

THEORY OF FREDHOLM OPERATORS AND VECTOR BUNDLES RELATIVE TO A VON NEUMANN ALGEBRA¹

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Introduction. Let H be a complex separable infinite dimensional Hilbert space. A bounded linear operator T of H is Fredholm if its range \mathcal{R}_T is closed and if its null space \mathcal{N}_T and the orthogonal complement \mathcal{R}_T^\perp of its range are finite dimensional. The index of such an operator T is the integer

$$(0.1) \quad \text{Index } T = \text{Dim } \mathcal{N}_T - \text{Dim } \mathcal{R}_T^\perp,$$

where Dim denotes the complex dimension. The properties of the index map (additivity, homotopy invariance etc.) were investigated by Atkinson [5], Gohberg-Krein [14], Cordes-Labrousse [11] a.o. from 1950 to 1963. Let $\mathfrak{F}(H)$ be the monoid of Fredholm operators of H with the norm topology. Then one of the main results of Cordes-Labrousse [11] is that the index map induces an isomorphism

$$(0.2) \quad \pi_0 \mathfrak{F}(H) \cong \mathbb{Z}$$

between the group $\pi_0 \mathfrak{F}(H)$ of connected components of $\mathfrak{F}(H)$ and the additive group \mathbb{Z} of integers. In the following various generalizations of (0.2) are discussed.

In 1964 Atiyah [1] and Jänich [16] defined the index of a continuous map T of a compact space X into $\mathfrak{F}(H)$. Having deformed T properly, its index is the difference of the vector bundle $(\mathcal{N}_{T_x})_{x \in X}$ of null spaces and the vector bundle $(\mathcal{R}_{T_x}^\perp)_{x \in X}$ of orthogonal complements of range spaces, in the sense of K -theory. Atiyah [1] and Jänich [16] prove that the index induces an isomorphism

$$(0.3) \quad [X, \mathfrak{F}(H)] \cong K(X),$$

where $[X, \mathfrak{F}(H)]$ denotes the group of homotopy classes of continuous maps of X into $\mathfrak{F}(H)$ and $K(X)$ is the Grothendieck group of the monoid of finite dimensional complex vector bundles over X . If X has one point only, then (0.3) specializes to (0.2).

In 1968 Breuer ([8], [9]) generalized the concept of a Fredholm operator to wider classes of Hilbert space operators that are Fred-

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holm relative to a given von Neumann algebra of operators of a complex Hilbert space. Let \mathfrak{M} be a von Neumann subalgebra of $\mathcal{L}(H)$. Then $T \in \mathfrak{M}$ is Fredholm relative to \mathfrak{M} if the following hold: (i) there is an \mathfrak{M} -finite projection $E \in \mathfrak{M}$ such that $\text{range}(1 - E) \subset \text{range } T$, (ii) the orthogonal projection N_T of H on the null space of T is an \mathfrak{M} -finite projection. It follows from (i) that the orthogonal projection R_T^\perp of H on \mathcal{R}_T^\perp is also \mathfrak{M} -finite. Hence

$$(0.4) \quad \text{Index } T = \text{Dim } N_T - \text{Dim } R_T^\perp$$

is a well-defined element of the index group $I(\mathfrak{M})$ of \mathfrak{M} . Equip the monoid $\mathfrak{F}(\mathfrak{M})$ of Fredholm elements of \mathfrak{M} with the norm topology. In Breuer [9] it is shown that the index map (0.4) induces a group isomorphism

$$(0.5) \quad \pi_0 \mathfrak{F}(\mathfrak{M}) \cong I(\mathfrak{M})$$

if \mathfrak{M} is properly infinite. If $\mathfrak{M} = \mathcal{L}(H)$, then (0.5) also specializes to (0.2).

To give a common generalization of (0.3) and (0.5) a theory of vector bundles relative to a properly infinite von Neumann algebra \mathfrak{M} is developed in the present paper. The vector bundles in question have transition functions with values in the group of unitary elements of some finite reduced subalgebra of \mathfrak{M} . Call such bundles finite \mathfrak{M} -vector bundles. There is also a dual characterization of these vector bundles in terms of relatively finite modules over the C^* -algebra of bounded continuous maps of the base space into the commutant \mathfrak{M}' of \mathfrak{M} . The equivalence proof of the two definitions would then generalize Swan's theorem [24]. The basic properties of \mathfrak{M} -vector bundles are analogous to the ones of vector bundles with finite dimensional complex fibres. To derive these we could either have followed the standard texts of Atiyah [1], Husemoller [15] a.o. or have applied the more recent results of Karoubi on Banach categories (M. Karoubi, R. Gordon, P. Löffler, M. Zisman, *Séminaire Heidelberg-Saarbrücken-Strasbourg sur la K-théorie*, Lecture Notes in Mathematics 136, Springer-Verlag, 1970). In the present paper another approach is given which is based on the generalized Kuiper theorem (Breuer [10]) and some general fibre bundle theory.

The Grassmann spaces of finite projections of \mathfrak{M} (with the norm topology) are shown to be classifying spaces of the finite \mathfrak{M} -vector bundles of finite type over a paracompact base space. Subsequently this property of the Grassmannians is used in many proofs, e.g., for the clutching construction. The \mathfrak{M} -isomorphism classes of \mathfrak{M} -vector

bundles over a space X form a commutative monoid under \oplus . When X is compact we define $K_{\mathfrak{M}}(X)$ as the universal group of that monoid.

The index of a continuous map of a compact space X into $\mathfrak{F}(\mathfrak{M})$ is defined similarly as in Atiyah [1] and Jänich [15] as the difference of two finite \mathfrak{M} -vector bundles in $K_{\mathfrak{M}}(X)$. It is shown that the index induces a homomorphism of the group $[X, \mathfrak{F}(\mathfrak{M})]$ into the group $K_{\mathfrak{M}}(X)$. As in Atiyah [1] the contractibility of the group \mathfrak{UM} of unitary elements of \mathfrak{M} in its norm topology is used to prove that this homomorphism is injective. Atiyah [1] and Jänich [16] used elementary operations to show that the index isomorphism is also surjective. In the present paper it is shown that the contractibility of \mathfrak{UM} can also be used to prove the surjectivity of this index map. It follows that the index map induces an isomorphism

$$(0.6) \quad [X, \mathfrak{F}(\mathfrak{M})] \cong K_{\mathfrak{M}}(X)$$

for every compact space X . (0.6) is the common generalization of (0.3) and (0.5).

Finally a proof of the periodicity theorem of $K_{\mathfrak{M}}$ -theory is given. This theorem is due to Atiyah and Singer. It does not seem to be easy to translate all known proofs of the periodicity theorem of K -theory to $K_{\mathfrak{M}}$ -theory, when \mathfrak{M} is of type II. E.g., the proof given by Atiyah and Singer in [4] is not easy to generalize (see in particular the proof of Proposition 3.5 of [4]). As Atiyah and Singer pointed out to me the proof given by Atiyah in [3] lends itself easily to generalization. The proof in [3] is based on (0.3). I have elaborated the von Neumann algebra version of this proof in the present paper by using (0.6) instead of (0.3) and in addition some results on tensor products of C^* -algebras (which are presented in §3 of the first chapter and are all known except, I think, Proposition 5 of that chapter). As in [3] the periodicity theorem is stated and proved in terms of locally compact spaces as follows. For a locally compact space Y define $K_{\mathfrak{M}}(Y) = \tilde{K}_{\mathfrak{M}}(\dot{Y})$ where $\tilde{K}_{\mathfrak{M}}$ is the "reduced" $K_{\mathfrak{M}}$ -functor and \dot{Y} the one-point compactification of Y (with the point at infinity as base point). Then one has for each locally compact X a canonical isomorphism

$$(0.7) \quad K_{\mathfrak{M}}(X) \cong K_{\mathfrak{M}}(R^2 \times X).$$

The isomorphisms (0.6) and (0.7) imply that the space $\mathfrak{F}(\mathfrak{M})$ is homotopy periodic of period two. Thus it follows from (0.5) that the even homotopy groups of $\mathfrak{F}(\mathfrak{M})$ are isomorphic to the index group $I(\mathfrak{M})$ and from the simple connectedness of the Grassmann spaces of finite projections of \mathfrak{M} that the odd homotopy groups of $\mathfrak{F}(\mathfrak{M})$ are trivial.

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CHAPTER I. PRELIMINARIES

1. **Auxiliary lemmas of functional analysis.** In the following H, K denote complex Hilbert spaces. $\mathcal{L}(H, K)$ is the Banach space of all bounded linear maps of H into K with the usual operator norm

$$(1.1) \quad \|T\| = \sup\{\|Tv\| \mid v \in H \text{ and } \|v\| \leq 1\}$$

for all $T \in \mathcal{L}(H, K)$. Let

$$(1.2) \quad \mathcal{I}\mathcal{L}(H, K) = \{T \in \mathcal{L}(H, K) \mid T \text{ bijective}\}$$

and

$$(1.3) \quad \mathcal{J}\mathcal{L}(H, K) = \{T \in \mathcal{L}(H, K) \mid T \text{ injective with closed range}\}.$$

$\mathcal{I}\mathcal{L}(H, K)$ is known to be open in $\mathcal{L}(H, K)$. One also has

PROPOSITION 1. $\mathcal{J}\mathcal{L}(H, K)$ is open in $\mathcal{L}(H, K)$.

PROOF. Let $T \in \mathcal{J}\mathcal{L}(H, K)$ and $L = K \ominus T(H)$ be the orthogonal complement of the range of T in K . Then the map

$$(1.4) \quad T' : H \oplus L \rightarrow K$$

defined by

$$(1.5) \quad T'(u \oplus v) = Tu + v$$

is in $\mathcal{I}\mathcal{L}(H \oplus L, K)$. Let $\iota_H : H \rightarrow H \oplus L$ be the canonical injection. Then the linear map

$$(1.6) \quad \pi : \mathcal{L}(H \oplus L, K) \rightarrow \mathcal{L}(H, K)$$

defined by

$$(1.7) \quad \pi(S) = S \circ \iota_H$$

is continuous and surjective. By the open mapping theorem (Bourbaki [7, Chapter I, §3, Theorem 1]) $\pi \mathcal{I}\mathcal{L}(H \oplus L, K)$ is open in $\mathcal{L}(H, K)$. The relations

$$(1.8) \quad T \in \pi \mathcal{I}\mathcal{L}(H \oplus L, K) \subseteq \mathcal{J}\mathcal{L}(H, K)$$

are obvious.

If $H = K$ we write $\mathcal{L}(H)$ instead of $\mathcal{L}(H, H)$. A Hermitian idempotent of the involutive algebra $\mathcal{L}(H)$ is called a projection of H or

of $\mathcal{L}(H)$. Let $T \in \mathcal{L}(H, K)$. The projection of H onto the null space of T is called the null projection of T and denoted by N_T . The projection of K onto the closure of the range of T is called the range projection of T and denoted by R_T .

LEMMA 1. Let $T \in \mathcal{L}(H, K)$ and let E be a projection of H . If

$$(1.9) \quad \text{range } E \subseteq \text{range } T^*,$$

then there is a neighborhood \mathcal{N} of T in $\mathcal{L}(H, K)$ such that for all $S \in \mathcal{N}$ one has

$$(1.10) \quad \inf(E, N_S) = 0$$

and

$$(1.11) \quad \text{range } (SE) \text{ is closed in } K.$$

PROOF. This follows from Proposition 1 and the classical "alternatives"

$$(1.12) \quad N_T = 1 - R_{T^*}$$

and

$$(1.13) \quad \text{range } ET^* \text{ closed} \Rightarrow \text{range } TE \text{ closed}$$

(see Yosida [27, p. 205]) (1.9), (1.12) and (1.13) imply that TE can be considered as an element of $\mathcal{JL}(E(H), K)$. By Proposition 1 there is an open neighborhood \mathcal{N} of T in $\mathcal{L}(H, K)$ such that SE can also be considered as an element of $\mathcal{JL}(E(H), K)$ for all $S \in \mathcal{N}$. This implies (1.10) and (1.11).

PROPOSITION 2. The map $S \rightarrow R_S$ of $\mathcal{JL}(H, K)$ into $\mathcal{L}(K)$ is continuous in the norm topology.

PROOF. Let $S \in \mathcal{JL}(H, K)$. Then $|S| = (S^*S)^{1/2}$ is regular (invertible) in $\mathcal{L}(H)$, and $V_S = S \cdot |S|^{-1}$ is a partial isometry of H into K satisfying $R_S = V_S V_S^*$. Hence R_S depends continuously on S .

COROLLARY. For each $S \in \mathcal{JL}(H, K)$ there is a neighborhood \mathcal{N} of S such that for all $T \in \mathcal{N}$ there is a unitary element U of $\mathcal{L}(K)$ satisfying $R_S = U^* R_T U$.

PROOF. It follows from Proposition 2 that one can choose \mathcal{N} so small that

$$(1.14) \quad \|R_T - R_S\| < 1 \quad \text{for all } T \in \mathcal{N}.$$

It follows then from Riesz-Sz.-Nagy [22, §105] that there are partial isometries V, \tilde{V} of K satisfying

$$(1.15) \quad R_T = VV^*, \quad R_S = V^*V, \quad 1 - R_T = \tilde{V}\tilde{V}^*, \quad 1 - R_S = \tilde{V}^*\tilde{V}.$$

Then $U = V + \tilde{V}$ satisfies the conditions of the corollary.

2. On compact and Fredholm operators relative to a von Neumann algebra. Let H be a complex Hilbert space. The commutant \mathfrak{M}' of a subset \mathfrak{M} of $\mathcal{L}(H)$ is the set of all $T \in \mathcal{L}(H)$ satisfying $ST = TS$ for all $S \in \mathfrak{M}$. An involutive subalgebra \mathfrak{M} of $\mathcal{L}(H)$ is called von Neumann if $\mathfrak{M} = \mathfrak{M}''$. A von Neumann algebra \mathfrak{M} is called a factor if its center consists of the scalar operators of H only.

