



# The Self-Organization of Human Interaction

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## Abstract

We describe a “centipede’s dilemma” that faces the sciences of human interaction. Research on human interaction has been involved in extensive theoretical debate, although the vast majority of research tends to focus on a small set of human behaviors, cognitive processes, and interactive contexts. The problem is that naturalistic human interaction must *integrate* all of these factors simultaneously, and grander theoretical mitigation cannot come *only* from focused experimental or computational agendas. We look to dynamical systems theory as a framework for thinking about how these multiple behaviors, processes, and contexts can be integrated into a broader account of human interaction. By introducing and utilizing basic concepts of self-organization and synergy, we review empirical work that shows how human interaction is flexible and adaptive and structures itself incrementally during unfolding interactive tasks, such as conversation, or more focused goal-based contexts. We end on acknowledging that dynamical systems accounts are very short on concrete models, and we briefly describe ways that theoretical frameworks could be integrated, rather than endlessly disputed, to achieve some success on the centipede’s dilemma of human interaction.

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## 1. INTRODUCTION: THE “CENTIPEDE’S DILEMMA” OF INTERACTION RESEARCH

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Next time you have a conversation, pay close attention to what you and your partner are doing. This self-consciousness can be a bit jarring. Like the so-called “centipede’s dilemma”, attempting awareness of your numerous cognitive processes and behaviors, and those of your conversation partner, can quickly disrupt a natural flowing performance. Dialog otherwise seems so easy (Garrod & Pickering, 2004). How do we do it? The famous poem by Katherine Craster has a toad posing to a centipede, “Pray, which leg moves after which?” The centipede goes about some introspection, attempting awareness of this coordination, only to find that she can no longer move.

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The same thing seems to happen if we do this in a conversation. The information, and relevant cognitive mechanisms, that can bear on a conversational performance probably outnumbers a centipede’s legs, especially if you include the array of processes that are not available to conscious report. How do we coordinate everything?

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In this review article, we consider a fundamental and still unsolved puzzle faced by the fields that study human interaction. Much like consciously focusing on ourselves while conversing, the *scientific agenda itself* also suffers from a kind of centipede’s dilemma. Ongoing work tends to focus on particular levels of analysis. For example, we know that people use similar vocabulary during interaction, may tend to match in other linguistic styles,

or even take on similar bodily postures and movements. Through exploration of these levels, there are many theoretical proposals of candidate cognitive and social processes. Terminologies that populate these theories are diverse, conceptually overlapping, and still don't enjoy consensus definitions: mirroring, simulating, coupling, entrainment, coordination, imitation, mimicry, alignment, synchrony, joint action, theory of mind, perspective-taking, mutuality, accommodation, empathy, contagion, and more.

p0025 What is still lacking is a systematic agenda to uncover how these various processes work together to bring about *multimodal coordination* between two interacting people. The current agenda of isolating processes and developing broad theoretical proposals from relatively circumscribed domains is somewhat like the centipede's analysis of its performance leg by leg by leg. There is now a heterogeneous assemblage of experimental techniques and observational analyses and an associated array of diverse theoretical mechanisms that have yet to be integrated. The vibrant debates that ensue focus almost entirely on some subset of experimental paradigms, cognitive processes, or social contexts. The result is an exciting, evolving, but fractured domain.

p0030 Admittedly, framing the problem in this way may seem overly grandiose. But if a comprehensive theory of human interaction is our goal, then this is a real puzzle to be solved. We allay any enticement (or skepticism) here: we're not going to solve the puzzle in this paper. We will, however, propose one potential route to a solution. To do this, we look to concepts of adaptive and self-organizing systems, drawing from the tradition of the "dynamical systems framework" as it has come to be known in the cognitive sciences. Importantly, this is a *framework* for thinking about the problem of coordination during linguistic interaction. It does not answer the question of what the precise array of mechanisms is or the processes of their interaction. It also does not, at least by necessity, replace extant theoretical proposals. Our positive thesis is simple: we will argue that the dynamical systems framework may help to integrate existing theories.

p0035 In what follows, we begin with more background on the debate about the mechanisms underlying human interaction. We then introduce some fundamental concepts of dynamics. These concepts bring about some generic expectations about how human interaction should be coordinated and structured. We argue that, in fancy terminology, "self-organization into functional synergies" should be (and is) evident in interactive data (Section 2).

p0040 We showcase some evidence for this in a review of empirical literature. This background review focuses on two key aspects of linguistic interaction. The first (Section 4) is that basic social variables *can* sharply modulate the

many behaviors involved in interaction, at several levels of analysis. We look to low-level visual attention, and then higher level spatial perspective-taking, during linguistic interaction. The second empirical review is a glance at complementarity between behaviors of two people interacting, extending current theories of alignment in dialog and looking to the usefulness of the concept of “synergy” (Section 5).

p0045 Following this, in a concluding discussion, we relate dynamical systems to other theories (Section 6). We speculate on some ways that these dynamic concepts could be pursued in other computational models as a means of becoming more precise about *what exactly* is coordinating during human interaction. As we articulate in this section, a common and important objection to dynamical systems accounts is that they are weak on identifying mechanisms: dynamical theorists argue “interactions dominate cognition,” and focus almost exclusively on relatively indirect measurement outcomes of that interaction; however, where there is interaction, there must be things interacting. We address this issue in this final section by arguing that an important way to move forward is to integrate useful concepts from dynamics with some computational frameworks already exploring language and complex cognition.

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## 2. AN EXAMPLE THEORETICAL DEBATE AND THE NEED FOR INTEGRATION

p0050 There are some prominent theoretical debates in the realms of discourse and psycholinguistics. One of the best known debates revolves around how, and how much, human beings track information about one another as they interact. Some theories posit a two-stage process, with primacy given to egocentric (self-centered) processes, and more social “other-centric” processes coming online only more slowly and strategically (e.g. Barr, 2008; Keysar, Lin, & Barr, 2003; Lin, Keysar, & Epley, 2010). Other theories posit a fundamental sensitivity to a conversation partner, with a rich layering of common ground that emerges while two people talk (e.g. Clark, 1996; Schober & Brennan, 2003; for recent discussion, see Brennan, Galati, & Kuhlen, 2010; Brennan & Hanna, 2009; Brown-Schmidt & Hanna, 2011; Shintel & Keysar, 2009).

p0055 Other theoretical agendas in this debate have aimed to specify key cognitive processes that permit one person to keep track of, or continually adapt to, their conversation partner. Some of these accounts centralize a process of multilevel “alignment,” which can be automatic and often nonconscious

and builds common representational states across individuals while they talk (Garrod & Pickering, 2004; Pickering & Garrod, 2004). Some have taken the suggestion of a human “mirror system” to be central, specifying core social processes that must be in place for us to interact successfully (for review, see Gallese, 2008). Recent accounts have articulated the important role of executive function during conversation (Brown-Schmidt, 2009a,b), of memory (Horton, 2005; Horton & Gerrig, 2005), and of the integration of basic contextual parameters of an interaction (Brennan et al., 2010). Still others have identified *kinds* of coordination, such as the emergent versus nonemergent linguistic interaction that see different origins in activities done jointly (Knoblich, Butterfill, & Sebanz, 2011).

p0060 We see at least three exciting characteristics to this growing literature. First, researchers in these areas are beginning to tap into the cognitive mechanisms that might underlie social and linguistic interaction (e.g. Brown-Schmidt, 2009a,b; Gambi & Pickering, 2011; Horton, 2005; Mehler, Weiß, Menke, & Lücking, 2010; Pickering & Garrod, 2009; Reitter, Keller, & Moore, 2011). This advances the valuable work on observational and conversation analysis that has shed great light on the structure of interaction (Sacks, Jefferson, & Schegloff, 1995; Schegloff, 2007), but is not capable of identifying the cognitive processes that drive it.<sup>1</sup>

p0065 Secondly, and relatedly, social cognitive neuroscience (e.g. Frith & Frith, 2001; Van Overwalle, 2008) and related areas (e.g. imitation: Wang & Hamilton, 2012) have begun to explore these basic mechanisms at the level of the brain. The growth of this subfield of cognitive neuroscience has been very rapid, with many programmatic proposals for studying the circuits underlying social interaction (e.g. Cooper, Catmur, & Heyes, 2012; Dumas, Chavez, Nadel & Martinerie, 2012; Hasson, Ghazanfar, Galantucci, Garrod, & Keysers, 2012; Konvalinka & Roepstorff 2012; Wolpert, Doya, & Kawato, 2003).

p0070 Thirdly—and this should sound odd—researchers have come to embrace the inherent social nature of language and to carry out investigations of cognitive processing in more naturalistic circumstances (see Tanenhaus & Brown-Schmidt, 2008 and Fusaroli & Tylén, 2012 for a review). The past century has seen some fundamentally different assumptions for a scientific understanding of language. For example, the classic conception of the ideal speaker–hearer, perhaps useful in some circumscribed domains, is an

<sup>1</sup> Although the brilliant corpus strategies used by researchers like Bard, Aylett, and others can reveal substantial clues about cognitive mechanism through, for example, acoustic properties of what one person says to another (see, e.g. Bard & Aylett, 1999; Bard et al., 2000).

assumption that has outlived any usefulness it may have had in understanding how people actually use language in so wide a circumstance. Conversation analysis and discourse psychology have now been coupled with sophisticated computational and behavioral methods such as natural language processing and computational linguistics (Graesser, Swamer, & Hu, 1997), eye-tracking (Tanenhaus, Spivey-Knowlton, Eberhard, & Sedivy, 1995), automated body movement (Paxton & Dale, *in press*; Schmidt, Morr, Fitzpatrick, & Richardson, 2012) and acoustic analysis (Oller *et al.*, 2010; Wyatt, Bilmes, Choudhury, & Kitts, 2008), dynamical systems methods (Riley & Van Orden, 2005; Shockley, Santana, & Fowler, 2003), and more. Language is a complex and multidimensional activity, and our understanding of it—how it evolved, is learned, and is used—must come from integrating such sophisticated methods in naturalistic circumstances, not *only* from abstract assumptions about linguistic structure that rarely manifest themselves except in preempirical intuitions.

p0075 Although these are exciting developments, we would argue that *theoretical integration* has been less emphasized. We can think of a few reasons why this might be. For one, a researcher's theoretical proposals are usually tied to the specific contexts she or he studies. This is a natural feature of any scientific explanation (see Cartwright, 1999), but it limits the generalizability of the processes proposed. The very fact, for example, that language users can be rendered relatively egocentric, or relatively “other-centric,” by experimental design means that something more complex is going on cognitively than simply the deployment of fixed architectures (see also Brennan *et al.*, 2010 for discussion). Another reason, in our opinion, is that the multidimensional and “multimechanism” aspect of human interaction means that traditional conceptions of cognitive explanations are fundamentally challenged. In such a complex circumstance, theories seem unlikely to succeed by anchoring to small set of specific mechanisms, but rather to a context-dependent integration of a wide variety of processes acting together. This is what we mean by the “centipede's dilemma”: there tends to be much local and circumscribed analysis and much less cross-paradigm and intertheoretical synthesis.

p0080 We introduce one way that approaches integration. Specifically, we look to the tools offered by what is often termed the “dynamical systems” approach in cognitive science (Chemero, 2009; Port & Van Gelder, 1995; Richardson, Dale, & Marsh, *in press*; Spivey, 2007; Thelen & Smith, 1994; Turvey, 1990; more on this below). A dynamical approach to these phenomena affords a variety of theoretical tools that embrace context-dependent

integration, adaptation, and process flexibility. In the study of basic cognitive processing, significant debate has emerged about the usefulness of a so-called “nonlinear interaction-dominant dynamic complex systems” approach (see collection in [Van Orden & Stephen, 2012](#)), and whether it really adds much above already present accounts such as constraint-satisfaction mechanisms (e.g. [Eliasmith, 2012](#)). These are all very important concerns, and we will address some of them in discussion below. However, this debate seems to have been unfortunately influenced by overly radical and unrealistic theoretical commitments, and perhaps reactionary tendencies in commentators. In many circumstances, a dynamical systems approach can be integrated in telling ways with existing theories. We argue that there is great value in the approach, with specific benefits to be gained from applying it to conversation. We begin by describing the theoretical framework in a highly introductory manner for those who still haven’t read much about it or have been too skeptical to get into it.

