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Nonlinear Analysis 62 (2005) 703–725

**Nonlinear  
Analysis**

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# The solvability of quasilinear Brezis–Nirenberg-type problems with singular weights

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Received 17 June 2004; accepted 29 March 2005

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## Abstract

In this paper, we consider the existence and non-existence of non-trivial solutions to quasilinear Brezis–Nirenberg-type problems with singular weights. First, we shall obtain a compact imbedding theorem which is an extension of the classical Rellich–Kondrachov compact imbedding theorem, and consider the corresponding eigenvalue problem. Secondly, we deduce a Pohozaev-type identity and obtain a non-existence result. Thirdly, thanks to the generalized concentration compactness principle, we will give some abstract conditions when the functional satisfies the  $(PS)_c$  condition. Finally, basing on the explicit form of the extremal function, we will obtain some existence results.

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MSC: 35J60

Keywords: Brezis–Nirenberg problem; Singular weights; Pohozaev-type identity;  $(PS)_c$  condition

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<sup>1</sup> Supported by Grants 10101024 and 10371116 from the National Natural Science Foundation of China.

### 1. Introduction

In this paper, we consider the existence and non-existence of non-trivial solutions to the following quasilinear Brezis–Nirenberg-type problems with singular weights:

$$\begin{cases} -\operatorname{div}(|x|^{-ap}|Du|^{p-2}Du) = |x|^{-bq}|u|^{q-2}u + \lambda|x|^{-(a+1)p+c}|u|^{p-2}u & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega, \end{cases} \tag{1.1}$$

where  $\Omega \subset \mathbb{R}^n$  is an open bounded domain with  $C^1$  boundary and  $0 \in \Omega$ ,  $1 < p < n$ ,  $-\infty < a < \frac{n-p}{p}$ ,  $a \leq b \leq a + 1$ ,  $q = p^*(a, b) = \frac{np}{n-dp}$ ,  $d = 1 + a - b \in [0, 1]$ ,  $c > 0$ .

The starting point of the variational approach to these problems is the following weighted Sobolev–Hardy inequality due to Caffarelli et al. [5], which is called the Caffarelli–Kohn–Nirenberg inequality. Let  $1 < p < n$ . For all  $u \in C_0^\infty(\mathbb{R}^n)$ , there is a constant  $C_{a,b} > 0$  such that

$$\left( \int_{\mathbb{R}^n} |x|^{-bq}|u|^q \, dx \right)^{p/q} \leq C_{a,b} \int_{\mathbb{R}^n} |x|^{-ap}|Du|^p \, dx, \tag{1.2}$$

where

$$-\infty < a < \frac{n-p}{p}, \quad a \leq b \leq a+1, \quad q = p^*(a, b) = \frac{np}{n-dp}, \quad d = 1 + a - b. \tag{1.3}$$

Let  $\mathcal{D}_a^{1,p}(\Omega)$  be the completion of  $C_0^\infty(\mathbb{R}^n)$ , with respect to the norm  $\|\cdot\|$  defined by

$$\|u\| = \left( \int_{\Omega} |x|^{-ap}|Du|^p \, dx \right)^{1/p}.$$

From the boundedness of  $\Omega$  and the standard approximation arguments, it is easy to see that (1.2) holds for any  $u \in \mathcal{D}_a^{1,p}(\Omega)$  in the sense:

$$\left( \int_{\Omega} |x|^{-\alpha}|u|^r \, dx \right)^{p/r} \leq C \int_{\Omega} |x|^{-ap}|Du|^p \, dx \tag{1.4}$$

for  $1 \leq r \leq \frac{np}{n-p}$ ,  $\frac{\alpha}{r} \leq (1+a) + n(\frac{1}{r} - \frac{1}{p})$ , that is, the imbedding  $\mathcal{D}_a^{1,p}(\Omega) \hookrightarrow L^r(\Omega, |x|^{-\alpha})$  is continuous, where  $L^r(\Omega, |x|^{-\alpha})$  is the weighted  $L^r$  space with norm:

$$\|u\|_{r, \alpha} := \|u\|_{L^r(\Omega, |x|^{-\alpha})} = \left( \int_{\Omega} |x|^{-\alpha}|u|^r \, dx \right)^{1/r}.$$

On  $\mathcal{D}_a^{1,p}(\Omega)$ , we can define the energy functional

$$\begin{aligned} E_\lambda(u) &= \frac{1}{p} \int_{\Omega} |x|^{-ap}|Du|^p \, dx - \frac{1}{q} \int_{\Omega} |x|^{-bq}|u|^q \, dx \\ &\quad - \frac{\lambda}{p} \int_{\Omega} |x|^{-(a+1)p+c}|u|^p \, dx. \end{aligned} \tag{1.5}$$

From (1.4),  $E_\lambda$  is well-defined in  $\mathcal{D}_a^{1,p}(\Omega)$ , and  $E_\lambda \in C^1(\mathcal{D}_a^{1,p}(\Omega), \mathbb{R})$ . Furthermore, the critical points of  $E_\lambda$  are weak solutions of problem (1.1).

We note that for  $p = 2$ ,  $a = b = 0$  and  $c = 2$ , problem (1.1) becomes

$$\begin{cases} -\Delta u = |u|^{q-2}u + \lambda u & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \tag{1.6}$$

where  $q = 2^* = 2n/n - 2$  is the critical Sobolev exponent. Problem (1.6) has been studied in a more general context in the famous paper by Brezis and Nirenberg [3]. Since the imbedding  $H_0^1(\Omega) \hookrightarrow L^q(\Omega)$  is not compact for  $q = 2n/n - 2$ , the corresponding energy functional does not satisfy the (PS) condition globally, which caused a serious difficulty when trying to find critical points by standard variational methods. By carefully analyzing the energy level of a cut-off function related to the extremal function of the Sobolev inequality in  $\mathbb{R}^n$ , Brezis and Nirenberg obtained that the energy functional does satisfy the  $(PS)_c$  for some energy level  $c < \frac{1}{n}S^{n/2}$ , where  $S$  is the best constant of the Sobolev inequality.

Brezis–Nirenberg type problems have been generalized to many situations (see [8–11,13,16,18,23,24] and references therein). In [10,11,24], the results of [3] had been extended to the  $p$ -Laplace case; [18,23] extended the results of [3] to polyharmonic operators; Jannelli and Solomini [13] considered the case with singular potentials where  $p = 2$ ,  $a = 0$ ,  $c = 2$ ,  $b \in [0, 1]$ ; while [8] considered the weighted case where  $p = 2$ ,  $a < n - 2/2$ ,  $b \in [a, a + 1]$ ,  $c > 0$ , and [16] considered the case where  $p = 2$ ,  $a = 0$  and  $\Omega$  is a ball.

All the above references are based on the fact that the extremal functions are symmetric and have explicit forms. In [7], based on a generalization of the moving plane method, Chou and Chu considered the symmetry of the extremal functions for  $a \geq 0$ ,  $p = 2$ ; In [12], Horiuchi successfully treated the symmetry properties of the extremal functions for the more general case  $p > 1$ ,  $a \geq 0$  by a clever reduction to the case  $a = 0$  (where Schwarz symmetrization gives the symmetry of the extremal functions); On the contrary, there are some symmetry breaking results (cf. [6,4]) for  $a < 0$ . We define

$$S(a, b) = \inf_{u \in \mathcal{D}_a^{1,p}(\mathbb{R}^n) \setminus \{0\}} E_{a,b}(u), \tag{1.7}$$

to be the best embedding constants, where

$$E_{a,b}(u) = \frac{\int_{\mathbb{R}^n} |x|^{-ap} |Du|^p \, dx}{\left(\int_{\mathbb{R}^n} |x|^{-bq} |u|^q \, dx\right)^{p/q}} \tag{1.8}$$

and

$$S_R(a, b) = \inf_{u \in \mathcal{D}_{a,R}^{1,p}(\mathbb{R}^n) \setminus \{0\}} E_{a,b}(u),$$

where  $\mathcal{D}_{a,R}^{1,p}(\mathbb{R}^n) = \{u \in \mathcal{D}_a^{1,p}(\mathbb{R}^n) \mid u \text{ is radial}\}$ . It is well known that for  $a < n - p/p$  and  $b - a < 1$ ,  $S_R(a, b)$  is always achieved and the extremal functions are given by

$$U_{a,b}(r) = c_0 \left( \frac{n - p - pa}{1 + r^{\frac{dp(n-p-pa)}{(p-1)(n-dp)}}} \right)^{n-dp/dp}, \tag{1.9}$$

where

$$c_0 = \left( \frac{n}{(p-1)^{p-1}(n-dp)} \right)^{n-dp/dp^2}. \tag{1.10}$$

Under some condition on parameters  $a, b, n, p$  [6,4] obtain that  $S(a, b) < S_R(a, b)$  for  $a < 0$ . In this case, it is very difficult to verify that the corresponding energy functional satisfies the  $(PS)_c$  condition.