In the following let \mathfrak{M} be a von Neumann algebra of continuous linear operators of H . Let $P(\mathfrak{M})$ denote the complete lattice of projections of \mathfrak{M} with the usual order relation

$$(1.21) \quad E \leq F \Leftrightarrow EF = E$$

where $E, F \in P(\mathfrak{M})$. The relations \sim and \prec in $P(\mathfrak{M})$ are defined by

$$(1.22) \quad E \sim F \Leftrightarrow E = V^*V, \quad F = VV^* \quad \text{for some } V \in \mathfrak{M}$$

and

$$(1.23) \quad E \prec F \Leftrightarrow E \sim G \leq F \quad \text{for some } G \in P(\mathfrak{M}).$$

Call $E \in P(\mathfrak{M})$ finite if $F \leq E$ and $E \sim F$ imply $E = F$. $P_f(\mathfrak{M})$ denotes the lattice of finite projections of \mathfrak{M} . For the basic properties of $P(\mathfrak{M})$ and $P_f(\mathfrak{M})$ we refer to Dixmier [12].

Let $[E]$ be the \sim -equivalence class of $E \in P(\mathfrak{M})$. Let \mathcal{J} be the free abelian group generated by the equivalence classes of finite projections of \mathfrak{M} . Let \mathcal{R} be the subgroup of \mathcal{J} generated by all elements of the form $[E + F] - [E] - [F]$ with $EF = 0$ and E, F in $P_f(\mathfrak{M})$. The quotient group $I(\mathfrak{M}) = \mathcal{J}/\mathcal{R}$ is called the index group of \mathfrak{M} . Let

$$(1.24) \quad \text{Dim: } P_f(\mathfrak{M}) \rightarrow I(\mathfrak{M})$$

be the canonical map. Let $I^+(\mathfrak{M})$ be the subsemigroup of $I(\mathfrak{M})$ generated by the elements $\text{Dim } E, E \in P_f(\mathfrak{M})$. For α, β in $I(\mathfrak{M})$ define $\alpha \geq \beta$ if $\alpha - \beta$ is in $I^+(\mathfrak{M})$. With that order relation $I(\mathfrak{M})$ becomes a lattice group, and one has

$$(1.25) \quad \text{Dim } E \geq \text{Dim } F \Leftrightarrow E \succ F.$$

For an alternative description of the index group see Breuer [8] and [9, Appendix].

Let $T \in \mathfrak{M}$. Call T finite if its range projection R_T is finite. Let \mathfrak{m}_0 denote the set of all finite elements of \mathfrak{M} . The norm closure of \mathfrak{m}_0 , notation: \mathfrak{m} , is a two-sided $*$ -ideal of \mathfrak{M} . Its elements are called compact (relative to \mathfrak{M}).

To define Fredholm elements of \mathfrak{M} we first generalize the concept of a closed subspace of H . Let K be a linear subspace of H and the projection of H onto the norm closure of K be denoted by P_K . Call K essentially closed (or closed relative to \mathfrak{M}), if there is a nondecreasing sequence

$$(2.6) \quad E_1 \leq E_2 \leq E_3 \leq \dots$$

in $P(\mathfrak{M})$ satisfying the following three conditions

- (i) $E_n(H) \subseteq K$ for all $n = 1, 2, 3, \dots$,
- (ii) $P_K = \sup\{E_n/n = 1, 2, \dots\}$,
- (iii) $P_K - E_1$ is finite.

Call $T \in \mathfrak{M}$ a Fredholm element of \mathfrak{M} if the null projections N_T and N_{T^*} are finite and if $T(H)$ is essentially closed.

PROPOSITION 3. *Suppose \mathfrak{M} is properly infinite. Then $T \in \mathfrak{M}$ is Fredholm iff T is regular (invertible) modulo \mathfrak{m} .*

PROOF. See Breuer [9, Theorem 1].

Let $\mathfrak{F}(\mathfrak{M})$ denote the set of Fredholm elements of \mathfrak{M} . Proposition 3 implies that $\mathfrak{F}(\mathfrak{M})$ is an open subset of \mathfrak{M} (with respect to the norm topology) and that $\mathfrak{F}(\mathfrak{M})$ is an involutive monoid (i.e., $1 \in \mathfrak{F}(\mathfrak{M})$ and S, T in $\mathfrak{F}(\mathfrak{M})$ imply S^* and ST in $\mathfrak{F}(\mathfrak{M})$). The index map

$$(2.7) \quad \text{Index: } \mathfrak{F}(\mathfrak{M}) \rightarrow I(\mathfrak{M})$$

is defined by

$$(2.8) \quad \text{Index } T = \text{Dim } N_T - \text{Dim } N_{T^*}.$$

The following additional notation will be used. \mathcal{GM} , resp. \mathcal{UM} , is the group of regular, resp. unitary, elements of \mathfrak{M} . If $E, F \in P(\mathfrak{M})$, then

$$(2.9) \quad \mathcal{I}_{\mathfrak{M}}(E, F) = \{V \in \mathfrak{M} \mid E = V^*V, F = VV^*\};$$

$\mathcal{I}_{\mathfrak{M}}$ denotes the set of all partial isometries of \mathfrak{M} . All subsets of \mathfrak{M} are equipped with the norm topology.

3. Some remarks on tensor products of C^* -algebras. Let H, K be complex Hilbert spaces with positive Hermitian forms $\langle \cdot, \cdot \rangle_H$ and $\langle \cdot, \cdot \rangle_K$. The algebraic tensor product $H \otimes K$ over \mathbb{C} is a prehilbert space with respect to the form

$$(3.1) \quad \langle \cdot, \cdot \rangle = \langle \cdot, \cdot \rangle_H \otimes \langle \cdot, \cdot \rangle_K.$$

The completion of $H \otimes K$ in the norm associated to $\langle \cdot, \cdot \rangle$ is a Hilbert space denoted by $H \hat{\otimes} K$.

Let $S \in \mathcal{L}(H)$, $T \in \mathcal{L}(K)$. Define

$$(3.2) \quad S \otimes T : H \otimes K \rightarrow H \otimes K$$

by linearity and

$$(3.3) \quad (S \otimes T)(u \otimes v) = (Su) \otimes (Tv).$$

Then

$$(3.4) \quad \|S \otimes T\| = \|S\| \cdot \|T\|.$$

Hence $S \otimes T$ is continuous. The unique continuous linear extension of $S \otimes T$ to $H \hat{\otimes} K$ is an element of $\mathcal{L}(H \hat{\otimes} K)$ still denoted by $S \otimes T$.

Let \mathfrak{M} , \mathfrak{N} be abstract C^* -algebras. A norm $\|\cdot\|_\alpha$ defined on the algebraic tensor product $\mathfrak{M} \otimes \mathfrak{N}$ is admissible if the completion of $\mathfrak{M} \otimes \mathfrak{N}$ in $\|\cdot\|_\alpha$ is a C^* -algebra. Let

$$(3.5) \quad \rho : \mathfrak{M} \rightarrow \mathcal{L}(H_\rho), \quad \sigma : \mathfrak{N} \rightarrow \mathcal{L}(H_\sigma)$$

be representations ($*$ -homomorphisms). Let $H_{\rho \otimes \sigma} = H_\rho \hat{\otimes} H_\sigma$. Then

$$(3.6) \quad \rho \otimes \sigma : \mathfrak{M} \otimes \mathfrak{N} \rightarrow \mathcal{L}(H_{\rho \otimes \sigma})$$

is defined by

$$(3.7) \quad (\rho \otimes \sigma) \left(\sum_{i=1}^n x_i \otimes y_i \right) = \sum_{i=1}^n (\rho x_i) \otimes (\sigma y_i).$$

For $z \in \mathfrak{M} \otimes \mathfrak{N}$ define

$$(3.8) \quad \|z\|_* = \sup \{ \|(\rho \otimes \sigma)z\| \mid \rho, \sigma \text{ representations of } \mathfrak{M}, \mathfrak{N} \}.$$

Then $\|\cdot\|_*$ is an admissible norm of $\mathfrak{M} \otimes \mathfrak{N}$.

Let \mathfrak{M} , \mathfrak{N} be C^* -subalgebras of $\mathcal{L}(H)$, $\mathcal{L}(K)$. Their operator tensor product $\mathfrak{M} \otimes_{\text{op}} \mathfrak{N}$ is the linear subspace of $\mathcal{L}(H \hat{\otimes} K)$ generated by all elements $S \otimes T$, $S \in \mathfrak{M}$, $T \in \mathfrak{N}$. It is quite obvious that there is a canonical isomorphism between the operator and algebraic tensor product.

$$(3.9) \quad \mathfrak{M} \otimes \mathfrak{N} \cong \mathfrak{M} \otimes_{\text{op}} \mathfrak{N}.$$

Via this isomorphism and admissible norm $\|\cdot\|_*$ of $\mathfrak{M} \otimes \mathfrak{N}$ coincides

with the operator norm $\| \cdot \|$ of $\mathfrak{M} \otimes_{\text{op}} \mathfrak{N}$ (Wulfson [26]). The completion of $\mathfrak{M} \otimes \mathfrak{N}$ in $\| \cdot \|_*$ is denoted by $\mathfrak{M} \hat{\otimes} \mathfrak{N}$.

PROPOSITION 4. Let $\mathfrak{M}, \mathfrak{N}$ be C^* -algebras.

(i) If $\| \cdot \|_\alpha$ is an admissible norm of $\mathfrak{M} \otimes \mathfrak{N}$, then $\|x\|_* \leq \|x\|_\alpha$ for all $x \in \mathfrak{M} \otimes \mathfrak{N}$.

(ii) If \mathfrak{M} or \mathfrak{N} is postliminal, then $\| \cdot \|_*$ is the only admissible norm of $\mathfrak{M} \otimes \mathfrak{N}$.

This proposition is proved in Takesaki [25].

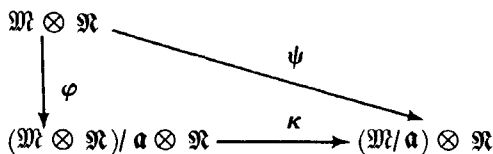
COROLLARY 1. If \mathfrak{i} is an ideal of $\mathfrak{M} \hat{\otimes} \mathfrak{N}$ satisfying $(\mathfrak{M} \otimes \mathfrak{N}) \cap \mathfrak{i} = 0$, then $\mathfrak{i} = 0$.

PROOF. For $x \in \mathfrak{M} \otimes \mathfrak{N}$ define $\|x\|_\alpha = \inf \|x + \mathfrak{i}\|_*$. Then $\| \cdot \|_\alpha$ is an admissible norm of $\mathfrak{M} \otimes \mathfrak{N}$. One has $\|x\|_\alpha \leq \|x\|_*$ by definition and $\|x\|_\alpha \geq \|x\|_*$ by (i) of Proposition 4. Hence $(\mathfrak{M} \hat{\otimes} \mathfrak{N})/\mathfrak{i}$ is isomorphic to $\mathfrak{M} \hat{\otimes} \mathfrak{N}$ and consequently $\mathfrak{i} = 0$.

COROLLARY 2. Let \mathfrak{a} be an ideal of \mathfrak{M} and $\mathfrak{M}/\mathfrak{a}$ be postliminal. Then there is a canonical isomorphism

$$(3.10) \quad \mathfrak{M} \hat{\otimes} \mathfrak{N}/\mathfrak{a} \hat{\otimes} \mathfrak{N} \cong (\mathfrak{M}/\mathfrak{a}) \hat{\otimes} \mathfrak{N}.$$

PROOF. There is a commutative diagram



where φ, ψ are canonically defined and κ is uniquely determined by the commutativity of the diagram. κ is an isomorphism. For $x \in \mathfrak{M} \otimes \mathfrak{N}$ define

$$(3.11) \quad \|\kappa\varphi x\|_\alpha = \inf \|x + \text{kernel } \varphi\|_*.$$

Then $\| \cdot \|_\alpha$ is an admissible norm of $\mathfrak{M}/\mathfrak{a} \otimes \mathfrak{N}$. Since $\mathfrak{M}/\mathfrak{a}$ is postliminal, (ii) of Proposition 4 implies $\|\kappa\varphi x\|_\alpha = \|\psi x\|_*$. Hence κ extends uniquely to an isomorphism (3.10).

REMARK. If $\mathfrak{a}, \mathfrak{b}$ are ideals of $\mathfrak{M}, \mathfrak{N}$ and $\mathfrak{M}/\mathfrak{a}$ or $\mathfrak{M}/\mathfrak{b}$ is postliminal, then

$$(3.12) \quad \mathfrak{M} \hat{\otimes} \mathfrak{N}/\mathfrak{i} \cong (\mathfrak{M}/\mathfrak{a}) \hat{\otimes} (\mathfrak{M}/\mathfrak{b})$$

where \mathfrak{i} is the closed ideal of $\mathfrak{M} \hat{\otimes} \mathfrak{N}$ generated by $\mathfrak{M} \otimes \mathfrak{b} + \mathfrak{a} \otimes \mathfrak{N}$.

COROLLARY 3. Let \mathfrak{M} be commutative with unit element. Let M be the maximal ideal space of \mathfrak{M} with the Gelfand topology. Let $\mathcal{L}(M, \mathfrak{R})$ be the C^* -algebra of continuous maps of M into \mathfrak{R} with the usual norm

$$(3.13) \quad \|f\| = \sup \{ \|f(p)\| \mid p \in M \}.$$

Then

$$(3.14) \quad \mathfrak{M} \hat{\otimes} \mathfrak{R} \cong \mathcal{L}(M, \mathfrak{R})$$

canonically.

Since \mathfrak{M} is postliminal, this follows from part (ii) of Proposition 4. A direct proof is given in Takesaki [25].

Let $\mathfrak{M}, \mathfrak{R}$ be von Neumann algebras of operators of H, K . Then the von Neumann algebra $\mathfrak{M} \hat{\otimes} \mathfrak{R}$ of operators of $H \otimes K$ is defined as the bicommutant of $\mathfrak{M} \otimes_{\text{op}} \mathfrak{R}$,

$$(3.15) \quad \mathfrak{M} \hat{\otimes} \mathfrak{R} = (\mathfrak{M} \otimes_{\text{op}} \mathfrak{R})''.$$

Let $(E_i), i = 1, 2, \dots$, be a sequence of pairwise orthogonal equivalent projections of the von Neumann algebra \mathfrak{M} . Let

$$(3.16) \quad E = E_1, \quad F = \sum_{i=1}^{\infty} E_i.$$

Let L be a separable complex Hilbert space with orthonormal base $(\varphi_i), i = 1, 2, \dots$. Let e_i be the orthogonal projection of L on the subspace $\mathbb{C} \cdot e_i$. Then there is an isomorphism

$$(3.17) \quad \Phi : F(H) \rightarrow E(H) \hat{\otimes} L$$

inducing a spatial isomorphism

$$(3.18) \quad \Phi^\# : \mathfrak{M}_F \rightarrow \mathfrak{M}_E \hat{\otimes} \mathcal{L}(L)$$

such that

$$(3.19) \quad \Phi^\#(E_i) = E \otimes e_i, \quad i = 1, 2, 3, \dots$$

(Dixmier [12, I, §2, Proposition 5]). In the following let

$$(3.20) \quad \mathfrak{M} = \mathfrak{M}_E \hat{\otimes} \mathcal{L}(L)$$

and E be finite and L be separable and infinite dimensional.

