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1 **The river-groundwater interface as a hotspot for arsenic release**

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11

12

13 **Geogenic groundwater arsenic (As) contamination is pervasive in many aquifers in South and**
14 **Southeast Asia. It is feared that recent increases in groundwater abstractions could induce the**
15 **migration of high-As groundwaters into previously As-safe aquifers. Here we study an As-**
16 **contaminated aquifer in Van Phuc, Vietnam, located ~10 km SE of Hanoi on the banks of the**
17 **Red River, which is affected by large-scale groundwater abstraction. We use numerical model**
18 **simulations to integrate groundwater flow and biogeochemical reaction processes at the**
19 **aquifer scale, constrained by detailed hydraulic, environmental tracer, hydrochemical and**
20 **mineralogical data. Our simulations provide a mechanistic reconstruction of the**
21 **anthropogenically induced spatio-temporal variations in groundwater flow and**
22 **biogeochemical dynamics and determine the evolution of migration rate and mass balance of**
23 **As over several decades. We find that the river bed aquifer interface constitutes a**
24 **biogeochemical reaction hotspot that acts as the main source of elevated As concentrations.**
25 **We show that sustained As release relies on regular replenishment of river muds rich in labile**
26 **organic matter and reactive Fe-oxides and that pumping-induced groundwater flow may**
27 **facilitate As migration over distances of several kilometres into adjacent aquifers.**

28 Geogenic groundwater arsenic (As) contamination is a problem of global significance, with
29 noteworthy occurrences in large parts of the alluvial and deltaic aquifers in South and Southeast
30 (S/SE) Asia^{1,2}. Most regional reconnaissance studies show a relation of groundwater As
31 concentrations with depth¹ and sediment age³. Deeper (>50m) and therefore commonly 'older'
32 aquifers show significantly lower dissolved As concentrations, while groundwaters in contact
33 with shallower (<50m), commonly 'younger' (Holocene) sediments often exceed the World
34 Health Organisation (WHO) guideline value of 10 µg/L, sometimes by a factor of 100.
35 Consequently, targeting low-As aquifers has become the key mitigation strategy for reducing

36 human As exposure. However, fears have emerged that As rich groundwater from overlying
37 aquifers could be drawn into currently unaffected aquifers^{2,4-9}.

38 The presence of As in Holocene groundwaters is generally assumed to originate from the recent,
39 As-bearing deposition of sediments that have been transported downstream by rivers draining
40 orogenies such as the Himalayas^{1,10}. Microbially-driven reductive dissolution of Fe(III)-oxides by
41 natural organic carbon is assumed to be the primary mechanism for the release of As from these
42 deposited alluvial river flood plain and delta sediments. While there is strong evidence that the
43 relative abundance and reactivity of Fe(III)-oxides and organic carbon plays a key role in
44 controlling As release¹¹, the mechanistic understanding of As distribution patterns within the
45 groundwater remains fragmented and poorly constrained^{1,7}. This includes uncertainty about the
46 relative importance of different organic matter (OM) sources¹, such as buried sediment-bound
47 organic matter (SOM)^{12,13}, dissolved organic carbon (DOC) inputs to aquifers via wetlands¹⁴⁻¹⁶,
48 irrigation and its associated recharge of DOC, as well as buried peat layers^{12,17}. Furthermore,
49 groundwater As concentrations seldom follow continuous gradients but often show steep
50 variations over small distances with no apparent systematic relationship between solid-phase
51 and dissolved As concentrations^{4,12,18-20}. Clearly, As partitioning between the solid and dissolved
52 phases could also be influenced by groundwater flow processes that may impact the evolution of
53 As concentration patterns much stronger than chemical or microbial processes. These and other
54 knowledge gaps hinder the development of a mechanistic understanding of the local-scale
55 controls on As liberation and migration; however, this can potentially be remedied with a holistic
56 exploration of key hydro(bio)geochemical processes through numerical modelling. In this study,
57 we integrate detailed field observations (Tables 2 and 3) from a large number of earlier
58 studies^{2,4,7,10,21-23} to guide the development, and test the plausibility of conceptual and numerical

59 models of As mobilisation and transport, which in turn exemplifies the primary controls of As
60 plume formation.

61

62 **Large-scale groundwater abstraction induces river water intrusion**

63 For more than 50 years, Hanoi's increasing groundwater demand has fundamentally changed the
64 regional groundwater flow system^{2,7}. Under undisturbed conditions, recharge of the Holocene
65 aquifers occurred mainly through the low-permeable clay and silty overburden at relatively low
66 rates¹⁴, while net annual groundwater flow was directed towards the Red River. However,
67 induced by successively increasing abstraction, hydraulic gradients at the study site have
68 reversed and transformed the Red River locally from a net gaining to a net losing river⁷.
69 Groundwater flow at Van Phuc is now consistently directed in a NW direction towards the cone
70 of depression beneath Hanoi^{4,7,10} (Fig. 1).

71 Based on the available hydrogeological, hydraulic and $^3\text{H}/^3\text{He}_{\text{Tri}}$ data, we used transient two-
72 dimensional numerical simulations of groundwater flow and solute transport to reconstruct the
73 site's groundwater dynamics over a 60-year period. Originating at the river bank and aligned
74 along the main groundwater flow direction, the model successfully mimics the temporally and
75 spatially varying groundwater flow field between the approximate start of the flow reversal (ca.
76 1950) and today (Fig. 1,2 and 3). The results show that groundwater in the Holocene aquifer is
77 generally of short residence time, ranging from 0 to ~45 years, except for waters at greater depth
78 (>40m, Fig. 4 and 5). In contrast, the groundwater in the zone occupied by Pleistocene sediments
79 contains neither ^3H or $^3\text{He}_{\text{Tri}}$, implying that the water infiltrated before nuclear bomb testing, i.e.,
80 >55 years ago (Fig. 1 and 3). In agreement with van Geen et al. (2013)⁷ the ^3H - $^3\text{He}_{\text{Tri}}$ -constrained

81 simulations suggest that groundwater flow velocities in the Holocene aquifer increased from
82 <1m/yr in 1950 to an average of 40m/yr to date.

83

84 **River mud deposits as biogeochemical reaction hotspot**

85 Building on the groundwater flow simulations, multiple plausible conceptual and numerical
86 model variants for the site's reactive transport processes were investigated (CM1-CM6, Table 1
87 and Fig. 2, 4 and 5). Variant CM6 included the most comprehensive range of biogeochemical
88 reactions and the entire range of potential organic carbon sources within the investigated
89 system. This scenario served as the basis for an inversion process, which included the joint
90 calibration of flow, solute and reactive transport parameters, followed by a linearised
91 uncertainty assessment for all model parameters (see methods, Table S15 and S16). This
92 procedure revealed independently which processes contributed most likely to the field-observed
93 flow and geochemical patterns and isolated the most plausible conceptual model. This verifiable
94 procedure resulted in a firm mechanistic understanding of today's observed groundwater As
95 distribution pattern within the Holocene aquifer and its evolution over the past 60 years (Fig. 3
96 to 5).

97

98 The core of the biogeochemical reaction network that was found to best describe the
99 observations encompasses (i) OM mineralisation under aerobic, denitrifying, sulphate-reducing
100 and Fe-reducing conditions, (ii) precipitation as well as reductive dissolution of Fe(III)-oxides, (iii)
101 calcite dissolution and precipitation and (iv) surface complexation reactions of As with Fe(III)-
102 oxides. The last process is not only important to explain As release and immobilisation, but also
103 the time-varying spatial distribution of sorbed and dissolved As mass within the aquifer. The

104 inversion process revealed further that organic carbon sources had a clearly different reactivity
105 depending on their lithological association. SOM reactivity in the Holocene sands was revealed to
106 be negligible, while reactivities were distinctively higher in the clay/silt deposits (~ 0.06 mM C/yr)
107 and higher again by a factor of ~ 30 in the river muds.

