

# The Southern Ocean supergyre: a unifying dynamical framework identified by machine learning

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# The Southern Ocean supergyre: a unifying dynamical framework identified by machine learning

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1 The Southern Ocean closes the global overturning circulation and is key to  
2 the regulation of carbon and heat, biological production, and sea level. How-  
3 ever, the dynamics of the general circulation and upwelling pathways remain  
4 poorly understood. Here, a unifying framework is proposed invoking a semi-  
5 circumpolar ‘supergyre’ south of the Antarctic circumpolar current: a massive  
6 series of ‘leaking’ sub-gyres spanning the Weddell and Ross seas that are con-  
7 nected and maintained via rough topography that acts as scaffolding. The

## 2 *The Southern Ocean supergyre*

8 supergyre framework challenges the conventional view of having separate cir-  
9 culation structures in the Weddell and Ross seas and suggests a limited utility  
10 for climate applications of idealized models and conventional zonal averaged  
11 frameworks. Machine learning was used to reveal areas of coherent driving  
12 forces within a vorticity-based analysis. Predictions from the supergyre frame-  
13 work are supported by available observations and could aid observational and  
14 modelling efforts of the climatically key region undergoing rapid change.

## 15 **Introduction**

16 The ocean is a central component of the climate system, for example, storing  
17 over 90% of the anthropogenically introduced heat from 1971 to 2010 [1]. The  
18 large-scale circulation is key to such storage, transporting waters meridionally  
19 (north-south). The Southern Ocean, encircling the Antarctic continent is a  
20 key component of the component of the global scale meridional circulation:  
21 warm surface waters are made dense and sink at high northern latitudes, and  
22 the global loop is closed via upwelling which largely happens in the Southern  
23 Ocean. The heat release by upwelled waters along the coast of Antarctica  
24 impacts important processes, such as, melt rates of land based ice which  
25 is a key tipping point in the Earth system associated with drastic impacts  
26 on sea level [2, 3]. Despite its climatically key role, many open questions  
27 remain regarding how the circulation in the Southern Ocean is realised and  
28 maintained, and substantial model bias persists [4]. Even large-scale currents  
29 such as the Antarctic Circumpolar Current (ACC) and the upwelling key to

30 modulating climate remain poorly understood, and the relatively few obser-  
31 vational estimates exist of sufficient length and time scales. Focusing on the  
32 large-scale dynamics of the Southern Ocean leading to upwelling, the present  
33 work elucidates key driving features that could aid both model development  
34 and observational strategies. captured, and the present highlights key driving  
35 forces that could focus development.

36  
37 The Southern Ocean subpolar gyres, regions of large-scale resirculation,  
38 are instrumental in the upwelling of warm waters, and thus in the modulation  
39 of the overturning circulation [5–7]. Gyre circulation is implicated in bringing  
40 warmer waters associated with the ACC towards the Antarctic coast where  
41 dense waters are formed. Despite the key role of gyre circulation, many open  
42 questions remain about how it is realised an supported, and in turn our abil-  
43 ity to predict how the Southern Ocean and overturning overall will change in  
44 a warmer world is unclear. Conventionally, gyre circulation in the Southern  
45 Ocean is discussed referring to two separate entities: the Weddell and Ross  
46 gyre systems [8] in the Atlantic and Pacific sectors respectively (Fig. 1a).  
47 However, open questions remain about even basic features specific to the gyre  
48 circulation, such as, what determines the Eastern end of the gyre, and what  
49 role topography plays in maintaining the circulation. This has serious impli-  
50 cations for monitoring strategies and understanding the spatial heterogeneity  
51 of observed changes [9–11].



4 *The Southern Ocean supergyre*

Two obstacles remain central to understanding gyre circulation in the Southern Ocean. First, the observational data from the south of the ACC and approaching the Antarctic continent are limited both in temporal and spatial coverage. The problem of lacking data is discussed further below. Second, existing numerical modelling and theoretical work seeking to understand fundamental dynamics have been restricted to idealised efforts that do not capture realistic subpolar gyres. The theoretical framework preferentially used leans heavily on a zonally averaged two-dimensional rendition of the Southern Ocean circulation, understanding the overturning as a residual between the wind driving steepening of isopycnals (lines of equal density), and the opposing eddy-circulation that acts to flatten isopycnals [12]. While useful, the two-dimensional view neglects a number of potentially key features of the observed circulation, including the impact of zonal asymmetries and large-scale gyre circulation. Gyre circulation could be described as a standing meander or a very large eddies, but the dynamics that give rise to gyre circulation is complex, advecting tracers over large distances and impact the meridional stratification in a zonally inhomogeneous manner [13, 14], rather than just locally flatten isopycnals. While the impact of gyre circulation on the ACC has been studied in [15] and in [5] looking at ridge geometry, only [7] moved closer to a ‘realistic’ geometry adding a zonal ridge. All these works rely on idealised and simplified channel models. The two-dimensional exploration of the circulation persists despite the evident zonal heterogeneity in warming seen over recent decades [9–11]. Elucidating the dynamical drivers

76 in a realistic framework is the focus of the present work, where a vorticity  
77 based exploration guided by machine learning is used to explore the driving  
78 forces of the circulation through a gyre-circulation focused lens.

79

80 One reason why a simplified theoretical framework has prevailed when  
81 investigating the Southern Ocean circulation is the overwhelming complexity  
82 of the circulation patterns in the region. Recently, machine learning methods  
83 are being used to fuel progress within prediction, numerical modeling and  
84 beyond, speeding up and refining existing tasks (see review in [16, 17]). Here,  
85 the novel machine learning framework from Sonnewald *et al.* (2019) is used  
86 *forge new knowledge*, specifically also avoiding a ‘black box’ approach.

87

88 Here, a new semi-circumpolar supergyre framework is proposed, emerging  
89 south of the ACC from a combination of several dynamical regimes, as a  
90 continuously connected series of ‘sub-gyres’ that encompass the circulation  
91 in the Weddell and Ross Sea in a ‘leaky’ recirculation. The supergyre frame-  
92 work is proposed as a unifying framework for understanding and monitoring  
93 Southern Ocean upwelling, as a semi-circumpolar structure. It could be used  
94 to elucidate the composition and complex pathways of the Circumpolar Deep  
95 Waters (CDW) that are upwelled within the gyre circulation. Limited existing  
96 observations show support for the proposed framework by confirming a series  
97 of sub-gyres, including in the Indian Ocean sector of the Southern Ocean  
98 [13, 14, 18–23]. Guided by clustering based machine learning, this insight

99 could refine model representations and guide observational strategies. In  
100 addition, this work illustrated how machine learning can be used to gain new  
101 knowledge and lead to advances in theoretical understanding, complementing  
102 insight gained from conventional idealised approaches. The remainder of the  
103 introduction is structured as follows: first we introduce the barotropic vor-  
104 ticity framework which provides the core foundation of our approach, before  
105 discussing the importance of defining gyre boundaries. We then introduce the  
106 Southern Ocean circulation and the meridional overturning circulation before  
107 lastly introducing the concept of machine learning.

108

## 109 **Understanding wind gyre circulation using a barotropic** 110 **vorticity framework**

111 While the circulation in the Southern Ocean is often investigated using a two-  
112 dimensional, zonally averaged framework, we here use a framework that lends  
113 itself to investigating gyre circulation and revealing the source of zonal asym-  
114 metries: the barotropic vorticity framework. Note that the data used is from  
115 a full complexity realistic ocean state estimate (described below). Wind gyres  
116 are classically understood using a friction-framework in a subtropical North-  
117 ern Hemisphere context. A classical wind gyre is seen as an area of clockwise  
118 circulation that emerges from a balance between a negative wind stress curl  
119 and advection by positive planetary vorticity, bounded by continents on either  
120 side. In the Southern Ocean, features, such as, the continental boundaries  
121 are lacking. Here, two aspects of gyre circulation in the Southern Ocean are

122 discussed in a realistic model setup. First, the spatial role of topography in  
 123 the absence of continental scale boundaries. Second, the lack of a concrete  
 124 eastern boundary and its implications for the zonal extent of the circulation  
 125 and resultant impact on the location of upwelling. Overall, the present paper  
 126 suggests that a framework centered on the spatial extent of the initiation of  
 127 gyre-like circulation as given by topography can lead to skillful predictions.  
 128 The unifying theory was arrived at using machine learning based objective  
 129 regime discovery that can highlight where coherent physical drivers are acting  
 130 [24, 25]. While the regimes in Sonnewald et al. (2019) are global, the focus  
 131 here is on the dynamical regimes present in the Southern Ocean (Fig. 1).

132

133 Describing how meridional flows develop, early works [26–28] recast the  
 134 intractably complicated full equations by taking the curl of the depth-  
 135 integrated momentum equations, arriving at the barotropic vorticity (BV)  
 136 equation (See Supplementary A for a summary of Sonnewald et al., 2019). The  
 137 steady BV balance under incompressibility is expressed as:

$$\beta V = \nabla \times (p_b \nabla H) + \nabla \times \tau + \nabla \times \mathbf{A} + \nabla \times \mathbf{B}, \quad (1)$$

138 where  $\beta = \partial f / \partial y$  is the northward derivative of the Coriolis parameter ( $f$ ),  
 139  $V = \int \rho v dz$  is the depth-integrated northward mass transport from density  
 140  $\rho$  and meridional velocity  $v$ ,  $\nabla$  is the horizontal gradient operator,  $p_b$  is the  
 141 pressure at the bottom,  $H = h + \eta$  is the bottom depth.  $H$  is the water column  
 142 thickness, where  $h$  is the distance from the resting ocean surface to the bottom

143 topography and  $\eta$  the sea surface height (SSH) anomaly. The external stress  
 144 produced by wind and bottom friction is denoted  $\tau$ , and  $\mathbf{A}$  and  $\mathbf{B}$  the depth  
 145 integrals of the nonlinear and the horizontal viscous terms, respectively [29].

146  
 147 There is a long history of using the BV equation to describe gyre circula-  
 148 tion that has been extended to the Southern Ocean [30]. In early seminal work  
 149 using flat-bottom domains [26–28], a description of gyre circulation as friction  
 150 dominated emerged. Later works began to stress the impact of bathymetry  
 151 [31, 32]. Recent work emphasizes the balance between the wind stress and  
 152 the bottom pressure torques (BPT) as potential key drivers of meridional  
 153 transport and gyre circulation [29, 33–35]. The first term on the right hand  
 154 side of Eq. 1 is the bottom pressure torques ( $\nabla \times (p_b \nabla H)$ ), which represents  
 155 the direct effect of topography on the flow through  $\nabla H$ . The bottom pressure  
 156 torques is important for flow crossing  $f/H$  contours, as seen both in obser-  
 157 vations [36] and identified using unsupervised machine learning on numerical  
 158 model output [24]. Next, the usefulness of machine learning is demonstrated  
 159 for investigating the various roles of the terms in the BV equation in the  
 160 Southern Ocean.

161

## 162 **The importance of determining the gyre boundaries**

163 A central inference from studying the wind gyres in the subtropical North-  
 164 ern Hemisphere is that gyre formation requires a sink of vorticity that is,  
 165 for example, found in the continental boundaries. In the BV equations, the

166 non-linear terms provide a sink of vorticity. Areas where the vorticity input  
167 by the wind is negative and locally balanced by the positive advective com-  
168 ponent are referred to as in ‘Sverdrup balance’ [26, 37], which is synonymous  
169 with wind gyres and meridional flow (subtropical North Atlantic example in  
170 Supplementary B). The strength of the gyre is set by the wind stress curl,  
171 rather than the absolute magnitude [38–41].

172

173 How the boundaries of the gyre are defined and determined impact  
174 conclusions of how driving forces interact, as also stressed by Stewart *et*  
175 *al.*, (2021). The presence of continents forming longitudinal barriers in the  
176 subtropical Northern Hemisphere represents the overall constraint, and this  
177 can be expressed by assessing where geostrophic contours ( $f/H$ ) are blocked.  
178 Conservation of potential vorticity results in flow being aligned with the  
179 geostrophic contours. In the subtropical North Atlantic, this results in a well-  
180 defined Gulf Stream in the west, along with a well defined but weaker return  
181 flow to the east marking the eastern edge. Without such blocked geostrophic  
182 contours, the flow would be largely zonal to conserve potential vorticity. For  
183 gyre circulation to arise, a sink of vorticity must be present that nominally is  
184 recognised as being given by closed geostrophic contours.

185

186 Understanding how the flow is realized within the constraint of conserving  
187 potential vorticity is very sensitive to the area chosen for study. Defining the  
188 areal extent of a gyre therefore plays an important role in making general

189 statements about the role of driving forces. As first expressed by Holland  
190 (1972) using an idealized numerical model, baroclinic flow over variable-  
191 depth topography establishes a dominant area-integrated barotropic vorticity  
192 balance between the wind stress curl and the bottom pressure torque. This  
193 topographically-dominated gyre regime is in contrast to the frictionally-  
194 dominated gyre regime [27, 38]. Here, this point is made to highlight the  
195 importance of the area considered when making conjectures. More recently,  
196 [29] integrated latitudinally over 3° bands, and found that the wind stress  
197 approximately balanced the topographic form stress in the zonal momentum  
198 balance, which is effectively the bottom pressure torque. However, [35] noted  
199 that a bottom frictional term was needed for the formation of recirculating  
200 flows that cross the mean potential vorticity gradient along the western  
201 boundary. Overall, a key insight gained through this vein of research is an  
202 intuition that the bottom pressure torque should integrate to zero following  
203 isobaths [29, 35, 42]. [43] illustrates that the bottom pressure torque balances  
204 the wind stress curl for a specifically selected barotropic streamfunction con-  
205 tour. In contrast, [42] discusses how it is not intuitive that integrating around  
206 streamlines in a gyre should yield a dominant balance between wind stress  
207 curl and bottom pressure torque, and what combination is required to transi-  
208 tion from frictionally-dominated gyre regimes to topographically-dominated  
209 gyre regimes. Overall, needing to hand-select a barotropic streamfunction  
210 contour specifically to achieve a balance between the bottom pressure torque  
211 and the wind stress curl may not be the most practical definition of a wind

212 gyre and may skew the interpretation of associated dynamics that allow the  
213 circulation to emerge. More widely in literature, assessing properties and  
214 governing dynamics of how the driving forces balance, definitions can vary  
215 greatly ranging from simple boxes to barotropic streamlines [36, 43, 44]. For  
216 practical and climate-relevant purposes, the notion of how the areas of distinct  
217 drivers are recognized becomes very interesting in terms of understanding  
218 the dynamical balances in the Southern Ocean, through their impact on the  
219 global overturning and Earth’s climate.