LEMMA 2.

$$(3.21) \quad \mathfrak{m} \cap (\mathfrak{M}_E \otimes \mathcal{L}(L)) = \mathfrak{M}_E \otimes \mathfrak{C}(L).$$

PROOF. The relation

$$(3.22) \quad \mathfrak{m} \supseteq \mathfrak{M}_E \otimes \mathfrak{C}(L)$$

is quite obvious. Let \mathcal{Z}_E be the center of \mathfrak{M}_E . Then

$$(3.23) \quad \mathcal{Z} = \mathcal{Z}_E \otimes 1_L$$

is the center of \mathfrak{M} . One has

$$(3.24) \quad \mathcal{Z} \cap \mathfrak{m} = \{0\}$$

because \mathfrak{M} is properly infinite. Let Q be the set of all irreducible representations

$$(3.25) \quad \pi : \mathfrak{M}_E \otimes \mathcal{L}(L) \rightarrow \mathcal{L}(H_\pi)$$

with

$$(3.26) \quad \text{Kernel } \pi \cong \mathfrak{m} \cap (\mathfrak{M}_E \otimes \mathcal{L}(L)).$$

For $\pi \in Q$ define

$$(3.27) \quad \lambda_\pi = \pi | \mathfrak{M}_E \otimes 1_L, \quad \mu_\pi = \pi | E \otimes \mathcal{L}(L).$$

Then

$$(3.28) \quad \text{Kernel } \lambda_\pi \subseteq \text{Kernel } \pi.$$

One has

$$(3.29) \quad \bigcap_{\pi \in Q} \text{Kernel } \pi = \mathfrak{m} \cap (\mathfrak{M} \otimes \mathcal{L}(L))$$

(Dixmier [12, 2.9.7]). The relations (3.24), (3.28) and (3.29) imply

$$(3.30) \quad \mathcal{Z} \cap \bigcap_{\pi \in Q} \text{Kernel } \lambda_\pi = \{0\}.$$

Since $\mathfrak{M}_E \otimes 1_L$ is a finite von Neumann algebra, (3.30) implies

$$(3.31) \quad \bigcap_{\pi \in Q} \text{Kernel } \lambda_\pi = \{0\}$$

Dixmier [12, III, §5, Proposition 2]). Let

$$(3.32) \quad S = \sum_{i=1}^n T_i \otimes T_i' \in \mathfrak{m} \cap \mathfrak{M}_E \otimes \mathcal{L}(L).$$

Then

$$(3.33) \quad \sum \lambda_\pi(T_i) \cdot \mu_\pi(T_i') = 0 \quad \text{for all } \pi \in Q.$$

Observe that

$$(3.34) \quad \mu_\pi(T_i') \in \lambda_\pi(\mathfrak{M}_E \otimes 1_L)'$$

and that the bicommutant of $\lambda_\pi(\mathfrak{M}_E \otimes 1_L)$ is a factor. Therefore, using a result of Murray and von Neumann [21, Theorem III] (see also Dixmier [12, I, §2, exercise 6a]) there is a matrix $(a_{ij})_{i,j=1,\dots,n}$ of complex numbers such that

$$(3.35) \quad \sum a_{ij} T_i \in \text{Kernel } \lambda_\pi, \quad T_i' - \sum a_{ij} T_j' \in \text{Kernel } \mu_\pi.$$

Observe that

$$(3.36) \quad \text{Kernel } \mu_\pi = E \otimes \mathfrak{C}(L).$$

The relations (3.35) and (3.36) imply

$$(3.37) \quad S \in \text{Kernel } \lambda_\pi \otimes \mathcal{L}(L) + \mathfrak{M}_E \otimes \mathfrak{C}(L).$$

Since (3.37) holds for all $\pi \in Q$, (3.31) implies

$$(3.38) \quad S \in \mathfrak{M}_E \otimes \mathfrak{C}(L)$$

concluding the proof of the lemma.

PROPOSITION 5. *Let \mathfrak{B} be a postliminal C^* -subalgebra of $\mathcal{L}(L)$. Suppose that $\mathfrak{C}(L) \subseteq \mathfrak{B}$. Then*

$$(3.39) \quad \mathfrak{m} \cap (\mathfrak{M}_E \otimes \mathfrak{B}) = \mathfrak{M}_E \otimes \mathfrak{C}(L).$$

PROOF. Let φ be the canonical map of $\mathfrak{M}_E \otimes \mathfrak{B}$ onto $(\mathfrak{M}_E \otimes \mathfrak{B})/\mathfrak{M}_E \otimes \mathfrak{C}(L)$. Let κ be the canonical isomorphism of $(\mathfrak{M}_E \otimes \mathfrak{B})/\mathfrak{M}_E \otimes \mathfrak{C}(L)$ onto $\mathfrak{M}_E \otimes (\mathfrak{B}/\mathfrak{C}(L))$ according to Corollary 2 of Proposition 4. Let \mathfrak{i} be the image of $\mathfrak{m} \cap \mathfrak{M}_E \otimes \mathfrak{B}$ under $\kappa \circ \varphi$. Lemma 2 implies

$$(3.40) \quad \mathfrak{i} \cap (\mathfrak{M}_E \otimes \mathfrak{B}/\mathfrak{C}(L)) = \{0\}.$$

Hence Corollary 1 of Proposition 4 implies $\mathfrak{i} = 0$. Hence (3.39).

Problem. Does

$$(3.41) \quad \mathfrak{m} \cap (\mathfrak{M}_E \otimes \mathcal{L}(L)) = \mathfrak{M}_E \otimes \mathfrak{C}(L)$$

hold, too?

4. Remarks on Banach space and C^* -algebra bundles. For the basic facts on Banach space bundles, i.e. vector bundles with Banach spaces as fibres one is referred to Lang [18]. Let X be a topological space. For any Banach space bundle Ξ over X let P_Ξ be the projection of Ξ and $\Xi_x = P_\Xi^{-1}(x)$. Let Ξ_1, Ξ_2 be Banach space bundles over X . In this section we only consider morphisms

$$(4.1) \quad h: \Xi_1 \rightarrow \Xi_2$$

that induce the identity map on the base space, i.e.,

$$(4.2) \quad P_{\Xi_1} = P_{\Xi_2} \cdot h.$$

Let Γ be the section functor which associates to each Banach space bundle Ξ over X the $\mathcal{L}(X, \mathbb{C})$ -module $\Gamma(\Xi)$ of (continuous) sections of Ξ and to each morphism (4.1) the module homomorphism

$$(4.3) \quad \Gamma(h) : \Gamma(\Xi_1) \rightarrow \Gamma(\Xi_2)$$

defined by

$$(4.4) \quad (\Gamma(h)T)_x = h_x T_x \quad \text{for all } x \in X \text{ and all } T \in \Gamma(\Xi_1).$$

Observe that

$$(4.5) \quad \text{Kernel } \Gamma(h) = \{T \in \Gamma(\Xi_1) \mid T_x \in \text{Kernel } h_x \text{ for all } x \in X\}.$$

PROPOSITION 6. *Let X be paracompact. Let*

$$(4.6) \quad 0 \rightarrow \Xi' \xrightarrow{h} \Xi \xrightarrow{h''} \Xi'' \rightarrow 0$$

be an exact sequence of Banach space bundles over X . Then

$$(4.7) \quad 0 \rightarrow \Gamma(\Xi') \xrightarrow{\Gamma(h')} \Gamma(\Xi) \xrightarrow{\Gamma(h'')} \Gamma(\Xi'') \rightarrow 0$$

is exact.

PROOF. It is obvious that $\Gamma(h')$ is injective and that the image of $\Gamma(h')$ is equal to the kernel of $\Gamma(h'')$. The nontrivial part is to show that $\Gamma(h'')$ is surjective. For this we need a continuous selection theorem and the open mapping theorem to verify lower semicontinuity. Let $(U_i, \Phi_i, E_i)_{i \in I}, (U_i, \Phi_i'', E_i'')_{i \in I}$ be atlases of Ξ, Ξ'' . Let $T \in \Gamma(\Xi'')$. For each $x \in U_i$ the set $\Phi_{i,x}(h'')^{-1}T_x$ is a closed affine subspace of the Banach space E_i . Let W be open in E_i . Since h'' is surjective, $(\Phi_{i,x}'' h'' \Phi_{i,x}^{-1})W$ is open in E_i'' by the open mapping theorem. Suppose that $\Phi_{i,x}'' T_x \in (\Phi_{i,x}'' h'' \Phi_{i,x}^{-1})W$ for some $x \in U_i$. Since $y \rightarrow \Phi_{i,y}'' T_y$ is a continuous map of U_i into E_i'' , it follows that $\Phi_{i,y}'' T_y$ is contained in $(\Phi_{i,x}'' h'' \Phi_{i,x}^{-1})W$ for all y in some neighborhood of x . Hence $x \rightarrow \Phi_{i,x}(h'')^{-1}(T_x)$ is a lower semicontinuous map of U_i into the closed affine subspaces of E_i . Since the closed affine subspaces of E_i are convex, it follows from a continuous selection theorem (Michael [19]) that there is a continuous map S_i of U_i into E_i satisfying $h'' \Phi_{i,x}^{-1}(S_i(x)) = T_x$ for all $x \in U_i$. Let $(\lambda_i)_{i \in I}$ be a partition of unity subordinate to the cover $(U_i)_{i \in I}$. Define $S \in \Gamma(\Xi)$ by

$$(4.8) \quad S_x = \sum \lambda_i(x) \Phi_{i,x}^{-1} S_i(x)$$

where $\lambda_i(x) \Phi_{i,x}^{-1} S_i(x) = 0$ if $x \notin U_i$. Then

$$(4.9) \quad \Gamma(h'')S = T$$

which shows that $\Gamma(h'')$ is surjective.

In the following we also use the notion of a C^* -algebra bundle. Let Ξ be a Banach space bundle over X . Assume

(C^* B1) Each Ξ_x has been given the structure of a C^* -algebra. Let $(U_i, \Phi_i, \mathfrak{R}_i)_{i \in I}$ be an atlas of Ξ satisfying the following condition.

(C^* B2) All \mathfrak{R}_i are C^* -algebras. For each $x \in U_i$ the map $\Phi_{i,x}$ of Ξ_x onto \mathfrak{R}_i is a C^* -algebra isomorphism.

We say that an atlas $(U_i, \Phi_i, \mathfrak{R}_i)_{i \in I}$ of Ξ satisfying (C^* B2) is a C^* -algebra atlas of Ξ . Two such atlases are equivalent if their union is again a C^* -algebra atlas. The equivalence class of a C^* -algebra atlas of the Banach space bundle Ξ is said to define the structure of a C^* -algebra bundle (which is still denoted by Ξ).

In the following we assume that X is compact. Let Ξ be a C^* -algebra bundle over X . For each $T \in \Gamma(\Xi)$ define

$$(4.10) \quad \|T\| = \sup \{ \|T_x\|_x \mid x \in X \},$$

where $\| \cdot \|_x$ denotes the norm of Ξ_x . With respect to this norm and the obvious structure of an involutive complex algebra $\Gamma(\Xi)$ is a C^* -algebra. Let Y be another compact space. Let $\mathcal{C}(Y, \Xi_x)$ be the C^* -algebra of continuous maps of Y into Ξ_x . Then

$$(4.11) \quad \mathcal{C} \cdot (Y, \Xi) = \bigcup_{x \in X} \mathcal{C}(Y, \Xi_x),$$

where \bigcup denotes disjoint union, can naturally be equipped with the structure of a C^* -algebra bundle. (Every atlas of Ξ gives rise to an atlas of $\mathcal{C} \cdot (Y, \Xi)$.)

LEMMA 3. *There is a natural isomorphism of the C^* -algebra $\Gamma \mathcal{C} \cdot (Y, \Xi)$ onto the C^* -algebra of all continuous maps*

$$(4.12) \quad f : X \times Y \rightarrow \Xi$$

satisfying

$$(4.13) \quad f(x, y) \in \Xi_x.$$

PROOF. Since X, Y are compact, there is a natural homeomorphism

$$(4.14) \quad \mathcal{C}(X \times Y, \Xi) \cong \mathcal{C}(X, \mathcal{C}(Y, \Xi))$$

(Bourbaki, *Topologie g n rale*, Chapter X, §5, Theorem 3). It is easy to see that this homeomorphism induces the C^* -algebra isomorphism described in Lemma 3.

CHAPTER II. VECTOR BUNDLES RELATIVE TO \mathfrak{M} .

In this chapter \mathfrak{M} denotes always a properly infinite and semifinite von Neumann algebra of operators of a complex Hilbert space H .

1. **Definition of \mathfrak{M} -vector bundles and their morphisms.** Let ξ and X be topological spaces, and p_ξ be a continuous map of ξ onto X . Assume

(VB1) For each $x \in X$, the fibre $\xi_x = p_\xi^{-1}(x)$ has been given the structure of a Hilbert space.

Let $\{U_i\}_{i \in I}$ be an open cover of X , let $\{E_i\}_{i \in I}$ be a family in \mathcal{PM} and let $\{\varphi_i\}_{i \in I}$ be a family of maps

$$(1.1) \quad \varphi_i : p_\xi^{-1}(U_i) \rightarrow U_i \times E_i(H).$$

Denote by q_i the projection of $U_i \times E_i(H)$ onto U_i . Suppose that the following conditions hold.

(VB2) Each φ_i is a homeomorphism satisfying $p_\xi = q_i \circ \varphi_i$ and inducing an isometric isomorphism $\varphi_{i,x}$ of ξ_x onto $E_i(H)$ for each $x \in U_i$.

