

The role of pericytes in angiogenesis

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ABSTRACT Pericytes are branched cells embedded within the basement membrane of capillaries and post-capillary venules. They provide an incomplete investment to endothelial cells, thus reinforcing vascular structure and regulating microvascular blood flow. Pericytes exert an important role on endothelial cell proliferation, migration and stabilization. Endothelial cells, in turn, stimulate expansion and activation of the pericyte precursor cell population. The balance between the number of endothelial cells and pericytes is highly controlled by a series of signaling pathway mechanisms operating in an autocrine and/or paracrine manner. In this review, we will first examine the molecular aspects of the pericyte activating factors secreted by endothelial cells, such as platelet derived growth factor B (PDGF-B), vascular endothelial growth factor (VEGF), transforming growth factor beta (TGF- β) and angiopoietins (Angs), as well as signaling pathways involving Notch and ephrins. We will then consider the complex and multivarious contribution of pericytes to the different aspects of angiogenesis with particular emphasis on the potential role of these cells as targets in tumor therapy.

KEY WORDS: *angiogenesis, antiangiogenesis, pericyte, tumor growth*

Historical background

Pericytes are adventitial cells located within the basement membrane of capillary and post-capillary venules. Because of their multiple cytoplasmic processes, distinctive cytoskeletal elements and envelopment of endothelial cells, pericytes are generally considered to be cells that stabilize the vessel wall, controlling endothelial cell proliferation and thereby the growth of new capillaries. In addition, they are believed to participate in the regulation of microvascular blood flow via a contractile mechanism.

Charles Rouget was the first to describe branched, non-pigmented cells on the capillary wall of the hyaloid of the frog and regarded them as contractile elements (Rouget, 1873, 1874, 1879), but he was unable to stain these cells and concluded that they were muscle cells. Staining of Rouget cells was successfully carried out by Mayer in 1902, using methylene blue. Mayer (1902) suggested that pericytes merge into smooth muscle cells of the tunica media or arteries.

Vimtrup (1922), studying capillaries in tails of different young living larvae, noted that "the contraction of capillaries begins at one of these cells (pericytes), spreading in both directions, at first slowly, later significantly faster". He confined his studies to areas where the afferent arterioles and the efferent venules were clearly

visible, thus allowing unequivocal identification of the capillary segment in-between. He termed the observed contractile population as "Rouget cells".

The term pericytes was later coined by Zimmermann in 1923. He demonstrated that pericytes were: a) present around capillaries in a wide range of species including fish, amphibians, reptiles, birds and mammals; b) continuous with smooth muscle cells of arteries and veins; c) highly branched with distinctive cytoplasmic processes within each capillary bed. Moreover, Zimmermann held that contraction of these cells controlled capillary permeability and distinguished three subgroups of pericytes, depending on the type of vessel pericytes are located at, but already considered the existence of a continuum with countless forms of differentiation.

Pericyte markers

Pericytes are commonly identified by molecular markers, such as alpha smooth muscle actin, non-muscle myosin, tropomyosin,

Abbreviations used in this paper: Ang, angiopoietin; Eph, ephrin; NG, nerve/glia antigen; PDGF, platelet-derived growth factor; TGF, transforming growth factor; VEGF, vascular endothelial growth factor.

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desmin, nestin, platelet derived growth factor receptor- β (PDGFR- β), aminopeptidase A, aminopeptidase N (CD 13), sulfatide or nerve/glial antigen-2 (NG2) proteoglycan (Morikawa *et al.*, 2002) (Fig. 1). Pericytes on normal capillaries typically express desmin, but not alpha smooth muscle actin, whereas smooth muscle cells on arterioles and pericytes on venules are immunoreactive for both (Nehls and Drenckhahn, 1993; Morikawa *et al.*, 2002). Other reports have suggested that alpha smooth muscle actin may be considered a general marker for pericytes (Hellstrom *et al.*, 1999; Ohlsson *et al.*, 1999).