220

221 Studying and determining gyre boundaries is also done using purely  
222 hydrographic and lagrangian approaches. Re-evaluating the concept of gyres  
223 in the subtropics of the Southern Hemisphere led to determining the presence  
224 of a supergyre in the subtropics [45–47]. In the subtropical Southern Hemi-  
225 sphere, the term supergyre is used to refer to the interconnected nature of the  
226 gyres found in the subtropics of the Indian, Pacific and Atlantic basins, that  
227 are connected through ‘leakages’ in the Tasman and Agulhas regions. The  
228 ‘leakage’ between basins is possible due to the lack of land masses blocking  
229 the throughflow, and the impact of this circulation implies that changes in  
230 the supergyre in the subtropics can impact the AMOC through changing the  
231 watermass properties of its upper limb [45]. The subpolar gyre circulation in  
232 the Southern Hemisphere is the topic of the present paper, and we retain the  
233 terminology of [45–47]. However, while there is considerable leakage between  
234 the individual circulation structures in the Southern Ocean, the dynamical



235 drivers are role in the overturning circulation are likely quite different between  
236 the subtropical and subpolar supergyres.

237

238 To summarise, it is neither practical nor objective to, for example, hand-  
239 select a barotropic streamfunction contour specifically to achieve a balance  
240 between the bottom pressure torque and the wind stress curl. Beyond not  
241 being very practical, more subjective approaches to defining a wind gyre and  
242 may skew the interpretation of associated dynamics that allow the circula-  
243 tion to emerge. Here, objective regime discovery is used to draw boundaries  
244 between dominant driving forces as dictated directly by areas of unique  
245 balances between drivers, rather than inferred, for example, from barotropic  
246 streamlines. Described in Sonnewald *et al.* (2019) and Supplementary A,  
247 the regions and boundaries are set by determining statistically significant  
248 areas within the co-variance space given by the terms in the BV equation,  
249 not taking geographical location into account. Supplementary B presents an  
250 example for building intuition in the conventional setting of the subtropical  
251 North Atlantic. By using the dynamical regime approach, we identify the  
252 dominating driving forces and their associated spatial distribution, and how  
253 these different areas interact.

254

## 255 **Gyre circulation in the context of the Southern Ocean**

256 The distinguishing feature of gyre circulation in the Southern Ocean is that  
257 there are no continental boundaries to the East and West. The BV framework

is, however, still useful through the transfer of momentum via topographic interactions [30]. Thus, to explain the gyre dynamics in the Southern Ocean, where no continents act to block geostrophic contours, the ACC interactions with bathymetry are invoked as a central feature. Definitions of the ACC and its fronts vary [48], but it is seen as a highly energetic feature with an estimated total volume transport of  $173.3 \pm 10.7$  Sv ( $1 \text{ Sv} \equiv 10^6 \text{ m}^3 \text{ s}^{-1}$ , Donohue et al., 2016). Here, the ACC is defined as a circulation feature with strong Eastwards currents and areas of marked increases in the nonlinear dissipation of BV where it impacts bathymetric obstacles. The ACC is steered by bathymetry with a pressure gradient across bathymetric features ([6], among others, Fig. 3). Sea surface height is elevated downstream of topographic obstacles within the ACC, inducing lateral alongstream pressure gradients. The buildup of pressure forces the flow northward to conserve potential vorticity. This process is referred to as ‘topographic steering’, and through generating form stress, it generates a sink of vorticity (Fig. 3). The question posed here, is how to understand the different components of the resultant gyre-like flow to the south of the ACC and its impact on the overturning. To summarise, the vorticity sink arising from the ACC interacting with topographic obstacles can be understood as a ‘dynamic western boundary’ [30]. The vorticity sink that describes wind gyres in general, in the case of the Southern Ocean, is split into localised regions as a result of rough topography, which is spread zonally across the Southern Ocean, and should therefore be

280 considered as a complex single entity.

281

282 An important distinction between the gyre circulation in the subtropical  
283 Northern Hemisphere and that in the Southern Ocean is the eastern gyre  
284 boundary, particularly in the subpolar region. In the subtropical Northern  
285 Hemisphere, the eastern edge of the gyre is resolved through a well-defined  
286 boundary current. In the Southern Ocean, no such continuous eastern current  
287 is apparent either in observations or noted in model studies to the authors'  
288 knowledge. In practical terms, the extent, strength and location of a gyre is  
289 determined using barotropic stream lines [5, 49], geopotential height anoma-  
290 lies or sea level [8, 50, 51]. Alternatively expert knowledge is invoked or used  
291 to supplement interpretation for its extent [8, 52, 53]. Since results of any  
292 regional model are highly sensitive to chosen boundaries, the lack of a distinct  
293 eastern boundary is problematic.

294

295 In the Southern Ocean, the two gyres commonly discussed in literature are  
296 in the Weddell and the Ross Sea, bounded by the ACC to the North [8]. Seen  
297 as separate entities, the dynamics that govern the circulation in thr Weddell  
298 and Ross seas are assumed to be very different [7]. The area between the Ross  
299 and Weddell Sea was seen as having a weak and sluggish westwards flow,  
300 until snapshots provided by hydrographic exploration, notably the World  
301 Ocean Circulation Hydrographic Program, revealed a much more complex  
302 circulation structure [22, 23, 54, 55]. The circulation in the Weddell Sea is the

303 best studied in the Southern Ocean, reviewed in [56]. As described by [57], the  
304 Eastern boundary of the gyre-like circulation in Weddell Sea lacks a defined  
305 feature in topography and circulation, but is nominally set to 30°E, 70°E or  
306 further Eastwards [55, 58, 59]. While the global relevance of the Weddell Sea  
307 circulation is appreciated, its functioning and properties remain overall poorly  
308 constrained although it is clear that its properties are changing [56, 60–62].  
309 Using hydrographic snapshots, [63] described the circulation in the Ross Sea,  
310 where the eastern boundary was determined to be controlled by the south-  
311 ward extension of the ACC core flowing through the Udintsev Fracture Zone.  
312 Gaining an appreciation of the Ross Sea circulation’s large-scale variability,  
313 [51] used satellite altimetry to determine several modes of variability, and [64]  
314 highlighted how the overall region is seeing a long-term freshening. Satellite  
315 and Argo measurements are increasingly able to give a less static apprecia-  
316 tion for variability in the Southern Ocean region, [13, 19, 65]. As one of the  
317 most remote areas on Earth, data acquisition is exceedingly difficult in the  
318 Southern Ocean [66], particularly in winter where accurate observations of  
319 the wind stress curl and current interactions would be particularly insightful.  
320 In effect, there is a lack of observations from one of the key regions shaping  
321 our climate, calling for an efficient use of resources, data, and research to  
322 advance knowledge. As demonstrated in the present work, machine learning  
323 is well placed to assist by determining where key dynamics are taking place  
324 and how they are dynamically connected.

**The meridional overturning contribution**

The idealised studies reviewed above largely do not take zonal variability into account with important implications for how applicable resultant conclusions regarding the overturning circulation are to the real ocean. The lack of zonal variability means that the deep isopycnals that upwell in the Southern Ocean, fuelling the overturning, are assumed to have a similar slope and subsequently exposed to similar surface forcing [12, 67–69]. This ignores the impact of gyre circulation, meanders of the ACC and the associated bathymetric variability. Recently, [70] highlighted further challenges using idealised channel-model frameworks, in that both topography and a residual overturning are necessary to understand even the basic role of wind forcing in the Southern Ocean.

The Southern Ocean circulation is implicated in the upwelling of deeper waters and gyres are particularly key for the doming of isopycnals. Previous work has noted the existence of a spiral structure in the Southern Ocean upwelling encircling the Antarctic continent [71, 72], and connected to topographic ‘hotspots’ [73]. Of note is that these are not confined to the narrow band directly to the south of the ACC meander as idealised studies could imply. The role of gyre circulation in the context of upwelling happening over a larger area remains unexplored. Note that within [71–73] the characterized processes are not confined to the traditional gyre regions of the Weddell and Ross seas, suggesting that more complex physical drivers are involved.

349 With a changing climate, models and future projections largely agree that  
350 the wind forcing in the Southern Ocean will increase [74]. Increased wind  
351 forcing is not expected to increase the volume transport of the ACC, which  
352 is limited by eddy saturation, but it is expected to intensify the overturning  
353 circulation [52, 74–76]. Where this intensification takes place will be dictated  
354 by where a bottom pressure torque dominated gyre circulation is present.  
355 It follows that understanding zonal heterogeneities are central to skillful  
356 projections. Using a breakdown by area of the gyres informed by objective  
357 determination of dynamical regimes, the distinct regions to focus on can be  
358 identified. With this insight, the associated impacts on, for example, melting  
359 through warm upwelled waters impacting continental ice shelves and the  
360 carbon budget can be estimated and more efficiently monitored.

361

## 362 **Insight guided by machine learning**

363 Understanding the interplay of the governing forces of ocean circulation, dis-  
364 covering in what areas certain driving forces are more prominent than others  
365 can focus work and accelerate insight, for example, as illustrated through the  
366 problematic nature of arbitrarily choosing gyre boundaries. Within physical  
367 systems ranging from the ocean and climate, through to general relativity  
368 and medicine, identifying subregimes in driving forces have driven progress by  
369 guiding intuition [25]. Unsupervised machine learning is well suited to finding  
370 subregimes, and can act objectively without human bias. The machine learn-  
371 ing algorithm applied here is designed to be *interpretable*, which we define as

372 the ability to trace exactly why the machine learning made its suggestions.  
373 Described in detail in [24, 77], the regime identification here was tailored  
374 to geoscientific application and in essence can be understood as identifying  
375 regions of statistically significant co-variance between equation terms, per-  
376 forming an empirical leading order analysis.

377

378 Here, the distinctions between the gyre-like circulations in the Southern  
379 Ocean are characterized as they are revealed using the dynamical regime  
380 method to highlight their areal boundaries. Then the Southern Ocean gyre  
381 circulation is discussed in more detail, specifically in the context of the dis-  
382 tinctions between a friction and a bottom pressure torque dominated flow.  
383 The importance of defining the area selected objectively is highlighted. The  
384 specific circulation that the dynamical regimes highlight are discussed in  
385 terms of westward flow. The paper then illustrates how the dynamical regimes  
386 highlight where the contributions to the meridional overturning are found in  
387 terms of the frictional and bottom pressure torque dominated regions.

388 The present work highlights that not recognizing the important role played by  
389 the zonal extent of rough topography in the Southern Ocean, that stretches  
390 between the Weddell and Ross Sea, can lead to an underestimation of South-  
391 ern Ocean overturning through neglecting key components. This implies that  
392 predictions of how and where upwelling may change in the future could be  
393 inaccurate. The conventional two-gyre view also presents a misinterpretation  
394 of the overall gyre-like circulation, where the circulation in the Weddell and

395 Ross Sea's are components of a system of connected sub-gyres. The presented  
396 results constitute an advance in the theoretical understanding of the gyre-like  
397 circulation and its role in the climate system, specifically also through recog-  
398 nizing the important confluence of different drivers of the circulation and their  
399 role in the overall semi-circumpolar circulation system. The quasi-circumpolar  
400 nature of the supergyre has implications for establishing observational plat-  
401 forms with a focus on upwelling, as these could be guided by the location of  
402 the sources of dissipation.

403

## 404 **Results**

405 The present paper presents the results of a machine learning assisted empirical  
406 leading order analysis performed on the global ECCOv4 state estimate. In the  
407 past, much progress was made analysing the equations describing ocean flow  
408 by linearization and simulation. However, the presence of nonlinearities have  
409 hindered, and even stalled, progress. Here, a clustering based machine learning  
410 methodology presented in Sonnewald et al. (2019) is used to guide hypothesis  
411 construction through an empirical leading order analysis of a closed set of  
412 equations as the output of the ECCOv4 global state estimate.

### 413 **Global state estimate**

414 The data used for the present analysis is from the ECCOv4 [78] global state  
415 estimate [79]. ECCOv4 has a nominal 1° resolution. The state estimate com-  
416 bines available data with a free running model, and is thus well suited for



417 this study aiming to conceptually bridge the gap between the idealized and  
418 realistic numerical modeling studies. A least-squares with Lagrange multipli-  
419 ers approach is used to obtain observationally adjusted initial and boundary  
420 conditions as well as arrive at internal model parameters. This allows a  
421 free-running version of the MIT General Circulation Model (MITgcm, [80])  
422 that has been optimized to follow observations. Adjoint methods are used to  
423 create the state estimate. Using the adjoint allows both the optimization to  
424 data, but also the closure of the momentum budget. The closeness to data is  
425 a particular benefit of using the state estimate, as other products may use  
426 ‘nudging’ terms to bring models closer to observations, which would not allow  
427 a sufficient closure of the momentum budget. This budget closure is seen as an  
428 important component of the success of the dynamical regime identification.

429

430 The global ECCOv4 model is preferred to a regional model, as this repre-  
431 sents the global co-variance space given by the ocean dynamical drivers in a  
432 more consistent manner. This is because the unbalanced nature of the dataset,  
433 where those regions in co-variance space that do not account for a large  
434 percentage of the overall data, have more opportunity to be recognized as a  
435 coherent pattern rather than being classified as noise. The same dynamics are  
436 expected to be reflected in a global or regional model, but misclassification  
437 could be more prevalent as the less spatially dominant regimes could conflate  
438 the robust isolation of regimes, rendering the statistical model less significant.

439 Work is ongoing on a higher resolution global dynamical regime identification

440 effort, but is outside the scope of the present paper.

441

## 442 **Identifying dynamical regimes: Interpretable machine** 443 **learning**

444 The question of understanding important distinction in the governing dynam-  
445 ics in the Southern Ocean is made difficult by the myriad of interacting driving  
446 forces. Conventionally, a hypothesis of dominant balances could be proposed  
447 and tested following expert intuition alone. Here, interpretable machine learn-  
448 ing is used to establish hypotheses, in the form of distinct regimes within the  
449 driving forces. These regimes are spatially local parsimonious representations  
450 of the full equations, where the machine learning algorithm is used to deter-  
451 mine where terms are negligible. Methods employed are chosen specifically to  
452 create an interpretable machine learning study.