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### **3. SELF-ORGANIZATION AND HUMAN INTERACTION**

#### **3.1. The Need to Integrate Accounts of Cognition in Linguistic Interaction**

p0085 The empirical literature on conversation and relevant interaction can stymie many theoretical proposals. A cursory glance at this empirical literature shows a more complex story than is typically portrayed in any single theory. The cognitive processes proposed to be centrally involved in social interaction are numerous. In addition, they operate in a highly context-dependent way. Depending on the experimental paradigm chosen by the researcher, one can highlight some capacities over others. As noted above, particular laboratory interaction tasks may produce behavioral patterns indicative of egocentrism ([Barr & Keysar, 2002](#); [Keysar, Barr, Balin, & Brauner, 2000](#); [Keysar, Lin, & Barr, 2003](#); cf. [Shintel & Keysar, 2009](#)); at the same time, putting two people who are highly acquainted in an interaction may have a similar effect, of highlighting egocentrism, since each person can make assumptions that they are likely to be understood (e.g. [Wu & Keysar, 2007a](#); whether this is an explicit metacognitive assumption is unknown). However, when establishing pointed moments of conversational disruption, a conversation partner’s needs or abilities, or different cultural contexts, these egocentric tendencies can become reversed (see, e.g. [Wu & Keysar, 2007b](#); [Brown-Schmidt, 2009a,b](#); [Brown-Schmidt, Gunlogson, & Tanenhaus, 2008](#); [Galati &](#)



Brennan, 2010; Roche *et al.*, submitted for publication; Tanenhaus & Brown-Schmidt, 2008; see for review Brennan *et al.*, 2010; Schober & Brennan, 2003).

p0090 These are exciting avenues of investigation, with extremely clever experiments destined to fuel this debate and discussion. Nevertheless, an inference to the best set of unique capacities is not possible from a small set of experiments or even from a whole literature that highlights specific designs. The range of possible contexts of human interactions is simply too numerous to do so. For these reasons, it is unlikely to be the case that conversational performance and linguistic interaction, in whole, can be accounted for in terms of a small single subset of mechanisms. Of course, not all theories aim to be so comprehensive as they tend to focus on specific aspects of social interaction. We would argue that, to achieve a more comprehensive account of social interaction, an *integration* of these task contexts, and cognitive capacities, is needed (cf. Brennan *et al.*, 2010). But how can we hook up differing accounts into an overall theoretical framework that can achieve this integration? Here, we argue that a dynamical systems framework may serve these questions in valuable ways. In the following section, we describe what we mean by “dynamical systems account” and describe two basic, but important, features: *self-organization* and *synergies*.

### s0030 **3.2. Dynamics, Self-Organization, and All that Jazz**

p0095 It is widely known that the dynamical systems approach to cognition utilizes some terminology unfamiliar to many cognitive scientists.<sup>2</sup> This concern has been expressed in many critiques. For example, a recent commentary’s tongue-in-cheek title uses the comprehensive phrase “[n]onlinearly coupled, dynamical, self-organized critical, synergistic, scale-free, exquisitely context-sensitive, interaction-dominant, multifractal, interdependent brain-body-niche systems” (Wagenmakers, Van der Maas, & Farrell, 2012). These are legitimate concerns because identifying important new theoretical concepts inside an array of unfamiliar terms requires at least some concrete aspects of the agenda (in fact, this is the important point expressed in the above-mentioned commentary). To be fair, however, we could say the same thing about classical information-processing accounts as they emerged. One could construct such a title for virtually any theoretical account as terms that make subtle distinctions or highlight particular nuances are common in all theoretical domains of cognitive science (rich

<sup>2</sup> This is a deliberately mild way of putting it. Others, such as anonymous reviewers of some journal articles, have described the vocabulary as “Star Wars terminology”. Readers may have other examples.



vocabularies appear to be a feature of any domain of human expertise; Tanaka & Taylor, 1991). For example, the classical cognitive approach is the “truth-value preserving, hierarchically organized, discretely symbolic, recursive, satisficing, structure-dependent, information-encapsulated modular” approach. Naturally, as Wagenmakers et al. demand, concrete models help anchor such terms, and it is true that the dynamics approach needs more of them (see, e.g. Kello, 2013, for some recent exciting progress; we also discuss this in concluding Section 6).

p0100 So before getting lost in a wave of fancy new terms, we expend some energy in this review article discussing why they are used. To do so, we describe a simplified and shortened version of a dynamic self-organized approach to cognition. We do this to present only the most general ideas and avoid some detailed debate that has emerged even in these areas. Readers may be surprised to discover that even among this tribe of cognitive science, there is significant dispute from teeth-gnashing displays that threaten abstract theoretical constructs to pleas to remain open minded about such constructs (for reviews, see Chemero, 2009; Dale, 2008). Still, the core ideas can be laid out readily in a short section, as we attempt here. Our goal was to showcase the specific aspects of this account of cognition that seem helpful to understand conversation (see Richardson et al., in press, for a thorough presentation of both theory and methods).

s0035 **3.2.1. Complex System**

p0105 We can take “dynamics” for granted here. The dynamical systems approach takes the position that it is important to study the time-evolving properties of systems.<sup>3</sup> A dynamical system is simply one that is changing in time and can (in some way) be modeled as such (mathematically, computationally, or just conceptually). Instead, let’s start with the notion of a “complex system”. The phrase itself seems highly relevant to our language abilities. Carruthers (2002) refers to language as an “intersection” system because, among other functions (e.g. complex thought), effective language use requires a wide variety of mechanisms to successfully intersect. Several mechanisms have already been implicated in theories of perspective-taking during dialog and other aspects of conversation. These have included social memory traces (Horton, 2005), memory for shared experiences (Galati & Brennan, 2010;

<sup>3</sup> We will also take “system” for granted. No further words are offered on this. You have to start from somewhere. Readers wishing to have pure operationalized definitions of all things can consult the success of Rudolf Carnap’s early-20th-century attempt to do so. One of the authors would wager that readers recognize this attempt in proportion to the success of it.

Wu & Keysar, 2007), social status adaptation (Duran & Dale, 2012), executive control (Brown-Schmidt, 2009a,b), priming processes and alignment (Garrod & Pickering, 2004), the mirror neuron system (Gallese, 2008), forward models of social and linguistic prediction (Pickering & Garrod, in press), rich common ground representations (Clark, 1996), socially guided attention (Kingstone, Smilek, Ristic, Friesen, & Eastwood, 2003), perceptuomotor linkages (Shockley, Richardson, & Dale, 2009), and even processes at various linguistic levels such as perception of accent (Lev-Ari & Keysar, 2010) and lexical (Bortfeld & Brennan, 1997; Brennan & Clark, 1996; [AU2] Niederhoffer & Pennebaker, 2002) and syntactic choice (Branigan, Pickering, & Cleland, 2000; Branigan, Pickering, Stewart, & McLean, 2000).

p0110 Treated as a system of intersecting mechanisms, our language capacity appears quite complicated. In the parlance of researchers who embrace dynamics and complexity science, this *complex system* is unlikely to be controlled by a central “homunculus”. No theory of our language capacity has proposed such a central executive that simultaneously integrates all of these mechanisms. For example, emerging models of sentence processing imply that, even at just this processing level, central processing cannot alone account for our success, and an explanation must derive from exploring the dynamic relationship between memory retrieval, working memory, and focal attention (e.g. Lewis, Vasishth, & Van Dyke, 2006; McElree, 2006; Raczaszek-Leonardi, 2010). In the domain of motor control, where dynamical systems have been and continue to be highly influential, this is sometimes referred to as “Bernstein’s problem” or the “degrees of freedom problem” (Turvey, 1990). If the components making up our language system are truly modular, there are simply too many ways in which our overall language system can change, with each mechanism flailing about unto itself unless it is somehow anchored to other processes around it. Put simply, there are too many degrees of freedom in this system for it to be managed by a single control process. These many proposed mechanisms must somehow influence each other, directly and continually, in order for language to function in naturalistic circumstances. In any one experiment, we focus on a very deliberately narrowed set of controlling variables and identify their influence on a very specific set of resultant behaviors. Such is the justifiable nature of experimental science.

p0115 Naturalistic language performance seems very unlikely to be based on a single control process. Somehow, our system integrates all of these components simultaneously. There are, at present, a limited number of theories for how this is accomplished (although see, for the closest current

approximation of a grand theory, Pickering & Garrod, 2004, 2009, in press). But this is how we wish to pose the problem: If language, in its naturalistic context, is underlain by such a wide array of processes, then these processes must somehow interact, mutually constrain each other, and act together continually to produce coherent performance. Systems that do this—that have a multitude of parts that mutually interact and constrain each other—are often referred to as “complex systems” (see Gallagher & Appenzeller, 1999; articles therein, for discussion). The term is only meant to highlight the problem of interdependency that must be present among the system’s components for it to function.<sup>4</sup>

s0040 **3.2.2. Self-Organization**

p0120 So if there is not a control process that “calculates the positions” of all mechanisms (working memory, social judgment, visual attention, etc.), then there must be some other means by which we can understand how they function together. A process that contrasts with the presence of a central controller is *self-organization*. Without a central control process, the mechanisms must mutually constrain each other to behave (in whole) as a stable performance. There are plenty of natural examples that are often raised to exemplify this concept (see Kauffman, 1996, for many examples). For example, the behavior of a beehive, termite, or ant’s nest is not controlled by a single entity but is a large self-organizing organism unto itself (see Seeley, 2010, and Richardson et al., in press, for more discussion). The same may be true for human interaction.

p0125 This is often where things get heated between dynamical systems researchers and other cognitive scientists. Isn’t working memory that executive controller? Clearly it cannot be because there is much more being coordinated during conversation than just a handful of manipulated chunks of information (and see note about sentence processing above). What about process threading in working memory extended over time? Recent computational models of complex cognitive control may be relevant here, but even these require articulating the details of interacting components in the system (see, e.g. Salvucci & Taatgen, 2008). Similarly, what we are suggesting is that there may be “chains of influence” between processes of the cognitive system that we tend not to explore. Whatever one’s favorite array of

<sup>4</sup> Complex systems are also figured to involve interactions among components, which produce collective higher level behaviors not reducible to properties of the components themselves. We wish to avoid this debate here (“emergence” or “emergentism”), although it seems likely a natural consequence of the perspective we describe here (see also Knoblich et al., 2011).

theoretical constructs or model formalisms, these processes must be working *simultaneously* to bring about stable cognitive performance, which seems especially true for face-to-face human interaction.

p0130 So how does self-organization work? Often, when one is trying to convince skeptical colleagues of the *value* of these concepts, already they may point to two issues. First, perhaps this is trivial: “Okay, so you’re saying to put it all together, great. That’s obvious.” Or, the process is far too vague to be even worthy of consideration: “Okay, so you’re saying they work together, but that isn’t telling us anything new, because we don’t know what processes it relies on!” These critiques are entirely legitimate, but the devil is always in the details. The researchers in the dynamics crowd have identified elegant ways of understanding what goes on during the process of self-organization (even in high-level cognition; see, e.g. Dixon, Stephen, Boncoddo, & Anastas, 2010). Many of these dynamical concepts are descriptions of *form* rather than *function*. They are characterizations of what is taking place in the system as it self-organizes. Here, we consider an important one. When a system of many interacting components self-organizes, it undergoes a *reduction in its degrees of freedom*.