The balance between endothelial cells and pericytes

The balance between the number of endothelial cells and pericytes seems to be highly controlled. Potential regulators include soluble factors acting in an autocrine and/or paracrine manner, mechanical forces secondary to blood flow and blood pressure, as well as homotypic and heterotypic cell contacts.

Several molecules are involved in the control and modulation of the interactions occurring between pericytes and endothelial cell, such as PDGF-B, transforming growth factor beta (TGF- β), vascular endothelial growth factor (VEGF), angiopoietins (Angs), signaling pathways involving Notch and ephrins (Hughes, 2008; von Tell *et al.*, 2006).

Endothelial production of PDGF-B is required for pericyte recruitment

Endothelial cells secrete PDGF-B and pericytes express PDGFR- β (Betsholtz, 2004), suggesting a paracrine mode of interaction between these two cell types (Fig. 2). PDGF-B promotes pericyte precursor cell proliferation and migration and mice deficient for PDGF-B or PDGFR- β die during embryonic development with widespread microvascular defects, consisting in vessel dilatation and microaneurysms. In most tissues of these animals pericyte association with vessels is drastically reduced (Betsholtz,

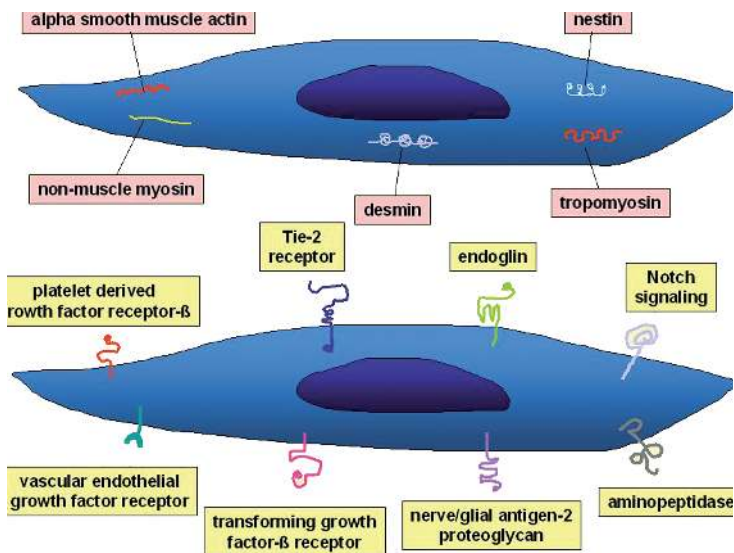


Fig. 1. Cytoplasmic markers (above) and membrane determinants (below) expressed by pericytes.

2004). Endothelial cells of the sprouting capillaries in the PDGF-B deficient mice were unable to attract PDGFR- β -positive progenitors of pericytes. Failure to recruit pericytes during development leads to vascular instability and regression (Benjamin *et al.*, 1998; Leveen *et al.*, 1994; Lindbloom *et al.*, 2003).

Greenberg *et al.* (2008) demonstrated that under conditions of PDGF-mediated angiogenesis, VEGF abolished pericyte coverage of vascular sprouts, leading to vessel destabilization. VEGF-mediated activation of VEGFR-2 suppressed PDGFR- β signaling, through the induction of a VEGFR-2/PDGFR- β complex (Fig. 2).

TGF- β 1 contributes to the differentiation of precursor cells into pericytes

When mesenchymal cells are co-cultured with endothelial cells or treated with TGF- β 1, they express smooth muscle cell markers, indicating differentiation of precursor cells into pericytes or smooth muscle cells (Darland and D'Amore, 2001). Mice deficient for endoglin, a TGF- β 1 co-receptor, display reduced association with smooth muscle cells and pericytes (Li *et al.*, 1999). TGF- β 1 inhibits endothelial cell proliferation and migration, and mice deficient for TGF- β 1 signaling components show dilated and irregularly shaped microvessels (Lebrin *et al.*, 2005). Overall, these data indicate that TGF- β 1 appears to be instrumental for the *de novo* induction of pericytes by regulating differentiation of pericyte progenitors.

