitats but not within 178 them (i.e. all individuals in a habitat can compete). Habitats can be inhabited only by 179 individuals having certain abiotic tolerances and certain values for a trait that allows them 180 to utilize the biotic resources present in that habitat. These abiotic tolerances and resource 181 utilization traits can evolve over time (e.g. due to mutation, changes in fitness, and 182 selection). Speciation occurs largely through ecological divergence among individuals 183 (Fig. 2), both within and between habitats, and trait-based competition for finite biotic 184 resources is one of the potential drivers of speciation. We also explore the impacts of 185 incorporating non-ecological speciation. Species richness builds up in local habitats over 186 time both through speciation and dispersal among habitats. We explore how overall 187 richness across all five habitats is influenced by changing relevant parameters (e.g. biotic 188 and abiotic niche widths of species, carrying capacity, abiotic and biotic differences 189 among habitats). We then explore how these parameters are related to processes that

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190 directly control richness (speciation, extinction, diversification, colonization, and

191 colonization times). Finally, and most importantly, we explore how varying key

192 parameters across habitats influences the buildup of richness among habitats over time.

193

194 METHODS

## 195 Model outline and general assumptions

196 We model five discrete habitats along an environmental gradient, each having a specific 197 biotic resource distribution (e.g. seeds of different size for a granivorous bird) and values 198 for an abiotic environmental variable (e.g. temperature). Individuals are defined by their 199 resource utilization trait and an abiotic tolerance trait. Resources in each habitat are 200 modeled as a Gaussian resource distribution (see eq. 2 below) in trait dimension (z) and a 201 population of identical individuals will have a local carrying capacity based on its 202 utilization trait (also in trait dimension z) (Fig. 2). Sticking to the seed/bird analogy, a 203 habitat with relatively few small and large seeds but many intermediate-sized seeds, and a 204 bird population with intermediate-sized beaks will therefore have a larger carrying 205 capacity in that habitat than birds with small and large beaks. Individuals can disperse 206 between habitats and mutate in their traits. We assume that local competition between 207 individuals for common resources is a function of the distance in trait space between 208 them (e.g. individual birds with similar-sized beaks compete more with each other than 209 dissimilar ones as they utilize similar resources). Finally, we assume that the reproductive 210 output of individuals is influenced by their abiotic environment and the match between 211 the environment and their abiotic tolerance trait (*u*).

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212	Given these general assumptions, the fitness of a focal individual in a given
213	habitat is modeled as a function of its resource utilization trait ( $z$ ; e.g. beak size), abiotic
214	tolerance trait (u; e.g. physiological tolerance to temperature, influencing reproduction
215	and survival), the $z$ trait of all other individuals competing for the same resources locally,
216	the local resource distribution, and local abiotic environmental conditions. These
217	assumptions follow well-established precedents in classic studies (e.g. Christansen &
218	Loeschcke, 1980; Brown & Vincent, 1987; Dieckmann & Doebeli, 1999).
219	We implement these assumptions in simulations that track the diversification of
220	lineages in geographical space (habitats) and trait space. Below we specify the basic
221	ecological model and describe our simulation algorithm. We then describe how
222	speciation occurred, the species definition, the parameters examined, and our methods for
223	evaluating the link between parameters, processes, and richness patterns. Additional
224	details of the model, model parameters, simulation methods, and data analysis are
225	provided in Appendix S1.
226	

# 227 Ecological model

Under this model (and ignoring abiotic tolerances for now), the potential reproductiveoutput (*R*) of a focal individual will be:

230 
$$\mathbf{R}(z, \mathbf{z}, z_{opt}) = 1 + r \left(1 - \frac{\sum_{j} \alpha(z, z_{j})}{K(z, z_{opt})}\right)$$
(1)

where

232 
$$K(z, z_{opt}) = K_0 e^{-\frac{(z_{opt} - z)^2}{2\sigma_K^2}}$$
(2)

233 and

234 
$$\alpha(z, z_j) = e^{-\frac{(z-z_j)^2}{2\sigma_\alpha^2}} \quad (3)$$

235  $K(z, z_{opt})$  represents the carrying capacity for a monomorphic population of 236 individuals with trait value z in a habitat characterized by the point  $z_{opt}$  (Fig. 2).  $K_0$ 237 denotes the maximal carrying capacity (at  $z = z_{opt}$ ), and the resource availability declines 238 symmetrically as z deviates from  $z_{opt}$  according to the width of the resource distribution 239  $(\sigma_{\kappa})$ . Note that this way of modelling carrying capacity is conceptually similar to a Lotka-240 Volterra population model. However, we utilize an individual-based approach and 241 formulate reproductive output for a focal individual (i) as a function of the sum of its 242 individual competitors (*j*), weighted by their interaction coefficient  $\alpha_{ij}$ , and divided by 243 carrying capacity. Equation 3 models the interaction coefficient,  $\alpha(z,z_i)$ , between the focal 244 individual (defined by its trait z) and its competitors (defined by their traits  $z_i$ ). Here, we 245 standardize the competition coefficients so that, for a focal individual *i*,  $\alpha_{ii} = 1$  and 0 < 1246  $\alpha_{ij} < 1$  ( $z_i \neq z_j$ ).  $\sigma_{\alpha}$  determines the degree of competition between individuals given certain 247 utilization traits and r denotes the intrinsic growth rate (equal for all individuals). 248 Including the abiotic variable as an effect on fitness, we define the realized 249 reproductive output (fitness) of a focal individual as a fitness generating function 250 (Dieckmann & Doebeli, 1999):

251 
$$G(z, u, \mathbf{z}, z_{opt}, u_{opt}) = E(u, u_{opt}) * R(z, \mathbf{z}, z_{opt})$$
(4)

252 where

253 
$$E(u, u_{opt}) = e^{-\frac{(u_{opt}-u)^2}{2\sigma_u^2}}$$
 (5).

