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THEORIES OF GRAVITY IN 2+1 DIMENSIONS

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ABSTRACT

We discuss the failure of General Relativity to provide a proper Newtonian limit when the spacetime dimensionality is reduced to 2 + 1 and try to bypass this difficulty assuming alternative equations for the gravitational field. We investigate the properties of spacetimes generated by circularly symmetric matter distributions in two cases: weakening Einstein equations, and by considering Brans-Dicke theory of gravity. A comparison with the corresponding Newtonian picture is made.

1. Introduction

The present attention theoretical physicists devote to lower dimensional gravity brought to light the unsolved problem concerning the non-existence of the Newtonian

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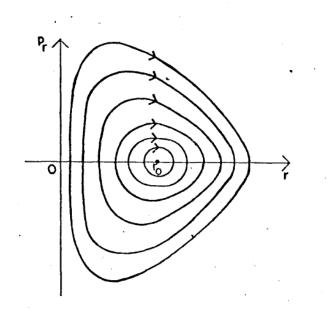


Figure 1: Phase space trajectories of a particle subjected to a gravitational field in a (2+1)-dimensional Newtonian universe.

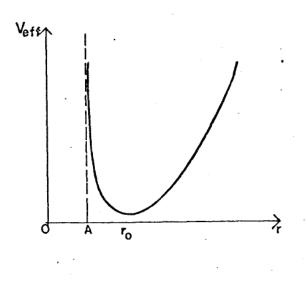


Figure 2: Effective potential determining the radial motion of a particle in (2 + 1)dimensional Jackiw's gravity.

limit of General Relativity when the spacetime dimensionality d is less than four (refs. [1,2]). This results basically from the fact that when d = 2 - 1 the Riemannian curvature is completely determined by the Einstein tensor $(R_{\mu\nu\alpha\beta} - \epsilon_{\mu\nu\rho}\epsilon_{\alpha\beta\gamma}G^{\rho\gamma})$. For d = 1 + 1 the situation is more drastic since in this dimensionality $G_{\mu\nu}$ vanishes identically. As a consequence, in the first case spacetime must be flat in regions where matter is absent. In the second case, as was pointed out by Jackiw, 'gravity has to be invented anew since General Relativity cannot even be formulated' (ref. [1]).

In particular, if matter creates no gravitational field outside its location neither 'planetary' motions nor gravitational waves are allowed to exist in a 2 + 1 spacetime.

In this paper, we restrict ourselves to a (2 + 1)-manifold and examine what happens to the above situation when the Einstein field equations are modified. Thus, we take up the classical problem of determining the gravitational field generated by a spherically (or, better, circularly) symmetric distribution of matter. We approach this problem in two different ways. Firstly, we 'weaken' the Einstein equations in much the same way as did Jackiw in his attempt to formulate gravity in 1 + 1 dimensions (ref. [1]). Secondly, we consider the same problem in the light of Brans-Dicke theory of gravity.

2. Newton's theory of gravity in 2+1 dimensions.

It is generally accepted that a Newtonian gravitational field due to a spherical matter distribution of mass M and radius α in a d = n + 1 dimensional spacetime should be expressed by the generalized law (see, for instance, refs. [3,4]):

$$g(r) = -GM/r^{n-1},\tag{1}$$

where G is a constant and r is the distance from the center of the distribution, with $r > \alpha$. Thus, if n = 2 this corresponds to the gravitational potential

$$V(r) = GM\ell nr.$$
 (2)

Then, the equations of motion for a test particle of mass m put in a region exterior to the matter distribution would be given by

$$mr^2\dot{\theta} = \text{constant} = L,$$
 (3)

$$m\ddot{r} = L^2/mr^3 - GmM/r \tag{4}$$

where r and θ are polar coordinates and L is the angular momentum of the particle. On the other hand, the energy conservation equations yields directly:

$$m\dot{r}^2/2 = E - (1/2m)L^2/r^2 - MGlnr,$$
 (5)

with E being the total energy of the particle.

It is clear from the latter equation that the particle cannot escape from the center of force, the permissible orbits being bounded. An illustrative picture may be obtained if we display these orbits in the particle's phase space, where p_r is the radial component of the momentum (see fig. 1). In this diagram the equilibrium point r_o (which has the topology of a center; see ref. [5]) represents the circular orbit $r = r_o = Lm^{-1}(MG)^{-1/2}$, corresponding to the energy $E_o = (1/2m)L/r_o^2 + MG\ell nr_o$ and a period $\pi = 2\tau L(mMG)^{-1}$. So, we arrive at the conclusion that in a Newtonian universe with 2 + 1 dimensions no matter how large is its energy a test particle is constrained to move within a bounded region of space.

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3. Einstein's theory of gravity in 2+1 dimensions.

