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Citation	Physical chemistry chemical physics, 18(26), 17557-17564 https://doi.org/10.1039/c6cp02314b
Issue Date	2016-07-14
Doc URL	http://hdl.handle.net/2115/66619
Type	article (author version)
Additional Information	There are other files related to this item in HUSCAP. Check the above URL.
File Information	theoretical study.pdf



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Theoretical study on mechanism of the photochemical ligand substitution of *fac*-[Re^I(bpy)(CO)₃(PR₃)⁺ complex

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Abstract

The mechanism of the CO ligand dissociation of $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$ has theoretically been investigated, as the dominant process of the photochemical ligand substitution (PLS) reactions of $fac-[Re^I(bpy)(CO)_3PR_3]^+$, by using the (TD-)DFT method. The PLS reactivity can be determined by the topology of the T_1 potential energy surface, since the photoexcited complex is able to decay into the T_1 state by internal conversions (through conical intersections) and intersystem crossings (via crossing seams) with sufficiently low energy barriers. The T_1 state has a character of the metal-to-ligand charge-transfer (3MLCT) around the Franck-Condon region, and it changes to the metal-centered (3MC) state as the Re-CO bond is elongated and bent. The equatorial CO ligand has a much higher energy barrier to leave than that of the axial CO, so that the axial CO ligand selectively dissociates in the PLS reaction. The single-component artificial force induced reaction (SC-AFIR) search reveals the CO dissociation pathway in photostable $fac-[Re^I(bpy)(CO)_3Cl]$ as well, however, the dissociation barrier on the T_1 state is substantially higher than that in $fac-[Re^I(bpy)(CO)_3PR_3]^+$ and the minimum-energy seams of crossings (MESXs) are located before and below the barrier. The MESXs have also been searched in $fac-[Re^I(bpy)(CO)_3PR_3]^+$ and no MESXs were found before and below the barrier.

1. Introduction

The photochemistry and photophysics of the rhenium(I) diimine tricarbonyl complexes, $fac-[ReI(bpy)(CO)_3L]^{n+}$ (bpy = 2,2'-bipyridine, L = various monodentate ligands, $n = 0, 1$), have attracted a lot of interests since they show emissions in good quantum yields even in solution at ambient temperature¹ and can play a role as a photocatalyst for CO₂ reduction.^{2,3} The electronic states of these complexes are characterised by 5d orbitals of the rhenium atom (d^6 metal ion) and low-lying π^* and σ^* orbitals. The charge-transfer (CT), ligand-centered (LC), and metal-centered (MC) states appear as low-lying electronic excited states in their photochemistry. Detailed understanding of their energetics and dynamics is important to design new photo-functional materials. Triplet metal-to-ligand charge-transfer (³MLCT) states have been mostly studied over the last three decades, because such emissions or photo-catalytic activities are closely related to the lowest ³MLCT state.⁴ The ³MLCT state cannot be optically accessed from the electronic ground state, which is a singlet state herein, while the initial photoactivation of the rhenium(I) complexes is of singlet metal-to-ligand charge-transfer (¹MLCT) character. The ³MLCT state should be populated via the intersystem crossing (ISC) between the singlet and triplet states and the internal conversion (IC) of the singlet-singlet or triplet-triplet states. Such a decay process can be achieved in several hundreds of femtoseconds.⁵⁻⁷

The complex with a halide ligand, e.g. $fac-[Re^I(bpy)(CO)_3Cl]$, is thermally and photochemically robust under low-energy ultraviolet (UV) light ($\lambda > 330$ nm).⁸⁻¹⁰ On the other hand, the complex with a phosphorus ligand, e.g. $fac-[Re^I(bpy)(CO)_3P(OEt)_3]^+$ (Et = CH₂CH₃), is photoactive; it shows the photochemical ligand substitution (PLS) reactions (Scheme 1) in which the axial CO ligand is substituted by a solvent molecule, e.g. CH₃CN

(acetonitrile).^{11,12} The PLS is a typical reaction that proceeds via the ^3MC state of d^6 metal complexes, which can be understandable qualitatively by the conventional ligand-field theory.¹³ Ishitani and co-workers have proposed the following mechanisms (Scheme 2) from their experimental results:^{11,14}

a) Of the phosphorus complexes, the ^3MC state is energetically accessible from the $^3\text{MLCT}$ state and the potential energy surface of the ^3MC state is repulsive along the Re-CO dissociation coordinate.

b) Of the halide complexes, the ^3MC state is also accessible from the $^3\text{MLCT}$ state, but there is an extra potential barrier which has a rather high activation energy for the Re-CO dissociation because of the trans effect of the ligand.

However, the mechanism of the PLS or the reason for the photostability of the halide complexes remain obscure because there are no theoretical investigations so far for the relationship between the $^3\text{MLCT}$ (or $^1\text{MLCT}$) and ^3MC states, and the potential barriers in the ^3MC state.

In this study, the CO ligand dissociation pathway of $fac\text{-}[\text{Re}^{\text{I}}(\text{bpy})(\text{CO})_3\text{P}(\text{OMe})_3]^+$ (Me = CH_3) - as the dominant process in the PLS reactions - has been explored by *ab initio* quantum chemistry calculations. Geometry optimisations were achieved, not only for the equilibrium structures (EQs) but also for the conical intersections (CIs) and the seams of crossing (SXs). Characterizing CI and SX is important since the IC or ISC efficiently occurs at the CI or SX, respectively.¹⁵ Generally speaking, the geometry optimisation for a CI (or a SX) requires a computation of the non-adiabatic coupling matrix elements (NACMEs) and the complete-active-space self-consistent-field (CASSCF) method¹⁶ or much higher levels of *ab initio* electronic structure theory can only compute the NACMEs, but the CASSCF

calculation is demanding and costly so that it cannot be applied to *fac*-[Re^I(bpy)(CO)₃P(OMe)₃]⁺. With a help of the branching-plane updating method,¹⁷ the CIs and SXs can be optimised¹⁸ by the TD-DFT level of theory¹⁹ which is less expensive than the CASSCF and affordable, without computing the NACMEs at all. Here we discuss the relaxation process into the ³MLCT state inclusively, and then the ³MLCT/³MC transition, the barrier in the ³MC state, the SXs to the ground state, as well. Hence, this is the first report on theoretically studied mechanisms of the PLS reactions of the rhenium(I) diimine tricarbonyl complex with a phosphorus ligand.

