

The *Viviparous-1* gene and abscisic acid activate the *C1* regulatory gene for anthocyanin biosynthesis during seed maturation in maize

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The *Viviparous-1* (*Vp1*) gene is required for expression of the *C1* regulatory gene of the anthocyanin pathway in the developing maize seed. We show that VP1 overexpression and the hormone, abscisic acid (ABA), activate a reporter gene driven by the *C1* promoter in maize protoplasts. *Cis*-acting sequences essential for these responses were localized. Mutation of a conserved sequence in the *C1* promoter abolishes both ABA regulation and VP1 *trans*-activation. An adjacent 5-bp deletion blocks ABA regulation but not VP1 *trans*-activation. The latter mutant reconstructs the promoter of *c1-p*, an allele that is expressed during seed germination but not during seed maturation. We suggest that VP1 activates *C1* specifically during maturation by interacting with one or more ABA-regulated transcription factors.

[Key Words: Maize; *Viviparous-1* gene; *C1* regulatory gene; abscisic acid]

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Purple anthocyanin pigments accumulate in specific tissues of the maize seed (the scutellum of the embryo and the aleurone cell layer of the endosperm) during the maturation period of seed development. At least eight genes [*A1*, *A2*, *Bz1*, *Bz2*, *C1*, *C2*, *R1*, and *Viviparous-1* (*Vp1*)] required for pigmentation of the seed are identified by mutants (Coe and Neuffer 1977). The *C1*, *R1*, and *Vp1* genes have regulatory functions. The *C1* protein has homology to the DNA-binding domain of the *myb* proto-oncogene (Paz-Ares et al. 1987) and contains an acidic transcriptional activation function (Goff et al. 1991). The *R1* product is a helix-loop-helix protein with homology to *myc* (Ludwig et al. 1989). Recent functional analyses suggest that *C1* and *R1* interact to activate transcription of structural genes in the anthocyanin pathway (Goff et al. 1990; Roth et al. 1991). Whereas mutations in *C1* and *R1* affect only anthocyanin synthesis, the anthocyaninless phenotype of the *vp1* mutant is associated with a general failure of seed maturation resulting in viviparous development of the embryo (Robertson 1955) and pleiotropic enzyme deficiencies in the aleurone (Dooner 1985). The viviparous phenotype is correlated with a reduced sensitivity of the *vp1* mutant embryo to the plant hormone, abscisic acid [(ABA) Robichaud et al. 1980; Robichaud and Sussex 1986, 1987]. ABA has been widely

implicated as the key hormone regulating seed maturation (Skriver and Mundy 1990).

Certain mutant alleles of *vp1* (*vp1-McWhirter*, *vp1-1695*, *vp1-c821708*, and *vp1-A1*) prevent anthocyanin synthesis but produce normal, nonviviparous seed (McCarty et al. 1989a), suggesting that control of the anthocyanin pathway is at least partially separable from regulation of embryo maturation. The block in anthocyanin synthesis in the *vp1-R* mutant is associated with failure to express the *C1* gene in developing seed tissues (McCarty et al. 1989b). This epistatic interaction suggested that *Vp1* and *C1* may be part of a regulatory gene hierarchy. The *vp1* block in anthocyanin synthesis is conditional (McCarty and Carson 1990). If viviparous mutant seeds are removed from the ear prior to desiccation and allowed to continue to germinate in the light, anthocyanins accumulate in scutellum and aleurone tissues after several days. Chen and Coe (1978) described an allele of *c1*, called *c1-p*, that has an analogous effect. The *c1-p* seed is colorless at maturity; however, if exposed to light during development, seed tissues accumulate anthocyanins upon germination. Chen and Coe (1978) also showed that pigments accumulate in germinating (viviparous) seed of a *c1-p*, *vp1* double mutant. These observations imply that *Vp1* is only required for *C1* expression during seed maturation and that light can independently activate *C1* and *c1-p* alleles during germination (McCarty and Carson 1990). The phenotype of *c1-p* suggests that this allele is uncoupled from developmental control

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by *Vp1* during maturation but remains responsive to light during germination.

In this paper we address the role of the *Vp1* gene in integrating control of the anthocyanin pathway into a broader program of seed maturation. We have shown recently (McCarty et al. 1991) that *Vp1* encodes a novel protein with properties of a transcriptional activator and that in maize protoplasts, VP1 can *trans*-activate the promoter of *Em*, a downstream maturation-associated gene identified in wheat (Marcotte et al. 1988). Here, we show that both VP1 overexpression and ABA activate transcription of a reporter gene driven by *C1* promoter in maize protoplasts. We present evidence that ABA regulation and VP1 *trans*-activation require separable, as well as shared, *cis*-acting sequences in the *C1* promoter.

Results

The C1 function is limiting for anthocyanin expression in vp1 mutant tissue

We reasoned that if *Vp1* controlled the anthocyanin pathway by regulating the *C1* or *R1* genes, then constitutive expression of one or both regulatory genes in *vp1* mutant cells should complement the anthocyanin deficiency. Goff et al. (1990) have shown that constitutive expression of *C1* and *B1* (*B1* being a member of the *R1* gene family) from the viral cauliflower mosaic virus (CaMV) 35S promoter complements the *c1* and *r1* mutations, respectively, when introduced into aleurone by microprojectile bombardment. The aleurone of *vp1-R* mutant kernels that were otherwise homozygous for *C1*, *R1*, and all other dominant genes required for seed pigmentation was exposed by removing the pericarp. Figure 1 shows that bombardment of *vp1-R* aleurone tissue with 35S-*C1* and 35S-*B1* together or 35S-*C1* alone produced many pigmented cells. Pigment was visible within 12 hr after bombardment of 17 (not shown)- or 25-day postpollination aleurones. In contrast, 35S-*B1* alone did not effectively complement the anthocyanin deficiency. No pigmented cells were obtained in 17-day-old materials (not shown). At 25 days, 35S-*B1* bombardment produced a few pigmented cells. These cells developed pigment more slowly than *C1*- or *C1* + *B1*-transformed cells, becoming visible only after a 36-hr incubation. Constitutive expression of *C1* is apparently sufficient to activate the anthocyanin pathway in *vp1* tissue, implying that the endogenous *R1* function is either already active or can be activated by *C1*. This experiment suggests that *C1*, but not *R1*, expression limits anthocyanin synthesis in the *vp1* mutant.

