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The XCAT Science Portal

Sriram Krishnan[a], Randall Bramley[a], Dennis Gannon[a], Rachana Ananthakrishnan[a], Madhusudhan Govindaraju[a], Aleksander Slominski[a], Yogesh Simmhan[a], Jay Alameda[b], Richard Alkire[c], Timothy Drews[c] and Eric Webb[c]
[a]*Department of Computer Science, Indiana University, Bloomington, IN 47404, USA*
Tel.: +1 812 855 8305; Fax: +1 812 855 4829; E-mail: srikrish@cs.indiana.edu
[b]*National Center for Supercomputing Applications, Champaign, IL 61820, USA*
Tel.: +1 217 244 4696; Fax: +1 217 244 2909; E-mail: jalameda@ncsa.uiuc.edu
[c]*Department of Chemical Engineering, University of Illinois, Urbana, IL 61801, USA*
Tel.: +1 217 333 0063; Fax: +1 217 333 5052; E-mail: r-alkire@uiuc.edu

Abstract. This paper describes the design and prototype implementation of the XCAT Grid Science Portal. The portal lets grid application programmers script complex distributed computations and package these applications with simple interfaces for others to use. Each application is packaged as a *notebook* which consists of web pages and editable parameterized scripts. The portal is a workstation-based specialized *personal* web server, capable of executing the application scripts and launching remote grid applications for the user. The portal server can receive event streams published by the application and grid resource information published by Network Weather Service (NWS) [35] or Autopilot [16] sensors. Notebooks can be *published* and stored in web based archives for others to retrieve and modify. The XCAT Grid Science Portal has been tested with various applications, including the distributed simulation of chemical processes in semiconductor manufacturing and collaboratory support for X-ray crystallographers.

1. Introduction

The concept of a Science Portal was first introduced by the National Computational Science Alliance (NCSA) as part of a project designed to provide computational biologists with access to advanced tools and databases that could be shared by a community of users via web technology. A Science Portal can be broadly defined as an application specific environment for using and programming complex tasks involving remote resources. Over the past year the Science Portal concept has been heavily influenced by the emergence of the Grid [13] as a computational platform.

A Grid is a set of distributed services and protocols that have been deployed across a large set of resources. These services include authentication, authorization, security, namespaces and file/object management, events, resource co-scheduling, user services, network quality of service, and information/directory services. Together these services enable applications to access and manage the remote resources and computations. Web-based Grid Portals provide mechanisms to launch and manage jobs on the grid, via the web. Grid Science Portals are problem solving environments that allow scientists the ability to program, access and execute distributed applications using grid resources which are launched and managed by a conventional Web browser and other desktop tools. In such portals, scientific domain knowledge and tools are presented to the user in terms of the application science, and not in terms of complex distributed computing protocols. The system effectively makes the grid into a vast and powerful computation engine that seamlessly extends the user's desktop to remote resources like compute servers, data sources and on-line instruments.

This paper describes the XCAT Science Portal (XCAT-SP) which is an implementation of the NCSA Grid Science Portal concept. XCAT-SP is based on the idea of an "active document" which can be thought of as a "notebook" containing pages of text and graphics describing the science of a particular computational application and pages of parameterized, executable scripts.

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These scripts launch and manage the computation on the grid, and results are dynamically added to the document in the form of data or links to output results and event traces.

XCAT-SP is a tool which allows the user to read, edit, and execute these notebook documents. The goal of this research and the focus of this paper is to address the following set of questions.

- How well does the active document model work for real scientific applications?
- How does one use scripts to steer computations from the portal?
- What is a simple and efficient mechanism to store and retrieve data specific to each application?
- How should the portal be designed to interact with an event system to receive feedback from the remotely executing applications?
- How can a portal use a grid monitoring system to provide resource utilization information about its environment?

2. Existing Grid Portals

The area of Grid Portal design is now an extremely active and important part of the emerging Grid research agenda. The existing projects can be grouped into three categories:

- User Portals for simple job submission and tracking, file management and resource selection
- Portal Construction Kits, that provide the APIs necessary for a portal to communicate with Grid services
- Science Portals, as defined earlier

In the user portal category, the NPACI Hot Page [31] is the first and most successful system. Other user portal projects are the European project Unicore [5], Nimrod-G from Australia [12], and the IPG Launch Pad, which is the user portal for NASA's Information Power Grid [7].

At least three projects provide portal construction toolkits. The Argonne Commodity Grid (CoG) [20] toolkit is a Java interface for Globus. GPDK from Lawrence Berkeley Labs [9] is a JSP API for CoG, and JiPANG from Tokyo Institute of Technology [28], uses Sun Microsystem's Jini [26] to provide an interface to both CoG and networked solvers like Ninf [29] and Netsolve [8].

Science Portals have a variety of forms. Some are designed around relatively specific application domains. For example, the Cactus Portal [19] from the Albert Einstein Institute was originally designed for black hole simulations and the ECCE/ELN [30] project from ORNL, LBNL and PNNL is for Computational Chemical Engineering. The Lattice Portal [23] from Jefferson Labs is a user portal for high-energy physics. One category of science portals directly addresses the problem of building multidisciplinary applications. The Gateway project [6] and the Mississippi project [34] use CORBA [15] and Enterprise Java Beans (EJB) [27] to build a three-tier architecture for launching and scheduling multiple applications. These two projects also use scripting to orchestrate large, complex application scenarios. Another CORBA-based project is the Rutgers Discover portal [11] which also provides a good interface for computational steering and collaborations.

