## The role of RNAi and microRNAs in animal virus replication and antiviral immunity

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The closely related microRNA (miRNA) and RNAi pathways have emerged as important regulators of virus-host cell interactions. Although both pathways are relatively well conserved all the way from plants to invertebrates to mammals, there are important differences between these systems. A more complete understanding of these differences will be required to fully appreciate the relationship between these diverse host organisms and the various viruses that infect them. Insights derived from this research will facilitate a better understanding of viral pathogenesis and the host innate immune response to viral infection.

MicroRNAs (miRNAs) are small regulatory RNAs ~22 nucleotides (nt) in length that are typically derived from a single arm of imperfect, ~80-nt long RNA hairpins located within polymerase II (pol II)-derived transcripts referred to as primary miRNAs (pri-miRNAs) (Fig. 1A; for review, see Bartel 2004; Cullen 2004). Pri-miRNAs are capped and polyadenylated and may be almost any size, ranging from hundreds to thousands of nucleotides, and may encode a single miRNA or a cluster of several miRNAs (Lagos-Quintana et al. 2001; Lim et al. 2003). Pri-miRNA stem-loops, which consist of an  $\sim$ 32base-pair (bp) imperfect stem and a  $\geq 10$ -nt terminal loop, are cleaved by the RNase III enzyme Drosha, acting together with its cofactor DGCR8, ~22 bp from the stem/loop junction (Han et al. 2004; Zeng et al. 2005), thereby excising the ~60-nt precursor miRNA (premiRNA) hairpin. Since the flanking 5' and 3' arms of the pri-miRNA are degraded following Drosha cleavage, pre-miRNAs are typically found within the exonic regions of noncoding RNAs or in the introns of proteincoding or noncoding transcripts (Fig. 1A).

Cleavage by Drosha leaves the pre-miRNA hairpin with a 2-nt 3' overhang. This is recognized by Exportin 5, which transports the pre-miRNA to the cytoplasm (Yi et al. 2003; Lund et al. 2004). There, the same 2-nt 3' overhang is recognized by Dicer, another RNase III

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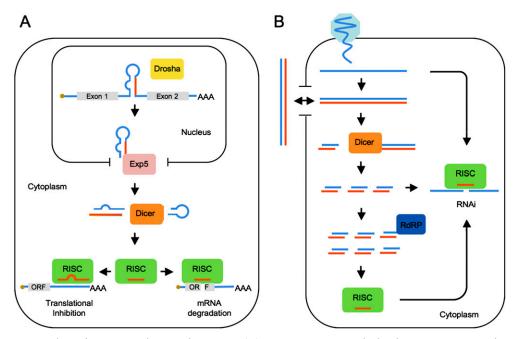
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enzyme, and its cofactor TRBP. Binding of Dicer/TRBP to the base of the pre-miRNA is followed by cleavage to release the terminal loop, yielding an RNA duplex of ~20 bp flanked by 2-nt 3' overhangs (Fig. 1A; Chendrimada et al. 2005). The RNA strand that is less tightly basepaired at the 5' end is loaded into the RNA-induced silencing complex (RISC) and forms the mature miRNA (Khvorova et al. 2003; Schwarz et al. 2003). The miRNA then guides RISC to mRNAs bearing complementary target sites (Hammond et al. 2000).

Although the vast majority of miRNAs are generated as described above, some exceptions exist. For example, some miRNAs are derived from short, excised introns, called mirtrons, which resemble pre-miRNA hairpins, bypassing the need for Drosha, and only require Dicer for maturation (Berezikov et al. 2007; Ruby et al. 2007). A small number of miRNAs are transcribed as shRNAs with a 5' single-stranded tail (Babiarz et al. 2008). Similar to mirtrons, these "tailed" pre-miRNAs are Droshaindependent, but still require Dicer for final processing.

RISCs, which are minimally composed of a mature miRNA and an Argonaute protein, usually, but not invariably, bind to the 3' untranslated region (UTR) of targeted transcripts. Functional targets are generally fully complementary to nucleotides 2–7, preferably 2–8, at the 5' end of the miRNA, referred to as the miRNA seed (Bartel 2009). There are a few examples known, however, where base-pairing between the target transcript and the 3' half of the miRNA can compensate for mismatches in the seed. Perhaps the best example of this phenomenon is provided by the miRNA lin-4 and a well-characterized target mRNA encoding lin-14, where robust inhibition of lin-14 expression is conferred by target sites lacking full seed homology (Ha et al. 1996).

RISCs bound to partially complementary mRNA targets induce the translational repression of that mRNA by a mechanism that remains to be fully defined (Fig. 1A; for review, see Filipowicz et al. 2008). Translational repression in turn often induces a modest destabilization of the target mRNA (Bagga et al. 2005). RISCs tend to function in a cooperative manner; the greater the number of RISCs bound to a target transcript, the greater the inhibitory effect (Doench et al. 2003; Doench and Sharp 2004).



**Figure 1.** Schematic outline of miRNA and siRNA biogenesis. (*A*) miRNAs are transcribed as long pri-mRNAs and may be located in either noncoding RNAs or, as shown here, in an intron. After excision by Drosha, the pre-miRNA hairpin is exported to the cytoplasm for further processing by Dicer. One strand of the resulting miRNA duplex intermediate is incorporated into RISC, where it acts as a guide RNA to target RISC to either partially or fully complementary mRNAs. This primarily results in translational inhibition or mRNA degradation, respectively. (*B*) The antiviral RNAi pathway in plants and invertebrates is triggered by long, perfect dsRNAs that are generated by viral replication. These dsRNAs are cleaved by Dicer to generate siRNA duplexes, one strand of which is incorporated into RISC either directly or after being used as a primer by cellular RdRP to generate additional dsRNA substrates. When targeted to a viral mRNA, these viral siRNAs induce transcript cleavage and degradation. Viral dsRNAs may also be actively or passively released by virus-infected cells to induce a systemic antiviral state after capture by other, noninfected cells.