ABA and VP1 activate the C1 promoter in maize suspension culture protoplasts

To examine the interaction of VP1 with the *C1* gene, a transient expression system was used to quantify *C1* promoter function in maize cells under conditions where VP1 expression and ABA hormone levels could be controlled. The 35S-Sh-Vp1 effector and *C1*-Sh-GUS reporter plasmids diagramed in Figure 2 were introduced

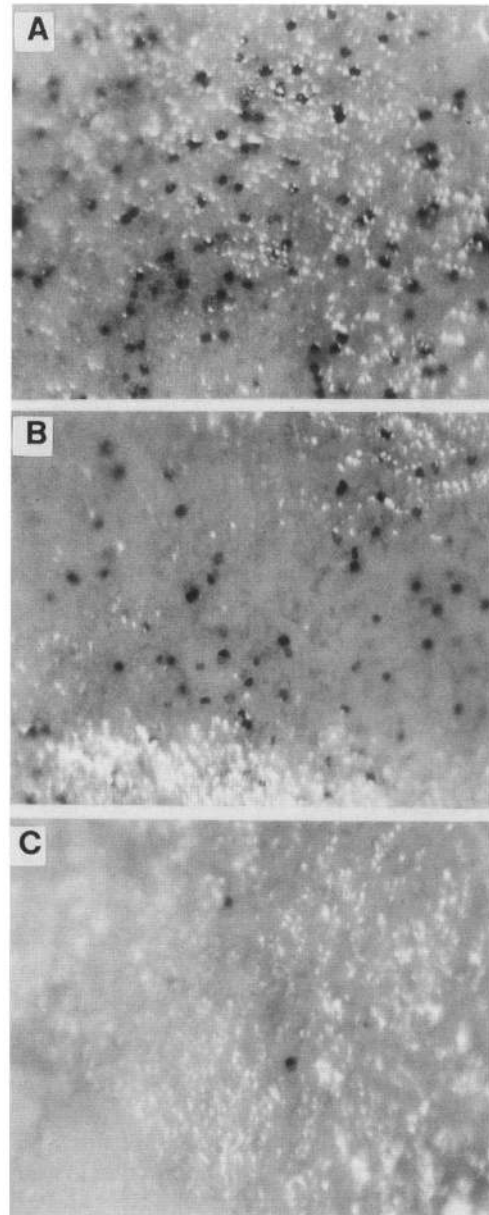


Figure 1. Constitutive overexpression of *C1* complements anthocyanin synthesis in the *vp1* mutant. CaMV 35S-*C1* and CaMV 35S-*B1* plasmids were introduced into *vp1-R* mutant aleurone cells (genotype W22 inbred, *vp1-R*, *C1*, *R1*, and all other dominant genes required for aleurone pigmentation) exposed by removal of the pericarp from kernels 25 days after pollination by microprojectile-mediated transformation, as described by Klein et al. (1988) using a Dupont Biolistics gun. After bombardment, kernels were incubated for 36 hr on agarose containing tissue culture medium (Murashige and Skoog 1962). 35S-GUS plasmid DNA (1 $\mu\text{g}/\mu\text{l}$) was included in each bombardment as a control for transformation. The aleurones shown in A, B, and C, respectively, were bombarded with 35S-*C1* and 35S-*B1* together (1 $\mu\text{g}/\mu\text{l}$ of each), 35S-*C1* only, and 35S-*B1* only. In the treatment shown in C, a total of three pigmented cells were detected on eight kernels bombarded with 35S-*B1*. Histochemical staining of representative kernels from each treatment for β -glucuronidase activity (Jefferson 1987) confirmed uniformity of transformation (not shown).

by electroporation into protoplasts prepared from maize suspension culture cells. The C1-Sh-GUS reporter plasmid contained 609 bp of 5'-flanking sequence from the *C1* gene fused to the bacterial β -glucuronidase gene (*uidA* gene, GUS activity). In the 35S-Sh-Vp1 plasmid, expression of the full-length VP1-coding sequence is driven by the CaMV 35S promoter. The first intron of the maize *Sh1* gene was included in both gene constructs to enhance transient expression (Vasil et al. 1989). Cotransformation with 35S-Sh-Vp1 increased C1-Sh-GUS expression by about sevenfold, whereas cotransformation with a biologically neutral gene construct (35S-Sh-CAT) or a VP1 antisense construct (35S-Sh-RVP) did not affect GUS activity significantly. Subsequent experiments (detailed below) showed that VP1 *trans*-activation was dependent on specific sequences in the *C1* promoter, arguing strongly that the interaction measured was promoter specific. Addition of 10 μ M ABA to the culture medium after electroporation also activated C1-Sh-GUS strongly (11- to 14-fold). However, 35S-Sh-Vp1 and ABA treatments in combination did not stimulate GUS expression

above the level obtained with ABA alone. We addressed the possibility that endogenous ABA synthesis or expression of the endogenous *Vp1* gene might affect *C1*-GUS activation. Subculturing the maize cells in media containing 10 μ M fluridone, an inhibitor of ABA biosynthesis, prior to protoplast preparation, did not qualitatively alter ABA or VP1 activation of C1-Sh-GUS (data not shown). Whereas VP1 could be detected in extracts of 35S-Sh-Vp1-transformed protoplasts, any endogenous expression in untransformed protoplasts was below the limit of detection by Western blotting (data not shown). In addition, ABA activation of C1-Sh-GUS was reduced <20% by cotransformation of protoplasts with the 35S-Sh-RVP antisense plasmid.

To further explore the interaction of VP1 and ABA in regulating *C1* promoter activity, we examined the ABA dose response in the presence and absence of VP1 overexpression (Fig. 2C). ABA activation of C1-Sh-GUS increased over a 0.1–100 μ M concentration range. This range is in good agreement with physiologically effective ABA concentrations for arresting maize embryo devel-

