

## NEUROLOGICAL DISORDERS

## The Relationship between Sleep Quality and Brain Amyloid Burden

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**Study Objectives:** To evaluate the association between self-reported sleep quality and levels of brain  $\beta$ -amyloid (A $\beta$ ) burden, and to determine the effect of the apolipoprotein E (APOE)  $\epsilon$ 4 allele on any associations found.

**Methods:** This study is a cross-sectional analysis of 184 cognitively healthy men and women aged over 60 y. We measured sleep quality factors: specifically, sleep duration, latency (time taken to fall asleep), disturbances, efficiency, daytime dysfunction, and overall sleep quality, using the Pittsburgh Sleep Quality Index. All participants underwent A $\beta$  positron emission tomography imaging for the quantification of brain A $\beta$  burden and were APOE genotyped. Linear regression analyses were used to evaluate the relationship between sleep quality factors and brain A $\beta$  burden, adjusting for age, body mass index, cardiovascular disease, and symptoms of depression, with APOE  $\epsilon$ 4 carriage entered as a moderator.

**Results:** Of the sleep factors, longer sleep latency was associated with higher levels of brain A $\beta$  (B = 0.003 [standard error = 0.001], P = 0.02). APOE  $\epsilon$ 4 allele (carrier/noncarrier) did not moderate the relationship between sleep latency and brain A $\beta$  burden.

**Conclusions:** Our findings suggest a relationship between brain A $\beta$  burden and sleep latency, independent of APOE  $\epsilon$ 4 genotype.

**Keywords:** Alzheimer disease, apolipoprotein  $\epsilon$ 4 allele, beta-amyloid, sleep, sleep latency

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### Significance

Sleep disruption increases with advancing age, and has previously been associated with increased Alzheimer disease pathology in numerous animal studies. However, to date, few human studies have evaluated the relationship between sleep and brain beta-amyloid burden (an indicator of Alzheimer disease pathology) in a highly characterised cohort. Thus, in the current study we have evaluated the relationship between self-reported sleep factors and brain beta-amyloid burden in a cohort of 184 cognitively healthy older adults. We report an association between longer sleep latency and higher levels of brain beta-amyloid burden. Previous reports suggest the relationship between sleep and beta-amyloid is likely bi-directional; thus, future longitudinal studies are essential to further understand this relationship.

### INTRODUCTION

Sleep disorders become more prevalent with advancing age.<sup>1</sup> Previous studies have demonstrated consistently the detrimental effects of suboptimal sleep on cognitive function and increased risk of Alzheimer disease (AD).<sup>2–5</sup> Nevertheless, it appears the association between sleep and AD is bidirectional: sleep-wake disturbances are a common comorbidity of AD, and evidence suggests that these detrimental changes to sleep are associated with an increase in the severity of AD pathology.<sup>6</sup>

Soluble A $\beta$  can be measured in cerebrospinal fluid (CSF) and interstitial fluid (ISF) and correlates with the extent of deposition of insoluble A $\beta$  in the brain. This insoluble A $\beta$  aggregates to form brain extracellular senile plaques, which are one of the neuropathological hallmarks of AD, and can be measured by amyloid positron emission tomography (PET) imaging.<sup>7</sup> Previous animal research has established a link between both soluble and plaque A $\beta$  and sleep. For example, Kang and colleagues<sup>8</sup> reported acute sleep deprivation increased levels of ISF A $\beta$ , whereas chronic sleep deprivation was associated with increased A $\beta$  plaque formation in amyloid precursor protein transgenic mice. This group also reported that A $\beta$  disrupts the sleep-wake cycle. After plaque formation, the sleep-wake cycle of AD transgenic mice deteriorated and diurnal fluctuations of ISF A $\beta$  decreased.<sup>9</sup> Building on this research, Xie and

colleagues<sup>10</sup> reported a 60% increase in cortical interstitial space in the adult mouse brain during sleep, concluding that the restorative function of sleep may be due to enhanced removal of toxic waste products, such as A $\beta$ , that have accumulated during wakefulness. More recently, studies in human cohorts of older adults have shown that poorer sleep quality and shorter sleep duration are associated with decreased and increased A $\beta$  measurements in the CSF<sup>11</sup> and brain<sup>12–14</sup> respectively. Nevertheless, research on this topic is still in its infancy, and the nature of this association and the particular parameters of sleep quality that are associated with A $\beta$  deposition require further investigation in well-characterized cognitively healthy cohorts.