While RISCs bound to partially complementary mRNA targets primarily induce translational inhibition, mRNAs bearing perfectly complementary miRNA targets are cleaved by RISC and degraded in a process referred to as RNAi (Fig. 1A; Hutvagner and Zamore 2002; Zeng et al. 2003). Unlike translational inhibition, which requires stable binding of RISC to its target mRNA, RNAi permits a single RISC to act enzymatically to irreversibly inhibit the expression of multiple mRNA molecules.

miRNAs are expressed by plants and metazoans (Bartel 2004), where they have been found to play a role in a wide variety of cellular processes including cellular proliferation, regulation of development, apoptosis, homeostasis, and tumor formation (Ambros 2004; He and Hannon 2004; Lai 2005). Humans encode >500 different miRNAs (Griffiths-Jones et al. 2008), which tend to be expressed in a developmental or tissue-specific manner (Landgraf et al. 2007). It has been demonstrated that individual miRNAs are capable of directly down-regulating the expression of hundreds of different mRNAs, and >30% of all mammalian mRNAs are thought to be regulated by miRNAs (Lewis et al. 2005).

#### RNAi as an innate antiviral mechanism

Infection by all RNA viruses, except retroviruses, leads to the generation of long dsRNAs during the virus life cycle.

DNA viruses also produce dsRNAs by convergent transcription of their compact viral genomes. Unlike the short, imperfectly base-paired RNA stem-loop structures typically found in cellular transcripts, viral dsRNAs are generally both long and perfectly complementary. In plants and invertebrates, Dicer cleavage of such dsRNAs generates a pool of  $\sim$ 22 bp dsRNAs, called siRNA duplexes, that are structurally similar to the miRNA duplex intermediate described above (Fig. 1A,B). As with miRNAs, one strand of the siRNA duplex is loaded into RISC, where it is used to guide RISC to complementary mRNAs. In this context, the relevant targets are viral mRNAs and genomic RNAs. As these are fully complementary to any viral siRNAs, RISC binding results in their cleavage and degradation (Fig. 1B), thus potently inhibiting virus replication. In plants and nematodes, this antiviral response is further amplified through a secondary wave of siRNAs generated by RNA-dependent RNA polymerases (RdRPs), which greatly increases the pool of siRNAs available to RISC (Aoki et al. 2007; Diaz-Pendon et al. 2007). Although insects do not share the ability of plants and nematodes to amplify the antiviral siRNA response using RdRPs, all of these organisms do mount systemic antiviral RNAi responses that are critical for effective antiviral immunity through uptake of viral dsRNAs either secreted or released by virus-infected cells (Feinberg and Hunter 2003; Saleh et al. 2009).

#### Plant and invertebrate viruses can block antiviral RNAi

The generation of antiviral siRNAs has been observed during viral infection in several plant and invertebrate systems (Hamilton and Baulcombe 1999; Zamore et al. 2000). To counter antiviral RNAi responses, many plant and invertebrate viruses have evolved proteins that act as suppressors of RNA silencing (SRS) (Voinnet et al. 1999; Li and Ding 2005). For example, the tomato bushy stunt virus p19 protein inhibits RNAi through direct binding of  $\sim$ 21 bp dsRNAs with 2-nt 3' overhangs, thus preventing the siRNAs from being loaded into RISC (Scholthof 2006). Another plant virus SRS, the cucumber mosaic cucumovirus 2b protein, is able to inhibit RNAi by three distinct mechanisms (Brigneti et al. 1998; Diaz-Pendon et al. 2007; Goto et al. 2007). This protein not only interferes with RISC to inhibit cleavage activity, but also impedes delivery of the systemic siRNA signal and inhibits the production of RdRP-derived viral siRNAs, thereby limiting the amplification and spread of the RNAi response.

Some insect viruses also encode SRS proteins. For example, the flock house virus (FHV) B2 protein inhibits RNAi through direct binding of dsRNAs, regardless of length, thus preventing Dicer processing (Li et al. 2002). B2 also inhibits viral siRNA production through a direct interaction with the viral RdRP during viral RNA synthesis (Aliyari et al. 2008). FHV is a member of the alphanodavirus subfamily of positive-strand RNA insect viruses that spread directly from insect to insect. In addition to FHV B2, SRS proteins have been identified in multiple members of the nodavirus superfamily (Li et al. 2002; Sullivan and Ganem 2005; Fenner et al. 2006), suggesting that inhibition of the antiviral RNAi response is an important requirement for effective propagation of these viruses. This is perhaps due to the fact that high virus titers must be produced within infected insect hosts in order to ensure that sufficient amounts are shed to continue the transmission cycle.

#### Arboviruses and antiviral RNAi

Although arboviruses also infect insects, there are as yet no reports of any arbovirus-encoded SRS proteins. Arboviruses, or arthropod-borne viruses, use vertebrate reservoirs as an essential component of their life cycle and are transmitted through mosquito, fly, or tick bites (Weaver and Barrett 2004). While arboviruses can be highly pathogenic in their vertebrate hosts, these viruses normally establish a persistent, nonpathogenic infection in their insect vectors. Successful virus propagation requires an adequate pool of infected insects to ensure continued transmission to subsequent vertebrate hosts (Black and Moore 2005). From an evolutionary standpoint, maintaining an infected, but healthy insect vector pool is of paramount importance to these viruses. In particular, increased virus replication in the insect vector is not a desired attribute if such an increase results in vector mortality or even significant pathogenicity. Therefore, it is possible that arboviruses have evolved to lack SRS proteins in order to ensure that their pathogenic potential

within their insect vectors is limited. In contrast, and as discussed in more detail below, there is currently no evidence supporting the hypothesis that arboviruses, such as yellow fever virus (YFV), induce a protective RNAi response in mammalian cells (Pfeffer et al. 2005), and the lack of an SRS would therefore not prevent highlevel replication and pathogenicity in these hosts.

Recent research on Sindbis virus (SINV) supports this hypothesis. SINV is a member of the alphavirus family of plus-strand RNA viruses infecting both mosquitoes and birds. Infection of mosquitoes with a recombinant SINV carrying a heterologous SRS protein produced higher viral titers and reduced levels of antiviral siRNAs when compared with wild-type virus (Myles et al. 2008). However, this SINV variant also induced a dramatic increase in mosquito mortality. Similar results were also obtained in parallel experiments using O'nyong-nyong virus, a mosquito-borne arbovirus that uses primates as a reservoir (Myles et al. 2008).