VEGF induces proliferation and migration of pericytes and pericyte-derived VEGF promotes endothelial cell survival

VEGF directly induces proliferation and migration of pericytes in hypoxic conditions (Yamagishi *et al.*, 1999) and also indirectly stimulate pericyte recruitment via endothelial cell production of nitric oxide (NO). In turn, NO promotes mural precursor cell migration *in vitro* and pericyte recruitment to tumor vessels *in vivo* (Kashiwagi *et al.*, 2005). Treatment with VEGF inhibitors causes pericytes to become closely associated with surviving tumor vessels in Lewis lung carcinomas, RIP-Tag2 tumors (Inai *et al.*, 2004) and other tumor models (Tong *et al.*, 2004; Willett *et al.*, 2004).

Darland *et al.* (2003) demonstrated that pericytes co-cultured with endothelial cells produce VEGF that may act in a juxtacrine/paracrine manner as a survival and/or stabilizing factor for endothelial cells. Moreover, they observed VEGF gene expression in developing retinal vasculature in pericytes contacting newly formed microvessels.

Pericyte-derived Ang-1 promotes endothelial cell survival and Ang-2 acts as a destabilizing factor and the balance of Ang-1 and Ang-2 signaling regulates pericyte recruitment

The Angs family consists of Ang-1, -2, and the orthologous Ang-3 in mouse and Ang-4 in human. All Angs bind the endothelial-specific receptor tyrosine kinase Tie-2 (also known as TEK) and play a critical role in endothelial sprouting, vessel wall remodeling and mural cell recruitment (Thurston, 2003).

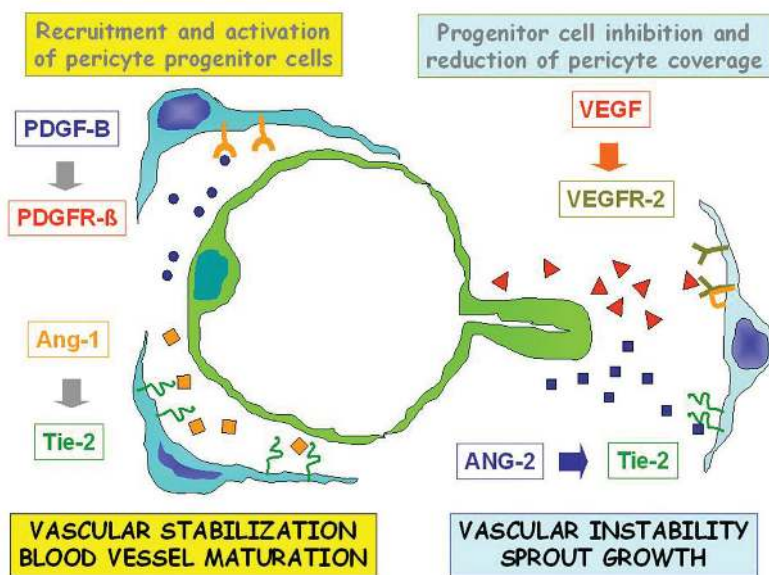


Fig. 2. Schematic drawing that illustrates the paracrine interactions occurring between pericyte precursor cells and endothelial cells in PDGF-mediated angiogenesis. Endothelial cells secrete PDGF-B, that causes pericyte precursor cell proliferation and migration through activation of PDGFR- β receptors. Pericytes surround and cover early endothelial tubes. By contrast, endothelial cells in vascular sprouts release VEGF, which in turn mediates suppression of PDGFR- β signaling through the induction of VEGFR-2/PDGFR- β complexes. This pathway abrogates pericyte coverage of endothelial sprouts leading to vascular instability and regression.

Ang-1 is produced by pericytes and smooth muscle cells, activates endothelial Tie-2, maximizes interactions between endothelial cells and pericytes and is expressed behind the leading edge of angiogenic vessels, a position consistent with vessel maturation (Sundberg *et al.*, 2002). Mice deficient for either Ang-1 or Tie-2 die during embryonic development with vascular defects similar to those observed for PDGF-B deficient mice (Jones *et al.*, 2001). Ultrastructural analysis suggests that Tie-2-knock out blood vessels lack mural cells (Patan, 1998). In PDGF-B deficient mice, recombinant Ang-1 restored the vascular structure and permeability in the growing retinal vasculature (Uemura *et al.*, 2002). Moreover, Ang-1 also counteracts VEGF-induced endothelial leakiness (Thurston *et al.*, 1999).