453

454 The unsupervised machine learning clustering method k-means was  
455 employed to identify 2-dimensional dynamical regimes (Fig. 1a) in ECCOv4r3  
456 time mean fields (1992-2013). Approached naively, finding robust dynamical  
457 regimes within the full momentum equations is likely intractable due to the  
458 high dimensionality of the complex numerical model, with a high likelihood  
459 of non-unique solutions conflating both arriving at a robust model and also  
460 interpretation. To facilitate interpretation, and reduce dimensionality, we  
461 initially employ an equation transform into the BV equation described above  
462 (see Supplementary A for more detail). The five terms of the BV equation

463 form a closed budget, and a 5-dimensional vector field,  $\mathbf{x}$ , in each the model  
464 grid point with (longitude, latitude) =  $(\theta, \phi)$ . Designating an index  $i$  for each  
465 grid point, each element  $\mathbf{x}_i$  is a 5-dimensional vector defined on the model's  
466 horizontal grid. Each index  $i$  now uniquely identifies a grid point on the  
467 sphere, with (longitude, latitude) =  $(\theta, \phi)_i$ . Within the data in  $\mathbf{x}$ , six distinct  
468 and statistically significant dynamical regimes are identified as clusters using  
469 the unsupervised machine learning k-means algorithm together with informa-  
470 tion criteria model selection (Akaike and Bayesian information criteria), and a  
471 specifically developed geographical area coverage criterion for oceanographic  
472 relevance. The method and workflow, in combination with working on a  
473 closed BV budget, was designed for interpretability, and to be appropriate for  
474 geoscientific application. The interpretability comes from the method being  
475 decomposable into separate steps, where the steps are humanly tractable, and  
476 using an algorithm that offers transparency through its simplicity and clarity  
477 of assumptions. The dynamical regimes were original presented in Sonnewald  
478 et al. (2019), where more details on the method can be found as well as  
479 extensive code and explanation in <https://github.com/maikejulie/DNN4Cli>.

480

481 The six dynamical regimes are back projected onto the globe, where the  
482 geographical area covered signifying where the unique balance of dynamical  
483 drivers is present. The regimes are described in detail in [24, 77], and here  
484 we restrict the description to the three regimes present south of 50°S (Fig.  
485 1a). The global area averaged term balances (Fig. 1a) demonstrate which

486 dynamical drivers are important and which are negligible. The ‘Northern  
487 Hemisphere Sverdrupian’ dynamical regime (N-SV, pink) represents a region  
488 where the vorticity input by the wind is largely negative, and that input by  
489 advection is positive. The N-SV regime will be referred to as the Sverdrupian  
490 regime for convenience. The ‘Southern Ocean’ dynamical regime (SO, gray)  
491 is found almost exclusively in the Southern Ocean. The topographic interac-  
492 tions through the bottom pressure torque are consistently a source of positive  
493 vorticity, as is the convective component. The wind stress curl balances the  
494 advective and topographic components providing negative vorticity. The SO  
495 forms a very strong topographic Sverdrup balance. The SO regime will also be  
496 referred to as the topographic Sverdrup regime to avoid confusion. The ‘Non-  
497 linear’ regime (NL, light blue), is associated with areas of rough bathymetry,  
498 such as ocean ridges and shelf breaks. It is particularly prevalent in the higher  
499 latitudes. The NL regime is notable as it is made up of a collection of smaller  
500 regimes that all have a large non-linear torque component, with varying  
501 sign, but make up a very small component of the ocean area [24]. Uniquely  
502 among the dynamical regimes, the non-linear regime has a notable non-linear  
503 component. The non-linear terms have a dissipative component, and have a  
504 unique role acting to steer the ACC and provide the necessary dissipation to  
505 allow gyre circulation.

506

**The dynamical regimes**

The regimes determined by the clustering analysis do not agree with the typical boundaries of the ACC and the classically recognized gyres in the Ross and Weddell Sea. Rather, a combination of dynamical regimes make up a quasi-circumpolar circulation spanning the region from the Weddell in the West to the Ross Sea in the East. The regimes prevalent in the Southern Ocean, are comprised of the Topographic Sverdrup, Sverdrup and Non-Linear regimes, referred to respectively as the SO, N-SV and NL regimes (Fig. 1). A distinguishing feature of the Southern Ocean is its circumpolar structure, also reflected in the dynamical regimes. The dynamical regimes largely form circles (moving away from Antarctica) of the SO, N-SV and NL regimes. Modulations to this are found in areas of rapid changes in topography, or along the Antarctic shelf where the NL regime is seen. Overall, the SO regime is seen south of the N-SV regime. This is a pattern seen to overall encircle the continent, with areas up and downstream of the Drake Passage (DP) offering the main break. The Campbell Plateau (the area between the Australia region and Antarctic continent, see Fig. 1) offers an area where the circular pattern of regimes is less clear, along with the Kerguelen Plateau and the Pacific-Antarctic ridge area. The geostrophic contours highlight why these discontinuities take place. The DP is the main area in the Southern Ocean where there are blocked geostrophic contours. The Campbell Plateau is an area where geostrophic contours are restricted.

## 530 **Driving forces partitioned by regime**

531 The driving forces, or sources of vorticity, of the dynamical regimes illustrate  
532 that the SO and N-SV are comparable in certain areas, and distinct from  
533 the NL regime (Fig. 2). The wind stress curl is largely positive southwards  
534 to approximately 56°S, and here there is a transition to negative wind stress  
535 curl, which progresses south to the Antarctic continent (Fig. 2a). Clockwise  
536 gyre circulation requires negative wind stress curl. The NL, SO and N-SV  
537 regimes derive negative vorticity from the wind stress curl, where the N-SV  
538 largely occupies the region where the wind stress curl is lowest in the South-  
539 ern Ocean region (Fig. 2b), the SO regime is found exclusively in areas with  
540 larger negative wind stress curl (Fig. 2c). The NL regime does not occupy an  
541 area that is consistently negative or positive, and is found in regions where the  
542 wind stress curl has a large magnitude, either negative or positive (Fig. 2d).

543

544 The bottom pressure torque consistently has a large magnitude in the  
545 vicinity of bathymetric features, and overall contributes positive vorticity,  
546 with areas of negative vorticity input more local but with large magnitude  
547 (Fig. 2e ). The N-SV regime has larger patches of negative and positive  
548 vorticity input seeming to alternate, but in the Weddell and Ross Sea largely  
549 positive values are found (Fig. 2f). A largely consistent positive contribution  
550 is found in the SO regime (Fig. 2g), with vorticity input becoming consistently  
551 more positive moving south. In the area covered by the NL regime, intense  
552 positive and negative values are found (Fig. 2h), with alternating patterns of

553 positive/negative vorticity input. Areas of large bottom pressure torque are  
554 largely seen upstream of bathymetric obstacles and east of the DP.

555

556 The regions where large magnitude vorticity contribution are found in the  
557 bottom pressure torques, large non-linear contributions are often also found.  
558 The N-SV and SO regimes do not have large contributions from the non-linear  
559 terms ((Fig. 2n and o)), but the NL regime has marked contributions (Fig.  
560 2p). Overall, the non-linear terms show few distinct patterns in the N-SV and  
561 SO regimes (2n, o).

562

563 The advective component is positive in the N-SV and SO regimes overall,  
564 and while the area averaged input of the NL regime is positive there is large  
565 variability (Fig. 2i). The overall advective component in the Southern Ocean  
566 is very similar in pattern to the bottom pressure torque (Fig. 2e), where large  
567 signals are found within the regions that have strong topographic variability.  
568 The N-SV and SO both have largely positive vorticity input by the advective  
569 component, although the N-SV has large negative areas for example in the  
570 Weddell Sea area (Fig. 2j and k). This is notable, as this is the same sign as  
571 the wind stress curl, implying that the balance here is not determined not on  
572 the basis of the advective component. These larger negative areas are overall  
573 absent in the SO regime, where the regime shifts just west of the N-SV regime  
574 to become positive entering the SO regime.

575

576 In general for the physical drivers, areas that stand out include the  
577 Pacific-Antarctic ridge where a large positive area in bottom pressure torque  
578 is seen before the ridge where the ACC spins up and is deflected north, and a  
579 negative area downstream. Similarly, the Scotia ridge and the Kerguelen and  
580 Campbell Plateau stand out as areas of large positive and negative sources of  
581 vorticity from bottom pressure torque. The decomposition into the dynamical  
582 regimes is seen to have intense regions isolated somewhat to the areas of the  
583 NL regime both for bottom pressure torque and non-linear vorticity (Fig. 2h  
584 and 2p respectively), with the less impacted areas in the N-SV and SO regimes  
585 (Fig. 2f, g and 2n, o respectively). Within the bottom pressure torque and  
586 advective component there seems to be compensation in the NL regime, with  
587 strong positive/negative bottom pressure torque appearing in areas where the  
588 advective component is strongly negative/positive. This is as expected.

589

## 590 **The flow partitioned by regime**

591 The flow partitioned into dynamical regimes shows that regions associated  
592 with different configurations of dominant drivers result in different flow pat-  
593 terns. The clear mapping between circulation and dynamical regimes is a  
594 highly novel achievement of unsupervised machine learning. The differences  
595 in the flow as delineated by the dynamical regimes is described starting from  
596 the bottom layers shown as a quiver plot overlaid with the dynamical regimes  
597 and geostrophic contours (below 4264m, bottom five grid cells, Fig. 4). To  
598 further reveal structures in the flow, sections across regions of interest in



599 zonal flow, bottom pressure torque, non-linear torque and dynamical regime  
600 are presented below (Fig. 5). The overall structure seen is that in areas where  
601 the NL regime is present the flow has a large magnitude (Fig. 4), for example  
602 tracing the Pacific Antarctic ridge up until 130°E (Fig. 4a). The N-SV regime  
603 sees flow that has an eastward component or a small magnitude, as compared  
604 to the NL regime. An exception is seen in the Western region of the Weddell  
605 Sea where the N-SV regime has a large magnitude westwards and northward  
606 flow (Fig. 4b). Within the SO regime, a southward pivot of the flow, small  
607 magnitude or westward flow is largely seen such as from 170 to 140°W (Fig.  
608 4a) and to a lesser extent in patterns within the region 20°W to 140°E (Fig.  
609 4a, c and d). The tendency towards Westwards flow is particularly evident in  
610 the Weddell Sea area (Fig. 4b). It is notable, that even in the areas such as the  
611 Campbell Plateau south of Australia (169°E, 52°S, Fig. 1a), that forms a con-  
612 siderable topographic obstacle, there is no distinct southward flow as would  
613 be expected for the eastern terminus of a classical gyre structure. However,  
614 a distinct southward flow is seen at the eastern edge of the Ross Sea around  
615 140°W (Fig. 4a). Instead, a gradual southward transition is seen throughout  
616 owing to topography, in contrast to the distinctly southward flow of the  
617 eastern terminus of the gyre past the Ross Sea. What distinguishes the Ross  
618 Sea area is that the Pacific-Antarctic ridge to its northwest is the last major  
619 topographic obstacle of the ACC before the DP. Here, between approximately  
620 140-180°W (Fig. 4a) there are no features of bathymetry significant enough  
621 to induce large non-linearities, and provide sources of dissipation/sinks of

622 vorticity. The geostrophic contours as a result are largely zonal, and the ACC  
623 follows these contours. The lack of a source of dissipation is significant because  
624 it allows the ACC to flow largely eastward without. Additional figures illus-  
625 trating the ACC location and flow interactions with the non-linear at bottom  
626 pressure torque terms are discussed in Supplementary D (Figs. D1-D3)

627

628 Assessing key areas of interest looking at meridional transects (Fig. 5), the  
629 region after clearing the Ross Sea is seen to have a comparatively baroclinic  
630 zonal flow, meaning that it is concentrated at the surface and spread over a  
631 larger latitudinal band (Fig. 5a). This circulation is confined to the N-SV and  
632 SO regimes, illustrated in the strip of colours above each figure panel, and  
633 the bottom pressure torque and non-linear contributions are not very large.  
634 Moving into the Atlantic sector of the Southern Ocean (Fig. 5b), the ACC is  
635 more concentrated latitudinally, has a larger magnitude, and is split into two  
636 jets to the north of the two topographic obstacles. Peaks in the non-linear  
637 contributions are concurrent with these jets, mirrored by the bottom pressure  
638 torque. This latitudinal band is found in the NL regime. To the south there is  
639 a wide area of the SO regime. Here the zonal flow is very small just south of  
640 the NL regime, and moving south reverses direction to be westward. The flow  
641 has a barotropic structure and the non-linear and bottom pressure torque  
642 contributions are small. Notably, the zonal flow becomes smaller moving  
643 southwards, and a distinct new band of relatively intense westward flow with  
644 a clear surface-concentrated structure is found in the NL regime encircling

the Antarctic continent.

There is an interesting structure in depth that is connected to the dynamical regimes. Overall in the surface, the ACC dominates the flow, and is largely confined to the NL regime. Here, relatively barotropic intensification of the flow is evident when interactions with topography occur. In terms of providing a ‘dynamical western boundary’, the dissipation required is presumably found in the non-linear term that characterize the NL regime, which is the only dissipative term in the BV equation, as suggested by [30]. The pattern of bottom pressure torque and non-linear terms are seen to span the Southern Ocean in an almost circumpolar fashion. Areas where the NL regime leaves only limited latitudinal gaps, such as around the Kerguelen ( $\approx 75^\circ\text{E}$ ) and Campbell Plateaus ( $\approx 170^\circ\text{E}$ ), as well as the Pacific-Antarctic ridge ( $\approx 140\text{--}180^\circ\text{E}$ ), the geostrophic contours are non-zonal. Here, the ACC becomes intensely barotropic, and is wont to split up into multiple jets, seemingly in response to topographic variability. Passing through the Kerguelen plateau (Fig. D5c), the N-SV regime is less present, but within the SO regime a southward and westwards deep circulation is maintained. Passing over and through the Campbell Plateau (Fig. D5d), there is a region where there is no such deep circulation. Here, the ACC first intensifies as it is being directed North, and then relaxes as it flows along geostrophic contours (Fig. D5a). The ridge geometry in this area is complex, but as the ACC is traveling north, a westward flow appears. This westwards

667 flow is part of a clockwise circulation in the Ross Sea area, confined to the  
668 eastern edge of the Pacific Antarctic Ridge.

669 The lateral and vertical extent of the ridge is interpreted as playing a role  
670 in the resultant flow. For example, in the Ross Sea a clockwise circulation is  
671 seen at depths deeper than 3 km. The implication is that the physical barrier  
672 is ‘blocking’ the flow at this depth, but not above it. As such, a rotation of  
673 the flow with depth is expected, and that this would be proportional to the  
674 topographic obstacle and strength of the flow it impedes (expressed as the  
675 pressure gradient across the topographic obstacle). Here, further analysis of  
676 specific ridge geometry interactions with the deep ocean flow is out of scope.

677

## 678 **Contributions to the overturning streamfunction by** 679 **regime**

680 The differing balances of forces in the dynamical regimes impact their con-  
681 tribution to the global overturning, described as a zonal streamfunction ( $\Psi$ ,  
682 Fig. 6a, Supplementary C). Here, the density of the water masses are used as  
683 our frame of reference, illustrating what class of water is transformed. The  
684 meridional overturning circulation captures the bulk meridional movement of  
685 watermasses at a fixed latitude. As a large-scale circulation, the global over-  
686 turning has clockwise (red) and counterclockwise (blue) features. While the  
687 Southern Ocean is our focus, the global circulation will be briefly described  
688 for completeness. The light surface waters (down to  $\approx 35\sigma_2$  and  $30^\circ$  of the  
689 equator) form a clockwise surface cell in the Northern Hemisphere, and a

690 counterclockwise circulation in the Southern Hemisphere. In the denser water-  
691 masses that are  $\approx > 35\sigma_2$  and north of  $\approx 60^\circ\text{S}$  there is a clockwise circulation  
692 that stretches to the high northern latitudes, where the dense waters that  
693 feed its lower component are created. In the Southern higher latitudes, the  
694 loop is closed through upwelling, where the wind gyres are implicated.