108

109 Plotting the dissolved concentrations of key reactive species as a function of groundwater
110 residence time calculated from ^3H and $^3\text{He}_{\text{tri}}$ concentrations (Fig. 4) and as a function of distance
111 from the river (Fig. SI7), summarises the trends of simulated and observed hydrochemical
112 changes. It is evident that the steepest concentration gradients occur in proximity of the river
113 bank, i.e., within the recently recharged groundwaters. Here, the dissolved oxidants O_2 , NO_3^- and
114 SO_4^{2-} that are contained in the intruding Red River water, together with Fe(III)-oxides, get
115 consumed rapidly alongside significant increases in the concentrations of Ca, HCO_3^- , As_{tot} , Fe^{2+} ,
116 NH_4^+ and P. Besides the concentration changes that are directly associated with the primary
117 redox reactions, calcite and silica dissolution also proceed such that overall the electrical
118 conductivity (EC) rapidly increases from ≈ 300 $\mu\text{S}/\text{cm}$ (river) to >1000 $\mu\text{S}/\text{cm}$ in the groundwater.
119 Thereafter, data scatter around the resultant elevated concentrations mark groundwater of
120 longer residence time that infiltrated the Holocene aquifer between 10 and 50 years ago (Fig. 4).
121 Superimposed on this trend are local concentration variations induced by the mineralisation of
122 OM hosted in the silt/clay deposits capping the Holocene sands and the diffusional influx of
123 affected solutes (e.g., HCO_3^- and Fe_{tot} , Fig. 4).

124 The observed and simulated steep concentration gradients in proximity to the river bank strongly
125 suggest that the river mud deposits act as a biogeochemical reaction hotspot in which the
126 regular deposition of not only As-containing Fe(III)-oxides but also of highly reactive organic

127 carbon plays a key role. Induced by the rapid OM degradation, reductive dissolution of Fe(III)-
128 oxides leads to a successive loss of sorption sites, which fuels sustained As release at and near
129 the river-groundwater interface to form the As plume that is now observed in the aquifer. In
130 contrast, slow infiltration of organic-rich water through the overlain clay and silt deposits
131 constitutes a relatively minor contribution to As release (Fig.2 and Table SI4). While these clay
132 and silt deposits contain elevated concentrations of OM (0.01-0.82 wt% at 0-25mbg²³) and
133 porewater with elevated concentrations of As_{tot}, Fe²⁺, NH₄⁺, HCO₃⁻ and P, consistent with those of
134 many As source zones, the water flux from these low-permeable deposits is too low to provide a
135 significant impact on the overall As mass flux in the Holocene aquifer (<5%).

136

137 Finally, our modelling results suggest that the SOM prevailing in the Holocene aquifer (Table SI4)
138 contributes only minimally to the As mass budget. If an elevated SOM reactivity in the Holocene
139 aquifer was assumed (model variant CM5), the steep concentration gradients near the river-
140 aquifer interface could not be reproduced. Instead, a steady and continuous increase in
141 concentrations occurred along the flow path (Fig. 4e). Therefore, *in-situ* As release by Fe(III)-
142 oxide reduction plays a minor role in the formation of the As plume compared to the
143 contribution from As release at the river-groundwater interface. This finding is consistent with
144 the unvaryingly low SOM concentrations found in the Holocene aquifer (<0.03 wt%, Fig. SI1).

145

146 Identifying the river-groundwater interface as a biogeochemical reaction hotspot is corroborated
147 by earlier observations in the region, including laboratory incubation experiments documenting
148 extensive As release from saturated near-surface sediments of the Mekong Delta but little As
149 release from deeper aquifer sediments¹⁶. The reactivity of native SOM was found to be

150 insufficient to fuel significant reductive dissolution of the native Fe(III)-oxides in the deeper clays
151 and aquifer sands. *In-situ* As release measurements within river muds along the Red River¹⁰ and
152 incubation experiments with Red River sediments from other sites²⁴ also support our finding.

153

154 **Arsenic plume dynamics**

155 Emerging from the As release hotspot at the riverbed, water enriched in As successively
156 displaced the ambient groundwater that prevailed in the fluvial sediments prior to 1950. The
157 most plausible conceptual/numerical model (CM6), which produced the closest match to
158 observed concentration patterns (Table SI5), suggests that the currently observed spatial
159 distribution of groundwater As can be linked to the successively increasing rates of river water
160 intrusion. Starting with the intrusion of river water into the Holocene aquifer, dissolved As
161 concentrations at the river-groundwater interface increased to >500 µg/L (Fig.3-5). The
162 simulations illustrate that the front of the As plume has migrated >1700 m over the last 60 years,
163 from the river-groundwater interface to its current position.

164

165 The ratio of the rates of river water infiltration and net As release from the hotspot, in
166 combination with the adsorption affinity of the Fe-oxides in the Holocene sands thereby governs
167 the total As plume mass and its front propagation with time. As release rates from the river muds
168 remain below our model-estimated As replenishment rate of 0.003 µM As/day until ~20 years
169 after commencement of pumping in Hanoi (Fig. SI11). In the subsequent years, however, with
170 advective velocities exceeding 8.8m/yr, As release started to surpass As replenishment,
171 subsequently resulting in a slow depletion of the river-mud As pool. At the end of the simulation
172 period, the net As release rate increased to ~0.017 µM As/day, with the overall As pool

173 diminishing to ~60% of its initial mass. The decreasing As pool will ultimately lead to an increased
174 dilution of the released As and decreasing dissolved As concentrations within the Holocene
175 aquifer, as observed for a study site in Nam Du, east of Hanoi²⁵. Our modelling results infer that
176 river mud replenishment is required to allow for a sustained As release over the entire simulation
177 period.

178

179 In addition to As release from river muds, *in-situ* release of As also emerged within the Holocene
180 sands as a consequence of river water intrusion. Interestingly, this release, while predominantly
181 linked to the displacement of As from Fe-hosted sorption sites, occurs in the absence of
182 excessive reductive dissolution of Fe-oxides. The differing hydrochemical characteristics of the
183 intruding river water, in particular the elevated phosphate concentrations that originate from
184 OM mineralisation at the groundwater-river interface, reduce the affinity for As sorption. This
185 decreased affinity causes the aqueous As plume mass to increase, and to spread at a faster rate
186 than if reductive dissolution of Fe-oxides would be the sole source of As. Simultaneously, but
187 spatially apart, released As is partially resorbed downgradient of the plume front (Fig. SI8 and
188 SI9). While As *in-situ* release initially provided a negligible contribution to the overall As plume
189 mass, its overall contribution increased over time to ~35% (Fig.2, Table SI4) as the infiltrating
190 river water occupied steadily increasing volumes of the Holocene aquifer, with the remainder
191 originating from river muds. The modelling results suggest an average As release of 0.1 $\mu\text{M}/\text{yr}$,
192 which compares well with experimentally determined rates of 0.02-0.35 $\mu\text{M}/\text{yr}$ for Holocene
193 sediments north of Hanoi³ and 0.18 $\mu\text{M}/\text{yr}$ for a site north-west of Hanoi³⁰ (Fig. SI12).

194

195 **Physico-chemical controls of arsenic release rates**

196 Despite the increase in river water intrusion over the last ~60 years, the OM degradation rate in
197 the river muds remained approximately constant (1.9 mM C /yr, Fig. SI11) due to sustained Fe-
198 reduction. The simulated average net As release rate within the river muds is in the range of 6
199 $\mu\text{M}/\text{yr}$. This model-estimated rate is in good agreement with the experimentally determined rate
200 of Stahl et al (2016)¹⁰, who measured net As release rates at the river-aquifer interface that
201 ranged between <0.15 and 55 $\mu\text{M}/\text{yr}$, while laboratory incubations demonstrated that As release
202 from river muds at other locations can reach rates of >500 $\mu\text{M}/\text{yr}$ ²⁴. These model-identified As
203 release rates need to be understood in the context of river geomorphology¹⁰. The upstream end
204 of our study site, i.e., the location where the river water intrusion occurs, is located within an
205 active depositional environment containing highly reactive OM resulting in a high net As release
206 rate (Fig.2). In contrast, aquifer sections located adjacent to erosional riverine environments
207 were shown to be dominated by older sediments of lower reactivity and net As release¹⁰.