2. Computational details

The minima of the potential energy surfaces and the minimum energy conical intersections (MECIs) for S₃/S₂, S₂/S₁, and T₂/T₁, and the minimum energy seams of crossings (MESXs) for S₁/T₂ and T₁/S₀ were explored by the single-component artificial force induced reaction (SC-AFIR) method²⁰ associated with the seam model function (SMF).²¹ It should be noted that the MECIs and MESXs were optimised by the branching-plane updating method¹⁷ without computing the non-adiabatic coupling matrix element (NACME) vectors. The collision energy parameter $\square = 150 \text{ kJ mol}^{-1}$ was adopted in the automated search of the SC-AFIR method. The automated search was performed at the (TD-)B3LYP²³/6-31G(d)/SDD level of calculation; the 6-31G basis set²⁴ was applied to H, C, N, O atoms, the 6-31G(d) to P atom,²⁵ and SDD (Stuttgart/Dresden) ECP basis set with quasi-relativistic effect corrections to Re atom.²⁶ Solvent effects of acetonitrile were considered by the conductor-like polarizable continuum model (C-PCM).²⁷ In this model the equilibrium solvation was taken into account. The obtained structures by the SC-AFIR search were refined at the

(TD-)B3LYP/cc-pVDZ²⁸/SDD level with the C-PCM. The TD-DFT method with the B3LYP functional and the C-PCM reproduced the experimental absorption peak well for *fac*-[Re^I(bpy)(CO)₃X] (X = Cl, Br, I).^{6,22} This level of theory also works in the case with *fac*-[Re^I(bpy)(CO)₃P(OMe)₃]⁺ as shown below. All these calculations were computed using a developmental version of the GRRM program²⁹ combined with the Gaussian 09 electronic structure calculation program.³⁰ The spin-orbit coupling (SOC) constants were also computed by the linear response approach³¹ using the Dalton 2015.1 quantum chemistry program system.³²

3. Results and Discussion

3.1 The Excited States and the Relaxation Pathway

Table 1 shows the relative energies of the low-lying singlet (S₁, S₂, and S₃) and triplet (T₁, T₂, T₃, and T₄) electronic excited states of *fac*-[Re^I(bpy)(CO)₃P(OMe)₃]⁺, and the oscillator strengths of the excitations from the ground (S₀) state as well. These electronic states are characterised by three 5d orbitals of the rhenium atom and low-lying \square and \square^* orbitals localised on the bpy ligand (Fig. 1) which is the same as *fac*-[Re^I(bpy)(CO)₃X] (X = Cl, Br, I) complexes.^{6,7,22} Although the T₁ and T₃ states include the LC excitation, HOMO-3 (99a) → LUMO (103a), all of the low-lying states indicate the MLCT character between the rhenium atom and bpy moiety. It is well reported that the photoexcitation of the Re(I) diimine tricarbonyl complexes corresponds to the MLCT excitation.^{6,7} The S₃ ← S₀ vertical excitation energy, 3.62 eV (which corresponds to 319 nm in wavelength), is in good agreement with the experimental absorption peak,³³ and its oscillator strength $f = 0.1044$ is sufficiently larger

than the $S_2 \leftarrow S_0$ or $S_1 \leftarrow S_0$ excitations. Then we assume the following relaxation pathway starts on the S_3 state in the Franck-Condon (FC) region.

Several important structural parameters of *fac*-[Re^I(bpy)(CO)₃P(OMe)₃]⁺ optimised in the low-lying excited states are listed on Table 2. Once the $S_3 \leftarrow S_0$ photoexcitation occurs, the molecule starts moving towards the minimum (S_3 -min), since the potential energy surface is downhill in the FC region. In this system the minimum-energy CI between the S_3 and S_2 states (S_3/S_2 -MECI) is very close to the S_3 -min and there are almost no barriers, so that the system can be easily relaxed into the S_2 state. Similarly it goes into the S_1 state through the S_2/S_1 -MECI, which exists near the S_2 -min, as well. Such a relaxation process has been practically understood as the Kasha's rule;³⁴ however, here we can characterise the pathway by optimising the minima and MECIs. In the FC region, the spin-orbit couplings between singlet and triplet states are not large enough (the coupling constants are less than 10 cm⁻¹), and internal conversions through MECIs into the S_1 state are preferable. Therefore only the intersystem crossing between the S_1 and triplet states are considered here. In the S_1 state, the spin-orbit coupling with the T_2 or T_3 state is relatively strong (the coupling constants of the S_1 with the T_1 , T_2 , T_3 , and T_4 states are 0.89, 5.18, 5.99, and 2.55 cm⁻¹, respectively). The minimum-energy SX between the S_1 and T_2 states (S_1/T_2 -MESX) is located with a barrier of 0.03 eV from the S_1 -min. The S_1/T_3 -MESX is also located at 0.09 eV above the S_1 -min, but, the main exit from the S_1 state would be the S_1/T_2 -MESX energetically. At the S_1/T_2 -MESX, the complex could undergo intersystem crossing to the T_2 state requiring no thermal activation. Then, the system relaxes into the T_1 state quickly, because the minimum of the T_2 state corresponds to a T_2/T_1 -MECI. The resulting T_1 state is also the ³MLCT state ($102a \rightarrow$

103a, Fig. 2). This relaxation process can be compared to those for $[\text{Re}(\text{bpy})(\text{CO})_3\text{X}]$ ($\text{X} = \text{Cl}, \text{Br}, \text{I}$) complexes. Experimentally, both $^3\text{MLCT}$ and ^3LC states were shown to be involved in the relaxation dynamics in the case of $[\text{Re}(\text{bpy})(\text{CO})_3\text{Cl}]$.⁸ Consistently, it has been suggested theoretically that the ISC occurs to both T_2 ($^3\text{MLCT}$) and T_3 (^3LC) states.^{6,22} It has also been shown that the ISC to the T_2 ($^3\text{MLCT}$) state is the main path, and the system finally relaxes into the T_1 ($^3\text{MLCT}$) state by internal conversions. The PLS reaction therefore occurs on the T_1 surface in both $[\text{Re}^{\text{I}}(\text{bpy})(\text{CO})_3\text{P}(\text{OMe})_3]^+$ and $[\text{Re}(\text{bpy})(\text{CO})_3\text{Cl}]$.

In this relaxation pathway, the deformations of molecular geometry are very small. The elongations of the Re-P, Re- C_{ax} , and Re- C_{eq} bond lengths do not exceed 0.078 Å (~3%), 0.052 Å (~3%), and 0.077 Å (~4%), respectively. The $\text{C}_{\text{eq}}\text{-Re-}\text{C}_{\text{eq}}$ angle varies between 85.04° and 95.65°, and this is the largest change among the bond angles. These features are quite similar to $[\text{Re}(\text{bpy})(\text{CO})_3\text{X}]$ ($\text{X} = \text{Cl}, \text{Br}, \text{I}$) complexes,⁶ although the XLCT (halide-to-ligand CT) character is absent. The XLCT character might contribute to lower energy levels of the excited states in $[\text{Re}(\text{bpy})(\text{CO})_3\text{Cl}]$ system by 0.2 - 0.5 eV.