695

696 In the Southern Ocean, the circulation has a predominant counter clock-  
697 wise feature (Fig. 6b). This shows surface waters that are less dense becoming  
698 denser moving southwards, where beyond  $60^\circ\text{S}$  the circulation appears to be  
699 entirely counter clockwise. There is also a line of counter clockwise deep cir-  
700 culation in the densest waters stretching North, reaching beyond  $25^\circ\text{N}$ . The  
701 water masses in this bottom circulation are some of the densest in the ocean,  
702 and are formed in the high latitudes, by transformation of the waters brought  
703 up from the intermediate depths. The individual dynamical regimes' contri-  
704 butions to the Southern Ocean branch of the overturning can be assessed by  
705 decomposing the overall transport by dynamical regime, and calculating the  
706 individual components as done in [77]. The sum of the individual components  
707 add up to the overall streamfunction, and are here used to highlight the  
708 compensation between components and are suggestive of what overall work  
709 is done by respective regimes. Note the component of the streamfunction in  
710 each regime does *not* individually represent a streamfunction as Helmholtz'  
711 theorem is not strictly satisfied, and a residual may be present but is assumed

712 to be small south of the ACC meanders (Supplementary C).

713

714 Decomposing the overturning into dynamical regimes (Fig. 6c, d, and e)  
715 shows the local contribution of each regime individually, revealing a complex  
716 interplay of dynamical features and compensation between them. The focus is  
717 on the SO, NL and N-SV regimes as they cover most of the area. Overarching  
718 coherent and in-depth physical regimes emerge (Fig. 6). The global over-  
719 turning is calculated zonally, which means that the compensation and local  
720 circulation can be missed. The SO regime accounts for the largest part of the  
721 clockwise circulation south of  $\approx 55^\circ\text{S}$  (Fig. 6c). It reaches waters that are as  
722 dense as  $\approx 37.25\sigma_2$ . The N-SV regime is also largely clockwise north of  $\approx 60^\circ\text{S}$   
723 (Fig. 6d). The circulation reaches less dense waters than the SO regime, and is  
724 less intense, particularly south of  $\approx 57^\circ\text{S}$ . South of  $\approx 63^\circ\text{S}$ , a counterclockwise  
725 component appears. The clockwise circulation in the SO and N-SV is further  
726 confirmed by the upwards movement of water seen in the vertical velocity  
727 (Fig. 7b and c). The NL regime shows a pronounced counterclockwise circu-  
728 lation (Fig. 6e). The counter clockwise circulation is at its strongest between  
729  $\approx 50^\circ\text{S}$  and  $\approx 67^\circ\text{S}$ , moving south of which it briefly becomes clockwise, disap-  
730 pears and then resumes as counterclockwise. The southernmost feature is due  
731 to the transformation along the Antarctic continent, seen as the band of neg-  
732 ative vertical movement (Fig. 7d). There is also an upwards component, but  
733 the downwards w component dominates. The SO and N-SV regimes comprise  
734 areas that are dynamically more consistent, with the bottom pressure torque,

735  $\nabla \times \tau$  and the advective component having more consistent signs.

736

737 To conclude the results section, an overall sketch of the concepts is pre-  
738 sented in Fig. 8. The ACC is moving eastwards (NL, light blue on bottom  
739 and big arrow in 3D rendition in Fig. 8) creating a source of dissipation as a  
740 bathymetric obstacle is met (Fig. 8, brown bump), deflecting the flow north  
741 (Fig. 8, big arrow veering right). If enough of a change in vorticity/dissipa-  
742 tion is given by the topography/flow interaction, a southward change in flow  
743 direction is seen that interacts directly with topography (Fig. 8, small arrow).  
744 A clockwise lateral circulation arises following the obstacle, as the gyre-like  
745 circulation (SO and N-SV regimes in gray and pink). The impact on vertical  
746 flow and isopycnals is that the ACC is seen where the isopycnals are steep,  
747 and in the SO regime the upwelling flow is seen. Implied, but not directly  
748 depicted is that one has a distinct eastern and well-defined boundary where  
749 there is no topographic obstacle impeding the ACC.

750

## 751 Discussion

752 The dynamics in the Southern Ocean are uniquely important for understand-  
753 ing the world's climate, as it acts to connect the world ocean and close the loop  
754 for the global meridional overturning circulation. In the present paper, the  
755 gyre-like circulation in the Southern Ocean is discussed and the conventional  
756 view of two separate sub-polar gyre systems is challenged, with implications  
757 for how the upwelling is realised. The importance on zonal heterogeneity

758 is stressed, especially in relation to conventional idealised frameworks. A  
759 novel unifying supergyre framework is put forward, extending southwards  
760 of the dynamical western boundary imposed by the ACC, enveloping both  
761 traditionally recognized circulation structures and the in-situ observed cir-  
762 culation in-between (Fig. 9). The limited observations available suggest  
763 agreement, particularly in the poorly studied area eastwards of the Weddell  
764 Sea [13, 14, 18–23]. Simulation of gyre structures in both idealised and realis-  
765 tic models is challenging [7], with the implication that model representations  
766 of dynamics key to sea level, heat and carbon storage variability could be  
767 fundamentally misrepresented, and our understanding of how it may change  
768 in the future could be skewed. Despite the key importance of Southern Ocean  
769 circulation, large biases between climate models persist, highlighting the need  
770 for increased fundamental understanding of how the circulation is realized in  
771 realistic model settings [4]. This work stresses that gyre-like circulation in the  
772 Southern Ocean is uniquely implicated in the upwelling and thus the mainte-  
773 nance of the meridional overturning circulation, particularly in regions where  
774 the gyre circulation is given as a strong topographic Sverdrup balance (a  
775 balance between the wind stress curl, bottom pressure torque and advection).  
776 The importance and widespread nature of the topographic Sverdrup balance  
777 implies a fundamental role for bathymetric interactions well beyond the major  
778 ridges that induce the meanders of the ACC. The remainder of the discussion  
779 is structured as follows. First, we define and describe the quasi circumpolar  
780 supergyre of the Southern Ocean. Then these results are discussed in the



781 context of existing work, in particular regarding ocean observations. The role  
782 of upwelling and the southern closure of the global overturning circulation  
783 are then discussed, before setting the novel framework into the context of the  
784 global climate and providing guidance for a future framework for observation  
785 strategy. The last section concludes the paper.

786

## 787 **The quasi-circumpolar supergyre of the Southern Ocean:** 788 **Scaffolding by topography**

789 In contrast to wind gyres in the subtropical Northern Hemisphere, no con-  
790 tinental western boundary is present to act as a sink of vorticity/source of  
791 dissipation in the subpolar Southern Hemisphere. The topographic obstacles  
792 present in the Southern Ocean act to impede the Antarctic Circumpolar  
793 Current (ACC), and provide a ‘dynamical west’ that allows gyre circulation  
794 to develop to the south of the ACC-induced dissipation of vorticity [30]. As  
795 such, gyre circulation develops in the lee of topographic obstacles that force  
796 the ACC north, appearing as a southward bend of the flow (much weaker  
797 than the ACC) or even reversal to travel westwards. A distinct ‘eastern  
798 boundary’ in the form of a continent is also not present, and only in the area  
799 where no significant topographic obstacles are seen, and the ACC becomes  
800 comparatively baroclinic following geostrophic contours (we describe this as a  
801 quasi-linear-free mode), is there a distinct end to the supergyre. This eastern  
802 boundary is seen as a relatively well-defined continuous current moving south.

803 We suggest that the gyre-like circulation in the Southern Ocean is quasi-  
804 circumpolar, absent only where the circulation switches to a quasi-linear-free  
805 mode that is minimally impeded by topographic obstacles to the West of the  
806 DP. What is presented here as the gyre structure departs from the normally  
807 discussed Weddell and Ross gyres. The suggested framework results in a  
808 quasi-circumpolar circulation that envelops the traditionally defined Weddell  
809 and Ross gyres, with considerable leakage across regimes, and defines the  
810 eastern gyre terminus as the region where dissipation source provided by  
811 rough topography *ends* (Fig. 9).

812

813 The sink of vorticity of the ACC as it interacts with topography sup-  
814 ports a gyre-like circulation. Here, we suggest that this dissipation, and the  
815 areas where dissipation happens, can be seen as ‘scaffolding’ maintaining  
816 the supergyre. The present paper suggests a more useful description would  
817 be given by identifying regions that can provide such structure by acting as  
818 sinks of vorticity. Topographic obstacles act as sources of dissipation, effec-  
819 tively being scaffolding to the quasi-circumpolar supergyre, and elongating  
820 the dynamical western-boundary zonally following south of the ACC. The  
821 scaffolding supports the elongated structure of the gyre while allowing for a  
822 gradual southward and even westward transition of the flow within the local-  
823 ized sub-gyres. Without this scaffolding, the quasi-circumpolar gyre would  
824 collapse, and the localized sub-polar gyres could be considered as separate  
825 entities. In the presence of the ACC, the concept of distinct, continental-scale

826 boundaries would suggest that areas providing some latitudinal obstacles  
827 such as the Campbell Plateau could act as eastern boundaries. Here it is sug-  
828 gested that areas such as the Campbell Plateau should be interpreted as part  
829 of the scaffolding: a latitudinal excursion and merely a continuation of the  
830 dynamical western boundary. As such, the *end of the source of dissipation* is  
831 the relevant bounding topographic structure in the Southern Ocean, and the  
832 location where there is no longer a topographic obstacle of a scale that can  
833 support a sufficient pressure gradient should be seen as the *terminus* of the  
834 gyre-circulation that starts after the DP. The implication of this, for example,  
835 is that studying the circulation in the Weddell Sea in isolation would not be  
836 faithfully represented without also representing larger gyre-like system it is  
837 part of as model boundary conditions. Effectively, the individual sub-gyres  
838 could not exist in isolation. The interaction of the ACC with the present  
839 topography maintains the required lateral pressure gradients that support the  
840 gyre-like structure, owing to the prior dissipation further upstream (which  
841 could be considered a pre-cursor of the resulting circulation).

842

843 The gyre-like circulation consists of a flow where the portion of the clock-  
844 wise circulation headed north is well defined, but the southward portion is  
845 less so. Downstream of the DP and associated Scotia ridge, such a northward  
846 portion of a clockwise circulation is found in the Weddell Sea reaching down  
847 to depths below three km. The Campbell Plateau and associated Pacific-  
848 Antarctic ridge are also able to maintain a pressure gradient, but to a much

849 smaller extent, with a circulation that only forms below three km. The pres-  
850 sure gradient in these regions establishes a local effective eastern boundary  
851 below 3 km, whereas the ultimate eastern terminus of the supergyre is a  
852 result of a lack of topographic obstacles. The areas where the gyre-like flow  
853 fails to form a well-defined return flow are identified as distinct dynamical  
854 regime that is in a topographic Sverdrup balance (SO), where the bottom  
855 pressure torque and the advective component contribute positive vorticity,  
856 while the wind stress curl balances this, adding negative vorticity. Broadly,  
857 there is a regime in Sverdrup balance (N-SV) to the north of the SO regime,  
858 where the bottom pressure torque is less consistently strong and the flow is  
859 more baroclinic, and is largely associated with more zonal flow. The ACC is  
860 consistently seen as occupying the dynamical regime that stands out for its  
861 non-linear vorticity contribution (NL regime). This is expected, also because  
862 the non-linear terms include a dissipative component, that provide the sink of  
863 vorticity needed for gyre-like flow.

864

## 865 **The role of upwelling and the southern closure** 866 **of the global overturning circulation**

867 The upwelling of warm water, and doming of isopycnals, is seen to take place  
868 in a band that approximately encircles the Antarctic continent, largely given  
869 by the SO dynamical regime. An exception is seen upstream of the drake  
870 passage (DP) where the flow follows geostrophic contours as no major topo-  
871 graphic obstacles are present. The upwelling is distributed across, and brings

872 up the densest waters, in the areas dominated by a topographic Sverdrup  
873 balance in the SO dynamical regime. This offers support for the hypothesis  
874 put forth by [72], where areas associated with more energetic areas of the flow  
875 produce upwelling downstream.

876

877 The overturning streamfunction in the Southern Ocean has distinct contri-  
878 butions from the different dynamical regimes, as revealed by the breakdown  
879 of the overall streamfunction (Fig. 6). The SO regime contributes the major  
880 fraction of the deep warm waters brought up from depth, which is expressed  
881 as a clockwise (Fig. 6) circulation. The N-SV regime also contributes in a  
882 clockwise fashion, but is situated in less dense waters with a less vigorous  
883 overturning. The NL regime provides the main counter-clockwise contribution  
884 to the overall streamfunction. The counter-clockwise contribution of waters  
885 spanning a large range of densities is consistent with the NL regime being  
886 the area where the warm deeper waters brought up by the SO regime release  
887 their heat, become dense and sink. The view that the upwelling is contributed  
888 within the SO regime, that covers a large area, is concurrent with the pro-  
889 posed paradigm shift towards seeing the upwelling in the Southern Ocean  
890 as a ‘spiral staircase’ rather than localized features [71, 72], which were also  
891 associated with areas of negative wind stress curl. The suggestion that the  
892 upwelling happens in an upwards spiraling fashion has implications for the  
893 processes leading to the upwelling. Note that the supergyre framework is

894 described in a tempoally averaged sense in the present manuscript. The super-  
895 gyre framework does not dictate that a parcel of water moving vertically and  
896 being transformed in an area covered by the SO regime cannot continue into a  
897 different regime and predominantly stay within this density class as it moves  
898 zonally. The supergyre framework does suggest that the upwelling and trans-  
899 formation happens over larger areas downstream of topographic obstacles.

900

## 901 **The supergyre in context of available observations**

902 The supergyre framework constitutes an advance in understanding of how gyre  
903 circulation arises in the Southern Ocean in association with the ACC's inter-  
904 actions with topography, positing that a supergyre circulation encompasses  
905 the Weddell and Ross Sea's and the area in between. The supergyre frame-  
906 work is distinct from the idea that the ACC is the Eastwards Northern edge  
907 of the gyre and the Antarctic Shelf Current is its Southern branch. Rather,  
908 the ACC is a dynamical western boundary where dissipation is sufficient to  
909 induce a gyre-like circulation. In the supergyre framework, the important role  
910 of topography starting from the Weddell Sea and reaching Eastwards to the  
911 Ross basins is highlighted. Here, we highlight three key predictions from this  
912 novel framework: 1) there is only one concrete end of the supergyre dictated  
913 by the lack of rough topography, 2) multiple sub-gyre or gyre-like circulations  
914 will span the entire area and 3) CDW waters, that are an important result of  
915 gyre-like flow, will reflect the complex circulation patterns.

