The Pneumatron is a device based on hardware and software open-sources that allows the measurement of air inside plants, generating high time-resolution estimation of embolism vulnerability. It represents an easy, low-cost, and powerful tool for both laboratory and field measurements.

1	The Pneumatron: an automated pneumatic apparatus for estimating xylem
2	vulnerability to embolism at high temporal resolution
3	
4	Luciano Pereira ^{1,2*†} , Paulo R. L. Bittencourt ^{3,4†} , Vinícius S. Pacheco ⁴ , Marcela T. Miranda ¹ ,
5	Ya Zhang ⁵ , Rafael S. Oliveira ⁴ , Peter Groenendijk ⁴ , Eduardo C. Machado ¹ , Melvin T. Tyree ⁶ ,
6	Steven Jansen ⁵ , Lucy Rowland ³ and Rafael V. Ribeiro ^{2,*†}
7	
8	¹ Laboratory of Plant Physiology "Coaracy M. Franco", Center R&D in Ecophysiology and
9	Biophysics, Agronomic Institute (IAC), Campinas SP, Brazil.
10	² Laboratory of Crop Physiology, Department of Plant Biology, Institute of Biology, P.O.
11	Box 6109, University of Campinas (UNICAMP), 13083-970, Campinas, SP, Brazil.
12	³ College of Life and Environmental Sciences, University of Exeter, Exeter, United Kingdom.
13	⁴ Department of Plant Biology, Institute of Biology, P.O. Box 6109, UNICAMP, 13083-970,
14	Campinas, SP, Brazil.
15	⁵ Institute of Systematic Botany and Ecology, Ulm University, Albert-Einstein-Allee 11,
16	89081 Ulm, Germany.
17	⁶ College of Chemistry and Life Sciences, Zhejiang Normal University, 688 Yingbin Ave.,
18	Jinhua, Zhejiang, 321004, China.
19	[†] These authors contributed equally to this work.
20	*Authors for correspondence: <u>biolpereira@gmail.com; rvr@unicamp.br</u>
21	
22	Funding: São Paulo Research Foundation (FAPESP, Brazil): grants #2017/14075-3
23	#2018/09834-5, #2018/01847-0, and #2019/07773-1. National Council for Scientific and
24	Technological Development (CNPq, Brazil), grant #401104/2016-8. UK NERC grant
25	NE/N014022/1. Royal Society is Newton International grant NF170370.

Abstract: Xylem vulnerability to embolism represents an important trait to determine 27 species distribution patterns and drought resistance. However, estimating embolism 28 resistance frequently requires time-consuming and ambiguous hydraulic lab measurements. 29 Based on a recently developed pneumatic method, we present and test the "Pneumatron", a 30 device that generates high time-resolution and fully automated vulnerability curves. 31 Embolism resistance is estimated by applying a partial vacuum to extract air from an excised 32 33 xylem sample, while monitoring the pressure change over time. While the amount of gas extracted is strongly correlated with the percentage loss of xylem conductivity, validation of 34 the Pneumatron was performed by comparison with the optical method for *Eucalyptus* 35 camaldulensis leaves. The Pneumatron improved the precision of the pneumatic method 36 37 considerably, facilitating the detection of small differences in the percentage of air discharged (PAD < 0.47%). Hence, the Pneumatron can directly measure the 50% PAD without any 38 39 fitting of vulnerability curves. PAD and embolism frequency based on the optical method were strongly correlated ($r^2 = 0.93$) for *E. camaldulensis*. By providing an open source 40 41 platform, the Pneumatron represents an easy, low-cost, and powerful tool for field measurements, which can significantly improve our understanding of plant water relations 42 43 and the mechanisms behind embolism.

44

Key-words: pneumatic method, vulnerability curves, cavitation, drought resistance, water
transport, plant hydraulics.

47

Acknowledgments: The authors acknowledge the São Paulo Research Foundation 48 (FAPESP, Brazil) for granted fellowship (L.P. & R.V.R., Grant #2017/14075-3; R.S.O., 49 Grant #2019/07773-1; P.G. #2018/01847-0) and scholarship (M.T.M. & R.V.R., Grant 50 #2018/09834-5). R.V.R., E.C.M, R.S.O. and V.S.P. also acknowledge the fellowships and 51 scholarship granted by CNPq. L.R. also thanks UK NERC for an independent fellowship 52 grant (NE/N014022/1) and P.R.L.B. thanks the Royal Society is Newton International for the 53 54 fellowship (NF170370). This study was supported by the National Council for Scientific and Technological Development (CNPq, Brazil), Grant #401104/2016-8 (R.V.R.). We 55 acknowledge Sabah Biodiversity Centre for permission to conduct research at the Forest 56 Research Centre, Sepilok, for local collaboration and facilitating access to field sites. 57

58 Introduction

59

Drought-induced embolism of water conducting cells in xylem has been related to 60 tree mortality and loss of primary productivity (Adams et al. 2017; Choat et al. 2018), with 61 relevance not only for plant ecology but also for agricultural sciences. However, accurately 62 and efficiently measuring xylem embolism is not an easy task, especially in the field, because 63 64 most methods rely on hydraulic measurements requiring manipulation of xylem tissue that is typically under negative pressure (Jansen, Schuldt & Choat 2015). Conducting hydraulic 65 66 measurements is far from straightforward because of various reasons, hence, most studies on xylem embolism resistance are at the species level and intra-specific and intra-individual 67 68 variations are not well understood (but see Pratt, Jacobsen, Ewers & Davis 2007; Lachenbruch & McCulloh 2014; Charrier et al. 2016; Rodriguez-Zaccaro et al. 2019). 69 70 Consequently, the current applications of embolism resistance data remain limited. For example, we are far from using it as a trait to select drought tolerant genotypes, to predict 71 72 how drought may affect trees at the population level and assembly processes for species-rich communities (see Oliveira et al. 2018; Barros et al. 2019). 73