(VB3) For each $x \in U_i \cap U_j$ define $g_{ij}(x) \in \mathcal{L}(H)$ by

$$(1.2) \quad g_{ij}(x)(v) = (\varphi_{i,x} \circ \varphi_{j,x}^{-1})(E_j(v)) \quad \text{for all } v \in H.$$

Then $x \rightarrow g_{ij}(x)$ is a continuous map of $U_i \cap U_j$ into \mathfrak{M} ,

$$(1.3) \quad g_{ij} : U_i \cap U_j \rightarrow \mathfrak{M}.$$

It follows that the range of g_{ij} is contained in $\mathcal{I}_{\mathfrak{M}}(E_j, E_i)$. We say that the family $(U_i, E_i, \varphi_i)_{i \in I}$ satisfying these conditions is an \mathfrak{M} -atlas of ξ and that each of its members is a chart. Two \mathfrak{M} -atlases are equivalent if their union is an \mathfrak{M} -atlas. The equivalence class of an \mathfrak{M} -atlas of ξ is an \mathfrak{M} -vector bundle with ξ as its total space, p_ξ its projection and X as its base space. Such \mathfrak{M} -vector bundles are usually denoted by their total space ξ . An \mathfrak{M} -vector bundle is said to be of *finite type* if it admits an atlas with finitely many charts. If the \mathfrak{M} -vector bundle ξ admits an atlas $(U_i, E_i, \varphi_i)_{i \in I}$ such that all E_i are equivalent then ξ is said to be of *constant fibre dimension*.

Let ξ be an \mathfrak{M} -vector bundle over X .

LEMMA 1. *If X is compact, then ξ is of finite type. If ξ is of finite type then there exists an \mathfrak{M} -atlas $(U_i, E_i, \varphi_i)_{i=1, \dots, n}$ such that $E_i E_j = 0$ for $i \neq j$.*

PROOF. Use Dixmier [12, Chapter III, §8, Corollary 2 of Theorem 1].

REMARK. Using Dixmier's corollary one can prove a similar lemma under the weaker hypothesis that ξ is of countable type, i.e., that ξ admits an atlas with countably many charts. But Lemma 1 is all that we need in the following.

LEMMA 2. *If X is connected, then ξ is of constant fibre dimension. If ξ is of constant fibre dimension, then there is an atlas of ξ of the form $(U_i, E, \varphi_i)_{i \in I}$. In that case E is called the projection of this atlas. The equivalence class of E is uniquely determined by ξ .*

The proof is obvious.

Let ξ, ξ' be \mathfrak{M} -vector bundles over X, X' . A pair of maps $(T, f) : \xi \times X \rightarrow \xi' \times X'$ is a morphism if the following two conditions hold.

(Mor 1) The relation $p_{\xi'} \circ T = f \circ p_\xi$ holds and T induces a partial isometry T_x of ξ_x into $\xi'_{f(x)}$ for each $x \in X$.

(Mor 2) Let $(U_i, E_i, \varphi_i)_{i \in I}$ and $(U'_j, E'_j, \varphi'_j)_{j \in J}$ be \mathfrak{M} -atlases of $\xi, \text{ resp. } \xi'$.

For each $x \in U_i \cap f^{-1}(U'_j)$ define $T_{ij,x} \in \mathcal{L}(H)$ by

$$(1.4) \quad T_{ij,x}(v) = (\varphi'_{j,x} \circ T_x \circ \varphi_{i,x}^{-1})(E_i(v)) \quad \text{for all } v \in H.$$

Then $x \rightarrow T_{ij,x}$ is a continuous map of $U_i \cap f^{-1}(U'_j)$ into \mathfrak{M} . It follows that T_{ij} maps $U_i \cap f^{-1}(U'_j)$ into $\mathcal{J}_{\mathfrak{M}}(E_i, E'_j)$.

PROPOSITION 1. *Let \mathfrak{M} be countably decomposable. Let X be a topological space. Let ξ be an \mathfrak{M} -vector bundle over X with an atlas $(U_i, E_i, \varphi_i)_{i \in I}$ such that $E_i \sim 1$ for all $i \in I$. Then ξ is \mathfrak{M} -isomorphic to the trivial \mathfrak{M} -vector bundle $X \times H$ over X . Any two \mathfrak{M} -isomorphisms of ξ onto $X \times H$ are homotopic.*

PROOF. It follows from $E_i \sim 1$ and Lemma 2 that there is also an \mathfrak{M} -atlas whose transition functions take their values in the unitary group \mathfrak{UM} of \mathfrak{M} . Since \mathfrak{M} is countably decomposable, \mathfrak{UM} is contractible in its norm topology (Breuer [10]). It follows from Dold [13] that the principal bundle (with group \mathfrak{UM}) associated to ξ admits a cross section. Hence ξ is \mathfrak{M} -equivalent to the product bundle $X \times H$ (Steenrod [23, Part I, §8]). Let V, \tilde{V} be two \mathfrak{M} -isomorphisms of ξ onto $X \times H$. Then $\tilde{V} \circ V^*$ is an \mathfrak{M} -automorphism of $X \times H$, i.e., a continuous map of X into \mathfrak{UM} . Hence there is a homotopy $W_t : X \rightarrow \mathfrak{UM}, 0 \leq t \leq 1$, with $W_0 = 1, W_1 = \tilde{V} \circ V^*$. Then $V_t = W_t \circ V$ is a homotopy between V and \tilde{V} .

2. **The Hom-functor.** Let ξ, η be \mathfrak{M} -vector bundles over X . Let $(U_i, \varphi_i, E_i)_{i \in I}, (U_i, \psi_i, F_i)_{i \in I}$ be \mathfrak{M} -atlases of $\xi, \text{ resp. } \eta$, with the same open cover $(U_i)_{i \in I}$. Let $x \in U_i$. Define

$$(2.1) \quad \text{Hom}(\xi_x, \eta_x) = \{\psi_{i,x}^{-1} T \varphi_{i,x} \mid T \in \mathfrak{M}\}.$$

This definition is independent of the given atlases. $\text{Hom}(\xi_x, \eta_x)$ is a linear subspace of $\mathcal{L}(\xi_x, \eta_x)$. For $T_x \in \text{Hom}(\xi_x, \eta_x)$ define $\Phi_{i,x} T_x \in F_i \mathfrak{M} E_i$ by

$$(2.2) \quad (\Phi_{i,x} T_x)(v) = (\psi_{i,x} T_x \varphi_{i,x}^{-1})(E_i v) \quad \text{for all } v \in H.$$

Then

$$(2.3) \quad \Phi_{i,x} : \text{Hom}(\xi_x, \eta_x) \rightarrow F_i \mathfrak{M} E_i$$

is a spatial isomorphism (induced by $\varphi_{i,x}, \psi_{i,x}$). It follows that $\text{Hom}(\xi_x, \eta_x)$ is a weakly closed subspace of $\mathcal{L}(\xi_x, \eta_x)$. In particular $\text{Hom}(\xi_x, \eta_x)$ is a Banach space. Define

$$(2.4) \quad \text{Hom}(\xi, \eta) = \bigcup_{x \in X} \text{Hom}(\xi_x, \eta_x).$$

Let

$$(2.5) \quad p_{\text{Hom}(\xi, \eta)} : \text{Hom}(\xi, \eta) \rightarrow X$$

be the canonical projection. Define

$$(2.6) \quad \Phi_i : p_{\text{Hom}(\xi, \eta)}^{-1}(U_i) \rightarrow U_i \times F_i \mathfrak{M} E_i$$

to be the unique map whose restriction to $\text{Hom}(\xi_x, \eta_x)$ is $\Phi_{i,x}$, $x \in U_i$. Then $(U_i, \Phi_i, F_i \mathfrak{M} E_i)_{i \in I}$ is an atlas of $\text{Hom}(\xi, \eta)$ which defines the structure of a Banach space bundle on $\text{Hom}(\xi, \eta)$ with $F_i \mathfrak{M} E_i$ as fibres. We call $(U_i, \varphi_i, F_i \mathfrak{M} E_i)_{i \in I}$ the *spatial atlas* of $\text{Hom}(\xi, \eta)$ induced by $(U_i, \varphi_i, E_i)_{i \in I}$ and $(U_i, \psi_i, F_i)_{i \in I}$. The class of spatial atlases of $\text{Hom}(\xi, \eta)$ induced by the \mathfrak{M} -atlases of ξ and η is said to define the structure of the Hom-bundle $\text{Hom}(\xi, \eta)$.

Let ξ', η' be another pair of \mathfrak{M} -vector bundles over X . Let

$$(2.7) \quad V : \xi \rightarrow \xi', \quad W : \eta \rightarrow \eta'$$

be morphisms (as defined in §1). Define

$$(2.8) \quad (V, W)_x^\# : \text{Hom}(\xi_x, \eta_x) \rightarrow \text{Hom}(\xi'_x, \eta'_x)$$

by

$$(2.9) \quad (V, W)_x^\# T_x = W_x T_x V_x^* \quad \text{for all } T_x \in \text{Hom}(\xi_x, \eta_x).$$

Define

$$(2.10) \quad (V, W)^\# : \text{Hom}(\xi, \eta) \rightarrow \text{Hom}(\xi', \eta')$$

to be the map whose restriction to $\text{Hom}(\xi_x, \eta_x)$ is $(V, W)_x^\#$. The maps $(V, W)^\#$ induced by pairs V, W of morphisms are called the mor-

phisms of the Hom-bundles of pairs of \mathfrak{M} -vector bundles.

We are mainly interested in the case $\xi = \eta$. Then we write

$$(2.11) \quad \text{end } \xi = \text{Hom}(\xi, \xi).$$

It is clear that the above considerations can be repeated by choosing $\xi = \eta$ and in addition $(U_i, \varphi_i, E_i) = (U_i, \psi_i, F_i)$ and $V = W$. We thus can define spatial atlases of end ξ , the structure of the endomorphism bundle end ξ and morphisms

$$(2.12) \quad V^\# = (V, V)^\# : \text{end } \xi \rightarrow \text{end } \xi'$$

of endomorphism bundles induced by morphisms $V : \xi \rightarrow \xi'$. The fibre end ξ_x of end ξ at $x \in X$ is a von Neumann algebra which is spatially isomorphic to a reduced algebra of \mathfrak{M} . In particular, end ξ is always a C^* -algebra bundle.

In addition to the general hypotheses of this chapter let \mathfrak{M} in the following also be countably decomposable. Let ξ be an \mathfrak{M} -vector bundle over X with an atlas $(U_i, \varphi_i, E)_{i \in I}$ and finite dimensional fibre, $E \in P_f(\mathfrak{M})$. Let $c(E)$ be the central cover of E , i.e.,

$$(2.13) \quad c(E) = \inf \{F \mid F \cong E \text{ and } F \in P(\mathcal{Z})\}$$

where $\mathcal{Z} = \mathfrak{M} \cap \mathfrak{M}'$ is the center of \mathfrak{M} . Then there is an infinite sequence $(E_j)_{j=1,2,3,\dots}$ satisfying $E = E_1 \sim E_j$, $E_j E_k = 0$ for all j and $k \neq j$ and $c(E) = \sum_{j=1}^{\infty} E_j$. Therefore, according to §4 of Chapter I, there is a separable infinite dimensional Hilbert space L and an isomorphism

$$(2.14) \quad E(H) \otimes L \cong c(E)(H)$$

inducing an isomorphism

$$(2.15) \quad \mathfrak{M}_E \hat{\otimes} \mathcal{L}(L) \cong \mathfrak{M}_{c(E)}.$$

Let $\xi \hat{\otimes} L$ be the disjoint union of all $\xi_x \hat{\otimes} L$. Then $(U_i, \varphi_i \otimes 1_L, c(E))_{i \in I}$ is an \mathfrak{M} -atlas of $\xi \hat{\otimes} L$ defining the structure of an \mathfrak{M} -vector bundle on $\xi \hat{\otimes} L$.

PROPOSITION 2. *end($\xi \hat{\otimes} L$) is spatially isomorphic to the trivial bundle $X \times \mathfrak{M}_{c(E)}$. Any two spatial isomorphisms of end($\xi \hat{\otimes} L$) onto $X \times \mathfrak{M}_{c(E)}$ are homotopic.*

PROOF. Since $c(E)$ is properly infinite, it follows from Proposition 1 that there is an \mathfrak{M} -isomorphism V of $\xi \hat{\otimes} L$ onto the trivial bundle $X \times c(E)(H)$. Then $V^\#$ is an isomorphism of end ξ onto $X \times \mathfrak{M}_{c(E)}$. If $V_t, 0 \leq t \leq 1$, is a homotopy of V , then $V_t^\#, 0 \leq t \leq 1$, is a homotopy of $V^\#$.

3. **Finite \mathfrak{M} -vector bundles and classifying spaces.** Let ξ be an \mathfrak{M} -vector bundle over X with an atlas $(U_i, E_i, \varphi_i)_{i \in I}$. If all projections E_i are finite relative to \mathfrak{M} then ξ is said to be finite relative to \mathfrak{M} (or briefly: finite). In that case define the fibre dimension by

$$(3.1) \quad \text{Dim } \xi_x = \text{Dim } E_i \in I(\mathfrak{M}) \quad \text{for } x \in U_i,$$

where $I(\mathfrak{M})$ is the index group of \mathfrak{M} as defined in §2 of Chapter I. The definition of $\text{Dim } \xi_x$ is independent of the given atlas. The function $x \rightarrow \text{Dim } \xi_x$ of X into $I(\mathfrak{M})$ is locally constant.

LEMMA 3. *Let X be paracompact. Let ξ be an \mathfrak{M} -vector bundle of finite type over X . Then there is a projection E of \mathfrak{M} and an injective morphism of ξ into the trivial \mathfrak{M} -vector bundle $X \times E(H)$. If ξ is finite, then E can be chosen to be finite.*

PROOF. Let $(U_i, E_i, \varphi_i)_{i=1, \dots, n}$ be an atlas of ξ satisfying $E_i E_j = 0$ for $i \neq j$. Let $E = \sum_{i=1}^n E_i$ and let $\lambda_i : X \rightarrow [0, 1]$ be continuous functions satisfying

$$(1) \quad \text{support } \lambda_i \subset U_i,$$

$$(2) \quad \sum_{i=1}^n \lambda_i = 1.$$

For each $x \in X$ and $v_x \in \xi_x$ define

$$(3.2) \quad T_x v_x = \sum \sqrt{\lambda_i(x)} \varphi_i(v_x)$$

where $\sqrt{\lambda_i(x)} \varphi_i(v_x) = 0$ if $x \notin U_i$. Then T_x is an isometry of ξ_x into $E(H)$. For each $x \in U_i$ define

$$(3.3) \quad T_{i,x}(v) = (T_x \circ \varphi_{i,x}^{-1})(E_i(v)) \quad \text{for all } v \in H,$$

Then $T_{i,x} \in \mathfrak{M}$. Obviously $x \rightarrow T_{i,x}$ is a continuous map of U_i into \mathfrak{M} . Thus the map

$$(3.4) \quad T : \xi \rightarrow X \times E(H)$$

defined by $T(v_x) = (x, T_x v_x)$ for $v_x \in \xi_x$ is an injective morphism. If all $E_i, i = 1, \dots, n$, are finite, then their supremum E is known to be finite (Dixmier [12, III, §2, Proposition 5]).