916 First, the supergyre framework predicts that the main concrete *end* of  
917 the gyre, defined as lacking a continued initiation of clockwise flow induced  
918 by topography, should be after the Ross Sea. An Argo float-derived stream  
919 function depicting the horizontal circulation of the Weddell Sea, presented  
920 in [13], highlights the topographically induced baroclinic shear at the open  
921 boundary of an eastern sub-gyre, in contrast to a barotropic western sub-  
922 gyre where topography plays a lesser role in comparison to wind stress and  
923 advection. Further confirmation of a lack of a concrete Eastern Boundary of  
924 the Weddell Sea gyre-like circulation comes from [14], who used hydrographic  
925 measurements using conductivity, temperature and depth (CTD) profiles and  
926 acoustic Doppler current profilers (ADCP) to describe the role of the southwest  
927 Indian Ridge in increasing instabilities of the ACC that were hypothesized to  
928 drive an intense mesoscale eddy field rather than a defined Eastern Boundary  
929 Current. [18] similarly suggested a significant presence of mesoscale eddies,  
930 rather than a well-defined flow to the South.

931 Second, if useful as a conceptual model, the supergyre framework suggests  
932 that further gyre-like circulation should exist where significant dissipation  
933 happens, such as, the Indian section of the Southern Ocean (Fig. 4). [22] used  
934 two sections from the World Ocean Circulation Hydrographic Program to  
935 highlight the complex circulation between Australia and Antarctica with sub-  
936 stantial gyre systems. [19] used more detailed Argo data from the Australian  
937 Antarctic Basin to show what the Authors refer to as ‘sub-gyres’. The sub-  
938 gyres are associated with a movement of the southernmost jet of the ACC,

939 and a southward excursion of CDW with active cross-slope exchange between  
940 isobaths occurs. [19] find that bathymetry determines the structure of the  
941 gyre, and a combination of transport and topographically controlled mean  
942 flow and eddy transport. Using hydrography and drifters, several studies  
943 have pointed to a gyre-like circulation in the Prydz Bay area (70 and 80°E)  
944 [23, 54, 55, 81], and also around 90 to 115°E [23]. Similarly, a single gyre (80  
945 and 110°E) in the Australian–Antarctic basin with eddies to the East is pro-  
946 posed by [82] in model output, and verified against iceberg trajectories and  
947 Argo floats. In contrast, no gyre-like circulation is reported Eastwards of the  
948 Ross Sea to the Author’s knowledge. The observational estimates spanning  
949 from the Weddell to the Ross Sea highlight that there is copious cyclonic  
950 circulation. The observational studies do not share a dynamical definition  
951 of a ‘gyre’ beyond being a cyclonic circulation south of the ACC. However,  
952 we note that where reported the gyre-like circulation is largely in lee of a  
953 topographic obstacle where the ACC, or one of its jets, veers North providing  
954 a source of dissipation. Due to the sparsity of observations, the presence of  
955 distinct southward return flows are not present in literature to the Author’s  
956 knowledge, which also appears in support of the supergyre framework.

957

958 Third, the Southern Ocean’s role in the southern limb of the overturning  
959 circulation through upwelling can be interpreted as emerging from sub-gyres  
960 within the structure of a much larger supergyre. The CDW waters are of par-  
961 ticular importance to the upwelling, and are roughly bounded by topographic



962 features and make up over 50% of the Southern Ocean volume [83]. The  
963 CDW is known to have a very complex pattern of origins, and are distinct  
964 from more traceable watermasses such as North Atlantic Deep Water. The  
965 complexity of the CDW is well aligned with the supergyre framework, as  
966 contributions through upwelling would come from the sub-gyres in association  
967 with bathymetric obstacles. To have upwelling, there needs to be transport  
968 across the ACC front, and there is likely cross front transport where you  
969 have a gyre forming to the South. This could happen through bathymetric  
970 dissipation leading to eddy activity (inducing southward transport, [84])  
971 in combination with negative wind stress curl (surface northwards). Given  
972 this, the supergyre framework would predict that the CDW will have several  
973 sources and cross the ACC front downstream of locations associated with non-  
974 linear term dominance. To date, no observations or specific modeling studies  
975 exist exploring such mechanisms in the context of gyre circulation. How-  
976 ever, lagrangian particle tracking experiments in high resolution models are  
977 interpreted as supporting the supergyre framework. [72] present a compelling  
978 analysis suggesting that energetic regions associated with topography played  
979 important roles in bring water parcels upwards on a spiraling pathway around  
980 the Southern Ocean. Focusing on the upwelling of carbon, [85] shows a similar  
981 relationship with topography, but only considers the location where a particle  
982 crosses 1000m and discards the areas associated with sea ice. Both [72, 85] do  
983 not consider the upwelled volume and may not have time integrations long  
984 enough to capture the impact of the circulation in areas with longer residence

985 times like the Weddell and Ross Seas. Future work assessing the upwelled vol-  
986 ume of CDW waters using a lagrangian framework could offer fruitful insight.

987

988 Our study uses the state estimate (ECCO), which offers the closest prod-  
989 uct that can act as a comprehensive observational dataset capable of closing  
990 budgets of vorticity. We note that the low resolution of the state estimate  
991 means that direct comparisons to observational data is difficult. In particular,  
992 while the overall impact of the mesoscale eddy field is present in the observa-  
993 tions used to constrain the ECCOv4 state estimate, the associated upwelling  
994 in ECCOv4 will be spread out due to the necessary parameterization. As  
995 such, the present paper offers context for higher resolution models such as  
996 [72, 85]. However, compared to observations ECCOv4 is seen to reproduce  
997 watermass structures well [86], suggesting that the dynamical regimes and  
998 their gross representation of upwelling structure and location are reasonable.  
999 The present paper suggest that more observations are needed, particularly  
1000 as deep waters have been observed to take concrete and localized *advective*  
1001 pathways identified in [18, 61, 87, 88] which would not be represented in  
1002 ECCOv4. The developed framework also neglects thermodynamic drivers of  
1003 the upwelling and subsequent water mass transformation.

1004

## 1005 **A potential new framework for monitoring and assisting** 1006 **observational efforts**

1007 When observing the ocean in-situ its sheer size offers a formidable challenge.  
1008 Where is data needed, and at what temporal and spatial resolution? At high  
1009 latitudes, small length and time scales become necessary due to the Rossby  
1010 radius, and lead to a still pressing lack of observational data. With rapid  
1011 observed changes in the ACC's polar front and associated CDW implications,  
1012 improving frameworks for observational support are increasingly important  
1013 [20]. The notion that one supergyre spans the Southern Ocean with distinct  
1014 dynamical regimes contributing to the upwelling of deep warm waters sug-  
1015 gests that the treatment of the Weddell and Ross Sea circulation patterns  
1016 as distinct gyres could be misleading, and may underestimate the amount of  
1017 upwelling happening as this is dispersed across large areas. The results pre-  
1018 sented here emphasize the benefits that continuous float measurement could  
1019 offer, and that greater areal coverage concentrated within the southeast of  
1020 obstacles could be fruitful, for example, in combination with acoustic mooring  
1021 arrays. However, note that while the presented results are based on a state  
1022 estimate, a model fit to observations, the low resolution would not be able to  
1023 capture advective processes that are more localized.

1024

1025 For observing gyre circulation and associated upwelling, the present frame-  
1026 work has the potential to contribute to a targeted data collection strategy  
1027 both conceptually and more practically. Fundamentally, much theory is still

1028 formulated in two-dimensions, and far removed from the three dimensional  
1029 realistic frameworks needed for supporting observations. Here, by highlight-  
1030 ing the key role of dissipation in upholding the scaffolding of the proposed  
1031 Southern Ocean supergyre, observations could be guided to regions with spe-  
1032 cific topographic properties and associated wind stress patterns to the South.  
1033 We note two inferences that could be of interest when designing monitoring  
1034 or in-situ observational efforts. Firstly, the ACC, interpreted as a dynamical  
1035 construct that constitutes a source of dissipation rather than defining it by  
1036 its fronts, could help indicate areas where isopycnals may be heaving to the  
1037 southwest. This definition of the ACC could perhaps be more related to the  
1038 pressure gradient across bathymetric obstacles and their impact on the flow to  
1039 the southeast of the obstacle. Second, within the supergyre framework, know-  
1040 ing where the SO dynamical regime is present can help target observations.  
1041 Inferring the dynamical regimes was pioneered in [77], effectively providing  
1042 subsurface inference based on surface wind stress curl, sea surface height  
1043 and the static depth field. The presented results stress the important role of  
1044 the wind stress curl, as this is a defining driver of the topographic Sverdrup  
1045 balance regime. The wind stress curl is known to intensify with a warming  
1046 climate [89], but specific implications for the CDW are still unclear [20]. Here,  
1047 [77] could be used to guide and infer changes, for example, in spatial regions  
1048 associated with CDW upwelling locations as driving forces change with global  
1049 heating.

1051 On large timescales, the presence or absence of boundaries allowing a  
1052 circumpolar flow within the Southern Ocean is known to have an influence of  
1053 the global climate. On geological timescales, the bathymetric configurations in  
1054 the ocean can change, for example closing the DP or the Tasmanian Gateway  
1055 between Antarctica and Australia. The changes in the continental configura-  
1056 tion lead to distinct gyre formation to the east of the obstacles, and strengthen  
1057 the poleward heat transport [90, 91]. Consequently, it is the strength of the  
1058 gyre circulation, not the strength of the ACC that sets the meridional trans-  
1059 port of heat, in agreement with the conclusions presented here. This causal  
1060 relationship between the ACC, gyre circulation and the heat transport has  
1061 been highlighted in the paleo literature as resolving a delayed onset of the  
1062 strong ACC [92–94], as it was the weakening of the gyres that allowed the  
1063 changes in heat transport, rather than the strengthening of the ACC. With  
1064 a likely strengthening of the wind stress curl under a future climate [89], it is  
1065 notable that the gyre circulation will likely spin-up and the southern branch  
1066 of the meridional overturning strengthen, rather than the ACC volume trans-  
1067 port, would increase [52, 74–76]. As such, the connection between the ACC  
1068 and the wind gyre circulation in the Southern Ocean can be expressed in  
1069 terms of the *present day* gyre circulation being contingent on the ACC.

1070

## 1071 **Conclusion**

1072 This study utilized classic machine learning in combination with oceanographic  
1073 theory, to create an interpretable machine learning analysis of both

1074 the nature of gyre circulation in the Southern Ocean and its fundamental role  
1075 in the closure of the southern limb of the meridional overturning circulation.  
1076 Concretely, machine learning was used to pose hypotheses of what regions  
1077 were dynamically distinct, and thus have distinct impacts on the circulation.  
1078 The machine learning used is concretely chosen to be both geoscientifically  
1079 relevant through specifically designed model selection criteria, and to be  
1080 interpretable in that the entire process is tractable. Fundamentally, machine  
1081 learning was used for hypothesis building, similar to the classical use of phys-  
1082 ical intuition, enabling the analysis of highly complex and nonlinear data.  
1083 Similar applications of machine learning as a tool for empirical leading order  
1084 analysis is ideally placed to accelerate discovery within oceanography and  
1085 beyond [24, 25]. Specifically within the context of understanding the dynami-  
1086 cal balances within gyre circulation, [42] highlights the impact that the choice  
1087 of geographic area within which to assess the dynamical balances matters.  
1088 While previously looking along streamlines was used to make progress, here  
1089 the objective dynamical regime discovery allowed the analysis to be guided  
1090 by statistically significant configurations of drivers.

1091

1092 The findings presented demonstrate that the nature of gyre circulation  
1093 in the Southern Ocean differs distinctly from classical gyre theory and the  
1094 conventional view of two separate gyres in the Weddell and Ross seas, with  
1095 observational estimates in support of the supergyre framework. The ACC acts  
1096 as the sink of vorticity, relieving the need for blocked geostrophic contours,

1097 and a lack of a physical eastern boundary suggests that the gyre circula-  
1098 tion initiated downstream of the Drake passage does not terminate, but is  
1099 upheld through topographic scaffolding that continues to the Ross Sea. This  
1100 topographic scaffolding allows a topographic Sverdrup balance regime almost  
1101 encircling the Antarctic continent. This topographic Sverdrup balance regime  
1102 accounts for the majority of the upwelling of warm waters that release their  
1103 heat to sink and supply the lower limb of the meridional overturning. A  
1104 benefit of the presented framework and approach is that we can address the  
1105 zonal heterogeneity seen, for example, in warming trends. Conventional the-  
1106 ory uses idealised and largely two-dimensional zonally averaged frameworks.  
1107 Interpreted using this framework, the limited available observations suggest  
1108 agreement. Results have important implications for monitoring strategies,  
1109 that could crucially underestimate upwelling unless encompassing the quasi-  
1110 circumpolar gyre circulation. Underestimation of the overall upwelling needs  
1111 to be resolved if we are to truly comprehend the role of the Southern Ocean in  
1112 redistributing heat and carbon in the context of global anthropogenic climate  
1113 change.

1114

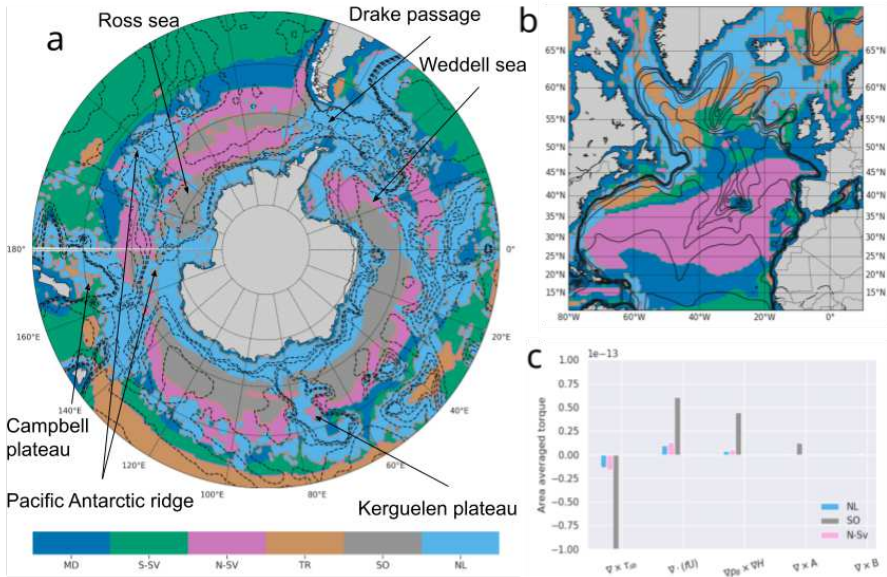
## 1115 **Acknowledgments and author contributions**

1116 The author contributions are as follows: MS conceived of the experiments,  
1117 performed the analysis and wrote the manuscript. KAR and RL reviewed and  
1118 contributed to editing the manuscript.