3.2 Mechanism of the Photochemical Ligand Substitution (PLS) Reaction

After the photoexcitation and the ISC between the singlet and triplet states, the *fac*- $[\text{Re}^{\text{I}}(\text{bpy})(\text{CO})_3\text{P}(\text{OMe})_3]^+$ relaxes to the T_1 state, which is the $^3\text{MLCT}$. As described above, the PLS is a typical reaction that proceeds via the MC state of d^6 metal complexes, but no crossings of MLCT/MC states appeared on the relaxation path. Ishitani and co-workers¹¹ reported the *fac*- $[\text{Re}^{\text{I}}(\text{bpy})(\text{CO})_3\text{P}(\text{OMe})_3]^+$ shows an emission ($\lambda_{\text{max}} = 543 \text{ nm}$, $\tau_{\text{em}} = 0.216$) with 1 μs of lifetime, and also the PLS reaction ($\tau_{\text{PLS}} = 0.118$) competes with the emission. Their experimental results strongly suggested 1) the PLS reaction occurs via a ^3MC

state which is thermally accessible from the $^3\text{MLCT}$ state, and 2) the axial CO (CO_{ax}) is selectively dissociated but any equatorial COs (CO_{eq}) are not. From these points of view, the reactivity for the PLS reaction can be characterised by the topology of the T_1 surface along the Re-CO_{ax} dissociation coordinate, and the character of the T_1 state would change from $^3\text{MLCT}$ to ^3MC .

In this study a local minimum on the T_1 potential energy surface, at which the Re-C_{ax} is lengthened by 0.177 Å and the $\text{Re-C}_{\text{ax}}\text{-O}_{\text{ax}}$ angle is bent by 49.30° , was found ($T_1\text{-min (bent)}$). At the $T_1\text{-min (bent)}$ the singly occupied molecular orbitals (SOMOs) consist mostly of the 5d orbitals (Fig. 3), so that the character of the T_1 state changes from the $^3\text{MLCT}$ to the ^3MC . Also the transition state (TS) between the $T_1\text{-min (global)}$ and $T_1\text{-min (bent)}$ was optimised. It corresponds to a critical point of the $^3\text{MLCT}/^3\text{MC}$ states. The TD-DFT calculation supports that this is an avoided crossing between the T_1 and T_2 states. On the ^3MC state the low-energy barrier, 0.17 eV ($16.08 \text{ kJ mol}^{-1}$) above the $T_1\text{-min (bent)}$, exists as a TS along the Re-CO_{ax} dissociation coordinate ($T_1\text{-TS (dissociation)}$). Some of the structural parameters are summarised in Table 3, and the energy profile is depicted in Fig. 4 (a).

To evaluate whether the B3LYP functional and C-PCM model describes the relative energy between the MLCT and MC states properly, the kinetic data is calculated and it is compared with the experimental data.¹¹ The overall PLS reaction rate constant k'_r can be written by the rate constants for elementary steps, $k_{\text{th}} (T_1\text{-min (global)} \rightarrow T_1\text{-TS (bent)})$, $k_{\text{-th}} (T_1\text{-TS (bent)} \rightarrow T_1\text{-min (global)})$, and $k_r (T_1\text{-TS (bent)} \rightarrow T_1\text{-TS (dissociation)})$. Once the CO ligand leaves it is assumed that no CO ligand returns to the $T_1\text{-min (bent)}$, so that $k_{\text{-r}} (T_1\text{-TS (dissociation)} \rightarrow$

T₁-TS (bent)) to be zero. Then the overall PLS reaction rate constant k'_r based on the steady state approximation is

$$k'_r = (k_{th} \cdot k_r) / (k_{th} + k_{-th} + k_r) \quad (1)$$

and the overall free energy barrier ($\Delta G'_r$) can be calculated as

$$\Delta G'_r \approx -\ln k'_r \cdot RT \quad (2)$$

where R is the gas constant and T is the temperature. The calculated relative free energies at 298 K at the T₁-min (global), T₁-TS (bent), T₁-min (bent), and T₁-TS (dissociation) are 2.619, 2.945, 2.915, and 2.970 eV, respectively. So that the rate constants k_{th} , k_{-th} , and k_r are 1.22×10^8 , 1.26×10^{14} , and $4.52 \times 10^{12} \text{ s}^{-1}$, respectively. Consequently the overall reaction rate constant k'_r and the free energy barrier $\Delta G'_r$ can be calculated as $4.23 \times 10^6 \text{ s}^{-1}$ and 3323 cm⁻¹. The experimentally reported free energy barrier at 298 K (ΔG^\ddagger_{298}) is $3740 \pm 760 \text{ cm}^{-1}$. Here, the B3LYP functional was successful in describing these MLCT and MC states.

In contrast to $fac\text{-[Re}^I(\text{bpy})(\text{CO})_3\text{P(OMe)}_3\text{]}^+$, the rhenium diimine tricarbonyl complex with halide ligand $fac\text{-[Re}^I(\text{bpy})(\text{CO})_3\text{Cl]}$ is photostable and no PLS reaction is observed.^{11,12} What does control reactivity for the PLS reaction? We have explored the Re-CO_{ax} dissociation pathway of $fac\text{-[Re}^I(\text{bpy})(\text{CO})_3\text{Cl]}$ and the structural parameters are summarised in Table 4, and the energy profile is depicted in Fig. 4 (b). As well as $fac\text{-[Re}^I(\text{bpy})(\text{CO})_3\text{P(OMe)}_3\text{]}^+$, the minimum in the ³MC state, at which the Re-C_{ax} is lengthened by 0.127 Å and the Re-C_{ax}-O_{ax} angle is bent by 50.69°, was found. There is a barrier of 0.378 eV (36.47 kJ mol⁻¹) to the Re-CO_{ax} dissociation. The barrier is substantially higher than that in the case of $fac\text{-[Re}^I(\text{bpy})(\text{CO})_3\text{P(OMe)}_3\text{]}^+$ (16.08 kJ mol⁻¹). Mixing of the XLCT (halide-to-ligand CT) character might contribute to lower energy levels of the MLCT

state. In contrast, there is no mixing of the XLCT character to the MC state, so that the barrier becomes rather large. This would be one reason of the low PLS reactivity of *fac*-[Re^I(bpy)(CO)₃Cl].

Another important factor determining the PLS reactivity would be the efficiency of the ISC to the S₀ state. The T₁/S₀-MESXs were therefore searched by the SC-AFIR method. The search found 11 independent T₁/S₀-MESXs for *fac*-[Re^I(bpy)(CO)₃Cl]. Fig. 5 shows the four lowest T₁/S₀-MESXs. All of these MESXs have lower energy than 3.20 eV, the T₁-TS (dissociation). The second and third lowest-energy T₁/S₀-MESXs, especially, are located at 3.05 and 3.06 eV (Fig. 5 (ii) and (iii)) respectively on the way from T₁-min (global) to T₁-min (bent), so that these MESXs are important for the reaction mechanism. Therefore *fac*-[Re^I(bpy)(CO)₃Cl] would prefer to relax into the S₀ state in the FC region than overcoming the barrier for the PLS reaction energetically. The T₁/S₀-MESXs, in which the bipyridine ring is distorted, are located above 3.70 eV (see Electronic Supplementary Information for details) and rather higher than the barriers.