In Section 2, based on the Caffarelli–Kohn–Nirenberg inequality and the classical Rellich–Kondrachov compactness theorem, we will first deduce a compact imbedding theorem and then study the corresponding eigenvalue problem:

$$\begin{cases} -\operatorname{div}(|x|^{-ap}|Du|^{p-2}Du) = \lambda|x|^{-(a+1)p+c}|u|^{p-2}u & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases} \tag{1.11}$$

In Section 3, based on a Pohozaev-type identity, we obtained a non-existence result for problem (1.1) with  $\lambda \leq 0$ . In Section 4, based on a generalized concentration compactness principle, we shall give some abstract conditions when the functional satisfies the  $(PS)_c$  condition. In Section 5, based on the explicit form of the extremal function, we will obtain some existence results to problem (1.1).

## 2. Eigenvalue problem in general domain

In this section, we first deduce a compact imbedding theorem which is an extension of the classical Rellich–Kondrachov compactness theorem.

**Theorem 2.1** (*Compact imbedding theorem*). *Suppose that  $\Omega \subset \mathbb{R}^n$  is an open bounded domain with  $C^1$  boundary and  $0 \in \Omega$ ,  $1 < p < n$ ,  $-\infty < a < (n-p)/p$ . The imbedding  $\mathcal{D}_a^{1,p}(\Omega) \hookrightarrow L^r(\Omega, |x|^{-\alpha})$  is compact if  $1 \leq r < np/(n-p)$ ,  $\alpha < (1+a)r + n(1 - \frac{r}{p})$ .*

**Proof.** The continuity of the imbedding is a direct consequence of the Caffarelli–Kohn–Nirenberg inequality (1.2) or (1.4). To prove the compactness, let  $\{u_m\}$  be a bounded sequence in  $\mathcal{D}_a^{1,p}(\Omega)$ . For any  $\rho > 0$ , let  $B_\rho(0) \subset \Omega$  be a ball centered at the origin with radius  $\rho$ , it is easy to see that  $\{u_m\} \subset W^{1,p}(\Omega \setminus B_\rho(0))$ . Then the classical Rellich–Kondrachov compactness theorem guarantees the existence of a convergent subsequence of  $\{u_m\}$  in  $L^r(\Omega \setminus B_\rho(0))$ . By taking a diagonal sequence, we can assume, without loss of generality, that  $\{u_m\}$  converges in  $L^r(\Omega \setminus B_\rho(0))$  for any  $\rho > 0$ .

On the other hand, for any  $1 \leq r < np/n-p$ , there exists a  $b \in (a, a+1]$  such that  $r < q = p^*(a, b) = np/n-dp$ ,  $d = 1+a-b \in [0, 1)$ . From the Caffarelli–Kohn–Nirenberg inequality (1.2) or (1.4),  $\{u_m\}$  is also bounded in  $L^q(\Omega, |x|^{-bq})$ . By the Hölder inequality, for any  $\delta > 0$ , it follows that

$$\begin{aligned} & \int_{|x|<\delta} |x|^{-\alpha}|u_m - u_j|^r \, dx \\ & \leq \left( \int_{|x|<\delta} |x|^{-(\alpha-br)q/(q-r)} \, dx \right)^{1-(r/q)} \left( \int_{\Omega} |x|^{-bq}|u_m - u_j|^q \, dx \right)^{r/q} \end{aligned}$$

$$\begin{aligned} &\leq C \left( \int_0^\delta r^{n-1-(\alpha-br)q/(q-r)} dr \right)^{1-(r/q)} \\ &= C \delta^{[n-(\alpha-br)q/(q-r)](1-r/q)}, \end{aligned} \tag{2.1}$$

where  $C > 0$  is a constant independent of  $m$ . Since  $\alpha < (1 + a)r + n(1 - (r/p))$ , it follows that  $n - (\alpha - br)q/(q - r) > 0$ . Therefore, for a given  $\varepsilon > 0$ , we first fix  $\delta > 0$  such that

$$\int_{|x|<\delta} |x|^{-\alpha} |u_m - u_j|^r dx \leq \frac{\varepsilon}{2} \quad \forall m, j \in \mathbb{N}.$$

Then we choose  $N \in \mathbb{N}$  such that

$$\int_{\Omega \setminus B_\delta(0)} |x|^{-\alpha} |u_m - u_j|^r dx \leq C_\alpha \int_{\Omega \setminus B_\delta(0)} |u_m - u_j|^r dx \leq \frac{\varepsilon}{2} \quad \forall m, j \geq N,$$

where  $C_\alpha = \delta^{-\alpha}$  if  $\alpha \geq 0$  and  $C_\alpha = (\text{diam}(\Omega))^{-\alpha}$  if  $\alpha < 0$ . Thus

$$\int_{\Omega} |x|^{-\alpha} |u_m - u_j|^r dx \leq \varepsilon \quad \forall m, j \geq N,$$

that is,  $\{u_m\}$  is a Cauchy sequence in  $L^r(\Omega, |x|^{-\alpha})$ .  $\square$

**Remark 2.2.** Chou and Chu [7] had obtained Theorem 2.1 for the case  $p = 2$ .

In order to study the eigenvalue problem (1.11), let us introduce the following functionals in  $\mathcal{D}_a^{1,p}(\Omega)$ :

$$\Phi(u) := \int_{\Omega} |x|^{-ap} |Du|^p dx \quad \text{and} \quad J(u) := \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx.$$

For  $c > 0$ ,  $J$  is well-defined. Furthermore,  $\Phi, J \in C^1(\mathcal{D}_a^{1,p}(\Omega), \mathbb{R})$ , and a real value  $\lambda$  is an eigenvalue of problem (1.11) if and only if there exists  $u \in \mathcal{D}_a^{1,p}(\Omega) \setminus \{0\}$  such that  $\Phi'(u) = \lambda J'(u)$ . At this point let us introduce set

$$\mathcal{M} := \{u \in \mathcal{D}_a^{1,p}(\Omega) : J(u) = 1\}.$$

Then  $\mathcal{M} \neq \emptyset$  and  $\mathcal{M}$  is a  $C^1$  manifold in  $\mathcal{D}_a^{1,p}(\Omega)$ . It follows from the standard variational arguments that eigenvalues of (1.11) correspond to critical values of  $\Phi|_{\mathcal{M}}$ . From Theorem 2.1,  $\Phi$  satisfies the (PS) condition on  $\mathcal{M}$ . Thus a sequence of critical values of  $\Phi|_{\mathcal{M}}$  comes from the Ljusternik–Schnirelman critical point theory on  $C^1$  manifolds. Let  $\gamma(A)$  denote the Krasnoselski’s genus on  $\mathcal{D}_a^{1,p}(\Omega)$  and for any  $k \in \mathbb{N}$ , set

$$\Gamma_k := \{A \subset \mathcal{M} : A \text{ is compact, symmetric and } \gamma(A) \geq k\}.$$

Then values

$$\lambda_k := \inf_{A \in \Gamma_k} \max_{u \in A} \Phi(u) \tag{2.2}$$

are critical values and thence are eigenvalues of problem (1.11). Moreover,  $\lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_k \leq \dots \rightarrow +\infty$ .

From the Caffarelli–Kohn–Nirenberg inequality (1.2) or (1.4), it is easy to see that

$$\lambda_1 = \inf\{\Phi(u) : u \in \mathcal{D}_a^{1,p}(\Omega), J(u) = 1\} > 0$$

and the corresponding eigenfunction  $e_1 \geq 0$ .

### 3. Pohozaev identity and non-existence result

In this section, we deduce a Pohozaev-type identity and obtain some non-existence results. First let us recall the following Pohozaev integral identity due to Pucci and Serrin [17]:

**Lemma 3.1** (Pohozaev-type identity). *Let  $u \in C^2(\Omega) \cap C^1(\bar{\Omega})$  be a solution of the Euler–Lagrange equation*

$$\begin{cases} \operatorname{div}\{\mathcal{F}_p(x, u, Du)\} = \mathcal{F}_u(x, u, Du) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \tag{3.1}$$

where  $p = (p_1, \dots, p_n) = Du = (\partial u/\partial x_1, \dots, \partial u/\partial x_n)$  and  $\mathcal{F}_u = \partial \mathcal{F}/\partial u$ . Let  $A$  and  $h$  be, respectively, scalar and vector-value function of class  $C^1(\Omega) \cap C(\bar{\Omega})$ . Then it follows that

$$\begin{aligned} & \oint_{\partial\Omega} \left[ \mathcal{F}(x, 0, Du) - \frac{\partial u}{\partial x_i} \mathcal{F}_{p_i}(x, 0, Du) \right] (h \cdot \nu) \, ds \\ &= \int_{\Omega} \left\{ \mathcal{F}(x, u, Du) \operatorname{div} h + h_i \mathcal{F}_{x_i}(x, u, Du) \right. \\ & \quad - \left[ \frac{\partial u}{\partial x_j} \frac{\partial h_j}{\partial x_i} + u \frac{\partial A}{\partial x_i} \right] \mathcal{F}_{p_i}(x, u, Du) \\ & \quad \left. - A \left[ \frac{\partial u}{\partial x_i} \mathcal{F}_{p_i}(x, u, Du) + u \mathcal{F}_u(x, u, Du) \right] \right\} dx, \end{aligned} \tag{3.2}$$

where repeated indices  $i$  and  $j$  are understood to be summed from 1 to  $n$ .

