

## THE SYMBOLIC REPRESENTATION OF BILLIARDS WITHOUT BOUNDARY CONDITION

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**ABSTRACT.** We consider a dynamical system with elastic reflections in the whole plane and show that such a dynamical system can be represented as a symbolic flow over a mixing subshift of finite type. This fact enables us to prove an analogue of the prime number theorem for the closed orbits of such a dynamical system.

### INTRODUCTION

Let  $O_1, O_2, \dots, O_L$  ( $L \geq 3$ ) be a finite number of bounded domains in  $\mathbb{R}^2$  with smooth boundaries  $\partial O_1, \partial O_2, \dots, \partial O_L$ . We assume that the closures  $\overline{O_j} = O_j \cup \partial O_j$  of  $O_j$  are strictly convex and mutually disjoint. Consider the motion of a particle in the exterior domain  $O = \mathbb{R}^2 \setminus \bigcup_{j=1}^L \overline{O_j}$ , which obeys the law of reflection: "the particle moves along the straight line with unit speed in  $O$  and reflects at the boundary  $\partial O = \bigcup_{j=1}^L \partial O_j$  so that the angle of the reflection coincides with the angle of the incidence." We can describe this motion of a particle by a dynamical system (a flow)  $S_t$  on the unit tangent bundle over  $O$ . We call the flow  $S_t$  a billiard without boundary condition in the light of the Sinai's billiard in [6], which is defined on the unit tangent bundle over 2-torus  $T^2$ , i.e., the billiard with periodic boundary condition.

The purpose of this paper is to prove the following theorems:

**Theorem 1.** *Under the hypotheses (H.1) and (H.2) (see §2), the flow  $S_t$  restricted to the nonwandering set can be represented as a symbolic flow  $\sigma_t$  over an appropriate subshift of finite type so that the corresponding closed orbits have the same period (see Proposition 3.1).*

**Theorem 2.** *Under the hypotheses (H.1) and (H.2), there is a positive constant  $h$  such that an analogue of the prime number theorem*

$$\#\{\gamma; \exp[hT_\gamma] \leq x\} \cdot \frac{\log x}{x} \rightarrow 1 \quad (x \rightarrow \infty)$$

*holds, where  $\gamma$  and  $T_\gamma$  denote the prime closed orbit of  $S_t$  and its period respectively.*

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It is not hard to see that Theorem 2 is obtained by combining Theorem 1 and the result in Parry and Pollicott [5] (see §3).

It will be meaningful to note that the closed orbits of the dynamical system  $S_t$  make the essential contribution to the singular support of the distributional function  $\sum_{\lambda_i \in \text{Spec } \Delta} \cos \lambda_i^{1/2} t$  (see [1]) and they are closely related to the poles of the scattering matrix as mentioned in Ikawa [2] and [3] etc., where  $\text{Spec } \Delta$  denotes the set of eigenvalues of the selfadjoint realization of the Laplace operator with the appropriate boundary condition.

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### 1. PRELIMINARIES

In this section we prepare the basic notions for the later convenience.

Let  $O_1, O_2, \dots, O_L$  ( $L \geq 3$ ) be a finite number of bounded domains in  $\mathbb{R}^2$  as in the beginning of Introduction. We denote by  $S\mathbb{R}^2 = \mathbb{R}^2 \times S^1 = \{(q, v) \in \mathbb{R}^2 \times \mathbb{R}^2; |v| = 1\}$  the unit tangent bundle over  $\mathbb{R}^2$  and  $\pi : S\mathbb{R}^2 \rightarrow \mathbb{R}^2$  the natural projection, where  $|\cdot|$  denotes the usual Euclidean norm. Choose a point  $q_j \in \partial O_j$  for each  $j$  and define the following quantities for  $x = (q, v) \in \partial O = \bigcup_{j=1}^L \partial O_j$ :

$$(1.1) \quad \left\{ \begin{array}{l} \xi_0(x) = j, \quad \text{if } q \in \partial O_j; \\ r(x) = \text{the arclength between } q_{\xi_0(x)} \text{ and } q, \\ \quad \text{measured clockwise along the curve } \partial O_{\xi_0(x)}; \\ \phi(x) = \text{the angle between the vector } v \text{ and the} \\ \quad \text{unit innernormal } n(q) \text{ of } \partial O_{\xi_0(x)} \text{ at } q, \\ \quad \text{measured unitclockwise.} \end{array} \right.$$