254  $E(u, u_{opt})$  represents the effect of the abiotic environment as experienced by an 255 individual with an abiotic trait value *u* in an environment characterized by  $u_{opt}$  (Fig. 2).

256

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257	equal to the potential reproductive output (eq. 1). However, realized reproduction
258	declines symmetrically as u deviates from $u_{opt}$ according to $\sigma_u$ and $\sigma_u$ can thus be viewed
259	as the abiotic environmental niche width.
260	
261	Simulations
262	For each set of simulations (defined as a combination of biotic and abiotic conditions,
263	specified below), we simulated alternating phases of reproduction and dispersal for
264	100,000 generations (time-step) and each simulation was replicated a total of 10 times
265	(following Pontarp et al., 2012, 2015). At the beginning of each simulation replicate, a
266	habitat at the extreme end of the gradient was seeded with 10 monomorphic individuals.
267	During reproduction each individual reproduced according to its fitness and each
268	offspring inherited the same trait values as their parent (asexual reproduction) unless the
269	offspring mutated (see below). All offspring were born into the habitat of their parent, but
270	dispersed with a probability $(d)$ during the dispersal phase to one of the neighbouring
271	habitats according to a stepping-stone dispersal algorithm (Pontarp et al., 2012, 2015).
272	We followed all individuals, calculated their fitness, and allowed them to
273	reproduce and disperse. As a result, the simulation output is a distribution of individuals
274	in trait space and geographical space for each time step (Fig. 2). The mutation process
275	(with offspring values close to parental values), together with the evolutionary process
276	(driven by the fitness generating function), generates a clustered distribution of trait
277	values along the trait axes (Fig. 2). We treat these clusters of similar individuals as
278	species (Pontarp et al., 2012, 2015). See Appendix S1 for details.

Equation 5 equals one at  $u = u_{opt}$  leading to the realized reproductive output (eq. 4) being

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279	Results were very similar among replicates, strongly suggesting that 10 replicates
280	were adequate. In our main analysis we assumed that speciation is driven by local
281	adaptation and disruptive selection (e.g. ecological speciation; Schluter, 2009; Nosil,
282	2012). We also performed a set of simulations incorporating non-ecological speciation,
283	which gave similar results (see Appendix S2 in Supporting Information).
284	
285	Speciation
286	Speciation occurred both within and between habitats (see Appendix S1 for detailed
287	description). At the local scale (within habitats), clusters of individuals (species) with
288	similar phenotype branched into distinct clusters in trait space (Fig. 2), representing
289	speciation (e.g. Geritz et al., 1998; Dieckmann & Doebeli, 1999; Pontarp et al., 2012,
290	2015). The diversification process continued until new species were prone to stochastic
291	extinctions due to decreased population sizes and weak disruptive selection (e.g.
292	Johansson & Ripa, 2006; Claessen et al., 2007; Johansson et al., 2010).
293	Speciation also occurred between habitats. Given that individuals disperse
294	between habitats, individuals from a particular species could establish in several habitats
295	if they had positive fitness after colonization of each habitat. Differences between
296	habitats in abiotic conditions ( $\Delta u_{opt}$ ; temperature hereafter) and/or resource types ( $\Delta z_{opt}$ )
297	could then lead to disruptive selection and allopatric speciation. Here, dispersal is defined
298	as the probability that each offspring disperses from the parental habitat to a
299	neighbouring habitat. Note that dispersal is a parameter of the model, whereas
300	establishment of dispersing individuals is part of our results (see below).

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301	Species were defined as groups of individuals having common descent and a
302	continuous distribution of traits (no gaps in the trait distribution $>0.1$ ) in both trait
303	dimensions (resource utilization, abiotic tolerance). This definition was independent of
304	which habitat individuals occurred in, such that a single species could occur in multiple
305	habitats. When a gap $>0.1$ was detected in either trait dimension within an existing
306	species, it was considered a speciation event (i.e. one species branching into two). These
307	clusters of similar individuals (species) appeared to be distinct and stable over time.
308	The specific value of 0.1 was chosen following Pontarp et al. (2015). Smaller
309	thresholds would lead to more speciation events but with species that were non-viable. A
310	larger limit would lead to discrepancies between registered speciation events and the
311	clustering that were obvious from visual inspection of the simulation outputs. A limit of
312	0.1 also makes biological sense in the context of our simulations as it is large enough to
313	prevent speciation by only a few mutations. Furthermore, the smallest niche width we
314	used in our simulations was also 0.1. Thus, we required a separation of not more than one
315	niche width to count a cluster as a species.

316

## 317 Simulation design and data analysis

Based on preliminary simulations that extensively varied many parameters, we identifiedseveral key parameters that most strongly influenced richness patterns among habitats.

320 These parameters were: abiotic differences between habitats ( $\Delta u_{opt}$ ), biotic resource

321 differences between habitats ( $\Delta z_{opt}$ ), biotic niche widths ( $\sigma_a$ ), abiotic niche widths ( $\sigma_u$ ),

322 carrying capacity  $(K_0)$  and dispersal probability (d). See Appendix S1 and Table 1 for

323 default values and for parameter space justification.