Let us consider the following problem:

$$\begin{cases} -\operatorname{div}(|x|^{-ap}|Du|^{p-2}Du) = g(x, u) & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \tag{3.3}$$

where  $g$  satisfies  $g(x, 0) = 0$ . Suppose that  $\mathcal{F}(x, u, Du) = \frac{1}{p}|x|^{-ap}|Du|^p - G(x, u)$ , where  $G(x, u) = \int_0^u g(x, t) \, dt$  is the primitive of  $g(x, u)$ . If we choose  $h(x) = x$ ,  $A = (n/p) - (1+a)$ , then (3.2) becomes

$$\begin{aligned} & \left(1 - \frac{1}{p}\right) \oint_{\partial\Omega} (x \cdot \nu) \left| \frac{\partial u}{\partial \nu} \right|^p \, ds \\ &= \int_{\Omega} \left[ nG(x, u) + (x, G_x) + \left(1 + a - \frac{n}{p}\right) u g(x, u) \right] dx. \end{aligned} \tag{3.4}$$

As to problem (1.1), suppose that  $G(x, u) = (1/q)|x|^{-bq}|u|^q + (\lambda/p)|x|^{-p(1+a)+c}|u|^p$ , then (3.2) or (3.4) becomes

$$\left(1 - \frac{1}{p}\right) \oint_{\partial\Omega} (x \cdot \nu) \left| \frac{\partial u}{\partial \nu} \right|^p ds = \frac{c\lambda}{p} \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx. \tag{3.5}$$

Thus we obtain the following non-existence result:

**Theorem 3.2** (Non-existence theorem). *There is no solution to problem (1.1) when  $\lambda \leq 0$  and  $\Omega$  is a (smooth) star-shaped domain with respect to the origin.*

**Proof.** The above deduction is formal. In fact, the solution to problem (1.1) may not be of class  $C^2(\Omega) \cap C^1(\bar{\Omega})$ . We need the approximation arguments in [11,8] (cf. Appendix).  $\square$

#### 4. (PS)<sub>c</sub> condition

In this section, we first give a concentration compactness principle which is a weighted version of the Concentration Compactness Principle II due to Lions [14,15].

**Theorem 4.1** (Concentration compactness principle). *Let  $1 < p < n$ ,  $-\infty < a < (n - p)/p$ ,  $a \leq b \leq a + 1$ ,  $q = p^*(a, b) = np/(n - dp)$ ,  $d = 1 + a - b \in [0, 1]$ , and  $\mathcal{M}(\mathbb{R}^n)$  be the space of bounded measures on  $\mathbb{R}^n$ . Suppose that  $\{u_m\} \subset \mathcal{D}_a^{1,p}(\mathbb{R}^n)$  be a sequence such that:*

$$\begin{aligned} u_m &\rightharpoonup u && \text{in } \mathcal{D}_a^{1,p}(\mathbb{R}^n), \\ \mu_m := ||x|^a Du_m||^p dx &\rightharpoonup \mu && \text{in } \mathcal{M}(\mathbb{R}^n), \\ \nu_m := ||x|^b u_m||^q dx &\rightharpoonup \nu && \text{in } \mathcal{M}(\mathbb{R}^n), \\ u_m &\rightarrow u && \text{a.e. on } \mathbb{R}^n. \end{aligned}$$

Then there are the following statements:

- (1) *There exists some at most countable set  $J$ , a family  $\{x^{(j)} : j \in J\}$  of distinct points in  $\mathbb{R}^n$ , and a family  $\{v^{(j)} : j \in J\}$  of positive numbers such that*

$$\nu = ||x|^{-b} u||^q dx + \sum_{j \in J} v^{(j)} \delta_{x^{(j)}}, \tag{4.1}$$

where  $\delta_x$  is the Dirac-mass of mass 1 concentrated at  $x \in \mathbb{R}^n$ .

- (2) *The following inequality holds*

$$\mu \geq ||x|^{-a} Du||^p dx + \sum_{j \in J} \mu^{(j)} \delta_{x^{(j)}} \tag{4.2}$$

for some family  $\{\mu^{(j)} > 0 : j \in J\}$  satisfying

$$S(a, b)(v^{(j)})^{p/q} \leq \mu^{(j)} \text{ for all } j \in J. \tag{4.3}$$

In particular,  $\sum_{j \in J} (v^{(j)})^{p/q} < \infty$ .

**Proof.** The proof is similar to that of the concentration compactness principle II (see also [20]).  $\square$

**Theorem 4.2** ((PS)<sub>c</sub> condition in general domain). *Let  $1 < p < n$ ,  $-\infty < a < (n - p)/p$ ,  $a \leq b < a + 1$ ,  $q = p^*(a, b) = np/(n - dp)$ ,  $d = 1 + a - b \in (0, 1]$ ,  $c > 0$  and  $0 < \lambda < \lambda_1$ . Then functional  $E_\lambda$  defined in (1.5) satisfies the (PS)<sub>c</sub> condition in  $\mathcal{D}_a^{1,p}(\Omega)$  at the energy level  $M < \frac{d}{n} S(a, b)^{\frac{n}{dp}}$ .*

**Proof.** (1) The boundedness of (PS)<sub>c</sub> sequence.

Suppose that  $\{u_m\} \subset \mathcal{D}_a^{1,p}(\Omega)$  is a (PS)<sub>c</sub> sequence of functional  $E_\lambda$ , that is,

$$E_\lambda(u_m) \rightarrow M \quad \text{and} \quad E'_\lambda(u_m) \rightarrow 0 \quad \text{in} \quad (\mathcal{D}_a^{1,p}(\Omega))'$$

Then as  $m \rightarrow \infty$ , it follows that

$$\begin{aligned} M + o(1) &= E_\lambda(u_m) \\ &= \frac{1}{p} \int_\Omega |x|^{-ap} |Du_m|^p \, dx - \frac{1}{q} \int_\Omega |x|^{-bq} |u_m|^q \, dx \\ &\quad - \frac{\lambda}{p} \int_\Omega |x|^{-(a+1)p+c} |u_m|^p \, dx \end{aligned} \tag{4.4}$$

and

$$\begin{aligned} o(1)\|\varphi\| &= (E_\lambda(u_m), \varphi) \\ &= \int_\Omega |x|^{-ap} |Du_m|^{p-2} Du_m \cdot D\varphi \, dx - \int_\Omega |x|^{-bq} |u_m|^{q-2} u_m \varphi \, dx \\ &\quad - \lambda \int_\Omega |x|^{-(a+1)p+c} |u_m|^{p-2} u_m \varphi \, dx \end{aligned} \tag{4.5}$$

for any  $\varphi \in \mathcal{D}_a^{1,p}(\Omega)$ , where  $o(1)$  denotes any quantity that tends to zero as  $m \rightarrow \infty$ . From (4.4) and (4.5), as  $m \rightarrow \infty$ , it follows that

$$\begin{aligned} qM + o(1) + o(1)\|u_m\| &= qE_\lambda(u_m) - (E_\lambda(u_m), v) \\ &= \left(\frac{q}{p} - 1\right) \int_\Omega |x|^{-ap} |Du_m|^p \, dx \\ &\quad - \lambda \left(\frac{q}{p} - 1\right) \int_\Omega |x|^{-(a+1)p+c} |u_m|^{p-2} u_m v \, dx \\ &= \left(\frac{q}{p} - 1\right) \left(1 - \frac{\lambda}{\lambda_1}\right) \|u_m\|^p, \end{aligned} \tag{4.6}$$

that is,  $\{u_m\}$  is bounded in  $\mathcal{D}_a^{1,p}(\Omega)$ , since  $q > p$ ,  $\lambda < \lambda_1$ . Thus up to a subsequence, we have the following convergence:

$$\begin{aligned} u_m &\rightharpoonup u && \text{in } \mathcal{D}_a^{1,p}(\Omega), \\ u_m &\rightarrow u && \text{in } L^q(\Omega, |x|^{-bq}), \\ u_m &\rightarrow u && \text{in } L^r(\Omega, |x|^{-\alpha}), \quad \forall 1 \leq r < \frac{np}{n-p}, \quad \frac{\alpha}{r} < (1+a) + n\left(\frac{1}{r} - \frac{1}{p}\right) \\ u_m &\rightarrow u && \text{a.e. on } \Omega. \end{aligned}$$



From the concentration compactness principle—Theorem 4.1, there exist non-negative measures  $\mu, \nu$  and a countable family  $\{x_j\} \subset \bar{\Omega}$  such that

$$|x|^{-b}|u_m|^q \, dx \rightharpoonup \nu = ||x|^{-b}u||^q \, dx + \sum_{j \in J} v^{(j)} \delta_{x^{(j)}},$$

$$||x|^{-a}Du_m||^p \, dx \rightharpoonup \mu \geq ||x|^{-a}Du||^p \, dx + S(a, b) \sum_{j \in J} (v^{(j)})^{p/q} \delta_{x^{(j)}}.$$

(2) Up to a subsequence,  $u_m \rightarrow u$  in  $L^q(\Omega, |x|^{-bq})$ .

