

The Role of the Medial Prefrontal Cortex in Spatial Margin of Safety Calculations

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1 **The Role of the Medial Prefrontal Cortex in Spatial Margin of** 2 **Safety Calculations**

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7 **Contributions**

8 S.Q. and D.M. designed the experiment. S.Q. and X.S. conducted the experiment and collected data. S.Q.
9 analysed the data. S.Q., D.M., J.O. and L.G., and T.W. wrote the paper.

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12 **Abstract**

13 Humans, like other animals, pre-empt danger by moving to locations that maximize their success at
14 escaping future threats. We test the idea that spatial margin of safety (MOS) decisions, a form of pre-
15 emptive avoidance, results in participants placing themselves closer to safer locations when facing more
16 unpredictable threats. Using multivariate pattern analysis on fMRI data collected while subjects engaged
17 in MOS decisions with varying attack location predictability, we show that while the hippocampus
18 encodes MOS decisions across all types of threat, a vmPFC anterior-posterior gradient tracked threat
19 predictability. The posterior vmPFC encoded for more unpredictable threat and showed functional
20 coupling with the amygdala and hippocampus. Conversely, the anterior vmPFC was more active for the
21 more predictable attacks and showed coupling with the striatum. Our findings suggest that when pre-
22 empting danger, the anterior vmPFC may provide a safety signal, possibly via predictable outcomes,
23 while the posterior vmPFC drives prospective danger signals.

24 **Introduction**

25 Staying in close proximity to safety is a key antipredator behavior as it increases the likelihood of the
26 organism's future escape success (Mobbs et al., 2020). One metric used by behavioral ecologists to
27 measure this safety behavior is called spatial margin of safety, where prey will adopt locations that
28 prevent lethal predatory attack (Lima, 1985; Martindale, 1982; Wetterer, 1989). In turn, this provides the
29 prey with a safety net, while also reducing stress, energy consumption and promotes increased focus on
30 other survival behaviors, such as foraging and copulation. Humans appear to use safety distance in similar
31 ways. For example, when human subjects are placed close to a safety exit, measures of fear decrease and
32 when under threat, and the sight of safety signals reduces fear and fear reinstatement (Christianson et al.,
33 2008, 2011; Eisenberger et al., 2011). Here, we test the idea that when subjects are pre-empting threats
34 of varying attack location probabilities, subjects will vary their spatial margin of safety (MOS) decisions
35 depending on predictability. We propose that MOS decisions involve prospective spatial planning, which
36 involves estimating safety by calculating the predator's attack locations (Cooper and Blumstein, 2015).
37 Further, we examine how pre-emptive MOS decisions are instantiated in human defensive circuits
38 (Mobbs and LeDoux, 2018; Mobbs et al., 2018).

39 In the natural world, prey encounter predators that attack with varying degrees of uncertainty. Uncertainty
40 is often determined by the likelihood of attack and the distribution of distances at which the threat will
41 attack. For example, uncertainty alerts the prey that information about the predator's impending attack
42 location is unknown, thereby resulting in increased anxiety and movement towards safety (Grupe and
43 Nitschke, 2013). Thus, pre-empting predation via close spatial MOS, safeguards against the
44 unpredictable spatial and temporal movements of the predator (Ii and Lima, 2006). Consequently, the
45 ability to predict a predator's attack location will in turn shape the prey's MOS calculations, whereby
46 uncertain threats will result in low risk behaviors and smaller spatial radius from a refuge at the expense
47 of forgoing other survival needs (e.g. food). In particular, frequent and salient outlier information in a
48 given information, as presented as leptokurtic noise, makes organisms prone to overreaction and
49 inaccurate estimations of the environment (d'Acremont and Bossaerts, 2016). Therefore, our second

50 question is how statistical uncertainty of a threat's attack location sways spatial MOS decisions and shifts
51 activity in the human defensive circuits.

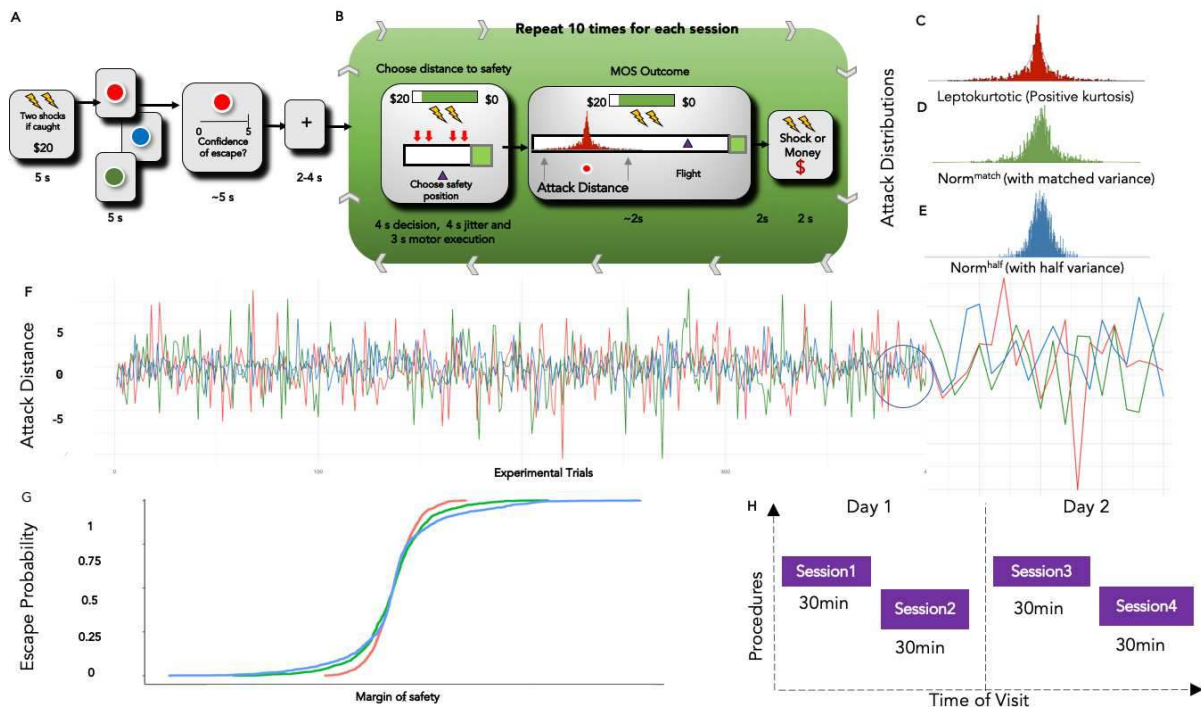
52 The prospective nature of MOS decisions may elicit activity in a set of neural circuits involved in
53 anxiety (Adhikari, 2014) , which can be defined as a future oriented emotional state and involves the
54 behavioral avoidance of potential dangers. Two drivers in this spatial avoidance are the ventromedial
55 prefrontal cortex (vmPFC), and the hippocampus (Adhikari, 2014; LeDoux and Pine, 2016; Mobbs, 2018;
56 Qi et al., 2018) (Adhikari, 2014; LeDoux and Pine, 2016) . For example, the hippocampus plays a key
57 role in anxiety, and guides decisions via memory and prospection(Benoit et al., 2014; Hassabis et al.,
58 2007). Further, synchronization between the hippocampus and vmPFC are associated with anxiety like
59 behaviors(Adhikari et al., 2010; Fung et al., 2019; Padilla-Coreano et al., 2016), suggesting that the
60 hippocampus, potentially along with the amygdala, is involved in signaling the threat significance of a
61 stimulus. The vmPFC is a heterogeneous structure involved in information seeking, anticipation and the
62 organization of defensive and safety responses (Adhikari et al., 2010; Dixon et al., 2017; Iigaya et al.,
63 2019; Wallis et al., 2017). Research has shown that a safety stimulus during an aversive experience
64 results in increased activity in the anterior vmPFC while decreasing threat also results in increased
65 activity in the same region, suggesting that the anterior vmPFC may emit safety signals(Åhs et al., 2015;
66 Eisenberger et al., 2011). Research also shows that attention set to safety signals, extinction, and down-
67 regulation of anxiety are associated with vmPFC activity, suggesting that it is a key node in what has been
68 called the fear suppression circuit(Sangha et al., 2020; Wilkinson et al., 1998; Xu et al., 2016).

69 Conversely, the posterior vmPFC, encompassing the subgenual and rostral anterior cingulate cortex
70 (sgACC and rACC), receives dense projections from the amygdala (Amaral and Insausti, 1992) and is
71 implicated in negative affective responses and behavioral expression of fear(Grupe and Nitschke, 2013;
72 Mobbs, 2018; Mobbs et al., 2007, 2010). How these, and other brain regions are evoked during pre-
73 emptive MOS decisions is yet to be tested.