74 The vulnerability curves may be estimated either by directly measuring the loss of conductivity due to embolism formation or by quantifying the number or volume of 75 76 embolised vessels (Venturas et al. 2019). The loss of conductivity is measured directly by using a hydraulic apparatus (Sperry, Donnelly & Tyree 1988) or Cavitron centrifuge 77 (Cochard 2002), while embolism is quantified through 2-D or 3-D images (Brodersen, 78 McElrone, Choat, Matthews & Shackel 2010; Brodribb et al. 2016), acoustic emissions 79 (Milburn 1973), or airflow using the pneumatic method (Pereira et al. 2016). Although 80 measuring xylem conductivity in intact plants would be desirable, the use of plant segments 81 coupled with a hydraulic apparatus is subject to interferences such as the background flow 82 (Hacke et al. 2015; Pereira & Ribeiro 2018), wounding response, introduction of air bubbles 83 (Espino & Schenk 2011), ionic effect (Jansen et al. 2011), or potential refilling of embolised 84 85 conduits (Melcher et al. 2012). Besides, the measurements are time-consuming and need a lot of plant material. 86

We recently presented the pneumatic method as an alternative approach to estimate xylem vulnerability curves for a single branch (Pereira *et al.* 2016; Zhang *et al.* 2018). This

method measures the kinetics of pressure change: by connecting a simple and low-cost 89 90 apparatus that is composed of a pressure sensor and tubing. The apparatus measures the amount of gas extracted from plant tissue, especially xylem, and is monitored over time, 91 92 while the plant tissue desiccates. A central assumption of this method is that the amount of air discharged from a particular xylem tissue is related to the amount of embolised conduits. 93 While earlier studies show a striking correlation between the amount of gas extracted in 94 pneumatic experiments and the loss of hydraulic conductivity based on hydraulic 95 measurements for more than 20 species (Pereira et al. 2016; Zhang et al. 2018), modelling 96 of the gas diffusion kinetics will be needed to fully understand why the amount of gas 97 extracted from xylem is related to embolism. Basic physical laws that underlie pneumatic 98 99 measurements include Fick's law for diffusion, Henry's law for partitioning of gas 100 concentration between liquid and gas phases at equilibrium, and the ideal gas law. By 101 drawing a partial vacuum in pneumatic experiments, the equilibrium concentration of air in water is changed according to Henry's law. Therefore, gas dissolved in water will diffuse to 102 103 reach the reduced concentration of air in the partial vacuum of the vessels that are cut open and embolised. Hence, gas extracted with a pneumatic apparatus may include gas from 104 embolised conduits (including both cut-open conduits near the cut end and non-open 105 conduits), intercellular spaces, gas released by parenchyma cells, or gas from air-saturated 106 107 xylem sap.

One of the major advantages of the pneumatic method is that it relies on bench 108 dehydration to induce embolism, which is not known to cause potential artefacts as reported 109 for air-injection and centrifuge methods (Cochard et al. 2013; Yin & Cai 2018; Lamarque et 110 al. 2018). Moreover, hundreds or even thousands of gas extraction measurements can be 111 made during the dehydration time as each measurement takes less than 2.5 min. This task is 112 simplified by using an automated pneumatic device. Also, an automated device will likely 113 reduce undesired variation or errors associated with the manual pneumatic measurement 114 procedure. Thus, continuous monitoring of gas diffusion kinetics would remove these errors 115 and substantially improve the accuracy of the vulnerability curves estimated by a pneumatic 116 apparatus. 117

Here, an automated pneumatic apparatus, the 'Pneumatron', was tested. This devicecan be programmed to automatically measure the air discharged from a connected plant organ

120 at 0.5 s intervals with a resolution about 1 ms. It uses a small vacuum pump and a solenoid valve connected to a microcontroller with a pressure sensor and a datalogger. It is possible 121 to connect a stem psychrometer to the same sample to measure water potential 122 simultaneously, which then provides a fully automated approach to construct vulnerability 123 curves. Here, we present: (i) the Pneumatron as a novel approach to estimate xylem 124 embolism, with a comparison of this method either to the hydraulic method in branches 125 126 (Sperry et al. 1988) or to the optical method proposed by Brodribb et al. (2016) for leaves; and (ii) the M-Pneumatron, a modified Pneumatron that automatically measures multiple 127 128 samples at the same time. The Pneumatron and M-Pneumatron allow measurements of gas diffusion kinetics with high temporal resolution both in the lab and in the field, enabling 129 130 estimates of inter-branch variation to be measured and highlighting its potential as a powerful tool for studying vulnerability to xylem embolism. 131

132

133 Materials and Methods

134 *The Pneumatron - an automated pneumatic apparatus*

The Pneumatron follows the same principle as the manual pneumatic method to 135 estimate xylem vulnerability curves (Pereira et al. 2016; Zhang et al. 2018). In short, a partial 136 vacuum (45 kPa) is applied to the cut base of a branch, with or without removing the bark, 137 138 and the volume of air extracted (air discharged, AD in μ L) is estimated by measuring the pressure increase inside a tube (Fig. 1) of the apparatus after 30 s. The Pneumatron includes 139 (1) a partial vacuum pump to generate sub-atmospheric pressure, (2) a solenoid valve to apply 140 the vacuum to a xylem sample, (3) a pressure transducer to monitor the pressure, and (4) a 141 microcontroller to control the system (i.e. pump, valve and transducer) and to monitor the 142 data (Fig. 1). 143

We used an ATmega328P microcontroller (Microchip Technology, Chandler Az,
USA) assembled in an Arduino Uno prototyping board (Adafruit Industries, New York NY,
USA). The Arduino Uno was linked to a data logger shield (Adafruit Industries, New York
NY, USA) with a real time clock (DS1307, Maxim Integrated, California, US) and a SD card
connector. A 16 bits analogic-digital converter with programmable gain amplifier
(ADS1115, Texas Instruments, Dallas TX, USA) was used to read the output pressure of a
pressure transducer (PX26-015GV, Omega Engineering, Norwalk CT, USA; manufactured

by Honeywell with part number 26PCCFA6D). This allowed us to have a pressure resolution 151 of ~0.01 kPa. To control the solenoids and vacuum pump, we either used a 2-channels relay 152 module (Ningbo Songle Relay Co., Ltd., Yuyao, Zhejiang, China) or low side N-channel 153 MOSFET transistors (IRLZ44, Vishay Intertech, Pennsylvania, USA) as microcontrolled 154 power switches. We used a vacuum pump (DQB380-FB2, Dyx, Shenzhen, China) to generate 155 vacuum and a three-way mini-solenoid valve (Fa0520F, Dongguan City-Electric Co., Ltd., 156 Dongguan) to control air flow (Fig. 1). The 16 bits analogic-digital converter, SD card, 157 vacuum sensor, real time clock and power switches were installed in a custom-made Arduino 158 Shield, which was designed and developed by the Plant and Environment Technology 159 (Plantem, Campinas SP, Brazil). 160