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324	We first explored the impact of different parameter values on richness patterns
325	and the underlying processes (specific values in Table 1). Although the specific values
326	examined are somewhat arbitrary, they nevertheless provide insights on how changing
327	the values influences the direction of changes in richness (Fig. 3) and the mechanisms by
328	which these changes occur (Fig. 4). More specifically, to understand how ecological
329	variables influenced the processes that directly influenced richess, we estimated the time
330	(in generations) until all habitats were colonized and also the rates of speciation,
331	extinction, and colonization of habitats (as events per unit time, over the time course of
332	the simulation). We then analysed richness patterns when all five habitats had the same
333	conditions for high, intermediate, and large values of each parameter separately
334	(symmetric case; Table 1). We refer to this as the symmetric case.
335	We also analysed cases in which three key variables differed among habitats
336	(mean biotic niche width, abiotic niche width, and carrying capacity) and tracked the
337	species richness in habitats over time. We refer to this as the asymmetric case. Each of
338	these three variables varied across habitats, and all other parameters were held constant
339	(see Table 1). For each variable, a set of simulations was run with the variable forming a
340	gradient in a different direction among habitats (e.g. the seeded habitat, habitat 1, had the
341	lowest carrying capacity versus the highest carrying capacity). These analyses were used
342	to compare the relative impact of time and diversification rates on richness patterns
343	among habitats. Specifically, under the time hypothesis, the seeded habitat should have
344	the highest richness (regardless of variable values), whereas under the diversification rate
345	hypothesis, the habitat with variable values that increased diversification should have the
346	highest richness (regardless of which was the seeded habitat).

347	We tested whether net diversification rates (rates of speciation – extinction) were
348	correlated with the final, local species richness of each habitat in the asymmetric case,
349	and with values of the three variables varied among habitat (biotic niche width, abiotic
350	niche width, and carrying capacity). Rates for each habitat were estimated as the number
351	of events (speciation, extinction, diversification [speciation - extinction]) divided by the
352	time of colonization of that habitat. A separate correlation analysis was conducted in each
353	of the six asymmetric cases examined here, with habitats as the units of analyses (based
354	on mean values for each habitat from all 10 replicates).
355	We also examined patterns of speciation and extinction in these habitats over time
356	in the asymmetric case (Fig. S5 in Appendix S3). However, given space limitations, we
357	do not discuss these results in detail.
358	Sample simulation results (richness in each habitat over time) are illustrated in
359	Figs. S1–S3 in Appendix S3. These are each based on a single replicate (for ease of
360	visualization), but results are similar when including all 10 replicates (Figs. 3-5).
361	
362	RESULTS
363	We first analysed how different parameters influenced overall richness (total number of
364	species across all habitats), with parameter values equal across the five habitats (Table 1).
365	Additional theoretical background and discussion of mechanisms is provided in

366 Appendix S1.

Biotic niche width ( $\sigma_{\alpha}$ ) strongly influenced overall richness patterns, with 367 368 narrower niche specialization driving higher richness (Fig. 3b). High local carrying 369 capacity  $(K_0)$  also promoted higher overall species richness (Fig. 3b). Small temperature

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differences between habitats ( $\Delta u_{opt}$ ), wide abiotic niche width ( $\sigma_u$ ), and high dispersal probability (*d*) all reduced richness (Fig. 3c,e), presumably by facilitating movement between habitats and thereby disrupting speciation (e.g. Brown & Pavlovic, 1992; Mizera & Meszena, 2003; Parvinen & Egas, 2004). Variation in resource differences among habitats ( $\Delta z_{opt}$ ) had little effect on richness (Fig. 3f), although smaller resource differences led to slightly higher richness.

376 Additional analyses (Fig. 4) revealed how these parameters were related to the 377 processes that directly control species richness (speciation, extinction, dispersal; see also 378 Appendix S1). The biotic (resource) niche width ( $\sigma_{\alpha}$ ) influenced both speciation and 379 extinction rates (events per generation), with narrower niche widths increasing both 380 speciation and extinction (Fig. 4a,b). Low carrying capacity decreased both speciation 381 and extinction rates. Other variables had lesser impact on speciation and extinction rates. 382 Diversification rates (speciation – extinction rates) were higher with narrow biotic niche 383 widths and lower with low carrying capacity and small temperature differences among 384 habitats (Fig. 4e). The number of generations until all habitats were colonized was strongly and positively related to differences in temperature among habitats ( $\Delta u_{opt}$ ), with 385 386 small differences between habitats decreasing the time until all habitats were colonized 387 (Fig. 4c). Resource differences among habitats also had a positive relationship with 388 colonization time (albeit a weaker one). Carrying capacity, abiotic niche width, and 389 dispersal probability all showed negative relationships with colonization time (Fig. 4c). 390 We found a strong positive relationship between colonization rate and abiotic niche width 391 (Fig. 4d). Most other parameters were weakly and negatively related to colonization rate

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(e.g. biotic niche width, carrying capacity). Surprisingly, low dispersal probability (set by
parameter *d*) was strongly related to high rates of colonization.