Previous studies suggest that the relationship between lifestyle factors (i.e., physical activity and diet) and brain health may be moderated by the carriage of the apolipoprotein (APOE)  $\epsilon$ 4 allele.<sup>15,16</sup> For example, the relationship between increased physical activity and lower brain A $\beta$  is more marked in carriers of the APOE  $\epsilon$ 4 allele.<sup>15,17</sup> Similarly, the relationship between Mediterranean diet adherence and executive function performance was only evident in APOE  $\epsilon$ 4 carriers.<sup>16</sup> Furthermore, the relationship between higher A $\beta$  deposition and sleep disordered breathing severity is more marked in APOE  $\epsilon$ 4 allele carriers, compared with non-carriers.<sup>18</sup> Based on this previous work, we hypothesized that carriers of the APOE  $\epsilon$ 4 allele reporting poor

sleep quality will have the highest levels of A $\beta$  burden. However, to our knowledge, no other studies have investigated the effect of *APOE*  $\epsilon$ 4 allele carriage on the association between parameters of sleep quality and brain A $\beta$  levels.

The aim of this study was to evaluate the relationships between self-reported sleep quality factors (including duration, disturbances, latency, efficiency, and daytime dysfunction) and brain A $\beta$  burden in a well characterized cohort of cognitively healthy individuals. Furthermore, we assessed the moderating effect of *APOE*  $\epsilon$ 4 allele carriage on the relationship between sleep quality and brain A $\beta$  burden.

## METHODS

### Participants and Procedures

Participants from the Australian Imaging, Biomarkers and Lifestyle (AIBL) study of aging<sup>19</sup> were included. Briefly, the AIBL study comprises men and women aged older than 60 y, without history of severe psychiatric illness, recent cancer, heart attack or other, uncontrolled, chronic illnesses. For inclusion in the current analyses, participants were required to demonstrate normal cognitive function on an array of neuropsychological tests, with these results reviewed by a panel of clinicians, undergo A $\beta$  PET imaging, and complete a sleep questionnaire. From the total number of participants who undertook the AIBL inception cohort 72-mo follow-up or the AIBL enrichment cohort 18-mo follow-up (total  $n = 791$ ), 193 were excluded due to mild cognitive impairment (MCI) or AD. A further 286 did not undergo neuroimaging, 42 did not complete the sleep questionnaire, and 45 had missing covariate data. Thirty-six individuals reporting the use of sleep medication on most nights of the week and 5 individuals reporting a medical history of stroke were also excluded, leaving data from 184 individuals. Data from the PET scan closest to the participants' most recent AIBL clinical and neuropsychological evaluation are reported. Subjects were given written instructions of the risks and benefits of study participation, and signed informed consent was obtained prior to assessment. Approval was obtained from the following institutions' Human Research Ethics Committees: Austin Health, St Vincent's Health, Edith Cowan University, and Hollywood Private Hospital.

### Sleep Questionnaire

All participants completed the Pittsburgh Sleep Quality Index (PSQI)<sup>20</sup>: a 19-item, self-report measure assessing sleep quality and disturbances over the previous month. The PSQI provides the following factor scores: sleep quality, sleep latency, sleep duration, sleep efficiency, sleep disturbances, sleep medication use, and daytime dysfunction, as well as a global score. A global PSQI score  $> 5$  indicates poor sleep. In the current study, we assessed the relationship between brain A $\beta$  burden and sleep latency (reported in minutes), sleep duration (reported in hours), and PSQI-derived measures of sleep disturbance, daytime dysfunction, sleep efficiency, and the global PSQI score.