#### Mammalian innate antiviral defenses

In mammalian cells, viral infection is a potent trigger of the interferon (IFN) response (for review, see Sen 2001; Katze et al. 2002; Sadler and Williams 2008). Triggering of the IFN system is initiated by pattern-recognition receptors (PRRs) that recognize the presence of viral molecules. Some PRRs, including MDA5, RIG-I, and TLR3, recognize dsRNAs such as those generated during viral infection. MDA5 and RIG-I are cytoplasmic PRRs that, upon activation, initiate a signal cascade that results in the expression and secretion of IFN. TLR3, which is primarily located in endosomes, can trigger a similar signaling cascade after dsRNA binding, also leading to IFN production. The IFN response is then further amplified and spread to surrounding cells by a positive feedback loop involving the JAK/STAT pathway, thus generating a systemic antiviral state. Binding of IFNs to their cell surface receptors results in the production of numerous IFN-stimulated genes, including OAS/RNaseL and PKR. OAS proteins recognize viral dsRNAs and tag them with 2',5'-adenosine oligomers. These oligomers then activate RNaseL, which degrades the modified RNAs. The resulting degradation products can also serve as triggers for MDA5 and RIG-I, inducing further expression of IFN in a positive feedback loop.

Upon recognition of viral dsRNA, PKR phosphorylates the translation factor eIF2 $\alpha$ , thereby causing a global translational arrest and potentially leading to apoptosis of the infected cell. In this way, mammalian cells use multiple innate defense mechanisms, activated by dsRNAs, to inhibit virus replication in both a cell-autonomous and systemic fashion. Therefore, while both mammalian and invertebrate cells are primed to mount antiviral responses upon encountering long dsRNAs, the systemic components of this response appear to differ in being nucleic acid-based in invertebrates and protein-based in mammals.

As one would predict, mammalian viruses have evolved a diverse array of countermeasures to block the

protein-based innate antiviral mechanisms delineated above. For example, the adenovirus E1A protein interferes with the JAK/STAT signaling pathway (Bhattacharya et al. 1996). Other viral proteins directly block PKR activation; e.g., hepatitis C virus (HCV) NS5A and herpes simplex virus type 1 (HSV-1) ICP34.5 (Gale et al. 1997; Leib et al. 2000). PKR can also be inhibited by high concentrations of viral RNA "decoys," such as the adenoviral VAI and the Epstein-Barr virus (EBV) EBER RNAs (Goodbourn et al. 2000). Moreover, simian virus 40 (SV40) and encephalomyocarditis virus block the OAS/RNaseL pathway (Vilcek and Sen 1996). In this way, IFN response inhibitors evolved by mammalian viruses mirror the diverse SRS proteins encoded by plant and invertebrate viruses.

### Do mammalian cells generate an antiviral RNAi response?

Whether or not viral infection of mammalian cells ever induces an effective antiviral RNAi response remains to be fully determined. In the most comprehensive examination of this issue performed to date, Pfeffer et al. (2005) cloned small RNAs from cell lines infected with a range of viruses including Kaposi's sarcoma-associated herpesvirus (KSHV), mouse  $\gamma$ -herpesvirus 68 (MHV68), human cytomegalovirus (hCMV), human immunodeficiency virus (HIV-1), YFV, and HCV. Although hundreds of virally derived short RNAs were recovered from the three herpesvirus samples, which were then all determined to be novel viral miRNAs (Table 1), no siRNAs of viral origin

Table 1. Summary of currently known viral miRNAs

were recovered from any of the infected cells analyzed. Moreover, HIV-1, YFV, and HCV were found to produce neither viral miRNAs nor siRNAs in infected cells. Other groups, using small RNA cloning as well as deep sequencing, have observed a similar absence of viral siRNAs in cells infected by HIV-1, human T-cell leukemia virus type I (HTLV-I), HCV, hCMV, murine cytomegalovirus (mCMV), EBV, and influenza virus (Cai et al. 2006; Buck et al. 2007; Dölken et al. 2007; Lin and Cullen 2007; Randall et al. 2007; JL Umbach and BR Cullen, unpubl.). While one group has reported the existence of a single HIV-1 siRNA (Bennasser et al. 2005), this small RNA, if genuine, appears to be expressed at very low levels.

In addition to the inability to detect viral siRNAs in virally infected mammalian cells reported by several groups, analysis of small RNAs expressed in mammalian somatic cells using deep sequencing has also failed to detect siRNAs of any kind, including the repeat-associated siRNAs (rasiRNAs) commonly seen in plants and invertebrates (Tam et al. 2008). However, endogenous siRNAs have been cloned from mouse oocytes and embryonic stem (ES) cells, demonstrating that mammalian Dicer is capable of generating siRNAs through the progressive cleavage of long dsRNAs (Babiarz et al. 2008; Tam et al. 2008). These dsRNAs were derived from several sources, including bidirectional transcription of a single locus, endogenous hairpin RNAs, and pairing of near-complementary pseudogene RNAs, substrates similar to those used to generate antiviral siRNAs in plants and invertebrates. It should be noted, however, that oocytes and ES cells are unusual in that the IFN pathway is not

Virus Family	Subfamily/Genus	Name	Host	Number of pre-miRNAs	References
Herpesvirus	α/Simplexvirus	HSV-1	Human	6	Cui et al. 2006; Umbach et al. 2008
		HSV-2	Human	3	Tang et al. 2008, 2009
	$\alpha$ /Mardivirus	MDV-1	Avian	14	Burnside et al. 2006; Yao et al. 2008
		MDV-2	Avian	17	Yao et al. 2007
	β/Cytomegalovirus	hCMV	Human	11	Grey et al. 2005; Pfeffer et al. 2005
		mCMV	Murine	18	Buck et al. 2007; Dölken et al. 2007
	$\gamma_1/Lymphocryptovirus$	EBV	Human	25	Pfeffer et al. 2004; Cai et al. 2006; Grundhoff et al. 2006; Zhu et al. 2009
		rLCV	Simian	16	Cai et al. 2006
	$\gamma_2/Rhadinovirus$	KSHV	Human	12	Cai et al. 2005; Pfeffer et al. 2005; Samols et al. 2005; Grundhoff et al. 2006
		RRV	Simian	7	Schäfer et al. 2007
		MHV68	Murine	9	Pfeffer et al. 2005
Polyomavirus		SV40	Simian	1	Sullivan et al. 2005
		SA12	Simian	1	Cantalupo et al. 2005
		MCV	Simian	1	Seo et al. 2009
		BKV	Human	1	Seo et al. 2008
		JCV	Human	1	Seo et al. 2008
		mPy	Murine	1	Sullivan et al. 2009
Adenovirus		hAV	Human	1	Aparicio et al. 2006; Sano et al. 2006
Ascovirus		HvAV	Lepidopteran	1	Hussain et al. 2008

As each pre-miRNA may give rise to one or two mature miRNAs, this table enumerates the pre-miRNAs encoded by each virus. Only herpesviruses have so far been shown to encode more than one pre-miRNA. Several other viruses, including human papillomavirus, HCV, YFV, HIV-1, and HTLV-I, have been reported to lack miRNAs.

functional in these cells (Wianny and Zernicka-Goetz 2000; Yang et al. 2001).