394 Most importantly, we examined patterns of species richness in the five habitats 395 over time when ecological parameters varied across habitats (Fig. 5). Note that habitat 1 396 (seeded habitat) was always colonized first. Biotic niche width had a dramatic impact on 397 patterns of richness in habitats over time (Fig. 5a,b), seemingly through the impacts of 398 niche width on speciation, with narrower niches increasing speciation and diversification 399 rates (Fig. 4). Nevertheless, these results showed that the time-for-speciation effect 400 determined patterns of species richness over short time scales, even under conditions 401 where variation in diversification rates eventually dominated richness patterns. 402 Specifically, when biotic niche widths were wider in habitat 1 (conditions that reduce 403 speciation), habitat 1 and the adjacent habitat 2 initially had the highest richness. Habitat 404 5 was not colonized until ~40,000 generations. However, after that point, habitats 4 and 5 405 quickly increased in richness until they had the highest richness. In other words, the 406 richness gradient "flipped" over the course of the simulation, showing a strong time-for-407 speciation effect initially but the impact of diversification rates later. 408 In contrast, when biotic niche widths were narrower in habitat 1, then habitats 1 409 and 2 quickly developed the highest richness and maintained the highest richness

410 throughout the simulation. Interestingly, richness increased slowly over time in habitats

411 3, 4, and 5. Habitat 5 was not colonized until 30,000 generations had been reached.

412 Overall, we found the consistently strongest species richness gradient (greatest

413 differences between the most species-rich and species-poor habitats over time) under

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414	these conditions, when the time-for-speciation effect and environmental impacts on
415	diversification favored higher species richness in the same habitats.
416	The results in which carrying capacity varied among habitats showed strong

417 parallels to those for biotic niche width (Fig. 5c,d). First, when carrying capacity was 418 lowest in habitat 1, the gradient in richness again "flipped" over the course of the 419 simulation, with higher richness in habitats 1 and 2 until ~30,0000 generations were 420 reached, and higher richness in habitats 3, 4, and 5 after 50,000 (although habitat 5 never 421 had the highest richness). Second, when the carrying capacity was highest in habitat 1, 422 this habitat had relatively high richness throughout the simulation. Third, regardless of 423 whether the carrying capacity was low or high in habitat 5, this habitat was not colonized 424 until after 30,000 generations.

425 This latter pattern (late colonization of habitat 5) may seem surprising given that 426 habitat 5 has many resources (high carrying capacity) and no species occupying it. 427 However, these results show that colonization of a new habitat requires many individuals 428 (or species) in the adjacent habitat (i.e. more potential dispersers). For example, 429 regardless of whether carrying capacities were rhigh or low in habitats 4 and 5, habitat 4 430 was only colonized when there were  $\sim 10$  species in habitat 3, and habitat 5 was only 431 colonized when there were  $\sim 10$  species in habitat 4 (Fig. 5). Thus, carrying capacity 432 impacted the colonization of habitats through the number of dispersers available in 433 adjacent habitats.

434 Variation in abiotic niche width across habitats generally had little effect on
435 richness patterns over time (Fig. 5e,f). Nevertheless, there was a greater time-for-

speciation effect as niche width decreased from habitat 1 to 5 (Fig. 5f), such that more 436 437 time was required to colonize habitats with narrower niche widths (as in Figure 3c). 438 To test the robustness of the results on richness in habitats over time (Fig. 5), we 439 repeated these analyses after incorporating non-ecological speciation. Richness patterns 440 were very similar (Table S1 and Fig. S4 in Appendix S3). Most importantly, our 441 conclusions were upheld about the initial importance of the time-for-speciation effect and 442 later importance of diversification rates. 443 We also examined the relationships between net diversification rates, values for 444 the three ecological variables among habitats (abiotic and biotic niche widths, carrying 445 capacity), and the final local richness of each habitat. Correlation coefficients (rc) and p-446 values (P) presented below are associated with our two asymmetric cases (hence two rc 447 and P values for each relationship presented) with decreasing and increasing ecological 448 variables across habitats (see also Table S2 in Appendix S3). Net diversification rates 449 were strongly related to local richness when carrying capacity varied among habitats (rc 450 = 0.94, 0.89; P = 0.02, 0.04), and the relationship between carrying capacity and diversification rate (rc = 0.68, 0.91; P = 0.21, 0.03) could be stronger than the 451 452 relationship between carrying capacity and local richness (rc = 0.73, 0.70; P = 0.16, 453 (0.19). When biotic niche width varied among habitats, biotic niche width was strongly 454 related to both local richness (rc = 0.93, 0.91; P = 0.02, 0.03) and diversification rate (rc455 = 0.89, 0.92; P = 0.04, 0.03). The relationship between diversification rate and local richness under these conditions was similar, but only marginally significant (rc = 0.84, 456 457 0.85; P = 0.08, 0.07). Relationships between abiotic niche width, net diversification, and 458 local richness were weak and non-significant (Table S2 in Appendix S3). Overall, these

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459 results demonstrate that finite carrying capacities do not make net diversification rates 460 meaningless or misleading (contra Rabosky 2009). Instead, more limited carrying 461 capacities in some habitats can influence overall richness patterns among habitats through 462 their impacts on net diversification rates in each habitat. 463 464 DISCUSSION 465 Overview 466 Relatively few studies have used simulations to elucidate the interplay of evolutionary 467 and ecological processes that drive species richness patterns among habitats, especially 468 the relative importance of time, diversification rates, and carrying capacity. Our study 469 offers three general insights. 470 First, our results provide an explanation for why some empirical studies support 471 the time-for-speciation effect as a cause of richness patterns whereas others support 472 diversification rates instead. We find that the time-for-speciation effect can have a strong 473 influence on patterns of species richness among habitats over shorter time scales. This is 474 most apparent in our simulations (Fig. 5) in which the clade originates in habitats that 475 have wide biotic niche widths or low carrying capacities (conditions which lower rates of 476 speciation). There is initially higher species richness in habitats colonized first (relative to 477 habitats colonized later), but when all habitats are colonized the habitats with conditions 478 that promote diversification "catch up" and eventually have the highest richness. Thus, 479 we show that diversification rates and the time-for-speciation effect could explain 480 contrasting richness patterns along the same ecological gradient, but at different points in 481 time.