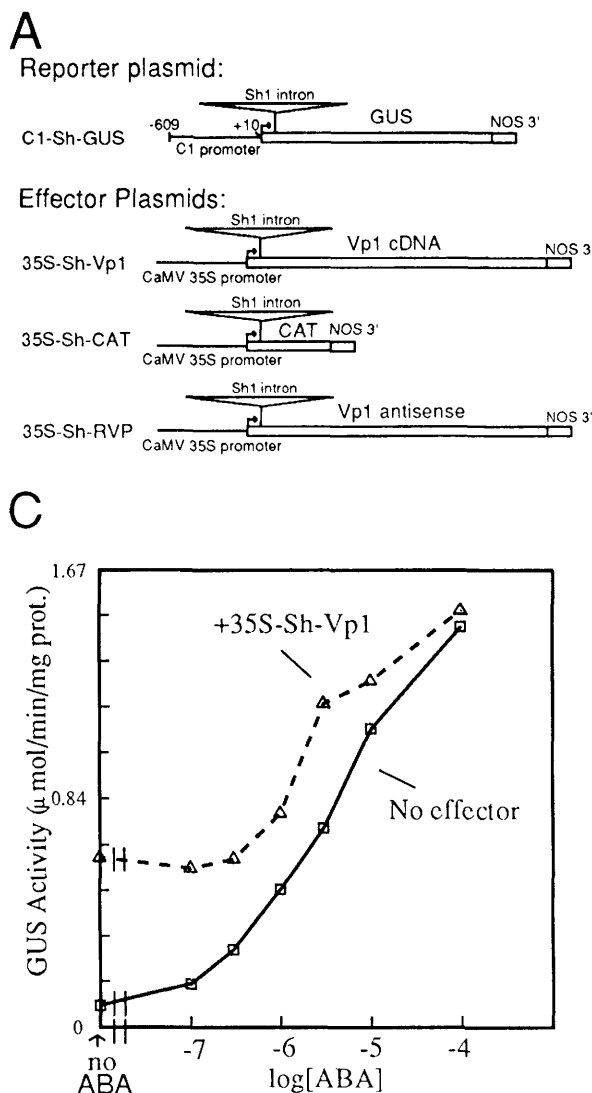


Figure 2. VP1 overexpression and ABA activate a *C1* promoter-driven GUS gene in maize protoplasts. (A) Diagrams show the structures of the 35S-Sh-Vp1, 35S-Sh-CAT, 35S-Sh-RVP effector plasmids, and the C1-Sh-GUS reporter plasmid used for electroporation experiments. The effector genes were driven by the CaMV 35S promoter with the first intron of the maize *Sh1* gene included to enhance transient expression (Vasil et al. 1989). Construction of 35S-Sh-RVP and C1-Sh-GUS is described in Materials and methods. 35S-Sh-Vp1 (McCarty et al. 1991) and 35S-Sh-CAT (Vasil et al. 1989) have been described elsewhere. (B) C1-Sh-GUS was introduced into maize cell suspension culture protoplasts by electroporation alone or together with an equal amount (20 μ g of DNA) of the indicated effector plasmid (see Materials and methods). After electroporation, each protoplast sample was split in two. Half was transferred into medium containing 10^{-4} M ABA, and the remainder was cultured in medium containing no ABA. GUS activity was determined 40–45 hr after electroporation. Values shown are means of three independent electroporations, with the s.e.m. indicated. Each effector was tested in a separate experiment. (C) Effect of 35S-Sh-Vp1 cotransformation on the ABA dose response of C1-Sh-GUS activation. Protoplasts were electroporated with C1-Sh-GUS alone (\square) or C1-Sh-GUS in combination with 35S-Sh-Vp1 (Δ). Six electroporation reactions of each plasmid combination were pooled and then divided equally among media containing the indicated concentrations of ABA. Each point represents a single determination of GUS activity. Qualitatively similar results were obtained in five separate experiments.

opment (Robichaud et al. 1980). The *in vivo* hormone concentration in endosperm most likely falls in the lower portion of this range (Neill et al. 1986; Jones and Brenner 1987). In cells cotransformed with 35S-Sh-Vp1, a substantial component of the total GUS response (~40%) was ABA independent. Consistent with the previous experiment, the interaction of VP1 and ABA was neither synergistic nor fully additive. 35S-Sh-Vp1 cotransformation did not increase expression above the maximum level obtained with ABA alone. Similar results were obtained in five independent experiments (not shown), with the exception that in some protoplast preparations, the maximum ABA-induced GUS activity obtained in the 35S-Sh-Vp1 cotransformed cells was actually lower than the maximum achieved in C1-GUS-transformed cells treated with ABA alone. The basis for this apparent effect on the relative magnitude of the ABA-dependent response in some protoplast preparations is not known.

Localization of ABA and Vp1 cis-responsive elements in the C1 promoter

To further localize sequences in the C1 promoter required for ABA and VP1 regulation, a series of 5' dele-

tions were made in the C1-Sh-GUS gene and tested in the protoplast system (Fig. 3). Mutants deleted up to position -157 relative to the transcription start remained qualitatively regulated by both ABA and VP1. Deletion of an additional 27 nucleotides to -130 abolished both ABA and VP1 activation. The -130 promoter, however, retained a significant basal activity.

Examination of the C1 promoter revealed several sequences that are potentially conserved in other ABA-regulated genes. Two sequences were detected (Fig. 4A) that resemble a putative ABA response element (ACGTGGC) identified in ABA-regulated genes of wheat (Guiltinan et al. 1989; Marcotte et al. 1989) and rice (Mundy et al. 1990). A perfect copy found in reverse orientation, at -97, was within the minimally responsive promoter defined by the terminal deletions. A second motif with one mismatch occurs at -187. To determine whether these sequences were critical for hormonal regulation, both sites were removed with small internal deletions. Although deletion of the element at -97 had a small quantitative effect on C1-Sh-GUS expression, neither mutant individually or in a double mutant combination substantially affected the ABA response relative to basal expression (Fig. 4B). A second potential conserved sequence motif (designated the Sph element) was