### s0045 **3.2.3. Synergies and the Reduction of Degrees of Freedom**

p0135 As noted above, a key issue raised in motor control decades ago by Nikolai Bernstein was that in order for a human being to perform any coherent action, a massive array of variables must somehow coalesce in order for it to happen. In the 1940s, Bernstein was trying to understand how motor control harnesses the high number and complexity of the components of the human body (Bernstein, 1967; Kelso, 2009; Latash, Scholz, & Schoner, 2007; Turvey, 1990). There is no way we can micromanage each and every joint and muscle at the same time. He introduced the idea of *synergy*: a functionally driven reduction of degrees of freedom, where components do not simply align, but also complement and compensate for each other. Instead of a top-down microcontrol, he hypothesized that the different components get coupled and constrain each other locally.

p0140 A classic example is Bernstein’s analysis of chisel and hammer. If we want to strike a chisel with a hammer, this gives direction to and constrains the workings of our body. The exact timing and force of contraction and relaxation of all the individual muscles in our hands, fingers, and arm are locally regulated to comply with that overall goal and the unfolding interaction with the environment. This intuition was tested empirically by measuring the precision of movements at all relevant joints in a blacksmith’s

hammering of a chisel. The variability of the trajectory of the tip of the hammer across a series of strikes turned out to be smaller than the variability of the trajectories of the individual joints on the hammering arm (Bernstein, 1967; Latash, 2008). The joints are not acting independently but correcting each other's errors at the relevant timescale, to preserve function, thus supporting the idea that the function itself is the coordinating principle. Importantly, when putting the hammer down and, say, grasping a cup of tea, the very same joints and muscles will flexibly combine in very different ways, thus stressing the functional, that is, task-oriented nature of the synergy.<sup>5</sup>

p0145 The same idea may be true of human interaction. The array of mechanisms described above do not *merely* interact. They must have interdependencies operating in a coherent fashion that organizes the system into a lower dimensional functional unit, and possibly a much smaller number of stable higher level behaviors, unexpectedly lower than what would be anticipated from the complexity of the system's composition. For example, perhaps at the coarsest level of description in human interaction, one could see stable modes in the form of *arguing* (Paxton & Dale, submitted for publication) or *flirting* (Grammer, Kruck, & Magnusson, 1998) or *joint decision making* (Fusaroli & Tylén, submitted) or *giving directions* (Cassell et al., 2007; cf. the notion of "oral genres," e.g. Busch, 2007). Beneath these coarse-level quasistable characterizations of interaction, we have different levels of coordination taking place. Within one interlocutor, whatever components compose a cognitive system must work together to support coherent individual performance; across two individuals, a similar process of systematic reduction of degrees of freedom may organize interactions into stable modes of functioning (Shockley et al., 2009).

### s0050 **3.3. Summary, Social Modulation, and Multimodal Coordination**

p0150 So far, we have argued that the study of interaction has faced a kind of "centipede's dilemma" that the field has specialized in specific experimental paradigms, specific behavioral channels and social contexts but that it has not integrated knowledge in a systematic way. Yet, in an important sense, the cognitive system undoubtedly performs this integration during interaction.

<sup>5</sup> Note that this has sparked decades of exciting work and debate in motor control. Although Bernstein's solution has, in many respects, become standard in broad strokes, how it is solved can be the subject of some debate (see, among many, Latash et al., 2007; Newell, Broderick, Deutsch, & Sifkin, 2003; Todorov & Jordan, 2002; Turvey, 2007).

One framework for thinking about this, which we have outlined in brief in the previous section, is to import the concepts of self-organization and synergies into this discussion. Our reasoning is that there are far too many degrees of freedom available to a dyad during conversational performance for the cognitive system to compute their activities all at once. In the following sections, we offer extensive empirical review, looking to two general features of this issue of conversational performance.

s0055 **3.3.1. Social Modulation of Cognitive Dynamics**

p0155 The first is the fundamental role of the social in human conversation. Despite the intrinsic social nature of language and conversation, there has been much debate on whether and to what extent social variables, such as the belief states and presence of another person, modulate the dynamics of performance. The self-organization of some cognitive or conversational performance is shaped by social variables in various ways. For example, the mere presence of a person will greatly change behavior of the visual attentional system (as monitored by eye-tracking). In addition, facts that one knows about a potential social partner may guide perspective-taking strategies. We explore this in review of empirical literature. The dynamical systems approach suggests the following basic insight: significant reorganization of interactive behaviors should occur under different social contexts. This sees interaction as a process that is *organizing itself* around key variables, such as a social ones; it also means that basic theoretical accounts emphasizing of “egocentric” or “other-centric” processes may be a dialectical veil over the deeper flexibility and self-organization taking place during human interaction.

s0060 **3.3.2. Coordination, Complementarity, Synergies**

p0160 The second is, even if we acknowledge the importance of social variables on the way that cognitive processing unfolds, there must nevertheless be a process of coordination among the channels in conversation. For example, if one learns something new about a conversation partner, it may suddenly shift one’s focus both in the content of what is being said and how one says it. Here is where the concept of synergies becomes most important: two people interacting in a joint task come to form their behaviors through compensatory complementary behaviors. These behaviors influence one another locally and incrementally, making the whole conversational performance itself a kind of self-organizing synergy. We review the research suggesting that this is the case and offer theoretical discussion that is meant to supplement, not replace, current discussion of coordination and alignment.

s0065



## **4. COGNITIVE DYNAMICS UNDER SOCIAL CONSTRAINTS**

s0070

### **4.1. Social Modulation of the Dynamics of Low-Level Visual Attention**

p0165 It can be very lonely, being a participant in a cognitive psychology experiment. If the experimenter wants to study memory, language processing, or decision making, a common first step is to exclude as many social factors as possible. The participant sits alone, typically, interacting with a computer, and perhaps a researcher, whom they don't know, follows a rigid script. Like friction in a high school physics problem, social context is discarded by initial simplifying assumptions in cognitive models. Both forces get in the way of more important aspects of phenomena, the argument goes. But both friction and social context are unavoidable in the world outside of the laboratory. In fact, we argue that they are both essential to understanding many phenomena. The claim is not that what is discovered in a typical cognitive laboratory is invalid because of an absence of social context. Rather, we argue that perhaps there are interesting dynamic interactions between cognitive processes and social context that occur all the time in the real world (Hutchins, 1995a,b, 2010, 2011), but are left at the door of many a cognitive laboratory.

p0170 In this section, we review more naturalistic experimental designs that have looked to how social variables constrain the dynamics of low-level visual attention using eye movements. We survey a range of experiments that have been titrated according to the level of social context that they entail. Each measures visual attention, in the form of eye movements, to see how these varying levels of social context influence cognitive and perceptual processing. In the first, the level of social context was high as two people interacted with each other while having a conversation or an argument. The researchers measured how their thoughts about each other drove their eye movements around a scene or an empty screen. In the second, a participant alone was asked to listen to the opinions of one person talking on a screen, but the researchers found that their eye movements were influenced by the presence and the identity of others in the background. In the lowest level of social context, the researchers gave participants an explicitly nonsocial task of looking at a set of pictures while sat alone. The researchers studied the effect of introducing a minimal social context to the task by telling them that another unseen person



was also looking at the same images at the same time. Across all of these levels, the researchers find a pervasive effect of social context on low-level visual processes.

p0175 When two people are engaged in a conversation with each other, they can display great sensitivity to each others' thoughts and beliefs. But what happens at a lower level of social context? Here, we look at the case where the participant is merely a spectator, watching a prerecorded video of a group of people and listening to one of them give their opinion. A standard cognitive approach might be to focus on the words of the speaker and how they are processed by the participant. But Crosby, Monin, and Richardson (2008) looked at the relevance of the other people in the video, the silent bystanders who provided a social context.

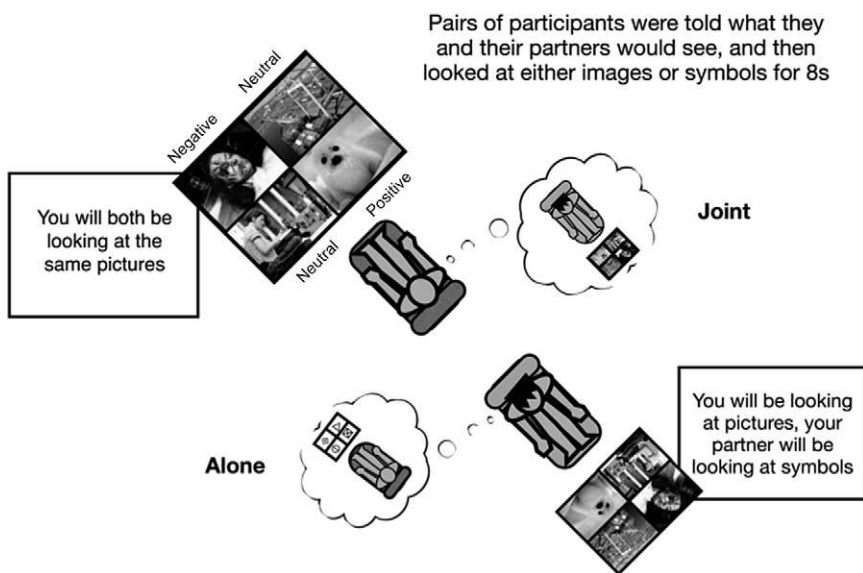
p0180 In their experiment, participants watched a video of four people giving their views on Stanford University's admissions policies. All four members of the "focus group" could be seen on screen at the same time, in a grid arrangement of cubicles, and they all wore headphones so they could hear what each other said. At one point, the speaker in the top right corner complained that, "certain groups who come from less privileged backgrounds... get an unfair advantage." At this point, participants routinely fixated a man on the bottom row of the screen who was black. It appeared that participants were sensitive to the fact that the speakers' words, criticizing policies of affirmative action which would typically benefit black Americans, might be offensive to members of that group.

p0185 A parsimonious explanation, however, is that the participants simply noticed the ethnicity of everyone at the start, and the speaker's words simply activated a memory of one person on the screen. This memory trigger launches an eye movement, as memory representations often do (Richardson, Dale, & Tomlinson, 2009; Richardson & Spivey, 2000). But Crosby *et al.* (2008) were able to rule out this "association hypothesis". In another condition, before the speaker began talking, an offscreen voice said that the headphones of people on the bottom row were being turned off. Then the participants saw exactly the same video. The association hypothesis predicted that since the black member of the focus group was still on screen, he would still attract a fixation. In this case, however, participants barely looked at him when the potentially offensive remarks were made. Participants were supposed to be simply listening to the speaker's words. But these results show that they were also keeping track of the social identity of all the other people on screen, monitoring whether or not they could hear the speaker and, presumably, anticipating how each might respond to the

speaker's words. In other words, for the participants, the cognitive task of processing speech was embedded in a social context.

p0190 Other paradigms too have shown an effect of “social tuning” (Shteynberg, 2010; Shteynberg and Galinsky, 2011). In these paradigms, stimuli are explicitly identified as being relevant by other people and as a consequence are processed selectively by individuals. Recently, researchers have adapted the Simon task and inhibition of return paradigms, splitting these cognitive tasks between pairs of participants. The behavior of the pairs is remarkably similar to the individuals', showing the same patterns of response interference (Knoblich et al., 2011). This work suggests that when participant act jointly with each other, in a very simple social context, they immediately represent each others' tasks and goals.

p0195 The final set of experiments attempt to reduce social context to it lowest level. Our strategy was to take a simple perceptual task that participants carry out alone or jointly with another person and to make the difference between those conditions as small as possible (Richardson et al., 2012). Pairs of participants were sat in opposite corners of a laboratory room, each looking up at a screen while their gaze was tracked (see Figure 2.1). On each trial of the experiment, they saw four images on screen for 8 s. Beforehand,



f0010 **Figure 2.1** Example context in which two people were given different beliefs about what their “socially copresent” partner could see. Imagines of differing emotional valence were presented. (Adapted with permission from Richardson et al. (2012)).

they were either told that both they and their partner would be looking at the same images or that they would be looking at images and their partner would be looking at symbols. Participants could not see each other and could not interact at all. Nevertheless, when they thought that their current perceptual experience was being shared with another, their eye movements were systematically changed. They looked more toward pictures with a negative valance than when looking alone. We believe that people are doing so because they each believe that the other person is looking more at the negative images. At least, when participants are told that this is a memory task and that they will score more points if they recall the same pictures as their partners, they too look more at negative images (unpublished data). When the images are replaced by album covers, people will look more at classical albums when they are looking jointly, and their partner (a confederate in this case) walked in carrying a violin (unpublished data). Across all these experiments, we have found that even a minimal social context—the belief that an unseen other was seeing the same stimuli—was enough to manipulate an individuals' visual processing.

p0200 From a rich interactive conversation to listening to one person against a backdrop of bystanders, to gazing at images alone, believing that someone else is too, social context can have a pervasive influence on visual attention (Risko & Kingstone, 2011; Laidlaw *et al.*, 2011). Under even subtle circumstances, people take into account each other's knowledge and visual context to coordinate their gaze around an empty display. They anticipate each others' responses to potentially offensive remarks. And even with the thinnest slice of social context, when there is no interaction or contact between people, they will still shift their gaze toward where they think each other is looking. Importantly, this process occurs when the variables are in the right arrangement—for example, when the unintended recipient of the offensive remark *can hear it*.