#### Do mammalian viruses encode SRS proteins?

There have also been reports arguing that SRS proteins are encoded by some mammalian viruses, including influenza NS1 (Li et al. 2004), vaccinia virus E3L (Li et al. 2004), Ebola virus VP35 (Haasnoot et al. 2007), HIV-1 Tat (Bennasser et al. 2005), and primate foamy virus (PFV) Tas (Lecellier et al. 2005). It is difficult to determine the precise role that these putative SRS activities play in the viral life cycle, as all of these proteins also have other well-defined and essential functions. Additionally, there is the question of specificity, since it has been demonstrated that prokaryotic proteins containing a dsRNA-binding domain can also exhibit an SRS phenotype when overexpressed (Lichner et al. 2003). The question of specificity and physiological relevance is especially pertinent since the SRS activities of NS1, E3L, and VP35 have all been mapped to their dsRNA-binding domains (Li et al. 2004; Haasnoot et al. 2007). Currently, there are no studies demonstrating that any of these putative mammalian virus SRS proteins affect viral siRNA production, for the simple reason that no viral siRNAs have yet been identified in infected mammalian cells.

Although not a protein, the adenoviral VA1 RNA has been reported to have SRS properties in addition to its well-established role in PKR inhibition (Lu and Cullen 2004; Andersson et al. 2005). VA1 is a small, highly structured RNA with a short 3' overhang that is recognized and bound by Exportin 5. Since VA1 is produced at extremely high levels during adenoviral infection (up to  $10^8$  copies per cell), VA1 is able to saturate the Exportin 5 pathway, thereby inhibiting the nuclear export of premiRNAs. Additionally, due to the fact that its secondary structure resembles that of pre-miRNAs, VA1 is also able to bind Dicer. Yet, VA1 is a poor substrate for Dicer cleavage and, in fact, functions as a competitive inhibitor of Dicer function (Andersson et al. 2005). Nevertheless, a small fraction ( $\sim 1\%$ ) of VA1 is cleaved by Dicer to give rise to a reported adenovirus miRNA (Table 1; Aparicio et al. 2006; Sano et al. 2006). It is currently unclear whether inhibition of the miRNA/siRNA pathway by VA1 is an important function of this molecule, or if it is simply an incidental consequence of its secondary structure and the extraordinary abundance of the molecule in adenovirus-infected cells.

In conclusion, although it appears that the cellular machinery required to generate an antiviral siRNA response in plants and invertebrates is largely conserved in mammals, it does not seem that this mechanism is used, at least in somatic cells. Additionally, mammalian cells lack the RdRPs used by plants and nematodes to amplify the siRNA population and have not been shown to be able to mount a systemic antiviral RNAi response. Together with the lack of convincing evidence for a mammalian virus SRS, these results collectively suggest it is unlikely that mammals use RNAi as an antiviral innate immune response. Rather, it appears that the RNAi response has been replaced by the perhaps more versatile IFN system. In this way, mammalian cells also avoid any potential nonspecific, off-target effects pools of viral siRNAs might exert on the expression of partially complementary cellular mRNAs.

#### Viral miRNAs

miRNAs have several features that may make them particularly useful to viruses. First, and most obviously, they can be used to target specific genes for down-regulation in order to establish a favorable environment for virus replication. Second, the evolution of a miRNA complementary to a new target gene can presumably be accomplished much more easily than the evolution of a novel regulatory protein. Third, miRNAs are small; the minimal size requirement for a functional pri-miRNA is <200 nt, ideal for the tight space constraints characteristic of viral genomes. Finally, unlike proteins, miRNAs are not antigenic.

Just as viruses have co-opted many other cellular functions for their own benefit, a growing body of research has demonstrated that many viruses encode miRNAs. All herpesviruses examined to date have been found to encode miRNAs, as have human adenovirus, Heliothis virescens ascovirus (HvAc), and several members of the polyomavirus family, (Table 1; Cantalupo et al. 2005; Sullivan et al. 2005, 2009; Aparicio et al. 2006; Hussain et al. 2008; Seo et al. 2008, 2009). That these are all nuclear DNA viruses likely reflects the fact that Drosha and DGCR8, required for the initial pre-miRNA excision event, localize to the nucleus-viruses that replicate exclusively in the cytoplasm would not have ready access to this machinery. In addition, miRNA processing can result in the destruction of the entire pri-miRNA transcript, except for the mature miRNA itself, so it is unlikely that viruses with RNA genomes would encode a miRNA, unless it conferred a very significant survival advantage. Nevertheless, some groups have proposed that HIV-1 encodes a viral miRNA (Omoto et al. 2004; Klase et al. 2007; Ouellet et al. 2008), although this has been disputed by others (Pfeffer et al. 2005; Lin and Cullen 2007).

Another consideration is the fact that miRNAs act on RNA transcripts. Even with complete translational inhibition, the existing pool of proteins would likely have to turn over before a phenotype could be observed. Depending on the stability of the protein in question, this delay may limit the potential benefits of miRNAs for viruses with short life cycles. (While it is possible that a viral miRNA could target a novel cellular transcript induced by infection, such a scenario has yet to be reported.) Although exceptions may exist, these considerations suggest that the viruses most likely to encode miRNAs would be nuclear DNA viruses capable of persistent or latent infection, as has indeed been observed.