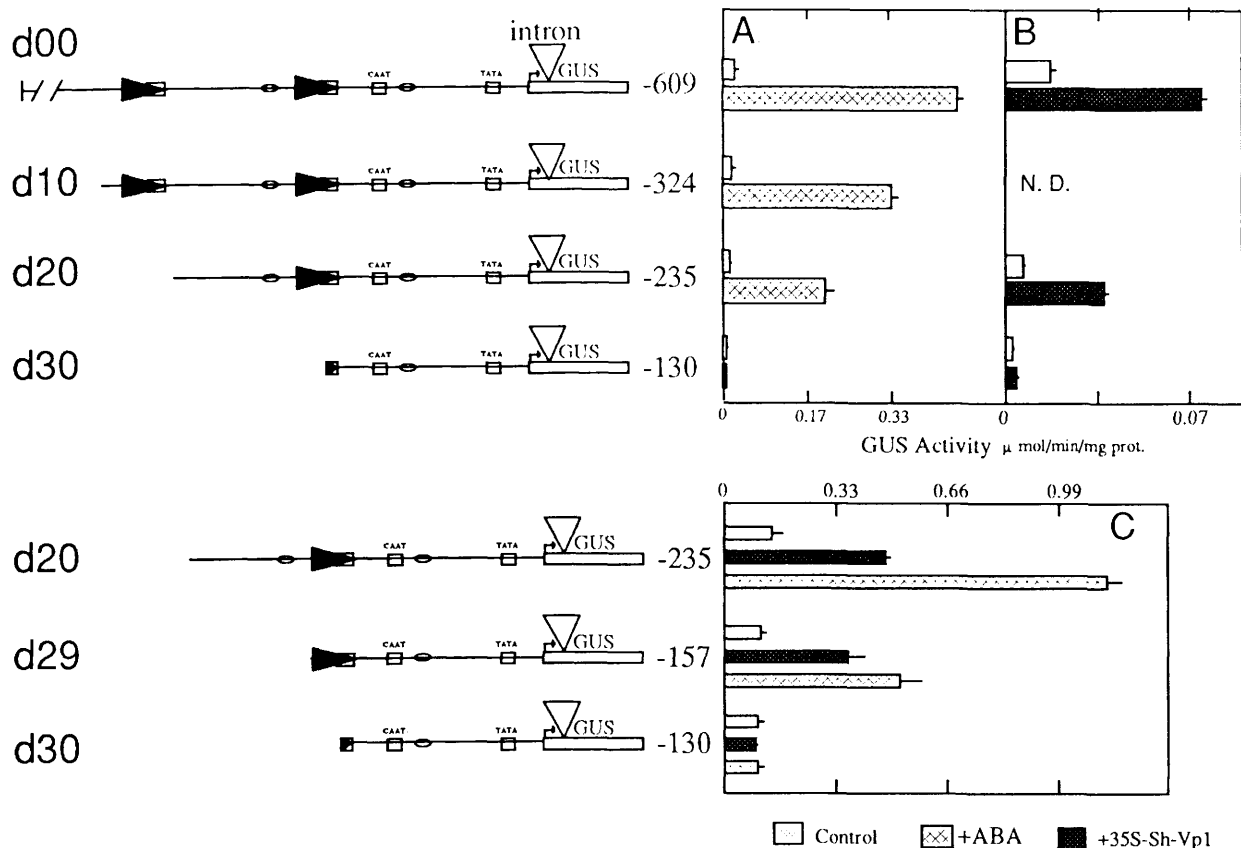


Figure 3. Localization of C1 sequences required for ABA regulation and VP1 *trans*-activation in protoplasts. A series of 5' deletions were made in the promoter of C1-Sh-GUS and tested for ABA and VP1 regulation. (A) The relative ABA response of deletions up to -130. (B) The response of the same mutants to 35S-Sh-Vp1 cotransformation [(N.D.) not determined]. (C) The ABA and VP1 response of a deletion to -157 relative to the -235 and -130 deletion mutants. S.E.M. is indicated by lines extending from bars.

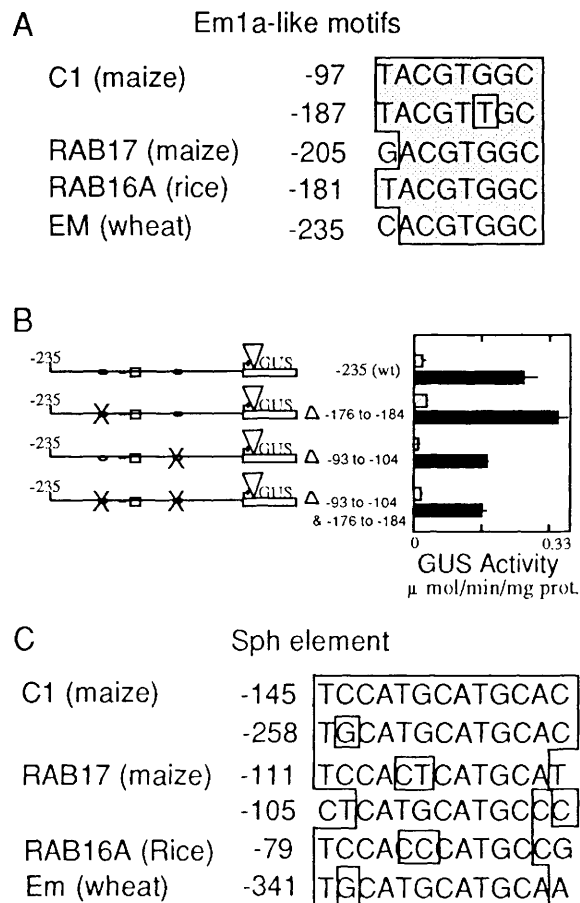


Figure 4. Potentially conserved sequences identified in the *C1* promoter. (A) Comparison of two sequences in the *C1* 5'-flanking region that resemble Em1a, a putative ABA response sequence identified by Marcotte et al. (1989), and similar sequences found in other ABA-regulated genes of maize (Vilardell et al. 1990) and rice (Mundy et al. 1990). The location of each sequence relative to the start of transcription is indicated. (B) The Em1a-like sequence motifs in *C1* are not essential for ABA regulation. Small internal deletions were made in *C1*-Sh-GUS(d20) that removed each of the Em1a-like sequences described in A. Plasmids carrying single deletions, as well as both deletions, were tested for ABA activation in protoplasts. (□) - ABA; (■) + ABA (10 μ M). (C) Comparison of a repeat sequence present in *C1* promoter to similar sequences found in the same genes described in A. This motif was designated the Sph element because it frequently includes an *Sph*I restriction site.

found in two copies in the *C1* promoter (Fig. 4C). The more proximal copy overlapped the critical -157 to -130 region identified by the deletion analysis.

The -157 to -130 region was given further significance when it came to our attention that a sequence polymorphism within this interval distinguished wild-type *C1* alleles from the *c1-p* allele (B. Scheffler, P. Franken, E. Tapp, H. Seadler, and U. Wienand, pers. comm.). We had suggested previously that the *c1-p* phenotype was consistent with a regulatory mutation that would uncouple *C1* from developmental regulation by *Vp1* (Mc-

Carty and Carson 1990). To confirm the sequence of the *c1-p* promoter, a 400-bp genomic fragment was amplified by polymerase chain reaction (PCR), cloned, and sequenced (Fig. 5). A 5-bp direct repeat sequence found in wild-type *C1* alleles, at position -150, is present in a single copy in *c1-p* (Fig. 5B). By comparison, within the 400-bp region, this was the only difference detected between *c1-p* and the wild-type *C1* clone (Cone et al. 1986) used in this study.

A series of directed mutations were made to test sequences further in the -157 to -130 region (Fig. 6). The *Sph* sequence was disrupted by making a 6-bp deletion (-136 to -131) in the -235 *C1*-Sh-GUS construct (Fig. 6A). This mutation effectively abolished both ABA and VP1 activation. In addition, the sequence from -151 to -147 was deleted to reconstruct the promoter sequence of *c1-p*. This mutant was *trans*-activated by VP1, albeit less effectively than wild type. Importantly, however, it was not significantly activated by ABA. To define further the region essential for ABA and VP1 regulation, a series of multiple-base-change mutants that scanned farther in the 3' direction from -130 were tested (Fig. 6B). Although quantitative changes in basal and induced levels of expression were evident, these mutants remained qualitatively regulated by both ABA and VP1. To probe sequences still further 3', a deletion of sequences from -114 to -58 was tested (Fig. 6C). This mutant also responded to both ABA and VP1. In summary, only mutations within the 27-bp -157 to -130 region had a qualitative affect on ABA and VP1 activation. The *Sph* and *c1-p* deletion mutants, respectively, further resolved this