The SC-AFIR search for the T₁/S₀-MESXs of *fac*-[Re^I(bpy)(CO)₃P(OMe)₃]⁺ was also performed. In our search 23 independent structures of the T₁/S₀-MESXs were obtained. The lowest four T₁/S₀-MESXs are depicted in Fig. 6 and they are very similar to those of *fac*-[Re^I(bpy)(CO)₃Cl] (Fig. 5), except the MESX after the CO_{eq} dissociation (at 3.34 eV). However, all of these MESXs are located at higher energy than 3.22 eV of the T₁-TS (dissociation). On the Re-CO_{ax} dissociation coordinate the MESXs at 3.45 and 3.50 eV (Fig. 6 (iii) and (iv)) would be most effective, but the TS for the PLS reaction has much lower energy, then the PLS reaction is energetically preferred. In addition, the spin-orbit coupling (SOC) constant at the T₁/S₀-MESX computed by the linear response theory³¹ is 2.55 cm⁻¹

(Fig. 6(iii)), and that of $fac-[Re^I(bpy)(CO)_3Cl]$ is 47.8 cm^{-1} (Fig. 5(ii)). This supports $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$ relaxes much slower than $fac-[Re^I(bpy)(CO)_3Cl]$ through the T_1/S_0 -MESX, so that more probabilities of the PLS reaction are expected in $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$. From the viewpoint of the efficiencies of the dissociation on the T_1 and of the decay to the S_0 , the reactivity is explained successfully.

3.3 Selectivity of CO Position on the PLS Reaction

The rhenium tricarbonyl complex has three carbonyl ligands, an axial and two equatorial COs. Only the dissociation pathway for CO_{ax} has been discussed above since the ^{13}C -NMR study has revealed that any CO_{eq} are not dissociated.¹¹ In this study, however, the transition state structures towards the PLS reaction have been searched by the SC-AFIR method, not only for CO_{ax} but also for CO_{eq} .

The important points in the $Re-CO_{eq}$ dissociation pathways of $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$ and $fac-[Re^I(bpy)(CO)_3Cl]$ are depicted in Figure 4 as well as that of the $Re-CO_{ax}$. The structural parameters are also summarised in Table 5 and Table 6. In the same way as the $Re-CO_{ax}$ dissociation pathway, it is characterised by bending of the CO_{eq} . However, the $(T_1-TS\text{ (dissociation)})_{eq}$ is located at much higher energy than the $(T_1-TS\text{ (dissociation)})_{ax}$ and the S_0/T_1 -MESXs, so that the $Re-CO_{ax}$ dissociation is energetically preferable. Note that the $T_1-TS\text{ (bent)}$ would exist as a T_2/T_1 -MECI because the character of the state is interchanged between the 3MLCT and 3MC . In this study, the $(T_1-TS\text{ (bent)})_{eq}$ is obtained as the T_2/T_1 -MECI.

Conclusions

In this article, the mechanism of the CO ligand dissociation of

$fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$ has theoretically been investigated, as the dominant process of the photochemical ligand substitution (PLS) reactions of $fac-[Re^I(bpy)(CO)_3PR_3]^+$. The excited states have been calculated by the TD-DFT method with the B3LYP functional. The experimentally observed absorption peak was assigned to the $S_3 (^1MLCT) \leftarrow S_0$ (ground) photoexcitation. Once the photoexcitation occurs, the system is able to decay into the S_1 and T_1 states through conical intersections and seam of crossings with sufficiently low energy barriers, and the molecular geometry does not change so much. The relaxation is achievable within the Franck-Condon (FC) region. Such a fast decay process of $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$ is quite similar to that of photostable $fac-[Re^I(bpy)(CO)_3Cl]$. The PLS reactivity can be determined by the topology of the T_1 potential energy surface. Around the FC region the T_1 state has a character of the metal-to-ligand charge-transfer (3MLCT), and it changes to the triplet metal-centered (3MC) state as the Re-CO bond is elongated and bent. Equatorial CO ligands have a much higher energy barrier to dissociate than that of the axial CO, so that the axial CO ligand selectively dissociates in the PLS reaction. Whereas both of $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$ and $fac-[Re^I(bpy)(CO)_3Cl]$ have similar energy profiles along the coordinate of the Re-CO dissociation, $fac-[Re^I(bpy)(CO)_3Cl]$ is photostable and it shows no PLS reactivity. It is shown that the axial CO dissociation barrier on the T_1 state is substantially higher in $fac-[Re^I(bpy)(CO)_3Cl]$ than that in $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$. Furthermore, the single-component artificial force induced reaction (SC-AFIR) search reveals that the minimum-energy seam of crossings between the T_1 and S_0 states (T_1/S_0 -MESXs) exist before and below the barrier in the $fac-[Re^I(bpy)(CO)_3Cl]$ system, while all T_1/S_0 -MESXs exist before and above the barrier in $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$ (Scheme 3). Therefore $fac-[Re^I(bpy)(CO)_3Cl]$ can decay into the S_0 state and it shows no

PLS reactions.

Acknowledgements

The authors would like to thank Dr. Chantal Daniel of Université de Strasbourg for stimulating discussions. This work is supported by a grant from Japan Science and Technology Agency with a Core Research for Evolutional Science and Technology (CREST) in the Area of “Establishment of Molecular Technology towards the Creation of New Functions”. In this work we used the supercomputer of ACCMS, Kyoto University, Japan.