161 Measurements of the amount of air discharged from the plant with the Pneumatron involve a two-step process (Figs. S2 and S3). Firstly, the microcontroller activates a mini-162 163 vacuum pump and the mini-solenoid valve. Then, the air pressure inside the tubing connected to the branch decreases to ~40 kPa (absolute), which takes less than one second. The 164 microcontroller then turns off the vacuum pump and the mini-solenoid valve. As a partial 165 vacuum is created inside the tubing in this first second, the air begins to be sucked from the 166 plant tissues and, for this reason, the pressure increases with time. Thus, the volume of air 167 sucked is calculated considering the pressure change from this initial (1 or 2 s) to the final 168 169 moment (30 s, see Data analysis section). The Pneumatron records the pressure inside the tubing in a SD memory card every 500 or 1000 ms over 30 s (final pressure). While earlier 170 measurements were based on 150 seconds, we shortened the timing to 30 seconds, which 171 appeared to be sufficient. After this step, the mini-solenoid valve opens to equilibrate the 172 pressure of the plant and discharge tubes with the atmosphere and one measurement is 173 finished. There is a time lag (typically 15 min) for the next programmed measurement and 174 this interval can be adjusted depending on the dehydration speed of the species and the 175 temporal resolution required, although the time interval should be long enough to restore 176 atmospheric pressure inside embolised conduits. The apparatus leakage was lower than 12 177 and 22.6 µL during the discharge curve in leaves and branches, respectively, which was lower 178 than the minimum AD values measured from leaves (> 19 μ L) and branches (> 100 μ L). 179

A second version of the Pneumatron, the Multiple Pneumatron or M-Pneumatron,was built to take automated measurements of ten branches at the same time by using ten

normally closed solenoid valves (DSF2-A, Dyx, Shenzhen, China). Herein, measurements of
the air discharged were taken every 15 min with the Pneumatron and every 30 min with the
M-Pneumatron (time required to measure all ten branches). See the Supporting Information
for the general setup of the Pneumatron (Fig. S1) and the M-Pneumatron (Fig. S2), the
scheme of the electronic connections (Fig. S3), the Arduino programming scheme (Fig. S4),
and the scripts (Methods S1).

188

189 *Plant material*

190

Measurements with the Pneumatron were taken in June 2018 on four orange trees 191 192 (Citrus sinensis L. Osbeck grafted on Citrus limonia Osbeck), which were about 1 m tall and had a stem diameter of ca. 15 mm. This species was selected because plenty of plant material 193 194 was available, while earlier experiments had shown that considerable variation occurred in the vulnerability curves at an intraspecific and intra-plant level. The plants were grown in 195 196 pots of 4.5 L, containing *Pinus* bark as substrate, and kept under greenhouse conditions at Campinas (22°54'23"S, 47°3'42"O, São Paulo State, Brazil), where air temperature varied 197 from 18 to 42°C. Between February and March of 2019 three mature leaves of a *Eucalyptus* 198 *camaldulensis* Dehnh. tree (about 5 m tall and growing in Campinas) were also used for the 199 200 estimation of leaf embolism.

We compared measurements with Pneumatron and hydraulic apparatus in branches of *Eucalyptus camaldulensis* and *Schinus terebinthifolius* trees. Previously, we used the bench dehydration method and the hydraulic apparatus to estimate the vulnerability curves (Pereira *et al.* 2016). These curves were compared with the Pneumatron measurements, using branches of the same *S. terebinthifolius* tree and branches of an *E. camaldulensis* tree cultivated from the seedlings used in the previous report (Pereira *et al.* 2016).

For the M-Pneumatron measurements, we collected sun-exposed branches from five Shorea multiflora (Burck) Sym. (Dipterocarpaceae) mature trees at the Sepilok Forest Reserve, in Sandakan, Malaysia (5°52'48"N, 117°56'42"E). For each tree, we measured two terminal branches, with a diameter close to 1 cm and length of 60 to 100 cm.

The above-ground tissues of citrus trees and *S. multiflora* were collected early in the morning, immediately bagged in black plastic bags to avoid dehydration and transported to

the laboratory. Then, the bases of the branches were connected to sections of a silicone tube 213 214 using plastic clamps (RZ-06832-02, Cole-Parmer, Vernon Hills IL, USA), without removing the bark tissue. We used adapter luers (EW-30800-06, Cole-Parmer, Vernon Hills IL, USA) 215 216 and PVC tubing (EW-30600-62, Cole-Parmer, Vernon Hills IL, USA) to connect the silicone tube to the Pneumatron. In addition, we used polyvinyl acetate glue to avoid leakages in the 217 connection with the branch. We used this glue to seal any leakages between the tubes and the 218 219 bark, as well as to seal cut leaves and small branches present near to the connection. The volume of the discharge tube (V_r) was 2.77 mL for citrus and 8 mL for *S. multiflora*. 220

Leaves of *E. camaldulensis* were also collected early in the morning and its petioles 221 were cut under distilled water. The petioles were kept underwater while the leaf blades were 222 223 fixed on the scanner for taking measurements with the optical method (see the section "Embolism measurements of leaf xylem"). Then, the petioles were connected to a silicone 224 225 ring using the same clamps and adapters described above and we also used parafilm and polyvinyl acetate glue to avoid gas diffusion leakages. We used a small discharge tube V_r 226 227 0.68 mL) to increase the Pneumatron resolution (see "Theoretical precision of the Pneumatron" section) as the volume of petiole and leaf veins is considerably smaller than the 228 branches. 229