Therefore  $\pi^{-1}(\partial O)$  is parametrized as

$$(1.2) \quad \pi^{-1}(\partial O) = \{(j, r, \phi); 1 \leq j \leq L, \\ 0 \leq r < \text{the perimeter of } \partial O_j, \text{ and } 0 \leq \phi < 2\pi\}.$$

Put

$$(1.3) \quad \left\{ \begin{array}{l} M_- = \{x \in \pi^{-1}(\partial O), \frac{\pi}{2} \leq \phi(x) \leq \frac{3}{2}\pi\}, \text{ and} \\ M_+ = \{x \in \pi^{-1}(\partial O), \frac{\pi}{2} < \phi(x) + \pi < \frac{3}{2}\pi \text{ mod } 2\pi\}. \end{array} \right.$$

We introduce the following equivalence relation ' $\sim$ ' to  $\pi^{-1}(\partial O)$ :

$$(1.4) \quad x \sim y \quad \text{if and only if } \text{Inv}(x) = y \text{ or } x = y,$$

where  $\text{Inv} : \pi^{-1}(\partial O) \rightarrow \pi^{-1}(\partial O)$  is defined by

$$(1.5) \quad \text{Inv}(j, r, \phi) = (j, r, \pi - \phi) \text{ mod } 2\pi.$$

It is natural to identify  $(\pi^{-1}(\partial O))/\sim$  with  $M_-$  and we often use this identification without specification. Put

$$(1.6) \quad M = \pi^{-1}(O) \cup (\pi^{-1}(\partial O)/\sim) = \pi^{-1}(O) \cup M_-.$$

Now we recall the notion of the billiard without boundary condition. Consider the motion of a particle which moves along the straight line with unit speed in  $O = \mathbb{R}^2 \setminus \bigcup_{j=1}^L \overline{O}_j$  and reflects at the boundary  $\partial O = \bigcup_{j=1}^L \partial O_j$  according to the law of reflection: the angle of reflection coincides with that of incidence. Then the motion determines a dynamical system (a flow) on  $M$  in a canonical way (see Remark 1.2 below). We call it a billiard without boundary condition.

*Remark 1.1.* It is easy to see that  $M_-$  and  $M_+$  denote the set of the incidental vectors and the set of the reflection vectors respectively.

We define the first collision time  $\tau_+$  and the last collision time  $\tau_-$  by

$$(1.7) \quad \begin{cases} \tau_+(x) = \inf\{t > 0, \pi(S_t x) \in \partial O\} \\ \tau_-(x) = \sup\{t < 0, \pi(S_t x) \in \partial O\}. \end{cases}$$

Here we regard  $\tau_+(x)$  (resp.  $\tau_-(x)$ ) as  $+\infty$  (resp.  $-\infty$ ) if the set in the definition is empty.

*Remark 1.2.* Let  $x = (q, v)$ ,  $M = \pi^{-1}(O) \cup M_-$ . We note that the flow  $S_t$  is defined so that

$$S_t x = \begin{cases} (q + tv, v), & (x \in \pi^{-1}(O)), \\ (q + t\tilde{v}, \tilde{v}), & (x \in M_-) \end{cases}$$

if  $0 < t < \tau_+(x)$ , where  $\tilde{v}$  is determined by the formula  $\text{Inv}x = (q, \tilde{v})$ .