Let E be a finite projection of \mathfrak{M} . The equivalence class

$$(3.5) \quad \mathcal{M}_E = \{F \in P\mathfrak{M} \mid F \sim E\}$$

of E equipped with the norm topology is called the Grassmannian of E . Equip

$$(3.6) \quad \mathcal{B}_E = \{(F, v) \in \mathcal{M}_E \times H \mid Fv = v\}$$

with the topology induced by $\mathcal{M}_E \times H$ and let

$$(3.7) \quad P : \mathcal{B}_E \rightarrow \mathcal{M}_E$$

be the canonical projection onto \mathcal{M}_E . For each $F \in \mathcal{M}_E$ define

$$(3.8) \quad \mathcal{N}_F = \{F' \in \mathcal{M}_E \mid \|F - F'\| < 1\}.$$

Let

$$(3.9) \quad FF' = V_{F,F'}|FF'|$$

be the polar decomposition. Define

$$(3.10) \quad \Phi_F : P^{-1}(\mathcal{N}_F) \rightarrow \mathcal{N}_F \times F(H)$$

by

$$(3.11) \quad \Phi_F(F', v) = (F', V_{F,F'}(v)).$$

Observe that $F' \in \mathcal{N}_F$ implies

$$(3.12) \quad F = V_{F,F'}V_{F',F}^*, \quad F' = V_{F',F}^*V_{F,F'}$$

(Riesz-Sz.-Nagy [22, §105]) and that $F' \rightarrow V_{F,F'}$ is a continuous map of \mathcal{N}_F into \mathfrak{M} . Moreover, for $F' \in \mathcal{N}_E \cap \mathcal{N}_F$ and $v \in E(H)$

$$(3.13) \quad (\Phi_F \circ \Phi_E^{-1})(F', v) = (F', V_{E,F'}V_{E,F'}^*(v)).$$

Hence the family $(\mathcal{N}_F, F, \Phi_F)_{F \in \mathcal{M}_E}$ is an \mathfrak{M} -atlas of \mathcal{B}_E . The equivalence class of this atlas is called the *Grassmann vector bundle* of E . If $E \sim F$, then the Grassmann vector bundles of E and F are equal.

PROPOSITION 3. *Let X be paracompact. Let ξ be a finite \mathfrak{M} -vector bundle of finite type over X . Suppose that the fibre dimension of ξ is constant and equal to $\text{Dim } F$ for some finite $F \in P\mathfrak{M}$. Then there is a continuous map $f : X \rightarrow \mathcal{M}_F$ such that ξ is \mathfrak{M} -isomorphic to the induced bundle $f^*(\mathcal{B}_F)$.*

PROOF. Use all the notation of the proof of Lemma 3 and define $f(x) = R_{T_x}$ (range projection of T_x). One has $R_{T_x} = R_{T_{i,x}}$ and $T_{i,x} \in \mathfrak{M}$ for all $x \in U_i$ which implies $f(x) \in \mathcal{M}_F$ for $x \in U_i$. Proposition 2 of Chapter I and the continuity of $x \rightarrow T_{i,x}$ on U_i imply that f is continuous on U_i . Since $(U_i)_{i=1, \dots, n}$ is an open cover of X , f is a continuous map of X into \mathcal{M}_F . The pair (T, f) can canonically be considered as a map $\xi \times X \rightarrow \mathcal{B}_F \times \mathcal{M}_F$. To show that ξ is \mathfrak{M} -isomorphic to $f^*(\mathcal{B}_F)$ it suffices to show that (T, f) is an injective morphism. The injectivity and axiom (Mor 1) are trivial. To verify axiom (Mor 2) consider the atlas $(U_i, E_i, \varphi_i)_{i=1, \dots, n}$ of ξ used in the

proof of Lemma 3 and the atlas $(\mathcal{N}_E, E, \Phi_E)_{E \in \mathcal{E} \parallel F}$ of \mathcal{B}_F defined above. Let $x \in U_i$ and $y \in U_i \cap f^{-1}(\mathcal{N}_{f(x)})$. Then

$$(3.14) \quad (\Phi_{f(x)} \circ T \circ \varphi_{i,y}^{-1})(v) = (fy, V_{f(x),f(y)} T_{i,y}(v)) \quad \text{for } v \in E_i(H).$$

Thus $y \rightarrow V_{f(x),f(y)} \circ T_{i,y}$ is a continuous map of $U_i \cap f^{-1}(\mathcal{N}_{f(x)})$ into \mathfrak{M} which implies (Mor 2).

PROPOSITION 4. *Let X be compact, F a finite projection of \mathfrak{M} , $f_t : X \rightarrow \mathcal{M}_F$ ($0 \leqq t \leqq 1$) a homotopy. Then the induced bundles $f_0^*(\mathcal{B}_F)$ and $f_1^*(\mathcal{B}_F)$ are \mathfrak{M} -isomorphic \mathfrak{M} -vector bundles over X .*

PROOF. Let $t_0 \in [0, 1]$. Since X is compact, there is a $\delta > 0$ such that for all $x \in X$ and all $t \in X$ and all $t \in [t_0 - \delta, t_0 + \delta] \cap [0, 1]$ the relation

$$(3.15) \quad \|f_{t_0}(x) - f_t(x)\| < 1$$

holds. Let

$$(3.16) \quad f_t(x) f_{t_0}(x) = V_{t,t_0}(x) \cdot |f_t(x) f_{t_0}(x)|$$

be the polar decomposition. It follows from (3.15) and the continuity of the polar decomposition that $(V_{t,t_0}(x))_{x \in X}$ is a continuous family of partial isometries in \mathfrak{M} satisfying

$$(3.17) \quad V_{t,t_0}(x) V_{t,t_0}^*(x) = f_t(x), \quad V_{t,t_0}^*(x) V_{t,t_0}(x) = f_{t_0}(x)$$

for all $x \in X$. Hence this family induces an \mathfrak{M} -isomorphism

$$(3.18) \quad V_{t,t_0} : f_{t_0}^*(\mathcal{B}_F) \rightarrow f_t^*(\mathcal{B}_F).$$

The connectedness of $[0, 1]$ then implies that $f_0^*(\mathcal{B}_F)$ is \mathfrak{M} -isomorphic to $f_1^*(\mathcal{B}_F)$.

COROLLARY 1. *Let X be compact, Y paracompact, $f_t : X \rightarrow Y$ ($0 \leqq t \leqq 1$) a homotopy and η a finite \mathfrak{M} -vector bundle of finite type over Y . Then $f_0^*(\eta)$ is \mathfrak{M} -isomorphic to $f_1^*(\eta)$.*

PROOF. Without loss of generality we can assume that the fibre dimension of η is constant. Then it follows from Proposition 3 that there is a finite projection $F \in \mathfrak{M}$ and a continuous map $g : Y \rightarrow \mathcal{M}_F$ such that $\eta \cong g^*(\mathcal{B}_F)$. Define the homotopy $h_t : X \rightarrow \mathcal{M}_F$ by $h_t = g \circ f_t$. Thus Proposition 4 implies

$$(3.19) \quad f_0^*(\eta) \cong f_0^* g^*(\mathcal{B}_F) = h_0^*(\mathcal{B}_F) \cong h_1^*(\mathcal{B}_F) = f_1^* g^*(\mathcal{B}_F) \cong f_1^*(\eta).$$

COROLLARY 2. *Every \mathfrak{M} -vector bundle over the one-sphere S^1 is \mathfrak{M} -isomorphic to a trivial \mathfrak{M} -vector bundle.*

PROOF. For each $E \in P\mathfrak{M}$ the Grassmannian \mathcal{M}_E is simply connected (Breuer [10]). Hence the corollary follows from Propositions 3 and 4.

PROPOSITION 5. *Let \mathfrak{M} be countably decomposable. Let X be a topological space. Let $E \in P\mathfrak{M}$ be finite and f, g be continuous maps of X into \mathcal{M}_E . If $f^*\mathcal{B}_E$ and $g^*\mathcal{B}_E$ are \mathfrak{M} -isomorphic, then f and g are homotopic.*

PROOF. Since $f^*\mathcal{B}_E \cong g^*\mathcal{B}_E$, there is a continuous map $x \rightarrow V_x$ of X into \mathfrak{M} such that

$$(3.20) \quad f(x) = V_x^*V_x, \quad g(x) = V_xV_x^*.$$

Define the maps \tilde{f}, \tilde{g} of X into \mathcal{M}_{1-E} by $\tilde{f}(x) = 1 - f(x), \tilde{g}(x) = 1 - g(x)$. Since E is finite, $1 - E$ is equivalent to 1. Proposition 1 of §1 implies that there are \mathfrak{M} -isomorphisms

$$(3.21) \quad \Phi : \tilde{f}^*\mathcal{B}_{1-E} \rightarrow X \times H, \quad \Psi : \tilde{g}^*\mathcal{B}_{1-E} \rightarrow X \times H.$$

Define

$$(3.22) \quad T : X \rightarrow \mathfrak{M}$$

by

$$(3.23) \quad T(x) = V_x + \Psi_x^{-1} \circ \Phi_x.$$

Then T is continuous and satisfies

$$(3.24) \quad g(x) = T(x)f(x)T^*(x).$$

(It is well known that two equivalent finite projections of \mathfrak{M} are unitarily equivalent (Dixmier [12, III, §2, Proposition 6]). Formula (3.23) is a generalization of that proposition to continuous families of finite projections of \mathfrak{M} .) Since \mathfrak{M} is contractible (Breuer [10]), there is a homotopy

$$(3.25) \quad T_t : X \rightarrow \mathfrak{M}, \quad 0 \leq t \leq 1,$$

satisfying $T_0 = 1$ (constant map of X on the unit element) and $T_1 = T$. Then

$$(3.26) \quad f_t(x) = T_t(x)f(x)T_t^*(x), \quad 0 \leq t \leq 1, x \in X,$$

defines a homotopy $f_t, 0 \leq t \leq 1$, between f and g .

4. Direct sums, orthogonal complements, definition of $K_{\mathfrak{M}}(X)$.

LEMMA 4. Let ξ, η be \mathfrak{M} -vector bundles over X . Let $(U_i, E_i, \varphi_i)_{i \in I}$ and $(U'_j, E'_j, \varphi'_j)_{j \in J}$ be \mathfrak{M} -atlases of ξ ; let $(U_i, F_i, \psi_i)_{i \in I}$ and $(U'_j, F'_j, \psi'_j)_{j \in J}$ be \mathfrak{M} -atlases of η . Suppose that

$$(4.1) \quad E_i F_i = 0 \text{ for all } i \in I, \quad E'_j F'_j = 0 \text{ for all } j \in J.$$

Then $(U_i, E_i + F_i, \varphi_i + \psi_i)_{i \in I}, (U'_j, E'_j + F'_j, \varphi'_j + \psi'_j)_{j \in J}$ are \mathfrak{M} -equivalent atlases of

$$(4.2) \quad \xi \oplus \eta = \bigcup_{x \in X} \{x\} \times (\xi_x \oplus \eta_x).$$

The proof is obvious.

Since atlases of the \mathfrak{M} -vector bundles ξ, η satisfying the conditions of Lemma 4 always exist, the direct sum $\xi \oplus \eta$ can canonically be equipped with the structure of an \mathfrak{M} -vector bundle. This structure will simply be denoted by $\xi \oplus \eta$.

LEMMA 5. Let E be a finite projection of \mathfrak{M} . Let f be a continuous map of X into \mathcal{M}_E and let $\xi = f^*(\mathcal{B}_E)$ be the induced bundle. Let η be an \mathfrak{M} -vector subbundle of ξ . Let

$$(4.3) \quad (\xi \ominus \eta)_x = \xi_x \ominus \eta_x$$

be the orthogonal complement of ξ_x in η_x . Then

$$(4.4) \quad \xi \ominus \eta = \bigcup_{x \in X} \{x\} \times (\xi \ominus \eta)_x$$

can canonically be equipped with the structure of an \mathfrak{M} -vector bundle over X satisfying

$$(4.5) \quad \xi \cong \eta \oplus (\xi \ominus \eta)$$

where \cong means \mathfrak{M} -isomorphic.

PROOF. Without loss of generality we can assume that the fibre dimension of η is constant and equal to $\text{Dim } F$ for some $F \leq E$. Since we have $\eta \subseteq \xi$ and $\xi \subseteq X \times H$ we also have $\eta \subseteq X \times H$ and this inclusion is a morphism. It follows that the projection $f'(x)$ of H onto η_x is in \mathcal{M}_F and that $f' : X \rightarrow \mathcal{M}_F$ is continuous. Define the continuous map $f'' : X \rightarrow \mathcal{M}_{E-F}$ by $f''(x) = f(x) - f'(x)$. Then the fibre of $f''^*(\mathcal{B}_{E-F})$ at x is equal to $(\xi \ominus \eta)_x$. Thus $\xi \ominus \eta$ can be given the \mathfrak{M} -vector bundle structure of $f''^*(\mathcal{B}_{E-F})$. The relation (4.5) is trivial.

LEMMA 6 (UNIQUENESS OF \mathfrak{M} -VECTOR SUBBUNDLES). Let ξ, η be \mathfrak{M} -vector bundles over X . Let ξ', η' be \mathfrak{M} -vector subbundles of ξ, η . Let T be an \mathfrak{M} -isomorphism of ξ onto η which induces a bijection of

ξ' onto η' . Then the restriction T' of T to ξ' is an \mathfrak{M} -isomorphism of ξ' onto η' .

PROOF. This is quite trivial and therefore omitted (see N. Bourbaki, *Théorie des ensembles*, Chapitre 4, §2, CST 8 and CST 12).

PROPOSITION 6. *Let X be paracompact. Let ξ be a finite \mathfrak{M} -vector bundle of finite type over X . Let η be an \mathfrak{M} -vector subbundle of ξ . Then $\xi \ominus \eta$ admits one and only one structure of an \mathfrak{M} -vector bundle which makes it an \mathfrak{M} -vector subbundle of ξ (via the natural inclusion). If we equip $\xi \ominus \eta$ with this structure, then ξ is \mathfrak{M} -isomorphic to the direct sum $\eta \oplus (\xi \ominus \eta)$.*

PROOF. The existence of an \mathfrak{M} -vector bundle structure on $\xi \ominus \eta$ which makes it an \mathfrak{M} -vector subbundle satisfying $\xi \cong \eta \oplus (\xi \ominus \eta)$ follows from Proposition 3 and Lemma 5. The uniqueness follows from Lemma 6.

