1	The	pervasive and multifaceted influence of biocrusts on water in the world's drylands	
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3	Run	ning title: Biocrusts and hydrological function	
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#### 59 ABSTRACT

60

The capture and use of water are critically important in drylands, which collectively 61 62 constitute Earth's largest biome. Drylands will likely experience lower and more unreliable 63 rainfall as climatic conditions change over the next century. Dryland soils support a rich community of microphytic organisms (biocrusts), which are critically important because they 64 65 regulate the delivery and retention of water. Yet despite their hydrological significance, a global synthesis of their effects on hydrology is lacking. We synthesized 2997 observations 66 67 from 109 publications to explore how biocrusts affected five hydrological processes (times to ponding and runoff, early [sorptivity] and final [infiltration] stages of water flow into soil, 68 69 and the rate or volume of runoff) and two hydrological outcomes (moisture storage, sediment 70 production). We found that increasing biocrust cover reduced the time for water to pond on the surface (-40%) and commence runoff (-33%), and reduced infiltration (-34%) and 71 72 sediment production (-68%). Greater biocrust cover had no significant effect on sorptivity or runoff rate/amount, but increased moisture storage (+14%). Infiltration declined most (-56%) 73 74 at fine scales, and moisture storage was greatest (+36%) at large scales. Effects of biocrust 75 type (cyanobacteria, lichen, moss, mixed), soil texture (sand, loam, clay), and climatic zone 76 (arid, semiarid, dry subhumid) were nuanced. Our synthesis provides novel insights into the 77 magnitude, processes, and contexts of biocrust effects in drylands. This information is critical 78 to improve our capacity to manage dwindling dryland water supplies as Earth becomes hotter 79 and drier.

80

81 *Keywords:* biological soil crust, bryophyte, cryptogam, cyanobacteria, hydrological cycle,

82 infiltration, lichen, sediment production, soil hydrology, soil moisture

83

#### 84 1. INTRODUCTION

85

Drylands (hyper-arid, arid, semiarid, and dry subhumid environments; Huang, Yu, Dai, Wei,
& Kang, 2017) represent our planet's largest terrestrial biome, covering over 45% of Earth's
terrestrial surface and supporting about 40% of the world's population, many of whom rely
heavily on primary production for their livelihoods (Cherlet et al., 2018; Millennium
Ecosystem Assessment, 2005; Prăvălie, 2016). Current global climate predictions suggest
that drylands will receive less rainfall, and experience higher temperatures, more severe

droughts, and more frequent extreme events (IPCC, 2018). Changes to the rainfall regime of

- 93 drylands are critical, as we know that water availability sustains dryland biota and regulates
- 94 fundamental processes such as net primary productivity, decomposition and nutrient
- 95 mineralisation in these ecosystems (Leigh, Sheldon, Kingsford, & Arthington, 2010; Loik,
- 96 Breshears, Lauenroth, & Belnap, 2004; Neumann et al., 2015; Sloat et al., 2018; Wang,
- 97 Manzoni, Ravi, Riveros-Iregui, & Caylor, 2015). However, for drylands, our understanding
- 98 of the factors that regulate biological access to soil water remains far from complete.
- 99

100 Recent syntheses of dryland ecosystems emphasise the hierarchy of processes and functions 101 operating at different spatial scales and levels of connectivity (HilleRisLambers, Rietkerk, van den Bosch, Prins, & de Kroon, 2001; Ludwig, Wilcox, Breshears, Tongway, & Imeson, 102 103 2005). This heterogeneity has important implications for how water is moved and stored in drylands. Conceptually, dryland systems comprise two markedly different compartments or 104 patch types, which either transfer (runoff zones) or accumulate (fertile patches) resources 105 (Ludwig et al., 2005). Water is the means by which resources are transferred among patches, 106 107 resulting in tightly coupled hydrological networks, with the effects at higher spatial scales 108 cascading through to smaller spatial scales and vice versa. Vital, but often ignored 109 components of these resource transfer zones are biocrusts, a rich assemblage of bryophytes, lichens, cyanobacteria and associated microscopic organisms such as bacteria, fungi and 110 111 archaea that occupy the uppermost layers of dryland soils worldwide (Weber, Büdel, & 112 Belnap, 2016).

113

Biocrusts are critically important in drylands because they mediate key processes such as soil 114 115 stabilization, and provide fundamental supporting, provisioning and regulating services such as climate amelioration, nitrogen fixation, and carbon sequestration (Weber et al., 2016). One 116 117 of the most important roles of biocrusts is their effect on water quality and delivery, two ecosystem services associated with the hydrological cycle that sustain human populations and 118 ensure environmental well-being. Biocrusts can moderate surface flows by partitioning 119 rainfall between infiltration and runoff, regulate the horizontal and vertical fluxes of water, 120 and reduce water erosion (Belnap & Lange, 2003; Weber et al., 2016). However, they are 121 extremely vulnerable to human-induced disturbances and global changes (Dunkerley, 2010), 122 which reduce their capacity to regulate hydrological functions across drylands. Despite the 123 extensive body of literature on biocrusts (Weber et al., 2016), we still have a poor 124

125 understanding of how they influence the hydrological cycle in drylands globally, particularly across variable environmental, climatic and land use contexts (Whitford, 2002). The absence 126 of a comprehensive synthesis of biocrust effects on hydrological processes complicates 127 efforts to improve ecohydrological models to predict the fate of water, and to optimize water 128 129 management in drylands (Chen et al., 2019; Shachak, Pickett, Boeken, & Zaady, 1999). The lack of synthesized information also limits our ability to develop best practices for managing 130 biocrusts in order to optimize water management in drylands (Shachak et al., 1999). Such a 131 synthesis is critical because Earth faces an increasing frequency and intensity of droughts and 132 133 more unpredictable, extreme climates (Wang et al., 2015).