Put

$$(1.8) \quad \Omega = \{x \in M, \pi(S_t x) \in \partial O \text{ for both infinitely many } t > 0 \text{ and infinitely many } t < 0\}.$$

Clearly,  $\Omega$  coincides with the nonwandering set of the flow  $S_t$ . Put

$$(1.9) \quad \Omega_0 = \pi^{-1}(O) \cap \Omega \quad \text{and} \quad \Omega_- = M_- \cap \Omega.$$

We define the local maps  $T$  and  $T^{-1}$  by

$$(1.10) \quad \begin{cases} T(x) = S_{\tau_+(x)}(x) & \text{if } \tau_+(x) < +\infty, \\ T^{-1}(x) = S_{\tau_-(x)}(x) & \text{if } \tau_-(x) > -\infty, \end{cases}$$

respectively.

It is not hard to see that the above notation  $T^{-1}$  is compatible with the definition of the inverse map of  $T$  and  $T$  is locally diffeomorphic.

*Remark 1.3.* Consider the flow  $S_t$  restricted to  $\Omega$ . The set  $\Omega_-$  and the first collision time  $\tau_+$  play the role of the Poincaré section and the Poincaré map respectively.

For  $x \in M_-$  ( $= \pi^{-1}(\partial O)/\sim$ ), we put

$$(1.11) \quad \xi_j(x) = \xi_0(T^j x) \quad \text{if } T^j \text{ is defined.}$$

We call the sequence  $\xi = (\xi_j)_{j=-\infty}^{\infty}$  the itinerary of  $x \in \Omega_-$  if  $\xi_j = \xi_j(x)$  and write  $\xi$  as  $\xi(x)$ .

We conclude this section by stating the following lemma. The proof is due to elementary calculation of the Jacobi matrix of  $T$ , and it can be found in [4]. Therefore we omit the proof.

**Lemma 1.1.** *Let  $C$  be a curve of class  $C^1$  in  $M_-$  which is represented as  $\{(j, r, \phi); \phi = \psi(r), a \leq r \leq b\}$ , where  $\psi$  is a  $C^1$ -function in  $r$ . Assume that  $T$  (resp.  $T^{-1}$ ) is defined and continuous on  $C$ . If the image  $C_1 = TC$  (resp.  $C_{-1} = T^{-1}C$ ) is represented as  $\{(j_1, r_1, \phi_1); \phi_1 = \psi_1(r_1), a_1 \leq r_1 \leq b_1\}$  (resp.  $\{(j_{-1}, r_{-1}, \phi_{-1}); \phi_{-1} = \psi_{-1}(r_{-1}), a_{-1} \leq r_{-1} \leq b_{-1}\}$ ), where  $\psi_1$  (resp.  $\psi_{-1}$ ) is  $C^1$ -function in  $r_1$  (resp.  $r_{-1}$ ), then we have:*

$$(1.12) \quad \frac{d\psi_1}{dr_1} = k(r_1) - \frac{\cos \psi_1}{\cos \psi} \left( \frac{\tau_+(j, r, \phi)}{\cos \psi} - \left( \frac{d\psi}{dr} + k(r) \right)^{-1} \right)^{-1} \\ \left( \text{resp. } \frac{d\psi_{-1}}{dr_{-1}} = -k(r_{-1}) - \frac{\cos \psi_{-1}}{\cos \psi} \left( \frac{\tau_-(j, r, \phi)}{\cos \psi} - \left( \frac{d\psi}{dr} + k(r) \right)^{-1} \right)^{-1} \right),$$

$$(1.13) \quad \frac{dr_1}{dr} = -\frac{\cos \psi}{\cos \psi_1} \left( 1 - \frac{\tau_+(j, r, \phi)}{\cos \psi} \left( \frac{d\psi}{dr} + k(r) \right) \right) \\ \left( \text{resp. } \frac{dr_{-1}}{dr} = -\frac{\cos \psi}{\cos \psi_{-1}} \left( 1 - \frac{\tau_-(j, r, \phi)}{\cos \psi} \left( \frac{d\psi}{dr} - k(r) \right) \right) \right),$$

where  $k(r)$  denotes the curvature of  $\partial O_j$  at  $(j, r, \phi)$ , etc.