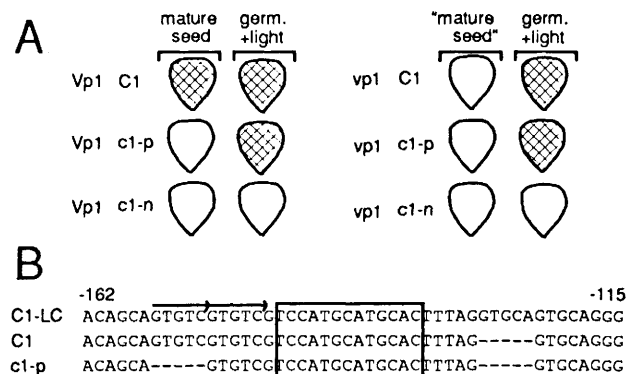


Figure 5. (A) Summary of *C1*, *c1*, and *c1-p* phenotypes. The *vp1* mutant does not prevent light-induced expression of *c1-p* (Chen and Coe 1978). Similarly, light exposure will induce anthocyanin accumulation in viviparous, *vp1*, *C1* kernels. (B) Sequence differences between *C1* wild-type alleles and *c1-p* in the proximal 5'-flanking region. A 400-bp genomic fragment of *c1-p* was amplified, cloned, and sequenced (see Materials and methods). The sequence comparison shows differences that distinguish the *C1*-LC (top line; Paz-Ares et al. 1987), *C1* (middle line; Cone et al. 1986), and *c1-p* alleles (bottom line). The middle sequence was determined from the *C1* allele used to construct *C1*-Sh-GUS. No other differences were found in the sequenced region. Additional polymorphisms occur 3' to the coding region in *c1-p* (Wienand et al. 1990).

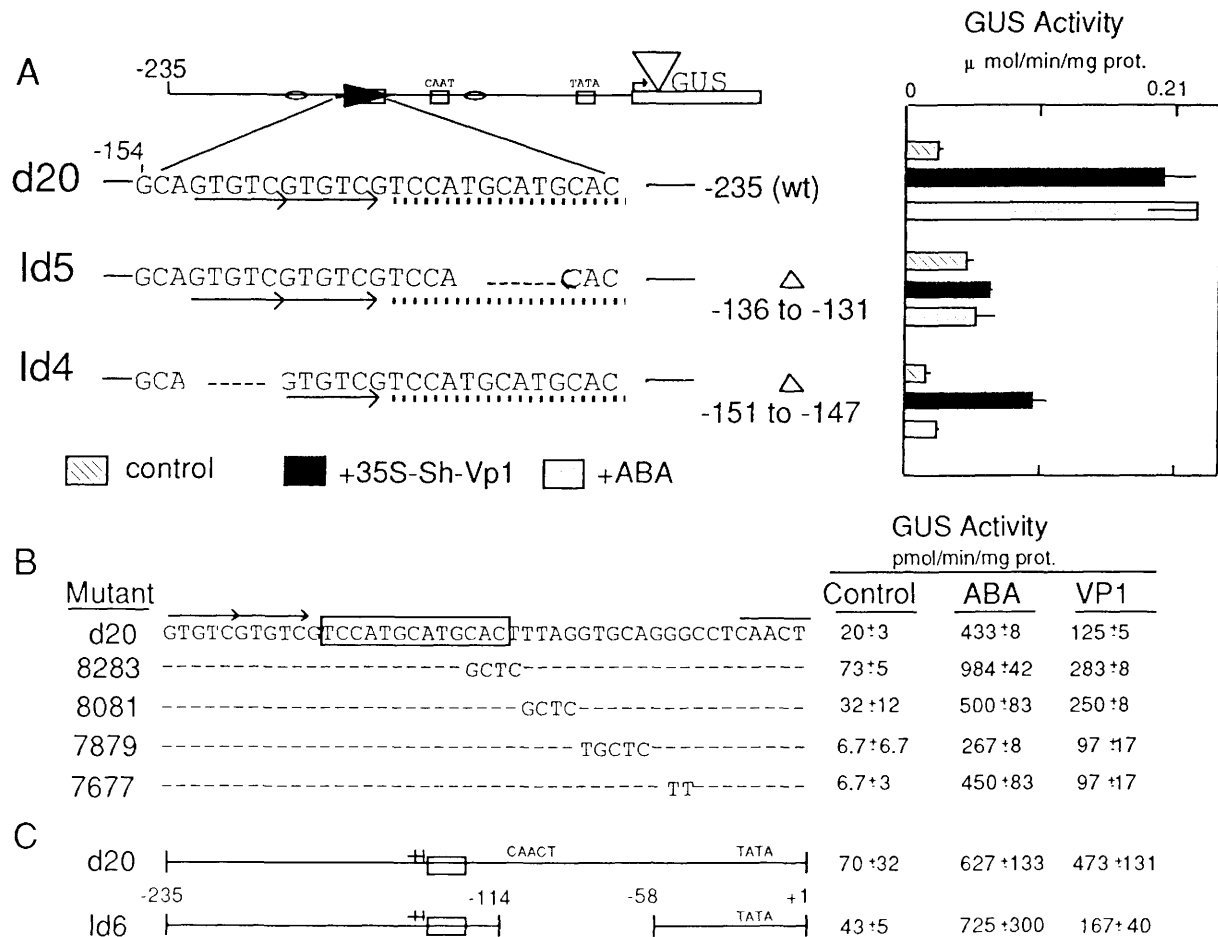


Figure 6. Mutagenesis of sequences essential for ABA and VP1 regulation of *C1* in protoplasts. (A) ABA regulation and VP1 *trans*-activation of *C1*-Sh-GUS(d20) and two internally deleted derivatives were compared. In the Id5 mutant 6 bp was deleted in the Sph element. The Id4(c1-p) mutant contained a 5-bp deletion that reconstructed the *c1-p* promoter sequence. GUS activities shown are means of three independent electroporation reactions. The s.e.m. is indicated by lines extending from bars. (B) Multiple-base-change derivatives of *C1*-Sh-GUS(d20) as in A, except that each value is the mean of two electroporations. (C) The response of Id6, an internal deletion of sequences between -114 and -58, is compared with *C1*-Sh-GUS(d20). Means of three electroporations are shown.

region into a 3' region required for both responses and an upstream region required specifically for ABA activation.

Discussion

Our results indicate that VP1 controls the anthocyanin pathway in the developing maize seed primarily through regulation of the *C1* gene. Transient expression of *C1* is sufficient to complement pigment synthesis in *vp1* mutant tissue. This observation suggests that *C1* expression limits the anthocyanin pathway in the *vp1-R* mutant and that a direct interaction of VP1 with the downstream structural genes in the anthocyanin pathway is not essential. Expression of the *R1* regulatory gene, on the other hand, is not limiting in the *vp1* mutant. The *R1* apparently does not require VP1 for expression. The demonstration that overexpression of VP1 in maize protoplasts activates transcription from the *C1* promoter supports further the idea that *Vp1* and *C1* constitute a

gene hierarchy (Fig. 7). Both *Vp1* and *C1* encode transcription factors. The *C1* product has homology to the DNA-binding domain of *myb* (Paz-Ares et al. 1987) and contains a functional transcriptional activation domain (Goff et al. 1991). *C1* and a helix-loop-helix protein encoded by members of the *R1* gene family (Ludwig et al. 1989) apparently interact to activate transcription of structural genes of the anthocyanin pathway in seed tissues (Goff et al. 1990; Roth et al. 1991). Domain-switching studies have shown that VP1 also contains a potent transcriptional activation domain and that this function is required for *trans*-activation of a target gene in maize protoplasts (McCarty et al. 1991).