Since  $\{u_m\}$  is bounded in  $\mathcal{D}_a^{1,p}(\Omega)$ , we may suppose, without loss of generality, that there exists  $T \in (L^{p'}(\Omega, |x|^{-ap}))^n$  such that

$$|Du_m|^{p-2}Du_m \rightharpoonup T \quad \text{in } (L^{p'}(\Omega, |x|^{-ap}))^n.$$

On the other hand,  $|u_m|^{q-2}u_m$  is also bounded in  $L^{q'}(\Omega, |x|^{-bq})$  and

$$|u_m|^{q-2}u_m \rightharpoonup |u|^{q-2}u \quad \text{in } L^{q'}(\Omega, |x|^{-bq}).$$

Taking  $m \rightarrow \infty$  in (4.5), we have

$$\int_{\Omega} |x|^{-ap}T \cdot D\varphi \, dx = \int_{\Omega} |x|^{-bq}|u|^{q-2}u\varphi \, dx + \lambda \int_{\Omega} |x|^{-(a+1)p+c}|u|^{p-2}u\varphi \, dx \quad (4.7)$$

for any  $\varphi \in \mathcal{D}_a^{1,p}(\Omega)$ .

Let  $\varphi = \psi u_m$  in (4.5), where  $\psi \in C(\bar{\Omega})$ , then it follows that

$$\int_{\Omega} |x|^{-ap}|Du_m|^{p-2}Du_m \cdot D\varphi \, dx = \int_{\Omega} |x|^{-bq}|u_m|^{q-2}u_m\varphi \, dx$$

$$+ \lambda \int_{\Omega} |x|^{-(a+1)p+c}|u_m|^{p-2}u_m\varphi + o(1). \quad (4.8)$$

Taking  $m \rightarrow \infty$  in (4.8), we have

$$\int_{\Omega} \psi \, d\mu + \int_{\Omega} |x|^{-ap}uT \cdot D\psi \, dx = \int_{\Omega} \psi \, d\nu + \lambda \int_{\Omega} |x|^{-(a+1)p+c}|u|^p\psi \, dx. \quad (4.9)$$

Let  $\varphi = \psi u$  in (4.7), then it follows that

$$\int_{\Omega} |x|^{-ap}uT \cdot D\psi \, dx + \int_{\Omega} |x|^{-ap}\psi T \cdot Du \, dx$$

$$= \int_{\Omega} |x|^{-bq}|u|^q\psi \, dx + \lambda \int_{\Omega} |x|^{-(a+1)p+c}|u|^p\psi \, dx. \quad (4.10)$$

Thus (4.9)–(4.10) implies that

$$\int_{\Omega} \psi \, d\mu = \sum_{j \in J} v_j\psi(x_j) + \int_{\Omega} |x|^{-ap}\psi T \cdot Du \, dx, \quad (4.11)$$

which implies that

$$S(a, b)(v^{(j)})^{p/q} \leq \mu(x_j) = v_j.$$

Thence  $v_j \geq S(a, b)^{n/dp}$  if  $v_j \neq 0$ .

On the other hand, from (4.4), (4.7) and (4.11), it follows that

$$\begin{aligned} M &= \frac{1}{p} \int_{\Omega} d\mu - \frac{1}{q} \int_{\Omega} dv - \frac{\lambda}{p} \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx \\ &= \frac{1}{p} \sum_{j \in J} v_j + \frac{1}{p} \int_{\Omega} |x|^{-ap} T \cdot Du dx - \frac{1}{q} \sum_{j \in J} v_j - \frac{1}{q} \int_{\Omega} |x|^{-bq} |u|^q dx \\ &\quad - \frac{\lambda}{p} \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx \\ &= \left(\frac{1}{p} - \frac{1}{q}\right) \sum_{j \in J} v_j + \left(\frac{1}{p} - \frac{1}{q}\right) \int_{\Omega} |x|^{-bq} |u|^q dx \\ &\geq \left(\frac{1}{p} - \frac{1}{q}\right) \sum_{j \in J} v_j = \frac{d}{n} \sum_{j \in J} v_j. \end{aligned} \tag{4.12}$$

Since it has been shown that  $v_j \geq S(a, b)^{n/dp}$  if  $v_j \neq 0$ , the condition  $M < (d/n)S(a, b)^{n/dp}$  implies that  $v_j = 0$  for all  $j \in J$ . Hence we have

$$\int_{\Omega} |x|^{-bq} |u_m|^q dx \rightarrow \int_{\Omega} |x|^{-bq} |u|^q dx.$$

Thus the Brezis–Lieb Lemma [2] implies that  $u_m \rightarrow u$  in  $L^q(\Omega, |x|^{-bq})$ .

(3) Existence of convergent subsequence.

To show that  $u_m \rightarrow u$  in  $\mathcal{D}_a^{1,p}(\Omega)$ , from the Brezis–Lieb Lemma [2], it suffices to show that  $Du_m \rightarrow Du$  a.e. in  $\Omega$  and  $\|u_m\| \rightarrow \|u\|$ .

To show that  $Du_m \rightarrow Du$  a.e. in  $\Omega$ , first note that

$$|x|^{-ap} (|Du_m|^{p-2} Du_m - |Du|^{p-2} Du) \cdot (Du_m - Du) \geq 0, \tag{4.13}$$

the equality holds if and only if  $Du_m = Du$ .

Secondly, let  $\varphi = u_m$  and  $\varphi = u$  in (4.5) and then let  $m \rightarrow \infty$ , respectively, it follows that

$$\begin{aligned} \|u_m\|^p &= \int_{\Omega} |x|^{-ap} |Du_m|^p dx \\ &= \int_{\Omega} |x|^{-bq} |u_m|^q dx - \lambda \int_{\Omega} |x|^{-(a+1)p+c} |u_m|^p dx + o(1)\|u_m\| \\ &\rightarrow \int_{\Omega} |x|^{-bq} |u|^q dx - \lambda \int_{\Omega} |x|^{-(a+1)p+c} |u|^p dx \end{aligned} \tag{4.14}$$

and

$$\begin{aligned}
 & \int_{\Omega} |x|^{-ap} |Du_m|^{p-2} Du_m \cdot Du \, dx \\
 &= \int_{\Omega} |x|^{-bq} |u_m|^{q-2} u_m u \, dx - \lambda \int_{\Omega} |x|^{-(a+1)p+c} |u_m|^{p-2} u_m u \, dx + o(1) \|u\| \\
 &\rightarrow \int_{\Omega} |x|^{-bq} |u|^q \, dx - \lambda \int_{\Omega} |x|^{-(a+1)p+c} |u|^p \, dx.
 \end{aligned} \tag{4.15}$$

From (4.14) and (4.15), it follows that

$$\begin{aligned}
 & \int_{\Omega} |x|^{-ap} (|Du_m|^{p-2} Du_m - |Du|^{p-2} Du) \cdot (Du_m - Du) \, dx \\
 &= \int_{\Omega} |x|^{-ap} |Du_m|^p \, dx - \int_{\Omega} |x|^{-ap} |Du_m|^{p-2} Du_m \cdot Du \, dx \\
 &\quad - \int_{\Omega} |x|^{-ap} |Du|^{p-2} Du \cdot (Du_m - Du) \, dx \\
 &\rightarrow 0.
 \end{aligned} \tag{4.16}$$

Eqs. (4.13) and (4.16) imply that  $Du_m \rightarrow Du$  a.e. in  $\Omega$ , hence  $T = |Du|^{p-2} Du$ , that is,  $|Du_m|^{p-2} Du_m \rightarrow |Du|^{p-2} Du$  in  $(L^{p'}(\Omega, |x|^{-ap}))^n$ .

To show that  $\|u_m\| \rightarrow \|u\|$ , from (4.14) and (4.15), we have

$$\begin{aligned}
 \|u\|^p &\leftarrow \int_{\Omega} |x|^{-ap} |Du_m|^{p-2} Du_m \cdot Du \, dx \\
 &= \int_{\Omega} |x|^{-bq} |u_m|^{q-2} u_m u \, dx - \lambda \int_{\Omega} |x|^{-(a+1)p+c} |u_m|^{p-2} u_m u \, dx \\
 &\rightarrow \int_{\Omega} |x|^{-bq} |u|^q \, dx - \lambda \int_{\Omega} |x|^{-(a+1)p+c} |u|^p \, dx,
 \end{aligned}$$

thus,  $\|u_m\|^p \rightarrow \|u\|^p$ .  $\square$

As indicated in the introduction, for  $a < 0$ ,  $S(a, b) < S_R(a, b)$  and there is no explicit form of the minimizers of  $S(a, b)$ , so it is difficult to show that there exists a minimax value  $M < (d/n)S(a, b)^{n/dp}$ . But there does exist an explicit form of the extremal functions of  $S_R(a, b)$ , the method in [3] can be used to show that there exists a minimax value  $M < (d/n)S_R(a, b)^{n/dp}$ . Next theorem shows that in the space of radial functions, the functional  $E_\lambda$  defined in (1.5) satisfies the  $(PS)_c$  condition in  $\mathcal{D}_{a,R}^{1,p}(\Omega)$  at the energy level  $M < (d/n)S_R(a, b)^{n/dp}$  in the case  $p = 2$ .