## 2. WELL-POSEDNESS OF ITINARARY PROBLEM

From now on we assume:

**(H.1)** (convexity). *For each  $j = 1, 2, \dots, L$  boundary  $\partial O_j$  of  $O_j$  is a simple closed curve with nonvanishing curvature.*

**(H.2)** (no eclipse). *For any triple  $(j_1, j_2, j_3)$  of distinct indices,*

$$\text{conv}[O_{j_1} \cup O_{j_2}] \cap O_{j_3} = \emptyset,$$

where  $\text{conv}[B]$  denotes the convex hull of the set  $B$ .

We introduce the following shift dynamical system. Let  $A$  be an  $L \times L$ -matrix with entries  $A(i, j) = (1 - \delta_{ij})$ ,  $1 \leq i, j \leq L$ , where  $\delta_{ij}$  denotes the

Kronecker's delta. Put

$$(2.1) \quad \Sigma = \Sigma_A = \left\{ \xi = (\xi_j)_{j=-\infty}^{\infty} \in \prod_{j=-\infty}^{\infty} \{1, 2, \dots, L\}; \right. \\ \left. A(\xi_j, \xi_{j+1}) = 1 \text{ for any } j \right\}.$$

We define  $d_\rho : \Sigma \times \Sigma \rightarrow R$  by

$$(2.2) \quad d_\rho(\xi, \eta) = \rho^n \text{ if } \xi_j = \eta_j \text{ for } |j| < n \text{ and } \xi_n \neq \eta_n \text{ or } \xi_{-n} \neq \eta_{-n}.$$

It is easy to see that  $d_\rho$  becomes a metric on  $\Sigma$  which defines the same topology as the induced topology of  $\Sigma$  as a subset of the product space

$$\prod_{j=-\infty}^{\infty} \{1, 2, \dots, L\}.$$

The shift transformation  $\sigma : \Sigma \rightarrow \Sigma$ ,  $(\sigma\xi)_j = \xi_{j+1}$ ,  $j \in Z$  is well defined and the shift dynamical system  $(\Sigma, \sigma)$  is a typical example of a mixing subshift of finite type (see [5]). Consider the billiard without boundary condition  $S_i$ . We say that a point  $x \in \Omega_-$  solves the itinary problem

$$(2.3) \quad \xi(y) = \xi \in \Sigma$$

or  $x$  is a solution of the itinary problem (2.3) if the itinary  $\xi(x)$  of  $x$  coincides with the sequence  $\xi$ .

Under the hypotheses (H.1) and (H.2) we prove that the itinary problem (2.3) is well-posed in the following sense.

**Theorem 0** (the Lipschitz well-posedness of the itinary problem). *If the hypotheses (H.1) and (H.2) are satisfied, there exists a unique  $x \in \Omega_-$  which solves the itinary problem (2.3) for any  $\xi \in \Sigma$ . In addition, if we denote by  $x(\xi)$  the solution of the itinary problem (2.3), there exist constants  $C > 0$  and  $0 < \rho < 1$  such that*

$$(2.4) \quad |\tau_+(x(\xi)) - \tau_+(x(\eta))| < C d_\rho(\xi, \eta) \text{ for any } \xi, \eta \in \Sigma,$$

where  $d_\rho$  denotes the metric on  $\Sigma$  defined by (2.2).

We prepare an a priori estimate for the proof of Theorem 0.

**Lemma 2.1** (a priori estimate). *Let  $x$  and  $y$  be elements in  $M_-$ . Assume  $T^j$  is well defined and  $\xi_j(x) = \xi_j(y)$  for each  $j$  with  $-n \leq j \leq n$  ( $n \geq 1$ ). Then the arclength  $r(x, y)$  between  $\pi(x)$  and  $\pi(y)$  satisfies*

$$(2.5) \quad r(x, y) \leq c_0 l (1 + \eta)^{-n},$$

where  $c_0$  is a positive constant independent of  $x$  and  $y$ ,

$$l = \max\{\text{the perimeter of } \partial O_j, j = 1, 2, \dots, L\} \text{ and} \\ \eta = \min\{\text{the distance between } \overline{O_i} \text{ and } \overline{O_j}, 1 \leq i < j \leq L\} \\ \times \min\{k(q), q \leq \partial O_j, j = 1, 2, \dots, L\}.$$