### Amyloid Imaging

A $\beta$  imaging was performed using three different tracers: <sup>11</sup>C-Pittsburgh Compound B (PiB), <sup>18</sup>F-flutemetamol (FLUTE)

and <sup>18</sup>F-florbetapir (FBP). Sixty-eight participants underwent imaging with PiB, 58 with FLUTE, and 58 with FBP. PET methodology has previously been described in detail.<sup>21–23</sup> Briefly, a 30-min acquisition was performed 40 min postinjection of PiB, whereas a 20-min acquisition was performed 50 min postinjection of FBP and 90 min postinjection of FLUTE. For semiquantitative analysis, a volume of interest template was applied to the summed and spatially normalised PET images in order to calculate a standardized uptake value (SUV). Images were then scaled to the SUV of each tracer's recommended reference region to generate a tissue ratio termed SUV ratio (SUVR). For PiB, the cerebellar cortex was used as the reference region, whereas the whole cerebellum and the pons were used as reference regions for FBP and FLUTE, respectively. Global SUVR was calculated using the mean SUVR in the frontal, superior parietal, lateral temporal, occipital, and anterior and posterior cingulate regions. Previous work, from our group, applied a linear regression transformation to generate FBP and FLUTE SUVR in PiB-like SUVR units. This transformation gave rise to the "Before the Centiloid Kernel Transformation" (BeCKeT) scale, allowing the combination of results obtained with different A $\beta$  tracers to yield a single continuous variable.<sup>24</sup>

### Covariates

Participants provided demographic data and medical history via the completion of a questionnaire at their AIBL study assessment closest to their PET scan. At the study visit, participants also undertook a comprehensive neuropsychological assessment, completed lifestyle questionnaires and provided a fasted blood sample. Data from this visit were used to classify participants into cognitively healthy, MCI and AD participants: data from cognitively healthy participants were used in the current analysis. All participants completed the short-form Geriatric Depression Scale (GDS).<sup>25</sup> DNA was isolated from whole blood samples, and *APOE* genotype was determined through polymerase chain reaction amplification and restriction enzyme digestions, or through TaqMan genotyping assays (Life Technologies, Carlsbad, CA) for rs7412 (assay ID: C\_\_\_904973\_10) and rs429358 (assay ID: C\_\_\_3084793\_20), as previously described.<sup>26</sup>

### Statistical Analysis

All statistical analyses were performed using SPSS version 22 (IBM Corporation, Armonk, NY). For all analyses, significance is indicated by  $P < 0.05$ . To evaluate any differences in demographic and medical history data between *APOE*  $\epsilon$ 4 carriers and noncarriers, *t*-tests (for continuous variables) and chi-square analyses (for categorical variables) were performed.

A series of moderation models was used to examine the relationship between sleep factors and brain A $\beta$  burden, and the effect of *APOE*  $\epsilon$ 4 allele carriage on these relationships was assessed, using Hayes'<sup>27</sup> simple moderation models. Bootstrapping analysis (5,000 bootstrap samples) was used to calculate 95% bias corrected and accelerated confidence intervals. Separate models were used for each sleep variable, with the sleep factor entered as an independent variable and A $\beta$  SUVR as

**Table 1**—Association between sleep variables and beta-amyloid burden (continuous standardized uptake value ratio), results from regression models.

Sleep Variable	B (SE)	Sig.	R <sup>2</sup>	Sig.	R <sup>2</sup> change*
Model summary: PSQI total			0.124	0.001	
Age	0.014 (0.004)	< 0.001			
BMI	0.009 (0.005)	0.10			
CVD risk	-0.040 (0.036)	0.27			
GDS	-0.013 (0.015)	0.39			
PSQI total	-0.001 (0.009)	0.98			
APOE ε4	0.264 (0.114)	0.02			
PSQI total*APOE ε4	-0.018 (0.021)	0.40			0.003
Model summary: sleep disturbances			0.115	0.003	
Age	0.014 (0.004)	< 0.001			
BMI	0.008 (0.005)	0.15			
CVD risk	-0.033 (0.037)	0.37			
GDS	-0.016 (0.015)	0.29			
Sleep disturbances	-0.013 (0.047)	0.78			
APOE ε4	0.115 (0.163)	0.48			
Sleep disturbances*APOE ε4	0.042 (0.103)	0.68			0.001
Model summary: daytime dysfunction			0.121	0.002	
Age	0.015 (0.004)	< 0.001			
BMI	0.008 (0.005)	0.11			
CVD risk	-0.042 (0.038)	0.26			
GDS	-0.012 (0.016)	0.45			
Daytime dysfunction	0.001 (0.042)	0.99			
APOE ε4	0.243 (0.081)	0.003			
Daytime dysfunction*APOE ε4	-0.081 (0.079)	0.31			0.005
Model summary: sleep efficiency			0.133	< 0.001	
Age	0.015 (0.004)	< 0.001			
BMI	0.009 (0.005)	0.11			
CVD risk	-0.035 (0.036)	0.33			
GDS	-0.016 (0.015)	0.28			
Sleep efficiency	-0.022 (0.025)	0.37			
APOE ε4	0.211 (0.066)	0.002			
Sleep efficiency*APOE ε4	-0.044 (0.056)	0.43			0.003
Model summary: sleep latency			0.151	< 0.001	
Age	0.013 (0.004)	< 0.001			
BMI	0.007 (0.005)	0.17			
CVD risk	-0.038 (0.036)	0.29			
GDS	-0.018 (0.015)	0.22			
Sleep latency (min)	0.003 (0.001)	0.02			
APOE ε4	0.150 (0.079)	0.06			
Sleep latency*APOE ε4	0.003 (0.004)	0.51			0.002
Model summary: sleep duration			0.121	0.002	
Age	0.014 (0.004)	< 0.001			
BMI	0.008 (0.005)	0.11			
CVD risk	-0.036 (0.036)	0.32			
GDS	-0.018 (0.015)	0.23			
Sleep duration (h)	-0.004 (0.021)	0.85			
APOE ε4	-0.187 (0.310)	0.55			
Sleep duration*APOE ε4	0.050 (0.042)	0.24			0.007