208

209 Biogeochemical hotspots are defined as “*areas that show disproportionately high reaction rates*
210 *relative to the surrounding area*”²⁶. They emerge (i) where a convergence of flowpaths and
211 mixing of reactants occurs or (ii) at terrestrial-aquatic interfaces where hydrologic flowpaths
212 carry a reactant into an adjacent zone where a (immobile) substrate resides²⁶. In our case, the
213 large-scale groundwater abstractions of Hanoi’s waterworks have altered hydrologic flowpaths
214 by inducing an advective flux of surface water across the bed of the Red River into the Holocene
215 aquifer, creating a new hotspot for As release. In the context of carbon and nitrogen cycling, river
216 muds have previously been recognised as important biogeochemical reaction hotspots where
217 terrestrial-aquatic interfaces are characterised by high biogeochemical turnover rates²⁶⁻³¹.

218

219 Our study shows and quantifies, that the formation of As hotspots is facilitated by (i) the
220 continuous co-deposition of labile organic carbon and As-hosting reactive Fe-oxides in
221 depositional zones along the river bank and (ii) an advective flux that draws As-enriched
222 porewater at the river-groundwater interface deeper into the aquifer. Substantial As release,
223 however, only occurs where the flux of soluble electron acceptors supplied by the river water is
224 consumed rapidly enough by the labile OM to allow for the occurrence of Fe-reducing conditions.
225 Similar hotspots are also expected to develop at the interface between aquifers and geomorphic
226 features such as wetlands^{14,16}, ponds and irrigation channels¹⁵, where labile material is
227 replenished, effective hydrological pathways exist, and the time-scales of electron donor and
228 acceptor consumption favour the establishment of Fe-reducing conditions. Otherwise, features
229 such as buried peat layers or organic-rich clays may not act as hotspots if their low hydraulic
230 conductivity prevents the rapid delivery of reactants. In such cases, mass fluxes into and from
231 these features remain low. For the Van Phuc site, this is illustrated by the model-based estimate
232 that the organic-rich clay layer, which overlies the Holocene aquifer, has contributed <5% to the
233 overall As plume mass (Fig.2 and Table SI4).

234

235 The complex hydrogeochemical interactions that can be addressed by our numerical framework
236 are illustrated in Fig.6. It summarises the prerequisites and the varying influences of these key
237 factors on the emergence of As hotspots as well as the geomorphological controls on their life
238 time. The modelling results show that the sensitivity of As plume formation and the release
239 dynamics of As is a function of four key factors, (i) OM abundance/reactivity (ii) Fe-oxide
240 abundance/reactivity (iii) advective flow rates, and (iv) river mud deposition rate. It is also
241 suggested that in the absence of a sufficiently high river mud replenishment rate only an As 'hot

242 moment²⁶ develops with an initial As release peak followed by successively decreasing As
243 concentrations at the river groundwater interface.

244

245 Overlooking the critical role of flow and solute transport explains why many As affected areas
246 have failed to exhibit a relationship between sediment-bound and dissolved As concentrations.

247 That is why hydraulic, hydrological and biogeochemical processes must be explicitly considered
248 and integrated to explain the variability of As concentrations within and between aquifers. For

249 the investigated site, we have shown how integrated flow and reactive transport modelling has
250 facilitated a more precise, mechanistic understanding of the processes that control the dynamics

251 of As concentration in space and time. Such a mechanistic understanding and its translation into
252 process-based models to frame As migration rates is crucial for the development of safe and

253 sustainable water management strategies.

254

255

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333

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339 work at the field site. Pablo Ortega prepared Figure 1.

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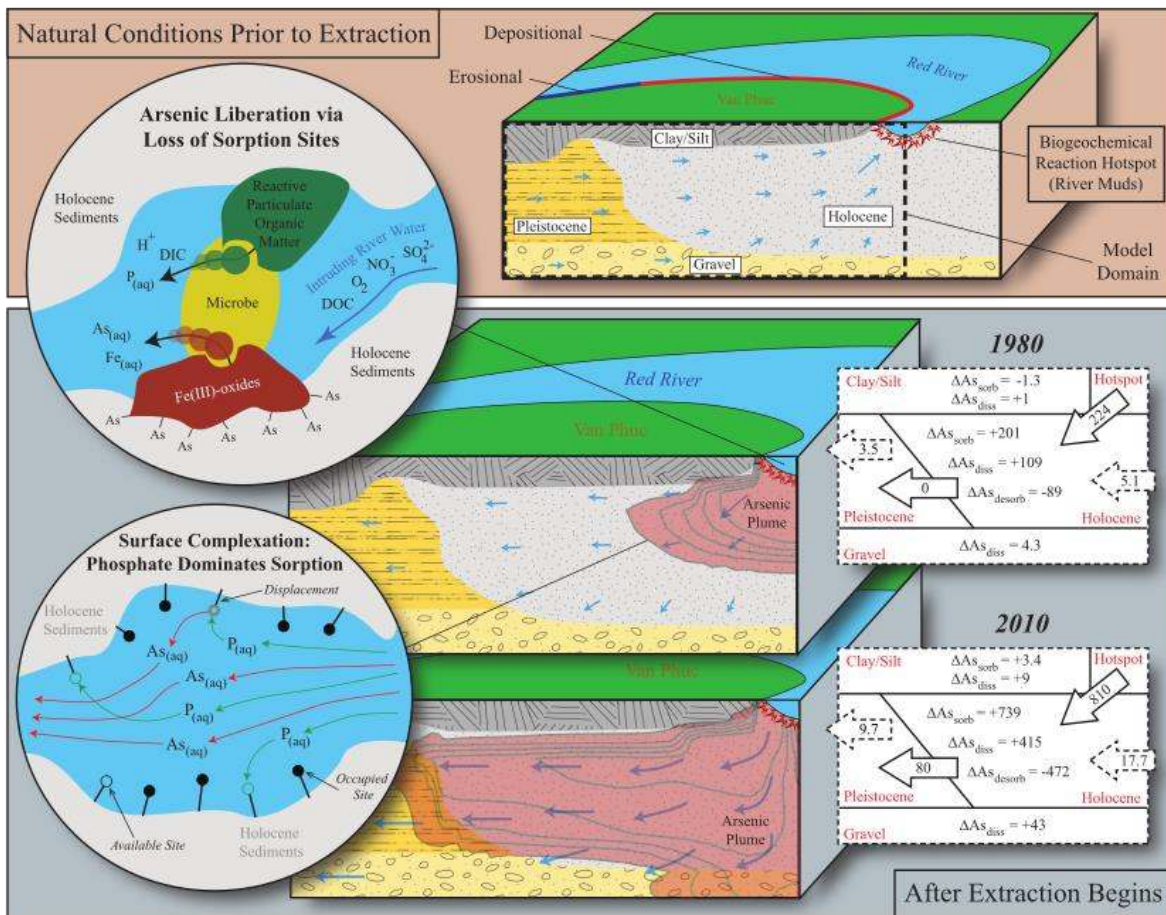
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346 **Author Contributions**

347 R.K., M.B., I.W. and H.P conceived the study. M.B and R.K. provided hydrochemical and tracer
348 data and contributed to the groundwater age, hydraulic and hydrogeochemical interpretation.
349 I.W and H.P carried out the flow and reactive transport modelling and J.S., M.B., R.K, I.W and H.P
350 contributed to the development of the geochemical conceptual model underpinning the
351 numerical model. A.S. undertook flow and solute transport model calibration and contributed to
352 model uncertainty analysis. All authors contributed to writing and editing the paper.