3. The XCAT Science Portal

A prototype science portal that tests some of the features described above has been developed at Indiana University with the help of the Chemical Engineering Team from NCSA. The portal differs in its architecture from the examples described above because it does not use a centralized web server on a remote machine. Instead the portal software that runs on each user's desktop/laptop has a built-in server. The reason for this is that the XCAT Science Portal is designed to integrate the user's desktop environment with the remote grid resources. If the portal resides elsewhere, the only tools the user can use to interact with the Grid is a Web browser or other HTTP clients. In our model, the portal server provides a single, local gateway between the Grid Services and local applications. A local web browser can still interact with it through HTTP, but other applications may possibly communicate with it via local protocols and services, such as COM [25], .NET [24] and Bonobo/Gnome [1].

As illustrated in Fig. 1, the major components of the portal server include:

- A Java-based server engine, which spawns off a set of Java Servlets that manage access to the other components. The current version runs on Jakarta Tomcat 4.0, and is deployable as a Web Archive (WAR) file, and works on various flavors of Windows and Unix.
- A *notebook* database. A *notebook* is an active document defined by an XML object that describes a

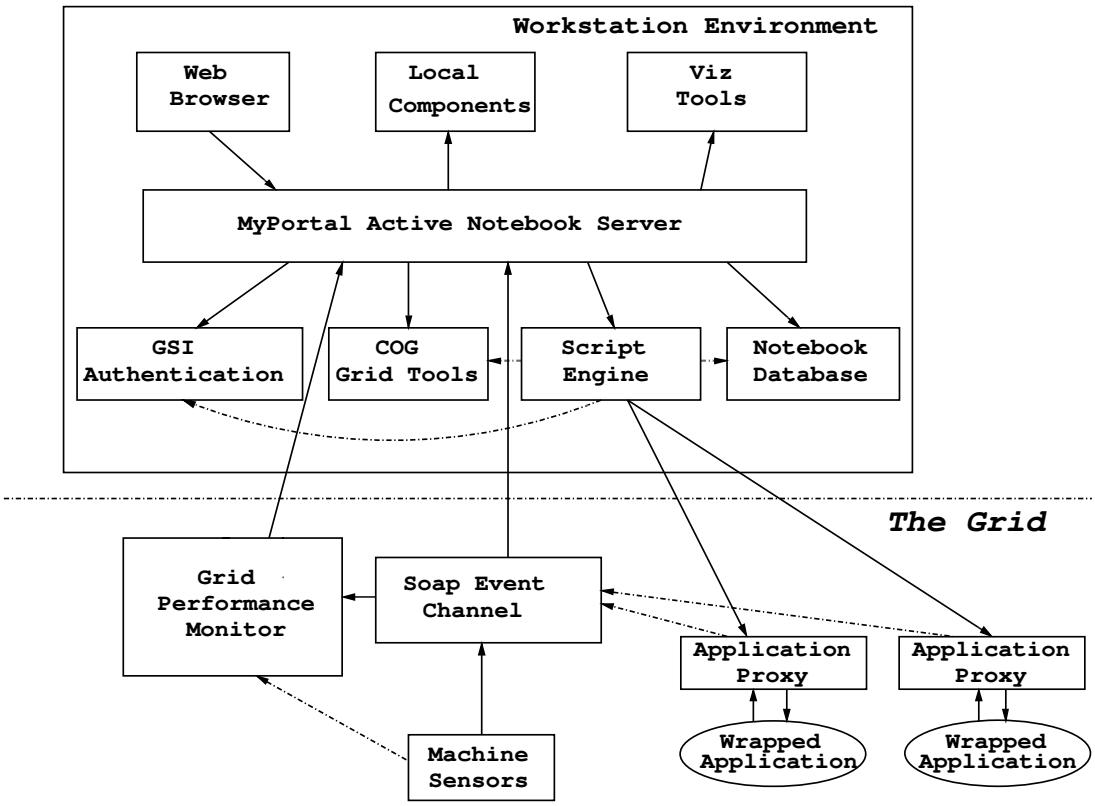

Fig. 1. The XCAT Science Portal Architecture.

set of resources used in a computational application. It consists of documents, web pages, execution scripts, and other notebooks.

– A *Script Engine* that is used to execute complex Grid operations. The scripting is currently in Jython, a pure Java implementation of the Python scripting language which has become popular with many computational scientists. We provide Jython-based interfaces to the Argonne CoG toolkit, which in turn, provides access to Globus functionality and the GSI [21] Grid authentication mechanisms. It also has an API that allows easy access to the DOE Common Component Architecture (CCA) services [22].
– An *Event Subsystem* that is capable of handling event messages, which may be generated by grid resources or user applications.
– A *Grid Performance Monitor* that provides the user with a view of available resources, their current loads and network loads.
– A *Component Browser* that uses an SQL Database backend to provide the user with information about components which can be deployed. The user can use this information to write Jython scripts to create and wire together components.
– A *Remote File Management Interface* that uses the GSI enabled FTP service.

3.1. The notebook database

The underlying directory structure of the filesystem is used as the database to support the portal. The database stores a notebook corresponding to each computational application. Each notebook is stored as a directory and each page of the notebook is stored in a different subdirectory. An XML file containing metadata about the notebook and a list of pointers and references to the pages in the notebook is also stored in the local database. Figure 2 shows a snippet of such an XML file. It describes a notebook session, with a title *Notebook_Intro*, containing a notebook page, *BigPicture*. The complete schemas can be viewed at *http://www.extreme.indiana.edu/an/xsd*.