74 To address these gaps in knowledge between spatial MOS decisions and human defensive circuits,
75 we created a task to investigate spatial MOS decisions under uncertainty and elucidate: (i) How do

76 changes in the threat's attack predictability, threat intensity, and reward value impact the subjects' MOS
 77 decisions? And ii) Do the hippocampus and vmPFC encode characteristics of threats that are central to
 78 MOS decisions? This task models the ecological phenomena where animals venture further away from
 79 their safety refuge to acquire adequate supplies of food. To create less predictable attack positions, we
 80 used leptokurtic distributions, which are evolutionarily novel and volatile in nature, and have been shown
 81 to increase the level of uncertainty and difficulty to learn to the environment (d'Acremont and Bossaerts,
 82 2016). Leptokurtic noise is generated as the composite of two normal distributions with similar means
 83 and contrasting variances. Leptokurtic distributions are thus probability density curves that have higher
 84 peaks at the mean and are fatter tailed where extreme outcomes (outliers) are expected more (Fig. C). We
 85 contrasted this with standard Gaussians (Fig. 1D and E)), which are more computationally familiar. We
 86 hypothesized that when subjects are facing virtual predators with higher frequency of outlier attack
 87 distributions, this will result in more uncertainty and therefore, decisions to move closer to safety.

88 **Fig. 1: Experimental Structure**



89

90 (A) During the MOS decision task, every 10 trials are grouped as a block. Participants were first presented
91 with a screen with a series of information at the beginning of every block, including the reward/shock
92 level, color of the predator (leptokurtic condition in color red; normmatch in color green, where the
93 variance of the distribution is matched with the leptokurtic condition.; normhalf, in color blue, where the
94 variance is half as compared to the leptokurtic condition). Next, they were asked to rate how confident
95 they were to escape the threat from a scale of 1 to 5. Participants were then presented with information
96 regarding shock and reward levels. There are 4 conditions in total : low reward, low shock, where one
97 shock and the base reward is administrated; low reward, high shock, where two shocks and the base
98 reward is administrated; high reward, low shock, where one shock and twice the base reward is
99 administrated; high reward, high shock, where two shocks and twice the base reward is administrated.

100 (B) During a trial, for the first 4 seconds, participants were presented with a screen displaying the margin
101 of safety runway and their initial location. They were told to make a choice of which runway position
102 they want to be at when the threat approaches later. To prevent motor confounds, they were specifically
103 told to only mentally make the decision, and blocked from pressing the button during this phase. After a
104 4-second jitter, they were presented with the same screen again where they can press the button and move
105 to the desired MOS location. A dynamic bar displaying the maximum possible reward associated with
106 the chosen MOS location is also presented on top of the screen. In the next 2 seconds, the outcome of the
107 chasing was revealed, including whether their escape was successful and how much reward was gained.

108 Attack distributions for (C) leptokurtic distribution ; (D) gaussian distribution with matched variance and
109 (E) half the variance gaussian; (F) the predator's attack distances through all trials. Zero on the Y axis
110 marks the mean of the distribution, while numbers represent how far away the drawn instance is away
111 from the mean. (G) Escape probability. X axis represents possible margin of safety choices, while Y
112 access represents the corresponding probability of escape. (H) Schematic representation of the
113 experimental procedure. Participants undergo 4 x 30 min scans sessions over a two-day period.

114 **Results**

115 Participants make less risky MOS choices in the less predictable threat

116 environment

117 MOS choice in the task represents the position participants selected relative to the safety refuge. A
118 position choices that is closer to the safety is considered less risky, granting participants an easier access
119 to the exit. In order to investigate how the uncertainty of predator attacks modulate MOS choices, we first
120 examined how MOS decisions vary across distributions types, with a repeated-measures, one-way
121 ANOVA. The result showed a main effect of distribution type [$F(2,44) = 61.33, p < 0.001$]. A Tukey post
122 hoc test revealed that participants' MOS choices were significantly closer to the safety zone in the
123 leptokurtic distribution condition (0.74 ± 0.06) than in the normmatch condition (0.68 ± 0.03) and
124 normhalf condition (0.67 ± 0.01). This indicates that participants made less risky MOS choices in a less
125 predictable threat environment, potentially as a result of their perceiving the leptokurtic attackers as more
126 dangerous. Interestingly, there was no significant difference in mean MOS choices between the two
127 normal distributions. This suggests a mere difference in attack distance variance is not sufficient to drive
128 behavioral change. (Figure 2 a,b,c,d)

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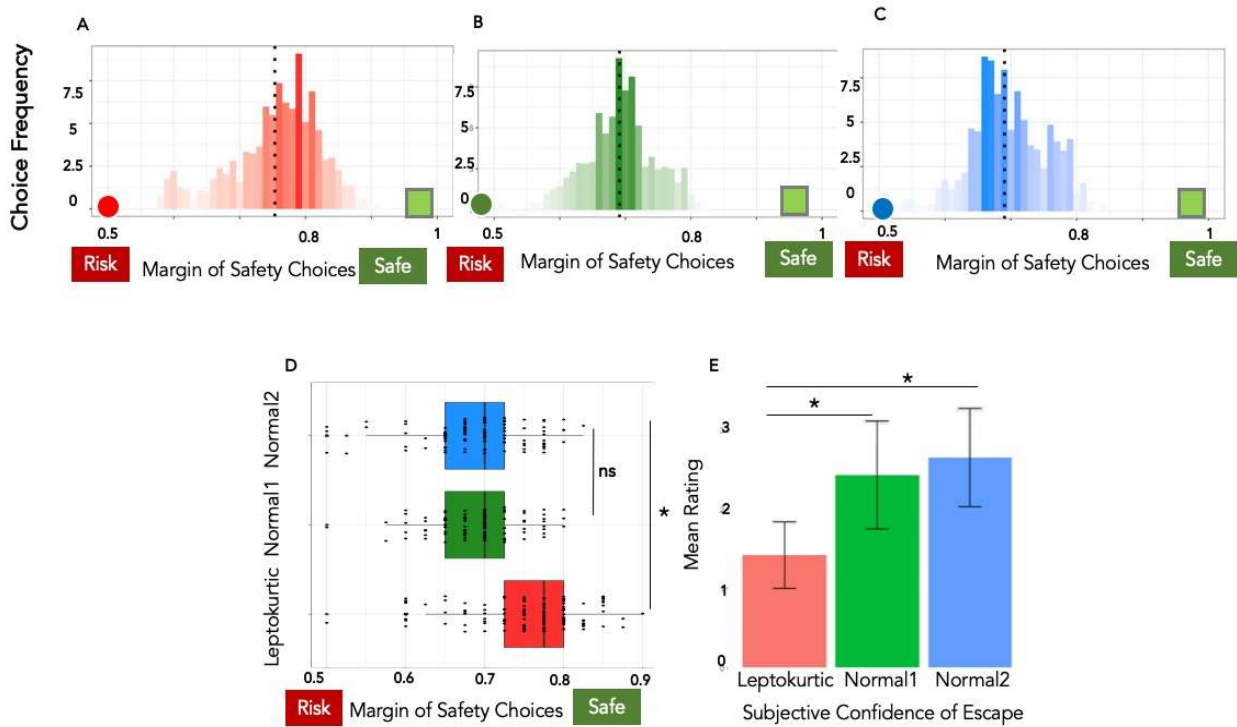
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139

140 **Fig. 2: Behavioral Results**



141
142 Choice frequencies for (A) leptokurtic, (B) matched variance normal and (C) half variance normal
143 attacking threats. The MOS decision phase and the outcome. (D): Confidence ratings for leptokurtic
144 distribution, matched variance normal distribution, and normal distribution with half variance. Post-hoc
145 analysis revealed that participants were less confident in the leptokurtic condition compared to the other
146 two conditions ($p < 0.001$). Leptokurtic attack location are in red; normal distribution with matching
147 variance are in green; and normal distribution with half variance are in blue.

148
149 Participants made less risky MOS choices in threat environment with higher
150 punishment

151 To further disentangle how shock and reward levels could interact with predator attack type as additional
152 external incentives, we examined participants' MOS choices within different shock and reward
153 conditions. While there was no significant difference in their MOS decisions when facing different levels

154 of rewards ($t(21) = 1.378, p = 0.182$) their MOS choices were significantly less riskt in the high shock
155 condition (0.75 ± 0.07), compared to the low shock condition (0.69 ± 0.05): $t(21) = 21.21, p < 0.001$.
156 This suggests that participants were sensitive to the level of danger and adjusted their MOS decisions
157 accordingly (Supplementary figure 1). The lack of sensitivity to rewards comes potentially from the
158 overwhelming aversiveness of the shock. (1)

159

160 More confident participants made riskier MOS decisions

161 Having shown that the level of predictability in the attack distribution influences MOS decisions, we
162 asked whether it also affects subjective confidence in escape success. We collected participants'
163 confidence ratings before every unique trial block (shown in figure 1 A/B, where every 10 trials consist a
164 unique trial block). An ANOVA on the confidence ratings also revealed that participants were generally
165 more confident on trials in the normal distributions (both matched variance and half variance) compared
166 with trials in the leptokurtic distribution. A main effect of distribution type was found [$F(2,44) = 27.32, p$
167 < 0.001], and a Tukey post hoc test showed that confidence rating in the leptokurtic condition ($1.42 \pm$
168 0.42) was significantly lower than those in the normmatch condition (2.43 ± 0.68) and the normhalf
169 variance (2.65 ± 0.62) ($p < 0.001$) (figure 2 e). We also examined the relationship between participants'
170 MOS choices and confidence ratings. Interestingly, a significant correlation was only observed in the
171 leptokurtic condition, where individuals who were more confident made riskier MOS choices ($r = -0.54, p$
172 $= 0.04$). This effect was not observed for either the normmatch condition ($r = 0.25, p = 0.37$) nor the
173 normhalf condition ($r = -0.31, p = 0.27$).