230

231 *Xylem water potential*

232

For the Pneumatron measurements, the stem water potential was automatically and 233 simultaneously measured with the air discharged, using a stem psychrometer (ICT 234 235 International, Armidale NSW, Australia). The stem psychrometer was installed at a distal part of branches for C. sinensis and set up for measurements every 15 or 30 min to test the 236 best interval for a better vulnerability curve estimation. We also tested if using a partially 237 bagged branch would improve the resolution of the curve by slowing dehydration. When 238 taking measurements of E. camaldulensis and S. terebinthifolius branches or using the M-239 Pneumatron, the xylem water potential was measured at intervals of 1 to 5 h, using a pressure 240 chamber (PMS 1000, PMS Instruments Co., Albany OR, USA). The ten branches were 241 bagged up for at least 30 min to obtain a leaf and xylem water potential equilibrium prior to 242 measurements with a pressure chamber. The xylem water potential between each interval of 243

measurements was estimated assuming a linear decrease of xylem water potential during dehydration. Using the water potential data from the psychrometer measurements, we also correlated the estimated (based on linear variation) and measured water potential during dehydration, considering intervals from 1 to 5 hours between measurements.

248

249 *Embolism measurements of leaf xylem*

250

We used the optical method proposed by Brodribb et al. (2016) to estimate vein 251 252 embolism, using a scanner (Model 12000XL, Epson America Inc., San Jose CA, USA) while the Pneumatron was connected to the petiole of the same leaf. Three leaves of the same 253 Eucalvptus camaldulensis tree were used for this experiment. The scanner was programmed 254 to take an image every 15 min and the Pneumatron was programed to measure AD at the 255 256 same time interval for about 30 hours, which was the time needed for the leaves to become completely dehydrated. The images were processed according to instructions of the open 257 258 source project OpenSourceOV (http://www.opensourceov.org/). The images were cut and aligned using the OSOV toolbox as small leaf movement was noticed inside the scanner 259 during dehydration. Then, the formation of vein embolism over time was estimated for each 260 leaf from at least three subregions of each leaf blade. 261

262

263 *Data analysis*

264

As described in Pereira *et al.* (2016), the increase in moles of air discharged in the tubes (Δ n, mol) was calculated according to the ideal gas law using the initial (P_i , in kPa) and final (P_j) pressure measured:

268

$$\Delta n = n_f - n_i = P_f V_r / RT - P_i V_r / RT$$
(1)

270

where n_i (mol) is the initial number of moles of air and n_f (mol) is the final number after a predetermined time. R is the gas constant (8.314 kPa L mol⁻¹ K⁻¹), T is the room temperature (20° C = 293.15 K), and V_r is the discharging tube volume (L). The equivalent volume of air (Air Discharged, AD in µL) at atmospheric pressure (P_{atm} , 98 kPa) was calculated as follows:

275	
276	$AD = (\Delta nRT / P_{atm}) * 10^6 $ ⁽²⁾
277	
278	The minimum (AD _{min} , when the branch is well-hydrated) and the maximum (AD _{max} , when
279	AD stopped increasing even with a decreasing water potential) AD measurements were used
280	to calculate the Percentage of Air Discharged (PAD, %) as:
281	
282	$PAD = 100*(AD - AD_{min}) / (AD_{max} - AD_{min}) $ (3)
283	
284	The PAD values were fitted to the following logistic function (Pammenter & Vander
285	Willigen 1998):
286	
287	$PAD = 100/(1 + \exp((S/25)(\Psi_x - \Psi_{50}))) $ (4)
288	
289	where Ψ_x is the water potential measured for a given PAD, Ψ_{50} is the Ψ_x when PAD equals
290	50%, and S (%PAD MPa ⁻¹) is the slope of the curve.
291	The data were processed in the programming environment R with basic statistical
292	packages (R Core Team 2013).
293	
294	Theoretical precision of the Pneumatron
295	
296	The theoretical resolution of the Pneumatron was estimated considering the linearity
297	of the pressure sensor described by the manufacturer (0.25% of the full scale) from zero to
298	100 kPa. Then, 0.25 kPa was considered as the difference between P_i and P_f to estimate the
299	AD error range, considering a given discharge tube volume (V_r). Then, we estimated the AD
300	error while varying V_r , from 0.5 to 4 mL, which represented volumes typically used in our
301	experiments for several species. The possible \mbox{AD}_{max} measured was calculated considering
302	50 kPa of difference between P_i and P_f for the same range of discharge tube volume (from
303	0.5 to 4 mL). The difference of 50 kPa turns the pressure inside the tubbing to almost
304	atmospheric at the moment of P_f , and in this case, gas would no longer be sucked from plant

305 tissues.

306	
307	Results
308	
309	Air discharge curves
310	
311	The Pneumatron measured air discharged (AD) of the samples with high temporal
312	resolution (Fig. 2). The amount of air discharged was initially low and progressively
313	increased during dehydration of all C. sinensis samples, reaching a plateau after some time.
314	On the other hand, xylem water potential continued decreasing even after the AD plateau had
315	been reached. The high temporal resolution showing a stable AD_{max} allowed us to estimate
316	with confidence the PAD. Based on PAD estimated for each branch, we found both the water
317	potential leading to 50% reduction in PAD, i.e. Ψ_{50} , as well as the dehydration time for
318	reaching Ψ ₅₀ in each sample (-2.35 MPa, 3.1 h; -1.86 MPa, 3.8 h; -1.65 MPa, 2 h; -2.32 MPa,
319	10.9 h, for samples of Citrus sinensis 1, 2, 3 and 4, respectively; Fig. 3).
320	Monitoring pressure values every 500 ms within each AD measurement revealed that
321	the shape of AD curves changed during dehydration, following decreases in xylem water
322	potential (Fig. 4a). While the final pressure (P_f) changed significantly during the branch
323	dehydration (Fig. 4c), the initial pressure (P_i) did not present relevant changes (Fig. 4b).
324	The theoretical resolution of the Pneumatron, or error range, was correlated to the
325	volume of the discharge tube used in the pneumatic apparatus. Such AD error varied from
326	about 1 to 10 μ L and is given by AD _{error} = 2.551*V _r (Fig. S5). In the same way, AD _{max} may
327	vary from about 200 to 2000 μ L, being correlated to V _r as: AD _{max} = 510.2*V _r (Fig. S5). The
328	actual average resolution of the Pneumatron was 0.47% when considering the loss of
329	conductance in <i>C. sinensis</i> and assuming PAD = PLC (percentage loss of conductivity). This
330	resolution was obtained with 15 min of interval between measurements and under slow

331

333 Automated vulnerability curves with the M-Pneumatron

dehydration by bagging branches partially (Fig. 3d).