#### Viral mRNA targets of viral miRNAs

Thus far it has been easier to identify viral targets of viral miRNAs rather than cellular targets. This is partly due to the fact that a viral mRNA transcribed antisense to a viral

miRNA makes an obvious target. In addition, viral genomes are significantly smaller than host genomes, so candidate mRNA targets are fewer and easier to test. Interestingly, most of the viral mRNA targets of viral miRNAs identified thus far are either targets of the host immune response and/or viral regulatory proteins. For example, SV40 miR-S1 down-modulates production of the viral T antigen (TAg) (Sullivan et al. 2005). During infection, SV40 TAg is expressed as an early protein, where it regulates viral transcription and DNA replication (Sullivan and Pipas 2002). During late viral replication, TAg is no longer required, but its continued presence can elicit a cytotoxic T-cell (CTL) response against SV40-infected cells. miR-S1, which is located antisense to the TAg mRNA and is expressed as a viral late gene product, cleaves and degrades TAg mRNA. Although miR-S1 does not affect virus replication per se, down-regulation of TAg expression late in the viral life cycle was proposed to reduce the susceptibility of SV40infected cells to CTLs.

The genomic location of SV40 miR-S1 is conserved in other members of the polyomavirus family, including the human viruses JCV and BKV, mouse polyomavirus (mPy), and the primate SA12 and Merkel cell virus (MCV). The function of these miRNAs also appears to be conserved as several also down-regulate TAg expression in their cognate viruses (Cantalupo et al. 2005; Seo et al. 2008, 2009; Sullivan et al. 2009). Experimental infection of mice with a mutant form of mouse polyomavirus that does not express this miRNA did not, however, result in an appreciable decrease in replication when compared with wild-type virus; infection was established with equal efficiency by both viruses, and both were cleared from the host with approximately the same kinetics (Sullivan et al. 2009). Indeed, no difference in T-cell-mediated immune responses could be detected. Therefore, it was hypothesized that this miRNA may function in a way that is not fully recapitulated by this experimental system; e.g., during virus transmission.

The first viral target of a viral miRNA to be described was the mRNA encoding the EBV DNA polymerase, BALF5, which is down-regulated by EBV miR-BART2 (Barth et al. 2008). miR-BART2 is located antisense to BALF5, and thus acts as an siRNA cleaving the target transcript in the center of its perfect binding site. (Interestingly, the BALF5 cleavage product was first mapped in 1993, well before the discovery of the EBV miRNAs [Furnari et al. 1993].) In a possible case of convergent evolution, it was reported recently that the insect virus HvAc also encodes a miRNA that down-regulates the viral DNA polymerase (Hussain et al. 2008). Unlike EBV miR-BART2, this HvAc miRNA is not encoded antisense to the polymerase transcript and binds the target imperfectly, although it does appear to destabilize the transcript. How down-regulation of the viral DNA polymerase gene facilitates viral replication is currently unclear, although in the case of EBV it could potentially stabilize viral latency.

Two reports have demonstrated that the hCMV miRNA miR-UL112-1 is able to target the viral gene

IE1 (Grey et al. 2005; Murphy et al. 2008). IE1, also known as IE72, is an immediate-early gene product required for activating transcription of hCMV early genes. Although IE1 is not essential, lack of this protein is detrimental at low multiplicities of infection. During hCMV infection, IE1 is initially expressed at high levels. As replication progresses, IE1 levels decline as levels of miR-UL112-1, which is expressed late in infection, increase. When miR-UL112-1 was prematurely expressed early in hCMV infection, a decrease in viral replication was observed (Grey et al. 2007). Although it is currently unknown whether miR-UL112-1 is also expressed during hCMV latency, it has been suggested that miR-UL112-1 could play a role in either the establishment or maintenance of latency (Murphy et al. 2008). Conversely, miR-UL112-1 may play a role similar to that proposed for SV40 miR-S1 (Sullivan et al. 2005) by removing a viral early transcription factor late in the replication cycle to prevent cytotoxicity or CTL killing of infected cells.

Herpes Simplex Virus Type 1 (HSV-1) establishes longterm latent infections in neurons of the trigeminal ganglia in vivo, from where it occasionally reactivates to cause a localized productive infection. HSV-1 was shown recently to express two miRNAs in latently infected neurons that are capable of down-regulating ICP0 and ICP4, immediate early proteins important for initiating productive replication (Umbach et al. 2008). One of these miRNAs, miR-H2, is transcribed antisense to ICPO, while a second HSV-1 miRNA, miR-H6, targets ICP4 mRNA through binding to an extended miRNA seed region. Two other HSV-1 miRNAs, miR-H3 and miR-H4, are both transcribed antisense to a viral mRNA encoding ICP34.5, a neurovirulence factor. Although down-regulation of ICP34.5 by these HSV-1 miRNAs has yet to be directly demonstrated, previous mutational studies strongly indicate that this is the case.

These results are congruent with recent reports demonstrating that HSV-2, a close relative of HSV-1, expresses several functionally homologous miRNAs in latently infected neurons (Tang et al. 2008, 2009). HSV-2 miR-H3 and miR-H4 are similar to HSV-1 miR-H3 and miR-H4 in their genomic location and sequence (Fig. 2B) and have been shown to inhibit ICP34.5 expression. The conserved down-regulation of ICP34.5 by miRNAs encoded by both HSV-1 and HSV-2 may protect neurons from the known neurovirulent effects of ICP34.5 during virus reactivation (Bolovan et al. 1994). Moreover, HSV-2 miR-H2 is also homologous to HSV-1 miR-H2 in both its genomic location and its ability to down-regulate ICP0, which may aid in the establishment and/or maintenance of the latent state. This would be similar to the proposed role for miR-UL112-1 in hCMV latency noted above; ICP0 is similar to IE1 in that both are immediate-early transcription factors that induce viral early gene expression. Additionally, the fact that HSV-1 miR-H6, which is again primarily expressed during latency, down-regulates ICP4, a second immediate-early protein required for productive replication, suggests that these viral miRNAs indeed act to stabilize HSV-1 and HSV-2 latency in vivo (Umbach et al. 2008; Tang et al. 2009).