Ang-2 is expressed by endothelial cells located at the leading edge of proliferating vessels (Maisonpierre *et al.*, 1997) and acts as a destabilizing factor which is restricted to endothelial cells in areas of vascular remodeling and binds Tie-2 without inducing signal transduction (Maisonpierre *et al.*, 1997).

Expression of both Ang-2 and Tie-2 in pericytes has been also reported (Wakui *et al.*, 2006; Cai *et al.*, 2008). VEGF increases production of Ang-2, and overexpression of Ang-2, which binds to Tie-2 in competition with Ang-1, by endothelial cells results in dissociation of pericytes from vessels (Zhang *et al.*, 2003), reduces pericyte coverage and destabilizes vessels within the tumor even in the presence of VEGF stimulation (Cao *et al.*, 2007). Moreover, transgenic mice overexpressing Ang-2 in the retina develop dense vascular networks with reduced pericyte coverage (Feng *et al.*, 2007). De Palma *et al.* (2005) demonstrated that monocytes expressing Tie-2 (TEMs) are a distinct

haemopoietic lineage of proangiogenic cells and distinguished a subpopulation of tumor stroma-derived mesenchymal progenitor cells representing a primary source of tumor pericytes.

NG2 and Notch-3 mediate pericyte-endothelial interactions

Immature pericytes express the NG2 proteoglycan during early stages of angiogenesis and soluble NG2 promotes endothelial cell motility and angiogenesis forming a complex with galectin-3 and $\alpha 3\beta 1$ integrin on the cell surface (Fukushi *et al.*, 2004). Both blocking by antibodies as well as knocking out of the gene encoding NG2 abrogated vascular growth (Ozerdem and Stallcup, 2004). Virgintino *et al.* (2007) have shown that microvessels of the fetal human telencephalon are characterized by a continuous layer of NG2-positive pericytes, which tightly invest endothelial cells in the earliest stages of vessel growth.

Notch signaling is a highly conserved pathway, initially discovered in *Drosophila* development (Baron *et al.*, 2002). There are four Notch receptors (Notch 1-4) and five ligands (Jagged-1, and -2, Delta 1, -3, -4) (Iso *et al.*, 2003). All the receptors and ligands are expressed in at least one vascular compartment, e.g. arteries, veins, capillaries, muscle cells or pericytes.

Notch signaling is required for remodeling the primary vascular plexus into the hierarchy of mature vascular beds and maintaining arterial fate (Alva and Iruela-Arispe, 2004).

The Notch-3 receptor is highly expressed in pericytes and disruption of Notch-3 signaling in Notch-3 $-/-$ mutant mice results in enlarged vessels due to the lack of pericytes (Wang *et al.*, 2007). Patients suffering from CADASIL (Cerebral Autosomal Dominant Arteriopathy with Subcortical Infarcts and Leukoencephalopathy) syndrome, pathology associated with mutations of Notch-3, present vessels lacking pericytes (Louvi *et al.*, 2006). In dental pulp, perivascular cells mainly express Notch-3 (Lovschall *et al.*, 2007) and in the retina pericytes express also Notch-3 (Claxton and Fruttiger, 2004). More recently, Liu *et al.* (2009) showed that knockdown by small interfering RNA revealed that Notch-3 signaling is necessary for endothelial-dependent mural cell differentiation, and Notch-3 contributes to the pro-angiogenic capability of mural cells co-cultured with endothelial cells.