Vp1 has been implicated in ABA hormone responses of seed tissues (Robichaud et al. 1980; McCarty et al. 1991). Here, we have shown that activity of the *C1* promoter is also regulated by ABA. The correlation between the colorless, mature seed phenotype of the *c1-p* allele and the failure of the *c1-p*-like promoter to respond to ABA in

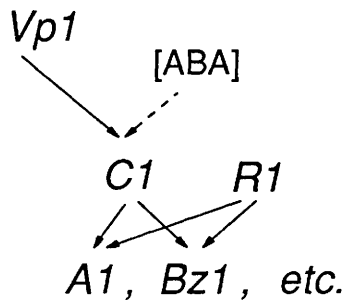


Figure 7. Proposed regulatory pathway controlling anthocyanin biosynthesis in the developing seed. Both *C1* and *R1* are required for expression of anthocyanin structural genes (Goff et al. 1990). VP1 and ABA regulate the *C1* gene during seed maturation.

protoplasts suggests strongly that ABA is important in regulating *C1* expression during seed development. Although *c1-p* also carries DNA rearrangements 3' to the *C1*-coding sequence (Wienand et al. 1990; B. Scheffler, P. Franken, E. Tapp, H. Seadler, and U. Wienand, pers. comm.), which could conceivably affect expression, we suggest that the 5-bp rearrangement in the promoter is sufficient to disrupt ABA regulation and, as a result, uncouple the anthocyanin pathway from the maturation developmental pathway. Consequently, *c1-p* expression is delayed until after germination when, by default, it is activated by light (Chen and Coe 1978). In a *vp1* mutant background, wild-type *C1* and *c1-p* alleles have apparently similar phenotypes [delayed light-dependent pigmentation] (Chen and Coe 1978; McCarty and Carson 1990), suggesting that VP1 is required for ABA activation of *C1* during seed development. Failure to respond to ABA would therefore apparently be the underlying basis for the pleiotropic effects of *vp1* on anthocyanin synthesis and maturation (Robichaud et al. 1980). In the protoplast system, VP1 contributes most strongly to *C1* activation at low hormone concentrations (10^{-7} to 10^{-5} M ABA). This is consistent with evidence that ABA concentrations in developing maize endosperm are $<1 \mu\text{M}$ [0.1–0.5 nmole/g of fresh weight (Neill et al. 1986; Jones and Brenner 1987)]. At these low concentrations, VP1 action may be essential to augment ABA activation of *C1*.

Although overexpression of VP1 in protoplasts causes activation of *C1* and, to some extent, *c1-p* promoters in the absence of ABA, it is unclear what role this hormone-independent response has in normal development. VP1 alone is apparently not sufficient to activate *c1-p* in situ. On the other hand, hormone-independent activation of the wild-type *C1* allele could explain the long-standing observation that ABA-deficient mutants of maize (e.g., *vp5*) are generally not deficient in anthocyanins (Robertson 1955). One possibility is that the *c1-p* and *C1* promoters have different affinities for VP1 and that saturating levels of VP1, not normally present in situ, partially mask this difference.

The fold activation of *C1* that we obtain in protoplasts with VP1 (~10-fold at $1 \mu\text{M}$ ABA) is modest compared with the level of activation (~100-fold) of anthocyanin

structural genes that has been achieved by overexpression of the *C1* and *R1* proteins in maize tissues (Goff et al. 1990; Roth et al. 1991). Although it is possible that unknown factors limit full activation of *C1* in the protoplast system, the low steady-state level of *C1* mRNA detected in seed tissues (Paz-Ares et al. 1987; McCarty et al. 1989b) is consistent with a low rate of transcription of the *C1* gene in vivo. In any case, the degree of induction that we observe is probably sufficient to control the pathway given the potential for amplification downstream in the cascade afforded by the apparent sensitivity of the structural genes to activation by *C1* (Goff et al. 1990, 1991; Roth et al. 1991). If, for example, we assume a simple dose response curve for *C1* activation of the *Bz1* gene that saturates over a 2 log-cycle range of protein concentration with a maximum activation of 100-fold, then a 10-fold change in *C1* expression could produce a 50-fold change in *Bz1* expression. Higher amplification is possible if cooperativity or other synergistic interactions play a role. Moreover, *C1* protein may accumulate in aleurone cells over a period of time.

The origin of the *c1-p* allele has interesting implications for the evolution of the maturation pathway in maize. Because *c1-p* occurs as a natural variant in maize populations (Chen and Coe 1978), it is unknown whether the *C1*- or *c1-p*-like promoter sequence is the ancestral form. If the *C1* form arose from a *c1-p*-like progenitor through duplication of the GTGTC sequence, it may be that the anthocyanin pathway became integrated into the maturation pathway only recently in the evolution of maize. Polymorphisms involving small direct duplications, possibly created by transposable element visitation or other mechanisms, occur frequently among alleles of other maize genes (e.g., Zack et al. 1986). Evidence for the direction of this change in the *c1* locus may still exist in related grasses, such as *Teosinte*.

A relationship between ABA regulation and VP1 is suggested further by the analysis of *cis*-regulatory sequences in the *C1* promoter. Essential sequences for both responses map to a 27-bp region of the *C1* promoter (–157 to –130). This sequence can be resolved further into a region specifically required for ABA activation and a region required for both hormonal regulation and VP1 *trans*-activation. A 6-bp deletion in the potentially conserved Sph element abolishes qualitative regulation by ABA and VP1 without reducing basal expression. Although it is possible that this deletion affects spacing between two *cis*-acting elements rather than disrupting a critical sequence, mutagenesis or deletion of sequences in the 72-bp region immediately downstream failed to detect other essential sequences. Sequence motifs resembling the Sph element occur in the promoter regions of several other ABA-regulated genes isolated from cereals (Mundy et al. 1990; Marcotte et al. 1989). We have shown recently that at least one of these genes is strongly *trans*-activated by VP1 in maize protoplasts (McCarty et al. 1991). An 8-bp CATGCATG motif, present in the core of the Sph element, is found frequently in 5'-flanking sequences of seed-specific plant genes but rarely in nonseed genes (Dickinson et al. 1988).

This sequence is also like the similarly named Sph motifs of the SV40 virus early promoter (Zenke et al. 1987).