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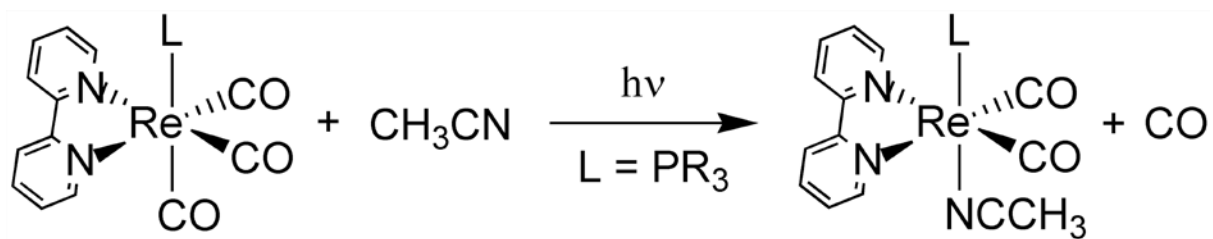
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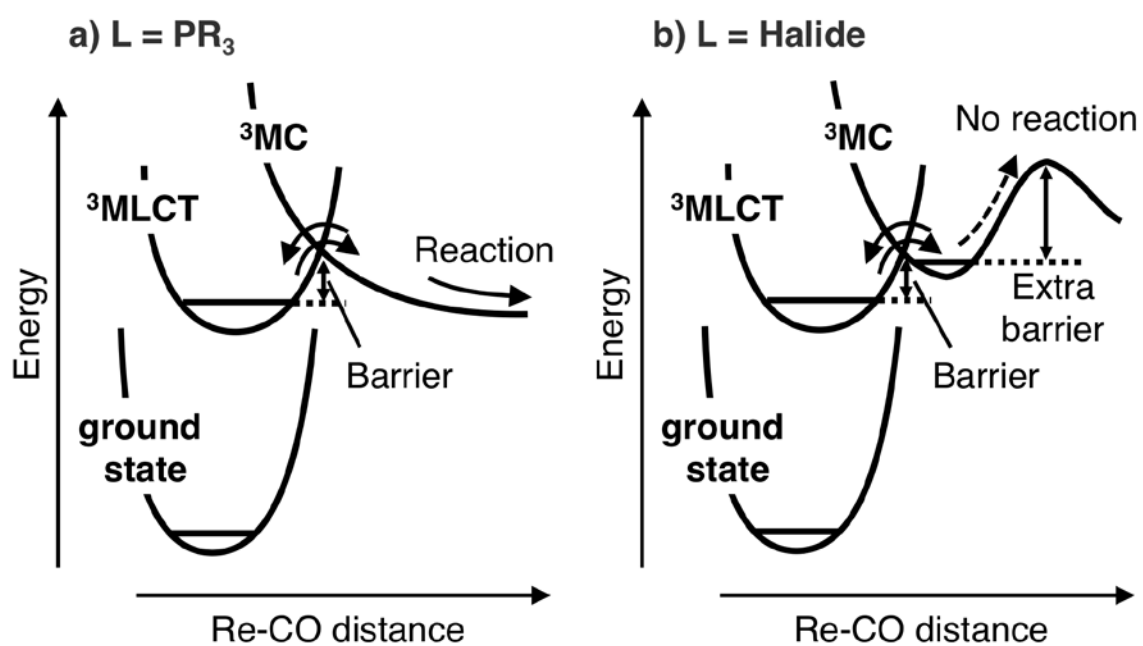
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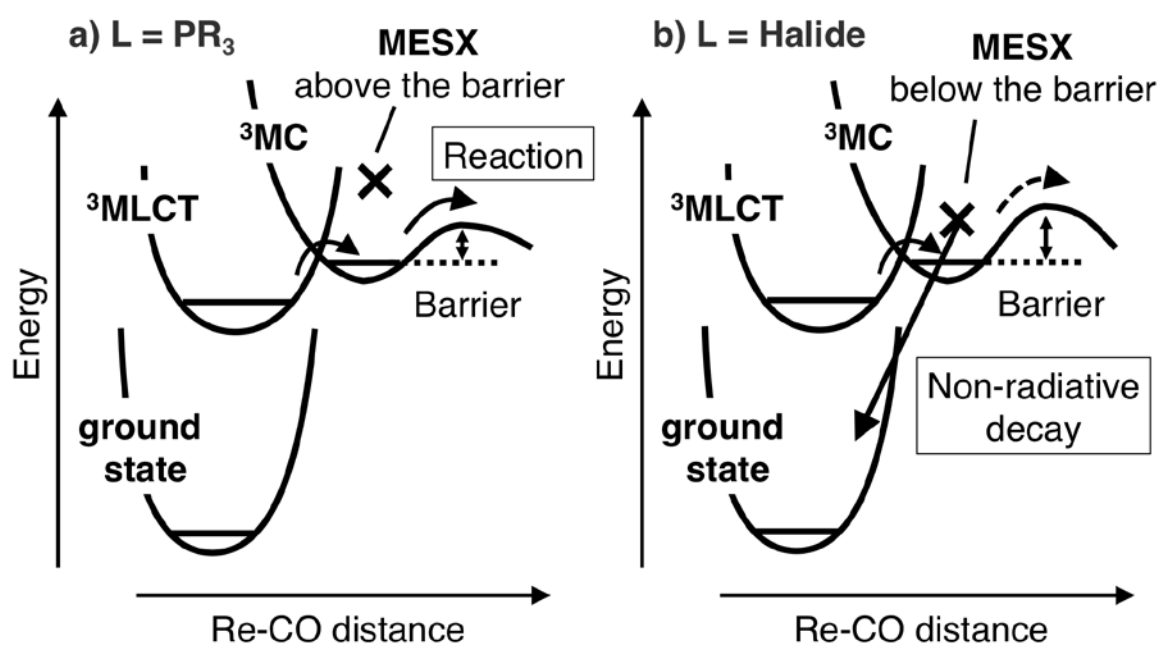
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Scheme 1. Photochemical ligand substitution (PLS) reaction.



Scheme 2. Proposed mechanisms for PLS reaction (Reference 14).



Scheme 3. Proposed mechanisms for PLS reaction from our results.