134

In this study we report on a comprehensive global synthesis of the literature prior to date, of 135 how biocrusts affect soil hydrology in drylands, where biocrusts are most strongly developed 136 (Weber et al., 2016), and where any effects on hydrology are likely to have large impacts on 137 both human livelihoods and natural ecosystems given the scarcity of water in these systems. 138 We focused on seven key hydrological components; five hydrological processes (time to 139 ponding, time to runoff, rate or volume of runoff [hereafter 'runoff'], sorptivity, infiltration) 140 141 and two hydrological outcomes (sediment production, soil water storage; Table 1 and 142 Appendix S1). The biocrust literature suggests that hydrological effects *sensu lato* are likely context dependent (Chamizo, Belnap, Eldridge, Cantón, & Issa, 2016), so our hypotheses 143 144 relate to hydrological effects of biocrusts under different environmental contexts. First, we expected that any biocrusts effects would be regionally variable (e.g. arid *cf.* dry subhumid) 145 146 due to differences in landforms, soil and rainfall, and therefore runoff-runon relationships (Ludwig et al., 2005). Second, biocrust effects should vary with differences in broad soil 147 148 textural classes (e.g., sand cf. clay), because texture determines the hydraulic conductivity of 149 the underlying substrate (George et al., 2003), as well as soil erodibility and, therefore, 150 detachment (Cantón et al. 2011). Third, differences in biocrust composition (e.g., moss-, 151 lichen-, cyanobacteria-dominated, or mixed) will influence the hydrological response by creating surfaces of varying permeabilities, or gradients in surface friction, and a patchwork 152 of microsites with different levels of detention (Bowker, Eldridge, Val, & Soliveres, 2013; 153 Eldridge et al., 2010; Faist, Herrick, Belnap, Van Zee, & Barger, 2017; Rodríguez-Caballero, 154 Cantón, Chamizo, Afana, & Solé-Benet, 2012) which could alter runoff. Fourth, we expected 155 the scale of measurement to influence the hydrological outcomes of rainfall because small-156 157 scale studies would lack features and processes such as patches of vegetation, surface

- roughness imposed by vascular plants, or channelized flow that would only influence runoff
  at larger spatial scales (Yair, Lavee, Bryan, & Adar, 1980). Finally, the level of surface
  disturbance would be expected to influence to degree to which biocrusts alter hydrological
  functions by altering the density and size of depressions that capture sediment, altering soil
  stability, or simply by destroying the protective biocrust surfaces.
- 163

# Table 1. Description of the seven hydrological processes and outcomes, and the number of contrasts (*n*) used in the analyses.

166

Processes and	Description	n
outcomes		
Time to ponding	Time taken for water to commence ponding on the	73
	surface after the commencement of rainfall.	
Time to runoff	Time from the commencement of rainfall to the first	27
	appearance of runoff.	
Sorptivity	The initial rapid stage of infiltration, occurring when the	135
	soil is initially dry and water flow is dominated by the	
	soil's capillarity properties.	
Infiltration	Final or steady-state infiltration is the latter phase of	700
	infiltration and occurs once the flow rate is constant and	
	gravitational forces predominant.	
Runoff	Water that leaves the soil surface by overland flow.	515
Soil moisture	A gravimetric or volumetric measure of the amount of	764
	moisture (soil moisture) stored in the soil.	
Sediment	Sediment flux arising from natural or experimental runoff	382
production	studies.	

167

## 168 2. MATERIALS AND METHODS

169

## 170 2.1 Scope of the database building

171 We systematically searched the scientific literature to identify quantitative evidence of the

172 effects of biocrusts on different hydrological functions. We searched the ISI Web of Science

173 database (<u>www.webofknowledge.com</u>) for records prior to May 2020 and screened the

174 information according to PRISMA guidelines (Fig. S2.1 in Appendix S2) restricting our search to the keywords "CRUST\*" or "BIOLOGICAL SOIL CRUST\*" or "BIOCRUST\*" or 175 "CRYPTOGAM\*" and "WATER FLOW" or "INFILTRATION" or "HYDRO\*" or 176 "SORPTIVITY" or "MOISTURE" or "EROSION". We also checked records from the 177 178 reference lists of the two most comprehensive biocrust syntheses conducted to date (Belnap & Lange, 2003; Weber et al., 2016) to test the extent to which our keywords captured critical 179 180 biocrust hydrology literature. Suitable records needed to meet the following requirements for inclusion in our study: 1) restricted to terrestrial systems in drylands, in other words, where 181 182 the aridity index (precipitation/potential evapotranspiration [P/PET]) was < 0.65, 2) contain quantitative data on at least one of the seven hydrological measures, and 3) include data for at 183 least two different levels of biocrust cover (see below). Sources that contained multiple data, 184 185 for example a different response type or location, were considered separately (final list in Appendix S3). 186