The active document representing a notebook session can be converted into a Java Archive (JAR) and the meta information about the notebook can be stored

```
<activeNotebook xmlns="http://www.extreme.indiana.edu/an">
   <activeNotebookInfo>
       <title>Notebook_Intro (session)</title>
       <creationDate>Thu Apr 19 10:54:10 EST 2001</creationDate>
       <modifiedDate>Thu Apr 19 10:54:18 EST 2001</modifiedDate>
       <version>1.0</version>
       <id>NotebookIntro.7444</id>
       <open>true</open>
       <relatedTo>NotebookIntro</relatedTo>
       <unsaved>false</unsaved>
   </activeNotebookInfo>
       <pageContent>
       <title>BigPicture</title>
       <url>/an/database/notebook/nNotebookIntro.7444/
            pBigPicture/big_picture.html</url>
       <id>BigPicture</id>
       <number>1</number>
       <open>false</open>
   </pageContent>
</activeNotebook>
```

Fig. 2. An XML file with notebook metadata.

in a WebDAV [17] server. This meta information includes the URI for the actual location of the archive. Notebook users can browse the information about published sessions using a WebDAV client. This enables them to get information like notebook name, author, abstract etc. about the session before actually deciding to retrieve the archive. The author of the notebook can decide to set privileges like enabling only read or allowing updates on the archived session by other authors. The authorization information is stored in the WebDAV server as an Access Control List. The JAR can be published into a repository using GSI-enabled FTP or other file transfer services. Since the JAR corresponds to an *active document*, it is self-sufficient and can be simply plugged into an authorized user's local database, and is ready to use. Thus, the portal users in a scientific community can collaborate with their peers by sharing data corresponding to their experiments.

3.2. Grid application scripting

One difference between a *user portal* and a *science portal* is the complexity of the tasks that the portal supports. A user portal allows users to submit single jobs to the grid. The portal provides features to make it very simple to manage the job, providing load-time and run-

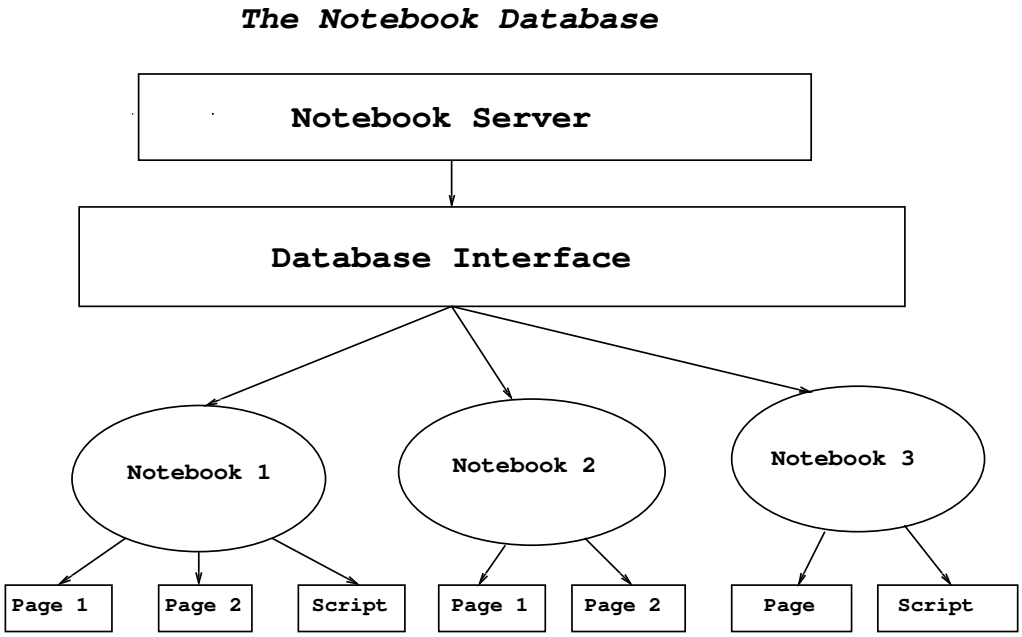

Fig. 3. The notebook database.

time information, and to help the user select resources and to monitor the execution of the job. In a science portal, the applications tend to be more complex. A single scientific experiment may involve running many different computational simulations and data analysis tasks. It may involve coupled multidisciplinary applications, collaboration, and remote software components linked together to form a distributed application. Often these complex tasks may take a great deal of effort to plan and orchestrate, and the entire application may need to be run many times each with a slightly different set of parameter values. We have found that the best way to allow this sort of computation to be carried out is to allow the scientist access to a simple scripting language which has been endowed with a library of utilities to manage Grid applications. Furthermore, we provide a simple tool which allows the scientist to build a web form interface to configure and launch the scripts. Users of the scripts simply fill in parameter values to the web form and then click the *Submit* button. This launches a script which executes on the user's desktop, but manages remote applications on the grid. Our prototype implementation uses the Jython language for scripting because it is popular with scientists and has an excellent interface to Java, and we make the scripts grid-enabled by providing an API to Globus Services using the Cog Toolkit.

Figure 4 illustrates a portal interface, which is typically application-dependent and is configurable by the users. In the panel on the left, there is a view of an open notebook session. It consists of a set of pages and script forms. In this figure, the form for a simple script which launches a local visualization application is shown. Parameter values selected by the user from the form page are bound to variables in the script. By selecting *Edit* both the script and the form page may be edited as shown in Fig. 5. In this case, the script launches a local program called *animator* which takes as a parameter the name of a simulation output file to animate. In this example the script is trivial, but it is not much more difficult to write a script to launch an application on the grid and to manage remote files.