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482	This set of results provides theoretical support for the idea that the time-for-
483	speciation effect may be most important for explaining richness patterns over shorter
484	spatial and temporal scales (e.g. within regions, in smaller clades), and diversification
485	rates over larger spatial and temporal scales (e.g. the latitudinal diversity gradient;
486	Rabosky, 2009; Wiens, 2011). Our results may also help explain why studies of younger
487	clades often fail to find a latitudinal gradient in diversification rates, whereas analyses of
488	older clades often do (e.g. studies within frog families versus across amphibians: Wiens
489	et al., 2006; Wiens et al., 2009 versus Pyron & Wiens, 2013; studies within mammal
490	genera versus across mammals: Soria-Carrasco & Castresana, 2012 versus Rolland et al.,
491	2014). These results might also help explain the reverse latitudinal richness gradient in
492	some young subclades (i.e. higher temperate diversity) within older groups that show
493	high tropical richness overall (e.g. mammals: Buckley et al., 2010). Some of these results
494	also have precedents in the simulation results of Hurlbert & Stegen (2014) who showed
495	an inverse latitudinal gradient arising before equilibrial richness was reached, even
496	though a latitudinal gradient arose eventually after equilibrium (their Fig. 2b), given a
497	temperate origin and strong ecological limits.
498	Second, our results call into question the idea that carrying capacity, time, and

Second, our results call into question the idea that carrying capacity, time, and diversification rates represent three competing explanations for richness patterns (as in Fig. 1 of Mittelbach *et al.*, 2007). Our results suggest that carrying capacity should instead be viewed as an ecological factor that can influence both diversification rates and time, rather than a direct explanation for richness patterns (just as climate is not itself a direct explanation for richness patterns). We found that low carrying capacities and wide resource niche widths lead to reduced rates of speciation and diversification (Fig. 4) and

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505	lower overall richness (Fig. 3). Hence, carrying capacities and ecological limits are not
506	alternative paradigms relative to diversification rates. Instead, they are important
507	ecological factors that can potentially influence net speciation and extinction over time
508	(along with many other factors).
509	Similarly, we found that explanations for richness patterns based on the time-for-
510	speciation effect and carrying capacity of habitats can also be linked rather than
511	competing. We found that limited carrying capacities in some habitats may increase the
512	time until they are colonized (Fig. 4) and thereby underlie the time-for-speciation effect.
513	We also found strong richness gradients caused by differences in the timing of
514	colonization when carrying capacity varied across habitats (Fig. 5), regardless of whether
515	the seeded habitat had high or low carrying capacity. These results also suggest how
516	factors related to species interactions (e.g. limited carrying capacity, wide biotic niche
517	widths) could influence dispersal between habitats over time and act as an underlying
518	cause of niche conservatism (i.e. Wiens et al., 2010).
519	In summary, these latter results suggest that carrying capacity, time-for-
520	speciation, and diversification rates are not competing explanations or alternative
521	paradigms. Instead, we found that carrying capacity is an important ecological factor that
522	can influence both diversification rates (by impacting speciation) and time-for-speciation
523	(by impacting when habitats are colonized). But carrying capacity itself is not a direct
524	explanation for richness patterns, and like other ecological factors (e.g. climate), it can
525	only impact richness by influencing rates and patterns of speciation, extinction, and
526	dispersal.

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527 Third, our results raise the possibility that biotic (resource-based) niche width 528 may be more important for driving species richness patterns than carrying capacity itself 529 (Fig. 2, 3). For example, simply increasing a limiting resource may increase the number 530 of individuals of a given species in a given habitat, but need not increase the number of 531 species. Instead, it is a narrow biotic niche width that may be particularly important for 532 allowing species to partition resources, diverge, speciate, and co-exist.

533

## 534 Assumptions and limitations

535 In this study, we used a generalized, individual-based model that minimized a priori 536 assumptions about the evolutionary and ecological processes that generate species 537 richness patterns. However, as in any simulation study, we still made several assumptions 538 that may or may not impact our conclusions. First, our main results (Figs. 3-5) are based 539 on simulated speciation that occurs through ecological divergence. There is growing 540 evidence that ecological divergence is important in speciation (reviews in Schluter, 2009; 541 Nosil, 2012), but there is also evidence that ecological similarity over time (niche 542 conservatism) can drive allopatric speciation initially (e.g. Kozak & Wiens, 2006; Hua & 543 Wiens, 2013). However, we also show that our main conclusions are robust to including 544 non-ecological speciation (see Fig. S4 in Appendix S3).

We assume that environments have finite carrying capacities that limit their richness over long time scales. It is unclear if this is generally a realistic model (e.g. Wiens, 2011). For example, species can potentially evolve to utilize new resources, thus launching clades into new "adaptive zones" and driving new radiations with minimal competition with existing radiations (e.g. Schluter, 2000). Regardless, patterns that occur

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before these limits are reached can be readily observed in our simulations (Fig. 5).

551 Furthermore, a major goal of our study was to evaluate the implications of finite carrying 552 capacity for the origin of richness patterns.