#### s0075 **4.2. Social Modulation of Higher Level Processes, Like Perspective-Taking**

p0205 Social variables can radically alter the dynamics of visual attention. Here, we review recent research suggesting that these same dynamic changes take place in relatively higher level cognitive processing: perspective-taking. In pragmatic models of language processing, an essential component of how people produce and comprehend language depends greatly on communicative function. The social environment thus has a central role in constraining how language is interpreted and used (Brennan *et al.*, 2010; Brennan & Hanna, 2009; Brown-Schmidt & Hanna, 2011;

Brown-Schmidt & Tanenhaus, 2008; De Jaegher, Di Paolo, & Gallagher, 2010; Hanna & Tanenhaus, 2004; Hanna, Tanenhaus, & Trueswell, 2003). A critical source of constraint is in the common ground that may exist between language users. Common ground corresponds to the shared characteristics derived from the local context of being present with another, such as viewing the same scene, to more global shared histories that arise from being members of the same culture or speaking the same language. This information is brought to bear when interpreting what another says and when choosing words to speak (Clark & Krych, 2004; Clark & Wilkes-Gibbs, 1986; Fussell & Krauss, 1992; Lockridge & Brennan, 2002; Schober & Brennan, 2003).

p0210 A central question is *when* common ground information is available in language processing. As described earlier in this review, at one theoretical extreme is a view that people are primarily egocentric and that even when common ground information is available for influencing a particular interpretation, people initially rely on their own frame of reference or act to minimize their own difficulty in processing (Epley, Keysar, Van Boven, & Gilovich, 2004; Keysar, Barr, & Horton, 1998). Otherwise, as the argument goes, to integrate common ground early in processing would result in increased cognitive effort and processing times (Barr, 2008; Keysar et al., 2000). But such conclusions stand in contrast to other studies that show people are quite capable of making rapid social judgments based on briefly presented sources of social information, such as dispositional expressions (Ambady, Bernieri, & Richeson, 2000) or gaze direction (Hanna & Brennan, 2007; Teufel, Fletcher, & Davis, 2010). This social information can also extend to belief attributions about another, such as another's needs, characteristics, or limitations that are initially present in an interaction (Bortfeld & Brennan, 1997), or are emergent factors (Horton & Gerrig, 2002, 2005). Integrating common ground information does not necessarily have to be a cognitively complex process, as simple attributes of another can immediately constrain what and how something is interpreted. Moreover, such integration is commensurate with "incremental models" of language processing. As words are encountered in a sentence, new evidence is provided for the commitment, or abandonment, of a particular interpretation. As a sentence unfolds, multiple interpretations are simultaneously activated and competing for expression, with accruing evidence constraining possible interpretations (Seidenberg & MacDonald, 1999). Based on this account, common ground information is tantamount to just another source of potential constraint.

p0215 From a “traditional” dynamical systems perspective, what constitutes a relevant constraint is similar, but is more connected to what can be directly perceived from within an interactive social environment (Marsh, Richardson, Baron, & Schmidt, 2006; Marsh, Richardson, & Schmidt, 2009; Richardson, Marsh, & Schmidt, 2005). Contrary to egocentric accounts of processing, there is no intermediary “representational” stage where another’s intentions are first calculated and then acted upon. Rather, the behavior and actions of another hold immediate sway on how people respond to each other. Such direct couplings are possible through the interactive context by which individuals’ processing capabilities are reshaped by the presence and actions of social partners (Ramenzoni, Davis, Riley, Shockley, & Baker, 2011; Riley, Richardson, Shockley, & Ramenzoni, 2011). Such connectivity allows for nimble social coordination that cannot be reduced to individual-level contributions, but instead must be evaluated on the basis of the social unit, where the emergence of meaning is reciprocally caused and maintained by social partners during interaction (Marsh *et al.*, 2009).

p0220 On the face of it, this traditional dynamical systems approach should be closely aligned with language theories that allow for common ground constraints to have immediate influence on moment-by-moment linguistic processing. Yet, what constitutes common ground often corresponds to simple inferential states based on what another knows or believes. Such inferences are not easily integrated with a dynamical systems approach, where interpersonal coordination is driven by the actions, or possibilities for action, that are expressed and integrated by physically co-situated agents. Rather, what is found in the social environment are opportunities for merging individual-level perceptuomotor systems into a collective system, with evidence taken from joint action tasks where people rapidly converge on patterns of coordinated synchronous movements (Knoblich *et al.*, 2011; Knoblich & Sebanz, 2006). Put simply, common ground invokes the cognitive, and many dynamical systems theorists avoid this.

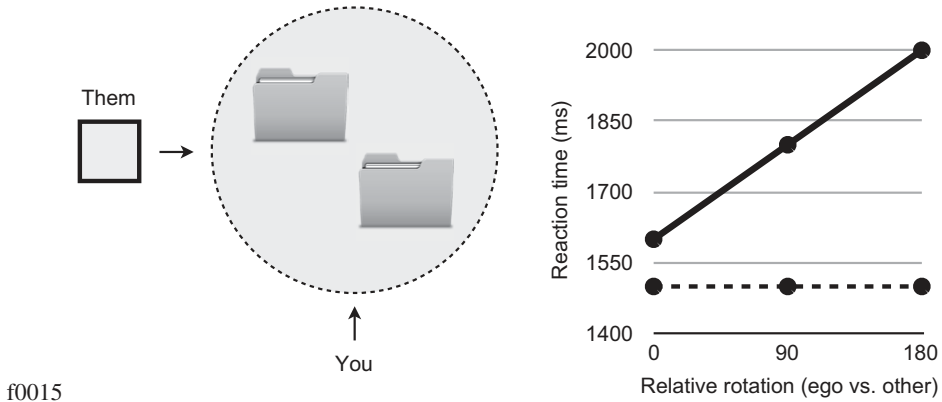
p0225 So if social interaction is grounded exclusively in coupled perceptuomotor systems, there appears to be little room for the role of informationally grounded sources in shaping language processes. To push the boundaries of the dynamical approach, explanations need to go beyond motor behaviors alone to more abstract properties of the social environment (Chemero, 2009). Such attributional properties do not necessarily require elaborate mental operations or representation, but instead can be thought of as spontaneously elicited opportunities for social responding, embodied from past histories of social interaction. As Schmidt (2007) describes, these

experiences elicit tendencies to respond in socially appropriate ways and are sustained by cultural expectations and reinforced by the immediate social context. This behavior is inextricably defined by the relationship between social partners and “affords” opportunities for responding, even during language comprehension.

p0230 One of the simplest belief attributions in a language task is whether a communicative partner is an intentional agent (Gallagher, Jack, Roepstorff, & Frith, 2002). Although most interactions provide situated cues to determine veridicality, there are scenarios, such as with computer-mediated interactions, where people may be uncertain whether they are interacting with someone real or simulated. When people are told beforehand the true nature of personhood, their response orientations change in systematic ways, even though the actions of the other remain the same (Nass, Fogg, & Moon, 1996). For example, when a partner is thought to be simulated, people are more likely to use language that is less complex, presumably because of perceived communicative limitations of an artificial intelligence (Branigan, Pickering, Pearson, McLean, & Brown, 2011). Thus, simple belief attributions have the capacity to guide various modes of responding (Clark & Wilkes-Gibbs, 1992). A challenge for dynamical systems is to explain how such behavior arises through an emergent self-organized process in which informational couplings within a social environment produce complex but systematic behaviors (also see Di Paolo & De Jaegher, 2012; Richardson, Marsh, & Schmidt, 2010).

#### s0080 **4.3. Perspective-Taking as Self-Organization under Social Constraint**

p0235 Duran and Dale (in press) present one such attempt by employing a dynamical simulation of response resolution in a task where participants’ beliefs were central in disambiguating utterances spoken by another. In this response data, participants and a simulated agent were “connected” within a virtual environment through an elaborate ruse in which the participant was unaware of whether their partner was actually simulated (Duran, Dale, & Kreuz, 2011). This omission allowed participants to form their own impressions about the reality of their partner. For each trial, the task proceeded with the simulated agent instructing the participants to select one of the two objects in the shared environment (see Figure 2.2). The position of the partner shifted from trial to trial, sometimes creating a situation in which the intended referent was ambiguous. When both participants were in the same location, perspectives were ostensibly shared, and an instruction such



f0015

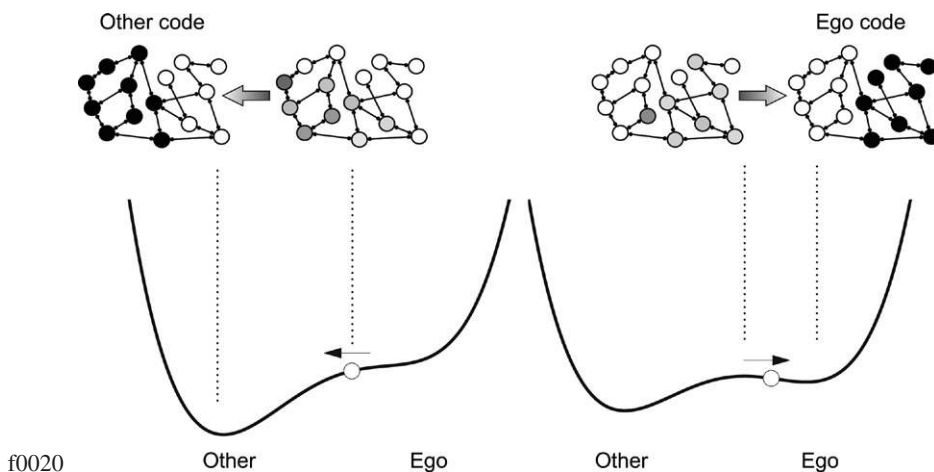
**Figure 2.2** When participants are asked to retrieve a folder in an “ostensibly social” computer task as shown on the left, they tend to exhibit different mental rotation functions, predicted by whether they are taking theirs or another person’s perspective. When the ostensible partner asks for a folder in ambiguous trials (left), participants taking the other perspective exhibit a mental rotation function (right). Figure based on the designs and typical mental rotation results found in [Duran & Dale \(in press\)](#), [Duran et al. \(2011\)](#), and originally of course the classic [Schober \(1993\)](#).

as, “Grab the object on the right,” permitted straightforward identification. However, when participants’ positions were across from each other, the same instruction created an ambiguous referent as to whose “right” was the basis for interpretation. That is, instruction receivers could either select the object on their partner’s right, thereby taking into consideration the perspective of the other, or they could select the folder on their own right, in what would be an egocentric interpretation.

p0240

Despite an informationally situated social context, simple belief attributions, in the form of whether a communicative partner was thought to be real or simulated, the researchers were able to shape perspective-taking strategies across participants. Specifically, when people believed that their partner was simulated, other-centric responding was facilitated in three key ways: (1) the likelihood for other-centrism increased, (2) responses were faster within and across trials, and (3) decreased competition from the egocentric response option. Such behavioral change was also seen in a dynamical systems simulation that treated attributional factors as a control parameter within a low-dimensional attractor landscape of partly stable perspective-taking modes. In this simulation, when a person takes the perspective of another, this response stabilizes through the graded accumulation and competition of both other- and egocentric factors. Thus, contrary to existing models of language processing where these factors are mostly





**Figure 2.3** Perspective-taking can be modeled as a low-dimensional dynamic process. Simulating this landscape allows qualitative fits to three timescales of human data: (i) decisions, (ii) response times, and (iii) response dynamics (Duran & Dale, in press). See Figure 2.4 for illustration. A full discussion of this lower order characterization of higher dimensional dynamics can be found in Onnis and Spivey (2012).

independent components, here, they are explicitly allowed to interact from the very start of processing to influence behavior over time (e.g. multipotentiality).