Ephrins and Eph receptors

The discovery that members of the ephrins (Eph) family are differentially expressed in arteries and veins from very early stages of development has been one of the first indications that artery-vein identity is intrinsically programmed. Eph-B2 is expressed in arterial endothelial cells. The principal receptor for Eph-B2, Eph-B4, displays a reciprocal expression pattern in embryonic veins (Bratley-Siders and Chen, 2004). Mutations of the Eph-B2 and of Eph-B4 both lead to early embryonic lethality (Wang *et al.*, 1998; Adams *et al.*, 1999; Gerety *et al.*, 1999; 2002). Remodeling of the primary vascular plexus into arteries and veins was arrested in both mutants, suggesting important roles for Eph-B2/Eph-B4 interactions on arterial and venous endothelial cells,

respectively.

Ephrin-B2 is a critical regulator of mural cell migration, spreading and adhesion during vessel wall assembly (Foo *et al.*, 2006). More recently, Salvucci *et al.* (2009) reported that Eph-B is a critical mediator of postnatal pericyte to endothelial cell assembly into vascular tubes. Furthermore, inhibition of Eph-B activity prevents assembly of pericytes and endothelial cells.

The role of pericytes in angiogenesis

During the initial phase of angiogenesis, activated pericytes in parent vessels bulge, shorten their processes and increase their volume, an intense cell proliferation occurs, pericytes project into the perivascular spaces, their basement membrane is disrupted and fragmented and appear detached from the vessel wall (Diaz-Flores *et al.*, 1992). Although initially endothelial cell sprouts may form without pericyte involvement, pericytes are among the first cells to invade newly vascularized tissues and locate at the growing front of the endothelial sprouts by determining the location of sprout formation and by guiding newly formed vessels (Nehls *et al.*, 1992). Individual pericytes can be found at the tips of angiogenic sprouts in the corpus luteum, where pericytes are the first vascular cells to invade the granule fold of the ruptured follicle, and in tumors (Amselgruber *et al.*, 1999; Gerhardt and Betsholtz, 2003; Morikawa *et al.*, 2002).

It has long been believed that endothelial tube formation is followed by investment of pericytes, which use endothelial cell sprouts as migration clues. Accordingly, pericytes are recruited by differentiation from surrounding mesenchymal precursors or by migration from the mural wall of the adjacent vessel (Gerhardt and Betsholtz, 2003). In this way, pericytes suppress endothelial growth (Orlidge and D'Amore, 1987) and migration (Sato and Rifkin, 1989). There is a striking coincidence of pericyte investment and microvessel stabilization (von Tell *et al.*, 2006; Bergers and Song 2005) and pericyte investment has also been directly implicated in conferring capillary resistance to regression *in vivo* (Benjamin *et al.*, 1998). Clinical evidence for a stabilizing function of pericyte was offered by the finding that the development of microaneurysms of retinal capillaries, as a symptom of diabetic retinopathy, was correlated with an initial loss of intramural pericytes (Kuwabara and Cogan, 1963).

In 1990, Blood and Zetter wrote that: "Formation of a basement membrane and investment of capillaries with pericytes are generally associated with the end of the proliferative stage and the beginning of the mature or quiescent stage of capillary function". More recently, Stratman *et al.* (2009) have demonstrated that endothelial cell-pericyte interactions regulate increased expression of basement membrane protein genes and proteins, such as fibronectin and laminin, as well as integrins that recognize the remodeled matrices to control this process and these changes occur specifically in endothelial cell-pericyte co-cultures and not in endothelial cell only cultures.

Alternatively, pericytes can invade tissues in the absence of endothelial cells and can form tubes enabling the subsequent penetration of endothelial cells (Ozerdem

and Stallcup, 2003). Rajantie *et al.* (2004) showed that bone marrow-derived hematopoietic progenitor cells CD11b⁺ and CD45⁺ expressing the pericyte marker NG2 were located in close proximity to blood vessels in a subcutaneous B16-F10 melanoma model. Bone marrow-derived PDGFR-β⁺/Sca-1⁺ progenitor pericytes have been demonstrated in mouse model of pancreatic islet tumorigenesis, which were able to differentiate into mature pericytes expressing the markers NG2 and alpha smooth actin (Song *et al.*, 2005). Virgintino *et al.* (2007) demonstrated in the human fetal telencephalon that growing microvessels are formed by a pericyte-driven angiogenic process in which endothelial cells are preceded and guided by migrating pericytes.