187

For each study we extracted data on the effects of biocrusts on five hydrological processes: 1) 188 189 time taken for water to pond on the surface (time to ponding) or 2) to commence runoff (time 190 to runoff), 3) sorptivity (the early stage of infiltration; rate or volume), 4) steady-state 191 infiltration (the latter stage of infiltration; hereafter 'infiltration'; rate or volume), 5) runoff 192 (rate or volume), and two hydrological outcomes: 6) soil moisture, and 7) sediment 193 production (Table 1). The sorptivity phase of hydrology is when water enters the soil in response to gradients in water potential influenced by soil dryness and pore structure, 194 195 whereas infiltration is the latter stage when infiltration has stabilised and is regulated largely by hydraulic conductivity. Data presented in figures from published articles were extracted 196 197 with ImageJ (Schneider et al., 2012). For each study we also extracted data on location (e.g., 198 country, latitude, longitude) and values for a range of moderators (see below). We consider 199 both hydrological processes (time to ponding and runoff, runoff, sorptivity and infiltration) 200 and hydrological outcomes (soil moisture storage, sediment production) associated with 201 increasing cover of biocrusts.

202

#### 203 Calculating effect size

To determine the effects of biocrusts on hydrological processes and outcomes, we used the log response ratio  $\ln RR = \ln(X_{Lower}/X_{Higher})$  as our measure of effect size (Hedges, Gurevitch, & Curtis, 1999), where  $X_{Lower}$  is the value of the response variable for the lower value of

207 biocrust cover (detailed below), and X<sub>Higher</sub> is the value for the response variable for the 208 higher biocrusted comparison. Using this approach, negative values of the lnRR represent 209 situations where hydrological processes and outcomes declined with an increasing level of 210 biocrust cover. Many studies reported a hydrological response from plots spanning a large 211 range of biocrust cover values (e.g., 25 plots ranging in cover from 1 to 84 % cover; Eldridge, Tozer, & Slangen, 1997). In this example with 25 plots, there are potentially 300 212 combinations of any two levels of biocrust cover. In the interest of parsimony, therefore, we 213 assigned all records of biocrust cover to four cover classes: bare ( $\leq 10\%$  cover), low (10.1-214 25%), moderate (25.1-50%) and high (>50% cover) and averaged the value of any response 215 variable (and calculated an appropriate standard deviation) for that class to arrive at four 216 217 values. In the situation described above, this gave us three values of lnRR where our values 218 for low, medium and high biocrust cover were compared with the bare (defined a priori as <10% cover). We also calculated the lnRR for three additional contrasts: low compared with 219 medium cover, low compared with high cover, and medium compared with high cover. 220 Therefore, rather than comparing bare to either low, medium or high, we always compare a 221 222 lower level of cover with a higher level of cover to examine how a relatively greater level of 223 cover (e.g., medium to high, or low to medium) will affect hydrological processes and 224 outcomes. This allowed us to increase the size of our dataset, obtain more statistical power, 225 and gave us a measure of the effectiveness of increasing biocrust cover on a particular 226 hydrological process/outcome. For sediment production we repeated the analysis where we 227 used all contrasts (n = 783) with a restricted analysis where we compared crusted (> 10%) 228 biocrusts cover) with only bare soils ( $\leq 10\%$  biocrusts cover; n = 382).

229

#### 230 Within study variance, meta-regression models and moderator selection

To conduct meta-analyses weighted by within-study variance (Nakagawa & Santos, 2012), we collected data on the standard deviation (or standard error) and the number of replicates in our dataset. From these data we calculated the variance (standard deviation). If a study did not report a measure of variance (39% of cases), we used imputation to calculate missing variances using the relationship between mean and variance, expressed on a log-log scale (Taylor's Law; Nakagawa, 2015). Our ability to predict missing variances was high ( $R^2 =$ 0.79; further details in Appendix S4).

239 We used the intercept model (i.e., meta-analysis) and meta-regression with the R package metafor Vers 1.9-8 (Viechtbauer, 2010). The intercept model uses a pure random effects 240 241 model to estimate the overall log response ratio for the effect of biocrust on hydrological 242 function, with individual effect sizes weighted by within-study variance and residual 243 between-study variance as a random-effect (further details in Appendix S4). Three random factors were included in our null models: 1) a unique ID for each reference, 2) the order of 244 245 the data within the data file, and 3) a measure of the difference in biocrust cover between any two contrasts. To calculate this measure of differences, we used the RII (Relative Interaction 246 247 Intensity, Armas, Ordiales, & Pugnaire, 2004) of biocrust cover (i.e., higher cover – lower cover)/(higher cover + lower cover), which relativises the effect of absolute values of changes 248 in cover on our hydrological components, allowing, for example, a 10% change in cover from 249 0-10% to be weighted more heavily than a 10% change from 90 to 100%. 250