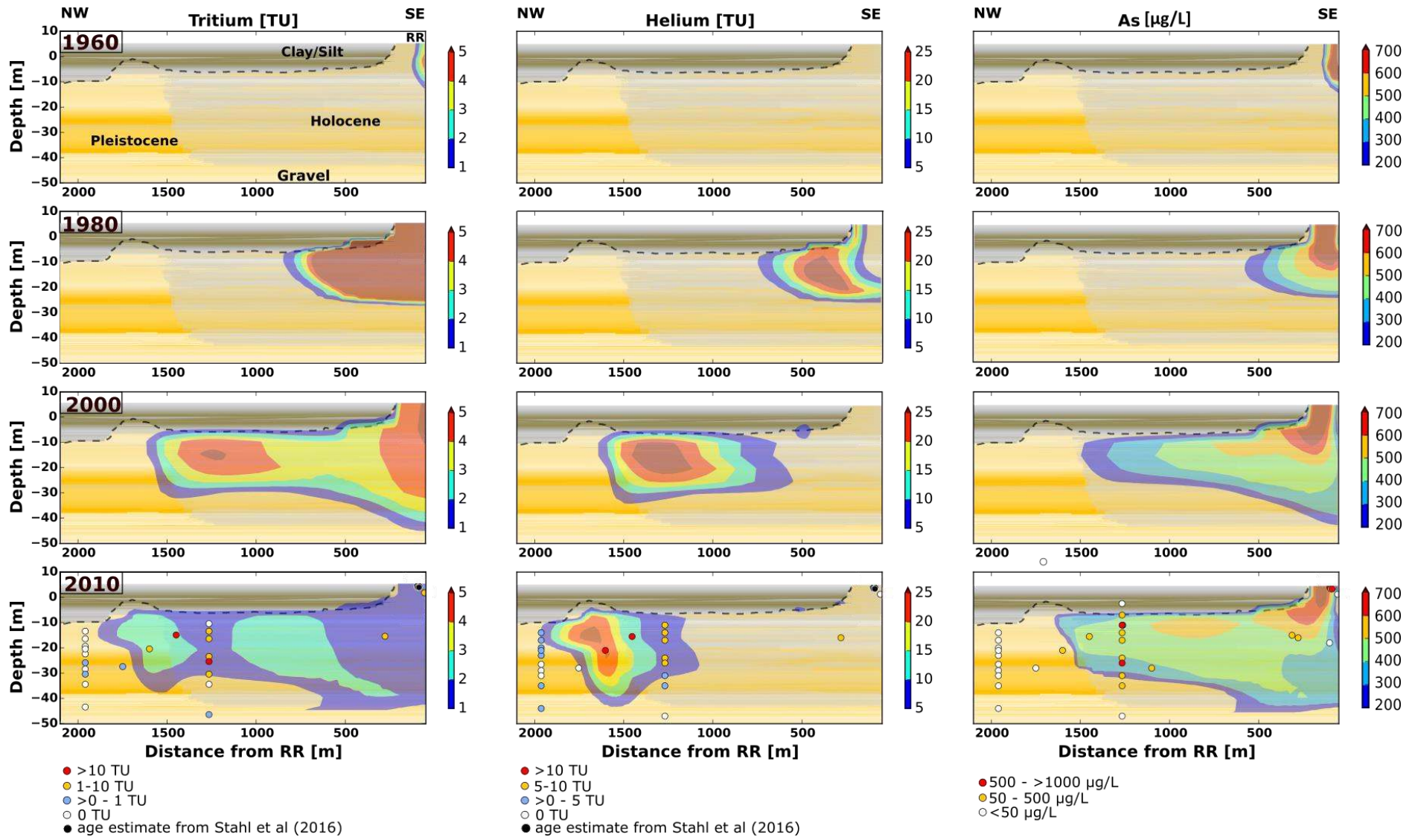


2 Fig. 1: Field site, observation bores and approximate distribution of Holocene and
 3 Pleistocene sediments in the study area (reproduced after van Geen et al., 2013⁷). Water
 4 level contours assessed on the basis of hydraulic heads (head data from November 2006
 5 and June 2010) and main groundwater flow direction; groundwater ages inferred from ³H–
 6 ³He_{Tri} concentration data. Orange line: Location of modelled cross section.



8

9 Fig. 2: Conceptual model of arsenic plume evolution at Van Phuc. Successive increases
 10 in groundwater abstraction since the 1950s have induced a reversal of the natural
 11 groundwater flow direction and the influx of riverine water into the Holocene aquifer, giving
 12 rise to a hotspot for arsenic release. Sustained As release from the hotspot relies on a
 13 continuous co-deposition of labile organic carbon and As-hosting reactive Fe-oxides in
 14 depositional zones along the Red River. Advective fluxes draw the As-enriched porewater
 15 at the river-groundwater interface into the aquifer where an As plume is formed. The
 16 influx/outflux of As from river-muds and over aquifer boundaries are displayed for two time
 17 intervals as well as the resulting change in released (As_{diss}), adsorbed (As_{sorb}) and
 18 desorbed arsenic mass (As_{desorb}) for the Holocene sands; the gravel deposits and the
 19 clay/silt overburden. (further details in SI).



22 Fig. 3: Concentration of simulated ${}^3\text{H}-{}^3\text{He}_{\text{Tri}}$ [TU] and As_{tot} [$\mu\text{g}/\text{L}$] [1960 to 2010] along the cross-section from the Red River towards
23 the NW (observations -see Fig. 1 - as coloured dots showing observed concentrations). Simulations of groundwater flow and solute
24 transport, constrained by hydraulic and ${}^3\text{H}-{}^3\text{He}_{\text{Tri}}$ measurements, reconstruct the groundwater dynamics over a 60-year period
25 between the approximate start of pumping-induced flow reversal (ca. 1950) and today. Groundwaters in the Holocene aquifer are
26 generally 'young', (0 to ~45 yrs), while groundwaters in Pleistocene sediments contain broadly no ${}^3\text{H}/{}^3\text{He}_{\text{Tri}}$, suggesting water
27 infiltrated before the atmospheric bomb tests (i.e., >55 years). The currently observed pattern of dissolved As can be linked to the
28 successively increasing river water intrusion in response to Hanoi's growing water demand.