Overall, these data suggest the existence of a mutual interplay between endothelial cells and pericytes in the direction of the angiogenic process, assigning to the pericytes a putative morphogenetic role.

Pericytes as targets in tumor therapy

In pathological conditions in which angiogenic activity is enhanced, such as tumors, pericytes are located near blood vessels at the growing front of tumors, where angiogenesis is most active and show morphological abnormalities (Schlingemann *et al.*, 1990; Wesseling *et al.*, 1995; Morikawa *et al.*, 2002). Moreover,

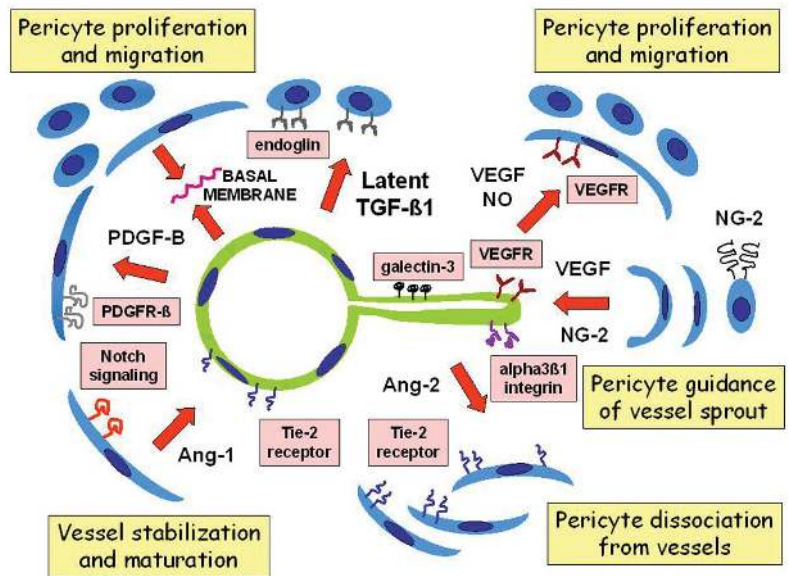


Fig. 3. Signaling pathways operating in endothelial cell/pericyte paracrine cross-talk. Pericytes are involved in endothelial cell stimulation and guidance as well as endothelial stabilization and maturation. Vessel sprouts (right) cause destabilization of pericyte investment through Ang-2/Tie-2 signaling. Pericytes provide guidance for endothelial movement and tube formation through secretion of VEGF and soluble NG-2. Spreading endothelial cells, in turn, stimulate pericyte precursor cell proliferation and migration by releasing VEGF and NO. Vessel stabilization (left) occurs by pericyte investment and close interaction with endothelial cells. Mature endothelial cells secrete PDGF-B, which promotes proliferation and migration of pericyte precursor cells through activation of PDGFR-β receptors expressed on the surface of pericyte progenitors. This mechanism leads to pericyte coverage of early endothelial tubes. Vessel maturation further develops through Ang-1- and Notch-mediated signaling. Pericytes stabilize and reinforce the endothelial tube contributing to secretion of basal membrane.

pericyte deficiency could be partly responsible for vessel abnormalities in tumor blood vessels (Gerhardt and Semb, 2008) and partial dissociation of pericytes (Hobbs *et al.*, 1998; Hashizume *et al.*, 2000) contribute to increased tumor vascular permeability.

VEGF inhibition eliminates tumor vessels without removing pericytes (Morikawa *et al.*, 2002). Antiangiogenic treatment directed against endothelial cells using VEGF inhibitors induces the regression of tumor vessels and decreases tumor size (Baluk *et al.*, 2005), leading to vessel normalization, characterized by increased pericyte coverage, tumor perfusion and chemotherapeutic sensitivity (Jain, 2005). Moreover, removal of VEGF inhibition causes tumor re-growth due to the fact that pericytes provide a scaffold for the rapidly re-growing of tumor vessels (Mancuso *et al.*, 2006).