А	miRNA	Sequence (5' to 3')	Homology
5р	SV40 miR-S1 JCV miR-J1	-UGAGGGGCCUGAAAUGAGCCUU UUCUGAGACCUGGGAAAAGCAU- * * * **** * **** *	55%
Зр	SV40 miR-S1 JCV miR-J1	-GCCUGUUUCAUGCCCUGAGU- UGCUUGAUCCAUGUCCAGAGUC ** ** * **** ** ****	75%
В	HSV-1 miR-H2 HSV-2 miR-H2	CCUGAGCCAGGGACGAGUGCGACU UCUGAGCCUGGGUCAUGCGCGA ******* *** * * ****	67%
	HSV-1 miR-H3 HSV-2 miR-H3	-CUGGGACUGUGCGGUUGGGA UUUGGGAGUCUGCGGUUGGGAGC ***** * **********	85%
	HSV-1 miR-H4 HSV-2 miR-H4	CUUGCCUGUCUAACUCGCUAGU CGUGCUUGCCUAGCGAACUCA- * *** ** ** * * **	55%

**Figure 2.** Several viral miRNAs show sequence similarity but lack a conserved miRNA seed region. Alignment of miRNAs encoded by the related polyomaviruses SV40 and JCV (A) or by the related herpesviruses HSV-1 and HSV-2 (B). Only in the case of HSV-1 miR-H2 and HSV-2 miR-H2 is conservation of the miRNA seed region observed (in gray), although there is considerable conservation outside of the seed in the other miRNAs listed. See the text for detailed discussion.

Overall, these reports demonstrate that viral miRNA regulation of viral gene expression is a common strategy used by several DNA viruses. Using viral miRNAs to regulate the expression of viral proteins may facilitate viral replication by inhibiting the ability of the host antiviral immune response to identify and eliminate infected cells and may also play a key role in the temporal regulation of the virus life cycle.

#### Conservation of viral miRNAs and their target sequences

While we have so far exclusively described viral mRNA targets of viral mRNAs, it is obviously possible for a single viral miRNA to inhibit both viral and cellular mRNAs. Indeed, at least one example of such a "dual function" miRNA has been reported; i.e., hCMV miR-UL112-1, which inhibits both viral IE1 expression and cellular MICB expression (see below for further discussion). As cellular mRNA targets, unlike viral mRNA targets, are not likely to coevolve with a viral miRNA, targeting a cellular mRNA should lead to miRNA sequence conservation, at least in the critical seed region. Conversely, viral miRNAs that target viral mRNAs might not be evolutionarily conserved, especially if the viral mRNA target lies directly antisense to the miRNA. It is therefore interesting that, although the genomic location and viral mRNA target of polyomavirus miRNAs are conserved among members of this family, the sequences of the miRNA seed regions are not (Fig. 2A). This suggests that the sole target of these miRNAs is the antisense TAg mRNA, as they seem unlikely to target the same cellular transcripts (Seo et al. 2008). This also may be true for HSV-1 and HSV-2 miR-H3 and miR-H4 (Fig. 2B). Although both the genomic locations and down-regulation of the antisense ICP34.5 mRNA are conserved, there is only partial sequence identity between the miRNA seed

regions, although there is significant sequence similarity overall (Fig. 2B). Again, it seems unlikely that these viral miRNAs target the same cellular transcripts. Therefore, it is possible that down-regulation of a single viral gene product—i.e., ICP34.5—may be the sole physiological function of these two viral miRNAs. On the other hand, the seed regions of HSV-1 and HSV-2 miR-H2 do seem to be conserved (Fig. 2B). Therefore, it is possible that these two viral miRNAs down-regulate not only the antisense viral *ICP0* mRNA, but also a similar set of cellular transcripts.

While the polyomavirus and herpes virus miRNAs described above share similar or identical genomic locations and function and at least partial sequence similarity, this is not invariably the case in other viruses. For example, comparison of the related herpesviruses MDV-1 and MDV-2, hCMV and mCMV, or KSHV and RRV reveals conservation of miRNA location in some instances, but no evidence for conservation of miRNA sequence. This may imply that these viruses have evolved to target distinct cellular mRNA targets and/or that these viral miRNAs are rapidly coevolving with a presumably viral mRNA target. The single current exception to this lack of miRNA sequence conservation is provided by EBV and its rhesus equivalent, rhesus lymphocryptovirus (rLCV), where eight of the viral miRNAs encoded by rLCV are highly similar in sequence to EBV miRNAs encoded at a similar genomic location (Cai et al. 2006). This implies the existence of shared cellular mRNA targets that are down-regulated by miRNAs encoded by both these primate  $\gamma$ -herpesviruses.

Although a lack of viral miRNA sequence conservation between related viruses would seem to imply the evolution of distinct functions, it is important to note that this is not necessarily the case; i.e., apparently "nonconserved" viral miRNAs could target different regions of the same target mRNA or even two different gene products in the same cellular pathway. This is certainly a possibility given the apparent evolutionary flexibility of viral miRNAs. However, direct evidence for this scenario has not been reported thus far, although this may reflect the scarcity of known viral miRNA targets.

#### Cellular targets of viral miRNAs

In addition to "autoregulation" of viral targets, viral miRNAs targeting cellular mRNAs have also been described. Most cellular mRNA targets identified thus far play a role in either regulation of apoptosis or modulation of the host antiviral immune response.

Examples of apoptosis regulators include PUMA, a proapoptotic factor, which was identified recently as a target of EBV miR-BART5 (Choy et al. 2008). PUMA plays a role in apoptosis as a direct downstream target of p53 in addition to being able to initiate apoptosis via a p53-independent mechanism (Han et al. 2001). Down-regulation of PUMA by miR-BART5 may protect EBV-infected cells from virus-induced apoptosis.

BCLAF1, another apoptotic factor, was identified recently as a cellular target of the KSHV miRNAs miR-K5,

miR-K9, and miR-K10 (Ziegelbauer et al. 2009). BCLAF1 can apparently function as a pro- or anti-apoptotic factor, depending on its cellular context. Regardless, inhibition of miR-K5, miR-K9, or miR-K10 function in latently KSHV-infected cells resulted in elevated levels of BCLAF1 and decreased virus production after induction of productive viral replication, suggesting that BCLAF1 stabilizes KSHV latency.

Another study reported that THBS1 is down-regulated by multiple KSHV miRNAs, including miR-K1, miR-K3-3p, miR-K6-3p, and miR-K11 (Samols et al. 2007). THBS1 is a multifunctional protein that regulates a variety of processes including cell adhesion, migration, and angiogenesis (Lawler 2002). It has also been reported to function as a chemoattractant, involved in the recruitment of monocytes and T cells to sites of infection (Narizhneva et al. 2005). Down-regulation of THBS1 by KSHV miRNAs may aid KSHV-infected cells in avoiding detection by the host immune system.