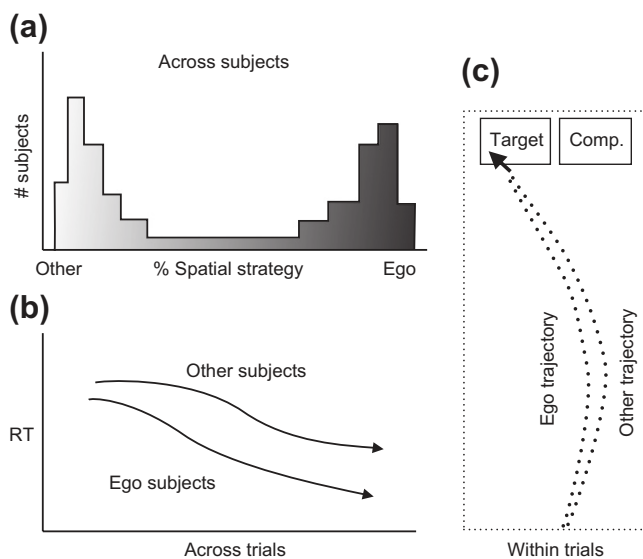
p0245 To conduct the simulation, Duran and Dale (in press) borrowed from the Haken–Kelso–Bunz model that was originally developed to capture the relative coordination of bimanual motor movements in time and space (Kelso, 1981, 1995; see Figure 2.3). This model has been extended to a variety of domains, showing widespread commonalities between perceptual, cognitive, and motor systems (e.g. Engstrom, Kelso, & Holroyd, 1996; Frank, Richardson, Lopresti–Goodman, & Turvey, 2009; Tuller, Case, Ding, & Kelso, 1994; Van Rooij, Bongers, & Haselager, 2002; see Schmidt & Turvey, 1995; Chemero, 2009, for reviews). It draws from core principles of bistable dynamics to allow complex behaviors to self-organize over time, with responses unfolding within a low-dimensional attractor landscape. Thus, perspective-taking during communication, much like in the previous research, could be described as following coordinative dynamics similar to those observed in perceptuomotor coupling.

p0250 There is a precedent for considering high-dimensional processes in low-dimensional forms. Recently, Onnis and Spivey (2012) have advocated for linking such means of modeling and visualizing systems. Assuming, for example, that perspective-taking can be represented as a high-dimensional

neural process, akin to a population code (top row of Figure 2.3), one can derive a direct visualization in lower dimensions of how the system is transitioning between stable states (bottom row of Figure 2.3). Self-organization into “other” or “ego” perspectives can be seen as traversing a low-dimensional landscape.

p0255 In the current instantiation of the model, a control parameter in a potential function is set to initiate bistable attractor basins of other-centric or egocentric interpretations. These basins are a reduction of system complexity to a quantifiable and transparent outcome variable of the two perspective types. In other words, perspective-taking is characterized as in a system of substantially reduced degrees of freedom, a “lower dimensional” cognitive space in which choices are made. The particular shape of each basin corresponds to the likelihood and speed in which the system can settle into a particular response, with deeper and steeper basins indicating a stronger pull and therefore more rapid stabilization. During the time course of a single trial, “settling” occurs through nonlinear competition between landscape shape, initial conditions (i.e. starting position in the landscape), and a subtle noise impulse. When a response threshold is met, the control parameter is adjusted, and a new trial is allowed to run. This is analogous to updating a belief about one’s partner being real or simulated at the end of each trial, with beliefs becoming stronger and more stable over time. In doing so, the global characteristics of response choice stability are captured, as well as the competition effects that influence the moment-by-moment processes involved in response execution. By capturing the response dynamics also exhibited by human participants, simple social constraints, in the form of belief attributions, are essential pieces of information that bias a system’s “perspectival” landscape and thus its eventual behavioral strategy (see examples in Figure 2.3).

p0260 Figure 2.4 shows the timing and response characteristics of data seen in Duran and Dale (*in press*) and Duran *et al.* (2011). In the top left, a representative *response* histogram of this task is shown. The model can capture two stable strategies, namely as attractors in the lower dimensional landscape. Models of this kind naturally display organization in the form of two stable “modes” or strategies. The bottom left panel shows that, over trials, humans display a drop in reaction time across trials, but more so for ego versus other reaction times. Finally, the panel to the right shows a zooming into the very dynamics of responses. Subjects often show faster more direct ego trajectories; if they are responding using the other-centric perspective, their mouse movement trajectories tend to show more curvature. These are three



f0025

**Figure 2.4** A representation of the three timescales of a task that the lower dimensional model of Duran & Dale (in press) can capture.

interconnected timescales from the response distribution of participants down to response times to the fine-grained dynamics of responses. Duran and Dale (in press) show that setting one set of parameters can simultaneously model all three such timescales.

p0265

Perspective-taking in communication is fundamental to how language is used and understood. This was certainly evident in the Duran et al. (2011) study. Somewhat counterintuitively, participants were more likely to consider the other's perspective when they believed the other to be simulated. This result makes sense when communication is viewed as a collaborative process between language users. The goal of communication is to maximize mutual understanding, and when one partner is unable or hard-pressed to do so, the other will compensate by putting in increased effort, such as engaging in other-centric perspective-taking behavior (Clark, 1996; Clark & Krych, 2004; Clark & Wilkes-Gibbs, 1986; Goodwin, 2003). This tendency emerges, although past histories of social interaction where people actively attempt to establish mutual understanding. Attributions about others' abilities to cooperate are eventually embodied by language users and brought to bear in responding, even in simple communicative scenarios such as the one described above (also see Schober, 1993, 1995). When participants think they are interacting with a simulation, where cooperation

by the other is not even possible, the “afforded” response is to assume the other’s perspective in interpreting their ambiguous instructions.

p0270 Such spontaneous perspective-taking occurs despite enacting increased cognitive demands. However, demand is minimized in communication where assessments about a partner can be reduced to simple alternatives, such as whether a conversational partner is very young or not or has a language disorder that changes the goals of mutual understanding (Newman-Norlund *et al.*, 2009; Perkins & Milroy, 1997). Of course, reciprocal and emergent constraints occur during the course of an interaction that subsumes any individual-level sources of difficulty. The dyad operates as a unit that collaboratively minimizes processing load, with success depending on the level of coordination shared between the language users (Fusaroli *et al.*, 2012; Louwerse *et al.*, 2012).

s0085



## 5. COORDINATION, COMPLEMENTARITY, AND INTERACTIVE PERFORMANCE

p0275 The foregoing review suggests that the dynamics of both low- and high-level cognition can be seen as responding to subtle social variables present in the environment. Subtle changes to these variables can lead to rapid changes in the organization of those processes (e.g. visual attention radically changing when you learn something new about your partner). Such rapid changes are hallmarks of self-organizing systems—the capacity for rapid nonlinear change.

p0280 However, even below these subtle social variables, there are considerably more dimensions that must be managed by a dyad, namely the array of behavioral and cognitive possibilities during an interaction. As noted in the introduction, language is increasingly acknowledged as a *social coordination* device, a way of accomplishing otherwise difficult or impossible coordination of actions and cognitive processes (Clark, 1996; Fowler, Richardson, Marsh, & Shockley, 2008; Fusaroli, Gangopadhyay, & Tylén, submitted for publication; Fusaroli & Tylén, 2012; Galantucci, 2009; Galantucci & Sebanz, 2009; Hasson *et al.*, 2012; Hutchins & Johnson, 2009; Louwerse, Dale, Bard, & Jeuniaux, 2012; Pickering & Garrod, 2004; Tylén, Fusaroli, Bundgaard, & Østergaard, *in press*; Tylén, Weed, Wallentin, Roepstorff, & Frith, 2010). Through language, we can easily entertain a friend while waiting for her bus to arrive, exchange words with a stranger in an elevator, coordinate in carrying a heavy piano down a flight of stairs, negotiate the price of an apartment, share information, and make joint decisions. However, as earlier

described, coordinating via language is a complex business. A great number of studies have been dedicated to unveiling the crucial subtleties in coordinating not only topics, lexical choices, and syntax but also gestures, gaze management, head movements, and postural sways, which underlie conversations (Goodwin, 2000, 2011; Louwerse et al., 2012). Such a multimodal richness seems to imply serious uncertainty (Jaeger, 2010) and cognitive load (Garrod & Pickering, 2009) for the participants: how does a conversant choose between all the possible linguistic and nonlinguistic behaviors on so many different channels at once? How does a person focus his or her attention and interact in a meaningful way? In other words, how can interlocutors seemingly effortlessly orchestrate all these dimensions (each level, presumably, with its own numerous degrees of freedom) in tight intra- and interpersonal coordination?

p0285 As a reaction to computationally heavy models of conversations, requiring theory of mind and full accommodation of models of the other, there has been a strong focus on low-demanding bottom-up models of linguistic coordination, such as the model of interactive linguistic alignment (Pickering & Garrod, 2004). After a brief presentation of this model, we will argue that it should be integrated and complemented in the larger model of interpersonal synergies, presenting evidence supporting this and a few studies testing the prediction of the synergy model.

### s0090 **5.1. Behavioral Synchrony and Interactive Alignment**

p0290 One intuitive way of reducing the complexity in interpersonal interactions is to diminish the range of possible behaviors via a progressive adaptation to each other. By becoming increasingly similar, the interlocutors greatly simplify the cognitive load needed to interact with the other. Indeed, there is strong evidence for behavioral mimicry (Chartrand & Van Baaren, 2009) and interactive linguistic alignment (Pickering & Ferreira, 2008; Pickering & Garrod, 2004). Interacting human beings have been observed to mimic each other's posture, gestures, and other behaviors (Chartrand & Van Baaren, 2009). A prototypical example of an experimental investigation of this kind of human unconscious mimicry is the "Chameleon effect" (Chartrand & Bargh, 1999; Dijksterhuis & Bargh, 2001). In this experiment, participants interacted with an unknown confederate in two consecutive picture-describing sessions. In one session, the confederate either rubbed her face or shook her foot while describing the pictures with the participants, while the second confederate performed the behavior that the first confederate did not. The behavior of the participants, "secretly" recorded on

videotape, showed that participants shook their foot more in the presence of the foot-shaking confederate and rubbed their faces more in the presence of the face-rubbing confederate. Debriefing indicated that participants were unaware of their mimicry. Analogously, facial expressions, gestures, and yawns have been observed to spread across interlocutors and around a room (Louwerse *et al.*, 2012; Platek, 2010).