553 A major assumption of our study is that we model asexual organisms. However, it 554 seems that our major results should be robust to this assumption. We think that the only 555 practical implication of this assumption is that we do not explicitly model speciation as 556 the evolution of intrinsic reproductive isolating mechanisms (e.g. Coyne & Orr, 2004). 557 Instead, we assume that speciation occurs largely through ecological divergence (a 558 process known to be widely important in speciation in sexual organisms; Schluter, 2009; 559 Nosil, 2012). It would be interesting to model the evolution of isolating mechanisms in 560 sexual organisms and relevant approaches already exist (e.g. Dieckmann & Doebeli, 561 1999; Doebeli & Dieckmann, 2003; Heinz et al., 2009; Gilman & Behm, 2011). 562 However, this would be difficult to apply to our simulations of hundreds of species, due 563 to the computational cost of the increased model complexity. Most importantly, it is 564 unclear if this would impact our results at all, given the demonstrated robustness of our 565 main results to incorporating non-ecological speciation (Fig. S4 in Appendix S3). 566 Furthermore, the linear arrangement of habitats we assumed here may not apply 567 universally, and in some cases influenced our results. For example, we sometimes 568 observed the highest richness in habitats 2 or 4, even when conditions favoring speciation 569 were higher in habitats 1 or 5 (Fig. 5). This occurred because "middle" habitats can share 570 species with habitats on either side, whereas "end" habitats only share species with one 571 adjacent habitat. Nevertheless, this linear arrangement of habitats may apply to many 572 empirical systems such as elevational and latitudinal gradients.

573	Finally, we note that there are several other assumptions in our simulations (e.g.
574	non-overlapping generations, temporally stable environments). For many of these
575	assumptions, we can see no plausible mechanism by which they would overturn our
576	conclusions about the origin of richness patterns. For others, violating these assumptions
577	might influence our results (e.g. mass extinction events in some habitats). However,
578	trying to include every potentially realistic detail is not practical and would detract from
579	our goal of understanding how the focal processes of our study generate richness patterns.
580	Moreover, our results are broadly consistent with many empirical studies and should thus
581	provide insights into the general processes that underlie diversity patterns, even if they do
582	not perfectly mimic the details of any particular empirical system.
583	
584	Conclusions
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585 586 587 588 589 590 591	We explore the origins of richness patterns along environmental gradients, and the widespread paradigm that time, diversification rates, and carrying capacities are competing explanations for species richness patterns. We find that these explanations can be intertwined rather than competing. Specifically, we find that carrying capacity can influence both diversification rates and the time-for-speciation effect but may not itself be a direct explanation for richness patterns (like climate). We also illuminate why some richness gradients are explained by diversification

- seemingly conflicting results of many empirical studies of the evolutionary and
- 596 ecological origins of richness gradients.

597

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#### 762 SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article:

764	Appendix S1:
765	Details of model parameters, biotic and abiotic conditions, and eco-evolutionary
766	processes
767	Appendix S2:
768	Simulating non-ecological and testing the impacts of different mutation rates.
769	Appendix S3:
770	Supplementary tables and figures
771	Table S1. Comparison of results with and without non-ecological speciation
772	Table S2. Results of correlation analyses between net diversification rates, final local
773	richness, and values of three ecological variables across habitats.
774	
775	Figure S1. Sample simulation results (richness in each habitat over time) when parameter
776	values are the same across habitats (symmetric) but different ecological variables differ
777	between simulations, including: (a) biotic (resource) variation across habitats, (b) abiotic
778	(temperature) variation across habitats, (c) dispersal rates between habitats, and (d)
779	carrying capacity.
780	Figure S2. Sample simulation results (richness in each habitat over time) when parameter
781	values are the same across habitats (symmetric) but different ecological variables differ
782	between simulations, including: (a) biotic (resource) variation across habitats, (b) abiotic
783	(temperature) variation across habitats, (c) dispersal rates between habitats, and (d)
784	carrying capacity.
785	Figure S3. Sample simulation results (richness in each habitat over time) when parameter

786 values differ across habitats (asymmetric).

Figure S4. Sample simulation results (richness in each habitat over time) when including
non-ecological speciation.

789 Figure S5. Mean number of speciation events, extinction events and colonization events

revents over time in the asymmetric case.

791 Figure S6. Accumulation of local richness over time in five habitats, testing the effects of

increasing the mutation rate by 50%.

793 Figure S7. Accumulation of local richness over time in five habitats, testing the effects of

decreasing the mutation rate by 50%.

795

#### 796 **BIOSKETCHES**

797 Mikael Pontarp is a postdoctoral fellow at the Institute of Evolutionary Biology and

Environmental Studies at the University of Zurich. He studies the link between

fundamental ecological, evolutionary, and biogeographical assembly processes and

800 community patterns such as diversity, trait distribution, and phylogenetic structure. To

801 this end, Mikael use a wide variety of eco-evolutionary modelling and simulation

802 approaches.

803

**John J. Wiens** is a professor in the Department of Ecology and Evolutionary Biology at

805 the University of Arizona. His main interest is in using integrative phylogenetic

approaches to address conceptual questions at the interface of ecology and evolutionary

807 biology, including species richness, speciation, niche evolution, and species responses to

808 climate change.

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811 Table 1. Parameter values used in the simulations, with values either the same 812 (symmetric) or different (asymmetric) across habitats. First, richness patterns were 813 analysed for small, intermediate, and large values (evenly distributed throughout 814 parameter space) for six of the model parameters separately. Local conditions were 815 identical among habitats (symmetric case) and defined by default parameter values 816 (underlined). Second, patterns were analysed in simulations when biotic and abiotic niche 817 width and carrying capacity differed (asymmetric case) among habitats. Note that the specific units for many of these variables are not intuitive; see Methods and Appendix S1 818 819 for explanation.