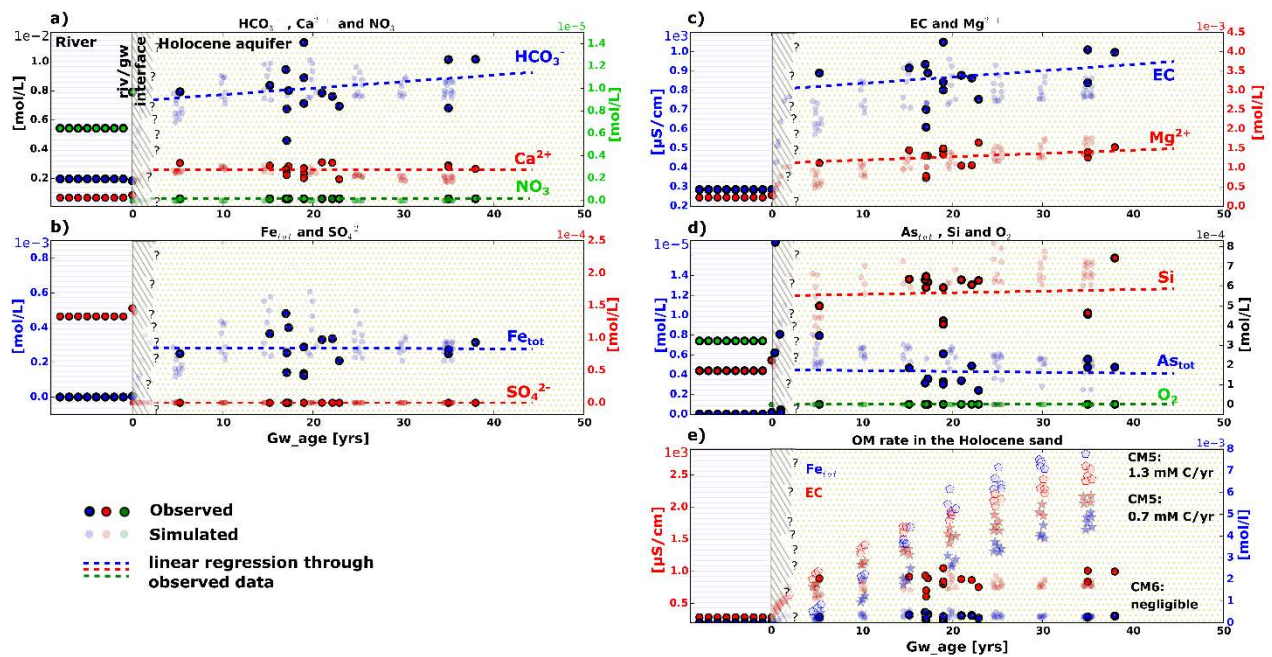


Fig. 4: Observed (see Stahl et al. 2016¹⁰ and Table SI1 and SI2) and simulated (CM6 model variant) concentrations vs apparent ³H–³He_{Tri} age (panels a-d) from river to Holocene groundwater; e) EC and Fe_{tot} under varying organic matter degradation rates within the Holocene sands (CM5 and CM6). Concentrations in [mol/L], EC in [μS/cm]. Simulated concentrations (faint dots) are shown for all model cells with ³H–³He_{Tri} ages of 5, 10, 15, 20, 25, 30 and 35 years, respectively within the depth range of 17-45m, consistent with the depth range of observation bores, resulting in a point cloud of simulated concentrations for each groundwater age group. Simulated concentrations in the river muds are based on model cells with ³H–³He_{Tri} ages <2 yrs.

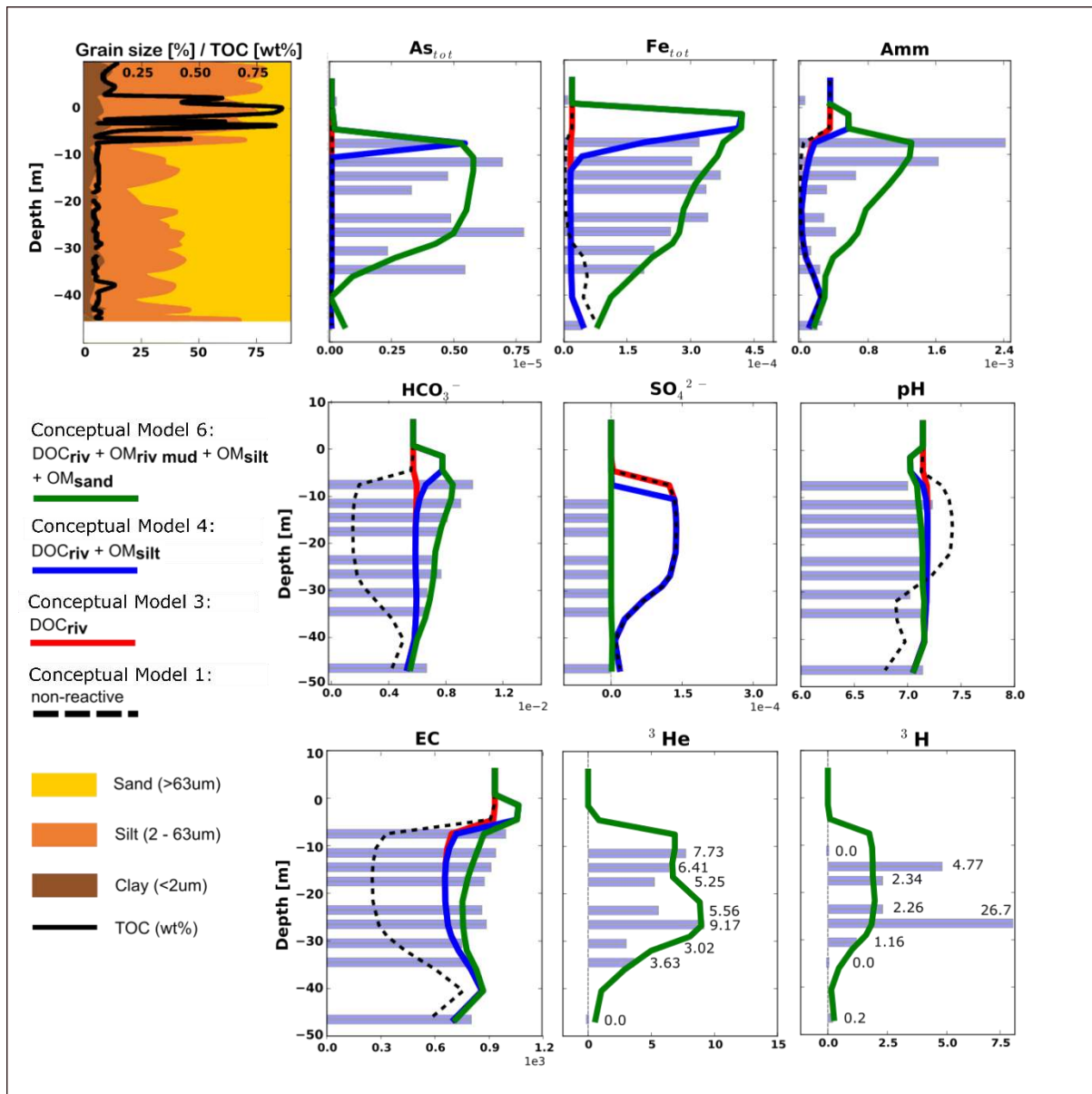


Fig. 5: Observed (blue bars) and simulated (coloured lines) depth profiles of EC [$\mu\text{S}/\text{cm}$], pH and redox sensitive ions [mol/L] at site VPNS-2 (see Fig. 1) in 2010. Observed and simulated ^3H - $^3\text{He}_{\text{Tri}}$ concentrations [TU] are also shown as well as grain size (0-100 %) and TOC distribution (0-1 wt%). Simulated data illustrate different organic matter (OM) source terms and their effect on concentration patterns (i) non-reactive: model scenario CM1; (ii) riverine OM (DOC_{riv}) source: CM3; (iii) DOC_{riv} and OM in silt/clay overburden (OM_{silt}): CM4; (iv) DOC_{riv} , OM_{silt} and sediment-OM in the river muds and Holocene sands ($\text{OM}_{\text{riv mud}}$; OM_{sand}): CM6.