A second form of scripting is used to manage the local details of the program's execution on a remote site. The remote applications are managed by *application managers*. In most cases, the applications that the scientists and engineers want to run on the Grid are not *grid aware*, i.e., they are ordinary programs that read and write data to and from files. In some cases, we have access to the application source, but often that is not available – e.g., when using commercial applications codes. An application manager is an agent process that helps the application make use of grid services. For example, the manager can stage input files from remote locations or invoke post-processing on the application output when the application has finished. The manager also serves as an event conduit between the application and the portal. If the application dies or creates a file,

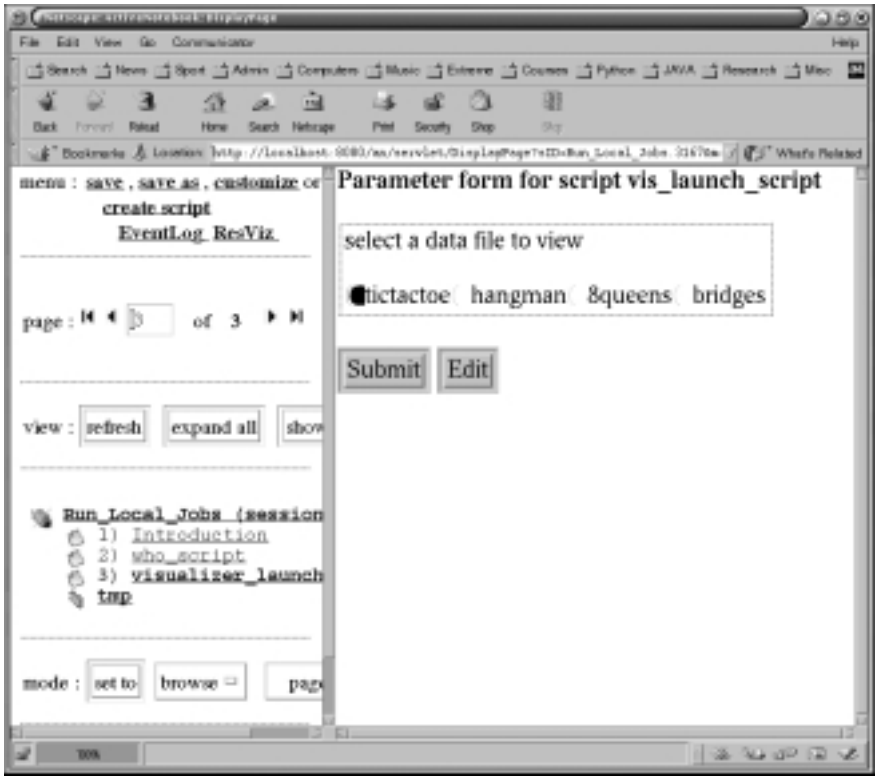

Fig. 4. Snapshot of XCAT SP.

the manager can send an event back to the portal with the appropriate message. The application manager is shown in Fig. 6.

The application manager can also act as a service broker for the application. The manager can register itself with the Grid Information Service [14] and advertise the application's capabilities. If a user with the appropriate authorization discovers it, then the manager can launch the application on behalf of the user and mediate the interaction with the user. For example, suppose the application is a library for solving sparse linear systems of equations on a large parallel supercomputer. The manager can export a remote solver interface that takes a sparse linear system as input and returns solution vectors and error flags as output. If a user has a remote reference to the manager, the solver can be invoked by a remote method call passing a linear system (or its URI) as a parameter and the solution vector can be received as a result of the call. This is the model used by JiPANG to invoke Ninf and Netsolve.

In the XCAT system, the application managers conform to the DOE Common Component Architecture (CCA) specification. XCAT is our implementation of the CCA specification, built on top of XSOAP (formerly SoapRMI [32]), that allows the users to write CCA compliant components in C++ and Java. The application managers are designed to be *scriptable* components, which have one standard port providing the creator with the ability to download a script which the component can run. The scripting language and library used by the component is identical to the language and library available to the portal engine. The application managers combine the advantages of a persistent remote shell with that of a remote object which may be invoked through a well defined set of interfaces. Furthermore, the interfaces that a manager component supports can change dynamically by simply downloading a new script. This allows the portal to dynamically change the behavior of a remote application to suit new problems or requirements. For a more detailed description of the Application Manager, including APIs, consult ProgGrid [4].

XCAT provides a Jython based scripting interface to instantiate remote components, wire them together using input and output ports and orchestrate the computations. The portal uses this scripting interface, whose API is described in Fig. 8.