1119

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1135 [maikejulie/DNN4Clim](https://github.com/maikejulie/DNN4Clim) and fully reproducible also within the Amazon Cloud.  
1136 ECCOv4r3 data available at: <https://ecco-group.org/products.htm>.



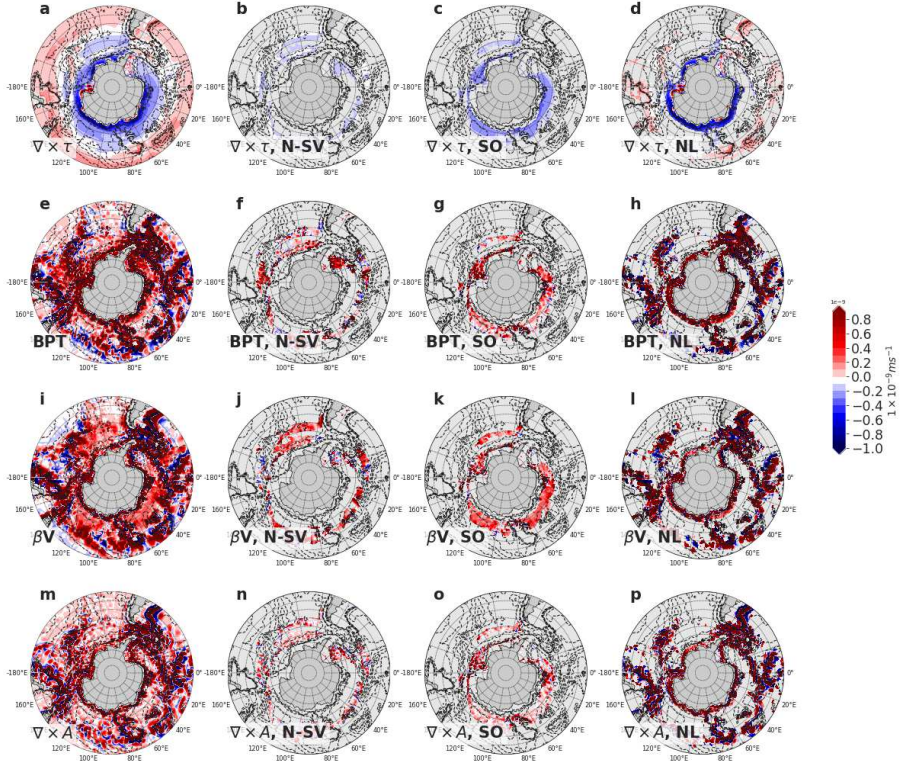


**Fig. 1 Dynamical regimes in the Southern Ocean and subtropical North Atlantic.** Panel a illustrates the dynamical regimes focused on the Southern Ocean from  $40^\circ$  southwards to illustrate landmass locations. Note the semi-circumpolar nature of the regimes. The regimes are global and discussed fully in [24, 77], where the momentum driven (MD, blue), Southern Hemisphere Sverdrupian (S-SV, green), Northern Hemisphere Sverdrupian (N-SV, pink), transitional (TR, orange), Southern Ocean (SO, grey) and non-linear (NL, light blue) regimes correspond to local parsimonious representations of the barotropic vorticity equation. Panel b shows the dynamical regimes for the subtropical North Atlantic. Panel c illustrates area averaged barotropic vorticity contributions of various terms for the SO, N-SV and NL dynamical regimes that are the current focus, discussed in the methods section. The contours are the geostrophic contours. Note the location of the NL regimes, associated with the Antarctic Circumpolar Current, and the area of bathymetric obstacles as illustrated by the geostrophic contours. labels highlight features discussed in the paper.

1137 **Supplementary information.**

## 1138 **Appendix A Equation transform**

1139 To arrive at the five dimensional field from the full 3D model fields, a closed  
 1140 momentum budget was used. The discussion below is adapted from Sonnewald  
 1141 et al. (2019). The momentum and continuity equations of the ocean are seen  
 1142 as a thin shell sitting on a rotating sphere:



**Fig. 2** The terms of the barotropic vorticity equation centered on the Southern Ocean. The first row (a-d) represent the wind stress curl ( $\nabla \times \tau$ ). The second row from the top show the bottom pressure torque (e-h, BPT). The third row from the top represents the advective component of the flow (i-l,  $\beta \mathbf{V}$ ). The bottom row represents the curl of the non-linear terms (m-p,  $\nabla \times \mathbf{A}$ ). The portions in columns two to four are broken down by dynamical regime. The first column shows the full region, column two the N-SV regime, column three the SO regime and column four the NL regime.

$$\partial_t \mathbf{u} + f \mathbf{k} \times \mathbf{u} = -\frac{1}{\rho_0} \nabla_h p + \frac{1}{\rho_0} \partial_z \tau + \mathbf{a} + \mathbf{b}, \partial_z p = -g \rho \quad (\text{A1})$$

$$\nabla_h \cdot \mathbf{u} + \partial_z w = 0, \quad (\text{A2})$$

1143 Pressure, gravity, density, and vertical shear stress are  $p$ ,  $g$ ,  $\rho$ , and  $\tau$ , respec-  
 1144 tively, with  $\rho_0$  the reference density; the three-dimensional velocity field  $\mathbf{v} =$   
 1145  $(u, v, w) = (\mathbf{u}, w)$ ; the gradient  $\nabla = (\nabla_h, \partial_z)$ ; the unit vector is denoted

1146  $\mathbf{k}$ ; planetary vorticity is a function of latitude  $\theta$  in  $f\mathbf{k} = (0, 0, 2\Omega\sin(\theta))$ ; the  
 1147 viscous forcing from vertical shear is  $\partial_z\tau$ ; the nonlinear torque is  $\mathbf{a}$ , and the  
 1148 horizontal viscous forcing  $\mathbf{b}$  includes subgrid-scale parameterizations. Under  
 1149 steady state, the vertical integral from the surface  $z = \eta(x, y, t)$  to the water  
 1150 depth below the surface  $z = H(x, y)$  is

$$\beta V = \frac{1}{\rho_0} \nabla p_b \times \nabla H + \frac{1}{\rho_0} \nabla \times \tau + \nabla \times \mathbf{A} + \nabla \times \mathbf{B} \quad (\text{A3})$$

1151 where  $\nabla U = 0$ ,  $U \cdot \nabla f = \beta V$ , the bottom pressure is denoted  $p_b$ ,  $\mathbf{A} = \int_H^\eta \mathbf{a} dz$ ,  
 1152 and  $\mathbf{B} = \int_H^\eta \mathbf{b} dz$ . The curl operator  $\nabla \times$  produces a scalar, that represents  
 1153 the vertical component of the operator. The left-hand side of equation 3 is  
 1154 the planetary vorticity advection term, while the right-hand side of equation  
 1155 3 is the bottom pressure torque (BPT), the wind and bottom stress curl,  
 1156 the nonlinear torque, and the viscous torque, respectively. The five terms  
 1157 in equation 3 constitute the dynamical drivers/terms are the fundamental  
 1158 sources of depth integrated (barotropic) vorticity: on the LHS, the advection  
 1159 of planetary vorticity, on the RHS from left to right, bathymetric interactions  
 1160 through bottom pressure torque, the wind and bottom stress curl, curl of  
 1161 non-linear interactions between terms and the lateral viscous dissipation from  
 1162 within the ocean interior.

1163

1164 The subgrid-scale parameterization introduces a torque, which is included  
 1165 in the viscous torque term. Nonlinear torque is composed of three terms:

$$\nabla \times \mathbf{A} = \nabla \times \left[ \int_{-H}^{\eta} \nabla \cdot (\mathbf{u}\mathbf{u}) dz \right] + [w\zeta]_{z=H}^{z=\eta} + [\nabla w \times \mathbf{u}]_{z=H}^{z=\eta} \quad (\text{A4})$$

1166 where  $\mathbf{u}\mathbf{u}$  is a second-order tensor. The right-hand side of equation 4 represents  
 1167 the curl of the vertically integrated momentum flux divergence, the nonlinear  
 1168 contribution to vortex tube stretching, and the conversion of vertical shear  
 1169 to barotropic vorticity. Horizontal viscous forcing includes that induced by  
 1170 subgrid-scale parameterizations. In Sonnewald et al. (2019), twenty-year aver-  
 1171 aged fields (1992-2013) are used after a Laplacian smoother is applied, with  
 1172 an effective averaging range of three grid cells.

## 1173 **Appendix B Gyre circulation in the** 1174 **subtropical North Atlantic**

1175 For the purpose of building intuition, the well-studied subtropical North  
 1176 Atlantic wind gyre will be used to illustrate both the dynamics and the utility  
 1177 found in using objective regime discovery for building hypotheses. The dis-  
 1178 sipation of vorticity is known to be concentrated along the North American  
 1179 continental boundary (Fig. B1m), while the area of negative wind stress curl  
 1180 is found more centrally in the basin (Fig. B1a). Positive vorticity, needed to  
 1181 balance that input by the wind stress curl is similarly found more centrally in  
 1182 the basin (Fig. B1i), and the vertical transport is weak (Fig. B2a).

1183 In the subtropical North Atlantic (Fig. 1b), the N-SV regime spans the  
 1184 basin after the shelf break from approximately 25-35°N, and extends further  
 1185 north leaning eastwards up to approximately 47°N. At the shelf break to the  
 1186 west, there is a sliver of the NL regime. Note that the streamlines used in

1187 previous work are similar to the region covered by the N-SV regime [43]. The  
1188 SO regime is almost entirely absent. Other dynamical regimes found here are  
1189 described in [24, 77], and are not needed to support the main points in the  
1190 present paper.

1191

1192 In the North Atlantic, the SO regime is largely absent for practical pur-  
1193 poses and the N-SV and NL regimes will be presented in this section. The  
1194 wind stress curl is present in the N-SV as a source of predominantly negative  
1195 vorticity, with the exception of a streak of positive vorticity associated with  
1196 the mid-Atlantic ridge (Fig. B1b). The NL regime is found along the shelf,  
1197 where the wind adds both positive and negative vorticity (Fig. B1d). The  
1198 bottom pressure torque is a largely positive source of vorticity in the N-SV  
1199 regime, but has notable patches of negative vorticity (Fig. B1f), as with the  
1200 wind stress curl, the NL regime has a less consistent pattern (Fig. B1h). The  
1201 advective component has largely positive contribution for the N-SV regime,  
1202 but the section along the mid-Atlantic ridge is again associated with the  
1203 opposite contribution (Fig. B1j). The advective component is a source of  
1204 largely negative vorticity in the NL regime, which is expected from the flow  
1205 interacting with the shelf and vortex stretching (Fig. B1l). For the non-linear  
1206 contributions to the vorticity, the N-SV has very little compared to the NL  
1207 regime, with the main feature being a negative streak along the shelf from the  
1208 southernmost edge to approximately 32°N (Fig. B1n). For the NL regime the

1209 contribution of the non-linear terms is positive (Fig. B1p).

1210

1211 A key distinction between subtropical Northern Hemisphere gyre circu-  
 1212 lation and that in the Southern Ocean is that the subtropical Northern  
 1213 Hemisphere sees a weak downwelling, while there is a distinct upwelling asso-  
 1214 ciated with the Southern Ocean gyre-circulation (also seen in the sub-polar  
 1215 gyre in the North Atlantic).

## 1216 Appendix C ECCOv4 and the meridional 1217 overturning

1218 The overall meridional overturning ( $\Psi_{z\theta}$ ) from Fig. 6 is defined as:

$$\Psi_{z\theta}(\theta, z) = - \int_{-H}^z \int_{\phi_2}^{\phi_1} v(\phi, \theta, z') d\phi dz',$$

1219 where  $z$  is the relative level depth and  $v$  is the meridional (north-south)  
 1220 component of velocity. For the regimes, the relevant velocity fields were then  
 1221 used. A positive  $\Psi_{z\theta}$  signifies a clockwise circulation, while a negative  $\Psi_{z\theta}$   
 1222 signifies an anticlockwise circulation.

1223

To assess changes in the global circulation, the meridional overturning  
 streamfunction (Units: 1 Sv= $10^6 m^3/s$ ) in density space,  $\Psi_\sigma$  [95?] is calculated  
 as a function of  $y$  (or latitude):

$$\Psi_\sigma(y, t) = \frac{1}{\Delta t} \int_t^{t+\Delta t} \int \int_{\sigma' \leq \sigma} v dx dz dt, \quad (C5)$$

1224 where  $\sigma$  is the potential density relative to 2000 dbar,  $\Delta t$  is the timestep  
1225 (5-days) and  $v$  is the meridional velocity.

1226

1227 In decomposing  $\Psi$ , we note that Helmholtz' theorem is not valid, and  
1228 stress that the individual dynamical regime contributions should not be seen  
1229 as streamfunctions in their own right. This is because large meridional mean-  
1230 ders (such as if the ACC were to meander in and out of a latitudinal range)  
1231 could imply significant residuals.

## 1232 **Appendix D Additional figures of drivers and** 1233 **resultant currents**

1234 Demonstrating the spatial relations of the drivers and the path of the ACC.  
1235 In Fig. D3 the locations where the ACC reaches the bathymetry and intensi-  
1236 fies is demonstrated and its co-location with the NL dynamical regime. This  
1237 co-location is expected as illustrated in Fig. 8 and 3 where the non-linear  
1238 torques are associated with the direction of the ACC and the conservation of  
1239 potential vorticity dictates that the current compresses.