174

175 MOS decisions are represented within prefrontal and subcortical regions

176 Building on our behavioral results, we next sought to identify neural systems underlying MOS decisions
177 in response to varying levels of threat predictability. Due to the design feature of the behavioral
178 experiment, the decision phase consists of both a cognitive (perception of the threat) and decision

179 component, making the univariate analysis insufficient to capture the underlying dynamics of the neural
180 process (Davis et al., 2014; Norman et al., 2006). The MVPA analysis here thus serves two main
181 purposes: 1) to identify the key regions involved in decision making under the current threat, and 2) to
182 distinguish the underlying neural mechanism among threats with different levels of predictability. Results
183 of this analysis can then be used to inform ROIs for subsequent connectivity and parametric modulation
184 analysis. To accomplish this, we used a searchlight cross-decoding approach using linear support vector
185 regression (SVR) and leave-one-out cross-validation (see Supplementary Methods).

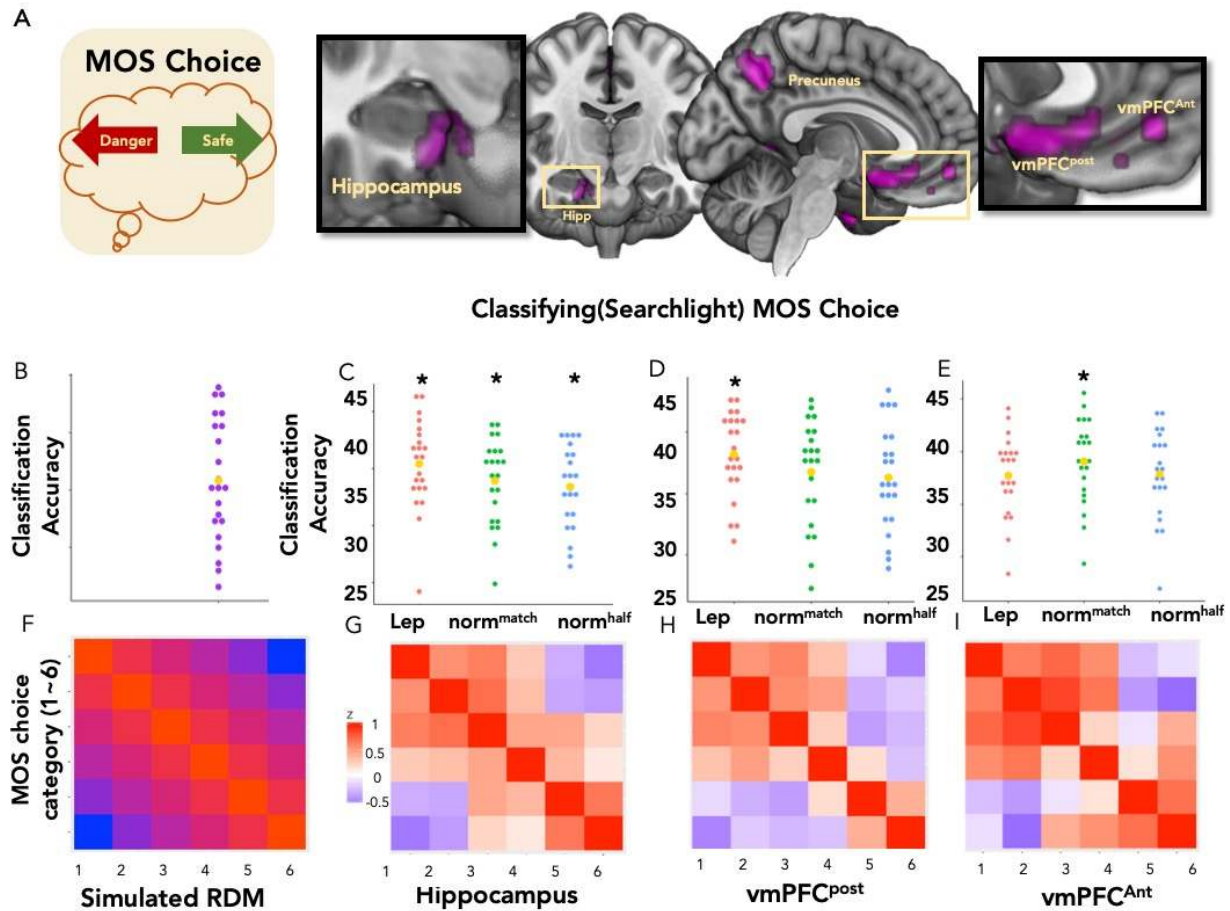
186
187 Two separate whole brain searchlight analysis were performed to answer the following questions
188 respectively: which regions are critically involved in 1) perceiving different attacking distributions and 2)
189 making Margin of safety choices. Admittedly, there are potential overlap between the threat perception
190 and decision making process. But our aim here is to identify the critical regions separately to better
191 understand the processing stream.

192
193 The first classifier predicted which attacking distribution a given trial belonged to. This showed that
194 regions including the right insula and the mid-cingulate cortex (MCC) encoded the distribution type, with
195 a decoding accuracy significantly higher than the Monte-Carlo simulated chance level accuracy (overall
196 accuracy: $t(21) = 2.82$, $p = .010$). The whole brain decoding map was thresholded at $P < 0.05$ (FWE) (Fig.
197 3a).

198
199 Next, for the analysis of MOS decision types, each trial was labelled according to the MOS decision the
200 participant made, and a classifier was trained to predict which trials fall into which decision categories.
201 The categories were created by grouping MOS choices that are close in spatial distance together. During
202 the task, the entire MOS choice runway is divided to 6 segments from left to right, resulting in 6 MOS
203 decision categories. Each choice category thus represents a level of how close participants place
204 themselves to the safety. Decoding of choices was found in regions including the right hippocampus,

205 vmPFCpost and vmPFCant with a decoding accuracy significantly higher than chance level ($t(21) = 2.47$,
 206 $p = .022$). These results suggested that the both the distribution type and MOS decision making process is
 207 robustly represented in the above mentioned prefrontal and subcortical regions. (Fig. 3 A,B)

208 **Fig. 3: Neural representation of pre-emptive MOS decisions.**



209
 210 Avoidance decisions decoded in the vmPFC and the Hippocampus. (A): whole brain searchlight map
 211 displaying statistically significant regions for the MOS choice classifier (FDR corrected, $p < 0.05$). (B):
 212 Classification accuracy of the MOS choice classifier. Each dot represents data from a single participant.
 213 Average accuracy was significantly higher than the simulated chance level ($p < 0.001$). Box and whisker
 214 plots display accuracies from the region of interest classifiers, targeted at the pre-defined ROIs (the
 215 hippocampus, vmPFC (posterior) and vmPFC (anterior)).(C): In the hippocampus, classification accuracy
 216 from all three attack conditions were significantly higher than their corresponding chance levels. (D);
 217 Classification accuracy was only significantly higher than the chance level in the leptokurtic distribution

218 in vmPFCpost .(E): Classification accuracy was only significantly higher than the chance level in the
219 normmatch condition in vmPFCant . (F): Behavioral similarity structure among MOS choices. The
220 Behavioral similarity structure displays how similar MOS choices are at the behavior level. For example,
221 MOS choice 1 and 2 are closer in distance compare to choice 1 and 6, thus more similar in the structure.
222 Naturally, choices are more similar when in close spatial distance, and more dissimilar when in sparse
223 spatial distance. (G): Actual pattern similarity within the regions of interest. The neural RDM in the
224 hippocampus was significantly correlated with the theoretical model ($r = 0.593$, $P < 0.001$). Similar
225 correlation effects were also found in (H) vmPFCpost and (I) vmPFCant, ($r = 0.754$, $p < 0.001$; $r = 0.482$,
226 $p < 0.001$).

227

228 vmPFC subregions differentially encode MOS decisions according to levels of 229 predictability

230 The regions implicated in the whole brain searchlight overlap with ROIs in previous literature shown to
231 be critically involved in the process of decision making under threat. We thus performed MVPA analysis
232 within each ROI, namely the hippocampus, vmPFCpost and vmPFCant to investigate how they uniquely
233 contributed to the MOS decision process. Within each specified ROI, we investigated classification
234 accuracy for the MOS decisions labels, separately for each distribution conditions. Thus, by comparing
235 how well the process is decoded within each ROI, we can examine how the involved regions drive
236 behavioral change depending on the levels of predictability in different attacking conditions.