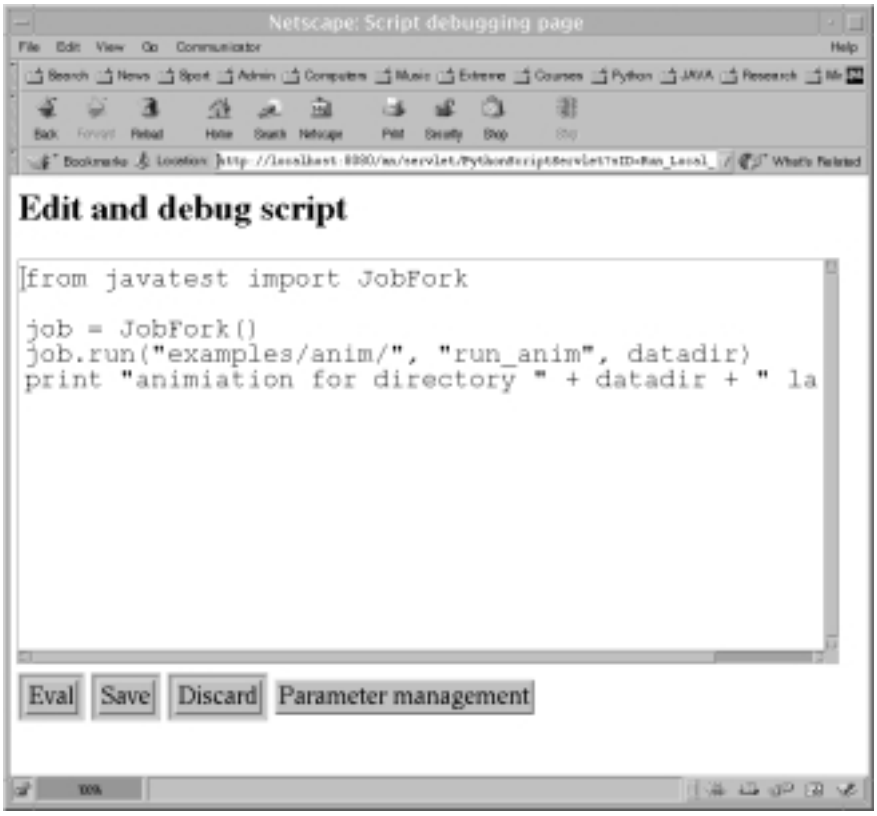

Fig. 5. Script page.

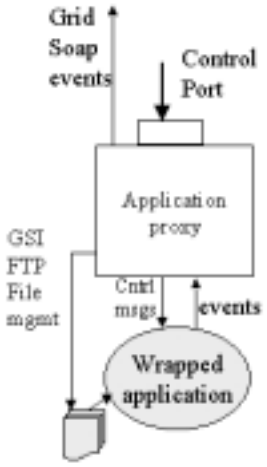

Fig. 6. XCAT application managers.

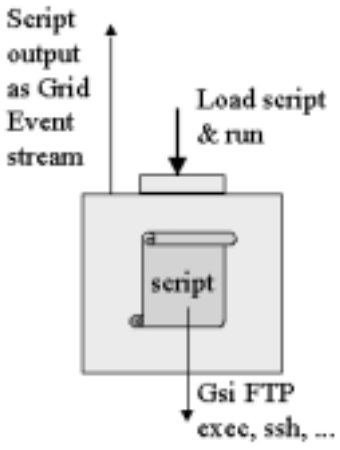

Fig. 7. Scriptability of application managers.

3.3. Event subsystem

The XCAT Science Portal uses the SOAP Events system [33] to decouple communication between the scripting engine and the remote jobs launched by the portal. This decoupling ensures that the remote applications can continue execution when the portal itself shuts down. Communication is reestablished seamlessly when the portal is restarted. The events that occur in the interim are stored by a persistent event

```
def createComponent (componentInfo):

def setMachineName  (componentWrapper, machineName):

def setCreationMechanism (componentWrapper, creationMechanism):

def createInstance (componentWrapper):

def connectPorts (outputPortComponent, outputPortName,

                  inputPortComponent, inputPortName):

def start (componentWrapper, usesPortClassName,

           usesPortType, providesPortName):

def kill (componentWrapper, usesPortClassName,

          usesPortType, providesPortName):

def invokeMethodOnComponent (componentWrapper, usesPortClassName,

                             usesPortType, providesPortName,

                             methodName, methodParams):
```

Fig. 8. Jython API to XCAT.

channel and can be retrieved by the portal on restart. A remote job can be an instantiated component that reads and writes files or a Grid Monitoring Architecture (GMA) [2] that collects data for fault detection and performance tuning from a computational grid. Such systems can indicate their progress by sending out events at regular intervals to interested listeners.

The SOAP Events system is based on XSOAP which uses HTTP as the network protocol and SOAP 1.1 [3] compliant XML messages as the wire protocol. By using XSOAP, the portal can receive events from any SOAP 1.1 system. As SOAP events are just XML strings, they can be published by writing preformatted strings onto a socket, allowing frameworks that use different languages and platforms to publish to the channel. To make the channel firewall-friendly, we allow the publishers to "push" events to the channel and the subscribers to "pull" events from it. Such a model obviates the need for the event channel to initiate the communication with publishers or listeners that may reside behind firewalls. We simulate asynchronous event notification to the listener by using listener agents (see Fig. 10). The listener agents constantly query the channel for newly arrived events and forward these events to the listener. The agent and the channel use a cookie-based scheme to monitor the retrieved events. A cookie, held by the agent, has complete state information about the progress of the event pull invocation. Using this cookie, the listener agent can resume the pull in case the channel fails and is restarted. Publishers use similar agents to ensure delivery of events to the channel so that network outages or failure of the channel do not prevent the event from being sent. The publisher agents store the unsent events in a local store and periodically retry publishing them. The listener and publisher agents can also locate a suitable event channel to connect to, based on a set of constraints provided by the listener or publisher application. Event channels register with a directory service when they start and the agents use this service to select the channel.

The portal listener registers with the listener agent running on the local machine using Jython scripts, as illustrated by snippets of code in Fig. 11. The listener agent locates the nearest or a well-known SOAP event channel using the directory service. The listener can use a filter to restrict the events it receives to those that it is interested in. This filtering can be based on matching event attributes or using SQL to query the persistent channel. Applications can provide information about the status of their computation by publishing events to the event channel via the publisher agent.