p0295 Pickering and Garrod have argued that mimicry is commonly adopted in linguistic interactions through what is called “interactive linguistic alignment”: interlocutors tend to imitate each other’s choice of linguistic forms. Participants primed with a specific syntactic structure are more likely to produce new sentences employing the same syntactic structure under circumstances in which alternative nonsyntactic explanations could be excluded (Bock, 1986; Branigan *et al.*, 2000; Gries, 2005; Hartsuiker & Westenberg, 2000; Levelt & Kelter, 1982; Pickering & Branigan, 1999; Smith & Wheeldon, 2001; Szmrecsanyi, 2005, 2006). Analogously, topics (Angus, Smith, & Wiles, 2012; Angus, Watson, Smith, Gallois, & Wiles, 2012) and lexical choices (Brennan & Clark, 1996; Clark & Wilkes-Gibbs, 1986; Garrod & Anderson, 1987; Garrod & Clark, 1993; Garrod & Doherty, 1994; Orsucci, Giuliani, & Webber, 2006; Orsucci, Giuliani, & Zbilut, 2004; Orsucci, Walter, Giuliani, Webber, & Zbilut, 1997; Wilkes-Gibbs & Clark, 1992) tend to be imitated across interlocutors. Linguistic alignment can also be found at more subtle levels of linguistic coordination: interlocutors align accent and speech rate (Giles, Coupland, & Coupland, 1991). More recently, a lot of effort has also been put in showing that the organization of pauses in and between interlocutors’ speech and their average pitch, intensity, and voice quality tend to become similar over time (De Looze & Rauzy, 2012; Kousidis & Dorrán, 2009; Lee *et al.*, 2010; Lelong & Bailly, 2011; Levitan & Hirschberg, 2011; Nishimura, Kitaoka, & Nakagawa, 2008; Pardo, Gibbons, Suppes, & Krauss, 2011; Truong & Heylen, 2012; Vaughan, 2011). In a single conversation, many of these channels will be aligned, as recently shown in a massive study by Louwerse *et al.* (2012). These channels have been argued not to be independent. On the contrary, aligning on one channel in many cases seems to facilitate alignment on others. For instance, syntactic priming is enhanced when the same lexical items or even just semantically related ones are also repeated (Branigan, Pickering, & Cleland, 2000; Branigan, Pickering, Stewart, *et al.*, 2000; Cleland & Pickering, 2003).

p0300 Several mechanisms have been proposed to underlie these phenomena: most researchers seem to agree on an unconscious priming mechanisms, a “perception–action link” (Chartrand & Bargh, 1999; Dijksterhuis &

Bargh, 2001) or “structural priming” (Pickering & Ferreira, 2008), in other words the overlapping between mechanisms involved in perceiving a behavior and producing it, which implies that by perceiving a behavior the participant preactivates the production of the same. Other authors prefer to focus on the conscious aspects of alignment, where interlocutors try to take each other into account, developing conceptual pacts on which words to use (Brennan et al., 2010; Clark & Brennan, 1991).

p0305     Whatever the mechanisms at work, alignment within and across modalities is a very effective way to reduce degrees of freedom—namely the possible behaviors from which to choose. Not only the other’s behavior can be used as guide in how to behave but also the repertoire of possible behaviors is reduced over time. The mechanism of alignment might be differently motivated, but it is sensible to argue that once it is established, it plays an important role, making linguistic interactions more manageable. However, a few problems arise when we take it at face value as the fundamental motor of linguistic coordination. A few studies are pointing out that not all conversations contain the same amount of linguistic alignment (Healey, Howes, & Purver, 2010; Reitter, Moore, & Keller, 2006) and that coordination might not rely on alignment across neighboring speech turns, but on the contrary across many speech turns, thus escaping the tight temporal constraints of automatic priming (Reitter & Moore, 2007). At a more intuitive level, a conversation constituted exclusively of reciprocal repetitions should not strike anybody as a very productive one.

s0095     **5.2. An Alternative Model: Interpersonal Synergies**

p0310     As described earlier in this review, the reduction of degrees of freedom is not a new problem. Bernstein (1967) proposed that functional units of motor control are established through mutual constraint among the parts of the body and motor control system, effectively reducing the degrees of freedom of the system into “synergies”. Recently, Ramenzoni and colleagues (Ramenzoni et al., 2011; Ramenzoni, Riley, Shockley, & Baker, 2012; Riley et al., 2011) have been exploring interpersonal motor synergies. They showed that in joint actions participants increasingly coordinate hands, forearms, and torsos, forming reciprocally compensating synergies spanning across individuals. While studies of interpersonal motor coordination are not rare (Marsh et al., 2009; Richardson, Marsh, Isenhower, Goodman, & Schmidt, 2007; Schmidt, Bienvenu, Fitzpatrick, & Amazeen, 1998; Schmidt & Richardson, 2008), only very recently has this approach been applied to linguistic coordination (Fusaroli, Raczaszek-Leonardi & Tylén, in press).



The rest of this section will argue that linguistic coordination is achieved through interpersonal synergies, that is, through functionally driven reduction of the degrees of freedom involved in the interaction. This approach does not dispense with alignment but introduces additional mechanisms—complementarity and interactional patterns—and integrates alignment with this dynamical inspired perspective.

s0100 **5.2.1. Complementarity**

p0315 Several studies have pointed out that interlocutors strive to complement each other's behavior to develop a structured conversation. For example, turn-taking seems one of the most elementary examples of complementarity: a remarkable—and seemingly universal (Sidnell & Enfield, 2012; Stivers *et al.*, 2009)—ability of humans to *not* do the same thing at the same time, that is, stay quiet when the other speaks. Simultaneous starts are reported to be surprisingly rare in dyadic conversations (Jefferson, 1988), even if more than 50% of the pauses between interlocutors are below the usual threshold for reactions (300 ms). Wilson and Wilson (2005) have been developing a model of turn-taking that explains this fine-tuned complementarity: the beginning of an interaction sets up an oscillator in each of the interlocutors' cognitive systems establishing a shared frequency of speech rate (see also Buder & Eriksson, 1999). This cyclic pattern governs the potential for initiating speech at any given instant for both interlocutors. The interlocutors, in other words, have to keep the same pace (alignment). However, if the oscillators were simply entrained in phase, simultaneous starts would be frequent. Therefore, the oscillators must be entrained in *antiphase*, giving the participants both a common rhythm, constituted by speech rate and length of comfortable pauses, and complementarity—readiness to take the floor must be opposite at any given moment for speaker and hearer. This ability seems to appear at a very early developmental stage (Gratier & Devouche, 2011; Murray & Trevarthen, 1985; Nadel, Carchon, Kervella, Marcelli, & Réserbat-Plantey, 1999; Spurrett & Cowley, 2004; Warlaumont, 2012).

p0320 Recent work on conversations involving patients with speech impairment further shows the importance of complementarity. Expert interlocutors—for example, family members—tend to engage compensatory procedures to keep the conversation fluent despite the impairment (Dressler, Buder, & Cannito, 2009; Goodwin, 2003, 2011; Wilkinson, Beeke, & Maxim, 2003). For example, Goodwin reports on Chil, who, after having suffered a severe stroke, can only speak three words: “yes,” “no,” and “and”. Despite this clear impairment, Chil is able to engage in complex conversations by coordinating other people's

utterances. Chil thus relies on different types of reciprocal compensatory moves to restore the dialog: on the one hand, interlocutors have to actively produce utterances completing and supporting Chil's conversational moves. On the other hand, Chil's three words are relational ones: they do not communicate much on their own, but make sense only in a conversational situation. Together with a host of nonverbal means such as facial expressions and gesture, Chil employs his minimal vocabulary to couple with the other interlocutors' communicative activity. Relying on three words, he is able to coordinate, support, supplement, and sometimes reject his interlocutors' utterances (Goodwin, 2011). Similarly, Dressler and colleagues (Dressler et al., 2009) have explored prosodic patterns in conversations with aphasic patients. They report that conversation with familiar interlocutors displays overall prosodic rhythms, which are much more fluent and regular than conversations with unfamiliar interlocutors.

s0105 **5.2.2. Interactional Patterns**

p0325 Beyond this basic rhythm of interaction, conversation analysis has persuasively shown how speech turns are often organized in functionally structured sequences of turns, such as adjacency pairs: questions are ordinarily responded to with an answer, not with another question; offers and invitations are ordinarily followed by acceptances or declinations, and so on (Schegloff, 1986). Turns and adjacency pairs are themselves not free-floating entities, but often fulfill a role in larger interactional patterns, locally unfolding routines that scaffold and constrain the possibilities of actions and interpretation in joint activities (Clark, 1996; Levinson, 1983). Interactional patterns are typically conceived of as normative static phenomena already shared—or assumed to be shared—by interlocutors (Sacks, Schegloff, & Jefferson, 1974; Schank & Abelson, 1977). The synergy approach, however, implies that these elements are part of a dynamic context-sensitive interaction. Interactional patterns vary in formality and flexibility from free and relatively unconstrained conversation over the morning coffee to tightly structured and sometimes even explicitly codified task-oriented conversations (Hutchins, 1995a, 1995b; Perry, 2010). Interactional patterns work to reduce the overall degrees of freedom of the system in a functionally driven way and enable a smoother flow of the interaction.

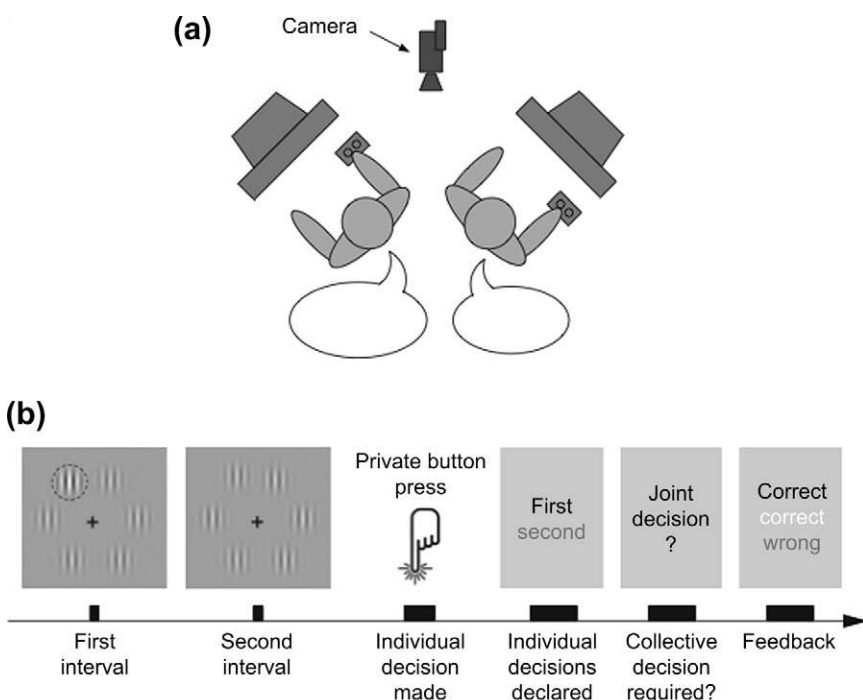
p0330 A number of recent studies indirectly show that ad hoc interactional patterns emerge and are maintained in task-related interactions. In a version of “the maze game” (Healey & Mills, 2006; Mills & Gregoromichelaki, 2010), it was observed that, over the course of 12 games, participants radically structured and shortened their linguistic exchanges from more than 150

turns to brief and efficient exchanges. Through a shared history of interaction, the structure of their interaction is stabilized. This enabled participants to smoothly produce and interpret highly elliptical and fragmentary utterances without much negotiation or clarification. Extending this work, Mills (2011) systematically investigated how these interaction patterns emerge and spread in a small speech community. Each participant played a number of games with shifting partners within a “community”. Then, in a critical test trial, half of the participants were paired with a member from another community. This perturbation seriously disrupted the interaction in the affected groups. Participants were found to edit their utterances to a much higher degree, were observed to explicitly acknowledge each other’s utterances more often, and overall performed less accurately. The findings suggest that interactional patterns emerge from a shared history of interaction and come to implicitly constrain the degrees of freedom of the interlocutors, diminishing ambiguity and supporting a smoother and more effective flow of the coordination (for a more comprehensive discussion of these issues, cf. Mills, *in press*, and Fusaroli, Raczaszek-Leonardi, & Tylén, *in press*).

s0110 **5.3. Testing Models of Linguistic Coordination: Alignment and Synergy**

p0335 The review so far suggests that complementarity, in the form of systematized patterns of interaction between two people, is a crucial component of human interaction. Three recent studies based on the same experimental design (cf. Figure 2.5) have tried to test implications of the model (Bahrami *et al.*, 2010; Fusaroli *et al.*, 2012; Fusaroli & Tylén, *submitted*; Fusaroli, Abney, Bahrami, Kello, & Tylén, *submitted*). In the experiment, pairs of participants were instructed to individually indicate in which of two brief visual displays they had just been shown a contrast oddball. If their individual decisions diverged, they were prompted to discuss and reach a joint decision. In order for a pair to achieve a cooperative benefit, that is, to perform better than the best of the individuals, they had to find ways of assessing and comparing their individual levels of confidence so as to choose, on a trial-by-trial basis, the decision of the more confident participant. In other words, they had to develop an interactional pattern for accurately expressing confidence and smoothly taking joint decisions relying on that.

p0340 This paradigm generated a corpus of task-oriented conversations—which emphasizes the development over time of interactional patterns to quickly solve the repeated tasks—as well as an accurate measure of cooperative performance—to assess the efficacy of linguistic coordination. Different



f0030

**Figure 2.5** Interactive perceptual detection task. (a) Participants both view noisy stimuli and can communicate regarding the presence of a target. (b) The sequence of events in the task from stimulus presentation to the presentation of feedback. Trials began with two stimulus intervals, which contained Gabor patches, with one of them appearing quickly during one of the intervals, and participants had to guess which one. If their decisions did not match, they were required to negotiate about it and come to an agreement. (Image adapted with permission from Bahrami et al. (2010)).