334

The AD curves of the *S. multiflora* samples measured with the M-Pneumatron showed non-embolised (lower plateaus) or fully embolised (upper plateaus) branches (Fig.

337 5). The strategy of sampling xylem water potential at every 3 to 5 h interval and interpolating the data allowed us to produce high resolution vulnerability curves (Fig. 6). In fact, the 338 quality of interpolated water potential data using different time intervals (1, 3 and 5 h) was 339 evaluated in C. sinensis by comparing the interpolated data with the actual measured data. 340 Although the error increased with increasing time interval, it remained low, and the 341 interpolated xylem water potential data had a high correlation with the measured data (Fig. 342 S6). For all C. sinensis samples the coefficient of determination of the predicted values (r^2) 343 was higher than 0.99 for time intervals from 1 to 4 h, and higher than 0.97 for 5 h of interval. 344 345

346 *Comparing the hydraulic apparatus and the Pneumatron measurements*

347

The 50% loss of conductivity and 50% of air discharged were strongly correlated for *S. terebinthifolius* and slightly different for *E. camaldulensis*, although the curves estimated with the hydraulic method presented more significant error due to data scattering (Fig. 7). For *S. terebinthifolius*, the mean Ψ_{50} estimated with the Pneumatron was -3.3 ± 0.1 MPa and -3.1 ± 0.2 MPa when estimated with the hydraulic apparatus. For *E. camaldulensis*, the Ψ_{50} estimated was -4.7 ± 0.1 MPa with the Pneumatron and -4.1 ± 0.4 MPa with the hydraulic apparatus.

355

356 *Comparing the optical and pneumatic methods to estimate leaf embolism formation*

357

Leaf embolism formation evaluated with the optical method (as vein embolism) and 358 359 with the Pneumatron (as air discharge) was similar and data from both methods were highly correlated over time ($r^2 > 0.93$, P < 0.0001, Fig. 8). However, measurements taken with the 360 Pneumatron during the first hours of dehydration (from 60 to 285 min) were unstable, with 361 high AD values measured before reaching the AD_{min} (Fig. S7). As there was no vein 362 embolism formation during this time, we did not consider those initial AD values to calculate 363 the PAD. Interestingly, the period of instability corresponded to about one third of the total 364 time required to see the first event of vein embolism occurred, regardless whether the 365 dehydration was slow of fast. 366

368 Discussion

369

The development of an automated Pneumatron instrument provides an important step 370 371 forward in quantifying gas diffusion, offering a higher temporal resolution and higher accuracy in recording air discharge volumes than the manual approach. Moreover, our results 372 show that the amount of gas extracted from leaves of E. camaldulensis corresponded very 373 374 well with the amount of embolism detected in leaf veins using the optical method (Brodribb et al. 2016), which provides additional confirmation that PAD is related to xylem embolism. 375 As such, combining the Pneumatron with stem psychrometers offers a novel and fully 376 automated approach to obtain detailed vulnerability curves both in the lab and under field 377 378 conditions, as its low power consumption allows using the Pneumatron for more than three days on 12V 70 Ah batteries. Also, the Pneumatron allows for multiple measurements of 379 380 different samples or plant organs (e.g., roots, stems, leaves) and comparisons at individual level. The capacity to record with precision the time when a given level of embolism was 381 382 reached facilitates experimentation of drivers of embolism on desiccating plants. The limitations of the methods and our current interpretation of changes in gas diffusion of xylem 383 tissue during dehydration deserve further studies and they should be considered when 384 discussing results. Nonetheless, the high-resolution measurements of the Pneumatron open 385 386 up new possibilities for a wide range of scientific uses, making measurements of embolism resistance relatively easy, fast and feasible. 387

The Pneumatron allowed the estimation of high time-resolution air discharge and data-point resolution of 0.47% PAD. This high resolution enables inter-branch comparisons (Figs. 3 and 5) and allows for almost a direct measuring of the vulnerability traits (e.g. Ψ_{50} and Ψ_{88}), avoiding uncertainty associated with fitted curves. If branch dehydration is slow and the interval between AD measurements is short enough, the Pneumatron can directly measure the 50% PAD (see differences among fast and slow dehydration and 15 and 30 min of interval for AD measurements in Fig. 2, and the respective vulnerability curves in Fig. 3).

395 Despite the similarity between vulnerability curves estimated by the pneumatic and 396 hydraulic methods (Pereira *et al.* 2016; Zhang *et al.* 2018), a difference of a few seconds 397 between measurements of air discharge and any delay in opening and closing the three-way 398 valves are sources of error when using the manual pneumatic method. These issues are solved

Plant, Cell & Environment

with the Pneumatron, which uses a microcontroller with a speed of 16 MHz, saving the pressure data every 0.5 s and controlling the vacuum pump and valves with a precision of microseconds. Therefore, the time between consecutive AD measurements is practically the same and the measurements of P_i and P_f are much more precise than the manual approach, which requires the operator to write down the pressure values.

The AD curves obtained with the Pneumatron showed a typical increase in the amount 404 of air discharged from branches during dehydration, which reaches a plateau while water 405 potential continues to decrease (Figs. 2 and 6). This further corroborates that AD 406 measurements are reflecting embolism (Pereira et al. 2016; Zhang et al. 2018) instead of 407 shrinkage of xylem tissue. As shrinkage would be proportional to stem water potential, a 408 409 continuous increase of AD during dehydration would be expected – without any apparent plateau, which was not found here. Also, the initial AD when the plant tissue is hydrated, 410 411 should represent gas from non-xylem tissues and from open vessels that are quickly embolised when the plant tissue is cut. Thus, the interference of the non-xylem gas should be 412 413 minimal, as we subtract the initial AD to calculate the PAD.