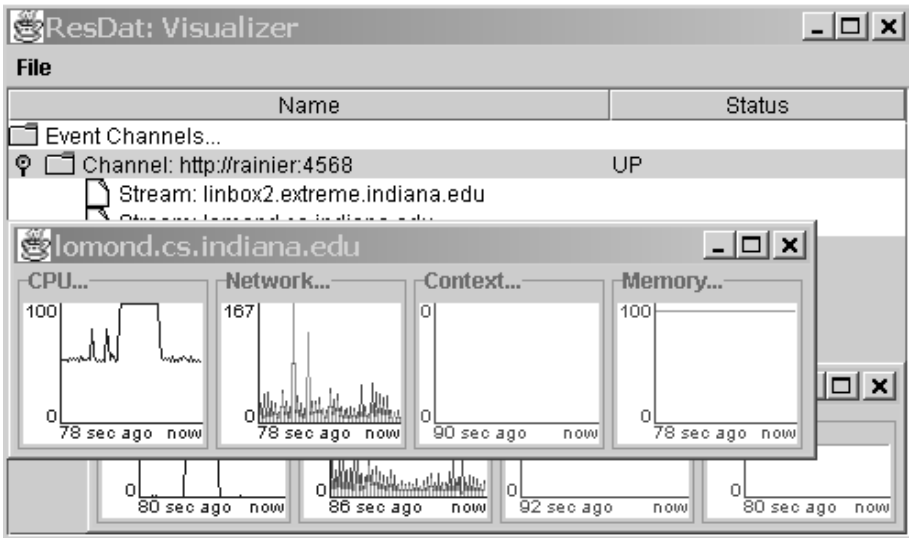

Fig. 9. Event visualizer showing machine utilization events.

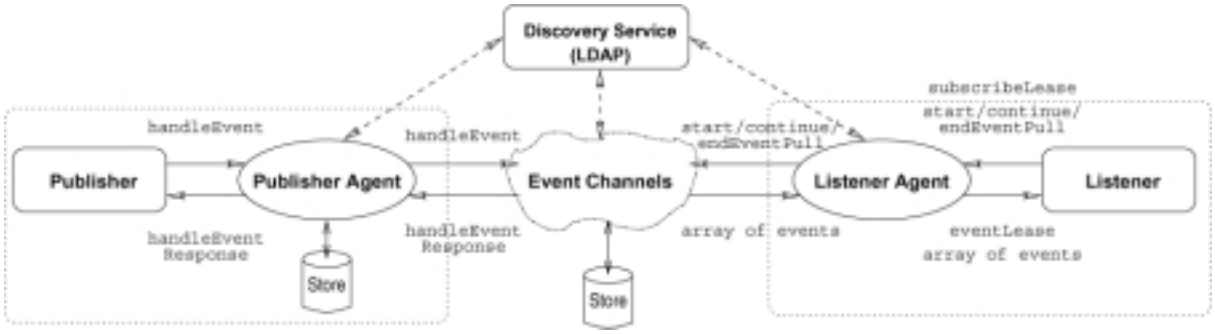

Fig. 10. The event subsystem.

The event channel, in its simplest incarnation is just a listener and publisher working in tandem. With all its features enabled, it provides for complex filtering and querying, persistence to allow retrieval of historical events and handling of user defined events that the channel is not aware of.

3.4. The Grid Performance Monitor

The Grid Performance Monitor (GPM) uses the event subsystem to provide the user with visualization of available resources and the current and predicted future loads on these resources. The data for these loads is obtained from the NWS. The GPM is designed as a thin layer on top of SoapRMI events and an event channel. This provides the portal with the flexibility to cooperate and exchange signals/events with a vast variety of event generators.

The event visualizer component of the GPM subscribes to the event channel through its agent. The visualizer registers interest only in those events that are for resource utilization or a related type. Using the event channel precludes the need for a global registry of all sensors. Sensors send events to the event channel at periodic intervals. Detection of a stoppage in events from a particular sensor can be determined to be due to the failure of the sensor. Event generators that send events at irregular intervals can be required to send simple heart-beat events at regular intervals to indicate that they are still operational.

Figure 12 shows an example of an XML resource event. The XML Schema event system lends itself to extensibility and self-describing event formats, thus making it possible for the portal to interoperate with a wide variety of other event systems, including the NWS, Autopilot sensors. Applications that are aware of their resource utilizations can also write application-

```
# A specialization of the generic EventListener
class MyEventListener(EventListener, RemoteObject,
                      SubscriptionRenewListener):
     def __init__(self, expID):
         # constructor code goes here
# Code to register with the event channel
def subscribeToListenerAgent(expID, url):
    .... # some initialization
    # create an instance of the EventListener
    receiver = MyEventListener(expID)
    # register with the Listener Agent
    agent = Util.getLocalListenerAgent(...params...)
    # Get first batch of events through agent
    result = agent.startPull(timePeriod, filter)
    # Consume list of events from result.events[]
    while (...interested in more events...):
      # Get next batch of events
      result = agent.continuePull(result.cookie)
      # Consume list of events from result.events[]
    # Done pulling events
    agent.stopPull(result.cookie)
```

Fig. 11. Subscribing to an event channel.

level resource events, and send it to the event channel. Thus, the user can not only receive resource utilization information of the target machines, but also the performance information from their executing applications.