The ABA-specific region that includes at least part of the sequence from -157 to -147 bears little obvious similarity to the Em1a element (ACGTGGC) implicated in ABA regulation of the *Em* gene of wheat (Marcotte et al. 1989). The Em1a sequence is apparently essential for ABA regulation of *Em* in rice protoplasts (Guilting et al. 1990). Two sequence motifs in the *C1* promoter that resemble closely the Em1a sequence are evidently not required for ABA regulation. Although both *Em* and *C1* are *trans*-activated by VP1 (McCarty et al. 1991), different transcription factors may mediate ABA regulation of these genes. This possibility is underscored by strikingly different interactions between VP1 *trans*-activation and the ABA response exhibited by the *Em* and *C1* promoters. VP1 and ABA interact synergistically in activating *Em* (McCarty et al. 1991), whereas for the *C1*-driven reporter gene construct the combined response to VP1 and ABA is less than additive. These features suggest that the interaction of VP1 with these two genes may differ mechanistically. It is intriguing in this respect that several known *vp1* mutations prevent anthocyanin synthesis without blocking maturation (McCarty et al. 1989a; McCarty and Carson 1990). At least one of these mutants, *vp1-McWhirter*, produces a truncated protein (McCarty et al. 1989a, 1991; C.B. Carson and D.R. McCarty, unpubl.). The altered protein can apparently activate many functions associated with maturation but not *C1* and the anthocyanin pathway.

The partial separation of ABA and VP1 regulatory functions suggests that VP1 may not be an integral component of the ABA signal transduction pathway, although it is possible that the pathway is branched and that one arm includes VP1. It is reasonable to expect that several factors may bind in the region between -157 and -130. We speculate that VP1 or a complex that includes VP1 may interact in this region. It is not known whether VP1 binds DNA. It lacks all known conserved DNA-binding motifs (McCarty et al. 1991). Moreover, VP1 expressed in *Escherichia coli* or by *in vitro* translation does not interact with oligonucleotide fragments containing the -157 to -130 region of *C1* in gel-shift experiments (T. Hattori and D.R. McCarty, unpubl.). VP1 could interact with this region primarily through protein-protein contacts, as has been suggested for some other transcriptional activators (e.g., Triezenberg et al. 1988). A sequence that overlaps the conserved Sph element is apparently required for both ABA and VP1 regulation. A similar CATGCATG motif has been associated with seed-specific gene expression in plants (Dickinson et al. 1988). It seems unlikely that all genes in which this sequence has been identified are regulated by ABA or VP1. One possibility is that VP1 may interact with multiple transcription factors in a way that integrates the ABA signal transduction pathway with other intrinsic developmental signals, possibly specifying tissue or positional information. Failure to achieve this integration would be consistent with the tissue-specific, hormone-insensitive phenotype of *vp1*.

Materials and methods

Transient expression in protoplasts

Protoplasts were prepared from an embryo-derived maize suspension cell line as described previously (Vasil et al. 1989). The cell line was derived from embryos of a DK XL80 hybrid (Dekalb Seed, Inc., Dekalb, IL). Most or all commercial hybrids are wild-type *Vp1* and carry recessive, nonpigmenting alleles of *c1* and *r1*. The genotype with respect to other pigment loci was not determined. Electroporation reactions included 4×10^6 cells and 20 μ g each of effector plasmid and reporter plasmid. Unless indicated otherwise, each treatment was replicated in triplicate. Protoplasts were cultured in growth media (Vasil et al. 1989) for 40–45 hr after electroporation and then collected by centrifugation and lysed. Soluble extracts were assayed for glucuronidase (Jefferson 1987). GUS activities were normalized to extracted protein (Bradford 1976). The S.E.M. for GUS activities obtained from independent electroporations done using the same protoplast preparation was typically <20% of the mean, indicating that variation in transformation efficiency within experiments was low. Because greater variation was observed among protoplast preparations made on different days, only treatment comparisons made within a single experiment were considered valid.

Cloning and sequence analysis of a c1-p promoter fragment

A fragment spanning the region from +16 to -390 of the *c1-p* gene was amplified by PCR from genomic DNA isolated from a *c1-p* stock (a gift of Ed Coe, University of Missouri, Columbia) size enriched for a 9.0-kbp *Bam*HI fragment containing the gene by preparative agarose gel electrophoresis. Single-base changes were incorporated into the respective primers (5'-CCATC-GAGCTCGCTCTCTCG-3' and 5'-CTGGGGATCCTTAGT-TACTG-3') to incorporate *Sst*I and *Bam*HI sites for convenient cloning into pUC19. This clone and a corresponding region of the wild-type *C1* allele used in the GUS constructs (Cone et al. 1986) were sequenced by dideoxy chain termination on a Dupont Genesis 2000 DNA Analysis System (E.I. du Pont de Nemours, Inc., Wilmington, DE).

Plasmid constructions

All nucleic acid manipulations were carried out using standard procedures (Maniatis et al. 1982). Restriction enzymes and DNA-modifying enzymes were used according to manufacturers' instructions. Synthetic oligonucleotides were prepared using an Applied Biosystems DNA synthesizer and purified by either anion exchange chromatography (Mono-Q, Pharmacia, Uppsala, Sweden) or polyacrylamide gel electrophoresis.

Construction of 35S-Sh-Vp1 is described in McCarty et al. (1991). To construct the VP1 antisense plasmid, 35S-Sh1-RVP, the cVP23 cDNA clone (McCarty et al. 1991) was cloned into the *Eco*RI site of pSP72 (Promega) in the orientation that placed the 5' end proximal to the *Sst*I site of the vector. The cVP23 fragment was cut out with *Eco*RV and *Sst*I and ligated to a backbone fragment prepared from pBI221 (Bevan 1984) by digestion with *Sma*I and *Sst*I, which generated 35S-RVP. An *Xba*I-*Pst*I fragment containing the first intron of the Sh1 gene was prepared from 35SIfCN (Vasil et al. 1989) and subcloned into pBluescript(-) (Stratagene, Inc., La Jolla, CA). The fragment containing the intron was prepared by digestion of this plasmid with *Xba*I and *Eco*RI, and the *Eco*RI site was filled in by treatment with the Klenow fragment of DNA polymerase I. The

intron fragment was then ligated to 35S-RVP, which had been linearized with *Clal*, blunt-ended with Klenow, and digested with *XbaI*.

To construct C1-Sh-GUS, a 5'-flanking fragment spanning bases -836 to +20, relative to the transcription start of the *C1* gene (Paz-Ares et al. 1987), was prepared by PCR amplification from a 9-kb genomic clone of a wild-type *C1* allele (Cone et al. 1986). The primers used for the amplification were 5'-CGATC-TCGTATGATGAACC-3' (sense strand) and 5'-CATCGCG-CTAGCTCTCTCGT-3' (antisense strand). The 3' primer included a single-base change at +11 to create a unique *NheI* site. The amplified product was cut with *EcoRI* and *NheI* to generate a fragment that spanned -609 to +10. This fragment was cloned into *EcoRI* and *SpeI*-digested pBluescript(-) (Stratagene, Inc.). A *HindIII-XbaI* fragment containing the *C1* promoter was removed from this plasmid and used to replace the CaMV 35S promoter sequence in a derivative of pBI221 (Bevan 1984) in which an *EcoRI* site at the 3' end of the NOS terminator had been eliminated. The resulting plasmid was designated C1-GUS. An *XbaI-EcoRV* fragment containing the Sh1 first intron was prepared from the Bluescript subclone described above and ligated to C1-GUS, which had been digested with *XbaI* and *SmaI*. This placed the Sh1 intron between the *C1* promoter and the GUS-coding sequence. A slightly altered version, 35S-Sh-GUS(d00), was constructed using a Sh1 intron fragment prepared by digesting the pBluescript Sh1 subclone with *EcoRI*, blunting the ends with the Klenow fragment, digesting this fragment with *XbaI*, and inserting the intron fragment into the *XbaI* and *SmaI* site of C1-GUS.