The vulnerability curves obtained with the M-Pneumatron and estimation of xylem 414 water potential between AD measurements allowed us to easily measure embolism resistance 415 in several samples simultaneously (Fig. 5). Our analyses of interpolated xylem water 416 417 potential using the C. sinensis data set (Fig. S6) suggests that the time intervals for interpolation should be below 5 h for estimating this plant trait with high accuracy. In general, 418 higher errors were found under not very negative water potential values, when fast changes 419 420 in water potential are expected during the first stages of desiccation (Fig. S6). Therefore, xylem water potential should be measured more frequently during the first hours of 421 desiccation or for fast desiccating plants. 422

Similarly to the optical method (Brodribb *et al.* 2016), microtomography (Brodersen *et al.* 2010), and acoustic emissions approach (Milburn 1973; Vergeynst, Dierick, Bogaerts, Cnudde & Steppe 2014), the pneumatic method estimates embolism instead of the percentage loss of conductivity. However, previous comparisons showed a good agreement between the estimated vulnerability curves when using the pneumatic method and the hydraulic apparatus (Pereira *et al.* 2016; Zhang *et al.* 2018) or Cavitron (Zhang *et al.* 2018). In the same way, the curves estimated with the Pneumatron showed a strong agreement with hydraulic

430 measurements for *S. terenbithifolius* branches, although slight differences for *E. camaldulensis* branches were found (Fig. 7). The difference for *E. camaldulensis* may be due 432 to (i) the significant error of the curve estimated from the data measured using the hydraulic 433 method and (ii) due to differences of plant age when comparing plant material used in each 434 method. Nevertheless, the curves estimated with the Pneumatron presented a much smaller 435 data scattering than with the hydraulic method.

In short, the high temporal resolution, fully automated approach, low-cost, and simple data analyses represent the main advantages of the Pneumatron compared to other available methods. Also, for the pneumatic method, embolism is induced using the bench dehydration technique, which is also used in the imaging and acoustic emissions methods. Using the bench dehydration avoids embolism overestimation due to artefacts, as described for the centrifuge (the open vessel artefact) and double-ended chamber (effervescence artefact) methods (Yin & Cai 2018).

443

444 *Comparison of the pneumatic and optical method*

445

It is possible to obtain high-resolution vulnerability curves using the optical method 446 for leaves (Brodribb et al. 2016), and our results demonstrate a strong correlation of vein 447 448 embolism and PAD for petioles of E. camaldulensis (Fig. 8). The main advantage of the Pneumatron is the ease of connection with the petiole and the simple and fast data analysis. 449 Hundreds of datapoints represent only few megabytes of a text file, and the vulnerability 450 curves are easily calculated in an Excel spreadsheet. Although the Pneumatron is a promising 451 452 tool for measuring leaf embolism, further research is needed to reveal if it is an applicable device for comparing species with varying leaf morphology. For example, small leaves may 453 have few microliters of air in their veins when totally embolised and this quantity may be 454 undetectable by the Pneumatron described here. Since the internal volume of the solenoid 455 valve and connections determine V_r, these components must be adapted to detect small 456 amounts of gas extracted from leaf veins. It is currently unclear whether leaf morphology and 457 conduit collapse in leaf veins affect gas extraction (Zhang, Rockwell, Graham, Alexander & 458 Holbrook 2016), which would make the pneumatic method problematic for some species. 459

Although a stable AD_{max} had been measured in fully dehydrated leaves of E. 460 camaldulensis, the initial AD measurements were surprisingly high and decreased in one or 461 two hours before reaching its minimum (AD_{min}). The stable AD_{min} that was reached was 462 considered as reference point for PAD calculations (see unstable datapoints in the Fig. S7). 463 Since we have not observed such variable AD measurements when working with stems, the 464 high AD values after connecting the leaf to the Pneumatron may be a consequence of 465 leakages or even air spaces inside leaves from non-xylem tissue that shrink after slight 466 dehydration. Compared to stem samples that have been debarked and typically show a very 467 small or no pith tissue at all, the amount of non-xylem tissue is most likely much higher in 468 leaf petioles than in stem samples. Interestingly, this instability occurred long before vein 469 470 embolism started, even in a situation of fast (Fig. 8a and b) or slow dehydration (Fig. 8c). Application of the Pneumatron on leaves with a variable leaf morphology and anatomy would 471 472 be useful to fully understand these high initial AD values. Moreover, it might be useful to keep leaves in a plastic bag until AD values become stable, while avoiding fast dehydration 473 474 and embolism. As the Pneumatron can detect small amounts of air from leaves, additional care is needed to avoid leakages in the petiole connection, using parafilm, glue, and a tight 475 clamp. 476

477

478 *Pneumatron sensitivity*

479

The sensitivity of the pneumatic apparatus is directly related to the volume of the 480 discharged air in vacuum tubes to estimate the air volume inside plant tissues (Pereira et al. 481 2016), i.e., a small air discharge volume from a leaf or petiole can be measured more precisely 482 if a small discharge tube (V_r) is used. Thus, the AD measurement can be improved if the 483 discharge vacuum volume is taken into account. An estimation of the AD error is shown in 484 Fig. S5, where we considered the linearity of the sensor, which was 0.25% of the full scale 485 according to the manufacturer. In addition, to avoid that the discharge vacuum tubes reach 486 atmospheric pressure and interrupt the air suction from branches, we arbitrarily considered a 487 limit of 90 kPa as a maximum absolute pressure required for an operational Pneumatron. This 488 procedure causes a limitation of the maximum volume of air that can be discharged (Fig. S5, 489 secondary v-axis), which must be considered and depends on the airflow volume that is 490

491 extracted from samples. As the latter volume varies among plant species, the airflow volume 492 cannot be predicted from the sample's size (Pereira *et al.*, 2016). Therefore, the volume of 493 the discharge tube has to be tested and defined prior to measurements: the maximum airflow 494 volume should be estimated from a completely dehydrated branch, and the volume of the 495 discharge tube volume should be chosen considering AD_{max}, i.e., discharge tube volume = 496 AD_{max}/510.2 (Fig. S5). Alternatively, increasing V_r when pressure is higher than 90 kPa can 497 allow for working with plants that have a high AD range during dehydration.