251

To control for the potential influence of shared controls, we included a coded group used to 252 identify shared controls (Nakagawa & Santos, 2012). We ran separate intercept models for 253 254 each of the seven hydrological components mentioned above because we were interested in 255 examining the causes of variation within each component (sensu Nakagawa, Noble, Senior, 256 & Lagisz, 2017). This is similar to meta-regression with categorical moderators (also known as Subgroup Analysis; Nakagawa & Santos, 2012; Nakagawa et al., 2017), allowing us to 257 obtain heterogeneity statistics such as  $I^2$  for each subset, and providing valuable information 258 on how the overall response of hydrological function might vary across different components 259 of hydrology. We used the modified  $I^2$  to access the total level of heterogeneity among effect 260 sizes. This modified  $I^2$  indicates the percentage variance in effect size explained by each 261 random factor (Nakagawa & Santos, 2012). 262

263

Because our meta-analysis (intercept) models had high levels of heterogeneity ( $I^2 > 0.95$ ), we used a range of moderators (*syn.* fixed effects) with separate meta-regression models for each of the seven hydrological components, which allowed us to test our five predictions. For each component we ran separate meta-regression models for each moderator (aridity, texture, biocrust type, scale, disturbance) as fixed effects, and the three random effects described

above.

271 The five moderators (Table S5.3 in Appendix S5) were as follows: 1) Aridity was derived for 272 each location using the CGIAR-CSI Global-Aridity and Global-PET Database 273 (http://www.cgiar-csi.org, Zomer, Trabucco, Bossio, & Verchot, 2008). We calculated aridity 274 as 1- (P/PET) so that higher values of aridity corresponded to greater dryness. 2) Soil texture 275 data (sand, loam, clay) were obtained from each paper; when data were missing, we contacted individual authors or used the HWSD database (6% of cases; Fischer et al., 2008) 276 277 to derive a value. 3) Biocrust type was classified as cyanobacteria-, lichen-, moss-dominated, or mixed. This characterisation was based on the predominant type described by the author. 278 279 Mixed biocrusts were generally those with either a mixture of cyanobacteria and lichens (40% of the mixed records) or mosses and lichens (35% of mixed records). For large, 280 landscape-level studies, biocrust type was defined as mixed unless an author indicated that 281 the entire site was dominated by one biocrust type only. 4) We calculated a continuous value 282 for study scale by calculating the total area  $(m^2)$  over which hydrological function was 283 assessed (e.g., a 1  $m^2$  rainfall simulation plot). This continuous scale was then divided into 284 three classes: fine (<  $0.05 \text{ m}^2$ , generally petri dish or small rainfall simulator, medium (0.05 -285  $10 \text{ m}^2$ ; large rainfall simulators) and large (>  $10 \text{ m}^2$ , instrumented watersheds). The classes 286 287 corresponded broadly to studies using infiltrometers (fine), small rainfall simulators 288 (medium) and gauged catchments (large), and thus followed breaks in the data. 5) The level 289 of disturbance (intact, reconstructed, disturbed) was obtained from individual publications. A 290 comparison was deemed to be disturbed if one of the contrasts (control or treatment) was physically disturbed. The reconstructed category applied to studies where soil collected from 291 292 the field had been used to regrow artificial biocrusts in the field or laboratory (e.g., Xiao, Wang, Zhao, & Shao, 2011). In addition, we recorded the depth of soil from which 293 294 measurements of soil moisture were made in order to test whether biocrust effects on soil 295 moisture declined with depth.

296

We created a covariance matrix to account for effect sizes with shared controls. Study identity and the order that the data were incorporated as random effects. True intercepts and standard errors were calculated for each level of ecosystem property so that results reflected true means rather than a comparison with a reference group. The significance of the estimated effect size was examined with a *t*-test on whether estimated effect size differed significantly from zero at P < 0.05. We calculated the variance accounted for by moderators as marginal  $R^2$  (*sensu* Nakagawa & Schielzeth, 2013). Finally we used the package 'segmented' (Muggeo & Muggeo, 2017) in R to examine whether the effects of increasing biocrust cover on lnRR
soil moisture differed with three soil depths selected *a priori* 0-2 cm, 2-5 cm and >5 cm.

Publication bias was assessed using 1) funnel plots, 2) Egger regression and 3) trim-and-fill
analyses, which test for funnel asymmetry using Egger regression (Nakagawa & Santos,
2012) and the null hypothesis of no missing data (see Table S4.2, Fig. S4.2 in Appendix S4).

310

#### 311 **3. RESULTS**

312

313 Our literature search yielded 183 references from which we identified 109 publications

314 containing empirical data (see model results in Table S4.1 in Appendix S4). From these

publications we extracted 2997 contrasts of an effect of biocrusts on the seven hydrological

316 variables from five continents (Asia, Europe, Australia, North America, Africa; Fig. 1). Most

317 data reported information on some form of water flow through the soil (infiltration,

sorptivity; 28%; n = 835 contrasts) followed by moisture storage (26%; n = 764), sediment

319 production (26%; n = 783) and runoff (17%; n = 515). Most studies (65%) were from

semiarid areas (Fig. 2a) or from sandy or loamy soils (85%; Fig. 2b). Studies were relatively

321 evenly distributed among the four biocrust types (Fig. 2c). Ninety-one percent of studies were

322 conducted at the fine (<  $0.05 \text{ m}^2$ ) or medium ( $0.05 - 10 \text{ m}^2$ ) spatial scales (Fig. 2d) and 63%

323 were conducted on intact surfaces (Fig. 2e).