PROPOSITION 7. *Let X be paracompact. Let ξ be a finite \mathfrak{M} -vector bundle of finite type over X . Then there are a finite \mathfrak{M} -vector bundle η over X and a finite projection E of \mathfrak{M} such that $\xi \oplus \eta$ is \mathfrak{M} -isomorphic to the trivial bundle $X \times E(H)$.*

PROOF. This is an easy consequence of Lemma 3 and Proposition 6.

It is easy to see that the direct sum \oplus of \mathfrak{M} -vector bundles has the following properties, where \cong means \mathfrak{M} -isomorphic.

- (i) $\xi \oplus (\eta \oplus \xi) \cong (\xi \oplus \eta) \oplus \xi$,
- (ii) $\xi \oplus \eta \cong \eta \oplus \xi$,
- (iii) $\xi \oplus 0 \cong \xi$,
- (iv) $\xi \cong \eta$ and $\xi' \cong \eta'$ implies $\xi \oplus \xi' \cong \eta \oplus \eta'$,
- (v) ξ and η \mathfrak{M} -infinite implies $\xi \oplus \eta$ \mathfrak{M} -finite.

It follows that \oplus induces the structure of a commutative monoid on the set of isomorphism classes of \mathfrak{M} -finite vector bundles over X . Denote this monoid by $\text{Vect}_{\mathfrak{M}}(X)$. Observe that $\text{Vect}_{\mathfrak{M}}$ is a contravariant functor of the category of topological spaces and continuous maps in the category of commutative monoids.

DEFINITION 1. Let X be compact. $K_{\mathfrak{M}}(X)$ denotes the Grothendieck group of $\text{Vect}_{\mathfrak{M}}(X)$. Let ξ be a finite \mathfrak{M} -vector bundle over X . $[\xi]_{\mathfrak{M}}$ denotes the class of ξ in $K_{\mathfrak{M}}(X)$.

Let $E \in P\mathfrak{M}$ be finite. The class of the trivial \mathfrak{M} -vector bundle $X \times E(H)$ is uniquely determined by $\text{Dim } E \in I(\mathfrak{M})$. The map $\text{Dim } E \rightarrow [X \times E(H)]_{\mathfrak{M}}$ of $I^+(\mathfrak{M})$ into $K_{\mathfrak{M}}(X)$ extends to an injective isomorphism $I(\mathfrak{M}) \subseteq K_{\mathfrak{M}}(X)$. Therefore the class of $X \times E(H)$ in $K_{\mathfrak{M}}(X)$ will usually be denoted by $\text{Dim } E$.

Observe that $K_{\mathfrak{M}}$ is a contravariant functor of the category of

compact spaces and continuous maps in the category of commutative groups. Let X, Y be compact. Let f, g be homotopic maps of X into Y . Proposition 4 implies that $K_{\mathfrak{M}}(f) = K_{\mathfrak{M}}(g)$. If X is contractible then $K_{\mathfrak{M}}(X) = I(\mathfrak{M})$.

Let x_0 be a point of X and $i: \{x_0\} \rightarrow X$ be the inclusion. Then $K_{\mathfrak{M}}(i)$ is a homomorphism of $K_{\mathfrak{M}}(X)$ onto $I(\mathfrak{M})$ inducing the identity isomorphism on $I(\mathfrak{M}) \subseteq K_{\mathfrak{M}}(X)$. It follows that

$$(4.6) \quad K_{\mathfrak{M}}(X) = \text{kernel}(K_{\mathfrak{M}}(i)) \oplus I(\mathfrak{M}).$$

5. **Clutching data of \mathfrak{M} -vector bundles over $S^2 \times X$.** In this section \mathfrak{M} is also assumed to be countably decomposable. Let X be a compact space. Let $S^2 = C \cup \{\infty\}$ be the Riemann sphere, and one point compactification of C . Let

$$(5.1) \quad D_0 = \{z \in S^2 \mid |z| \leq 1\}, \quad D_\infty = \{z \in S^2 \mid |z| \geq 1\}.$$

Then $S^2 = D_0 \cup D_\infty$ and $S^1 = D_0 \cap D_\infty$.

PROPOSITION 8. *Let ξ_0 , resp. ξ_∞ , be finite \mathfrak{M} -vector bundles over $D_0 \times X$, resp. $D_\infty \times X$. Let*

$$(5.2) \quad \varphi: \xi_0|S^1 \times X \rightarrow \xi_\infty|S^1 \times X$$

be an \mathfrak{M} -isomorphism. Then there are an \mathfrak{M} -vector bundle ξ over $S^2 \times X$ and \mathfrak{M} -isomorphisms

$$(5.3) \quad U_0: \xi|D_0 \times X \rightarrow \xi_0, \quad U_\infty: \xi|D_\infty \times X \rightarrow \xi_\infty$$

such that

$$(5.4) \quad \varphi = U_\infty \circ U_0^{-1} \quad (\text{restricted to } \xi_0|S^1 \times X).$$

Moreover, ξ is unique up to isomorphism.

PROOF. Without loss of generality we can assume that the fibre dimensions of ξ_0 and ξ_∞ are constant. Choose a (necessarily finite) projection $E \in \mathfrak{M}$ such that $\text{Dim } E$ is the common fibre dimension of ξ_0 and ξ_∞ . According to Proposition 3 there are continuous maps

$$(5.5) \quad f_0: D_0 \times X \rightarrow \mathcal{M}_E, \quad f_\infty: D_\infty \times X \rightarrow \mathcal{M}_E$$

and \mathfrak{M} -isomorphisms

$$(5.6) \quad V_0: \xi_0 \rightarrow f_0^*(\mathcal{B}_E), \quad V_\infty: \xi_\infty \rightarrow f_\infty^*(\mathcal{B}_E).$$

Then

$$(5.7) \quad \psi = V_\infty \varphi V_0^{-1}: f_0^*(\mathcal{B}_E)|S^1 \times X \rightarrow f_\infty^*(\mathcal{B}_E)|S^1 \times X$$

is an \mathfrak{M} -isomorphism. Define

$$(5.8) \quad \tilde{f}_0 : S^1 \times X \rightarrow \mathcal{M}_{1-E}, \quad \tilde{f}_\infty : S^1 \times X \rightarrow \mathcal{M}_{1-E}$$

by

$$(5.9) \quad \tilde{f}_0(z, x) = 1 - f_0(z, x), \quad \tilde{f}_\infty(z, x) = 1 - f_\infty(z, x).$$

Since $1 - E$ is properly infinite, there is an \mathfrak{M} -isomorphism

$$(5.10) \quad \tilde{\psi} : \tilde{f}_0^*(\mathcal{B}_{1-E}) \rightarrow \tilde{f}_\infty^*(\mathcal{B}_{1-E}).$$

Both ψ and $\tilde{\psi}$ can canonically be viewed as continuous maps of $S^1 \times X$ into the space of partial isometries of \mathfrak{M} (equipped with the norm topology) satisfying

$$(5.11) \quad \begin{aligned} f_0(z, x) &= \psi^*(z, x)\psi(z, x), & f_\infty(z, x) &= \psi(z, x)\psi^*(z, x), \\ \tilde{f}_0(z, x) &= \tilde{\psi}^*(z, x)\tilde{\psi}(z, x), & \tilde{f}_\infty(z, x) &= \tilde{\psi}(z, x)\tilde{\psi}^*(z, x) \end{aligned}$$

for all $(z, x) \in S^1 \times X$. Define

$$(5.12) \quad \bar{T} : S^1 \times X \rightarrow \mathfrak{AM}$$

by

$$(5.13) \quad \bar{T}(z, x) = \psi(z, x) + \tilde{\psi}(z, x).$$

Then \bar{T} induces the isomorphism ψ and we have

$$(5.14) \quad f_\infty(z, x) = \bar{T}(z, x)f_0(z, x)\bar{T}^*(z, x)$$

for all $(z, x) \in S^1 \times X$. Using the contractibility of \mathfrak{AM} (Breuer [10]) we can define a homotopy

$$(5.15) \quad \bar{T}_t : S^1 \times X \rightarrow \mathfrak{AM}, \quad 0 \leq t \leq 1,$$

satisfying

$$(5.16) \quad T_0 = 1, \quad \bar{T}_1 = \bar{T}.$$

define the extension

$$(5.17) \quad T : D_0 \times X \rightarrow \mathfrak{AM}$$

of \bar{T} by

$$(5.18) \quad T(z, x) = \begin{cases} \bar{T}_{|z|}(\exp(i \cdot \arg z), x) & \text{for } 0 < |z| \leq 1, \\ 1 & \text{for } z = 0. \end{cases}$$

Define

$$(5.19) \quad f : S^2 \times X \rightarrow \mathfrak{M}_E$$

by

$$(5.20) \quad f(z, x) = \begin{cases} T(z, x)f_0(z, x)T^*(z, x) & \text{for } (z, x) \in D_0 \times X, \\ f_\infty(z, x) & \text{for } (z, x) \in D_\infty \times X. \end{cases}$$

It follows from (5.14) that f is well defined and continuous. Define

$$(5.21) \quad \xi = f^*(\mathcal{B}_E).$$

Then T induces an \mathfrak{M} -isomorphism

$$(5.22) \quad W_0 : \xi | D_0 \times X \rightarrow f_0^*(\mathcal{B}_E).$$

Let

$$(5.23) \quad W_\infty : \xi | D_\infty \times X \rightarrow f_\infty^*(\mathcal{B}_E)$$

be the identity isomorphism. Then

$$(5.24) \quad \psi = W_\infty \circ W_0^{-1} \quad (\text{restricted to } f_0^*(\mathcal{B}_E) | S^1 \times X).$$

Define the \mathfrak{M} -isomorphisms (5.3) by

$$(5.25) \quad U_0 = V_0^{-1}W_0, \quad U_\infty = V_\infty^{-1} \circ W_\infty.$$

Then (5.4) follows from (5.7) and (5.25).

Suppose that ξ' is another \mathfrak{M} -vector bundle over $S^2 \times X$ with \mathfrak{M} -isomorphisms

$$(5.26) \quad U_0' : \xi' | D_0 \times X \rightarrow \xi_0, \quad U_\infty' : \xi' | D_\infty \times X \rightarrow \xi_\infty$$

satisfying

$$(5.27) \quad \varphi = U_\infty' \circ (U_0')^{-1} \quad (\text{restricted to } \xi_0 | S^1 \times X).$$

Then the \mathfrak{M} -isomorphisms

$$(5.28) \quad \begin{aligned} U_0^{-1}U_0' : \xi' | D_0 \times X &\rightarrow \xi | D_0 \times X, \\ U_\infty^{-1}U_\infty' : \xi' | D_\infty \times X &\rightarrow \xi | D_\infty \times X, \end{aligned}$$

coincide on $\xi' | S^1 \times X$ and consequently give rise to an \mathfrak{M} -isomorphism of ξ' onto ξ .

DEFINITION 1. *The bundle ξ of Proposition 8 is denoted by $\xi_0 \cup_\varphi \xi_\infty$.*

PROPOSITION 9. *The \mathfrak{M} -isomorphism class of $\xi_0 \cup_\varphi \xi_\infty$ depends on the homotopy class of the \mathfrak{M} -isomorphism φ only.*

PROPOSITION 10. *Let π_0 resp. π_∞ , be the natural projection of $D_0 \times X$, resp. $D_\infty \times X$, on X . Let ζ be a finite \mathfrak{M} -vector bundle over $S^2 \times X$. Then there are a finite \mathfrak{M} -vector bundle ξ over X and an \mathfrak{M} -automorphism*

$$(5.29) \quad \varphi : \pi_0^*(\xi) | S^1 \times X \rightarrow \pi_\infty^*(\xi) | S^1 \times X$$

such that the following hold:

(i) the restriction of φ to $\pi_0^*(\xi) | \{1\} \times X$ is homotopic to the identity automorphism,

(ii) ξ is \mathfrak{M} -isomorphic to $\pi_0^*(\xi) \cup_\varphi \pi_\infty^*(\xi)$,

(iii) the homotopy class of φ is uniquely determined by (i) and (ii).

The proofs of Propositions 9 and 10 are similar to the proofs of the corresponding propositions on complex finite dimensional vector bundles in Husemoller [15, 9(7.6) and 10(2.3)]. One has to replace Husemoller's Proposition 9(7.1) by the above Proposition 8.

DEFINITION 2. The \mathfrak{M} -vector bundle $\pi_0^*(\xi) \cup_\varphi \pi_\infty^*(\xi)$ of Proposition 10 is denoted by $[\xi, \varphi]$. The \mathfrak{M} -automorphism φ is called a clutching function of ξ .

PROPOSITION 11. The clutching functions of the \mathfrak{M} -vector bundle ξ over X are in natural 1-1 correspondence with the unitary elements of the C^* -algebra $\Gamma \mathcal{L} \cdot (S^1, \text{end } \xi)$. Moreover, the homotopies of clutching functions of ξ correspond to the continuous paths of the unitary group of $\Gamma \mathcal{L} \cdot (S^1, \text{end } \xi)$.

PROOF. The maps (5.29) can canonically be viewed as maps

$$(5.30) \quad \varphi : S^1 \times X \rightarrow \text{end } \xi$$

satisfying

$$(5.31) \quad \varphi(z, x) \in \text{end } \xi_x \quad \text{for all } (z, x) \in S^1 \times X.$$

The first part then follows from Lemma 3 of Chapter I. The second part is proved similarly using in addition the canonical C^* -algebra isomorphism

$$(5.32) \quad \Gamma \mathcal{L} \cdot ([0, 1], \mathcal{L} \cdot (S^1, \text{end } \xi)) \cong \Gamma \mathcal{L} \cdot ([0, 1] \times S^1, \text{end } \xi).$$

REMARK. The methods of this section can also be used to obtain clutching data of \mathfrak{M} -vector bundles over CW-triads. However, this more general construction has been omitted, since it is not used in the following.