\*R<sup>2</sup> change is based on the addition of the [sleep variable]\*APOE ε4 interaction term to the model. APOE, apolipoprotein E; BMI, body mass index; CVD, cardiovascular disease; GDS, Geriatric Depression Scale; PSQI, Pittsburgh Sleep Quality Index.

the dependent variable (Table 1). Age, body mass index (BMI), GDS score, and medical history of cardiovascular disease (CVD) were entered into the models as covariates, and APOE ε4 allele carriage (carrier = 1/noncarrier = 0) was entered as the moderator.

## RESULTS

Descriptive statistics of demographic and medical history variables are presented in Table 2. Forty-two participants were APOE ε4 allele carriers; five of whom were homozygous for ε4. APOE ε4 allele carriers had significantly higher

**Table 2**—Cohort descriptive statistics for the total sample and after stratification by Apolipoprotein E  $\epsilon 4$  allele carriage.

Variable	Whole Cohort (n = 184)	APOE $\epsilon 4$ - (n = 142)	APOE $\epsilon 4$ + (n = 42)
Age at PET scan (y)	75.5 $\pm$ 6.1	75.9 $\pm$ 6.3	74.0 $\pm$ 5.5
Time between PSQI and PET scan (d)	139.7 $\pm$ 336.4	123 $\pm$ 360	195 $\pm$ 233
Female, % (n)	58.7 (108)	59.2 (84)	57.14 (24)
YOE > 12 y, % (n)	61.4 (113)	62 (88)	59.5 (25)
A $\beta$ burden (SUVR)	1.27 $\pm$ 0.31	1.24 $\pm$ 0.28	1.38 $\pm$ 0.36*
MMSE	28.9 $\pm$ 1.3	28.9 $\pm$ 1.3	28.7 $\pm$ 1.3
Body mass index (kg/m <sup>2</sup> )	26.4 $\pm$ 4.4	26.4 $\pm$ 4.2	26.4 $\pm$ 4.9
GDS score	1.2 $\pm$ 1.5	1.1 $\pm$ 1.4	1.4 $\pm$ 1.6
% (n) good sleepers <sup>a</sup>	59 (109)	43 (60)	31 (13)
PSQI total	5.2 $\pm$ 2.8	5.3 $\pm$ 2.9	4.7 $\pm$ 2.4
Sleep latency (m)	16.4 $\pm$ 16.4	17.2 $\pm$ 17.6	13.8 $\pm$ 11.2
Sleep duration (h)	7.1 $\pm$ 1.2	7.0 $\pm$ 1.2	7.4 $\pm$ 1.3

\*P < 0.01. All results presented as mean  $\pm$  standard deviation, unless otherwise noted. <sup>a</sup> Good sleepers determined by a score of 5 or less on the PSQI. APOE, apolipoprotein E; A $\beta$ , beta-amyloid; GDS, Geriatric Depression Scale; kg/m<sup>2</sup>, kilograms per metre squared; MMSE, Mini-Mental State Examination; PET, positron emission tomography; PSQI, Pittsburgh Sleep Quality Index; SUVR, standardized uptake value ratio; YOE, years of education.

levels of brain A $\beta$  than noncarriers,  $t(182) = 2.72$ ,  $P < 0.01$ ,  $d_{Cohen} = 0.47$ .