*Proof.* Let  $C$  be a  $C^1$ -curve in  $M_-$  as in Lemma 1.1. We call it an increasing (resp. decreasing) curve if  $\frac{d\psi}{dr} \geq 0$  (resp.  $\frac{d\psi}{dr} \leq 0$ ). Assume that  $x, y \in M_-$  satisfy the assumption of Lemma 2.1. Then we may write  $T^j x = (r_j(x), \phi_j(x))$ ,  $j = -n, -(n-1), \dots, n-1, n$  without confusion. First we connect  $x$  and  $y$  by a line segment  $C_0$  in  $M_-$ . We may assume that  $r_0(x) < r_0(y)$ . If  $\phi_0(x) \leq \phi_0(y)$ ,  $C_0$  becomes an increasing curve in  $M_-$ . Therefore it is not hard to show that  $T^j$  is continuous on  $C_0$  and the image  $C_j = T^j C_0$  turns out to be an increasing curve for each  $j = 1, 2, \dots, n$  in the same way as in the proof of Lemma 4.1 in [4]. Thus  $C_j$  can be expressed as  $\{(r_j, \phi_j), \phi_j = \psi_j(r_j), a_j \leq r_j \leq b_j\}$  with  $d\psi_j/dr_j \geq 0$  for each  $j$ . In virtue of the formula (1.13), we obtain

$$(2.6) \quad \frac{dr_n}{dr_0} = \frac{dr_n}{dr_{n-1}} \frac{dr_{n-1}}{dr_{n-2}} \dots \frac{dr_1}{dr_0} = (-1)^n \frac{\cos \psi_n}{\cos \psi_0} \prod_{j=1}^n b_j,$$

where  $b_j = (1 - \tau_+(T^j(r_0, \phi_0)))(d\psi_j/dr_j + k(r_j))$ . Since  $d\psi_j/dr_j \geq 0$  for all  $j = 0, 1, \dots, n-1$ , we have

$$(2.7) \quad |b_j| \geq 1 + \eta$$

from the formula (1.12). Thus we have

$$(2.8) \quad \left| \frac{dr_n}{dr_0} \right| \geq |\cos \phi_0| (1 + \eta)^n.$$

Therefore we obtain

$$(2.9) \quad r(x, y) \leq |\cos \phi_0|^{-1} (1 + \eta)^{-n}.$$

On the other hand it is easy to show that  $|\cos \phi_0|$  is bounded below by a positive constant which is independent of  $x$  and  $y$  in virtue of the hypotheses (H.1) and (H.2). Hence we have proved the inequality (2.5) when  $\phi_0(x) \leq \phi_0(y)$ . If  $\phi_0(x) > \phi_0(y)$ , we can prove the estimate (2.5) in the same manner, by using  $T^j$ ,  $-n \leq j \leq -1$ , instead of  $T^j$ ,  $1 \leq j \leq n$ .  $\square$

Now we can prove Theorem 0. The Lipschitz continuity (2.4) of the first collision time is an immediate consequence of Lemma 2.1 if we take  $(1 + \eta)^{-1}$  as  $\rho$ . The uniqueness of the itinerary problem follows from the estimate (2.4). Therefore it suffices to show the existence. First we assume that  $\xi$  is periodic, i.e.,  $\xi_{n+m} = \xi_n$  for some  $m > 0$ , for all  $n \in \mathbb{Z}$ . Consider the following minimal value problem

$$(2.10) \quad l(q^0, q^1, \dots, q^{m-1}) = \sum_{j=0}^{m-1} |q^j - q^{j-1}|,$$

$$q^j \in \partial O_{\xi_j}, \quad j = 0, 1, \dots, m-1,$$

where  $q^{-1} = q^{m-1}$ .