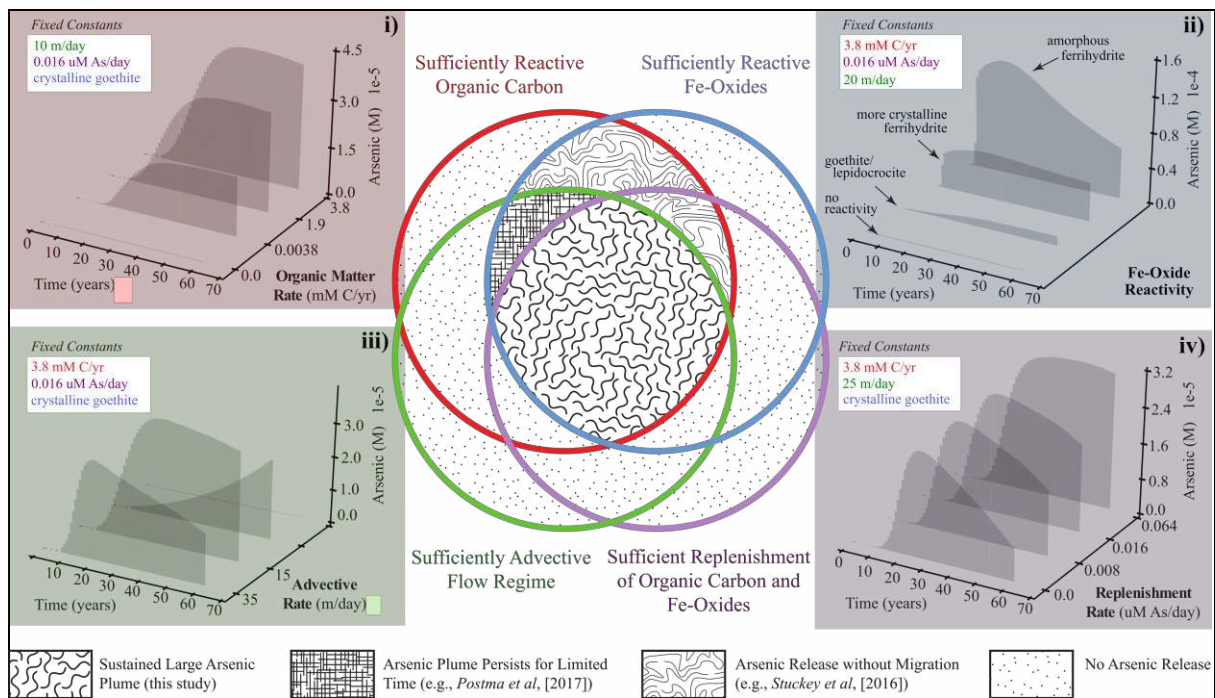


Fig. 6: Model-computed sensitivities of arsenic plume formation at biogeochemical reaction hotspots (BRH) highlighting that sustained arsenic plumes rely on the co-occurrence of (i) labile organic carbon; (ii) reactive As-hosting Fe-oxides; (iii) advection of As-enriched porewaters and (iv) a continuous replenishment of OC and As-hosting Fe-oxides. The ratio between advection and As release rates determines As levels. The joint occurrence or partial absence of (i)-(iv) controls the impact of typical arsenic sources, such as ponds and channels¹⁵, wetlands^{14,29}, buried peat layers^{4,12} and fractured organic-rich clay deposits^{14,30}. For example, where replenishment (iv) is absent, such as in non-depositional river sections²⁵, a BRHs occurs only temporarily (“hot moment”)²⁶. Static As-pollution occurs where advection (iii) is absent such as in clay-occupied sections at Van Phuc or low-conductivity aquifers below ponds¹⁶.

410 **METHODS**

411 **Field site**

412 The study site is located near the village of Van Phuc (10 km southeast of Hanoi, Vietnam)
413 where As pollution has been investigated since 2001. The general lithological, hydrological,
414 and geochemical characteristics of the site are well known from previous studies^{4,7,10,31,32-34}.

415 A special feature of Van Phuc is that advection of groundwater is induced by massive
416 groundwater withdrawal for the municipal water supply of Hanoi^{2,4,7}. On the other hand, the
417 site displays typical conditions of As-polluted Holocene and Pleistocene aquifers in S/SE Asia
418 with deposited sediments being of a similar origin and depositional environment as the
419 floodplain sediments along the Mekong, Ganges and Brahmaputra delta in Cambodia,
420 Bangladesh and West Bengal. The general stratigraphy is heterogeneous and marked by
421 intercalations of fine to coarse Holocene sands with a burial age of <5,000 years, which are
422 in lateral contact with Pleistocene sands, silts and gravels that were deposited >12,000 years
423 ago (van Geen et al., 2013⁷). These are overlain by a confining clay and silt layer 10 to 20 m
424 thick (Fig. 1). Important examples of other well-studied sites that share similar
425 characteristics include Araihaazar (Meghna River, Bangladesh, e.g., van Geen et. al., 2003³⁵),
426 Munshiganj (Ganges River, Bangladesh, e.g., Harvey et. al., 2002¹⁸), Barasat (Hoogli River,
427 West Bengal, e.g., McArthur et. al., 2008³⁶), or Dan Phuong (Red River, Vietnam, e.g.,
428 Postma et. al., 2007¹⁴).

429

430 Due to the large-scale groundwater abstraction at the Hanoi water works, the study site
431 benefits from relatively well-controlled hydraulic flow conditions with groundwater flow
432 directions directed consistently towards a cone of depression beneath Hanoi. This site
433 therefore provides a unique opportunity to determine As migration rates over several

434 decades, which is a substantial advantage over many other As-affected locations, where the
435 historic groundwater flow conditions remain far less determined and are often far more
436 complex.

437

438 **Modelling Approaches and Tools**

439 Based on the hydrogeological site characterisation, environmental tracer data and the
440 records of observed aqueous and solid phase chemistry, a wide range of plausible
441 conceptual models for both the physical processes (flow and nonreactive transport) and the
442 geochemical processes were formulated. Each of the conceptual models was translated into
443 a corresponding numerical model. The USGS flow model MODFLOW³⁷ was used to perform
444 the groundwater flow simulations while the reactive multi-component transport model
445 PHT3D³⁸ was used to simulate solute and reactive transport processes. PHT3D couples the
446 three-dimensional transport simulator MT3DMS³⁹ with the USGS geochemical model
447 PHREEQC-2⁴⁰. The model development was performed in two phases. The first phase
448 focused on developing an understanding and quantification of the flow and solute transport
449 behaviour. Measured environmental tracer data for tritium (³H) and helium (³He_{Tri}) were
450 used as a model calibration target in order to reproduce the historic groundwater flow rates
451 at the study site as accurately as possible. The second phase focused on the identification
452 and quantification of the biogeochemical processes and the analysis of the most plausible
453 conceptual model for the site's reactive transport processes. This included the investigation
454 of different conceptual model variants (CM1 to CM6, Table 1). The PEST++ software⁴¹ was
455 then used to conduct the calibration phase in parallel via TCP/IP network communications,
456 achieving a joint calibration of flow, solute and reactive transport parameters.

457

458 **Model Setup**

459 Based on earlier investigations (e.g., van Geen et al., 2013⁷) that showed a relatively
460 constant flow direction, the numerical models were constructed as a two-dimensional
461 vertical transect model. The model domain was aligned with the main groundwater flow
462 direction observed in the Pleistocene and Holocene aquifer, i.e., from the SE to NW (Fig. 1).
463 Overall the selected model domain covers a lateral flow distance of 2.78 km, originating at
464 the SW river bank. The selected transect passes several monitoring boreholes and includes
465 two multi-level monitoring devices (VPNS1 and VPNS2) for which high-resolution
466 concentration depth profiles were available (Fig. SI1, 3 and 4).

467

468 The Holocene and Pleistocene aquifers, including an overlying clay and silt aquitard section,
469 were discretised into 15 model layers in order to obtain a sufficiently high vertical resolution
470 of the biogeochemical gradients. The simulation period was set to 60 years, commencing in
471 January 1950, i.e., before groundwater abstraction in Hanoi started and before bomb-
472 derived tritium concentrations impacted ground- and surface water concentrations. In order
473 to represent (i) the variations of atmospheric tritium concentrations and the corresponding
474 variations in the Red River and (ii) the successively changing groundwater flow regime that
475 can be attributed to groundwater extractions in Hanoi, the simulation time was discretised
476 into 12 hydraulically and/or hydrochemically differing stress periods of 5 years length (Fig.
477 SI2).

478

479 **Implementation of Environmental Tracer Transport**

480 The transient flow model was calibrated based on measured tritium (³H) and helium (³He_{tri}):
481 ³H (β^- ³He_{tri}) concentrations to replicate the observed groundwater age distribution at the

482 site. Tritium input to the model was based on the atmospheric tritium values reported by
483 the IAEA for Hong Kong (Global Network of Isotopes in Precipitation (GNIP) King's Park
484 station; <https://nucleus.iaea.org/>) and assigned as time-varying specified concentrations to
485 all model grid cells representing the Red River. Tritium decay and production of helium
486 during advective-dispersive transport was considered through a first-order rate reaction
487 using a half-life of 12.32 yrs, according to:

$$\frac{dC_{^3\text{H}}}{dt} = -k_{^3\text{H}}C_{^3\text{H}} \quad , \quad \frac{dC_{^3\text{He}_{\text{tri}}}}{dt} = +k_{^3\text{H}}C_{^3\text{H}}$$

488 where $C_{^3\text{H}}$ and $C_{^3\text{He}_{\text{tri}}}$ are the ^3H and $^3\text{He}_{\text{tri}}$ concentrations and $k_{^3\text{H}}$ is the first-order rate
489 constant.