Regression analyses (Table 1) revealed that longer sleep latency was associated with higher A $\beta$  burden ( $B = 0.003$  [SE: 0.001],  $P = 0.02$ ). There were no other significant associations between the sleep quality variables and brain A $\beta$  burden. Furthermore, no significant interactions between APOE  $\epsilon 4$  carriage and sleep quality variables were observed.

## DISCUSSION

This study examined the cross-sectional relationship between self-reported sleep quality factors and levels of A $\beta$  brain deposition determined by PET. After adjustment for potential confounding variables, longer sleep latency was associated with higher brain A $\beta$  burden. Other factors of sleep quality such as duration, disturbance, efficiency, and daytime dysfunction were not associated with A $\beta$  burden. We also examined the moderating effect of APOE  $\epsilon 4$  allele carriage on the relationship between sleep and A $\beta$  deposition; no moderating effects were found.

This study is the first to report an association between sleep latency (time taken to fall asleep) and brain A $\beta$  deposition. Our finding supports those previously reported by Blackwell and colleagues<sup>28</sup> in which objectively measured, longer sleep latency was associated with increased risk of cognitive impairment in a group of older women; with a 0.8% decline observed on the Mini-Mental State Examination for every additional 30 min in sleep latency. Nevertheless, our findings are in contrast to a previous study investigating the cross-sectional relationship between sleep and A $\beta$  deposition. Spira and colleagues<sup>12</sup> reported no significant association between “trouble falling asleep” and brain A $\beta$  levels. It is possible, however, that differing definitions of sleep latency, between the current study and that of Spira et al., may have contributed to the disparate findings. Ju and colleagues,<sup>11</sup> who reported significant associations between CSF A $\beta$  and actigraphy-measured total sleep quality and frequent napping, also collected a measure of sleep

latency. However, this latter measure was not included in their final analysis. Similarly, Sprecher et al.<sup>14</sup> collected data on sleep latency, but combined this measure with other sleep factors to calculate a sleep disturbance score: thus, the individual contribution of sleep latency to A $\beta$  deposition was not reported. Our cross-sectional findings indicate that an increase in sleep latency of 1 min would be associated with a 0.003 increase in SUVR. To put this into context, estimates of brain A $\beta$  accumulation from Villemagne et al.<sup>29</sup> suggest a 0.043 annual increase in brain A $\beta$  in known cognitively healthy “A $\beta$  accumulators.” Thus, our findings suggest a 30-min longer sleep latency would translate to an equivalent of 2 y of brain A $\beta$  accumulation. However, such a finding should be interpreted with caution, particularly when considering our lack of information regarding causal direction. Indeed, a relationship between longer sleep latency and higher levels of brain A $\beta$  burden may provide support to previous animal data suggesting A $\beta$  deposition contributes both to a circadian rhythm delay and decrease in amplitude, as has been shown in APP/PS1 mouse models of AD.<sup>30</sup> Nevertheless, recent literature supports a bidirectional relationship between sleep and A $\beta$ ,<sup>31</sup> and as mentioned previously, the causal direction of our cross-sectional analysis cannot be inferred.

Although we demonstrated an association between sleep latency and brain A $\beta$ , contrary to previous reports, no other sleep quality factor (i.e., disturbances, efficiency, daytime dysfunction, and duration) was associated with brain A $\beta$  levels in our cohort. Ju and colleagues<sup>11</sup> reported that actigraphy-measured sleep efficiency was poorer and frequent napping was more evident in a group with lower CSF A $\beta_{1-42}$  (an indicator of higher brain A $\beta$  levels), compared to those with normal A $\beta$  levels. It is important to note that the cohort studied by Ju et al. was significantly younger than the current study (65 y versus 75 y), and different methods of sleep assessment and A $\beta$  measurement were used. Although sleep latency is a contributor to sleep efficiency, it is likely that actigraphy-derived sleep quality measures are different from those calculated