1240

1241 The non-linear torques (Fig. D5) and the bottom pressure torque (Fig. D4)  
1242 impact the ACC location.

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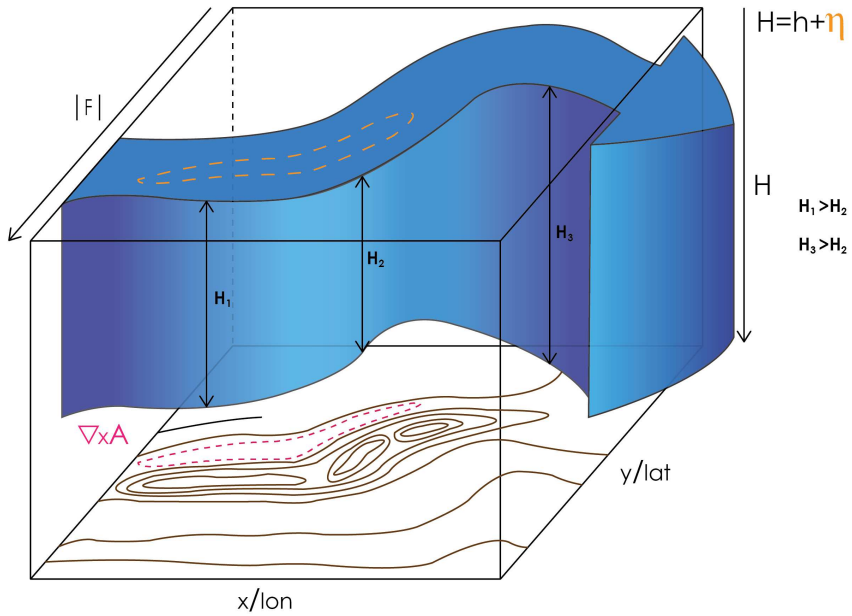
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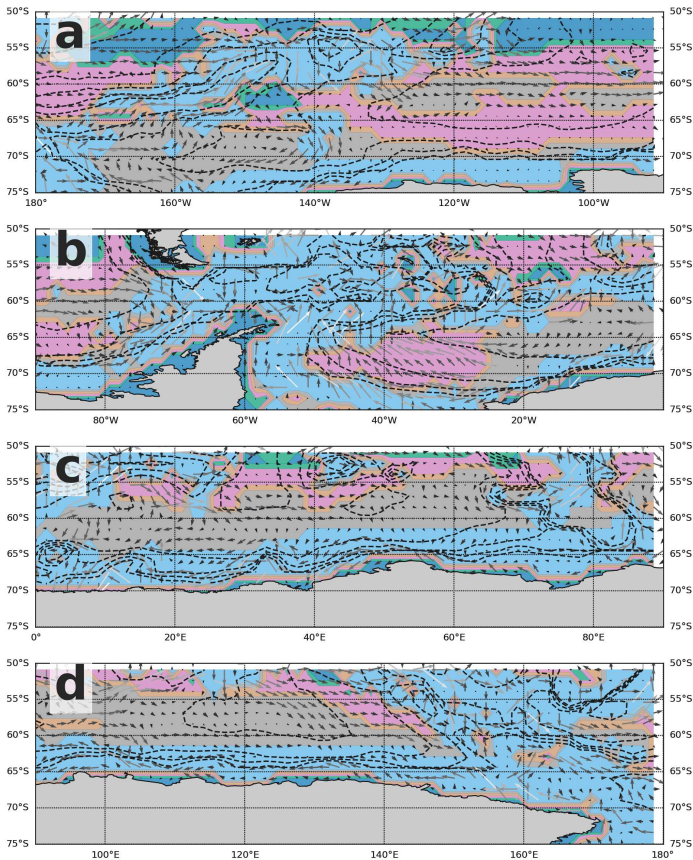


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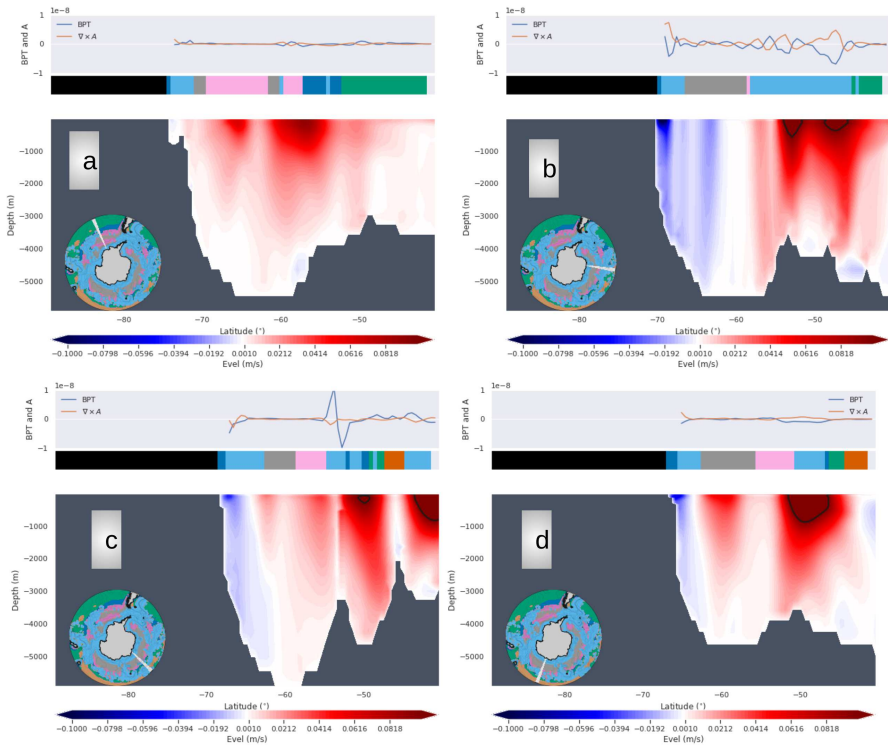
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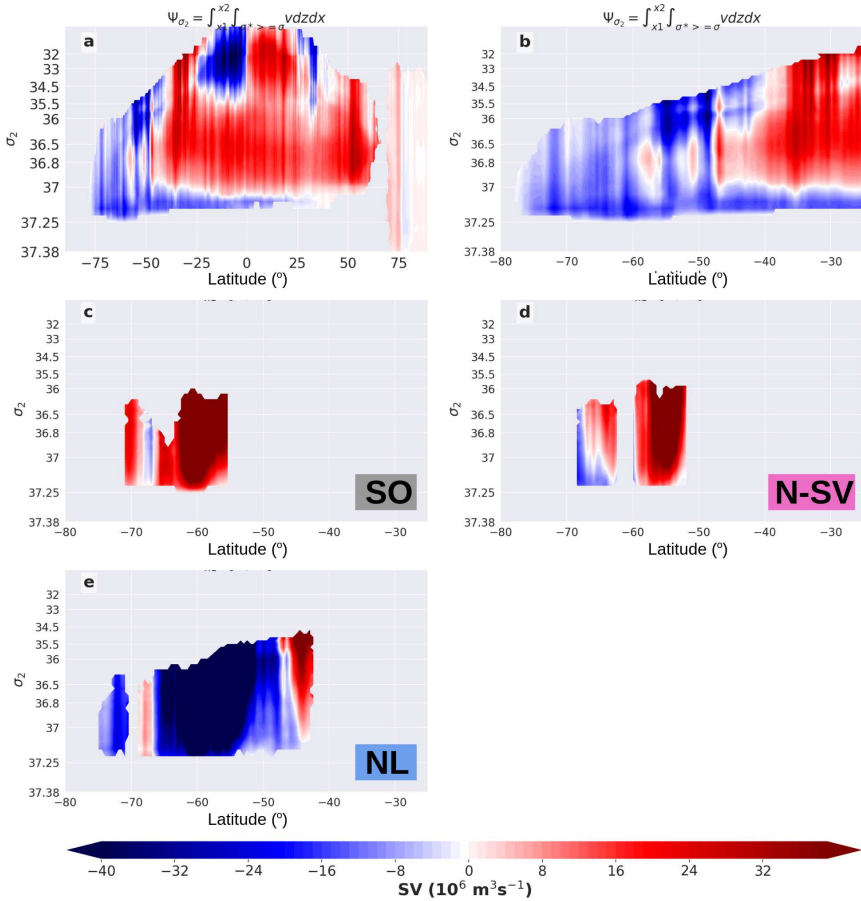
**Fig. 3** Illustration of topographic interactions, non-linear torque and dynamic sea level. The pink colour illustrates where one could expect the non-linear torques to be found relative to a bathymetric obstacle illustrated with the brown contours. The large arrow is a representation of a strong zonal current, with the depth being changed relative to the obstacle. The sea surface change is proportional to the pressure buildup and offset from the bathymetric obstacle. Figure not to scale and intended for strictly illustration purposes.



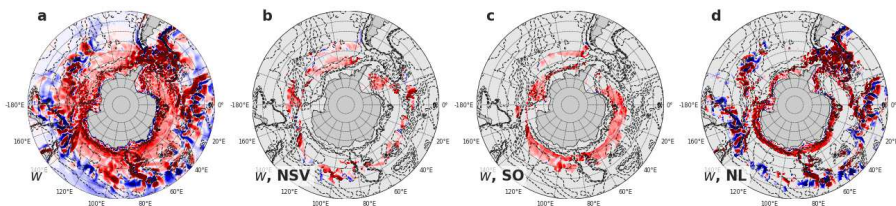
**Fig. 4 Current direction in bottom layers.** The current magnitudes of the bottom five grid cells. The arrows are scaled to illustrate the relative magnitudes. The velocities are very different, and for clarity the arrows are also shaded in grey-scale where large magnitudes are in white and less intense currents are in white. The path of the Antarctic Circumpolar Current is illustrated further in Fig. D3. The colours are the dynamical regimes, where the grey is the SO topographic Sverdrup regime, the pink in the N-SV Sverdrupian regime, and the light blue is the NL regime where non-linear terms dominate. Stippled lines are the geostrophic contours.



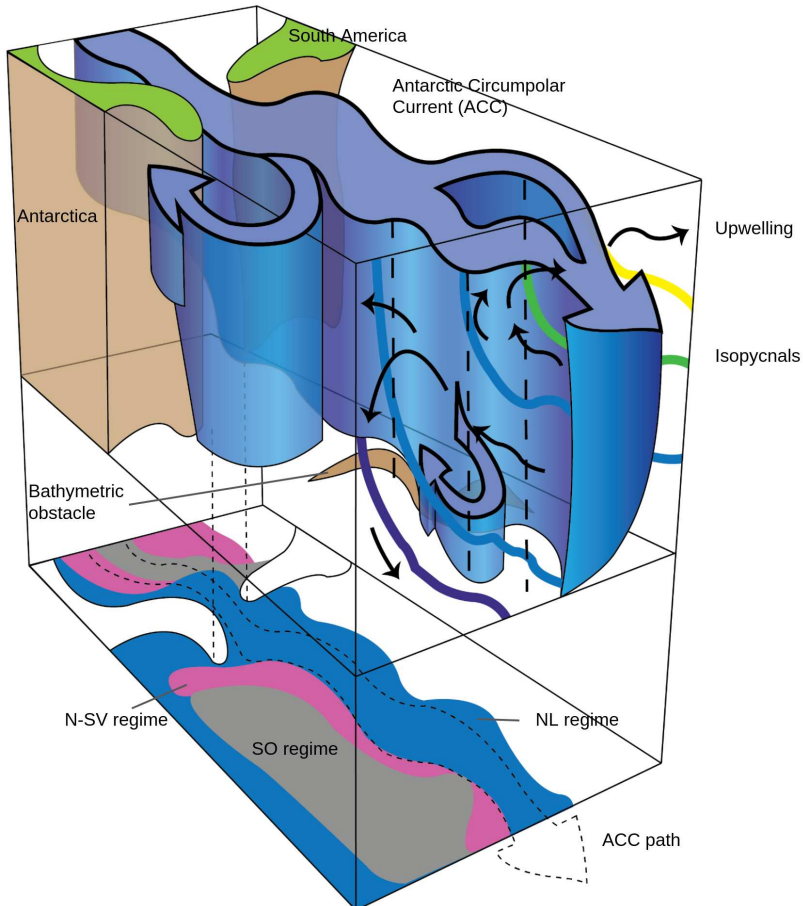
**Fig. 5** **Transect.** Composite figures of different transects. Within each figure: Top shows the bottom pressure torque and  $\nabla \times A$ , the middle shows the present dynamical regimes and the lower shows the zonal velocities with eastwards being positive. The black contour. The inset globe illustrates the location of the transect with a white line, and colours on the globe are the dynamical regimes as shown in Fig. 1a.



**Fig. 6** The streamfunction ( $\Psi$ ) in density coordinates ( $\sigma_2$ ), and the contribution of individual dynamical regimes. The full streamfunction is shown in panel a, and panel b shows a closeup of the Southern Ocean region. Panel c shows the component of the streamfunction given by using only the meridional circulation present in the topographic Sverdrup balance (SO, grey), panel d shows the Sverdrupian (N-SV, pink) regime and panel e shows the non-linear regime (NL, light blue). Adding panels c, d and e would result in the full streamfunction depicted in panels a and b. Red denotes clockwise and blue denotes counterclockwise circulation.

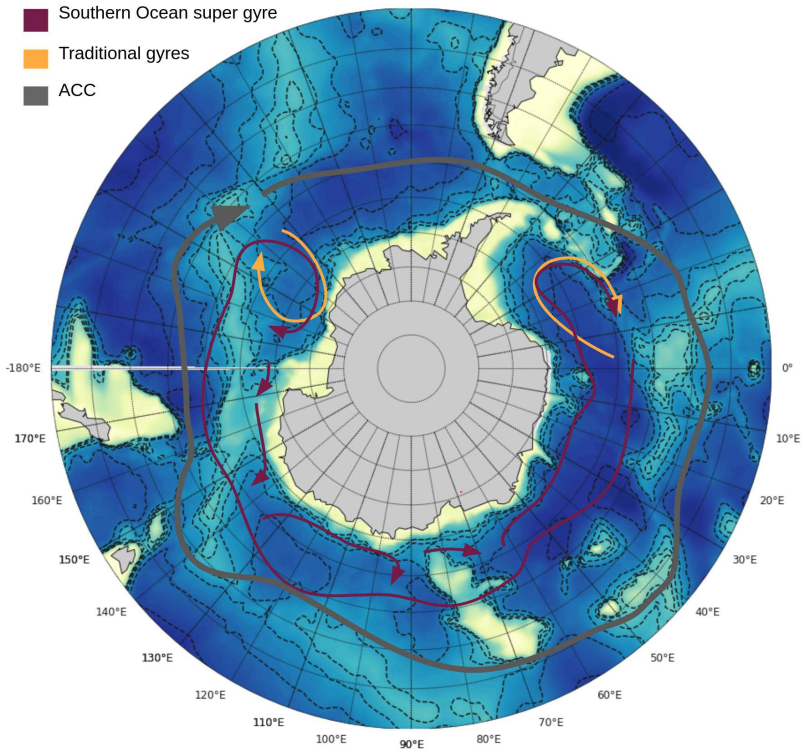


**Fig. 7** The vertical velocity ( $w$ ,  $\text{m s}^{-1}$ ). The  $w$  broken down by dynamical regime, integrated from ca. 550 to 4000m. Panel a shows the full region, panel b the N-SV regime, panel c the SO regime and panel d the NL regime.