Pericytes have been indicated as putative targets in the pharmacological therapy of tumors by using the synergistic effect of anti-endothelial and anti-pericytic molecules. Removal of pericyte coverage leads to exposed tumor vessels, which may explain the enhanced effect of combining inhibitors that target both tumor vessels and pericytes. Bergers *et al.* (2003) showed that combined treatment or pre-treatment with anti-PDGFR- β /PDGFR- β reducing pericyte coverage increases the success of anti-VEGF treatment in the mouse RIP1-TAG2 model.

However, extensive regression of endothelial cells was not observed in tumors after inhibition of PDGFR- β signaling (Abramsson *et al.*, 2003). ST1571 (Gleevec, Imatinib), which targets PDGFRs and other receptor tyrosine kinases, did not reduce vascular density when given alone but did augment the effects of VEGF inhibitors (Bergers *et al.*, 2003). After treatment of RIP1-TAG-2 tumors and Lewis lung carcinomas with AG-013737 or VEGF-Trap, surviving pericytes may become more tightly associated with endothelial cells or have no apparent association with tumor vessels (Inai *et al.*, 2004). Treatment of RIP1-TAG2 tumors with anti-PDGFR- β antibody for three weeks reduces pericytes, increases endothelial cell apoptosis but does not seem to reduce tumor vascular density (Song *et al.*, 2005). Similarly, the receptor tyrosine kinase inhibitor SU6668, which also affects PDGFR- β signaling, detaches and reduces pericytes in RIP1-TAG2 and xenotransplanted tumors, thereby restricting tumor growth (Reinmuth *et al.*, 2001; Shaheen *et al.*, 2001).

Sennino *et al.* (2007) demonstrated that treatment with a novel selective PDGF-B blockade DNA aptamer AX102 that blocks the action of PDGF-B led to progressive reduction of pericytes in Lewis lung carcinomas. More recently, Murphy *et al.* (2010) generated a series of selective type II inhibitors of PDGFR- β and B-RAF targets for pericyte recruitment and endothelial survival, respectively and they demonstrated that dual inhibition of both PDGFR- β and B-RAF exerted synergistic antiangiogenic activity in both zebrafish and murine models of angiogenesis.

Several other important studies with the aim to target pericytes have been conducted in experimental tumor models (Pietras and Hanahan, 2005; Maciag *et al.*, 2008; Lu *et al.*, 2010), and even in a human trial in advanced renal cell carcinoma (Hainsworth *et al.*, 2007).

We have recently demonstrated that combined targeting of pericytes and endothelial tumor cells with a combination of a peptide ligand of aminopeptidase A (APA), discovered by phage display technology for deliver of liposomal doxorubicin (DXR) to perivascular tumor cells, and aminopeptidase N (APN)-targeted

liposomal DXR enhances anti-tumor efficacy of liposomal chemotherapy in human neuroblastoma-bearing mice (Loi *et al.*, 2010).

Concluding remarks

Pericytes are critical cells in vascular biology. They intervene at different levels of blood vessel formation, being involved in endothelial cell stimulation and guidance as well as endothelial stabilization and maturation. Signaling pathways operating in endothelial cell-pericyte cross-talk are currently being investigated and will provide crucial information on the paracrine molecular mechanisms controlling capillary formation (Fig. 3). This point is of critical interest in the physiopathological and clinical approach to degenerative vasculopathies as well as tumor angiogenesis. Finding drugs that allow manipulation of pericyte/endothelial cell interactions will provide physicians with a potent tool capable of controlling and blocking vascular proliferation and permeability. Increase of pericyte recruitment to stabilize new vessels will potentially ameliorate vascular disorders, such as diabetic retinopathy. In addition, a stable capillary microvasculature may represent an important prerequisite for preventing tumor cell dissemination. The future use of molecules interfering with the endothelial cell/pericyte unit will be also of interest in tissue engineering as well as the development of multi-tissue organs. Further studies are needed to highlight further aspects of pericyte molecular biology and physiology.

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