498

499 Conclusion

500

501 Embolism vulnerability curves were produced with high-resolution data using the Pneumatron, allowing intra-specific and inter-organ comparison. The automation of the 502 503 pneumatic method improved the measurement precision compared with the manual 504 pneumatic method. Measurements taken with the Pneumatron, the optical method and the 505 hydraulic method were well correlated. In addition, we were able to easily and simultaneously measure embolism of several samples with the M-Pneumatron. As the 506 507 Pneumatron is based on an open-source platform, it is a low-cost instrument that can speed up our understanding about plant-water relations. This will increase our understanding of the 508 509 mechanisms underlying vulnerability to embolism and enables for better predictions of plant performance in an environment where water-availability – a key driver of plant growth and 510 511 development – is changing.

512

513 **References**

514

Adams H.D., Zeppel M.J.B., Anderegg W.R.L., Hartmann H., Landhäusser S.M., Tissue

516 D.T., ... McDowell N.G. (2017) A multi-species synthesis of physiological

mechanisms in drought-induced tree mortality. *Nature Ecology & Evolution* 1, 1285–
1291.

519 Barros F. V., Bittencourt P.R.L., Brum M., Restrepo-Coupe N., Pereira L., Teodoro G.S.,

520 ... Oliveira R.S. (2019) Hydraulic traits explain differential responses of Amazonian
521 forests to the 2015 El Nino-induced drought. *New Phytologist*, nph.15909.

522	Brodersen C.R., McElrone A.J., Choat B., Matthews M.A. & Shackel K.A. (2010) The
523	Dynamics of Embolism Repair in Xylem: In Vivo Visualizations Using High-
524	Resolution Computed Tomography. Plant Physiology 154, 1088–1095.
525	Brodribb T.J., Skelton R.P., Mcadam S.A.M., Bienaimé D., Lucani C.J. & Marmottant P.
526	(2016) Visual quantification of embolism reveals leaf vulnerability to hydraulic
527	failure. New Phytologist 209, 1403–1409.
528	Charrier G., Torres-Ruiz J.M., Badel E., Burlett R., Choat B., Cochard H., Delzon S.
529	(2016) Evidence for Hydraulic Vulnerability Segmentation and Lack of Xylem
530	Refilling under Tension. Plant Physiology 172, 1657–1668.
531	Choat B., Brodribb T.J., Brodersen C.R., Duursma R.A., López R. & Medlyn B.E. (2018)
532	Triggers of tree mortality under drought. Nature 558, 531-539.
533	Cochard H. (2002) A technique for measuring xylem hydraulic conductance under high
534	negative pressures. Plant, Cell and Environment 25, 815-819.
535	Cochard H., Badel E., Herbette S., Delzon S., Choat B. & Jansen S. (2013) Methods for
536	measuring plant vulnerability to cavitation: a critical review. Journal of Experimental
537	Botany 64, 4779–4791.
538	Espino S. & Schenk H.J. (2011) Mind the bubbles: achieving stable measurements of
539	maximum hydraulic conductivity through woody plant samples. Journal of
540	Experimental Botany 62, 1119–1132.
541	Hacke U.G., Venturas M.D., MacKinnon E.D., Jacobsen A.L., Sperry J.S. & Pratt R.B.
542	(2015) The standard centrifuge method accurately measures vulnerability curves of
543	long-vesselled olive stems. New Phytologist 205, 116-127.
544	Jansen S., Gortan E., Lens F., Lo Gullo M.A., Salleo S., Scholz A., Nardini A. (2011)
545	Do quantitative vessel and pit characters account for ion-mediated changes in the
546	hydraulic conductance of angiosperm xylem? New Phytologist 189, 218-228.
547	Jansen S., Schuldt B. & Choat B. (2015) Current controversies and challenges in applying
548	plant hydraulic techniques. New Phytologist 205, 961–964.
549	Lachenbruch B. & McCulloh K.A. (2014) Traits, properties, and performance: how woody
550	plants combine hydraulic and mechanical functions in a cell, tissue, or whole plant.
551	New Phytologist 204 , 747–764.
552	Lamarque L.J., Corso D., Torres-Ruiz J.M., Badel E., Brodribb T.J., Burlett R., Delzon

553	S. (2018) An inconvenient truth about xylem resistance to embolism in the model
554	species for refilling Laurus nobilis L. Annals of Forest Science 75, 88.
555	Melcher P.J., Holbrook N.M., Burns M.J., Zwieniecki M.A., Cobb A.R., Brodribb T.J.,
556	Sack L. (2012) Measurements of stem xylem hydraulic conductivity in the laboratory
557	and field. Methods in Ecology and Evolution 3, 685–694.
558	Milburn J.A. (1973) Cavitation in Ricinus by acoustic detection: Induction in excised
559	leaves by various factors. Planta 110, 253-265.
560	Oliveira R.S., Costa F.R.C., van Baalen E., de Jonge A., Bittencourt P.R., Almanza Y.,
561	Poorter L. (2019) Embolism resistance drives the distribution of Amazonian rainforest
562	tree species along hydro-topographic gradients. New Phytologist 221, 1457-1465.
563	Pammenter N.W. & Vander Willigen C. (1998) A mathematical and statistical analysis of
564	the curves illustrating vulnerability of xylem to cavitation. Tree physiology 18, 589-
565	593.
566	Pereira L., Bittencourt P.R.L., Oliveira R.S., Junior M.B.M., Barros F.V., Ribeiro R.V. &
567	Mazzafera P. (2016) Plant pneumatics: stem air flow is related to embolism - new
568	perspectives on methods in plant hydraulics. New Phytologist 211, 357-370.
569	Pereira L. & Ribeiro R.V. (2018) Radial stem flow and its importance when measuring
570	xylem hydraulic conductance. Theoretical and Experimental Plant Physiology 30, 71-
571	75.
572	Pratt R.B., Jacobsen A.L., Ewers F.W. & Davis S.D. (2007) Relationships among xylem
573	transport, biomechanics and storage in stems and roots of nine Rhamnaceae species of
574	the California chaparral. New Phytologist 174, 787–798.
575	R Core Team (2013) R: A language and environment for statistical computing.
576	Rodriguez-Zaccaro F.D., Valdovinos-Ayala J., Percolla M.I., Venturas M.D., Pratt R.B. &
577	Jacobsen A.L. (2019) Wood structure and function change with maturity: Age of the
578	vascular cambium is associated with xylem changes in current-year growth. Plant,
579	Cell & Environment 42 , 1816–1831.
580	Sperry J.S., Donnelly J.R. & Tyree M.T. (1988) A method for measuring hydraulic
581	conductivity and embolisms in xylem. Plant, Cell & Environment 11, 25-40.
582	Venturas M.D., Pratt R.B., Jacobsen A.L., Castro V., Fickle J.C. & Hacke U.G. (2019)
583	Direct comparison of four methods to construct xylem vulnerability curves:

584	differences among techniques are linked to vessel network characteristics. Plant, Cell
585	& Environment, 1–15.
586	Vergeynst L.L., Dierick M., Bogaerts J.A.N., Cnudde V. & Steppe K. (2014) Cavitation: a
587	blessing in disguise? New method to establish vulnerability curves and assess
588	hydraulic capacitance of woody tissues. Tree Physiology 35, 400-409.
589	Yin P. & Cai J. (2018) New possible mechanisms of embolism formation when measuring
590	vulnerability curves by air injection in a pressure sleeve. Plant, Cell & Environment
591	41 , 1361–1368.
592	Zhang YJ., Rockwell F.E., Graham A.C., Alexander T. & Holbrook N.M. (2016)
593	Reversible Leaf Xylem Collapse: A Potential "Circuit Breaker" against Cavitation.
594	<i>Plant Physiology</i> 172 , 2261–2274.
595	Zhang Y., Lamarque L.J., Torres-Ruiz J.M., Schuldt B., Karimi Z., Li S., Jansen S.
596	(2018) Testing the plant pneumatic method to estimate xylem embolism resistance in
597	stems of temperate trees. Tree Physiology 38, 1016–1025.
598	
599	

600 Figures

601

Fig. 1 – Automated pneumatic apparatus scheme for measurements of gas diffusion kinetics 602 of plant, and especially xylem tissue. The apparatus was composed by a microcontroller 603 (Arduino Uno), a data logger shield (not shown; coupled up Arduino), an analogical-to-604 digital converter (ADS1115), a relay module (power switches), a mini-vacuum pump, 605 606 solenoid valves and a pressure transducer. The plant tissue is connected to the apparatus by using adapter Luers (Cole-Parmer, catalog numbers: EW-30800-06 and EW-30800-24), 607 silicone tubes (different diameters), and rigid tubes (Cole-Parmer, catalog number: EW-608 30600-62), depending on the desired tubing volume (V_r, see Materials and Methods section). 609 Alternative parts and assembling, with an additional solenoid for atmosphere connection and 610 MOSFET transistors as power switches, are shown in the Supporting Information. The device 611 612 is connected here to a stem sample.

613

Fig. 2 – Automated measurements of air discharged (AD, red) and xylem water potential (blue) of branches of four *Citrus sinensis* individuals during bench dehydration. Air discharged was measured with the Pneumatron and xylem water potential with a stem psychrometer. In (a) the AD and water potential were measured every 30 min and in (b), (c), and (d) every 15 min. The branch (d) was partially bagged to allow a slow dehydration. Note air discharged reaches a plateau, indicating fully embolised xylem, while water potential shows a continuous decreasing trend.

621

Fig. 3 – Percentage of air discharged as a function of xylem water potential for four *Citrus sinensis* individuals (see Fig. 2). Triangle and dashed lines indicate the Ψ_{50} . The "t" in the lower-left part of each panel is the approximate desiccation time when plants reached the Ψ_{50} . The black line marks the sigmoidal fit.

626

Fig. 4 – Example of air discharge curves during 30 s (a) and the relationship between the xylem water potential and initial (P_i , b) and final (P_f , c) pressures in all 186 air discharged curves (c) from a dehydrating branch of *Citrus sinensis*. The final pressure (P_f) at 30 s increases with decreasing xylem water potential during branch dehydration (c).

Fig. 5 – Automated air discharged curves measured simultaneously with the M-Pneumatron,
during dehydration for ten branches of five *Shorea multiflora* individuals. Curves with
different colors indicate different individuals and different symbols with the same color
indicate different branches from a given individual.

636

Fig. 6 – Air discharged (red) and xylem water potential (blue) during desiccation (a) and the percentage of air discharged as a function of xylem water potential (b) of one *Shorea multiflora* branch. Large, closed, blue circles are measured xylem water potential, while small, open, blue circles are estimated xylem water potentials. In (b), "t" is the approximate desiccation time when plants reached the Ψ_{50} , which was marked with a black triangle. The black line marks the sigmoidal fit.

643

Fig. 7 – Vulnerability curves of *Schinus terenbithifolius* and *Eucalyptus camaldulensis* branches, estimated using a hydraulic apparatus (in red, percentage loss of conductivity – PLC, and the respective Ψ_{50} values in red) and the Pneumatron (in blue, percentage of air discharged – PAD). Black lines represent the sigmoidal adjust, considering all branches measured with the Pneumatron (N=4, and the respective Ψ_{50} values in black) and the blue Ψ_{50} values are the averages considering the estimation for each branch.

650

Fig. 8 – Vein embolism (blue points, %) and percentage of air discharged (red points, PAD, %) over time in three leaves of *Eucalyptus camaldulensis*. Note in (a) and (b) the leaf dehydration was faster than in (c). The r^2 indicate the correlation between the measurements using both methods over time.

655

656 Supporting Information

657

Fig. S1 General aspect of Pneumatron.

- 659 Fig. S2 General aspect of M-Pneumatron.
- 660 Fig. S3 Scheme of electronic connections.
- 661 **Fig. S4** Scheme of programming for Pneumatron.

- **Fig. S5** Estimation of the air discharged error and maximum AD.
- **Fig. S6** Relationship between measured and predicted xylem water potential.
- **Fig. S7** Initial unstable AD datapoints in the leaf measurements.

666 Methods S1 Arduino Script for Pneumatron.





Xylem water potential (MPa)













Percentage of air discharged (PAD) or optical events (%)