Hypotheses (H.1) and (H.2) imply that there exists  $(p^0, p^1, \dots, p^{m-1})$  which minimizes  $l(q^0, q^1, \dots, q^{m-1})$  in virtue of the Borzano Weierstrass theorem. The points  $p^0, p^1, \dots, p^{m-1}$  have to satisfy the equations

$$(2.11) \quad \frac{\partial l}{\partial q_k^j} = \lambda_j \frac{\partial f_j}{\partial q_k^j}(p^j), \quad j = 0, 1, \dots, m-1 \quad \text{and} \quad k = 1, 2, \dots,$$

where the curves  $\partial O_{\xi_j}$  are assumed to be represented as  $f_j(q^j) = 0$  in the neighborhood of  $p^j$ , and  $\lambda_j$  denote the Lagrange multipliers.

The equations (2.11) are nothing but the law of reflections. Therefore the existence of the solution of the itinerary problem has been proved when  $\xi$  is periodic.

Let  $\xi$  be an element in  $\Sigma$  which is not periodic. Choose  $\xi^m \in \Sigma$  which is periodic and  $d_\rho(\xi^m, \xi) \rightarrow 0, (m \rightarrow \infty)$ . Let  $x^m$  be the unique solution of the itinerary problem  $\xi(x) = \xi^m$ . The estimate (2.5) in Lemma 2.1 implies that

$$r(T^j x^m, T^j x^{m+1}) \leq C \rho^{(\text{the period of } \xi^m) - |j|}$$

for  $|j| \leq$  the period of  $\xi^m$ . Therefore  $x^m$  converges to some  $x \in M_-$  and  $x$  satisfies  $\xi(x) = \xi$ . Now the proof of Theorem 0 is complete.

*Remark 2.1.* It is not necessary to use the inequality (2.5) to show the existence of the solution of the itinerary problem. One can show it by use of the diagonal argument.

### 3. PROOFS OF RESULTS

The purpose of this section is to complete the proofs of Theorem 1 and Theorem 2 in Introduction. Note that we always assume the hypotheses (H.1) and (H.2).

Define a function  $f$  on  $\Sigma$  by

$$(3.1) \quad f(\xi) = \tau_+(x(\xi)), \quad \text{for } \xi \in \Sigma,$$

where  $x(\xi)$  denotes the unique solution of the itinerary problem (2.3) as before. We denote by  $(\Sigma^f, \sigma_t)$  (simply  $\sigma_t$ ) the symbolic flow over  $\Sigma$  with ceiling function  $f$ . Precisely,  $\Sigma^f$  is the set  $\{(\xi, s); \xi \in \Sigma, 0 \leq s < f(\xi)\}$  with the identification  $(\xi, f(\xi)) = (\sigma\xi, 0)$  for any  $\xi \in \Sigma$ , and the flow  $\sigma_t$  on  $\Sigma^f$  is defined so that

$$(3.2) \quad \sigma_t(\xi, s) = (\sigma^k \xi, u), \quad \text{if } \sum_{j=0}^{k-1} f(\sigma^j \xi) \leq t + s < \sum_{j=0}^k f(\sigma^j \xi),$$

where  $u = t + s - \sum_{j=0}^{k-1} f(\sigma^j \xi)$  and so on.

Theorem 1 and Theorem 2 follow from Proposition 3.1 and Proposition 3.2 below, respectively.

**Proposition 3.1.** *The map  $h : \Sigma^f \rightarrow \Omega$  defined by  $h(\xi, s) = S_s(x(\xi))$  gives the conjugacy between the flows  $\sigma_t$  and  $S_t$  restricted to  $\Omega$  so that the corresponding closed orbits have the same period.*