**Theorem 4.3** ( *$(PS)_c$  condition in ball*). *Let  $\Omega = B_1(0)$  be the unit ball in  $\mathbb{R}^n$ ,  $p = 2 < n$ ,  $-\infty < a < (n - 2)/2$ ,  $a \leq b \leq a + 1$ ,  $q = 2^*(a, b) = 2n/(n - 2d)$ ,  $d = 1 + a - b \in [0, 1]$ ,  $c > 0$  and  $0 < \lambda < \lambda_1$ . Then functional  $E_\lambda$  defined in (1.5) satisfies the  $(PS)_c$  condition in  $\mathcal{D}_{a,R}^{1,2}(\Omega)$  at the energy level  $M < (d/n)S_R(a, b)^{n/2d}$ .*

**Proof.** (1) As in the proof of Theorem 4.2, any  $(PS)_c$  sequence is bounded in  $\mathcal{D}_{a,R}^{1,2}(\Omega)$ , and up to a subsequence, we have

$$\begin{aligned} u_m &\rightharpoonup u && \text{in } \mathcal{D}_{a,R}^{1,2}(\Omega), \\ u_m &\rightharpoonup u && \text{in } L^q(\Omega, |x|^{-bq}), \\ u_m &\rightarrow u && \text{in } L^r(\Omega, |x|^{-\alpha}), \quad \forall 1 \leq r < 2n/(n-2), \quad \frac{\alpha}{r} < (1+a) + n(\frac{1}{r} - \frac{1}{2}) \\ u_m &\rightarrow u && \text{a.e. on } \Omega. \end{aligned}$$

Thence  $u$  satisfies the following equation in weak sense:

$$\begin{cases} -\operatorname{div}(|x|^{-2a} Du) = |x|^{-bq}|u|^{q-2}u + \lambda|x|^{-2(a+1)+c}u & \text{in } \Omega \\ u = 0 & \text{on } \partial\Omega. \end{cases} \tag{4.17}$$

Thus it follows that

$$\begin{aligned} E_\lambda(u) &= \frac{1}{2} \int_\Omega |x|^{-2a}|Du|^2 dx - \frac{1}{q} \int_\Omega |x|^{-bq}|u|^q dx - \frac{\lambda}{2} \int_\Omega |x|^{-2(a+1)+c}u^2 dx \\ &= \left(\frac{1}{2} - \frac{1}{q}\right) \left(\int_\Omega |x|^{-2a}|Du|^2 dx - \lambda \int_\Omega |x|^{-2(a+1)+c}u^2 dx\right) \geq 0. \end{aligned} \tag{4.18}$$

(2) Let  $v_m := u_m - u$ , the Brezis–Lieb Lemma [2] leads to

$$\int_\Omega |x|^{-bq}|u_m|^q dx = \int_\Omega |x|^{-bq}|u|^q dx + \int_\Omega |x|^{-bq}|v_m|^q dx + o(1).$$

From  $E_\lambda(u_m) \rightarrow M$  and  $(E'_\lambda(u_m), u_m) \rightarrow 0$ , we have

$$\begin{aligned} E_\lambda(u_m) &= E_\lambda(u) + \frac{1}{2} \int_\Omega |x|^{-2a}|Dv_m|^2 dx \\ &\quad - \frac{1}{q} \int_\Omega |x|^{-bq}|v_m|^q dx - \frac{\lambda}{2} \int_\Omega |x|^{-2(a+1)+c}v_m^2 dx \\ &\rightarrow M \end{aligned} \tag{4.19}$$

and

$$\begin{aligned} &\int_\Omega |x|^{-2a}|Dv_m|^2 dx - \int_\Omega |x|^{-bq}|v_m|^q dx - \lambda \int_\Omega |x|^{-2(a+1)+c}v_m^2 dx \\ &\rightarrow \int_\Omega |x|^{-bq}|u|^q dx + \lambda \int_\Omega |x|^{-2(a+1)+c}u^2 dx - \int_\Omega |x|^{-2a}|Du|^2 dx \\ &= -(E'_\lambda(u), u) = 0. \end{aligned} \tag{4.20}$$

Up to a subsequence, we may assume that

$$\int_\Omega |x|^{-2a}|Dv_m|^2 dx - \lambda \int_\Omega |x|^{-2(a+1)+c}v_m^2 dx \rightarrow b, \quad \int_\Omega |x|^{-bq}|v_m|^q dx \rightarrow b$$

for some  $b \geq 0$ . From Theorem 2.1,  $v_m \rightarrow 0$  in  $L^2(\Omega, |x|^{-2(a+1)+c})$ , then

$$\int_\Omega |x|^{-2a}|Dv_m|^2 dx \rightarrow b.$$

On the other hand, we have

$$\int_{\Omega} |x|^{-2a} |Dv_m|^2 dx \geq S_R(a, b) \left( \int_{\Omega} |x|^{-bq} |v_m|^q dx \right)^{2/q}.$$

Thus it follows that  $b \geq S_R(a, b)b^{2/q}$ , either  $b \geq S_R(a, b)^{n/2d}$  or  $b = 0$ . If  $b = 0$ , the proof is complete. Assume that  $b \geq S_R(a, b)^{n/2d}$ , from (4.18) and (4.19), it follows that

$$\frac{d}{n} S_R(a, b)^{n/2d} \leq \left( \frac{1}{2} - \frac{1}{q} \right) b \leq M < \frac{d}{n} S_R(a, b)^{n/2d}$$

a contradiction.  $\square$

### 5. Existence results

In this section, by verifying that there exists a minimax value  $M$  such that  $M < (d/n)S(a, b)^{n/dp}$  or  $M < (d/n)S_R(a, b)^{n/dp}$ , we obtain some existence results to (1.1). We need some asymptotic estimates on the truncation function of the extremal function of  $S_R(a, b)$ . Let

$$U_{\varepsilon}(x) = \frac{1}{(\varepsilon + |x|^{dp(n-p-pa)/(p-1)(n-dp)})^{n-dp/dp}},$$

$$k(\varepsilon) = c_0(\varepsilon(n - p - ap))^{n-dp/dp}$$

and  $c_0$  is defined by (1.9). Then  $y_{\varepsilon}(x) := k(\varepsilon)U_{\varepsilon}(x)$  is the extremal function of  $S_R(a, b)$ . Furthermore, we have

$$\|Dy_{\varepsilon}\|_{L^p(\mathbb{R}^n, |x|^{-ap})}^p = S_R(a, b)^{q/q-p} = k(\varepsilon)^p \|DU_{\varepsilon}\|_{L^p(\mathbb{R}^n, |x|^{-ap})}^p \tag{5.1}$$

and

$$\|y_{\varepsilon}\|_{L^q(\mathbb{R}^n, |x|^{-bq})}^q = S_R(a, b)^{q/(q-p)} = k(\varepsilon)^q \|U_{\varepsilon}\|_{L^q(\mathbb{R}^n, |x|^{-bq})}^q. \tag{5.2}$$

Let  $\Omega \subset \mathbb{R}^n$  be an open bounded domain with  $C^1$  boundary and  $0 \in \Omega$ ,  $R > 0$  such that  $B_{2R} \subset \Omega$ . Denote  $u_{\varepsilon}(x) = \psi(x)U_{\varepsilon}(x)$  where  $\psi(x) \equiv 1$  for  $|x| < R$  and  $\psi(x) \equiv 0$  for  $|x| \geq 2R$ . As  $\varepsilon \rightarrow 0$ , the behavior of  $u_{\varepsilon}$  has to be the same as that of  $U_{\varepsilon}$ .

**Lemma 5.1.** Assume  $1 < p < n$ ,  $-\infty < a < (n - p)/p$ ,  $a \leq b \leq a + 1$ ,  $q = p^*(a, b) = np/(n - dp)$ ,  $d = 1 + a - b \in [0, 1]$ ,  $c > 0$ . Let

$$v_{\varepsilon}(x) = \frac{u_{\varepsilon}(x)}{\|u_{\varepsilon}\|_{L^q(\Omega, |x|^{-bq})}}.$$

Then  $\|v_{\varepsilon}\|_{L^q(\Omega, |x|^{-bq})}^q = 1$ . Furthermore, we have

1.  $\|Dv_{\varepsilon}\|_{L^p(\Omega, |x|^{-ap})}^p = S_R(a, b) + O(\varepsilon^{(n-dp)/d})$ ;
2.  $\|Dv_{\varepsilon}\|_{L^{\alpha}(\Omega, |x|^{-ap})}^{\alpha} = O(\varepsilon^{x(n-dp)/dp})$  for  $\alpha = 1, 2, p - 2, p - 1$ ;

$$3. \|v_\varepsilon\|_{L^p(\Omega, |x|^{-(a+1)p+c})}^p = \begin{cases} O(\varepsilon^{(n-dp)/d}) \text{ if } c > (n - p - ap)/(p - 1), \\ O(\varepsilon^{(n-dp)/d} |\log \varepsilon|) \text{ if } c = (n - p - ap)/(p - 1), \\ O(\varepsilon^{(p-1)(n-dp)(n+c-(a+1)p)/dp(n-p-ap)}) \\ \text{ if } c < (n - p - ap)/(p - 1). \end{cases}$$

The proof of Lemma 5.1 is given in the Appendix.