490

491 **Biogeochemical Reaction Network**

492 Building on the calibrated groundwater flow and solute transport model, the subsequent
493 reactive transport simulations were performed to interpret the hydrochemical observations
494 at Van Phuc. Through the model-based analysis of field observations, which included both
495 the identification of the most plausible conceptual model and the estimation of suitable and
496 plausible model parameters, a mechanistic understanding of the As distribution patterns
497 and of their evolution was derived. The reactive transport model incorporated the
498 mineralogical data that were previously collected for the Holocene sediments along with
499 observed or reconstructed water compositions (Tables 2 and 3). Based on the available
500 data, the reaction network was defined and then successively refined until the observations
501 could be reproduced. The defined reaction network considered the key biogeochemical
502 processes that were hypothesised to govern the major ion and redox chemistry as well as
503 the partitioning behaviours of the trace constituents such as As and phosphate between

504 porewater and sediments. The most important biogeochemical process was the oxidation of
 505 dissolved (DOC) and sediment-bound organic carbon (SOM), coupled to the reduction of
 506 various electron acceptors. At Van Phuc, DOC and SOM mineralisation occurred under
 507 aerobic, denitrifying, sulphate-reducing and Fe(III)-reducing conditions. These reactions
 508 were considered in the model through a partial equilibrium approach (PEA), which assumes
 509 that the oxidation step is the rate-limiting step (e.g., Postma and Jakobsen, 1996⁴²).
 510 Consistent with earlier, closely related studies (e.g., Prommer et al., 2006⁴³, Sharma et al.,
 511 2012⁴⁴, Rawson et al., 2017⁴⁷) the computed rates of OM mineralisation depended on the
 512 abundance of multiple electron acceptors.

$$\begin{aligned}
 r_{om} = & \left[k_{ox} \left(\frac{C_{ox}}{2.9 \times 10^{-4} + C_{ox}} \right) + k_{nitr} \left(\frac{C_{nitr}}{1.55 \times 10^{-4} + C_{nitr}} \right) \times \left(\frac{k_{ox inh}}{k_{ox inh} + C_{ox}} \right) \right. \\
 & + k_{sul} \left(\frac{C_{sul}}{1.0 \times 10^{-4} + C_{sul}} \right) \times \left(\frac{k_{ox inh}}{k_{ox inh} + C_{ox}} \right) \times \left(\frac{k_{nitr inh}}{k_{nitr inh} + C_{nitr}} \right) \\
 & \left. + k_{Fe} \left(\frac{C_{Fe}}{1.0 \times 10^{-6} + C_{Fe}} \right) \right]
 \end{aligned}$$

513 where r_{om} is the overall degradation rate of OM, k_{ox} , k_{nitr} , k_{sul} and k_{Fe} , are the maximum rate
 514 constants for OM mineralisation under aerobic, denitrifying, sulphate-, and Fe(III)-reducing
 515 conditions. C_{ox} , C_{nitr} , C_{sul} and C_{Fe} are the concentrations of dissolved oxygen, nitrate,
 516 sulphate and Fe(III)-oxides, respectively, and $k_{ox inh}$ and $k_{nitr inh}$ are inhibition constants. The
 517 reactivity of the different OM sources within the aquifer, i.e. young OM in river muds; OM in
 518 sand and clay/silt deposits and DOC within the intruding river water was determined
 519 through the automatic model calibration procedure. A generic stoichiometric composition
 520 of $(CH_2O)_{106}(NH_3)_{11}(H_3PO_4)_4$ was assumed for both DOC and SOM²¹.

521

522 Calcite and Fe(III)-oxides were included in the reaction network as the main minerals
523 affecting the study site's hydrochemical compositions. The exact nature of the Fe(III)-oxides
524 at the site was unknown. A single Fe(III)-oxide phase, represented as $\text{Fe}(\text{OH})_3$, was therefore
525 used in the model for simplicity. The solubility of this Fe(III)-oxide, expressed as $K =$
526 $[\text{Fe}^{3+}]/[\text{H}^+]^3$, was determined as part of the PEST++ model calibration procedure. The
527 estimated log K of +0.23 (Table SI5) corresponds to a micro-crystalline goethite⁴⁶. This is
528 consistent with previously determined solubilities for Fe(III)-oxides in a Holocene sand
529 aquifer 30km north of Hanoi along the Red River by Postma et al (2010)²⁴, which ranged
530 from lepidocrocite/ poorly crystalline goethite to hematite. It is also consistent with
531 sequential extraction analysis from the Van Phuc site, which suggested that the dominant
532 non-silicate Fe phase in the Holocene sediments was goethite and/or hematite³².

533

534 Replenishment of iron oxides at the river-aquifer interface was included in the model
535 through a zeroth-order rate expression, which replenishes the Fe(III)-oxide pool at a
536 constant rate, consistent with the location of the field site in a depositional environment.
537 The zeroth-order rate constant was included as an adjustable parameter in the automatic
538 model calibration in order to obtain an estimate for the replenishment rate (Table SI5). The
539 composition of the iron oxide was defined to contain As(V) at an As/Fe molar ratio of
540 2mmol/mol. This is in agreement with Postma et al. (2010)²⁴ who obtained As/Fe ratios
541 between 1 to 2 mmol/mol for river sand material obtained from floodplains in Vietnam
542 during laboratory extraction experiments.

543

544 Sorption of As in the Holocene section of the aquifer was assumed to occur on the surfaces
545 of Fe(III)-oxides. In the model, the total number of sorption sites on the Fe(III)-oxides was

546 stoichiometrically linked with simulated Fe(III)-oxide concentrations. By doing so, the
547 successively decreasing sorption capacity that results from the reductive dissolution of
548 Fe(III)-oxides was considered⁴⁷. In addition, arsenic can also be liberated as a result of
549 competitive displacement from sorption sites. Sequential extraction analysis by Berg et al
550 (2008)⁴ and Eiche et al (2009)²¹ showed easily desorbable As to be the by far dominant pool
551 of As throughout the aquifer at the site (Fig. SI1). To allow for a process-based description of
552 competitive sorption effects and the influence from pH changes on As dynamics, surface
553 complexation models were employed. The generalized two-layer surface complexation
554 model of Dzombak and Morel (1990)⁴⁹ was considered in the reaction network, extended by
555 reactions for Fe^{2+} , HCO_3^- and Si with reaction constants adopted from the literature⁴⁷⁻⁴⁹. The
556 densities of strong and weak sites on the Fe(III)-oxides were included as adjustable
557 parameters within the automatic model calibration procedure. For the Pleistocene section
558 of the aquifer, the recently developed generalised surface complexation model of Rathi et al
559 (2017)³¹ was employed for As and P.