*Proof.* Theorem 0 in §2 implies that the map  $h_0 : \Sigma \rightarrow \Omega_-$  defined by  $h_0(\xi) = x(\xi)$  is a homeomorphism with  $h_0(\sigma\xi) = x(\sigma\xi) = T(x(\xi)) = T(h_0(\xi))$ . Therefore  $h_0$  gives a conjugacy between the Poincaré maps  $\sigma$  of  $\sigma_t$  and  $T$  of  $S_t$  restricted to  $\Omega$ . On the other hand, the corresponding points  $\xi$  and  $h_0(\xi)$  have the same return time to  $\Sigma$  and  $\Omega_-$  respectively from the definition of  $f$ . Hence  $h$  gives a conjugacy between  $\sigma_t$  and  $S_t$  restricted to  $\Omega$ . Obviously the corresponding closed orbits have the same period.  $\square$

**Proposition 3.2.** *The ceiling function  $f$  cannot be represented as*

$$(3.3) \quad f = g \circ \sigma - g + aK,$$

where  $g$  denotes a real valued function,  $K$  an integer valued function, and  $a$  a positive constant.

*Remark 3.1.* Proposition 3.2 implies that the symbolic flow  $\sigma_t$  is topologically weak mixing. On the other hand the estimate (2.4) shows that the ceiling function  $f$  is Lipschitz continuous with respect to the metric  $d_\rho$ . Therefore we can obtain an analogue of the prime number theorem for the distribution of the prime closed orbits of the flow  $S_t$  by use of the zeta function

$$(3.4) \quad \begin{aligned} \zeta(s) &= \exp \left( \sum_{n=1}^{\infty} \frac{1}{n} \sum_{\sigma^n \xi = \xi} \exp \left[ -s \sum_{j=0}^{n-1} f(\sigma^j \xi) \right] \right) \\ &= \prod_{\gamma} (1 - \exp[-sT_\gamma])^{-1}, \end{aligned}$$

where  $\gamma$  denotes a prime closed orbit of  $S_t$  and  $T_\gamma$  is its period (see Parry and Pollicott [5]).

*Proof of Proposition 3.2.* Suppose that  $f$  can be represented as in (3.3). By using the similarity transformation we may assume that  $a = 1$ , i.e.,

$$(3.5) \quad f = g \circ \sigma - g + K,$$

where  $g$  is a real valued function and  $K$  is an integer valued function.

Since we already established the conjugacy in Proposition 3.1 we can identify the symbolic flow  $\sigma_t$  and the flow  $S_t$  restricted to  $\Omega$  without confusion. The assumption (3.5) yields that every closed orbit of  $S_t$  has an integer period. Now we restrict ourselves to three domains  $O_1$ ,  $O_2$ , and  $O_3$ .

For each  $n \geq 1$ , let  $\xi^n = (\xi_j^n)_{j=-\infty}^{\infty}$  be the sequence in  $\Sigma$  so that  $\xi_0^n = 1$ ;  $\xi_j^n = 2$  for odd  $j \leq 4n-1$ ;  $\xi_j^n = 3$  for even  $j \leq 4n-2$ ; and  $\xi_{m+4n}^n = \xi_m^n$  for any  $m \in \mathbb{Z}$ . Let  $\xi^0$  be the sequence in  $\Sigma$  with  $\xi_j^0 = 3$  for even  $j$  and  $\xi_j^0 = 2$  for odd  $j$ . We denote by  $x^{n,j}$  the unique element in  $\Omega_-$  which solves  $\xi(x) = \sigma^j \xi^n$ , and  $q^{n,j} = \pi(x^{n,j})$ . Namely,  $q^{n,j}$  denotes the position where the  $j$ th collision



occurs along the closed orbit  $\gamma_n = (S_t x^{n,0})_t$  starting from  $x^{n,0}$ , for  $n \geq 0$ . We note that the period  $T_n$  of  $\gamma_n$  has the minimal property which appeared in the proof of Theorem 0 (see the minimal value problem (2.11) in §2). The minimal property of  $T_n$  and the uniqueness of the solution of the itinerary problem imply that  $\gamma_n$  must be symmetric, i.e.,  $q^{n,2n+j} = q^{n,2n-j}$  for  $j \geq 1$ . We claim that