237

238 Within the vmPFCpos , only choice decoding for the leptokurtic condition was significantly above the
239 Monte-Carlo simulated chance level (Monte-Carlo simulated baselines: leptokurtic, 36.7%; normmatch ,
240 34.8%; normhalf, 33.7%) (leptokurtic distribution, $p < .001$; normmatch, $p = .410$; normhalf, $p = .868$).

241 Within the vmPFCant, only classification for the normmatch condition was significantly above chance
242 level (leptokurtic distribution, $p = .341$; normmatch, $p = .004$; normhalf, $p = .156$). Within the

243 hippocampus, classification for all 3 distribution types was significantly above chance level (leptokurtic
244 distribution, $p < .001$; normmatch, $p = .011$; normhalf, $p = .038$). A follow up ANOVA did not reveal a
245 significant difference among the decoding accuracies (Fig. 3 B,C,D,E).

246

247 Univariate overlap with vmPFC regions involved in ‘fear’ and ‘extinction’

248 To validate the functionality of brain regions identified as vital within the MOS paradigm, we constructed
249 ROIs from neurosynth using the key words “fear” (for comparison with posterior vmPFC/sgACC) and
250 “extinction” (for comparison with vmPFCant). ROIs were constructed using 6mm spheres from the peak
251 coordinate. The above comparisons were made because we hypothesized that the two pairs of concepts
252 would overlap: 1) “fear” and the approaching/increment of threat; 2) “extinction” and the reduced level
253 of threat. We then performed SVC with the “fear” ROI on vmPFCpos with the leptokurtic contrast ($p <$
254 0.001 , $T = 5.07$, cluster size = 31, (0,26,-12)) and SVC with the “extinction” ROI on vmPFCant ($p =$
255 0.010 , $T = 4.35$, cluster size = 11, (-2,46,-10)). For a full list of activated regions, please refer to
256 supplementary table 1. These coordinates overlap with the corresponding ROIs taken from the searchlight
257 analysis, indicating that information processing and learning through both fear and safety are potentially
258 presented in MOS decision making through vmPFCpost and vmPFCant, respectively.

259

260 vmPFC activity encodes MOS decisions

261 Having demonstrated that vmPFC activity patterns encode MOS decisions, the next step was to ask
262 whether overall BOLD activity levels in the vmPFC also covaried with MOS decision (Fig. 4E). To test
263 this, we constructed two univariate parametric modulators indicating whether the participants’ final MOS
264 choices is a safety choice or a risky choice (compared to their randomly assigned initial location). The
265 parametric modulation of univariate data thus reveals what regions showed activity associated with
266 risky/safety choices under different levels of predictability. Inspection of the resulting statistical maps,
267 using SVCs from the previously constructed vmPFCpost and vmPFCant ROIs, showed that the “move to

268 danger” and “move to safety” modulations were significant in the vmPFCpost and vmPFCant ROIs
269 respectively (Move to danger: $p < 0.001$, $T = 6.44$; Move to safety: $p < 0.001$, $T = 4.39$, supplementary
270 table 4).

271

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280 danger” and “move to safety” modulations were significant in the vmPFCpost and vmPFCant ROIs
281 respectively (Move to danger: $p < 0.001$, $T = 6.44$; Move to safety: $p < 0.001$, $T = 4.39$, supplementary
282 table 4).

283

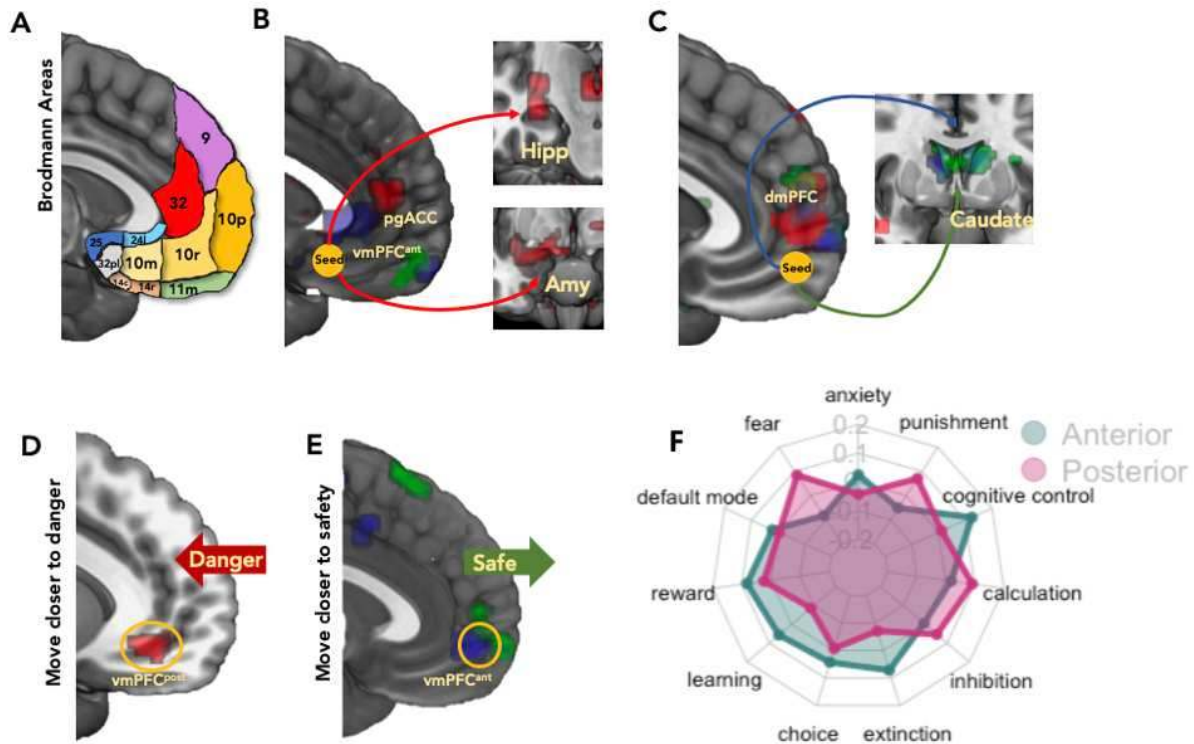
284 Representational similarity analysis of the vmPFCpost, vmPFCant and 285 hippocampus

286 The MVPA searchlight analysis offers insights into key regions involved in encoding the MOS decision
287 process. However, it is left unclear how different MOS choices (in this case, choices within one of the
288 choice categories) were neurally represented in the ROIs. Thus, we conducted a representational
289 similarity analysis to investigate the underlying geometry of the neural encoding of the MOS decision
290 variables in the ROIs. Distinctive clustering in the RDM structure also help further validate the original
291 behavioral paradigm, showing how sensitive participants were to all the possible MOS choice categories.

292 A Behavioral RDM, together with RDMs from the neural data within the hippocampus, vmPFCpost, and
293 vmPFCant were constructed to investigate the potential MOS decision information and perceived
294 distribution information embedded in the activity patterns of these ROIs. A high level of similarity
295 between the theoretical structure (behavioral RDM) and the actual brain activity (neural RDM) in a
296 certain ROI will indicate that task-relevant information is encoded in a way that is consistent with the
297 behavioral structure of the during the MOS decision process. Figure 3 illustrates the theoretical/behavioral
298 RDMs constructed by the pairwise relations of the 6 MOS decision categories. Spearman correlation
299 coefficients were used to calculate the distance between the model and neural data matrices. The neural
300 RDM in the hippocampus was significantly correlated with the theoretical model ($r = 0.593$, $P < 0.001$)
301 across all conditions. Similar correlation effects were also found in vmPFCpost and vmPFCant, ($r =$
302 0.754 , $p < 0.001$; $r = 0.482$, $p < 0.001$), but these were specific to the leptokurtic and normmatch
303 conditions respectively (fig 3f,g,h,i).

304
305 Converging evidence from the searchlight analysis, univariate parametric modulation, and RSA analysis
306 has shown that the vmPFC subregions (vmPFCpost and vmPFCant) play a vital role in the encoding of
307 MOS decisions under environments with different levels of predictability. Next, we further investigate the
308 connectivity structure seeding from these regions.