In the case where  $a \geq 0, 1 < p < n$ , the results in [12] and [7] show that the minimizers of  $S(a, b)$  are symmetric and given by (1.9). Combining Theorem 4.2 and Lemma 5.1, there is the following existence result:

**Theorem 5.2** (Existence Theorem in general domain). *Let  $\Omega \subset \mathbb{R}^n$  be an open bounded domain with  $C^1$  boundary and  $0 \in \Omega, 1 < p < n, 0 \leq a < (n - p)/p, a \leq b \leq a + 1, q = p^*(a, b) = np/(n - dp), d = 1 + a - b \in (0, 1], c \leq (n - p - ap)/(p - 1)$ , and  $0 < \lambda < \lambda_1$ . Then there exists a non-trivial solution  $u \in \mathcal{D}_a^{1,p}(\Omega)$  to problem (1.1).*

**Proof.** It is trivial that functional

$$E_\lambda(u) = \frac{1}{p} \int_\Omega |x|^{-ap} |Du|^p \, dx - \frac{1}{q} \int_\Omega |x|^{-bq} |u|^q \, dx - \frac{\lambda}{p} \int_\Omega |x|^{-(a+1)p+c} |u|^p \, dx$$

satisfies the geometric condition of the mountain pass lemma without (PS) condition due to Ambrosetti and Rabinowitz [1]. From Theorem 4.2, it suffices to show that there exists a minimax value  $M < (d/n)S(a, b)^{n/dp}$ . In fact, we will show that  $\max_{t \geq 0} E_\lambda(tv_\varepsilon) < (d/n)S(a, b)^{n/dp}$  for  $\varepsilon$  small enough. Let

$$\begin{aligned} g(t) &= E_\lambda(tv_\varepsilon) \\ &= \frac{t^p}{p} \int_\Omega |x|^{-ap} |Dv_\varepsilon|^p \, dx - \frac{t^q}{q} \int_\Omega |x|^{-bq} |v_\varepsilon|^q \, dx \\ &\quad - \frac{\lambda t^p}{p} \int_\Omega |x|^{-(a+1)p+c} |v_\varepsilon|^p \, dx \\ &= \frac{t^p}{p} \int_\Omega |x|^{-ap} |Dv_\varepsilon|^p \, dx - \frac{t^q}{q} - \frac{\lambda t^p}{p} \int_\Omega |x|^{-(a+1)p+c} |v_\varepsilon|^p \, dx. \end{aligned}$$

Since  $0 < \lambda < \lambda_1$ , it follows that  $g(t) > 0$  when  $t$  is close to 0, and  $\lim_{t \rightarrow \infty} g(t) = -\infty$  if  $d = 1 + a - b \in (0, 1], q = p^*(a, b) = np/(n - dp) > p$ . Thus  $g(t)$  attains its maximum at some  $t_\varepsilon > 0$ . From

$$g'(t) = t^{p-1} \left( \int_\Omega |x|^{-ap} |Dv_\varepsilon|^p \, dx - t^{q-p} - \lambda \int_\Omega |x|^{-(a+1)p+c} |v_\varepsilon|^p \, dx \right) = 0,$$

it follows that

$$t_\varepsilon = \left( \int_\Omega |x|^{-ap} |Dv_\varepsilon|^p \, dx - \lambda \int_\Omega |x|^{-(a+1)p+c} |v_\varepsilon|^p \, dx \right)^{1/(q-2)}$$

and

$$\begin{aligned}
 g(t_\varepsilon) &= \left( \frac{1}{p} - \frac{1}{q} \right) \left( \int_{\Omega} |x|^{-ap} |Dv_\varepsilon|^p \, dx - \lambda \int_{\Omega} |x|^{-(a+1)p+c} |v_\varepsilon|^p \, dx \right)^{q/(q-2)} \\
 &= \begin{cases} \frac{d}{n} S(a, b)^{n/dp} + O(\varepsilon^{(n-dp)/d}) \\ \quad - O\left(\varepsilon^{\frac{(p-1)(n-dp)(n-(a+1)p+c)}{dp(n-p-ap)}}\right) & \text{if } c < \frac{n-p-ap}{p-1} \\ \frac{d}{n} S(a, b)^{n/dp} + O(\varepsilon^{(n-dp)/d}) \\ \quad - O(\varepsilon^{(n-dp)/d} |\log \varepsilon|) & \text{if } c = \frac{n-p-ap}{p-1}. \end{cases}
 \end{aligned}$$

Note that for  $c < (n - p - ap)/(p - 1)$ , we have  $(n - dp)/d > (p - 1)(n - dp)/(n - (a + 1)p + c)/dp(n - p - ap)$ . Thus for  $\varepsilon$  small enough, it follows that  $g(t_\varepsilon) < (d/n) S(a, b)^{n/dp}$ .  $\square$

In the case where  $p = 2$ , combining Theorem 4.3 and Lemma 5.1, there is the following existence result:

**Theorem 5.3** (Existence of radial solution in ball). *Let  $\Omega = B_1(0)$  is the unit ball in  $\mathbb{R}^n$ ,  $-\infty < a < (n - 2)/2$ ,  $a \leq b \leq a + 1$ ,  $q = 2^*(a, b) = 2n/(n - 2d)$ ,  $d = 1 + a - b \in (0, 1]$ ,  $c \leq n - 2 - 2a$ , and  $0 < \lambda < \lambda_1$ . Then there exists a nontrivial radial solution  $u \in \mathcal{D}_{a,R}^{1,2}(\Omega)$  to problem (1.1).*

**Proof.** It is trivial that functional

$$E_\lambda(u) = \frac{1}{2} \int_{\Omega} |x|^{-2a} |Du|^2 \, dx - \frac{1}{q} \int_{\Omega} |x|^{-bq} |u|^q \, dx - \frac{\lambda}{2} \int_{\Omega} |x|^{-2(a+1)+c} |u|^2 \, dx$$

satisfies the geometric condition of the mountain pass lemma without (PS) condition due to Ambrosetti and Rabinowitz [1]. From Theorem 4.3, it suffices to show that there exist a minimax value  $c < (d/n) S_R(a, b)^{n/2d}$ . In fact, the same process in Theorem 5.2 shows that  $\max_{t \geq 0} E_\lambda(tv_\varepsilon) < (d/n) S_R(a, b)^{n/2d}$  for  $\varepsilon$  small enough for  $c \leq n - 2 - 2a$ .  $\square$

From the result in [7], that is,  $S(a, b) = S_R(a, b)$  for  $p = 2$ ,  $a \geq 0$ , Theorem 4.2 and the proofs of Lemma 5.1 and Theorem 5.2 imply that

**Corollary 5.4.** *Let  $\Omega \subset \mathbb{R}^n$  be an open bounded domain with  $C^1$  boundary and  $0 \in \Omega$ ,  $0 \leq a < (n - 2)/2$ ,  $a \leq b \leq a + 1$ ,  $q = 2^*(a, b) = 2n/(n - 2d)$ ,  $d = 1 + a - b \in (0, 1]$ ,  $c \leq n - 2 - 2a$ , and  $0 < \lambda < \lambda_1$ . Then there exists a nontrivial solution  $u \in \mathcal{D}_a^{1,2}(\Omega)$  to problem (1.1).*

**Remark 5.5.** The results for the case where  $a \geq 0$ ,  $p = 2$  had been obtained in [8] and [16] for  $a = 0$ ,  $p = 2$ . But the results for the cases where  $a < 0$  or  $p \neq 2$  had not been covered there.

**Appendix**

**Proof of Theorem 3.2.** Let  $\{g_\varepsilon\}$  be a sequence of  $C^2(\bar{\Omega} \setminus \{0\})$  functions converging to  $g(\cdot, u)$  as  $\varepsilon$  goes to  $0^+$  and  $u_\varepsilon$  the solution of

$$\begin{cases} -\operatorname{div}(|x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} Du_\varepsilon) = g_\varepsilon & \text{in } \Omega, \\ u_\varepsilon = 0 & \text{on } \partial\Omega. \end{cases} \tag{A.1}$$

Then from the standard regularity results in [21],  $u_\varepsilon$  is of class  $C^3(\bar{\Omega} \setminus \{0\})$  and converges to  $u$  in  $C^{1,\alpha}(\bar{\Omega} \setminus \{0\})$ , for some  $\alpha \in (0, 1)$ . For problem (A.1), we apply the Pohozaev integral identity—Lemma 3.1 in  $\Omega_\delta = \Omega \setminus B_\delta(0)$ ,  $0 < \delta < \operatorname{dist}(0, \partial\Omega)$ , noting that  $u_\varepsilon$  may not vanish on the boundary  $\partial B_\delta(0) = \{x \in \mathbb{R}^n : |x| = \delta\}$ , or deduce directly by multiplying (A.1) by  $(Au_\varepsilon - h \cdot Du_\varepsilon)$  with  $A = (n/p) - (1 + a)$ ,  $h = x$ , we have

$$\begin{aligned} & - \int_{\Omega_\delta} \operatorname{div}(|x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} Du_\varepsilon)(Au_\varepsilon - x \cdot Du_\varepsilon) \, dx \\ & = \int_{\Omega_\delta} g_\varepsilon(Au_\varepsilon - x \cdot Du_\varepsilon) \, dx. \end{aligned} \tag{A.2}$$