560

561 **Initial and Boundary Conditions**

562 The water compositions that were employed to define the initial concentrations in the
563 model simulations were based on the hydrochemical data collected by Frei (2007)²² and van
564 Geen et al. (2013)⁷ and through two field campaigns in September 2006 and April 2010
565 (Table 2 and Tables SI1 and SI2). The initial water composition that was attributed to the
566 Holocene aquifer section of the model was taken from monitoring borehole VPNS 4, located
567 about 1.9 km distance from the Red River. The groundwater from this site was analysed as
568 being old (i.e., pre-bomb) and therefore presumably unaffected by any geochemical
569 changes that could have occurred as a result of the hydrological changes that were induced

570 since 1950. However, the assumed initial dissolved arsenic and phosphate concentrations
571 were increased from the measured concentrations to match the measured sorbed arsenic
572 and phosphate concentration ranges in the aquifer sediments and river bed deposits. The
573 partitioning between the sorbed and aqueous phase is thereby determined through the
574 electrostatic double layer model (Table 3). The water composition that persisted in the
575 gravel layer (Fig. 2) that is underlying the Holocene and Pleistocene sands differed from the
576 sands and was established on the basis of three sampled horizons with depths > 54 metres,
577 which accessed the gravel (Table 2). The water composition that was attributed to model
578 grid cells representing the Red River was based on hydrochemical measurements for the
579 Red River, except for tritium. The tritium concentrations were defined in accordance with
580 the time-variant atmospheric tritium values that were reported for Hong Kong (Global
581 Network of Isotopes in Precipitation (GNIP) King's Park station). All assumed initial
582 concentrations were charge-balanced and equilibrated with respect to the prevailing
583 mineral composition. The assumed initial mineral concentrations in the model simulations
584 were based on the results of earlier sediment analysis^{7,10,21} (Table 3).

585

586 **Model Calibration Procedure**

587 The groundwater flow and reactive transport model was calibrated using the nonlinear
588 regression software PEST, which was implemented in parallel on high-performance
589 computing systems via PEST++⁴¹. The flow and solute transport calibration dataset consisted
590 primarily of the measured helium and tritium concentrations, along with a hydraulic
591 gradient observation, which was based on the average measured water levels between two
592 monitoring bores, AMS12 and AMS16 (Fig 1). The composite, weighted sum of squared
593 residuals (i.e., differences between observed quantities and their model-simulated

594 equivalents) was used as the primary objective function to be minimised during the
595 calibration process.

596

597 There were a total of 18 tritium and 19 helium observations available from various depths;
598 all observations were obtained in 2007^{7,22}. The weights imposed on each observation of
599 tritium and helium were generally set to 1.0, with some weights being slightly adjusted to
600 reflect their potential inherent measurement and model-structure errors. Since the
601 hydraulic gradient observation had a smaller magnitude (about 1-3 orders) and consisted of
602 only a single observation, it was assigned a weight of 1×10^5 such that it produced a relatively
603 comparable contribution to the composite least-squares objective function.

604

605 Estimated model parameters consisted of horizontal and vertical hydraulic conductivity,
606 porosity, and the conductance of the general-head boundary (GHB) condition. Hydraulic
607 conductivity and porosity were parameterised using a zonation method, resulting in 9 zones
608 for each of the three parameter types (Fig. SI 4), i.e., a total of 28 estimated hydrologic
609 parameters.

610

611 Due to the relatively high degree of parameterisation, the inverse problem was
612 underdetermined. Tikhonov regularisation was employed to alleviate overparameterisation
613 by incorporating prior information, an approximate Bayesian approach⁴¹. This prior
614 information consisted of an expected vertical anisotropy of 100 for hydraulic conductivity,
615 and a tendency toward homogeneous conditions for porosity values assigned to zones with
616 similar aquifer materials (e.g., zones within the Holocene sediments have a tendency toward
617 similar porosity values).

618

619 The geochemical parameters were calibrated jointly with the flow and solute parameters.
620 While the larger-scale groundwater flow and transport patterns in the Holocene aquifer
621 shows a truly three-dimensional behaviour, the simulation of the reactive transport of
622 solutes along the selected vertical transect is thought to be an adequate approximation.
623 Where important hydrochemical data that was required to fill “data gaps” was not collected
624 from wells residing directly on the modelled transect but in its vicinity (Fig. 1), we projected
625 these observations onto the selected transect (AMS15 and VPNS9, Fig. 3).

626 The joint calibration of flow, solute and reactive transport parameters allowed the most
627 appropriate conceptual model for replicating the observed flow and geochemical field data
628 to be revealed as part of the model calibration process. For the conceptual model producing
629 the smallest objective function value, the calibrated hydrochemical and flow/transport
630 parameters are listed in Table SI5 and SI6. These tables also list the parameter bounds and
631 the posterior uncertainty statistics as per the GENLINPRED procedure provided in the PEST
632 software suite, which considers both Bayesian and subspace-based methodologies.

633

634 **Investigated Model Variants**

635 A suite of plausible conceptual and numerical model variants for the site’s reactive transport
636 processes were investigated (conceptual model variants CM1 to CM6, Table 1). Variant CM6
637 provided a numerical implementation which included, besides a comprehensive range of
638 biogeochemical reactions, the entire range of potential organic matter sources within the
639 investigated groundwater system and served as the basis for the inversion process. The
640 systematic comparison between model simulation results and observations thereby allowed

641 for the process-based model to reveal independently whether a process contributes to the
642 field-observed hydrochemical patterns, i.e., the spatial distribution of major ion
643 compositions, redox conditions and in particular As concentrations. The inversion process
644 revealed that OM sources at the study site had distinctively different reactivities depending
645 on their lithological association, which was explored and illustrated further through model
646 variants CM1 to CM5:

- 647 • CM1: non-reactive model variant; allows to illustrate the impact of geochemical
648 reactions and to distinguish between transport and reaction-derived concentration
649 changes;
- 650 • CM2: model variant without any OM source; demonstrates the importance of OM
651 mineralisation compared to other reactive processes in liberating As;
- 652 • CM3: model variant that assumes DOC to be the sole OM source; illustrates that DOC
653 from intruding river water alone is insufficient to achieve the observed electron
654 acceptor consumption and associated secondary geochemical reaction patterns;
- 655 • CM4 to CM5: conceptual models which include DOC as well as SOM but differ in
656 relation to the distribution of the SOM within the aquifer. These model variants
657 illustrate the effect of OM sources and mineralisation rates on concentration
658 patterns, including
 - 659 ○ the importance of vertical As mass transfer into the Holocene aquifer from
660 the clay/silt deposits;
 - 661 ○ the contribution of aquifer in-situ liberation of As;
 - 662 ○ the significance of the river mud deposits for arsenic mobilisation at the site.

663

664 **Model uncertainty**

665 The lack of long-term historical water level and concentration data, especially from the
666 period prior to the reversal of the hydraulic gradient, is a source of model uncertainty. For
667 example, time series of hydraulic head data, which document the deepening of the cone of
668 depression due to increased groundwater abstractions at the Hanoi water works, are scarce.
669 However, this lack of data is largely compensated by the use of age tracer concentrations as
670 additional constraints for the groundwater flow model simulations. These measured
671 environmental tracer concentrations provide a time-integrated measure of river water
672 intrusion. Furthermore, our model-derived interpretation of the regional scale
673 concentration patterns establishes the importance of the river-groundwater interface as a
674 geochemical reaction hotspot. Clearly, the numerical implementation of the interface is,
675 despite the consideration of many process details, still idealised due to the (large) scale of
676 the model domain and the lack of spatially more dense observation data in the proximity of
677 the interface. Future, more detailed investigations of this zone will allow to reveal additional
678 process details and to more tightly constrain model simulations of the interface processes.

679

680 **Data availability statement**

681 The geochemical data analysed during this study are included in this article in the
682 supplementary information in Tables S1 and Tables S2. The groundwater age data analysed
683 during this study has been published and is available in van Geen et al. (2013)⁷ and Stahl et
684 al. (2016)¹⁰ (Table S1). The solid phase chemistry data at the site was available from Eiche et
685 al. (2008)³² and Eiche (2009)²¹.

686

687 **Code Availability**

688 All codes used as part of this study are publicly available and can be accessed freely. The
689 USGS flow model MODFLOW³⁷ (<https://www.usgs.gov/software/software-modflow>) was
690 used to perform the groundwater flow simulations while the reactive multi-component
691 transport model PHT3D³⁸ was used to simulate solute and reactive transport processes
692 (<http://www.pht3d.org/>). PHT3D couples the three-dimensional transport simulator
693 MT3DMS³⁹ with the USGS geochemical model PHREEQC-2⁴⁰. The PEST++ software suite⁴¹
694 was employed for model calibration and uncertainty analysis
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696

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