309
310 **Fig. 4: Psychophysiological interactions seeding from regions of interest and meta analytical**
311 **decoding**



312

313 (A) Example of Brodmann Areas (BA) that distinguish posterior-anterior axis. For example, the posterior

314 vmPFC reflects BA 25, 24, 32(ACC), 10m and 14, while the anterior encompasses BA 10p, 10 r 11, and 32

315 (non-ACC). This is made clearer by the dotted line. Connectivity analysis were first performed on the

316 anterior and posterior vmPFC seeds, where 6 mm spheres centered on the peak voxel of the

317 corresponding clusters in the MVPA searchlight were used as seeding regions. (B) For the posterior

318 vmPFC seed, in all three attacking conditions, the connectivity maps showed significant connectivity

319 between the hippocampus and the seeding region (leptokurtic: $p < 0.001, T = 4.06$; normmatch: $p <$

320 $0.001, T = 3.62$; normhalf : $p = 0.011, T = 3.18$). Interestingly, only in the leptokurtic attacking condition,

321 the amygdala was found significant on the connectivity map ($p < 0.001, T = 4.60$). (C) On the other hand,

322 with the anterior vmPFC seed, all three attacking conditions showed significant connectivity towards the

323 Caudate (leptokurtic: $p < 0.001, T = 3.87$; normmatch $P < 0.001, T = 4.23$; normhalf $P < 0.001, T =$

324 4.59). We constructed two parametric modulators indicating whether the participants' final MOS

325 choices is a (D) safety choice or a (E) risky choice (compared to their randomly assigned initial location).
326 The parametric modulation of univariate data thus reveals what regions were associated with
327 risky/safety choices under different levels of predictability. On the resulting statistical maps, using SVCs
328 from the previously constructed vmPFCpost and vmPFCant ROIs, we found that the “move to danger”
329 and “move to safety” modulations were significant in the vmPFCpost and vmPFCant ROIs respectively
330 (Move to danger: $p < 0.001$, $T = 6.44$; Move to safety: $p < 0.001$, $T = 4.39$) (F) Meta-analytical decoding
331 with Neurosynth. Red and Green radar bars represent correlation strength between key words and the
332 anterior ($x = 0$, $y = 26$, $z = -12$) and posterior($x = -2$, $y = 46$, $z = -10$) vmPFC ROIs.

333

334 Differences in vmPFC subregion connectivities

335 With vmPFCpost and vmPFCant identified as key regions associated with risky and dangerous choices,
336 we were interested in how these regions regulate MOS decisions in concert with subcortical structures. To
337 test this, we performed connectivity analysis using gPPI (see supplementary methods), to reveal regions
338 that showed covarying activity with our vmPFC seed regions. From the MVPA analysis, we took the
339 vmPFCpost and vmPFCant as seed regions for the leptokurtic distribution contrast and normal
340 distribution contrasts, since they were identified as regions representing the process where participants
341 make risk decisions under the corresponding predator conditions. PPI analyses were first performed on
342 the moving to safety/danger contrast, respectively on the vmPFCpost and vmPFCant, ROIs (fig 4 b c) For
343 the vmPFCpost seed, in all three attacking conditions, the connectivity maps showed significant
344 activation in the hippocampus (leptokurtic: $p < 0.001$, $T = 4.06$; normmatch: $p < 0.001$, $T = 3.62$;
345 normhalf : $p = 0.011$, $T = 3.18$). Interestingly, only in the leptokurtic attacking condition did the amygdala
346 show significant coupling with the vmPFCpost ($p < 0.001$, $T = 4.60$). On the other hand, with the anterior
347 vmPFC seed, all three attacking conditions showed significant connectivity towards the caudate
348 (leptokurtic: $p < 0.001$, $T = 3.87$; normmatch $P < 0.001$, $T = 4.23$; normhalf $P < 0.001$, $T = 4.59$). For a
349 full list of activated regions, please refer to supplementary table 2.

350 Subjects continually optimize MOS decisions through adaptive learning from trial
351 outcomes

352 In order to perform effectively on the task, subjects may continually adjust their policy depending on
353 their perceived likelihood of escape which is updated on every trial depending on its outcome. We sought
354 to test this by fitting a simple reinforcement learning model to the behavioral data which assumes subjects
355 estimate the likelihood of receiving a given reward (which depends on both the available reward level and
356 the likelihood of survival) on each trial.

357
358 This took the form of a standard Rescorla-Wagner learning model which was used to characterize
359 participants' margin of safety choice behaviors. The learning rate ' α ' reflects to what extent participants'
360 choice of MOS is based on the most recent outcomes. A high learning rate indicates that choice behavior
361 is updated in a more rapid manner based on the difference between the expected choice outcome and the
362 actual choice outcome. In contrast, at low learning rates, surprising outcomes lead to little change in their
363 choice on the next trial. In the current study, we estimated participants' learning rates in the uncertain vs
364 more certain attack position blocks by fitting a reinforcement learning model (Browning et al., 2015) to
365 their choices in each task block (10 trials per session, as described in figure 1).

366
367 We first examined whether our model recapitulated observed patterns in the MOS decision data. The
368 model demonstrated behavior that was consistent with the true data (Figure 5 a), indicating that a
369 reinforcement learning model can describe subjects' behavior in the task. We next assessed whether
370 participants, as a group, adapted their learning rate in response to the change in attack distances between
371 the more predictable normal distributed attack distances and more uncertain attack distances characterized
372 by leptokurtic outliers. Consistent with previous studies of reinforcement learning, participants' learning
373 rates were higher in the leptokurtic attack than the more predictable normally distributed attacks
374 positions. (Main effect of attack distribution: $F(2,63) = 4.43$, $p = 0.0159$. Post hoc comparisons, $p < 0.001$)

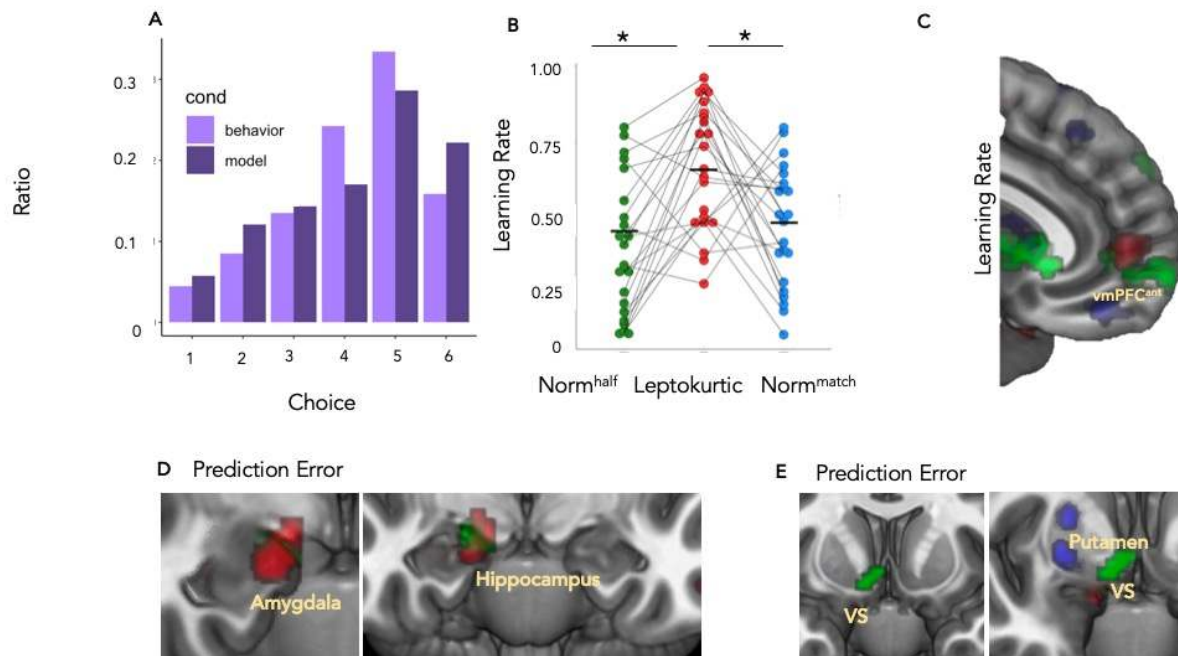
375 (figure 5 b), indicating that subjects adapted their learning based on the level of uncertainty in the attack
376 distribution.

377

378 MOS prediction errors are tracked by a distributed network of brain regions

379 A parametric modulation analysis on univariate data, using the prediction error from the RL model was
380 performed to address what underlying neural processes were involved during the learning process of
381 participants' MOS decisions. Small volume corrections were performed on the key ROIs : hippocampus:
382 leptokurtic: $p = 0.002$; normmatch: $p = 0.004$; normhalf: $p = 0.191$; amygdala: leptokurtic: $P = 0.014$;
383 normmatch: $p = 0.006$; normhalf: $P = 0.094$; striatum: leptokurtic: $p < 0.001$; normmatch: $p < 0.001$;
384 normhalf: $p < 0.001$. This suggests that while the striatum decodes the representation of prediction error
385 in all three attacking distributions, the hippocampus and amygdala were involved only in the leptokurtic
386 and normmatch attacking conditions. (figure 5 d e).

387 **Fig. 5: Behavioral modelling**



388

389 (A) Actual MOS choice categories and model fitting MOS choice categories. Choice 1~6 are choice
390 categories from risky to safe. Y axis represents the choice ratio under each category (B) Learning rate
391 from the reinforcement learning model over two days. Data of two sessions within one day were averaged
392 across participants. Learning rate in the leptokurtic condition (which is more predictable) was
393 significantly higher than the other two conditions (posthoc $p < 0.001$). (C): Maps showing parametric
394 modulation with prediction errors from the model. Small volume corrections (D): (hippocampus):
395 leptokurtic: $p = 0.002$,; norm1: $p = 0.004$; norm2: $p = 0.191$; (amygdala): leptokurtic: $P = 0.014$; norm1:
396 $p = 0.006$; norm2: $P = 0.094$ (E): (striatum): leptokurtic: $p < 0.001$; norm1: $p < 0.001$; norm2: $p < 0.001$.
397 For the remaining activated regions, please refer to supplementary table 3.