using self-report questionnaires. Spira et al.<sup>12</sup> reported an association between suboptimal sleep duration and quality and greater A $\beta$  burden. The demographic aspects (age, sex, *APOE*  $\epsilon$ 4 frequency, BMI) of the current cohort are very similar to the cohort studied by Spira et al.<sup>12</sup>; thus, other factors, such as differing questionnaires used for sleep assessment, may have contributed to these discrepant findings. Furthermore, it is possible that our cohort had lower levels of A $\beta$  deposition. Only 17% of our cohort met criteria for “high A $\beta$ ,” as defined by an SUVR/BeCKeT greater than 1.5. By contrast, Spira and colleagues reported that 34% of their cohort had “elevated A $\beta$  levels”: albeit, “cut-off” used to assign individuals to the “elevated A $\beta$  levels” group was not reported. It is conceivable that the proportion of individuals on the AD pathology pathway may explain the differing results of these two studies. A cross-sectional pilot study conducted by the same authors, investigating the association between objectively measured sleep and brain A $\beta$  in older adults with normal cognition or MCI, suggested no observable association between sleep duration or fragmentation and A $\beta$  deposition. Nevertheless, greater sleep disordered breathing severity was associated with greater A $\beta$  load among the MCI participants.<sup>18</sup> Finally, sample size is an important consideration when interpreting these results, with only 13 individuals studied (8 “normal”; 5 MCI). As the current and all previous human studies of sleep and A $\beta$  have been cross-sectional, future longitudinal studies are essential to evaluate the nature of this association, and to more confidently identify which sleep factors are affected by and/or are affecting brain A $\beta$  burden.

Previous studies suggest that the effect of lifestyle factors (i.e., physical activity and diet) on brain health may be moderated by carriage of an *APOE*  $\epsilon$ 4 allele.<sup>15,16</sup> Furthermore, a significant effect of the interaction between *APOE*  $\epsilon$ 4 allele carriage and sleep disordered breathing on A $\beta$  deposition has been reported.<sup>18,32</sup> To our knowledge, no previous studies have investigated the effect of *APOE*  $\epsilon$ 4 allele carriage on the association between sleep quality factors and brain A $\beta$  levels. In the current study, we hypothesized that any observed associations between sleep quality factors and A $\beta$  deposition would be more pronounced in carriers of the *APOE*  $\epsilon$ 4 allele. Despite a significant difference between mean SUVR of carriers and noncarriers of the  $\epsilon$ 4 allele, we found no interaction between any of the investigated sleep quality factors and *APOE*  $\epsilon$ 4 carriage on brain A $\beta$  burden. Further, in our cohort there were only five individuals homozygous for the *APOE*  $\epsilon$ 4 allele, which thereby precluded investigations of a dose-dependent allelic response. Ideally, studies of larger cohorts should investigate this potential association further.

The current study reports a novel association between sleep latency and brain A $\beta$ . Nevertheless, the study was not without limitations. The A $\beta$  PET imaging and sleep quality assessment were completed on separate days; however, A $\beta$  deposition is a relatively slow process, occurring over many years,<sup>29</sup> and sleep habits are usually chronic, particularly in the age group studied. Measurements of sleep quality were evaluated using self-report; the PSQI has demonstrated internal consistent reliability and construct validity,<sup>33</sup> however, and studies of the association between PSQI and objective measures of sleep remains equivocal. Thus, future studies should ideally

utilize actigraphy and/or polysomnography to determine the relationship between objective sleep measures and A $\beta$  burden in moderate to large sized cohorts. Furthermore, this exploratory cross-sectional study does not allow for the inference of causal relationships. Analyses of longitudinal changes in sleep and A $\beta$  would be required to further understand the nature of this complex association.

In summary, our findings report a novel association between sleep latency and brain A $\beta$  burden that was not moderated by carriage of the *APOE*  $\epsilon$ 4 allele. In this cross-sectional study of high-functioning, cognitively healthy older adults it was not possible to determine the validity of the hypothesized bidirectional relationship between sleep and A $\beta$ . Future longitudinal studies utilizing objective measures of sleep are essential in this area to understand the relationship between sleep quality factors and A $\beta$  deposition.

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