Integrating by parts over  $\Omega_\delta$ , we get

$$\begin{aligned} LHS &= - \int_{\partial\Omega_\delta} |x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} (Au_\varepsilon - x \cdot Du_\varepsilon)(Du_\varepsilon \cdot \nu) \, d\sigma \\ & \quad + \int_{\Omega_\delta} |x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} Du_\varepsilon \cdot D(Au_\varepsilon - x \cdot Du_\varepsilon) \, dx \\ &= -A \int_{|x|=\delta} |x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} u_\varepsilon (Du_\varepsilon \cdot \nu) \, d\sigma \\ & \quad + \int_{\partial\Omega} |x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} |Du_\varepsilon|^2 (x \cdot \nu) \, d\sigma \\ & \quad + \int_{|x|=\delta} |x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} |Du_\varepsilon|^2 (x \cdot \nu) \, d\sigma \\ & \quad + A \int_{\Omega_\delta} |x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} |Du_\varepsilon|^2 \, dx \\ & \quad - \int_{\Omega_\delta} |x|^{-ap}(\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} Du_\varepsilon \cdot D(x \cdot Du_\varepsilon) \, dx. \end{aligned} \tag{A.3}$$



Since  $Du_\varepsilon \cdot D(x \cdot Du_\varepsilon) = |Du_\varepsilon|^2 + \frac{1}{2}(x \cdot D(|Du_\varepsilon|^2))$ , from (A.1), it follows that

$$\begin{aligned} & \int_{\Omega_\delta} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} |Du_\varepsilon|^2 \, dx \\ &= \int_{\Omega_\delta} g_\varepsilon u_\varepsilon \, dx + \int_{|x|=\delta} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} u_\varepsilon (Du_\varepsilon \cdot \nu) \, d\sigma \end{aligned} \tag{A.4}$$

and

$$\begin{aligned} & \frac{1}{2} \int_{\Omega_\delta} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} (x \cdot D(|Du_\varepsilon|^2)) \, dx \\ &= \frac{1}{p} \int_{\Omega_\delta} |x|^{-ap} x \cdot D((\varepsilon + |Du_\varepsilon|^2)^{p/2}) \, dx \\ &= \frac{1}{p} \int_{\partial\Omega} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{p/2} (x \cdot \nu) \, d\sigma \\ &+ \frac{1}{p} \int_{|x|=\delta} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{p/2} (x \cdot \nu) \, d\sigma \\ &- \frac{1}{p} (n - ap) \int_{\Omega_\delta} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{p/2} \, dx, \end{aligned} \tag{A.5}$$

where  $\nu$  is the unit outer normal vector. Substituting (A.4) and (A.5) into (A.3) implies that

$$\begin{aligned} LHS &= \int_{\partial\Omega} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} |Du_\varepsilon|^2 (x \cdot \nu) \, d\sigma \\ &+ \int_{|x|=\delta} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{(p-2)/2} |Du_\varepsilon|^2 (x \cdot \nu) \, d\sigma \\ &- \frac{1}{p} \int_{\partial\Omega} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{p/2} (x \cdot \nu) \, d\sigma \\ &- \frac{1}{p} \int_{|x|=\delta} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{p/2} (x \cdot \nu) \, d\sigma \\ &+ (A - 1) \int_{\Omega_\delta} g_\varepsilon u_\varepsilon \, dx \\ &+ \frac{1}{p} (n - ap) \int_{\Omega_\delta} |x|^{-ap} (\varepsilon + |Du_\varepsilon|^2)^{p/2} \, dx. \end{aligned} \tag{A.6}$$

On the other hand, we have

$$RHS = A \int_{\Omega_\delta} g_\varepsilon u_\varepsilon \, dx - \int_{\Omega_\delta} g_\varepsilon x \cdot Du_\varepsilon \, dx. \tag{A.7}$$

Letting  $\varepsilon \rightarrow 0^+$ , we get

$$\begin{aligned}
 LHS &= \left(1 - \frac{1}{p}\right) \int_{\partial\Omega} |x|^{-ap} |Du|^p (x \cdot \nu) \, d\sigma \\
 &\quad + \left(1 - \frac{1}{p}\right) \int_{|x|=\delta} |x|^{-ap} |Du|^p (x \cdot \nu) \, d\sigma \\
 &\quad + (A - 1) \int_{\Omega_\delta} gu \, dx + \frac{1}{p}(n - ap) \int_{\Omega_\delta} |x|^{-ap} |Du|^p \, dx
 \end{aligned} \tag{A.8}$$

and

$$\begin{aligned}
 RHS &= A \int_{\Omega_\delta} gu \, dx - \int_{\Omega_\delta} gx \cdot Du \, dx \\
 &= A \int_{\Omega_\delta} gu \, dx - \int_{\partial\Omega_\delta} G(x, u)(x \cdot \nu) \, d\sigma \\
 &\quad + \int_{\Omega_\delta} (x \cdot G_x) \, dx + n \int_{\Omega_\delta} G(x, u) \, dx.
 \end{aligned} \tag{A.9}$$

From (A.8) and (A.9), noting that  $G(x, u) = (1/q)|x|^{-bq}|u|^q + (\lambda/p)|x|^{-p(1+a)+c}|u|^p$ , it follows that

$$\begin{aligned}
 &\left(1 - \frac{1}{p}\right) \int_{\partial\Omega} |x|^{-ap} |Du|^p (x \cdot \nu) \, d\sigma + \left(1 - \frac{1}{p}\right) \int_{|x|=\delta} |x|^{-ap} |Du|^p (x \cdot \nu) \, d\sigma \\
 &\quad + \frac{1}{p}(n - ap) \int_{\Omega_\delta} |x|^{-ap} |Du|^p \, dx \\
 &= \int_{\Omega_\delta} gu \, dx - \frac{1}{q} \int_{|x|=\delta} |x|^{-bq} |u|^q (x \cdot \nu) \, d\sigma \\
 &\quad - \frac{\lambda}{p} \int_{|x|=\delta} |x|^{-p(1+a)+c} |u|^p (x \cdot \nu) \, d\sigma \\
 &\quad + \left(\frac{n}{q} - b\right) \int_{\partial\Omega} |x|^{-bq} |u|^q \, dx + \lambda \frac{n - p(1+a) + c}{p} \\
 &\quad \times \int_{\partial\Omega} |x|^{-p(1+a)+c} |u|^p \, dx.
 \end{aligned} \tag{A.10}$$

Next, we need to get rid of the boundary integrals along  $|x| = \delta$  in (A.10). In fact, let  $u$  be a solution of (1.1), from the Caffarelli–Kohn–Nirenberg inequality (1.2) or (1.4), and Theorem 2.1, we know that

$$\int_{\Omega} |x|^{-ap} |Du|^p \, dx, \quad \int_{\Omega} |x|^{-bq} |u|^q \, dx \quad \text{and} \quad \int_{\Omega} |x|^{-p(1+a)+c} |u|^p \, dx$$

are finite. Therefore, by the mean-value theorem there exists a sequence  $\{\delta_m\}$ ,  $\delta_m \rightarrow 0^+$  such that integrals

$$\int_{|x|=\delta} |x|^{-ap} |Du|^p(x \cdot v) \, d\sigma, \quad \int_{|x|=\delta} |x|^{-bq} |u|^q(x \cdot v) \, d\sigma, \\ \int_{|x|=\delta} |x|^{-p(1+a)+c} |u|^p(x \cdot v) \, d\sigma \rightarrow 0$$

as  $m \rightarrow \infty$ . Thus, letting  $m \rightarrow \infty$  and noting (A.2), we obtain (3.5) from (A.10).  $\square$

**Proof of Lemma 5.1.** (1) It is easy to see that

$$Du_\varepsilon(x) = \begin{cases} DU_\varepsilon(x) & \text{if } |x| < R, \\ U_\varepsilon(x)D\psi(x) + \psi(x)DU_\varepsilon(x) & \text{if } R \leq |x| < 2R \\ 0 & \text{if } |x| \geq 2R \end{cases} \\ = \begin{cases} -\frac{n-p-ap}{p-1} & \\ \frac{x}{(\varepsilon+|x|)^{dp(n-p-pa)/(p-1)(n-dp)} \psi^{n/dp} |x|^{2-(dp(n-p-ap)/(p-1)(n-dp))}} & \text{if } |x| < R, \\ U_\varepsilon(x)D\psi(x) + \psi(x)DU_\varepsilon(x) & \text{if } R \leq |x| < 2R \\ 0 & \text{if } |x| \geq 2R, \end{cases}$$

$$\int_\Omega \frac{|Du_\varepsilon|^p}{|x|^{ap}} \, dx = O(1) + \int_{|x|<R} \frac{|DU_\varepsilon|^p}{|x|^{ap}} \, dx \\ = O(1) + \int_{\mathbb{R}^n} \frac{|DU_\varepsilon|^p}{|x|^{ap}} \, dx \\ = O(1) + S_R(a, b)^{\frac{q}{q-p}} k(\varepsilon)^{-p}$$

and

$$\int_\Omega \frac{|u_\varepsilon|^q}{|x|^{bq}} \, dx = O(1) + S_R(a, b)^{q/(q-p)} k(\varepsilon)^{-q}.$$