Plasmid 35S-Sh-GUS(d00) was used to construct a series of 5'-deletion derivatives, d10, d20, and d30, with end points at -327, -235, and -130, respectively, using *NdeI*, *Sau3A*, and *SphI* sites located at these positions. To make d20, the *Sau3A* fragment between -235 and -78 was first cloned into the *BamHI* site of pUC19 so that position -235 was proximal to the *EcoRI* site of the vector. An *EcoRI-SphI* fragment of this plasmid (spanning -235 to -135 of the *C1* sequence) was ligated to the *EcoRI-SphI* backbone of 35S-Sh-GUS(d00). By this manipulation, *SstI*, *KpnI*, and *SmaI* sites of the pUC19 polylinker were inserted between the *EcoRI* site and the 5' end of the *C1* sequence in the d20 derivative. The d10 derivative was obtained by replacing the sequence between *SmaI* and *XbaI* of d20 with the *StuI* (-334)/*XbaI* fragment after end-filling the *StuI* overhang by treatment with the Klenow fragment of DNA polymerase I. The d30 deletion was made by digesting 35S-Sh-GUS with *EcoRI* and *SphI*, treating with T4 DNA polymerase, and circularizing with T4 DNA ligase. The d29 deletion construct with end point at -157 was generated from d20 by replacing the *SmaI-SphI* fragment with a double-stranded synthetic oligonucleotide. The synthetic oligonucleotides were prepared as follows: Oligonucleotide 5'-CATGACAGCAGTGTCTGTCGTCCATCATGCACTTTAGG-3' was phosphorylated with T4 polynucleotide kinase and annealed with the complementary oligonucleotide 5'-CATGCCTAAAGTGCATGCGATGGACGACACGACTGCTGTA-3'. After filling in the 4-base overhangs with Klenow fragment, the double-stranded oligonucleotide was cut with *SphI* and ligated with the *SmaI/SphI*-digested d20 vector.

Internal deletion constructs Id2, Id3, and Id23 were derivatives of d20. The 0.6-kb *EcoRI-XbaI* fragment of C1-Sh-GUS containing the *C1* promoter was cloned into pSLECT-1 (Promega), and site-directed mutagenesis was carried out using the Altersite kit (Promega) according to the manufacturer's instructions. The mutagenic primers used to make the precursors of Id2 and Id3 were 5'-¹⁰⁷TCAACTCGGCCAACTAGTTAGCGCCA-3' and 5'-¹⁹²TAACTGCGTACGTTGCC-3', respec-

tively. These primers created unique *SpeI* and *SphI* sites at positions -95 and -184, respectively. The pSLECT-1 subclone with a new *SpeI* site was digested with *SpeI*, treated with S1 nuclease, and circularized with T4 ligase. By sequencing of the recovered plasmid, we found a 12-bp deletion from -99 to -88 rather than the expected 4-bp deletion. The mutated fragment was recovered by digestion with *SphI* and *XbaI* and ligated to the *SphI-XbaI* backbone of d20 to generate Id2. Similar treatment of the plasmid with a new *SphI* site resulted in a 9-bp deletion from -184 to -177. The *Sau3A-SphI* fragment of this plasmid, which spans from -235 to -135 relative to wild type, was first subcloned into the *BamHI-SphI* site of pUC19 and then isolated as an *EcoRI-SphI* fragment; after ligation to the *EcoRI-SphI* backbone of d20, this generated Id3. The same *EcoRI-SphI* fragment was ligated to the *EcoRI-SphI* backbone of Id2 to generate the double mutant Id23. Id4 (a plasmid facsimile of the *c1-p* deletion) was made by replacing the sequence between the *SmaI* and *SphI* sites of d20 with a synthetic double-stranded oligonucleotide corresponding to a 5-bp deletion of -151 to -147. The oligonucleotides were prepared as follows: Partially complementary, synthetic oligonucleotides 5'-TACCCGGGGATCAGTTTTTCGTTCTAGTCAGTTTT-CGATAAATGCCAATTTTTTAACTGCATACGTTGCCCTTG-3' (74-mer) and 5'-AAAGTGCATGCATGGACGACACTGCTGTGCTGGTCTGAGCA AGGGCAACGTATGCAGTT-3' (60-mer) were annealed, treated with the Klenow fragment of DNA polymerase I to complete both strands, and digested with *SmaI* and *SphI*. The DNA fragment was purified by polyacrylamide gel electrophoresis prior to ligation. Id5 was obtained by digesting d20 with *SphI*, treating with S1 nuclease, and circularizing with T4 ligase. This resulted in a 6-bp deletion from -136 to -131, confirmed by DNA sequencing.

To construct multiple-base-change mutants 7677, 7879, 8081, and 8283, a *StuI* site was introduced at position -117 in d20 to create d20(Stu). This was accomplished by PCR amplification of a fragment spanning -137 to +10 of the *C1* sequence using a 5' primer that spanned the *SphI* site (-135) and that incorporated a single G → A base change at -117. The amplified fragment was digested with *SphI* and *Sall*, and the resulting gel-purified *SphI-Sall* fragment was ligated to a backbone fragment obtained by *SphI* and *Sall* digestion of d20. The 7677, 7879, 8081, and 8283 derivatives were obtained by digesting d20(Stu) with *SphI* and *StuI* and then ligating in double-stranded synthetic oligonucleotide fragments that included *SphI* compatible and blunt ends. The complementary pairs of oligonucleotides were as follows: For 7677, 5'-CACTTTAGGTGCAGTT-3' and 5'-AACTGCACCTAAAGTGCATG-3'; for 7879, 5'-CACTTTAGTGCTCGGG-3' and 5'-CCCCGACACTAAA-GTCCATG-3'; for 8081, 5'-CACTGCTCGTGCAGGG-3' and 5'-CCCTGCACGAGCAGTGCATG-3'; for 8283, 5'-GCTCTT-AGGTGCAGGG-3' and 5'-CCCTGCACCTAAGAGCCATG-3'. The longer oligonucleotide of each pair was phosphorylated with T4 polynucleotide kinase prior to annealing and ligation. To construct Id6 (-114 to -58), d20(Stu) was digested with *StuI* and *NaeI* and recircularized with T4 ligase.

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