Another example of a virally regulated immunomodulator is provided by the chemokine CXCL-11, which was identified as a target of EBV miR-BHRF1-3 (Xia et al. 2008). As CXCL-11 is a potent T-cell chemoattractant (Cole et al. 1998), down-regulation might allow infected cells to avoid T-cell detection and killing.

The hCMV miRNA miR-UL112-1 was reported to inhibit expression of *MICB* through binding sites within the 3'UTR of this mRNA (Stern-Ginossar et al. 2007). MICB is a stress-induced cell surface ligand recognized by the NKG2D receptor found primarily on natural killer (NK) cells. Exposure of cells to a stressor such as virus infection induces expression of MICB, which is followed by binding to the NKG2D receptor, resulting in NK cell killing of the affected cell. Thus, down-regulation of MICB by miR-UL112-1 may allow hCMV to escape NK cell immune surveillance.

In conclusion, most cellular targets of viral miRNAs identified so far fall into two related categories; i.e., apoptosis regulators and immunomodulators. By interfering with apoptosis or evading host immune responses, viruses are able to prolong the life of the infected cell and maximize their own replication potential.

#### Antiviral cellular miRNAs?

It has been suggested that, in lieu of an antiviral RNAi response, mammalian cells might inhibit virus infection by targeting viral transcripts with cellular miRNAs (Lecellier et al. 2005; Otsuka et al. 2007; Pedersen et al. 2007). However, several considerations suggest that it is unlikely that cellular miRNAs have evolved specifically as an antiviral defense mechanism. First, many miRNAs are conserved across a wide variety of vertebrate species, all the way from birds to humans. It is therefore unlikely that a given miRNA could have specifically evolved to counter a particular virus, since viral host ranges are typically much more limited. Second, viruses have much shorter life cycles than their hosts, and their polymerases often have a high mutation rate. As a consequence, viruses tend to evolve much more quickly than their

host counterparts. This would make it very difficult for a cellular miRNA to inhibit a virus effectively, given that a single nucleotide mutation in the seed region of the viral mRNA target has the potential to block downregulation. This is particularly true for RNA viruses such as HIV-1 and HCV, which exist as highly diverse "pseudospecies" rather than as homogeneous populations. Third, many viruses appear to have evolved relatively recently, long after their current host species. Therefore, cellular miRNAs encoded by that host could not have evolved to specifically inhibit these viruses.

Nevertheless, it has been reported that mice exhibiting a reduced level of Dicer activity become hypersusceptible to infection by vesicular stomatitis virus (VSV) due to reduced levels of cellular miR-24 and miR-93, which down-regulate VSV protein expression (Otsuka et al. 2007). This suggests that cellular miRNAs can indeed have an antiviral effect. It should be noted however, that these experiments were done with a laboratory strain of VSV, and that strains more representative of VSV field isolates do not share the reported binding sites for miR-24 or miR-93 (Muller and Imler 2007). In addition, VSV is an arbovirus that is normally transmitted from black flies to livestock (Mead et al. 2000). Although mice can be experimentally infected with VSV, they represent nonphysiological, dead-end hosts, and are not capable of transmitting VSV to uninfected flies. Therefore, these observations may be accurate for the system used, but the importance of the conclusions drawn must be tempered with knowledge that this experimental system may not be that physiologically relevant (Mahajan et al. 2009).

Another report demonstrated that several cellular miRNAs, including miR-28, miR-125b, miR-150, miR-223, and miR-382, are capable of inhibiting HIV-1 replication via binding sites located within the viral genome (Huang et al. 2007). As these miRNAs are primarily expressed in resting CD4<sup>+</sup> T cells, as opposed to the activated CD4<sup>+</sup> T cells that support HIV-1 replication in vivo, it was proposed that HIV-1 has evolved to utilize these cellular miRNAs to facilitate entry into viral latency. Latently HIV-1-infected T cells are clinically important, as they provide the only long-lived viral reservoir in individuals undergoing treatment with highly active anti-retroviral therapy (HAART). However, current evidence indicates that latent HIV-1 is transcriptionally inert, so there would not actually be any viral mRNAs available for these miRNAs to target (Han et al. 2007). Moreover, the pool of latently infected T cells comprises <0.1% of all infected cells within the host; the primary targets for infection are activated T cells and macrophages, and these infections persist at a high level for the life of the host through the continuous infection of naive cells. Therefore, latently infected T cells do not make a significant contribution to overall virus replication kinetics within the host in the absence of HAART; i.e., they confer no advantage to the virus under normal physiological conditions. Instead, these latently infected T cells likely arise due to the propensity of a small percentage of HIV-1-infected, activated T cells to cycle into a resting memory state; latent infection could result if HIV-1 infection occurs immediately prior to this step (Han et al. 2007).

It was also reported that cellular miR-32 serves as an antiviral defense against PFV, another retrovirus, in infected Hela cells (Lecellier et al. 2005). It seems unlikely that this miRNA has specifically evolved to counter PFV infection, given that this miRNA is conserved from chickens to human, while PFV has a narrow host range limited to chimpanzees (Meiering and Linial 2001). Additionally, the miR-32 target site is not well conserved among the primate foamy virus species, and it remains to be determined whether miR-32 is even expressed in the salivary glands, where PFV normally establishes infection.

Although convincing evidence demonstrating that individual cellular miRNAs are capable of acting as an antiviral defense mechanism in a physiologically relevant setting has yet to be presented, these reports do collectively indicate that cellular miRNAs can inhibit viral replication when target sites are presented by the infecting virus. In fact, in vitro studies have demonstrated that RNAi can, at least temporarily, suppress members of virtually every class of virus after the introduction of exogenous siRNAs, or shRNA expression vectors, that target viral transcripts (Dykxhoorn and Lieberman 2006; Leonard and Schaffer 2006). However, when these siRNAs were introduced individually, viruses were able to rapidly evolve escape mutants that avoided further inhibition (Boden et al. 2003; Das et al. 2004; Gitlin et al. 2005; Wilson and Richardson 2005). The simultaneous inclusion of multiple, distinct siRNAs, however, made viral escape more difficult to achieve. Although the ability of viruses to escape from inhibition by RNAi is clearly a serious problem, antiviral siRNAs are nevertheless under development or in clinical trials for several important human pathogens, including HCV, HIV-1, and Respiratory Syncytial Virus (Castanotto and Rossi 2009).