Thus, it follows that

$$\|Dv_\varepsilon\|_{L^p(\Omega, |x|^{-ap})}^p = \frac{\|Du_\varepsilon\|_{L^p(\Omega, |x|^{-ap})}^p}{\|u_\varepsilon\|_{L^q(\Omega, |x|^{-bq})}^p} \\ = \frac{O(1) + S_R(a, b)^{q/(q-p)} k(\varepsilon)^{-p}}{O(1) + S_R(a, b)^{p/(q-p)} k(\varepsilon)^{-p}} \\ = S_R(a, b) + O(k(\varepsilon)^p) = S_R(a, b) + O(\varepsilon^{(n-dp)/d}).$$

(2) A direct computation shows that

$$\begin{aligned}
 & \int_{\Omega} \frac{|Du_{\varepsilon}|^{\alpha}}{|x|^{ap}} \, dx \\
 &= O(1) + \int_{|x|<R} \frac{|DU_{\varepsilon}|^{\alpha}}{|x|^{ap}} \, dx \\
 &= O(1) + \int_{|x|<R} \left( \frac{n-p-ap}{p-1} \right)^{\alpha} \\
 &\quad \times \frac{|x|^{\alpha-ap}}{(\varepsilon + |x|^{dp(n-p-pa)/(p-1)(n-dp)})^{\alpha n/dp} |x|^{\alpha(2-(dp(n-p-ap)/(p-1)(n-dp)))}} \, dx \\
 &= O(1) + \omega_n \int_0^R \left( \frac{n-p-ap}{p-1} \right)^{\alpha} \\
 &\quad \times \frac{r^{\alpha-ap+n-1-\alpha(2-(dp(n-p-pa)/(p-1)(n-dp)))}}{(\varepsilon + r^{dp(n-p-pa)/(p-1)(n-dp)})^{\alpha n/dp}} \, dr \\
 &\leq O(1) + \omega_n \left( \frac{n-p-ap}{p-1} \right)^{\alpha} \\
 &\quad \times \int_0^R r^{\alpha-ap+n-1-\alpha(2-(dp(n-p-pa)/(p-1)(n-dp)))-(\alpha(n-p-ap)/(p-1)(n-dp))} \, dr
 \end{aligned}$$

and the order of  $r$  in the integrand is

$$\begin{aligned}
 & \alpha - ap + n - 1 - \alpha \left( 2 - \frac{dp(n-p-pa)}{(p-1)(n-dp)} \right) - \frac{\alpha(n-p-ap)}{(p-1)(n-dp)} \\
 &= \frac{np - n + \alpha - \alpha n - ap^2 + ap + \alpha ap}{p-1} - 1 > -1
 \end{aligned}$$

for  $\alpha = 1, 2, p - 2, p - 1$ . Thus

$$\int_{\Omega} \frac{|Du_{\varepsilon}|^{\alpha}}{|x|^{ap}} \, dx = O(1)$$

and

$$\begin{aligned}
 \|Dv_{\varepsilon}\|_{L^{\alpha}(\Omega, |x|^{-ap})}^{\alpha} &= \frac{\|Du_{\varepsilon}\|_{L^{\alpha}(\Omega, |x|^{-ap})}^{\alpha}}{\|u_{\varepsilon}\|_{L^q(\Omega, |x|^{-bq})}^{\alpha}} \\
 &= \frac{O(1)}{O(1) + S_R(a, b)^{\alpha/(q-p)} k(\varepsilon)^{-\alpha}} \\
 &= O(k(\varepsilon)^{\alpha}) = O(\varepsilon^{\alpha(n-dp)/dp}).
 \end{aligned}$$

(3) If  $c = (n - p - ap)/(p - 1)$ , then we have

$$\begin{aligned} & \int_{\Omega} |x|^{-(a+1)p+c} |u_{\varepsilon}|^p \, dx \\ &= O(1) + \int_{|x|<R} \frac{1}{(\varepsilon + |x|^{dp(n-p-pa)/(p-1)(n-dp)})^{(n-dp)/d} |x|^{(a+1)p-c}} \, dx \\ &= O(1) + \omega_n \int_0^R \frac{r^{n-1-(a+1)p+c}}{(\varepsilon + r^{dp(n-p-pa)/(p-1)(n-dp)})^{(n-dp)/d}} \, dr \\ &= O(1) + \omega_n \int_0^{R\varepsilon^{-(p-1)(n-dp)/dp(n-p-pa)}} \frac{r^{n-1-(a+1)p+c}}{(1 + r^{dp(n-p-pa)/(p-1)(n-dp)})^{(n-dp)/d}} \, dr \\ &\leq O(1) + \omega_n \int_0^{R\varepsilon^{-(p-1)(n-dp)/dp(n-p-pa)}} \frac{1}{r} \, dr \\ &= O(1) + O(|\log \varepsilon|). \end{aligned}$$

Then it follows that

$$\begin{aligned} \|v_{\varepsilon}\|_{L^p(\Omega, |x|^{-(a+1)p+c})}^p &= \frac{\|u_{\varepsilon}\|_{L^p(\Omega, |x|^{-(a+1)p+c})}^p}{\|u_{\varepsilon}\|_{L^q(\Omega, |x|^{-bq})}^p} \\ &= \frac{O(1) + O(|\log \varepsilon|)}{O(1) + S_R(a, b)^{p/(q-p)} k(\varepsilon)^{-p}} \\ &= O(k(\varepsilon)^p |\log \varepsilon|) = O(\varepsilon^{(n-dp)/d} |\log \varepsilon|). \end{aligned}$$

If  $c > (n - p - ap)/(p - 1)$ , then we have

$$\begin{aligned} & \int_{\Omega} |x|^{-(a+1)p+c} |u_{\varepsilon}|^p \, dx \\ &= O(1) + \int_{|x|<R} \frac{1}{(\varepsilon + |x|^{dp(n-p-pa)/(p-1)(n-dp)})^{(n-dp)/d} |x|^{(a+1)p-c}} \, dx \\ &= O(1) + \omega_n \int_0^R \frac{r^{n-1-(a+1)p+c}}{(\varepsilon + r^{dp(n-p-pa)/(p-1)(n-dp)})^{(n-dp)/d}} \, dr \\ &\leq O(1) + \omega_n \int_0^R r^{n-1-(a+1)p+c-(p(n-p-ap))/(p-1)} \, dr \\ &= O(1), \end{aligned}$$

the last equality is due to that  $n - 1 - (a + 1)p + c - p(n - p - ap)/(p - 1) > -1$  if  $c > (n - p - ap)/(p - 1)$ . Thus it follows that

$$\begin{aligned} \|v_{\varepsilon}\|_{L^p(\Omega, |x|^{-(a+1)p+c})}^p &= \frac{\|u_{\varepsilon}\|_{L^p(\Omega, |x|^{-(a+1)p+c})}^p}{\|u_{\varepsilon}\|_{L^q(\Omega, |x|^{-bq})}^p} \\ &= \frac{O(1)}{O(1) + S_R(a, b)^{p/(q-p)} k(\varepsilon)^{-p}} \\ &= O(k(\varepsilon)^p) = O(\varepsilon^{(n-dp)/d}). \end{aligned}$$

If  $c < (n - p - ap)/(p - 1)$ , then  $-(n - dp)/d + (n - (a + 1)p + c)(p - 1)(n - dp)/dp(n - p - ap) < 0$  and  $n - 1 - (a + 1)p + c - p(n - p - ap)/(p - 1) < -1$ , we have

$$\begin{aligned} & \int_{\Omega} |x|^{-(a+1)p+c} |u_{\varepsilon}|^p \, dx \\ &= O(1) + \int_{|x|<R} \frac{1}{(\varepsilon + |x|^{dp(n-p-pa)/(p-1)(n-dp)})^{(n-dp)/d} |x|^{(a+1)p-c}} \, dx \\ &= O(1) + \omega_n \varepsilon^{-(n-dp)/d+(n-(a+1)p+c)((p-1)(n-dp)/dp(n-p-ap))} \\ & \quad \times \int_1^{\infty} \frac{r^{n-1-(a+1)p+c}}{(1 + r^{dp(n-p-pa)/(p-1)(n-dp)})^{(n-dp)/d}} \, dr \\ &= O(\varepsilon^{-(n-dp)/d+(n-(a+1)p+c)((p-1)(n-dp)/dp(n-p-ap))}) \end{aligned}$$

and

$$\begin{aligned} \|v_{\varepsilon}\|_{L^p(\Omega, |x|^{-(a+1)p+c})}^p &= \frac{\|u_{\varepsilon}\|_{L^p(\Omega, |x|^{-(a+1)p+c})}^p}{\|u_{\varepsilon}\|_{L^q(\Omega, |x|^{-bq})}^p} \\ &= \frac{O(\varepsilon^{-(n-dp)/d+(n-(a+1)p+c)(p-1)(n-dp)/dp(n-p-ap)})}{O(1) + S_R(a, b)^{p/(q-p)} k(\varepsilon)^{-p}} \\ &= O(\varepsilon^{(p-1)(n-dp)(n-(a+1)p+c)/dp(n-p-ap)}). \quad \square \end{aligned}$$

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