820

Parameters	Small	Intermedi	Large
		ate	
Abiotic differences between habitats ( $\Delta u_{opt}$ )	0.1	0.5	1.0
Resource differences between habitats ( $\Delta z_{opt}$ )	0.1	<u>0.5</u>	1.0
Biotic niche widths ( $\sigma_{\alpha}$ )	0.1	<u>0.2</u>	0.3
Abiotic niche widths ( $\sigma_u$ )	0.5	<u>1.0</u>	1.75
Carrying capacity $(K_0)$	500	<u>1500</u>	2500
Dispersal probability (d)	0.001	<u>0.01</u>	0.1
Resource distribution width ( $\sigma_{K}$ )	1.0	<u>1.0</u>	1.0
Asymmetric			
	Habitat		

Parameters	1	2	3	4	5
Abiotic differences between habitats ( $\Delta u_{opt}$ )	0.5	0.5	0.5	0.5	0.5
Resource differences between habitats ( $\Delta z_{opt}$ )	0.5	0.5	0.5	0.5	0.5
Biotic niche widths ( $\sigma_{\alpha}$ )	0.1	0.2	0.3	0.4	0.5
Abiotic niche widths ( $\sigma_u$ )	1.5	1.25	1.0	0.75	0.5
Carrying capacity ( $K_0$ )	500	1000	1500	2000	2500
Dispersal probability (d)	0.01	0.01	0.01	0.01	0.01
Resource distribution width $(\sigma_{K})$	1.0	1.0	1.0	1.0	1.0

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# 823 FIGURE LEGENDS

825	Figure 1. Diagrams illustrating the basic simulation model and the overall simulation
826	design. The basic simulation model is to simulate the reproduction, mutation, adaptation,
827	and speciation of individuals within habitats over time (circles indicate each habitat).
828	Individuals can also disperse between habitats (indicated with arrows between circles),
829	based on their dispersal rate, their tolerance to abiotic conditions there, the fit of their
830	biotic resource trait to local biotic resources, and competition. Individuals that disperse
831	may then speciate or remain as conspecific individuals distributed across multiple
832	habitats. Speciation is determined by branching along trait axes (see Fig. 2). The overall
833	simulation design involves a symmetric case (conditions identical across habitats, testing
834	the impact of different variables on rates of speciation, extinction, diversification, and
835	colonization, and time until all habitats are colonized) and an asymmetric case
836	(conditions differ across habitats, tracking richness in habitats over time).
837	
838	Figure 2. Illustrations of the model used. (a) An example of the fitness landscape in two
839	dimensional trait space as a function of resource distribution and abiotic conditions in
840	three habitats (red, black, blue curves). Resource distribution and abiotic conditions are
841	modeled as Gaussian functions of a biotic trait $(z)$ and abiotic trait $(u)$ . Resource
842	differences among habitats are denoted by $\Delta z_{opt}$ and fitness in each habitat decreases as
843	the resource trait z deviates from $z_{opt}$ . Similarly, differences in abiotic conditions are
844	denoted by $\Delta u_{opt}$ . with decreasing fitness as individuals deviate from $u_{opt}$ . (b) An
845	example of adaptive radiation in two-dimensional trait space among three habitats. Each

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individuals trait combination is plotted over time. Each line represents one species. Color
denotes different habitats. Speciation can occur through: colonization of novel habitats
(denoted by 1), colonization of an occupied habitat (2), and local speciation within a
habitat (3).

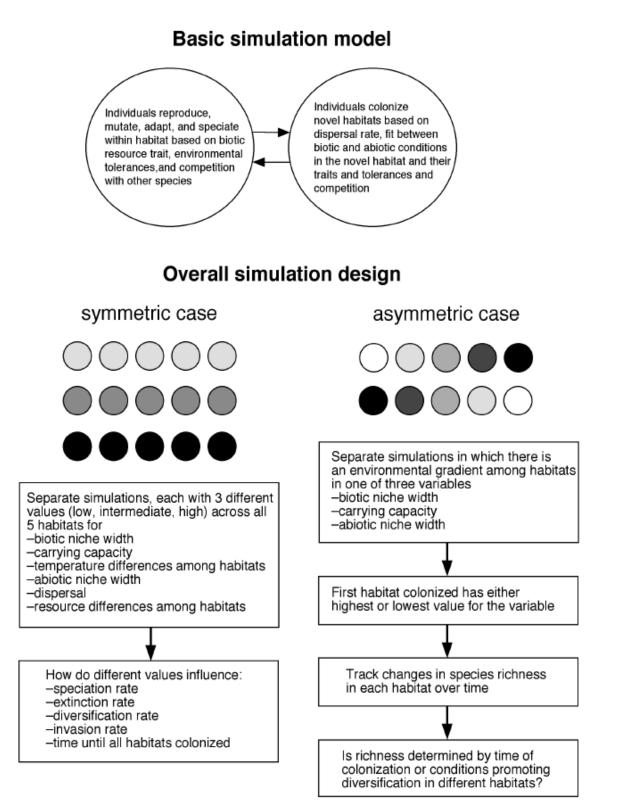
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851 Figure 3. Accumulation of regional richness over time (total number of unique species, 852 summed across all habitats) calculated as the mean (lines) and standard deviation (error 853 bars) of 10 replicated simulations. Note the substantially higher richness values in (a): all 854 other v-axes are identical. Simulations were run for small, large, and intermediate values 855 of six model parameters (see title of each panel): note that these different values are 856 evenly distributed within a variable but are not necessarily equivalent between variables 857 (see Table 1). All other parameters were set to default values (Table 1). Local conditions 858 such as niche widths and resource amounts were equal among habitats. 859 860 Figure 4. The impact of six model parameters on relevant processes, including 861 speciation rate (a), extinction rate (b), time untill all habitats were colonized (c), 862 colonization rate (d) and diversification rate (e) that drive richness patterns among 863 habitats. Note that small, medium, and large values are evenly distributed within a 864 variable but are not necessarily equivalent between variables (see Table 1). All other 865 parameters were set to default values (Table 1). Local conditions such as niche widths 866 and resource amounts were equal among habitats. The results show the mean (point