#### Influence of cellular miRNAs on viral tropism

Viral tropism is a complex issue influenced by many factors. At the molecular level such factors include, but are not limited to the availability of cell surface receptors, the presence or absence of required cellular cofactors, or innate antiviral resistance factors, and the state of the infected cell; e.g., whether the cell is quiescent or actively replicating. In addition to these considerations, cellular miRNA profiles are likely to play a role in determining the tissue tropism of a given virus; i.e., the expression of certain miRNAs may limit viral replication in certain cell types through targeting and inhibition of viral transcripts. Conversely, the selective expression of miRNAs that have the potential to boost viral replication would tend to favor virus replication in that cell type.

Compelling evidence demonstrating that cellular miRNAs can influence viral tropism is provided by HCV, which contains two adjacent binding sites for cellular miR-122 within its 5'UTR (Jopling 2008). Unlike canon-

ical 3'UTR miRNA-binding sites, which inhibit translation of target transcripts, binding of miR-122 to the viral 5'UTR facilitates HCV replication (Jopling et al. 2005). The exact mechanism underlying this phenomenon has yet to be fully elucidated, as conflicting reports have suggested that miR-122 may act by increasing the level of HCV RNA replication in infected cells, and/or by increasing the translation of the HCV polyprotein (Henke et al. 2008; Jopling 2008). Regardless, the fact that miR-122 is exclusively expressed in the liver (Jopling et al. 2005), the primary replication site of HCV, suggests that this cellular miRNA plays a key role in determining the tropism of HCV for this tissue.

It has been proposed that cellular mRNAs show "selective avoidance" of specific cellular miRNAs; i.e., transcripts present in a particular cell type have evolved to lack binding sites for miRNAs expressed in the same cell (Farh et al. 2005). For example, as cells differentiate and the expression of specific miRNAs is induced, concurrently induced mRNAs tend to lack binding sites for these miRNAs, presumably to avoid inappropriate downregulation. In addition, it has been found that metazoan housekeeping genes typically have relatively short 3'UTRs compared with orthologous genes in plants, where 3'UTR targeting by miRNAs is less commonly observed (Stark et al. 2005). These short metazoan 3'UTRs presumably limit the number of potential miRNA-binding sites.

It is likely that viruses have also evolved to "selectively avoid" binding sites for inhibitory miRNAs that are expressed in their normal target tissue (e.g., activated CD4<sup>+</sup> T cells for HIV-1) but may still retain target sites for inhibitory miRNAs present in cells that they do not infect under physiological conditions (e.g., HeLa cells for PFV). Experiments that force a virus to infect a nonphysiological host or target cell may therefore uncover inhibition of viral replication by specific cellular miRNAs, but these are, in essence, artifacts. Interestingly, it has been demonstrated that viruses can be engineered to be incapable of replicating in a given tissue by insertion into their genome of artificial target sites for cellular miRNAs selectively expressed in that tissue (Barnes et al. 2008; Kelly et al. 2008; Ylösmäki et al. 2008). This observation has clear clinical potential, as it not only provides a novel way of attenuating viruses for the purpose of developing novel vaccines (Barnes et al. 2008), but also provides a way of tailoring the tissue tropism of viral vectors so as to avoid infection of inappropriate tissues during gene therapy (Kelly et al. 2008; Ylösmäki et al. 2008). However, the observation of viral revertants that had either partially or completely lost the inserted miRNA target sites in two of these studies (Barnes et al. 2008; Kelly et al. 2008) again demonstrates that it is difficult to use miRNAs or siRNAs to stably restrict the replication of these genetically plastic pathogens in an otherwise permissive cellular environment. Conversely, positive effects of a miRNA on virus replication, as seen with miR-122 and HCV, are likely subject to positive selection and hence may become fixed in a given viral population.

#### Viral induction of cellular miRNAs

Several publications have reported changes in the cellular miRNA expression profile following viral infection (Triboulet et al. 2007; Cameron et al. 2008; Wang et al. 2008). On the one hand, these changes could represent one aspect of the host cell innate immune response triggered by viral infection and might therefore act to inhibit virus replication (Pedersen et al. 2007). On the other hand, changes in cellular miRNA expression may be specifically induced by a given virus in order to create a more favorable intracellular environment for viral replication. Viral infection of a cell is a major disturbance, with almost all cellular processes subverted at some level and/or hijacked to produce foreign molecules that may trigger systemic warnings and responses. It is not surprising, therefore, that the expression of many cellular gene products, including miRNAs, is affected. Whether or not any of these changes are promoted by the virus to enhance virus replication, or instead act to inhibit virus replication, is currently unclear.

At present, the most compelling evidence in favor of functionally relevant changes in cellular miRNA expression after virus infection involves the EBV-induced upregulation of cellular miR-155 (Yin et al. 2008). MiR-155 is classified as an oncomir, or oncogenic miRNA, since increased expression of miR-155 is linked to the development of B-cell lymphomas and miR-155 is elevated in several types of cancer (Kluiver et al. 2005). Intriguingly, KSHV, a related oncogenic  $\gamma$ -herpesvirus, encodes a viral miRNA, miR-K11, that not only has the same seed as miR-155, but also targets many of the same cellular mRNAs for down-regulation (Gottwein et al. 2007; Skalsky et al. 2007). Moreover, the oncogenic chicken  $\alpha$ -herpesvirus virus Marek's disease virus type 1 (MDV-1) also encodes a miR-155 ortholog, while MDV-2, a related nononcogenic virus, does not (Zhao et al. 2009). Together, these data suggest that miR-155 and its viral homologs play a significant role in some aspect of the life cycle of these diverse herpesviruses, presumably by downregulating cellular mRNAs that remain to be identified. An unintentional consequence of the virus-induced expression of miR-155, or its viral orthologs, is that EBV-, KSHV-, or MDV-1-infected cells may be more likely to undergo oncogenic transformation.

#### **Concluding remarks**

The discovery of the miRNA and siRNA pathways has begun to revolutionize our understanding of virus-host cell interactions. Although our knowledge of the role of miRNAs and siRNAs in virally infected cells is still far from complete, it is already evident from the degree that both viruses and host cells utilize these systems that they are likely to play an important role in both host innate antiviral defense and virus replication. Continued study of these interactions in plants, invertebrates, and mammals, and careful comparisons of how these systems are both alike and different will continue to further our understanding of the interplay of viruses with the host antiviral innate immune response.

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