3.5. Authentication and security

In the future, the portal is planned to be run in one of two modes: personal or multiuser. At present, we only support the personal mode, while work on the multiuser mode is in progress. In both cases, the authentication is handled via the Globus GSI. The user can either use local Globus credentials on the portal's server via the Globus CoG Kit, or can remotely upload credentials into the portal via the MyProxy [10] CoG Kit. The initial startup screen has text fields for the user to enter in the appropriate information: his/her Globus credential password for a local credential, or a server, tag name and password for a MyProxy credential. In either case, the portal server loads a GlobusProxy object from the relevant source for use in authentication and instantiation, on behalf of the user. In the personal mode, only

```
<MachineUtilizationEvent>
  <eventNamespace>http://www.extreme.indiana.edu/soap/
                  events/resdat#MachineUtilizationEvent
  </eventNamespace>
  <eventType>resdata.machine.utilization</eventType>
  <timestamp>2002-01-07T17:41:28.072Z</timestamp>
  <arriveTimestamp>2002-01-07T17:41:29.151Z
  </arriveTimestamp>
  <source>rainier.extreme.indiana.edu</source>
  <handback>resviz_channel</handback>
  <cpuUtilization>0.88<cpuUtilization>
  <memoryUsed>123988</memoryUsed>
</MachineUtilizationEvent>
```

Fig. 12. An example XML resource event.

the owner is authorized to run jobs using the portal, while in the multiuser mode the user can run jobs if he/she is permitted to use the portal, which can be configured by the portal owner, using some Access Control List mechanism. If cookies are enabled by the user, the server sets a cookie object in the user's browser that maps the session to the Proxy so that, when the user leaves the site, his/her identity isn't lost. This helps the portal do better session management. Even if the user has disabled the use of cookies, the portal works fine, although it loses some of its session tracking capabilities.

4. Sample applications

The XCAT Science Portal has been used for a number of different applications. It has been used for distributed simulation of chemical processes in semiconductor manufacturing by a team of Chemical Engineers at NCSA, for collaboratory support by a team of X-ray crystallographers at Indiana University, and for Linear Systems Analysis [4], and Collision Risk Assessment of Satellites with space debris [4] by the Extreme Computing Lab at Indiana University. We describe two of the above in the next subsections.

4.1. NCSA Chemical Engineering

The work done with the Chemical Engineering team from NCSA is an example of the kind of science problems the portal is intended to solve. The simulation models the process of copper electrodeposition in a submicron sized trench which is used to form the interconnection on microprocessor chips. The simulation consists of two linked codes. One consists of a continuum model of the convective diffusion processes in the deposition bath adjacent to the trench. The second consists of a Monte Carlo model of events that occur in the near-surface region where solution additives influence the evolution of deposit shape and roughness during filling of the trench. The codes communicate by sharing data files about common boundary conditions. Figure 13 shows the coupled codes and the filter that is added to insure stability of the linked computational system.

The codes are run separately on the Grid. The transfer of files is done using grid based file-management and transfer utilities. The interface to the Grid is provided by "Application Managers". As described before, these are wrappers which provide access to grid services such as GSI, grid-events, etc. to the codes and

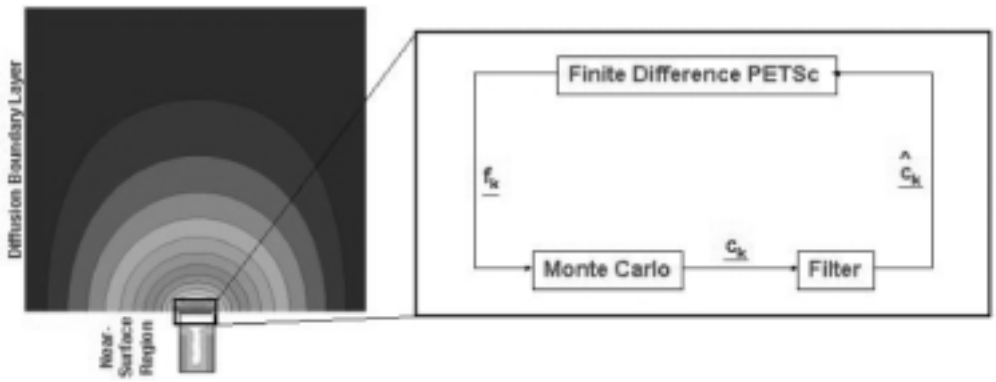

Fig. 13. Linked chemical engineering codes.

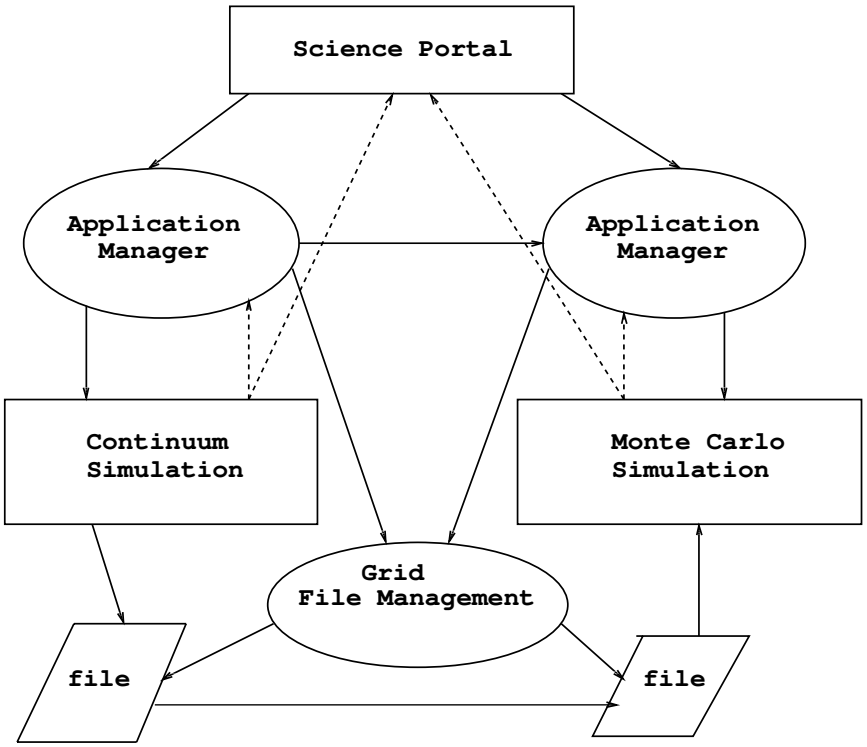

Fig. 14. Chemical engineering application setup.

make them grid-aware. Each execution is set-up and controlled from the controlling Jython script which runs inside the portal. The primary mechanism for getting feedback is the event system. Grid file-management tools can be used to transfer output files which are generated. Events which come back from the applications are handed off to event handlers which have been registered, or are logged. Special events could be used to trigger off event handlers which can change or control the course of the execution.