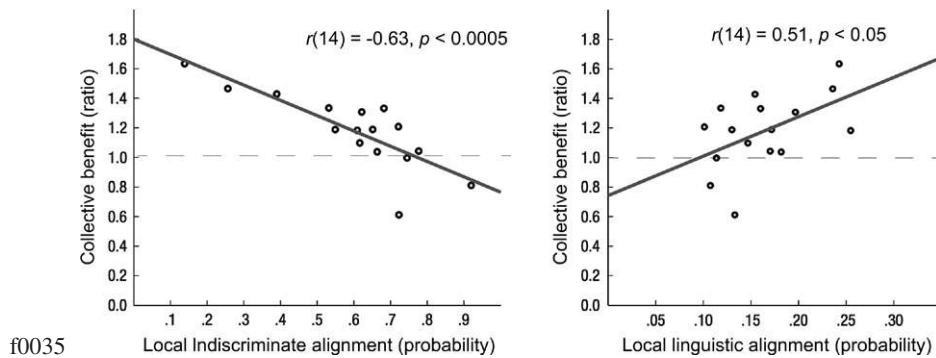
aspects of linguistic coordination could be assessed: lexical, prosodic, and acoustic production behaviors.

p0345

The first study investigated lexical alignment. As described earlier, this notion of alignment predicts that the more people use the same words, the better they will perform (“indiscriminate” lexical alignment). By contrast, a model of coordination as *synergy* would predict that the alignment of confidence expressions only—serving the interaction’s goals of sharing confidence to make a joint decision—would correlate with performance. The analysis did show prominent “indiscriminate” alignment in all pairs: interlocutors displayed a high probability of picking up and employing words used by the other in the previous interaction. However, the more a dyad indiscriminately repeated each other’s words, the *lower* the collective benefit they gained from cooperation. Automatic linguistic alignment seemed to be

deleterious to coordination on the task. In contrast, the participants' reciprocal, selective adaptation to vocabularies of expressing confidence (task-motivated selective alignment), turned out to correlate positively with the collective benefit gained from linguistic coordination (see Figure 2.6).

p0350 The second study (Fusaroli & Tylén, submitted) more systematically compared linguistic repetitions at three levels: first repetition of triplets of phonemes, second repetition of patterns of pitch, and finally repetition of patterns of speech pause sequences. A model of coordination as alignment would have the structure of repetitions across subjects predicting performance, while a model of coordination as synergy would predict the structure of repetitions at the interaction level—that is, not discriminating between interlocutors—to be correlated with performance. In other words, a synergy model would predict that the relevant coordination happens in interactional patterns where it does not matter which interlocutor shares confidence and which makes a decision, as long as somebody fills those roles in each joint decision. Employing a combination of information theory and recurrence plots (Marwan, Carmen Romano, Thiel, & Kurths, 2007), the authors quantified these repetitions both across interlocutors and in the overall interaction. The results show that the relevant coordination happens at the level of interactional patterns, but not simply across interlocutors: The more the interlocutors develop a regular pattern of lexical choices, pitch and speech pause sequences, which repeats across joint decisions, no matter who is producing its different parts, the better they perform. On the contrary, indices of repetitions across interlocutors did not correlate with performance.



**Figure 2.6** Results from the alignment of word usage during the task. The Collective Benefit (y-axis) is a measure of how much dyads benefited from their interaction; local linguistic alignment was a benefit (right plot); however, rampant widespread “indiscriminate” alignment predicted a drop in joint performance (left plot). (Adapted with permission from Fusaroli et al. (2012)).

p0355 A third study further supported these findings and investigated the temporal dimension of coordination, in other words, how synergies self-organize over time (Fusaroli et al., submitted). The researchers showed that individuals' speech production displays scaling laws (lognormal distribution), which are a signature of behaviors constrained by the emerging dynamics of the interaction. Indexes of behavioral alignment (mutual information) were shown to decrease over time, while indexes of more complex multiscale coordination (complexity matching; West, Geneston, & Grigolini, 2008) were shown to increase over time. Finally, the increase in multiscale coordination—and not its initial value—was shown to significantly correlate with performance. In other words, the findings further support the importance of the self-organization of the linguistic interaction in shaping the behavior of its components. Crucially, it is also shown that self-organization happens over time, increasing in strength and efficacy while interlocutors adapt to each other developing cooperative routines.

#### s0115 **5.4. Interpersonal Synergies: A Summary**

p0360 The empirical evidence reviewed strongly suggests that the current focus on interactive alignment as the main engine of coordination has to be integrated in a more complex model of interpersonal synergies, which encompasses complementary dynamics and the development of interactional patterns (coordinative routines). This model makes predictions, which are already supported in a handful of studies: only task-oriented alignment is effective in fostering coordination; important aspects of the linguistic interactions can be described only if we focus on the development of stable patterns of interaction whose role can be indifferently filled in by one or the other of the interlocutors; the self-organization of the interaction takes time, growing in strength and efficacy as the interlocutors adapt to each other, by both aligning and complementing each other.



## s0120 **6. CONCLUSION: TIME FOR MORE MODELS**

### s0125 **6.1. Summary**

p0365 We have offered some discussion and review of how interaction can be understood as a process of self-organization. First, we showed that social variables when perceived, and when taking particular forms, can fundamentally change the cognitive processes and behaviors of a conversation partner. These emerging patterns can be described in the form of “phase transition,” where lower level systems become organized differently in a

manner that is shaped by these social variables. But how do these lower level processes constrain each other and act together? Akin to the centipede's dilemma, rather than understanding the interaction "leg by leg by leg," we entertained the notion of a synergy between interacting human beings: the behaviors—turn-taking and rhythms, use of particular words, emergence of adjacency pairs, and so on—can be seen as an array of levels that are mutually constraining, and dynamically evolving, as two people come to form in an important way, a "unit of analysis," and the interaction itself a stable, if temporary, synergy itself. Perspective-taking might be seen as part of this synergetic process, shifting from allo- to egocentric or vice versa, as the interlocutors enact or develop coordinative routines.

## s0130 **6.2. Moving Forward: Models of These Processes**

p0370 The two key features we have articulated mostly describe the *form* of interaction, rather than the underlying mechanisms that give way to it. This is an issue raised often in discussion and critique of dynamical systems approaches to cognition (e.g. Bechtel, 1997; Dale, 2010; Eliasmith, 1996, 2012; Wagenmakers *et al.*, 2012). In fact, we described that one exciting aspect of growing approaches to social interaction is that these approaches factor in mechanism. One critique of the current review could be that we have simply advocated for a wholesale integration of as much as can be gleaned about mechanism—and this doesn't really tell us much about mechanism. We have advocated instead for conceptualizing human interaction as a system that self-organizes and adapts to particular contexts, such as social variables, and organizes itself through evolving local interactions, such as in incremental contributions to a dialog, including even nonverbal channels, like winks and nods. These are important critiques, and they should be addressed directly. So, we end this paper with a brief review of some modeling endeavors that will help to guide integration of many channels, helping to solve the centipede's dilemma.

## s0135 **6.3. Surface Network Analysis, and Mechanistic Models**

p0375 One way to get at the synergies directly is to carry out integrative analysis of "multimodal" (multiperson, multibehavior, multilevel) corpora. The past decade has seen a growing agenda to build large-scale corpora of human interactions, capturing a variety of interpersonal behaviors, linguistic contributions, contextual variables, and so on (e.g. Fusaroli *et al.*, 2012; Louwerse *et al.*, 2012). After such collection of data, researchers often go about identifying the relationship among

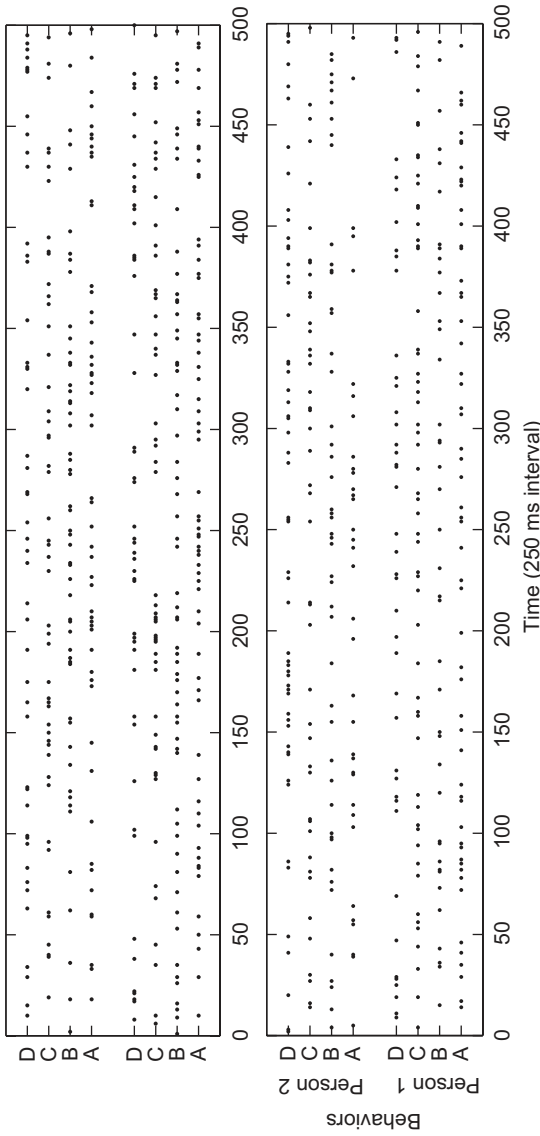


particular variables, such as gestures and group collaboration or prosodic contours in particular discourse situations. These agendas are important for understanding interactions at particular levels of analysis—the manner in which gestures are deployed, and in what context, and how prosody may index particular modes of interaction.

p0380 The argument we have made is that it is a nontrivial mission, both methodologically and theoretically, to discover the manner in which these multiple behaviors, and cognitive processes, are integrated during ongoing interaction. One way to do this “at the surface” is to translate corpora into a form that allows the analysis of the temporal relationship between behaviors. In other words, different behaviors such as nodding, gestures, use of particular words, and so on, can be rendered into analyzable *time series*. This was done by Louwerse et al. (2012), who, at a rate of 250 ms, tracked patterns of synchrony between interlocutors in a direction-giving dialog (e.g. participants tended to laugh and smile together, nod one after the other at a particular timescale). Extraction of time series would permit an exploration of the dynamic interaction between different channels, and between people, and exploring how these change over the course of an interaction. One way to do this is to project the channels into a network structure, with nodes representing the behaviors and edges representing their relationship (e.g. strength of connection).