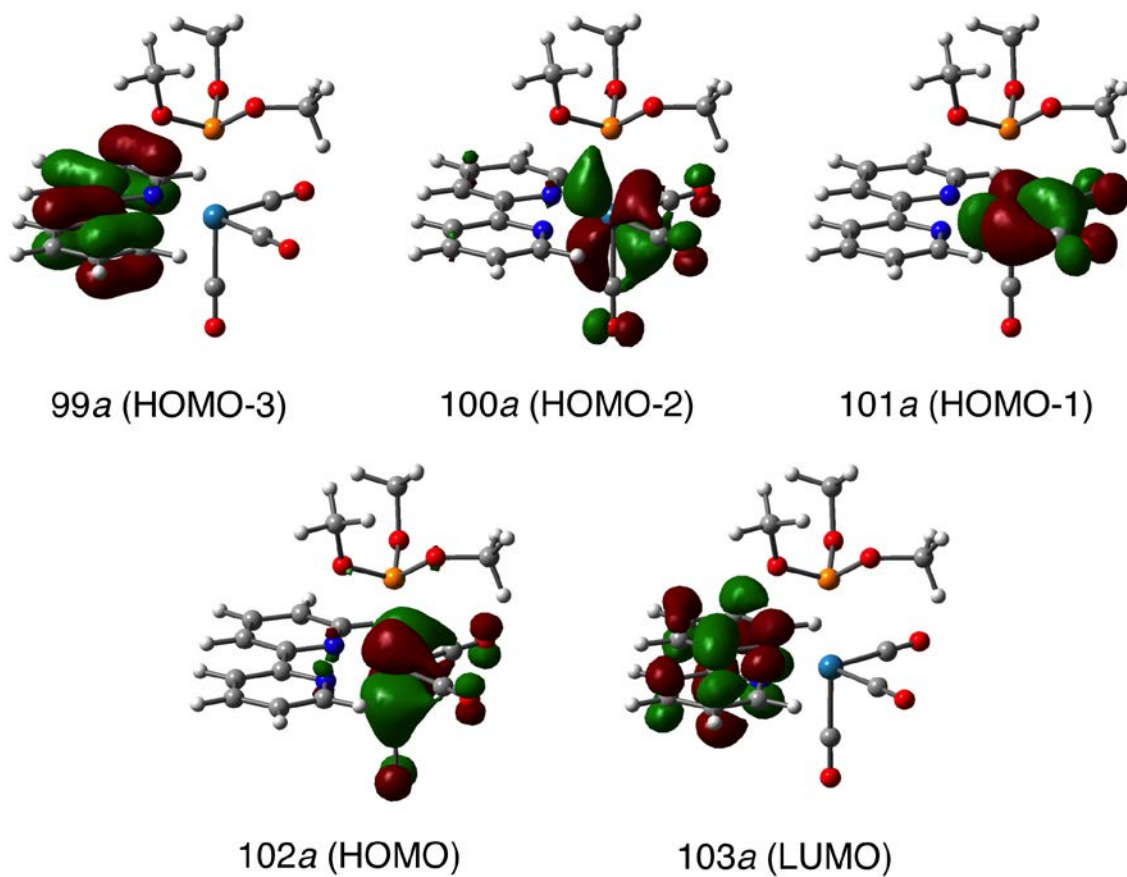


Figure 1. Frontier orbitals of $fac\text{-}[\text{Re}^{\text{I}}(\text{bpy})(\text{CO})_3\text{P}(\text{OMe})_3]^+$ at the equilibrium structure ($S_0\text{-min}$).

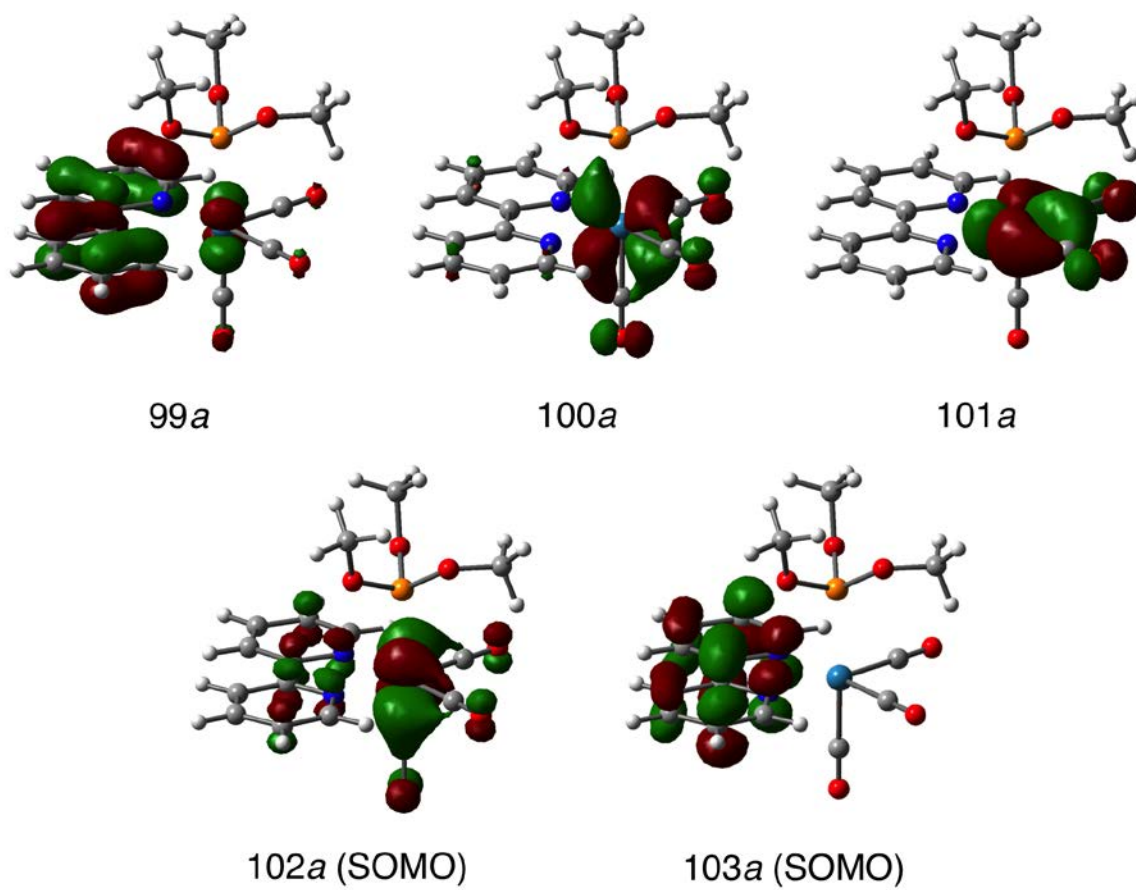
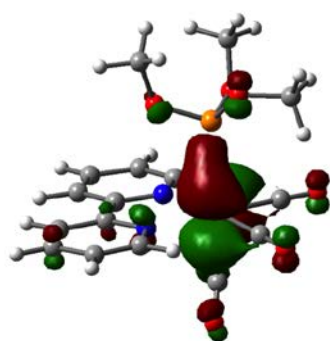
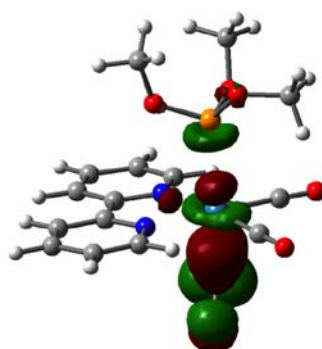


Figure 2. Frontier orbitals of $fac\text{-}[\text{Re}^{\text{I}}(\text{bpy})(\text{CO})_3\text{P}(\text{OMe})_3]^+$ after relaxation ($T_1\text{-min}$).



102a (SOMO)



103a (SOMO)

Figure 3. SOMOs of *fac*-[Re^I(bpy)(CO)₃P(OMe)₃]⁺ in ³MC state (T₁-min (bent)).

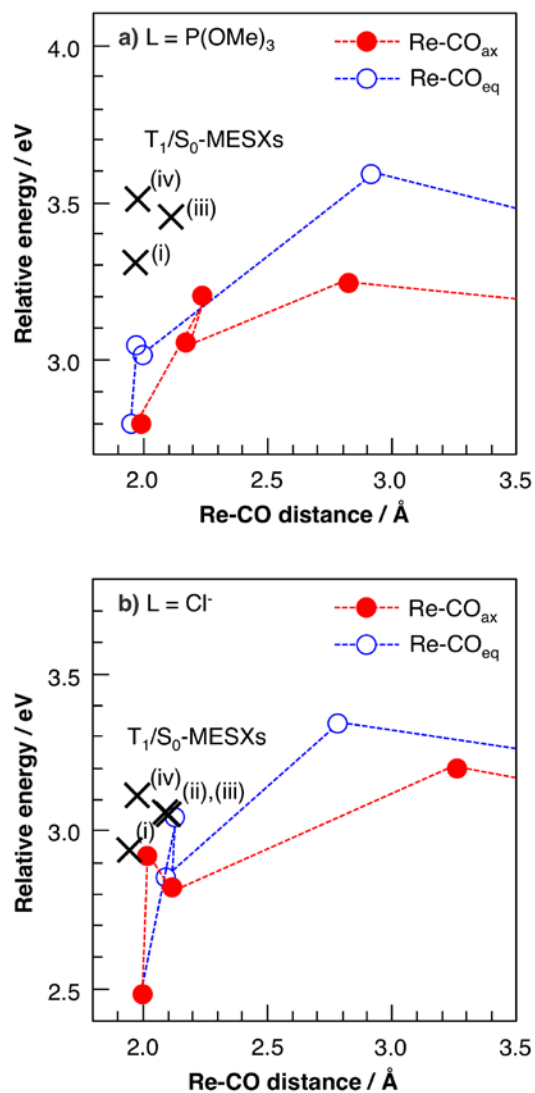
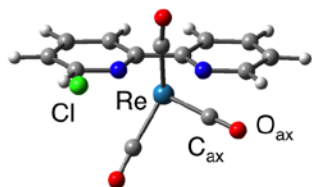