324

Overall, with every 30% increase in biocrust cover, water ponded earlier (-40%), and runoff commenced earlier (-33%; Table S4.1). Infiltration (-34%) and sorptivity (-8%, but non-

327 significant) declined as biocrust cover increased by 41% and 54%, respectively (Fig. 3; Table

328 S4.1). Sediment production declined (-68%), but soil moisture increased (+14%), as biocrust

329 cover increased. Despite the general suppressive effects of biocrusts on infiltration, we found

a non-significant increase in runoff rate/amount (+13%), which is consistent with the

331 expectation of greater runoff with less infiltration. When we examined those studies reporting

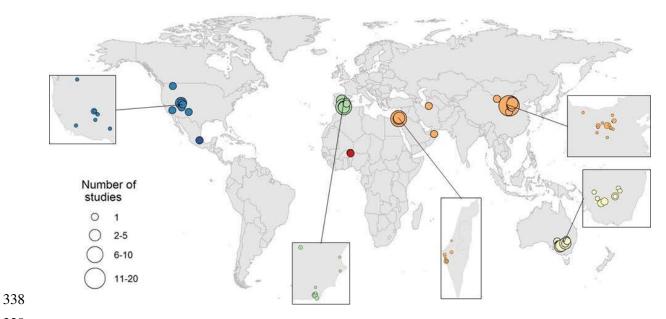
both infiltration and runoff individually (n = 7), we found that significant increases in

infiltration were associated with declines in runoff (-1.60  $\pm$  0.78; mean slope of the runoff-

334 infiltration relationship ± 95% CI; Fig. S6.3 in Appendix S6). Further, despite lower

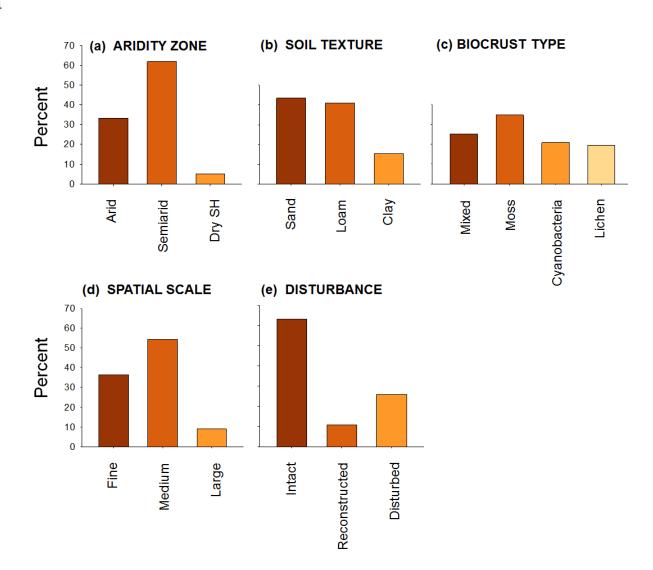
infiltration, the uppermost (< 0.5 cm) soil surface stored 60% more water than depths of 2-50

336 cm (Fig. 4).



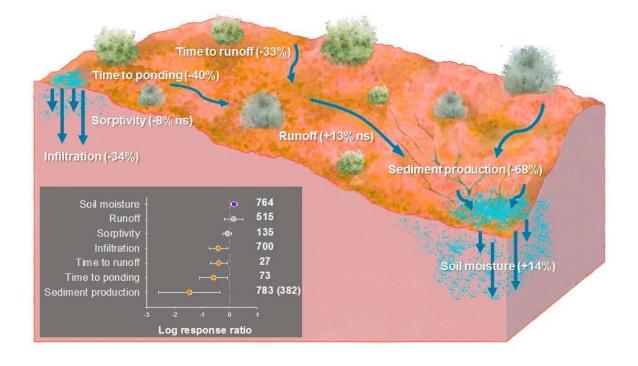
- 339
- 340 Figure 1. Map of the global distribution of sites used in the meta-analysis. Circle size
- 341 represents the number of studies from each region. Inset maps show more site details
- 342 for the main hotspots of biocrusts hydrological research.





345 Figure 2. Percentage of records by (a) Aridity zone, (b) Soil texture, (c) Biocrust type,

346 (d) Spatial scale and (e) Disturbance. SH = subhumid.







350	Figure 3. Schematic diagram of a dryland landscape showing the main processes and
351	outcomes of water movement, soil moisture and sediment production and the overall
352	percentage change resulting from greater biocrust cover. Asterisks indicate a significant
353	(P < 0.05) effect increasing biocrust cover. Insert diagram shows the mean value of the
354	log response ratio (± 95% CI) and the number of contrasts used in the analyses of each
355	hydrological process or outcome. For sediment production, $n = 783$ for all contrasts,
356	and $n = 382$ for the analysis restricted to bare (<10% cover) contrasts only (see text for
357	details).