398

399 **Discussion**

400 We found evidence in support of our hypothesis that in uncertain environments, participants adjust their
401 distance to be closer to safety (Mobbs et al., 2015). We also show that when encountering a more
402 uncertain threat, participants decreased confidence in escape success, while displaying higher learning
403 rates, signifying that under uncertain environments, people adjust decisions more based on recent,
404 immediate information, instead of accumulated information over time. Our MVPA analysis shows that
405 the vmPFCPost is associated with avoidance of more uncertain threats and consequently the decision to
406 stay closer to safety. The vmPFCPost also showed increased functional coupling with the hippocampus
407 and amygdala, supporting the known connectivity with this region as well as its role in control of
408 fear (Mobbs and Kim, 2015; Nili et al., 2010). On the other hand, the vmPFCAnt was associated with
409 more certain attack locations and thereby executing safer decisions. These results are congruent with the
410 idea that vmPFC sub-regions play distinct roles in both danger and safety signals that reflect the ability to
411 predict positive or negative outcomes with a threat.

412

413 Our results suggest that when the attack location is relatively predictable (i.e. normmatch and normhalf
414 Gaussian distributions), participants make more risky MOS choices. That is, subjects choose to place
415 themselves further away from the safety exit to earn more reward. On the other hand, when the attack
416 location is more unpredictable (i.e. leptokurtic distribution), participants tended to place themselves closer
417 to safety and thus displayed more protective actions. Critically, despite significant differences in variance,
418 there were no differences in MOS decisions between the two Gaussian distributions. This suggests that
419 participants' decision patterns facing uncertain threats was not swayed by a simple change in distribution
420 variance, but by a total structural change in the predictability of the distribution. This was echoed in
421 participants' subjective rating of their confidence, a reflection of how likely they felt they were to escape
422 (Fig. 2E).

423

424 When dissecting the defensive circuitry, it is critical to understand which brain regions are involved in the
425 avoidance of forthcoming danger. Our MVPA searchlight identified three key regions, namely the
426 hippocampus, the vmPFCPost and the vmPFCAnt. Interestingly, when looking at the classification
427 accuracies, we found that within the vmPFCAnt, classification accuracy was above chance level only for
428 the normhalf, in line with our prediction that this region would be involved in the most more predictable
429 attack locations. On the other hand, within the vmPFCPost, the classification was more accurate than
430 chance level only for the more unpredictable, leptokurtic distribution condition. This suggests a
431 separation of vmPFC subregions in terms of functional roles. While the vmPFCAnt is correlated with
432 more predictable decision environments, the vmPFCPost seems to be associated with more volatile
433 counterparts. Interestingly, the hippocampus classification accuracies revealed no differences between
434 attack locations distributions, suggesting a more general role in avoidance decisions.

435

436 The vmPFCPost may function as a hub when the environment is more uncertain and where more
437 information gathering is needed. Further evidence for this comes from our parametric modulation analysis
438 using relative MOS from the starting position, which showed that more dangerous choices are associated
439 with activation in the vmPFCPost. This suggests a tentative role for the vmPFCPost to be responsible for
440 computations concerning a more unpredictable environment, or a more risky choice. In our connectivity
441 analysis seeding from the vmPFCPost, we observed activations in amygdala and hippocampus only in the
442 uncertain attacking locations. Previous research has shown a role for the amygdala-mPFC as a pathway of
443 modulating threat avoidance behavior, and hippocampus as a center for representing predictive
444 relationships between environmental states (Lisman and Redish, 2009; Stachenfeld et al., 2017). This is
445 in line with the idea that for decision making under threat with less predictability, more predictive
446 computations are required.

447

448 The vmPFCAnt modulates behavior when the environment is relatively easy to predict during the spatial
449 MOS decisions. Interestingly, using relative MOS from the starting position as a modulator in the
450 parametric modulation analysis, the vmPFCAnt was also activated when the choice is categorized as
451 “safe”. In previous studies, this region has been implicated in both safety learning through extinction and
452 safety learning through active avoidance (Eisenberger et al., 2011; Harrison et al., 2017). For example,
453 studies using the lever press avoidance task in rodents have shown activation of the prelimbic regions of
454 MPFC (the rodent homologue of human anterior vmPFC) during the expression of active
455 avoidance (Bravo-Rivera et al., 2015; Diehl et al., 2018). These regions partially overlap with the
456 identified clusters of vmPFCAnt in our task. Further, when looking at functional connectivity seeding
457 from the vmPFCAnt, the caudate was significant only in the two more predictable predator conditions,
458 although there may be other explanations (action selection (Lau and Glimcher, 2007)). This resonates with
459 previous studies where vmPFC not only functions as a center for signaling safety, but also in reward
460 related processes, because safety processing may be “intrinsically rewarding or reinforcing” (Eisenberger

461 et al., 2011). This is also supported by a parametric modulation analysis showing that shifts towards
462 safety activate the vmPFCAnt. Also involved in this process is the striatum, which has been shown to be
463 responsible for fear memory extinction(Alexander et al., 2019; Maren and Quirk, 2004). For example,
464 previous research on rodents has shown that in rats, the dopamine level in the striatum was unchanged
465 after exposure to novel environmental stimulus, but follows more closely to the expression of conditioned
466 response(Wilkinson et al., 1998). Interestingly, this orchestrates with our finding where the striatum is
467 only responsive to the high predictability threats together with the vmPFCAnt.

468

469 We further correlated the neural data with behavioral parameters from the exploratory reinforcement
470 learning model. Parametric modulation using prediction error from the RL model also activated the
471 amygdala in the more uncertain, leptokurtic attacking condition, providing additional evidence for the
472 modulation mechanism where amygdala is involved in the more volatile threat conditions when large
473 discrepancies between expected and observed outcomes happen. Within all predator conditions, the
474 ventral striatum and putamen were also significantly activated in correlation with the PE signal. This is
475 consistent with previous studies where learning under uncertain environments occurs through reward
476 based pathways(Jocham et al., 2011; Leong et al., 2017). On the other hand, parametric modulation using
477 learning rates established vmPFCAnt as a hub for MOS decision making when facing predictable attack
478 distances.

479

480 The hippocampus also emerged as a central region involved in MOS decisions. First, decoding of choice
481 was higher than chance level in the hippocampus, regardless of how uncertain the attacking locations
482 were. However, the hippocampus only showed functional connectivity with the vmPFCPost in the
483 uncertain, leptokurtic attacking condition. The first finding resonates with the idea that the hippocampus
484 has long been thought of as a predictive map and center for planning when considering future actions

485 based on immediate feedback from the environment (Bach et al., 2014; Lisman and Redish, 2009;
486 Stachenfeld et al., 2017). It was thus universally involved regardless of the uncertainty level of the
487 attacking environment. However, our results indicate that activity in the hippocampus becomes more
488 coordinated with the vmPFCPost in situations which require more intensive planning, as evidenced by the
489 distinct functional connectivity to the hippocampus when the subjects are encountering a more
490 unpredictable, leptokurtic, attacking threat. Indeed, our finding corresponds to previous studies using
491 rodents where the hippocampus has been shown to specifically contribute to model based planning, that
492 may include also memory based decision making (Miller et al., 2017) .

493

494 The current study offers the first insight into how spatial MOS decisions are determined in threat
495 environments with different levels of predictability. It also establishes the posterior and anterior vmPFC
496 subregions as centers modulating the push and pull between risky and safe choices, where the
497 hippocampus is involved in both processes in a more universal manner. More work is needed to further
498 validate the functional separation of vmPFC subregions in terms of their roles during decision making
499 under threat. These new insights, however, suggest a dissociable role of the vmPFC in anxiety, where the
500 vmPFCPost is involved in heightened threat signals, while the vmPFCAnt may be involved in down
501 regulation of threat via safety signals.