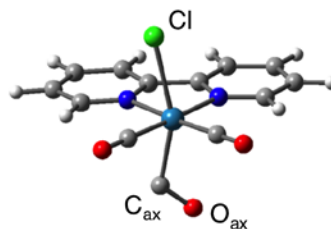
Figure 4. Potential energy profiles for Re-CO dissociation of a) $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$ and b) $fac-[Re^I(bpy)(CO)_3Cl]$. In each panel, closed (red) and open (blue) circles indicate the points (see Table 3-7) in $Re-CO_{ax}$ and $Re-CO_{eq}$ dissociation paths, respectively. The lowest-energy MESXs for T_1/S_0 are indicated by cross marks (X). The labels (i) – (iv) correspond to that of the MESX structures in Figure 5 and 6.

(i) 2.939 eV



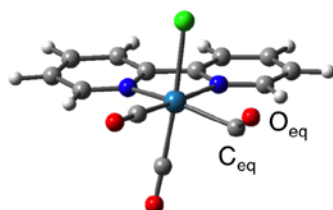
Re-Cl = 3.38 Å
Re-C_{ax} = 1.94 Å
Re-C_{ax}-O_{ax} = 178.2 °

(ii) 3.049 eV



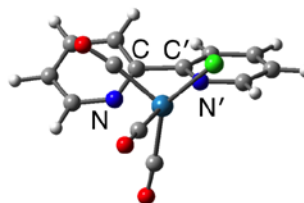
Re-Cl = 2.56 Å
Re-C_{ax} = 2.11 Å
Re-C_{ax}-O_{ax} = 119.4 °

(iii) 3.063 eV



Re-Cl = 2.44 Å
Re-C_{eq} = 2.10 Å
Re-C_{eq}-O_{eq} = 123.4 °

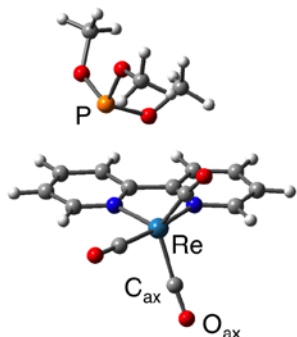
(iv) 3.114 eV



Re-Cl = 2.51 Å
Re-C_{eq} = 1.98 Å
N-C-C'-N' = 29.5 °

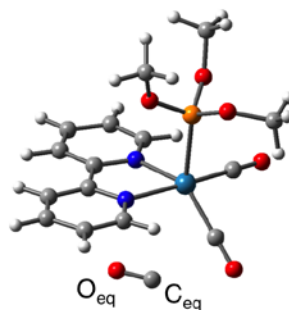
Figure 5. The four lowest-energy T₁/S₀-MESXs of *fac*-[Re^I(bpy)(CO)₃Cl].

(i) 3.304 eV



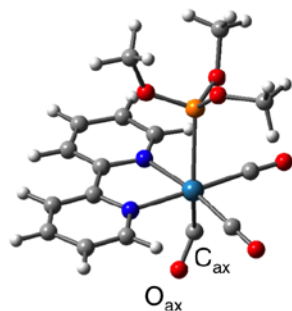
Re-P = 4.50 Å
Re-C_{ax} = 1.98 Å
Re-C_{ax}-O_{ax} = 174.3 °

(ii) 3.339 eV



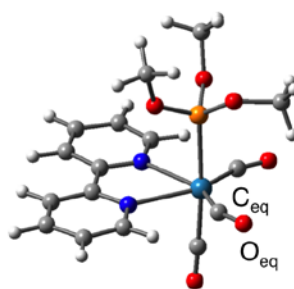
Re-P = 2.44 Å
Re-C_{eq} = 3.76 Å
Re-C_{eq}-O_{eq} = 100.3 °

(iii) 3.453 eV



Re-P = 2.76 Å
Re-C_{ax} = 2.11 Å
Re-C_{ax}-O_{ax} = 122.6 °

(iv) 3.504 eV



Re-P = 2.47 Å
Re-C_{eq} = 1.99 Å
Re-C_{eq}-O_{eq} = 154.7 °

Figure 6. The four lowest-energy T₁/S₀-MESXs of *fac*-[Re^I(bpy)(CO)₃-P(OMe)₃]⁺.

Table 1. Low-lying singlet and triplet states of *fac*-[Re^I(bpy)(CO)₃P(OMe)₃]⁺ in the FC region.

State	Character (orbitals, weight)	λ_{abs} [eV (nm)]	Oscillator St rength f	$\lambda_{\text{abs, exp}}$ [nm] ³³
T ₁	LC (99a→103a, 49%) MLCT (102a→103a, 33%)	2.96 (419)		
T ₂	MLCT (100a→103a, 80%)	3.31 (374)		
T ₃	MLCT (102a→103a, 57%) LC (99a→103a, 37%)	3.41 (364)		
S ₁	MLCT (102a→103a, 99%)	3.44 (360)	0.0010	(348 ^{sh})
T ₄	MLCT (101a→103a, 91%)	3.44 (357)		
S ₂	MLCT (101a→103a, 97%)	3.47 (342)	0.0004	(348 ^{sh})
S ₃	MLCT (100a→103a, 96%)	3.62 (319)	0.1044	318

* *sh* : shoulder peak

Table 2. Optimised structural parameters of $fac-[Re^I(bpy)(CO)_3P(OMe)_3]^+$. The optimised parameters at the S_0 -min (global minimum) are listed as that of the S_3 state in the Franck-Condon (FC) region. The B3LYP/cc-pVDZ/SDD level of calculation was used to optimise the S_0 -min, and the other minima were optimised by the TD-B3LYP calculation. Due to mixing of the electronic states, the S_3 -min and T_2 -min exist in the S_3/S_2 or T_2/T_1 conical intersections respectively.