$$(3.6) \quad T_{n+1} \geq T_n + 2T_0 + 1, \quad \text{for } n \geq 1.$$

Consider a fictitious motion of a particle such that the particle moves along the orbit  $\gamma_{n+1}$  until it collides at  $q^{n+1,2n}$  and after that it returns to  $q^{n+1,0}$  taking the same way as it has taken to reach  $q^{n+1,2n}$ . It will be more convenient to introduce the following notation:

$$q^{n+1,0} \rightarrow q^{n+1,1} \rightarrow \dots \rightarrow q^{n+1,2n} \rightarrow q^{n+1,2n-1} \rightarrow \dots \rightarrow q^{n+1,1} \rightarrow q^{n+1,0},$$

where  $p \rightarrow q$  denotes that the fictitious particle moves from  $p$  to  $q$ .

Now we obtain a fictitious closed orbit  $\gamma'_n$  whose period  $T'_n$  is

$$l(q^{n+1,0}, q^{n+1,1}, \dots, q^{n+1,2n}, q^{n+1,2n-1}, \dots, q^{n+1,1}).$$

Therefore,  $T_n < T'_n$  in virtue of the minimal value problem (2.11). Thus we have

$$T_{n+1} \geq T'_n + 2T_0 > T_n + 2T_0.$$

We used the fact that  $\gamma_{n+1}$  is symmetric to see the first inequality in the above. But  $T_n$ 's are all integers by our assumption. Hence we obtain (3.6).

On the other hand we can show

$$(3.7) \quad T_{n+1} \leq T_n + 2T_0 + C' \rho^{2n}$$

where  $C'$  is a positive constant which is independent of  $n$  and  $\rho = (1+\eta)^{-1}$  as before. Clearly the inequality (3.7) contradicts the inequality (3.6). Therefore the ceiling function  $f$  cannot be represented as in (3.5). This completes the proof of Proposition 3.2.

It remains to prove the inequality (3.7). We consider the following fictitious motion of a particle:

$$\begin{aligned} q^{n,0} &\rightarrow q^{n,1} \rightarrow \dots \rightarrow q^{n,2n} \rightarrow q^{0,0} \rightarrow q^{0,1} \rightarrow q^{0,0} \rightarrow q^{n,2n} \\ &\rightarrow q^{n,2n-1} \rightarrow \dots \rightarrow q^{n,1} \rightarrow q^{n,0}. \end{aligned}$$

Then we obtain the fictitious closed orbit  $\gamma'_{n+1}$  whose fictitious period  $T'_{n+1}$  is

$$l(q^{n,0}, q^{n,1}, \dots, q^{n,2n}, q^{0,0}, q^{0,1}, q^{0,1}, q^{n,2n}, q^{n,2n-1}, \dots, q^{n,1}).$$

On the other hand we have

$$(3.8) \quad T'_{n+1} \leq T_n + T_0 + 2|q^{n,2n} - q^{0,0}|.$$

Here we used the fact that  $\gamma$  is symmetric. From the definition of  $\gamma_n$  and  $\gamma_0$ ,  $T^j x^{n,2n}$  and  $T^j x^{0,1}$  belong to  $\partial O_{\xi_{j+1}}^0$  for  $|j| \leq 2n - 1$ . Therefore the

arclength  $r(x^{0,1}, x^{n,2n})$  between  $q^{0,1} = \pi(x^{0,1})$  and  $q^{n,2n} = \pi(x^{n,2n})$  is less than or equal to  $C\rho^{2n-1}$  by the a priori estimate (2.5). Thus we obtain

$$(3.9) \quad 2|q^{n,2n} - q^{0,0}| \leq T_0 + 2C\rho^{2n-1}$$

in virtue of the triangle inequality.

The inequalities (3.8) and (3.9) imply the inequality (3.7) in virtue of the minimal property of  $T_{n+1}$ .

Now the proof is complete.  $\square$

*Remark 3.2.* In the proof of inequality (3.7) we used the fact that  $\pi\gamma_0$  and  $\pi\gamma_n$  cannot intersect. We restrict ourselves to note that it is an easy consequence of our hypotheses (H.1) and (H.2).

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