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629

Figures

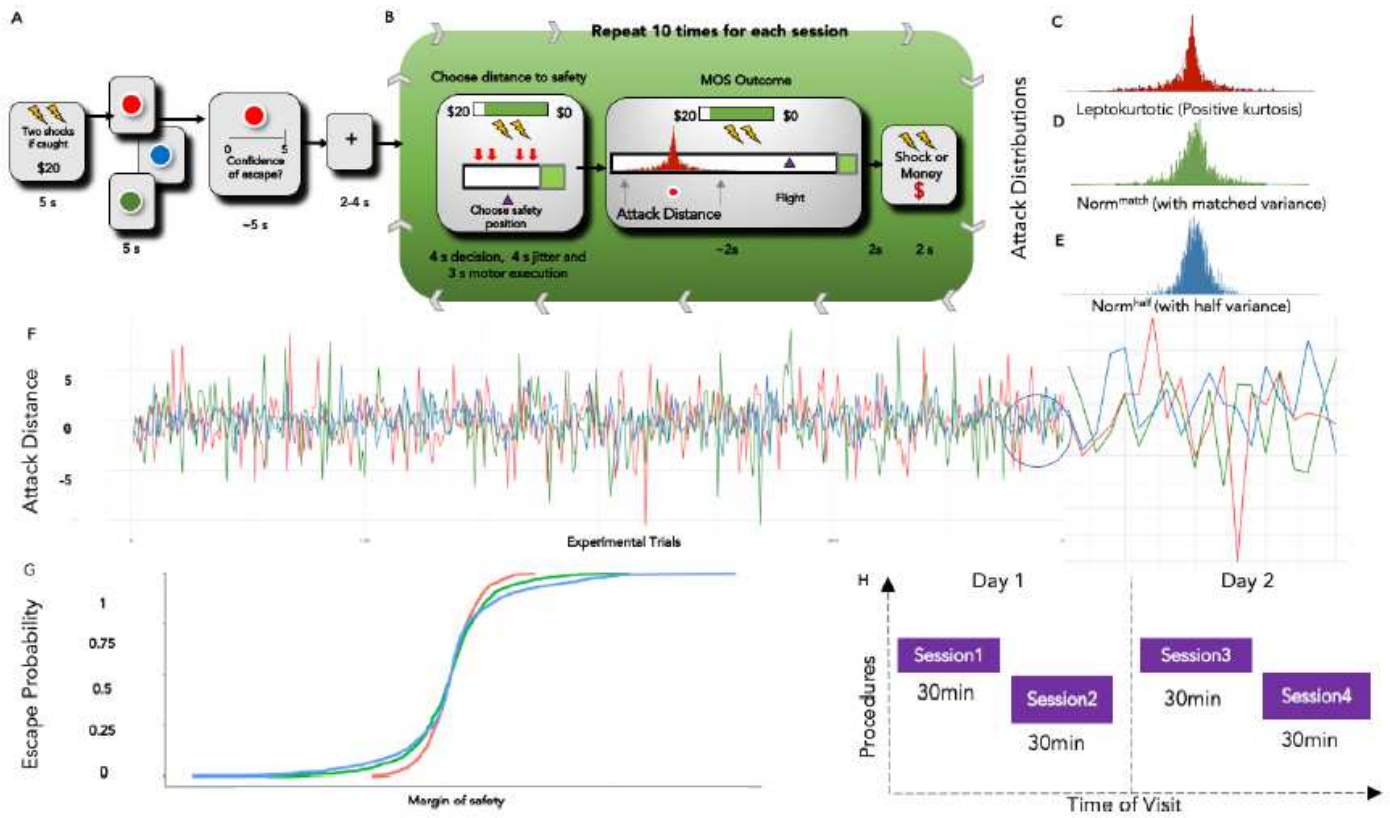


Figure 1

Experimental Structure

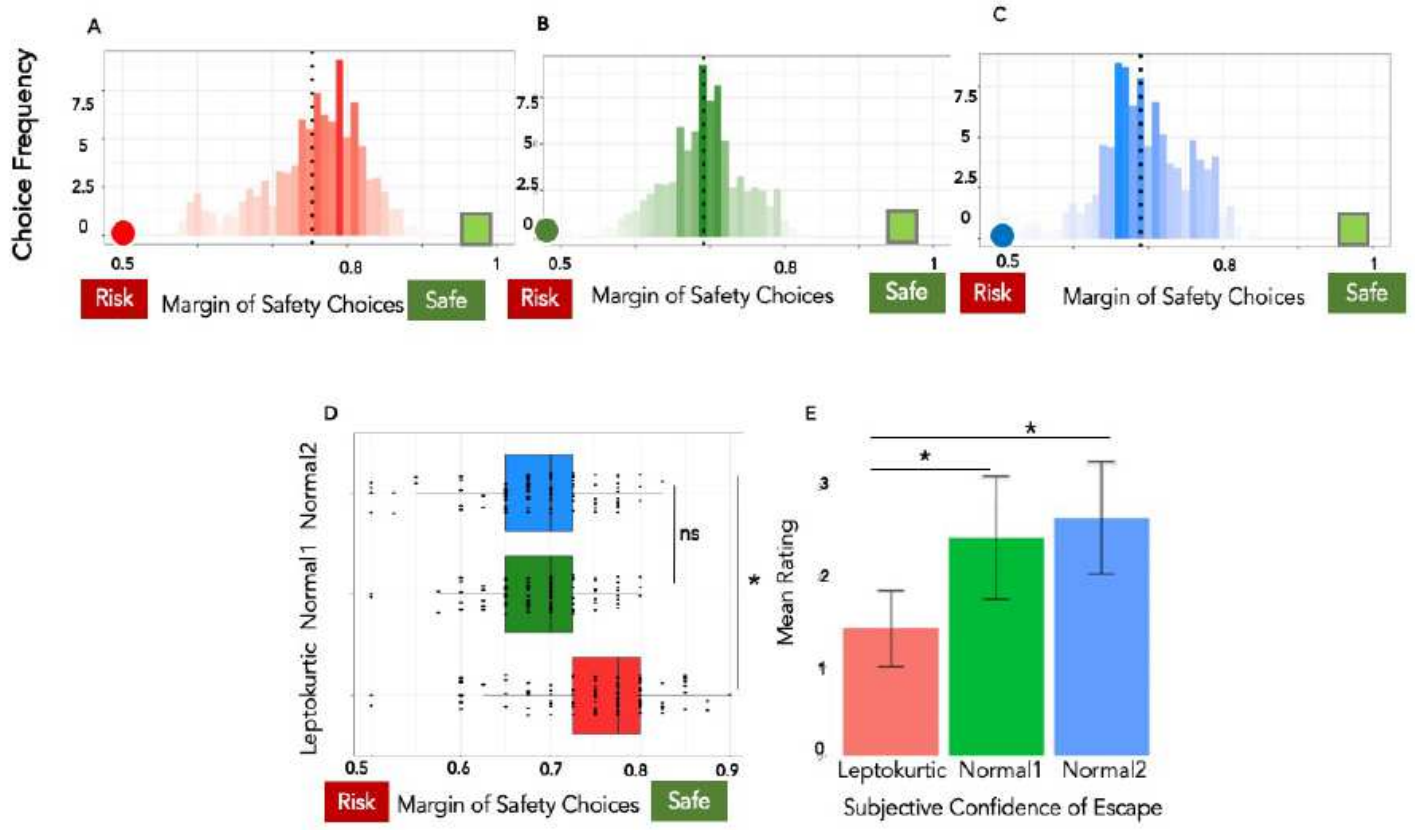


Figure 2

Behavioral Results

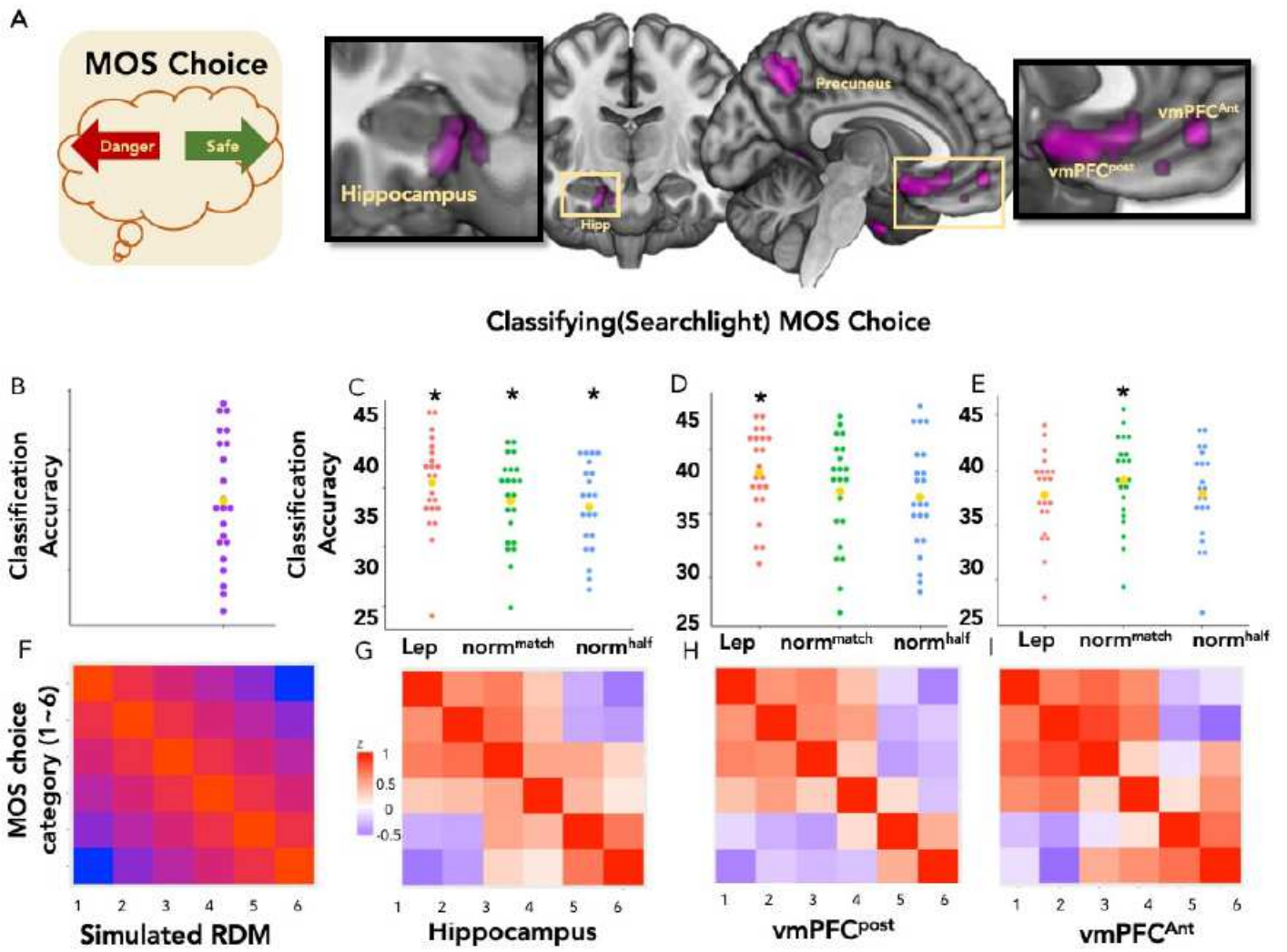


Figure 3

Neural representation of pre-emptive MOS decisions.