This application illustrates several interesting scenarios in collaboration. The experiment is set up by the chemical engineers using the tools provided in the portal. Simple web forms are created for parameter input which will control the experiment. An example of one such form is shown in Fig. 15. Subsequent users do not need to know about these parameters or the mechanics of the grid computation. They will interact with only the portal web interface and event notification mechanisms.

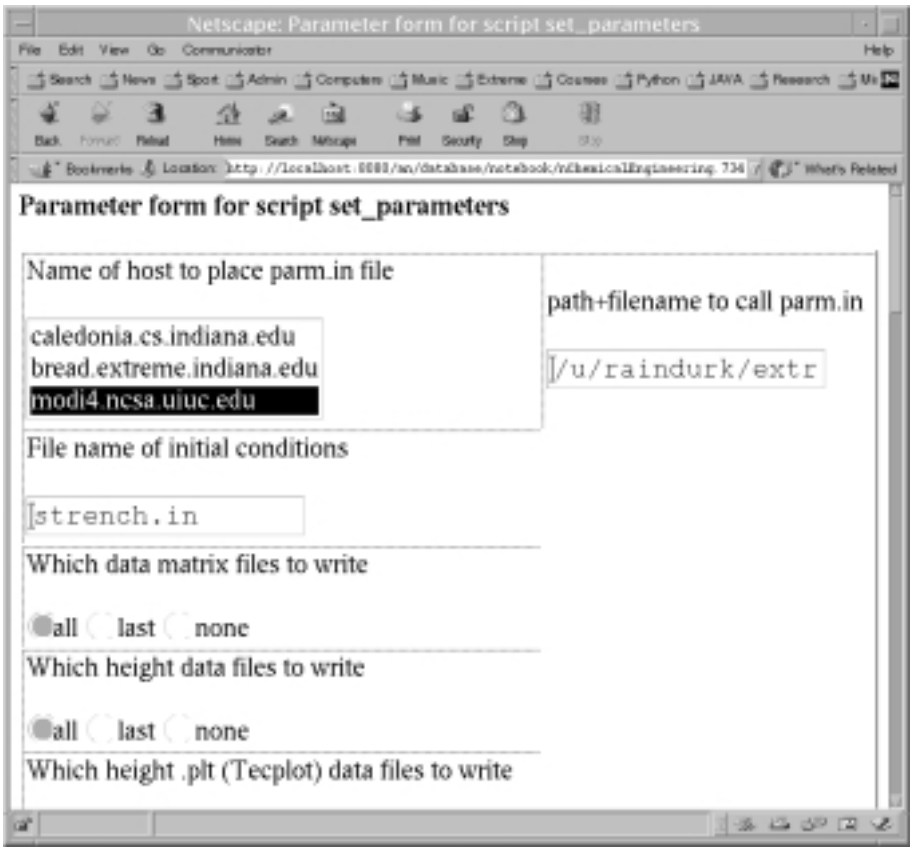

Fig. 15. Parameter form.

4.2. IU Xports project

A second application is a collaboratory for X-ray crystallographers using the beam lines at Argonne's Advanced Photon Source (APS) and Lawrence Berkeley's Advanced Light Source (ALS). This work will allow users at remote laboratories to send sample crystals to the beam lines, collaborate with the scientists preparing and mounting the sample, then to receive initial images of the execution, over the network. They can then dynamically upload new control parameters or, if the sample appears flawed, terminate the run. In addition to large amounts of data (up to a Terabyte/day) and numbers of files (1–3 per second) this application requires multiple video streams, accessing high-speed research networks, and synchronous geographically distributed collaboration.

The portal was used to launch part of the experimental setup from the client site. Using the Jython controlling script and the Java Application Managers, local applications were launched and controlled. The setup of the experiment closely resembled that of the Chemical Engineering one. Events were used to get feedback on the progress of the execution.

5. Conclusions

This paper has described the XCAT Science Portal system. The contributions of this research project include

- providing a generic programming tool for grid application designers that allows them to script complex applications, and access them using a simple forms based web browser interface.
- providing an "active document" model for packaging applications for collaborative purposes.
- demonstrating how a grid event system can be integrated into both the grid applications and resource monitoring to provide the user with important feedback about the runtime behavior of his or her applications.
- showing that a distributed software component architecture (in this case the DOE CCA model) can

be used as an effective tool to manage distributed applications based on legacy software, which is not grid-aware.

6. Future work

Future work includes integration of the resource and component directory services with the Grid Forum standards for information services and with the emerging work on the Web Service Directory Language (WSDL) that is being advocated by industry groups. In addition, we are building interfaces to intelligent resource brokers and building components that are capable of adapting to available grid resources. We are working on the multiuser version of the portal, and trying to use it for the Grid Access Portal for Physics Applications [18]. We are also working on an secure implementation of SOAP, which will be built using GSI and Secure Sockets. We plan to integrate it with a multiprotocol messaging architecture, which is capable of switching between SOAP and binary protocols, depending upon the performance needs of the user.

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