To find the motion of a test particle under the influence of the gravitational field generated by matter distribution in any metric theory of gravity reduces to the problem of finding the spacetime geodesics. Thus, one has to know the geometry of that spacetime, which, in turn, must be determined from the gravitational field equations.

As we have mentioned earlier, if one consider Einstein's theory of gravity in 2 + 1dimensions one is led to the conclusion that a test particle does not 'feel' the gravitational field in regions where matter is absent. The spacetime is flat $(R_{\mu\nu\alpha\beta} = 0)$ and the geodesics are simple straight lines. Thus, the situation here seems to differ drastically from the Newtonian picture, specifically if we regard the previously analysed problem of the motion of particles under the influence of circularly symmetric massive bodies. And, since the curvature is null everywhere except in the interior of the matter distribution, there is no way to obtain a Newtonian limit.

Recently, there has been great interest in metric configurations exhibiting topological defects (ref.[2]). Essentially, these reffer to the properties of a locally flat spacetime which nevertheless present global features allowing one to distinguish it from a pure Minkowskian geometrical structure. The main quoted example of this phenomenon is the spacetime generated by an infinite static matter string in 3 + 1 dimensions which is described by a Riemannian flat geometry with bidimensional spatial conic sections (ref. [6]). The 2 + 1 dimensional analogue of this configuration may be generated by any circularly symmetric matter distribution. Since the geodesics in both cases are not simply straight lines in a Minkowskian spacetime, particles moving in these conic geometries are said 'to detect' the gravitational field in a number of effects whenever global variables (which involves integration along a closed contour) are measured (ref. [7]). However, as the trajectories of test particles in these spacetime are not bounded, 'planetary' motions no being allowed, there is no possibility of a Newtonian limit to exist.

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4. Jackiw's scalar equation for gravity in 2+1 dimensions.

As we have remarked before, the Einstein's tensor $G_{\mu\nu}$ vanishes identically in a (1+1)spacetime manifold. An attempt to formulate the field equations in this dimensionality
was put forward by R. Jackiw (ref.[1]). In this approach Einstein's equations

$$G_{\mu\nu} = R_{\mu\nu} - (1/2)R = T_{\mu\nu} \tag{6}$$

are 'weakened' by replacing (6) by its trace. In this way, we are left with the scalar equation

$$R = T \tag{7}$$

where $T = T^{\mu}_{\mu}$ is the trace of the energy-momentum tensor.

We shall assume (7) as a plausible field equation describing gravity also in a 2 + 1 manifold. Now, considering a static circularly symmetrical matter distribution as the source of the curvature the metric coefficients should be function of the radial coordinate r only. Since T = 0 in regions where matter is absent the equation

$$R = 0 \tag{8}$$

reduces to a second ordinary differential equation involving only one metric function in the variable r. On the other hand, the most general form of a static incularly symmetric field may be given by the line element

$$ds^{2} = e^{2N} dt^{2} - e^{2P} dr^{2} - r^{2} d\theta^{2}, (9)$$

where N and P are functions of the radial coordinate r only (see, for example ref.[8]). However, if N and P are independent then they cannot be determined by equation (8) alone. A way to bypass this difficulty is to reduce the number of degrees of freedom of the geometry by choosing a metric tensor with only one degree of freedom. A natural choice is to consider a static circularly conformally flat metric given by

$$ds^{2} = f(r)(dt^{2} - dr^{2} - r^{2}d\theta^{2}),$$
(10)

which, as we shall see later, has the property of leading to the correct (2 + 1)-Newtonian limit in the weak field approximation. Putting (10) into (8) we get the equation:

$$f'' - (3/4f)(f')^2 = 0, (11)$$

whose solution is given by

$$f(r) = B \left(\ell n (r - A) \right)^4,$$
 (12)

where $A \ge 0$ and $B \ge 0$ are constants. Thus, the conformally flat solution of Jackiw's vacuum equation is given by the line element:

$$ds^{2} = (ln(r-A))^{4} \left(dt^{2} - dr^{2} - r^{2} d\theta^{2} \right), \qquad (13)$$

This solution has a singularity as it may be readily seen by evaluating the value assumed by the invariant $R_{\mu\nu}R^{\mu\nu}$ at the surface r = A. However, as was pointed out by Cornish and Frankel (ref. [8]) (who found a similar solution in the weak-field approximation of the equation (8)), this surface does not represent an event horizon, since light is not affected by the gravitational field nor change in the metric signature takes place. On the other hand, it is worthwhile to mention that as far as behavior at infinity is concerned this geometry presents no asymptotic flatness, and this is a question deserving a further comment.