CHAPTER III. THE INDEX OF A COMPACT FAMILY OF \mathfrak{M} -FREDHOLM OPERATORS

In this chapter \mathfrak{M} is a semifinite and properly infinite von Neumann algebra of operators of a complex Hilbert space H . X is a compact space. If $E \in P\mathfrak{M}$, then $\Theta_{E, X}$ denotes the trivial \mathfrak{M} -vector bundle $X \times E(H)$. The projection $1 - E$ is denoted by E^\perp .

1. **Definition of the index of a map** $X \rightarrow \mathfrak{F}(\mathfrak{M})$. Let

$$(1.1) \quad T : X \rightarrow \mathfrak{F}(\mathfrak{M})$$

be a continuous map. Call a projection E of \mathfrak{M} a choice for T if the following hold:

- (i) E^\perp is finite,
- (ii) the range of $T_x E$ is closed for all $x \in X$,
- (iii) $\inf(N_{T_x}, E) = 0$ for all $x \in X$.

LEMMA 1. *For each continuous map T of the compact space X into $\mathfrak{F}(\mathfrak{M})$ there is a choice $E \in P(\mathfrak{M})$.*

PROOF. Lemma 1 of Chapter I and the definition of $\mathfrak{F}(\mathfrak{M})$ imply that the following holds: For each $x \in X$ there is a projection $E_x \in \mathfrak{M}$ and a neighborhood U_x of x satisfying

- (i') E_x^\perp is finite,
- (ii') the range of $T_y E_x$ is closed for all $y \in U_x$,
- (iii') $\inf(N_{T_y}, E_x) = 0$ for all $y \in U$.

Let U_{x_1}, \dots, U_{x_n} be a finite subcover of $(U_x)_{x \in X}$. Then (i')–(iii') imply that

$$(1.2) \quad E = \inf(E_{x_1}, \dots, E_{x_n})$$

is a choice for T .

Let E be a choice for the continuous map T of X into $\mathfrak{F}(\mathfrak{M})$. We want to define an \mathfrak{M} -vector bundle ρ_{TE}^\perp over X whose fibre over $x \in X$ is the orthogonal complement of the range of TE , i.e.,

$$(1.3) \quad (\rho_{TE}^\perp)_x = H \ominus T_x E(H) = R_{T_x E}^\perp(H).$$

Any bundle over X is well determined if its portion over each connected component of X is known. Therefore we can, without loss of generality, assume that X is connected. Proposition 2 of Chapter I implies that the map

$$(1.4) \quad r_{TE}^\perp : X \rightarrow P\mathfrak{M}$$

defined by

$$(1.5) \quad r_{TE}^\perp(c) = R_{T_x E}^\perp(c)$$

is continuous. Observe that $R_{T_x E}^\perp$ is finite for all $x \in X$. Since the Grassmannian \mathcal{M}_G of a finite $G \in P\mathfrak{M}$ is the connected component of G in $P\mathfrak{M}$ (Breuer [10]) and since X is connected, there is a finite $F \in P\mathfrak{M}$ such that the range of r_{TE}^\perp is contained in \mathcal{M}_F . Define

$$(1.6) \quad \rho_{TE}^\perp = (r_{TE}^\perp)^*(\mathcal{B}_F).$$

In view of (1.5), ρ_{TE}^\perp satisfies (1.3).

LEMMA 2. *Let E', E be choices of T such that $E' \cong E$. Then*

$$(1.7) \quad \rho_{TE}^\perp \cong \rho_{TE'}^\perp \oplus \Theta_{X, E'-E}$$

and

$$(1.8) \quad \rho_{TE}^\perp \oplus \Theta_{X, E'^\perp} \cong \rho_{TE'}^\perp \oplus \Theta_{X, E_\perp}.$$

PROOF. (1.7) implies (1.8) so it suffices to prove (1.7). $E' \cong E$ implies that $\rho_{TE'}$ is an \mathfrak{M} -vector subbundle of ρ_{TE}^\perp . Proposition 6 of Chapter II implies

$$(1.9) \quad \rho_{TE}^\perp \cong \rho_{TE'}^\perp \oplus (\rho_{TE}^\perp \ominus \rho_{TE'}^\perp).$$

Let $T_x(E' - E) = V_x|T_x(E' - E)|$ be the polar decomposition. Then the continuous family $(V_x)_{x \in X}$ of partial isometries of \mathfrak{M} induces an isomorphism

$$(1.10) \quad \Theta_{X, E'-E} \cong \rho_{TE}^\perp \ominus \rho_{TE'}^\perp.$$

(1.10) and (1.9) imply (1.7).

LEMMA 3. *Let E, E' be choices of T . Then*

$$(1.11) \quad \text{Dim } E^\perp - [\rho_{TE}^\perp]_{\mathfrak{R}} = \text{Dim } E'^\perp - [\rho_{TE'}^\perp]_{\mathfrak{R}}.$$

PROOF. This follows from (1.8) and the fact that $E'' = \inf(E', E)$ is a choice.

DEFINITION 1. If $T : X \rightarrow \mathfrak{F}(\mathfrak{M})$ is continuous and E a choice of T , then

$$(1.12) \quad \text{Index } T = \text{dim } E^\perp - [\rho_{TE}^\perp]_{\mathfrak{R}}.$$

In view of Lemma 3 this definition of the index of T is independent of the choice of E .

2. Homotopy invariance and additivity of the index.

PROPOSITION 1. *Let X be compact and $T_t : X \rightarrow \mathfrak{F}(\mathfrak{M})$, $0 \leq t \leq 1$, be a homotopy. Then*

$$(2.1) \quad \text{Index } T_0 = \text{Index } T_1.$$

PROOF. Without loss of generality we assume that X is connected. Define $T : X \times [0, 1] \rightarrow \mathfrak{F}(\mathfrak{M})$ by $T(x, t) = T_t x$. Since $X \times [0, 1]$ is compact, there is a choice E of T . Then E is also a choice of each T_t , $0 \leq t \leq 1$. Define

$$(2.2) \quad r_{TE}^\perp : X \times [0, 1] \rightarrow P\mathfrak{M}, \quad r_{T_1E}^\perp : X \rightarrow P\mathfrak{M}$$

by $r_{TE}^\perp(x, t) = R_{T(x,t)E}^\perp$, $r_{T_1E}^\perp(x) = R_{T_1(x)E}^\perp$. Since $X \times [0, 1]$ is connected, the range of r_{TE}^\perp is contained in the connected component of a finite projection $F \in P\mathfrak{M}$ which is the Grassmannian \mathcal{M}_F . Obviously

$$(2.3) \quad r_{TE}^\perp(x, t) = r_{T_1E}^\perp(x).$$

Hence $r_{T_1E}^\perp : X \rightarrow \mathcal{M}_F$, $0 \leq t \leq 1$, is a homotopy. It follows from Proposition 4 of Chapter II that

$$(2.4) \quad \rho_{T_0E}^\perp = (r_{T_0E}^\perp)^*\mathcal{B}_F \cong (r_{T_1E}^\perp)^*\mathcal{B}_F = \rho_{T_1E}^\perp.$$

Hence

$$(2.5) \quad \begin{aligned} \text{Index } T_0 &= \text{Dim } E^\perp - [\rho_{T_0E}^\perp]_{\mathfrak{R}} \\ &= \text{Dim } E^\perp - [\rho_{T_1E}^\perp]_{\mathfrak{R}} = \text{Index } T_1. \end{aligned}$$

LEMMA 3. Let \mathfrak{M}^+ be the space of positive Hermitian elements of \mathfrak{M} . Then $\mathfrak{F}(\mathfrak{M}) \cap \mathfrak{M}^+$ is contractible.

PROOF. A deformation of the identity map of $\mathfrak{F}(\mathfrak{M}) \cap \mathfrak{M}^+$ onto itself into the constant map of $\mathfrak{F}(\mathfrak{M}) \cap \mathfrak{M}^+$ on $1 \in \mathfrak{F}(\mathfrak{M}) \cap \mathfrak{M}^+$ is given by $f_t(T) = t \cdot 1 + (1 - t)T$ for $t \in [0, 1]$ and $T \in \mathfrak{F}(\mathfrak{M}) \cap \mathfrak{M}^+$.

PROPOSITION 2. Let X be compact. Let S, T be continuous maps of X into $\mathfrak{F}(\mathfrak{M})$. Then S^* and TS are continuous maps of X into $\mathfrak{F}(\mathfrak{M})$ satisfying

$$(2.6) \quad \text{Index } S^* = - \text{Index } S$$

and

$$(2.7) \quad \text{Index } TS = \text{Index } T + \text{Index } S.$$

PROOF. The first part follows from the fact that $\mathfrak{F}(\mathfrak{M})$ is a monoid closed under involution (Chapter I, §2). Let $S = V|S|$ be the polar decomposition. Then $|S|$ maps X continuously into $\mathfrak{F}\mathfrak{M} \cap \mathfrak{M}^+$. Proposition 1 and Lemma 3 imply

$$(2.8) \quad \text{Index } S = \text{Index } V = - \text{Index } V^* = - \text{Index } S^*.$$

Let E be a choice of T . Then TE is homotopic to T and Proposition 1 implies

$$(2.9) \quad \text{Index } TS = \text{Index } (TE)(ES).$$

Let $TE = U|TE|$ be the polar decomposition. Proposition 1 and

Lemma 3 imply

$$(2.10) \quad \text{Index } (TE)(ES) = \text{Index } U(ES).$$

Let F be a choice of ES , then F is also a choice of $U(ES)$ because E is a choice of U . Observe that

$$(2.11) \quad H \ominus (U_x E S_x F)(H) = (H \ominus U_x E(H)) + (U_x [E(H) \ominus E S_x F(H)]).$$

Hence

$$(2.12) \quad \rho_{UESF}^\perp \cong \rho_{UE}^\perp \oplus (\rho_{ESF}^\perp \ominus \Theta_{X,E}^\perp)$$

and consequently

$$(2.13) \quad \begin{aligned} \text{Index } (UES) &= \text{Dim } F^\perp - [\rho_{ESF}^\perp]_{\mathbb{R}} + \text{Dim } E^\perp - [\rho_{UE}^\perp]_{\mathbb{R}} \\ &= \text{Index } (ES) + \text{Index } U. \end{aligned}$$

Since ES, U are homotopic to S , resp. T , (via straight lines), (2.13) and Proposition 1 imply

$$(2.14) \quad \text{Index } (UES) = \text{Index } T + \text{Index } S.$$

The equations (2.9), (2.10) and (2.14) imply (2.7).

3. **Isomorphism between $[X, \mathfrak{F}\mathfrak{M}]$ and $K_{\mathbb{R}}(X)$.** Let $\mathcal{C}(X, \mathfrak{F}\mathfrak{M})$ be the topological monoid of continuous maps of X into $\mathfrak{F}\mathfrak{M}$ with the topology of uniform convergence. Let $[X, \mathfrak{F}\mathfrak{M}]$ be the monoid of homotopy classes of continuous maps of X into $\mathfrak{F}\mathfrak{M}$. If $S \in \mathcal{C}(X, \mathfrak{F}\mathfrak{M})$, then $[S]$ denotes the homotopy class of S . Lemma 3 implies that $[S^*]$ is a two-sided inverse of $[S]$. Hence $[X, \mathfrak{F}\mathfrak{M}]$ is a group. The results of §2 can be reformulated by saying that there is a group homomorphism

$$(3.1) \quad \text{index} : [X, \mathfrak{F}\mathfrak{M}] \rightarrow K_{\mathbb{R}}(X)$$

such that the diagram

$$(3.2) \quad \begin{array}{ccc} \mathcal{C}(X, \mathfrak{F}\mathfrak{M}) & & \\ \downarrow [\] & \searrow \text{Index} & \\ [X, \mathfrak{F}\mathfrak{M}] & \xrightarrow{\text{index}} & K_{\mathbb{R}}(X) \end{array}$$

is commutative.

THEOREM 1. *For any compact space X the map index is an isomorphism of $[X, \mathfrak{F}\mathfrak{M}]$ onto $K_{\mathbb{R}}(X)$.*

PROOF. *Injectivity.* Let $T \in \mathcal{C}(X, \mathfrak{F}\mathfrak{M})$ have index zero. Then we have

$$(3.3) \quad \text{Dim } E^\perp = [\rho_{TE}^\perp]_{\mathfrak{M}}.$$

In terms of \mathfrak{M} -vector bundles this means that there is a finite \mathfrak{M} -vector bundle η over X such that

$$(3.4) \quad \Theta_{X,E^\perp} \oplus \eta \cong \rho_{TE}^\perp \oplus \eta.$$

Proposition 7 of Chapter II and (3.4) imply that there is a finite projection $F' \in \mathfrak{M}$ such that

$$(3.5) \quad \Theta_{X,E^\perp} \oplus \Theta_{X,F'} \cong \rho_{TE}^\perp \oplus \Theta_{X,F'}.$$

Because of $E \sim 1$ we can choose $F' \leq E$. Then $F = E - F'$ is still a choice of T . Lemma 2 of §1 (relation (1.7)) implies that there is an \mathfrak{M} -isomorphism

$$(3.6) \quad V : \Theta_{X,F^\perp} \rightarrow \rho_{TF}^\perp.$$

Hence $x \rightarrow V_x + T_x F$ is a continuous map of X into the group $G\mathfrak{M}$ of regular elements of \mathfrak{M} . This map is homotopic within $\mathfrak{F}\mathfrak{M}$ to the given map $x \rightarrow T_x$ (by the straight line $tV + TF$, $0 \leq t \leq 1$, since all V_x are of finite rank). On the other hand it is also homotopic within $G\mathfrak{M}$ to the constant map $x \rightarrow 1 \in G\mathfrak{M}$ because $G\mathfrak{M}$ is contractible (Breuer [10]).

Surjectivity. Let ξ be an \mathfrak{M} -finite vector bundle over X . Since the index is additive and $K_{\mathfrak{M}}(X)$ is generated by the elements of the form $[\xi]$, it suffices to show that there is a map $T : X \rightarrow \mathfrak{F}\mathfrak{M}$ such that

$$(3.7) \quad \text{Index } T = [\xi]_{\mathfrak{M}}.$$

In view of Lemma 3 of Chapter II we can also assume that ξ is an \mathfrak{M} -subbundle of $\Theta_{X,1} = X \times H$. Proposition 1 of Chapter II implies that there is an isomorphism

$$(3.8) \quad V : \Theta_{X,1} \rightarrow \Theta_{X,1} \ominus \xi.$$

Then $x \rightarrow V_x$ is a continuous map of X into $\mathfrak{F}\mathfrak{M}$. Define $T = V^*$. Using Proposition 2 and the fact that the unit element 1 of \mathfrak{M} is a choice of V we get

$$(3.9) \quad \text{Index } T = -\text{Index } V = -(\text{Dim } 0 - [\xi]) = [\xi]_{\mathfrak{M}}.$$

COROLLARY 1. *The index map induces an isomorphism*

$$(3.10) \quad \pi_0 \mathfrak{F}(\mathfrak{M}) \cong I(\mathfrak{M}).$$

PROOF. In Theorem 1 choose for X a one point space $\{p\}$ and observe that $K_{\mathfrak{M}}(\{p\}) = I(\mathfrak{M})$.