p0385 Consider the following hypothetical research scenario: investigating bouts of human interaction along a set of four behaviors (A, B, C, and D) and measuring these behavioral channels at 250 ms intervals. Such a hypothetical data set is presented in Figure 2.7. Various circumstances may arise during interaction. The channels may exhibit only weak coincidental structure, with each “degree of freedom” of this system being one of these channels. However, if systems exhibited pure “synchrony,” then behavioral channels across individuals serve to constrain each other. So, instead of  $2 \times 4 = 8$  degrees of freedom in the interaction, we have only 4 since each channel serves to constrain that in the other person.<sup>6</sup> If a process of alignment were to cascade across levels, as predicted in Pickering and Garrod (2004), for example, we would have a continued shrinking of the degrees of freedom. As displayed beneath the middle panel in Figure 2.8, that saturation would

<sup>6</sup> Here, we are using “degree of freedom” in a very informal way, simply to specify whether a channel, or set of channels, is “free to vary” or whether they constrain each other *in some fashion*. Of course, network analysis can involve gradient aspects of these couplings, but we ignore this for simplicity here.



f0040

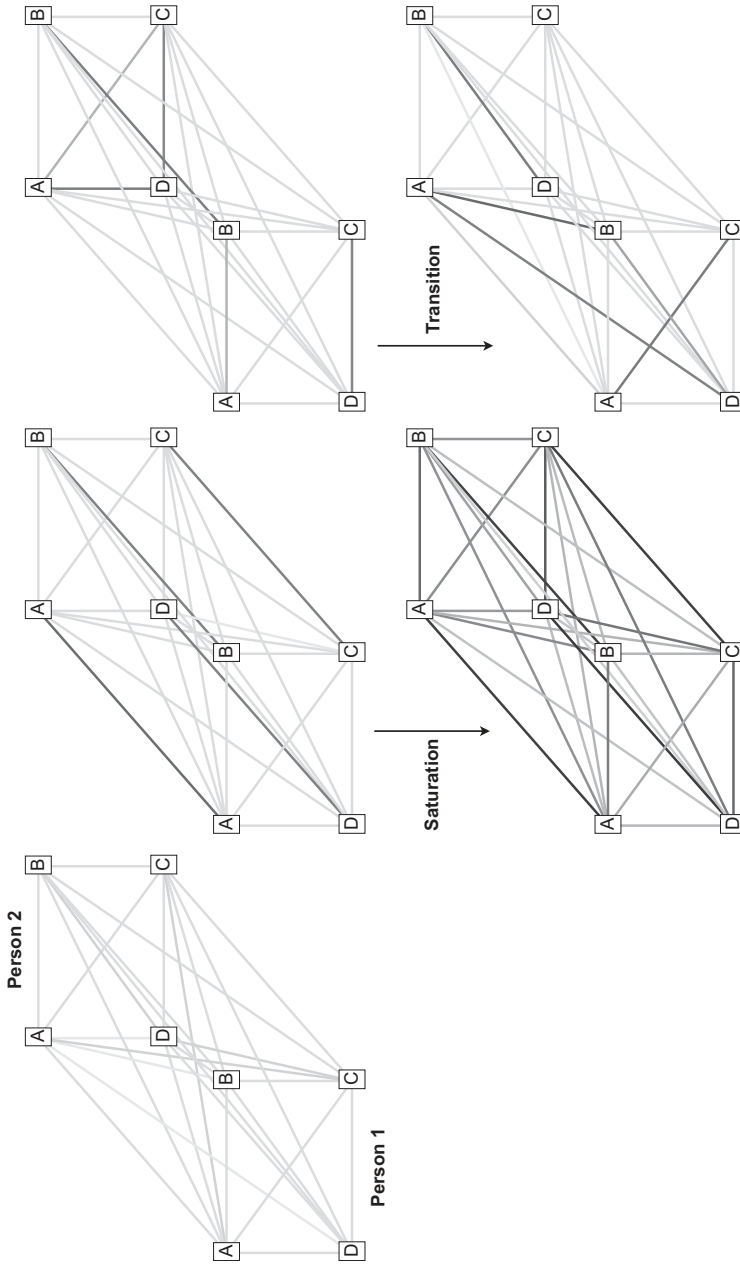
**Figure 2.7** Simulated point processes of behavioral events. “Person 1” and “Person 2” have four behavioral dimensions (A–D). In human data, these would correspond to delimitable actions such as nods, laughter, or gesture (Louwerse et al., 2009, 2012). Across time, these events occur and may serve to coordinate behavior both *within* and *across* modeled processes. In the top plot, all processes are random; in the bottom, there is a greater probability of “alignment” (e.g. Person 1’s A occurring with Person 2’s A). This may not be evident by mere visualization, but by inducing a network through (for example) temporal correlation, we can extract the interactive structure of the model (see Figure 2.8).

result in coupling across behaviors. We would effectively have only a single degree of freedom as behaviors fluctuate now all together as one unit.

p0390 We know through extensive explorations described earlier in this paper that speech, gesture, and other features of interaction will exhibit coordination (e.g. see Louwerse et al., 2009). This can be identified as clusters in the network that become tightly entrained over time. These are portrayed in the rightmost panel in Figure 2.8. The degrees of freedom relevant to this interaction are now constrained by the number of unconnected subgraphs (in Figure 2.8, top right). As an interaction changes across time, the network structure may change, but the degrees of freedom may stay the same (see lower right figure, “Transition”). We could imagine this sort of thing occurring during face-to-face interaction. Imagine two students discussing lecture briefly, which one of them missed. In this bout of interaction, nodding and gesturing and speaking may have a characteristic temporal interaction. However, if this part of the conversation ended, and one asks the other for directions, suddenly their gaze and gesture may take on that “clamped degrees of freedom” property, while others may change.

p0395 This network analysis approach may serve as a powerful means of visualizing and quantifying the “surface configurations” of an interaction and providing clues to underlying mechanisms. The authors are engaged in some early work exploring this possibility (Dale & Louwerse, 2012; Duran and Dale, in preparation; Fusaroli et al., in preparation; Paxton & Dale, 2012; see also related work in Bergmann & Kopp, 2009; Kopp, 2010). There are considerable details in need of investigation if this agenda were to be carried out in the naturalistic context (here we have only sketched this hypothetically using random point processes). For example, what temporal functions best characterize the linking between channels? Gesture and nodding (for example) have a different timescale from, one would suspect, explanation or querying, referred to as “dialog moves”. Another issue is what the appropriate measures are to determine that these channels are indeed coupled. Methods such as vector autogression (Dixon & Stephen, 2012), Bayes nets (Bergmann & Kopp, 2009), and related techniques (Shalizi, Camperi, & Klinkner, 2007) may do a better job at capturing the cross-covariation among so many channels.

p0400 This “surface network” analysis may be a useful way of proceeding to extract the “hidden” degrees of freedom that are guiding the behavioral structure of a conversation. Still, it is important to note that, in some ways, this research agenda is already unfolding in some prominent projects.



f0045

**Figure 2.8** An illustration of different graph (network) structures induced from different interrelationships among simulated point processes (Person 1 and Person 2). On the top left, only very light gray edges reflect a weak connection across all pairs of nodes (no behaviors are coupled). In the middle column, an illustrate of alignment (A's, B's, and so on, go correlate), with saturation in the behavior (cascading such that behavioral events all occur together in a kind of synchronous multilevel alignment). In the rightmost column, a synergistic structure, where there is occasional alignment, but amidst a variety of other interconnections that may fluctuate from moment to moment (e.g. top panel:  $\{A_{\text{Person } 2}, D_{\text{Person } 2}, C_{\text{Person } 2}\}, \{A_{\text{Person } 1}, B_{\text{Person } 1}, C_{\text{Person } 1}\}, \{D_{\text{Person } 1}, B_{\text{Person } 1}, A_{\text{Person } 1}\}, \{A_{\text{Person } 1}, C_{\text{Person } 1}\}, \{D_{\text{Person } 2}, B_{\text{Person } 2}\}$ ); transitions to bottom panel:  $\{D_{\text{Person } 1}, B_{\text{Person } 1}, A_{\text{Person } 1}\}, \{A_{\text{Person } 2}, D_{\text{Person } 2}, C_{\text{Person } 2}\}, \{A_{\text{Person } 1}, B_{\text{Person } 1}, C_{\text{Person } 1}\}, \{D_{\text{Person } 1}, B_{\text{Person } 1}, A_{\text{Person } 1}\}, \{A_{\text{Person } 1}, C_{\text{Person } 1}\}, \{D_{\text{Person } 2}, B_{\text{Person } 2}\}$ ).

For example, consider the case of the Augmented Multiparty Interaction corpus (AMI), which tracked several simulated meetings at many behavioral levels (not unlike the above simulation). Computer vision and speech automation techniques permitted the extraction of a wide range of behaviors among several people while discussing topics such as designing artifact prototypes. From extraction of multiple channels, researchers have been developing automated techniques for capturing argumentation (Hakkani-Tur, 2009), the structure of the meeting (Murray, Renals, Carletta, & Moore, 2006), the emergence of particular emotions (Reidsma, Heylen, & Ordelman, 2006), and so on. This work is beginning to leverage, in essence, the probabilistic relationship among multiple channels during interaction. Perhaps, these probabilistic models will help solve the centipede's dilemma.

p0405     Once we have this surface structure, and potentially even an estimate of the number of “freely moving parts” of an interaction, there is still the open question of the specific *cognitive processes* that underlie the control of these degrees of freedom. Lack of space precludes a detailed review, but there are many exciting possibilities that may be pursued. In models of reading and sentence processing, Miyake and colleagues have used latent variable modeling to relate cognitive processing tendencies with individual differences measures like the Wisconsin card sort and dual-task batteries (Miyake et al., 2000). This individual differences approach, through statistical modeling, may be useful in the interactive context to identify the cognitive constraints on specific forms of interaction. This agenda has begun in the work of Brown-Schmidt (e.g. 2009a,b) who has identified the role of executive control in predicting the extent to which one person is likely to integrate knowledge of another during interaction. These are statistical models, but there are also some computational possibilities. For example, rational models and adaptive control theory have allowed some researchers to tap into the dynamic relationship among hypothesized cognitive processes and constraints to capture, for example, reading and other language comprehension (Lewis et al., 2006; Bicknell & Levy, 2010; Smith & Levy, 2008). It may be possible to induce something akin to a Hidden Markov process, beneath the “surface structure” we articulated above, and specifying in greater detail the cognitive interactions taking place that control that surface behavior. As noted earlier, mathematical development of these models in the motor control literature has reached a very sophisticated level (e.g. Todorov & Jordan, 2002).

s0140 **6.4. Conclusion**

p0410 We have not advocated for an approach that supplants existing theoretical accounts of interaction. The role of memory (Horton, 2005), executive control (Brown-Schmidt, 2009a,b), alignment and priming processes (Pickering & Garrod, 2004), coordination and adaptation (Brennan et al., 2010; Schober & Brennan, 2003), perceptuomotor coupling (Richardson et al., 2009; Shockley et al., 2003), accessibility accounts (Barr & Keysar, 2002), and so on, are all crucial for accounting for interacting persons. Although the authors of this article may wrestle with each other on this grander point, it seems instructive to proclaim that *all of these theories* have central contributions to play in accounting for interaction. One major, and very simple, reason for this could be advanced in the following way: These theories have been usefully deployed in specific experimental contexts investigated by the researchers who have advocated for them. This means that they have strong empirical backing in some subset of human interactive situations; a corollary of this is that they are *predictive* of human interaction in similar situations.

p0415 We have argued that it is time to integrate, to go beyond the centipede's dilemma, and gain an understanding of the manner in which processes coordinate and act together. Motivated by basic concepts of self-organization and synergy, we described a series of experiments that show the flexibility of human interaction. Under different social situations, low- and high-level cognitive processes flexibly adapt. Under different task conditions, the dyad self-organizes through local exchanges, incrementally emerging, that develop whole new "synergies". By exploring the structure and underlying control mechanisms, perhaps an integration of these theories will be possible. We haven't done this here, but we have provided some clues that seem useful to us. We hope some readers feel the same way.

## ACKNOWLEDGMENTS

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## Non-Print Items

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