	S_3 (FC)	(S_3 -min)	S_3/S_2 -MECI	S_2 -min	S_2/S_1 -MECI	S_1 -min
	MLCT	MLCT	MLCT/MLCT	MLCT	MLCT/MLCT	MLCT
Relative Energy	3.624	3.257	3.257	3.124	3.127	3.013
[eV]						
Re-P [\AA]	2.477	2.526	2.526	2.500	2.499	2.516
Re-N [\AA]	2.225	2.172	2.172	2.163	2.168	2.159
Re-C _{ax} [\AA]	1.980	2.019	2.019	1.992	1.986	2.032
Re-C _{eq} [\AA]	1.947	1.987	1.987	2.022	2.024	1.997
C-C _{bpy} [\AA]	1.479	1.433	1.433	1.426	1.427	1.424
N-Re-N [$^\circ$]	73.97	76.73	76.73	76.06	75.93	75.86
C _{eq} -Re-C _{eq} [$^\circ$]	90.37	95.14	95.14	89.87	90.54	85.04
P-Re-C _{ax} [$^\circ$]	177.71	176.29	176.29	174.57	174.37	171.82
N-Re-P [$^\circ$]	86.73	87.64	87.64	85.48	85.37	85.88

(Table 2. Continued)

	S ₁ -min	S ₁ /T ₂ -MESX	(T ₂ -min)	T ₂ /T ₁ -MECI	T ₁ -min
	MLCT	MLCT/MLCT	MLCT	MLCT/MLCT	MLCT
Relative Energy					
[eV]	3.013	3.046	3.005	3.005	2.553
Re-P [Å]	2.516	2.524	2.555	2.555	2.487
Re-N [Å]	2.159	2.156	2.151	2.151	2.198
Re-C _{ax} [Å]	2.032	2.031	2.004	2.004	1.985
Re-C _{eq} [Å]	1.997	1.995	1.985	1.985	1.953
C-C _{bpy} [Å]	1.424	1.428	1.433	1.433	1.394
N-Re-N [°]	75.86	76.78	76.90	76.90	75.50
C _{eq} -Re-C _{eq} [°]	85.04	88.91	95.65	95.65	89.79
P-Re-C _{ax} [°]	171.82	174.55	171.07	171.07	176.59
N-Re-P [°]	85.88	86.33	90.68	90.68	86.23

Table 3. Optimised structural parameters of *fac*-[Re^I(bpy)(CO)₃P(OMe)₃]⁺ along Re-CO_{ax} dissociation coordinate by the UB3LYP/cc-pVDZ/SDD level of theory.

	T ₁ -min (global) ^a	T ₁ -min (global)	T ₁ -TS (bent)	T ₁ -min (bent)	T ₁ -TS (disso-ciation)
	MLCT	MLCT	MLCT/MC	MC	MC
Relative Energy	2.553	2.797	3.200	3.056	3.222
[eV]					
Re-P [Å]	2.487	2.490	2.449	2.539	2.435
Re-N [Å]	2.198	2.189	2.143	2.231	2.160
Re-C _{ax} [Å]	1.985	1.988	2.235	2.165	2.809
Re-C _{eq} [Å]	1.953	1.956	1.981	1.977	1.977
C-C _{bpy} [Å]	1.394	1.389	1.446	1.476	1.469
N-Re-N [°]	75.50	75.61	76.55	74.26	75.46
C _{eq} -Re-C _{eq} [°]	89.79	89.75	84.08	83.32	83.94
P-Re-C _{ax} [°]	176.59	177.51	173.42	159.80	167.79
N-Re-P [°]	179.13	179.23	146.72	129.93	127.92

^a Optimised by the TD-B3LYP calculation.

Table 4. Optimised structural parameters of *fac*-[Re^I(bpy)(CO)₃Cl] along Re-CO_{ax} dissociation coordinate by the UB3LYP/cc-pVDZ/SDD level of theory.

	S ₂ -FC	T ₁ -min (global)	T ₁ -TS (bent)	T ₁ -min (bent)	T ₁ -TS (disso-ciation)
	MLCT	MLCT	MLCT/MC	MC	MC
Relative Energy [eV]	2.985	2.484	2.920	2.822	3.200
Re-Cl [Å]	2.560	2.465	2.611	2.569	2.492
Re-N [Å]	2.221	2.156	2.174	2.197	2.183
Re-C _{ax} [Å]	1.923	1.992	2.016	2.117	3.257
Re-C _{eq} [Å]	1.938	1.995	1.955	1.955	1.940
C-C _{bpy} [Å]	1.480	1.421	1.464	1.478	1.471
N-Re-N [°]	74.03	76.75	74.31	74.24	74.67
C _{eq} -Re-C _{eq} [°]	89.25	85.02	83.94	83.15	81.56
Re-C _{ax} -O _{ax} [°]	179.97	179.60	151.88	128.83	117.22
Cl-Re-C _{ax} [°]	176.57	176.12	160.61	166.99	170.00

Table 5. Optimised structural parameters of fac -[Re^I(bpy)(CO)₃P(OMe)₃]⁺ along Re-CO_{eq} dissociation coordinate by the UB3LYP/cc-pVDZ/SDD level of theory.

	T ₁ -min (global)	T ₁ -TS (bent)	T ₁ -min (bent)	T ₁ -TS (disso-ciation)
	MLCT	MLCT/MC	MC	MC
Relative Energy [eV]	2.797	3.041	3.010	3.590
Re-P [Å]	2.490	2.476	2.468	2.477
Re-N [Å]	2.189	2.388	2.388	2.231
Re-C _{ax} [Å]	1.988	1.978	1.980	1.966
Re-C _{eq} [Å]	1.956	1.988	1.987	2.816
C-C _{bpy} [Å]	1.389	1.480	1.480	1.476
N-Re-N [°]	75.61	68.00	67.83	72.83
C _{eq} -Re-C _{eq} [°]	89.75	112.55	113.86	76.87
Re-C _{ax} -O _{ax} [°]	178.66	159.66	161.36	116.90
P-Re-C _{eq} [°]	91.33	94.66	91.16	98.29

Table 6. Optimised structural parameters of *fac*-[Re^I(bpy)(CO)₃Cl] along Re-CO_{eq} dissociation coordinate by the UB3LYP/cc-pVDZ/SDD level of theory.

	T ₁ -min (global)	T ₁ -TS (bent) ^a	T ₁ -min (bent)	T ₁ -TS (disso-ciation)
	MLCT	MLCT/MC	MC	MC
Relative Energy [eV]	2.484	3.064	2.860	3.340
Re-Cl [Å]	2.465	2.473	2.485	2.526
Re-N [Å]	2.156	2.244	2.293	2.221
Re-C _{ax} [Å]	1.992	1.955	1.950	1.952
Re-C _{eq} [Å]	1.995	2.039	2.092	2.779
C-C _{bpy} [Å]	1.421	1.461	1.480	1.480
N-Re-N [°]	76.75	72.51	71.26	74.57
C _{eq} -Re-C _{eq} [°]	85.02	98.94	97.63	92.66
Re-C _{ax} -O _{ax} [°]	179.35	156.08	135.32	124.23
Cl-Re-C _{eq} [°]	89.97	91.77	94.25	73.77

^a T₁-TS(bent) is obtained as the T₂/T₁-MECI by the TD-DFT calculation.