Let us investigate the motion of a massive test particle in this geometry. We begin by writing down the geodesic equations:

$$fdt/ds = \alpha \tag{14a}$$

$$fr^2 d\theta/ds = \ell, \tag{14b}$$

where α and ℓ are integration constants. Now, if we divide (13) by ds^2 and use (14) we get the following first integral:

$$\mu \dot{r}^2 / 2 + \frac{\ell^2}{r^2} + f(r) = \frac{\mu}{2},\tag{15}$$

in which we have put $\alpha^2 = \frac{\mu}{2}$ and dot means derivative with respect to the time coordinate. Now, this equation may be regarded as the analogous of (5), i.e. the law of energy conservation in Newtonian gravity, if we formally define a gravitational *potential energy* given by $V = f(r) = B(\ell n (r - A))^4$. Then, we have an 'effective' potential $V_{eff} = \frac{t^2}{r^2} + B [\ell n (r - A)]^4$ which determines the radial motion of the particle. A simple analysis of the form of V_{eff} , (see fig. 2) show us that the motion of particles in this spacetime if also bounded and cannot penetrate the barrier r = A. As in the case of Newtonian gravity, the equation $r = r_0$, with r_0 corresponding to the minimum of $V_{eff}(r)$, characterizes a circular motion of the particle around the center of the matter distribution.

Figure 2

Thus, if we disregard the existence of the 'forbildden' region $r \leq A$ we conclude that in 2+1 dimensions the motion of particles in the spacetime of equation (13), which represents a circularly symmetric solution of Jackiw's gravity, and the motion of particles in Newtonian gravity exhibit a rather similar physical picture.

Finally, we should point out that Jackiw's scalar equation (7) leads to the Newtonian limit for the metric (10) if we use the same argument due to Cornish and Frankel for a general conformally flat metric $g_{\mu\nu} = f n_{\mu\nu}$ in 2 + 1 dimensions (see ref.[8]).

5. Brans-Dicke theory of gravity in 2+1 dimensions

In this section let us consider the Brans-Dicke theory of gravity in 2 + 1 dimensions and apply it to solve the same problem of obtaining the exterior gravitational field due to a circularly symmetric matter distribution. The Brans-Dicke field equations in the absence of matter are given by:

$$R_{\mu\nu} - (1/2)g_{\mu\nu}R = -(\omega/\phi^2) \left(\phi_{,\mu}\phi_{,\nu} - (1/2)g_{\mu\nu}\phi^{,\beta}\phi_{,\beta}\right) + (1/\phi) \left(\phi_{,\mu;\nu} - g_{\mu\nu}\phi^{,\beta}\phi_{,\beta}\right), (16a)$$
$$\Box \phi = 0, \tag{16b}$$

where ϕ is the scalar field and ω is a free parameter to be determined by experiments. In 3 + 1 spacetime, usually (but not always) the theory is expected to reduce to General Relativity when $\omega \to \infty$ (ref.[9]).

Since we are assuming a static and circularly symmetric matter distribution we should start from the metric tensor given by the equation (9) and a scalar field $\phi = \phi(r)$. Then, the equations (16a,b) become:

$$P'/r = \omega \psi^2/2 - N'\psi \tag{17a}$$

$$N'/2 = (\omega/2 + 1)\psi^2 - P'\psi + \psi'$$
(17b)

$$N'^{2} - N'P' + N'' = -\omega\psi^{2}/2 + \psi/r, \qquad (17c)$$

where $\psi = \phi'/\phi$ and $\phi' = d\phi/dr$, P' = dP/dr, etc. The general solution of this system of equations lead to the metric (after some obvious simplifying coordinate transformations):

$$ds^{2} = r^{2D}dt^{2} - \lambda r^{2(D+B)}dr^{2} - r^{2}d\theta^{2}, \qquad (18a)$$

D, B and λ being integration constants with $D = B(B+1)^{-1}(BW/2 - 1)$. On the other hand, the scalar field is given by

$$\phi = \phi_0 r^B, \tag{18b}$$

with $\phi_0 = \text{constant}$.

Looking at the equation (18a) we verify that this metric has the following properties: a) it has no singularities for $r \neq 0$; b) the spacetime is not asymptotically flat; and, finally, there is no Newtonian limit in the weak field approximation since the right-hand side of (16a) does not vanish. As a consequence of the last result, in principle one should not expect the motion of particles in this spacetime to have any similarity with the motion of particles in (2 + 1)-Newtonian gravity.

6. Final remarks

The investigation of gravitation in 2+1 dimensions was primarily concerned with the failure to construct a successful quantum theory of gravity in 3+1 dimensions. Nevertheless, the subject has recently called the attention of theorists to some of its non-quantum aspects, such as the problem of the 'breakdown' of General Relativity in lower dimensions. At this point, it seems that a natural and legitimate question arises inevitably: what theory could substitute General Relativity in lower dimensions? Should such a theory, at least from a epistemological point of view, have what is usually called a 'Newtonian limit'?

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