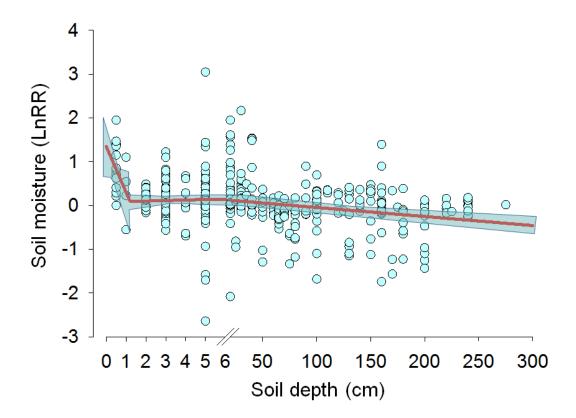


Figure 4. Changes in the log response ratio (lnRR) of soil moisture in relation to
 changing soil depth. The segmented regression analysis indicated three models, with a

361 significant decline in soil moisture from 0.5-1 cm (P = 0.045), but no differences from 1

- 362 to 5 cm and 5 to 300 cm depths.
- 363

#### 364 Moderators of hydrological processes and outcomes

365

Increasing biocrust cover was associated with a 66% earlier commencement of ponding in
arid areas, and 68% and 21% earlier commencement of runoff in arid and semiarid areas,
respectively. Runoff did not vary significantly across different aridity zones, but infiltration
lower in semiarid (-33%) and arid (-39%) areas (Fig. 5). The suppressive effect of increasing
biocrust cover on sediment production was strongest in semiarid (-71%) areas. Despite the
overall suppression of infiltration, increasing biocrust cover was also associated with 18%
greater soil moisture in semiarid areas (Fig. 5).

The effects of biocrusts on hydrological processes and outcomes also varied markedly with differences in soil textural classes. Increasing biocrust cover was associated with 17% and

- 13% greater soil moisture, on loams and sands, respectively (Fig. 5). On sandy soils, runoff
- increased (+38%), but time to ponding (-52%), time to runoff (-47%) and infiltration (-49%)
- all declined with increasing biocrust cover (Fig. 5), and the effects of increasing biocrust
- 379 cover most strongly suppressed sediment production on loamy soils (-85%; Fig. 5).
- 380

We detected several effects of biocrust type on hydrological processes and outcomes. For example, sediment production was reduced most on mixed (-82%) or lichen (-78%) biocrusts (Fig. 5), and the time to runoff commenced later with increasing cover of mixed (-34%) or cyanobacterial (-39%) biocrusts. The positive influence of biocrusts on soil moisture was most apparent beneath cyanobacterial biocrusts (+23%), and increases in the cover of all biocrust types, other than lichens, reduced infiltration (by -31 to -46%), but there were no

- 387 effects of biocrust type on sorptivity or runoff (Fig. 5).
- 388

Infiltration declined with increasing biocrust cover at fine (-56%) and large (-49%) spatial scales. For hydrological outcomes, there were strong increases in soil moisture (+36%) at large scales, while biocrust suppression of sediment production was clearest at fine (-86%) and medium scales (-67%; Fig. 5). Disturbance delayed the commencement of ponding (-61%) and runoff (-44%), and reduced both infiltration (-37%) and runoff (-42%). Increasing biocrust cover on intact surfaces was associated with less infiltration (-32%) and sediment production (-76%) but more soil moisture (+20%).

396

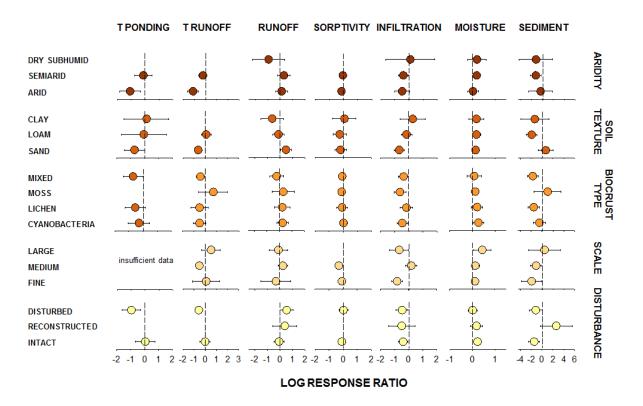
#### 397 4. DISCUSSION

398

399 Considered together, the nuances of hydrological processes and outcomes resulting from 400 differences in biocrust type, spatial scale, environmental context and disturbance levels create 401 a collective picture revealing that runoff and ponding commenced earlier, infiltration and 402 water erosion declined, but soil moisture increased, as biocrust cover increases. We found 403 that soil moisture was greater in the uppermost layers (< 0.5 mm) despite an overall decline in infiltration and no significant difference in runoff. Lower levels of infiltration, yet greater 404 water storage, suggests a false dichotomy of reduced infiltration but greater soil moisture 405 retention, at least in the uppermost layers. The most parsimonious explanation is that 406 biocrusts intercept moisture, restricting deeper penetration of water into the soil, thereby 407 retaining it in the immediate surface layer. This layer aligns with the zone of maximum 408

productivity, nutrient concentrations and microbial activity, and is a critical zone in dryland
soils (Whitford, 2002). Biocrusts may also reduce the diffusion of water vapour by blocking
surface pores (George et al. 2003), which we did not measure. This could potentially explain
the disconnect between the suppression of infiltration and the enhancement of soil moisture.
Greater surface moisture has important implications for dryland productivity and the
provision of essential ecosystem services. Thus, our results provide strong support for the
explicit inclusion of biocrusts in global hydrological, Earth systems and soil loss models.