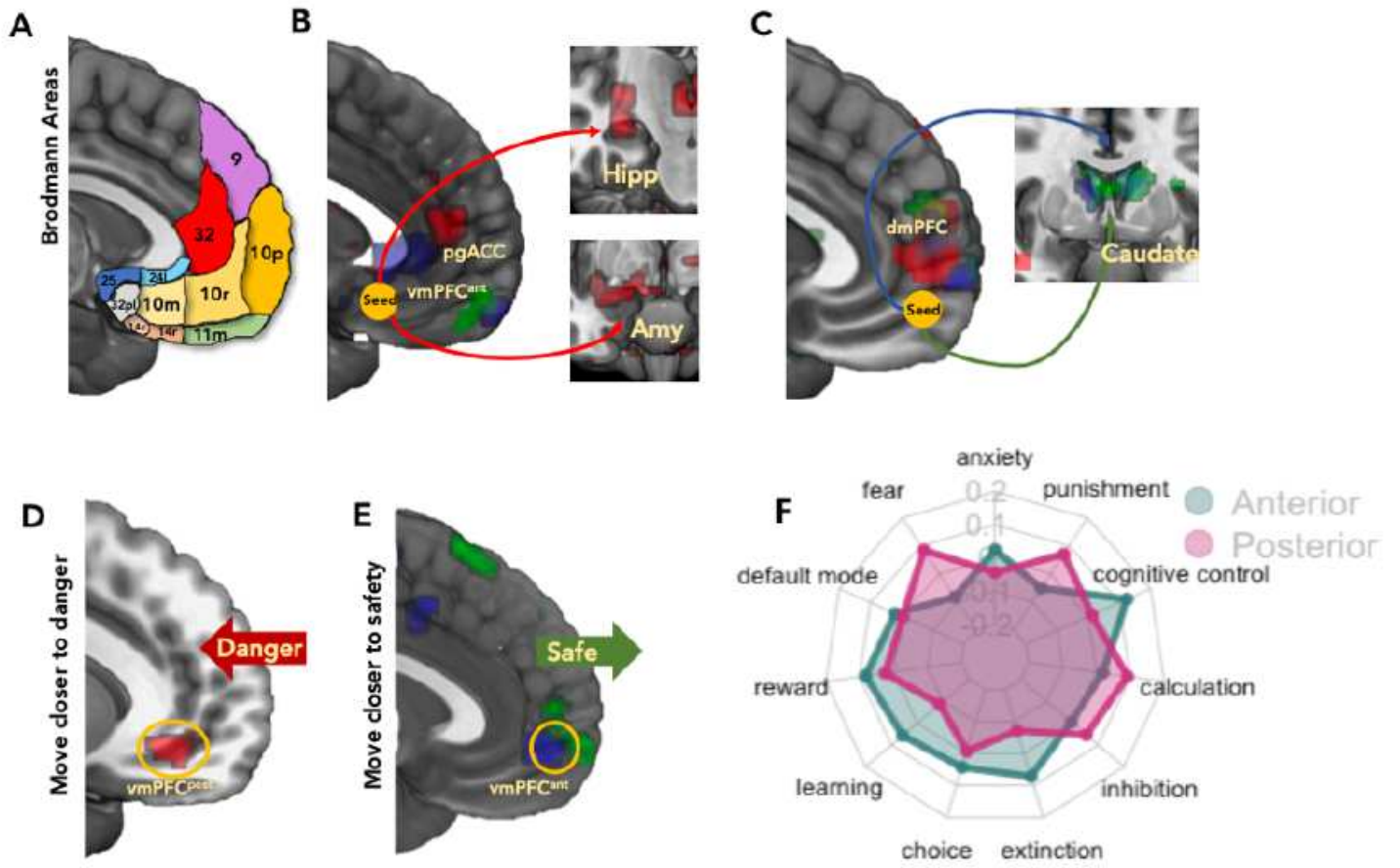


Figure 4

Psychophysiological interactions seeding from regions of interest and meta-analytical decoding

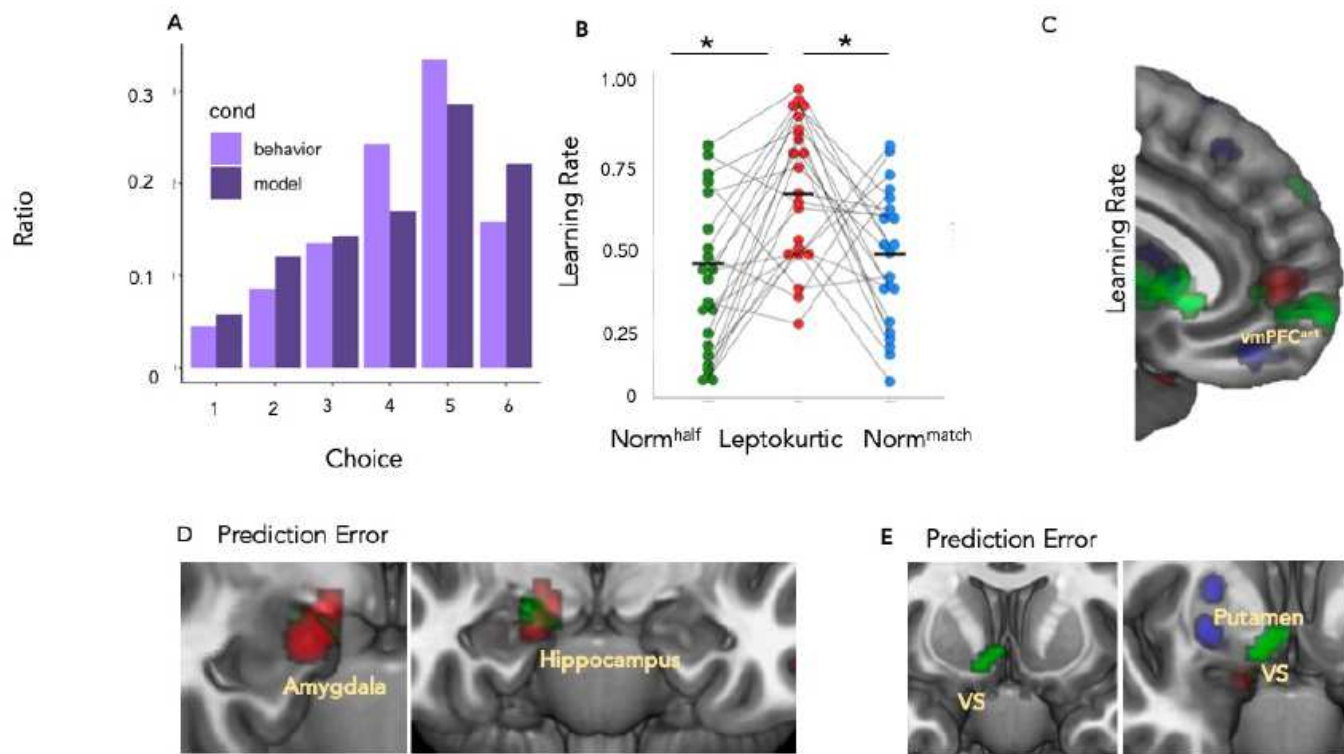


Figure 5

Behavioral modelling

Supplementary Files

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