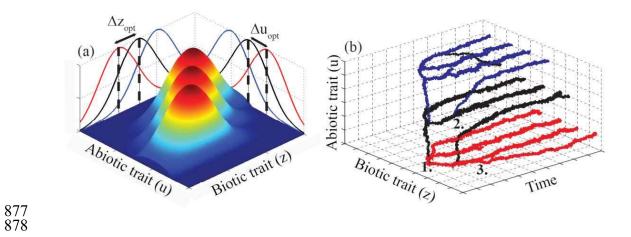
symbols) and standard deviation (error bars) for 10 replicated simulations.

- 869 Figure 5. Accumulation of local richness over time in each of the five habitats calculated
- 870 as the mean (lines) and standard deviation (error bars) of 10 replicated simulations. Local
- 871 ecological conditions (see title of each panel) differed among habitats along the
- 872 environmental gradient. All other parameters were set to default values (Table 1).
- 873

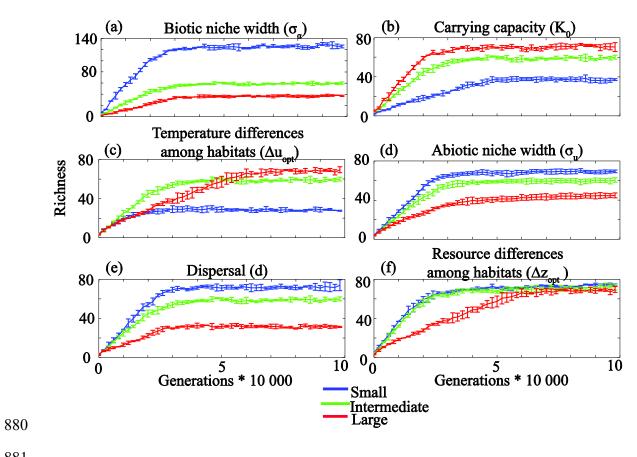
### 874 Figure 1



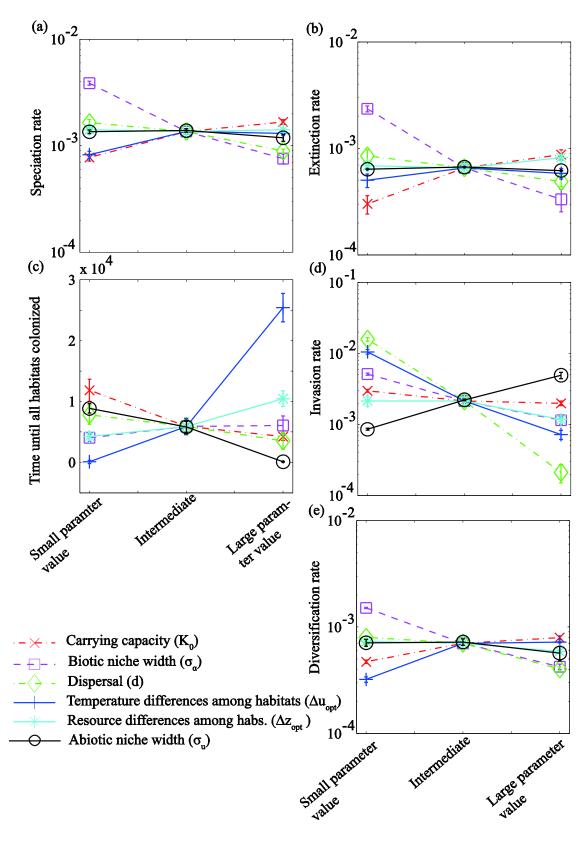
# 876 **Figure 2**



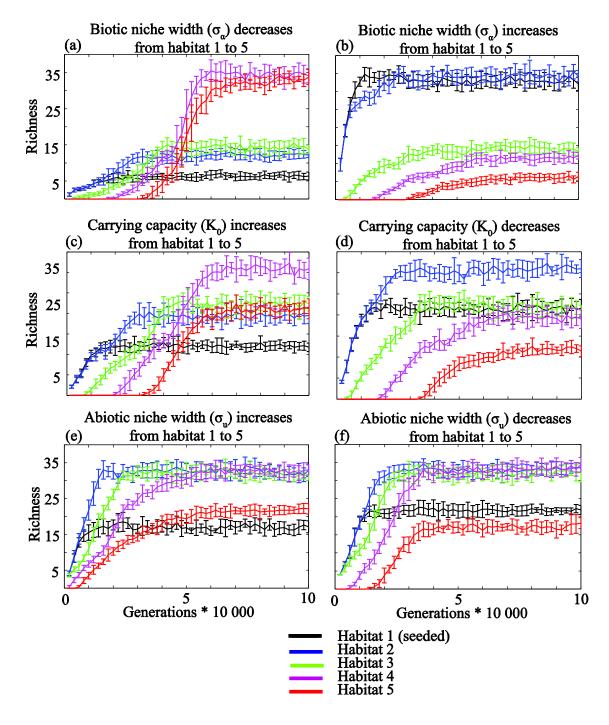
879 Figure 3



882 Figure 4



### 884 Figure 5



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