419 Figure 5. Effects of biocrusts, as measured with the log response ratio (lnRR ± 95% CI),

420 on five hydrological processes: time to ponding (t ponding), time to runoff (t runoff),

421 runoff, sorptivity and infiltration, and two hydrological outcomes: soil moisture

422 (moisture) and sediment production (sediment). Results are separated by different

423 levels of each of the five moderators (1) Aridity (arid, semiarid, dry subhumid), (2) Soil

- 424 texture (sand, loam, clay), (3) Biocrust type (cyanobacteria, lichen, moss, mixed), (4)
- 425 Measurement scale (fine, medium, large), and (5) Disturbance level (intact,
- 426 reconstructed, disturbed). Significant results are indicated by whether the 95% CI
- 427 spans the x = 0 line. Positive values show that increasing biocrusts cover increased the

## value of that hydrological process/outcome, while negative values show that increasing biocrust cover reduced it.

430

Consistent with our hypothesis, we found that differences in biocrust type (e.g., moss-, 431 432 lichen-, or cyanobacteria-dominated) influenced the hydrological response, likely by creating surfaces of differing permeabilities, or gradients in surface friction, and thus a patchwork of 433 434 microsites that would either shed or retain water (Bowker et al., 2013; Eldridge et al., 2010; Faist et al., 2017). Our data, which evenly spanned these four broad biocrust types (Fig. 2), 435 436 demonstrate several effects of biocrust type on hydrological processes and outcomes. Reductions in sediment production on mixed or lichen biocrusts are likely due to their greater 437 438 surface rugosity and therefore detention storage (Rodríguez-Caballero, et al., 2012). The 439 tendency of cyanobacteria to secrete EPS (Verrecchia, Yair, Kidron, & Verrecchia, 1995), which absorbs water (Campbell, 1979) and can block matrix pores (Fischer, Veste, Wiehe, & 440 Lange, 2010), may explain why cyanobacterial biocrusts conducted less water and 441 commenced runoff earlier as their cover increased (Kidron, Yaalon, & Vonshak, 1999; 442 443 Mazor, Kidron, Vonshak, & Abeliovich, 1996). Interestingly, we found that the positive 444 effect of biocrusts on soil moisture was most apparent beneath cyanobacterial biocrusts, 445 possibly due in part to their association with physical crusts, which have inherently lower infiltration rates (Issa et al., 2011). 446

447

Compared with cyanobacteria, however, lichens tend to retain less water, depending on their 448 449 morphology and biomass (Blum, 1973), thallus cohesion, and chemical composition (George et al., 2003). Secondary compounds such as acids could also induce hydrophobicity in lichen-450 451 dominated biocrusts (Fischer et al., 2010). The lack of a clear hydrological effect of lichens is likely due to trade-offs between factors that either enhance runoff (e.g. hydrophobic lichen 452 453 chemicals) or ponding (retard runoff) for example, by increasing surface rugosity and detention. For mosses, specialised architecture (e.g., cuculate leaves, leaf hair points) allows 454 many dryland mosses to capture and retain water in leaf-borne structures (lamellae, papillae; 455 456 Tao & Zhang, 2012). This greater tissue retention (Eldridge & Rosentreter, 2004) may account for lower volumes of water available for infiltration on moss and mixed (moss + 457 cyanobacterial) biocrusts. Thus, biocrust effects on the soil environment can both slow water 458 entry at small scales, but also increase water storage in upper soil layers, and the hydrological 459 460 consequences are dependent upon the cover and type of biocrusts present. The variability in

responses among biocrust types (e.g., moss-dominated vs. lichen-dominated) underscores the
need to consider these groups individually, because they are morphologically dissimilar,
possess varied internal structures that either suppress or enhance water flow, capture and
retention, and may have strong associations with soils of a certain texture and therefore
permeability and erodibility (Bowker, Belnap, Chaudhary, & Johnson, 2008).

466

We found soil textural effects, as predicted, with a suppression of infiltration on finer soils, 467 likely due to silt and clay dispersion beneath biocrusts (Cantón et al., 2011), which leads to 468 469 the formation of physical crust (Chamizo, Cantón, Lázaro, & Domingo, 2013), mimicking the 470 effects of cyanobacterial exopolysaccharides (EPS; Campbell, 1979). On sandy soils, most hydrological measures of water flow declined with increasing biocrust cover, consistent with 471 472 our understanding of hydraulic conductivity (Warren, 2001), and field observations of biocrust hydrology (Belnap, Wilcox, Van Scoyoc, & Phillips, 2013; Xiao et al., 2011). 473 Biocrusts form a physical barrier that anchors soil particles and enhance macroaggregation 474 through EPS production. This likely overrides inherent soil erodibility (Bowker et al., 2008) 475 476 and explains why we found that the effects of increasing biocrust cover most strongly 477 suppressed sediment production on loamy soils (-85%; Fig. 5). Other mechanisms include 478 altering inherent soil properties (Gao et al., 2017), increasing detention storage and therefore 479 sediment capture (Chen et al., 2009; Gao et al., 2017; Rodríguez-Caballero et al., 2012) or 480 reducing erodibility by increasing macro-aggregate stability (Eldridge & Kinnell, 1997; 481 Eldridge, 1998; Li et al., 2002)