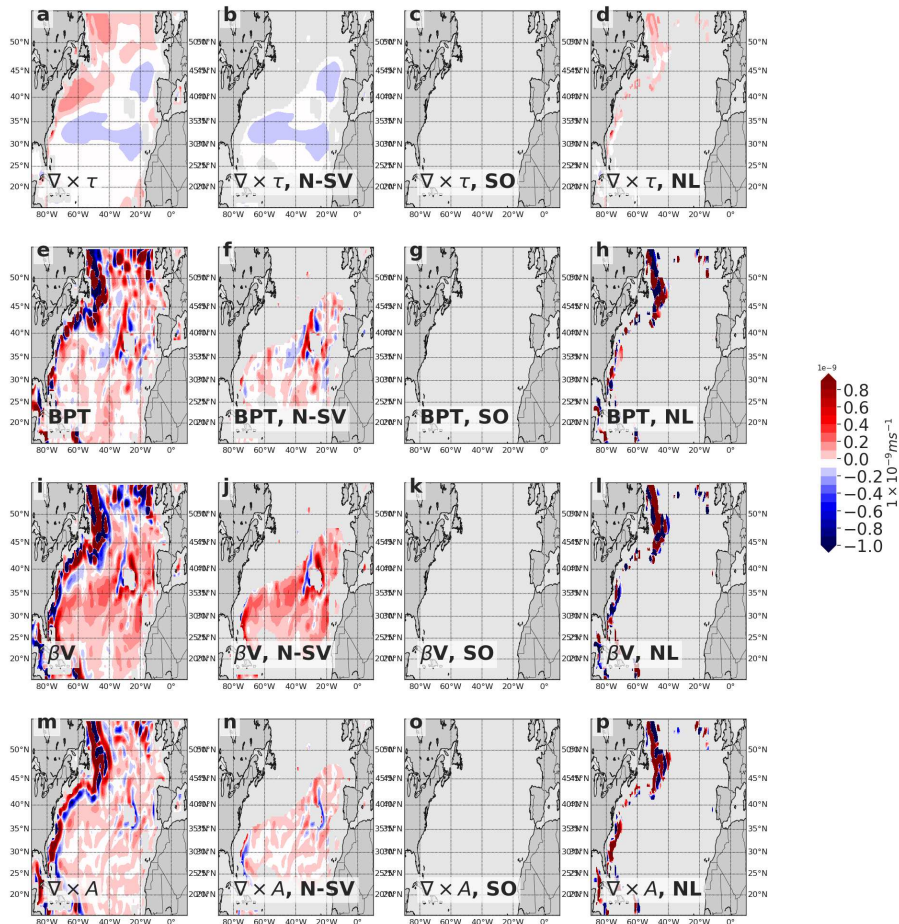
**Fig. 8 Sketch of overall dynamics.** The Antarctic Circumpolar Current (ACC, large blue arrow and stippled line on bottom) squeezes through the Drake Passage between Antarctica and South America. Dissipation, revealed as nonlinearities in the NL regime (light blue) are heightened where the ACC veers North encountering bathymetry (brown), and elevated dissipation allows a clockwise circulation following the obstacle. In the SO regime (gray) upwelling is seen as a result, as isopycnals (coloured lines showing overall effect in whole box with purple to yellow indicating decreasing density) tilt upwards as a result of strong winds southwards of the ACC.



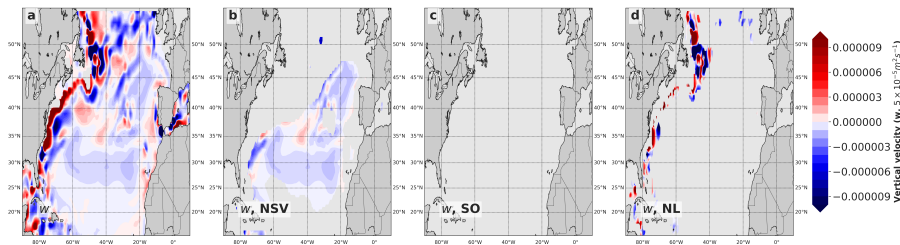


**Fig. 9 Sketch illustrating difference in old and proposed frameworks.** The yellow arrows indicate where the individual gyres are located, in the Weddell and Ross gyres. The magenta arrows indicate the area covered by the supergyre. The gray arrow is a very rough approximate location of the ACC represented as just one arrow.

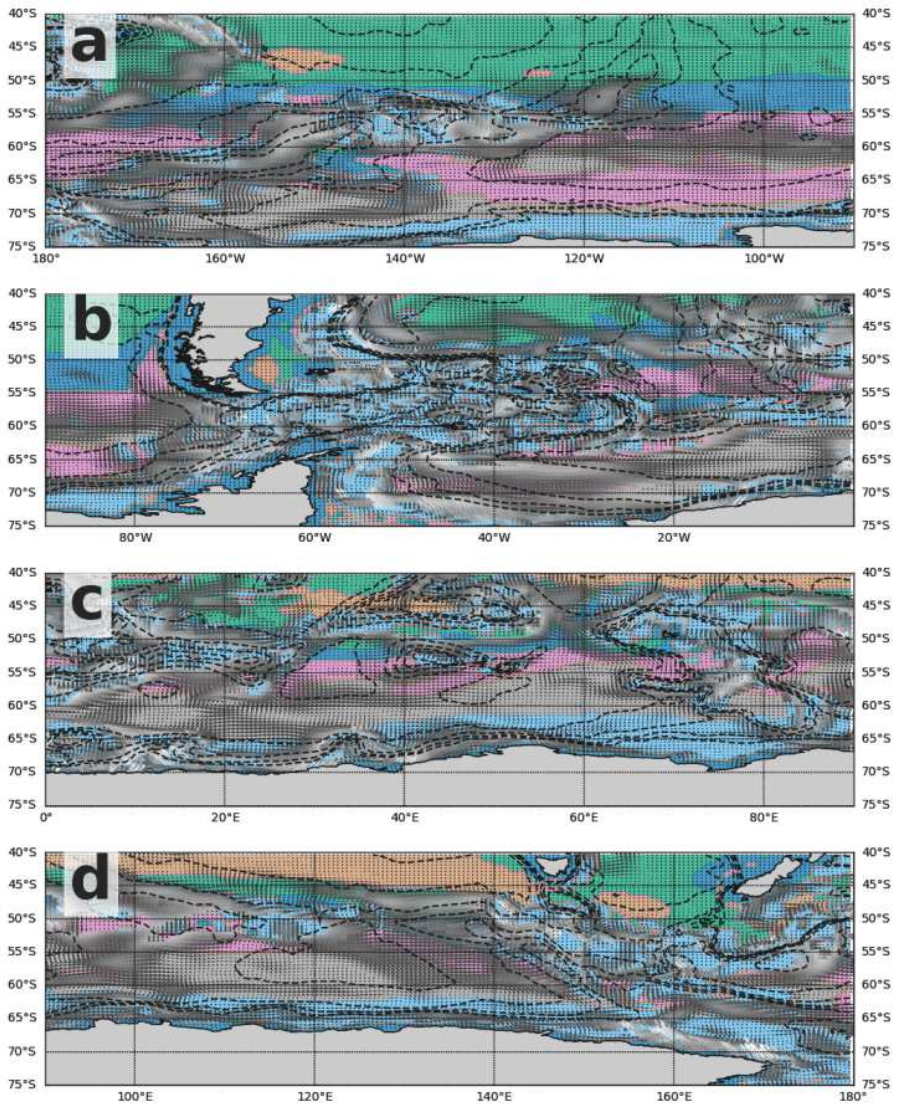




**Fig. B1** The terms of the barotropic vorticity equation centered on the subtropical North Atlantic. The first row (a-d) represent the wind stress curl ( $\nabla \times \tau$ ). The second row from the top show the bottom pressure torque (e-h, BPT). The third row from the top represents the advective component of the flow (i-l,  $\beta \mathbf{V}$ ). The bottom row represents the curl of the non-linear terms (m-p,  $\nabla \times \mathbf{A}$ ). The portions in columns two to four are broken down by dynamical regime. The first column a shows the full region, column two the N-SV regime, column three the SO regime and column four the NL regime.

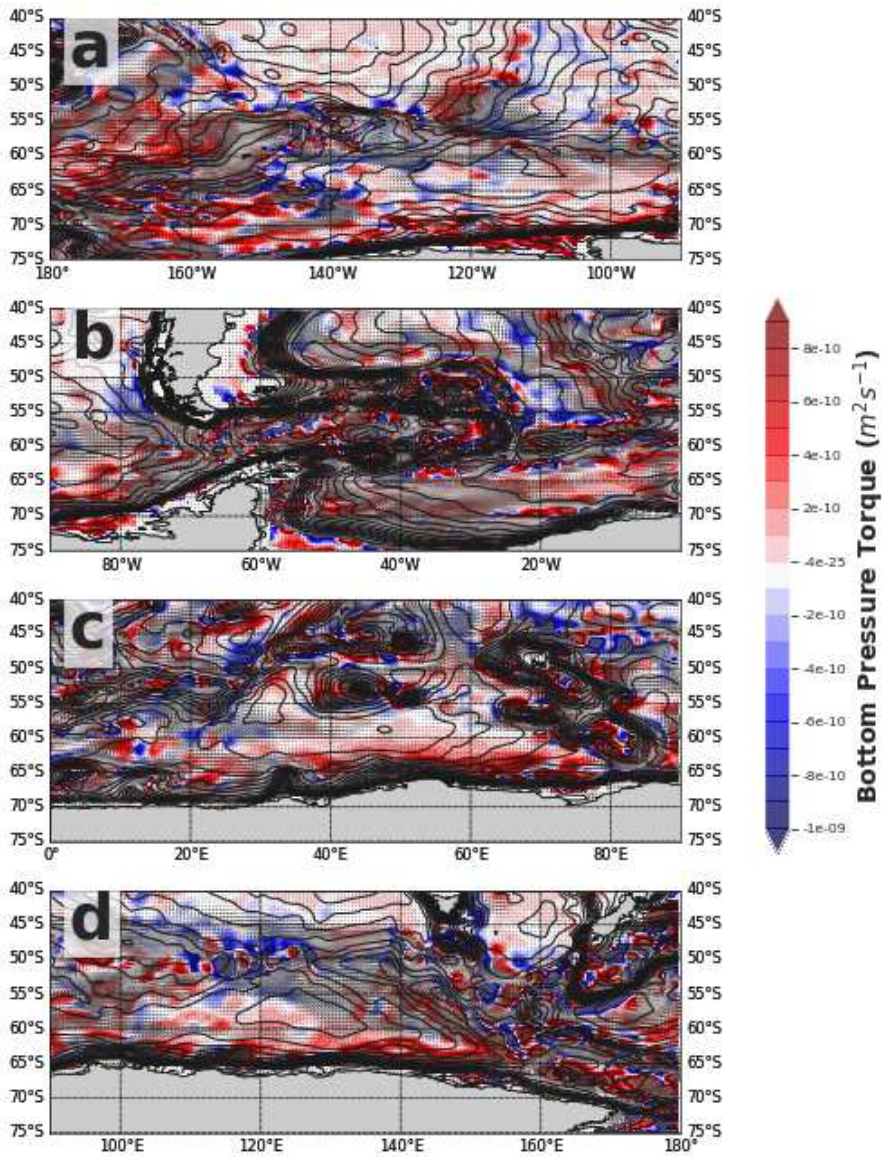


**Fig. B2** The vertical velocity ( $w$ ,  $m^s s^{-1}$ ). The  $w$  broken down by dynamical regime, integrated from ca. 550 to 4000m. Panel a shows the full region, panel b the N-SV regime, panel c the SO regime and panel d the NL regime.

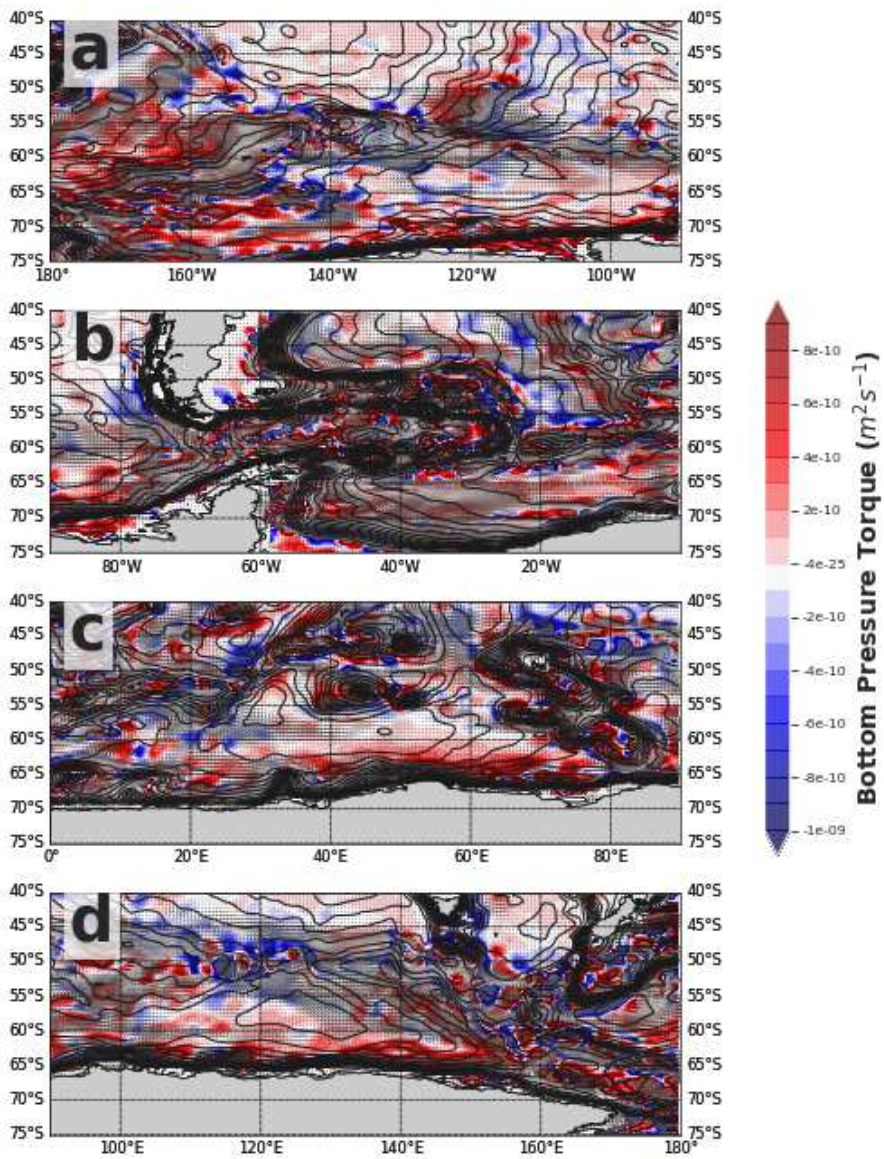


**Fig. D3** Flow scaled to illustrate intense currents. The current magnitudes of the bottom five grid cells. Arrows are scaled slightly and coloured as in Fig. 4. Colours represent the dynamical regimes. The black lines are contours of  $H$ . Note the regions of current intensification associated with bathymetric obstacles and areas where several jets form.





**Fig. D4** The bottom pressure torque and flow. Colours represent the bottom pressure torque, arrows are gray-scaled and their magnitude scaled to reveal structures. The black lines are contours of  $H$ .



**Fig. D5** The non-linear torques and flow. Colours represent the non-linear torques, arrows are gray-scaled and their magnitude scaled to reveal structures. The black lines are contours of H.