482

Measurement scale might be expected to influence the hydrological outcomes of rainfall 483 484 because small-scale studies lack features and processes such as patches of vegetation, surface 485 roughness imposed by vascular plants, or channelized flow that influences runoff more at 486 larger spatial scales (Yair et al., 1980). In our meta-analysis, the moderating effects of spatial scale were more difficult to discern because 91% of studies were conducted at the fine (< 487  $0.05 \text{ m}^2$ ) or medium (0.05 – 10 m<sup>2</sup>) spatial scales (Fig. 2), demonstrating the paucity of global 488 data from large-scale (watershed/catchment) studies. The only clear effect of spatial scale on 489 a hydrological process was a decline (-56%) in infiltration with increasing biocrust cover at 490 fine spatial scales, but no effects at larger scales, thus providing partial support for our 491 hypothesis of a scale effect. Hydrological outcomes were influenced by scale, as increasing 492 493 biocrust cover was associated with a strong increase in soil moisture (+36%) at large scales,

- 494 while biocrust suppression of sediment production was clearest at medium scales (-67%; Fig.
- 495 5). The scale dependency of hydrological responses suggests that future studies should focus
- 496 on studies at large spatial scales, which are poorly represented in most biocrust hydrological
- 497 studies, and are needed to adequately represent natural hydrological processes associated with
- 498 landscape connectivity and redistribution processes (Chamizo et al., 2016; Rodríguez-
- 499 Caballero, Román, Chamizo, Roncero Ramos, & Cantón, 2019).
- 500
- Finally, we expected that the extent of surface disturbance would influence the degree to 501 502 which biocrusts alter hydrological functions, by destroying the biocrusted surface and 503 reducing stability, or by altering the density and size of depressions that capture 504 sediment (Eldridge, 1998). Even though available data were heavily weighted towards intact 505 surfaces (63%; Fig. 2), our hypothesis was upheld, and disturbance had context-dependent effects on hydrology, generally reducing the time for water to pond and runoff to commence. 506 507 Earlier commencement of runoff (-44%) and ponding (-61%), less runoff (-42%), and reduced infiltration (-37%) on disturbed biocrusted surfaces are likely due to combined 508 509 effects of surface pore clogging by dispersed material (Faist et al., 2017) and increases in 510 detention storage resulting from surface disruption. Disturbance effects on measures of water 511 flow, however, were mixed, with increasing biocrust cover on intact surfaces associated with less sorptivity and infiltration, more soil moisture, and less sediment production. It is likely 512 513 that factors unrelated to the soil surface, such as differences in soil texture, measurement 514 scale, or the pre-treatment of biocrusts (e.g. scalping, spraying with herbicide; Williams, 515 Dobrowolski, & West, 1995; Zaady, Levacov, & Shachak, 2004), might be influential. 516
- 517 **5. CONCLUDING REMARKS**
- 518

519 In summary, our global assessment demonstrates that, despite contextual nuances, biocrusts are essential components of the dryland water puzzle. The results of our study reinforce the 520 view that any potential hydrological effects of biocrusts should consider the linkages among 521 the different hydrological processes and outcomes rather than considering individual 522 responses in isolation. The distribution, movement and retention of soil water is one of the 523 524 greatest unknowns in global climate models. Key land use drivers, such as overgrazing and vegetation clearance that cause widespread disturbance and can alter biocrust cover and 525 composition (Ferrenberg, Reed, & Belnap, 2015), are likely to have far-reaching 526

527 consequences for hydrological processes and outcomes in drylands. For drylands, which 528 cover nearly half of the world's terrestrial surface and are growing in spatial extent (Huang et 529 al., 2017; Prăvălie, 2016), it is critical that soil moisture retained by biocrusts is considered in 530 global climate, vegetation and land use models. Accounting for biocrusts and their 531 hydrological impacts can provide us with a more accurate picture of the impacts of climate 532 change on dryland ecosystems and improve our capacity to manage dwindling dryland water

- 533 supplies in a warmer, drier world.
- 534

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- 725

726	Biocrusts are widely distributed globally, and have marked effects on ecosystem properties
727	and processes.
728	
729	A global assessment of biocrusts on hydrology revealed that they reduced the time for water
730	to pond, on the surface, commence runoff, infiltrate and produce sediment, but increased soil
731	moisture storage in the topsoil.
732	
733	Biocrust effects on hydrology varied markedly with soil texture, aridity, biocrust type, spatial
734	scale and level of disturbance.
735	
736	Our synthesis provides novel insights into the magnitude, processes, and contexts of biocrust
737	effects in drylands; information that is critical for sustainable management of Earth's
738	dwindling dryland water supplies.
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