COROLLARY 2. *The fundamental group of $\mathfrak{F}(\mathfrak{M})$ is trivial,*

$$(3.11) \quad \pi_1 \mathfrak{F}(\mathfrak{M}) = \{0\}.$$

PROOF. In Theorem 1 choose $X = S^1$ and apply Corollary 2 of Proposition 4 of Chapter II.

CHAPTER IV. THE PERIODICITY THEOREM FOR $K_{\mathfrak{M}}$.

In this chapter \mathfrak{M} is a countably decomposable semifinite and properly infinite von Neumann algebra of operators of a complex Hilbert space H .

1. **Some elementary properties of the $K_{\mathfrak{M}}$ -functor.** In this section we state some lemmas on $K_{\mathfrak{M}}$ whose proofs are elementary and do not require the periodicity theorem. The proofs will only be indicated. In Chapter II, §4, $K_{\mathfrak{M}}$ has been defined as a contravariant functor from the category of compact spaces and continuous maps into the category of abelian groups and homomorphisms. We define the reduced $K_{\mathfrak{M}}$ -functor by extending $K_{\mathfrak{M}}$ to the locally compact spaces as follows.

DEFINITION 1. *Let X be locally compact and $\dot{X} = X \cup \{\infty\}$ be its one point compactification. Let i_∞ be the inclusion map of the point ∞ into X . Define*

$$(1.1) \quad K_{\mathfrak{M}}(X) = \text{kernel } [K_{\mathfrak{M}}(i_\infty): K_{\mathfrak{M}}(\dot{X}) \rightarrow I(\mathfrak{M})].$$

It is easy to see that this definition extends $K_{\mathfrak{M}}$ to a contravariant functor from the category of locally compact spaces and proper maps into the category of abelian groups and homomorphisms. One always has

$$(1.2) \quad K_{\mathfrak{M}}(\dot{X}) \cong K_{\mathfrak{M}}(X) \oplus I(\mathfrak{M}).$$

Thus $K_{\mathfrak{M}}(X)$ is the part of $K_{\mathfrak{M}}(\dot{X})$ depending on the topology of \dot{X} . The other part $I(\mathfrak{M})$ depends on the von Neumann algebra only. If $X = \mathbf{R}^n$, then \dot{X} is the n -sphere S^n . (1.2) specializes to

$$(1.3) \quad K_{\mathfrak{M}}(S^n) \cong K_{\mathfrak{M}}(\mathbf{R}^n) \oplus I(\mathfrak{M}).$$

If $\mathfrak{M} = \mathcal{L}(H)$, then we use the more common notation

$$(1.4) \quad K = K_{\mathcal{L}(H)}, \quad \text{Vect} = \text{Vect}_{\mathcal{L}(H)},$$

Let X be a paracompact space. Let a be a complex finite dimensional vector bundle and ξ be a finite \mathfrak{M} -vector bundle over X . Without loss of generality we assume in the following construction that the fibre dimensions of a and ξ are constant and equal to $n \in \mathbf{Z}^+$, resp.

$\text{Dim } E \in I(\mathfrak{M})^+$. Choose an atlas $(U_j, \varphi_j, \mathbf{C}^n)_{j \in J}$ of a whose transition functions map into the unitary group $U(n)$ of \mathbf{C}^n (such a reduction of the structure group is possible because X is paracompact; any two such reductions are $U(n)$ -equivalent (see Steenrod [23, Part I, 12.9 and 12.13])). Choose an \mathfrak{M} -atlas $(U_j, \psi_j, E)_{j \in J}$ of ξ (whose transition functions map by definition into the unitary group \mathfrak{UM}_E of \mathfrak{M}_E). Let F be a projection of \mathfrak{M} such that

$$(1.5) \quad \text{Dim } F = n \cdot \text{Dim } E \quad \text{and} \quad F \cong E.$$

This is possible because \mathfrak{M} is properly infinite. Choose an isomorphism

$$(1.6) \quad \gamma : \mathbf{C}^n \otimes E(H) \rightarrow F(H)$$

that induces a von Neumann algebra isomorphism

$$(1.7) \quad \gamma^\# : \mathcal{L}(\mathbf{C}^n) \otimes \mathfrak{M}_E \rightarrow \mathfrak{M}_F.$$

Then $(U_j, \gamma^\# \circ (\varphi_j \otimes \psi), F)_{j \in J}$ is an \mathfrak{M} -atlas of the tensor product $a \otimes \xi$ of the vector bundles a, ξ . Its equivalence class depends on the vector bundle structure of a and the \mathfrak{M} -vector bundle structure of ξ only. Thus $a \otimes \xi$ can canonically be equipped with the structure of an \mathfrak{M} -vector bundle. This construction can also be made if the fibre dimensions of a, ξ are not constant. One always has

$$(1.8) \quad \text{Dim}(a \otimes \xi)_x = \text{Dim } a_x \cdot \text{Dim } \xi_x \quad \text{for all } x \in X.$$

Let a, b, \dots be complex finite dimensional vector bundles over X ; let ξ, η, \dots be finite \mathfrak{M} -vector bundles over X . Let \cong , resp. $\cong_{\mathfrak{M}}$, denote isomorphic, resp. \mathfrak{M} -isomorphic. Then we have

- (i) $a \cong b$ and $\xi \cong_{\mathfrak{M}} \eta$ imply $a \otimes \xi \cong_{\mathfrak{M}} b \otimes \eta$,
- (ii) $a \otimes (\xi + \eta) \cong_{\mathfrak{M}} (a \otimes \xi) \oplus (a \otimes \eta)$,
- (iii) $(a \oplus b) \otimes \xi \cong_{\mathfrak{M}} (a \otimes \xi) \oplus (b \otimes \xi)$,
- (iv) $(a \otimes b) \otimes \xi \cong_{\mathfrak{M}} a \otimes (b \otimes \xi)$.

In the following we assume that X is locally compact. Let

$$(1.9) \quad [\] : \text{Vect}(\dot{X}) \rightarrow K(\dot{X}), \quad [\]_{\mathfrak{M}} : \text{Vect}_{\mathfrak{M}}(\dot{X}) \rightarrow K_{\mathfrak{M}}(\dot{X})$$

be the canonical homomorphisms. Define

$$(1.10) \quad \bar{\delta} : \text{Vect}(\dot{X}) \times \text{Vect}_{\mathfrak{M}}(\dot{X}) \rightarrow K_{\mathfrak{M}}(\dot{X})$$

by

$$(1.11) \quad \bar{\delta}(a, \xi) = [a \otimes \xi]_{\mathfrak{M}}.$$

In the following a, b, \dots , resp. ξ, η, \dots , also denote isomorphism classes of vector bundles, resp. \mathfrak{M} -vector bundles.

LEMMA 1. *There is a unique map*

$$(1.12) \quad \delta : K(\dot{X}) \times K_{\mathfrak{R}}(\dot{X}) \rightarrow K_{\mathfrak{R}}(\dot{X})$$

that defines the structure of a $K(\dot{X})$ -module on $K_{\mathfrak{R}}(\dot{X})$ and satisfies

$$(1.13) \quad \delta([a], [\xi]_{\mathfrak{R}}) = [a \otimes \xi]_{\mathfrak{R}}.$$

Condition (1.13) can also conveniently be expressed by saying that the diagram

$$\begin{array}{ccc}
 K(\dot{X}) \times K_{\mathfrak{R}}(\dot{X}) & & \\
 \uparrow [\] \times [\]_{\mathfrak{R}} & \searrow \delta & \\
 \text{Vect}(\dot{X}) \times \text{Vect}_{\mathfrak{R}}(\dot{X}) & \xrightarrow{\bar{\delta}} & K_{\mathfrak{R}}(\dot{X})
 \end{array}$$

is commutative.

One proves Lemma 1 by using the above properties of \otimes , the commutativity of the ring $K(\dot{X})$ and the universal properties of the ring $K(\dot{X})$ (with respect to the semiring $\text{Vect}(\dot{X})$) and of the group $K_{\mathfrak{R}}(\dot{X})$ (with respect to the monoid $\text{Vect}_{\mathfrak{R}}(\dot{X})$). This is very similar to the proof that $K(\dot{X})$ is a ring given in Milnor [20]. In the present paper the details are omitted.

In the following we write

$$(1.15) \quad \delta([a], [\xi]_{\mathfrak{R}}) = [a] \cdot [\xi]_{\mathfrak{R}}$$

as is more usual in the theory of modules.

LEMMA 2. $K_{\mathfrak{R}}(X)$ is a submodule of $K_{\mathfrak{R}}(\dot{X})$.

PROOF. Note that $K(i_{\infty})$, resp. $K_{\mathfrak{R}}(i_{\infty})$, associates to $[a] \in K(\dot{X})$, resp. $[\xi]_{\mathfrak{R}} \in K_{\mathfrak{R}}(\dot{X})$, the dimension of the fibre of a , resp. ξ , at ∞ . Similarly as in K -theory one shows

$$(1.16) \quad K_{\mathfrak{R}}(\dot{X}) = \{[\xi]_{\mathfrak{R}} - \text{Dim } \xi_{\infty} \mid \xi \in \text{Vect}_{\mathfrak{R}}(\dot{X})\}.$$

(This also follows from the surjectivity of the index map (Theorem 1 of Chapter II).) Using the distributive laws and (1.8) one easily verifies

$$(1.17) \quad K_{\mathfrak{R}}(i_{\infty})([a] - [b])([\xi]_{\mathfrak{R}} - \text{Dim } \xi_{\infty}) = 0.$$

Hence $K_{\mathfrak{R}}(X)$ is a $K(\dot{X})$ -module.

Lemmas 1 and 2 generalize the fact that $K(\dot{X})$ is a commutative ring and $K(X)$ an ideal of $K(\dot{X})$. Some other properties of the K -functor generalize verbally to the $K_{\mathfrak{R}}$ -functor. In particular one can

generalize the exact cohomology sequence of Atiyah [1, Proposition 2.4.4]. A formal consequence of it is the following

LEMMA 3. *Let X, Y be locally compact. Then there is a natural exact sequence*

$$(1.18) \quad 0 \rightarrow K_{\mathfrak{R}}(X \times Y) \rightarrow K_{\mathfrak{R}}(\dot{X} \times \dot{Y}) \rightarrow K_{\mathfrak{R}}(\dot{X}) \oplus K_{\mathfrak{R}}(\dot{Y}).$$

Using this lemma one can easily prove the following generalization of (1.3).

LEMMA 4. *Let X be locally compact. Then*

$$(1.19) \quad K_{\mathfrak{R}}(S^n \times X) \cong K_{\mathfrak{R}}(\mathbb{R}^n \times X) \oplus K_{\mathfrak{R}}(X).$$

Finally we want to generalize the external multiplication. Let X, Y be locally compact. Let

$$(1.20) \quad P_{\dot{X}} : \dot{X} \times \dot{Y} \rightarrow \dot{X}, \quad P_{\dot{Y}} : \dot{X} \times \dot{Y} \rightarrow \dot{Y}$$

be the natural projections. Then a \mathbb{Z} -linear map

$$(1.21) \quad \lambda : K(\dot{X}) \otimes_{\mathbb{Z}} K_{\mathfrak{R}}(\dot{Y}) \rightarrow K_{\mathfrak{R}}(\dot{Y} \times \dot{X})$$

is defined by the relation

$$(1.22) \quad \lambda([a] \otimes [\xi]_{\mathfrak{R}}) = (K(P_{\dot{X}})[a]) \cdot (K_{\mathfrak{R}}(P_{\dot{Y}})[\xi]_{\mathfrak{R}})$$

for all $a \in \text{Vect}(X)$ and $\xi \in \text{Vect}_{\mathfrak{R}}(X)$. It follows from Lemma 3 that λ induces a map

$$(1.23) \quad \lambda : K(X) \otimes_{\mathbb{Z}} K_{\mathfrak{R}}(Y) \rightarrow K_{\mathfrak{R}}(X \times Y).$$

The image of $[a] \otimes [\xi]_{\mathfrak{R}} \in K(\dot{X}) \otimes_{\mathbb{Z}} K_{\mathfrak{R}}(\dot{Y})$ under λ is denoted by $[a] \cdot [\xi]_{\mathfrak{R}}$. In a similar way one can define a \mathbb{Z} -linear map

$$(1.24) \quad \lambda' : K_{\mathfrak{R}}(\dot{Y}) \otimes_{\mathbb{Z}} K(\dot{X}) \rightarrow K_{\mathfrak{R}}(\dot{Y} \times \dot{X})$$

that induces a map

$$(1.25) \quad \lambda' : K_{\mathfrak{R}}(Y) \otimes_{\mathbb{Z}} K(X) \rightarrow K_{\mathfrak{R}}(Y \times X).$$

The image of $[\xi]_{\mathfrak{R}} \otimes [a] \in K_{\mathfrak{R}}(\dot{Y}) \otimes_{\mathbb{Z}} K(\dot{X})$ under λ' is denoted by $[\xi]_{\mathfrak{R}} \cdot [a]$. Observe that we consider $[a] \cdot [\xi]_{\mathfrak{R}}$ and $[\xi]_{\mathfrak{R}} \cdot [a]$ as elements of different $K(\dot{X})$ -modules. If we define

$$(1.26) \quad i : \dot{X} \times \dot{Y} \rightarrow \dot{Y} \times \dot{X}$$

by $i(x, y) = (y, x)$, then one obviously has

$$(1.27) \quad K_{\mathfrak{R}}(i)([\xi]_{\mathfrak{R}} \cdot [a]) = [a] \cdot [\xi]_{\mathfrak{R}}.$$

2. On Fredholm sections of endomorphism bundles. Let F be a

projection of \mathfrak{M} . The inclusion map of the reduced algebra $\mathfrak{M}_F = F\mathfrak{M}F$ into \mathfrak{M} does not induce a homomorphism of the group of unitary (or regular) elements of \mathfrak{M}_F into the group of unitary (or regular) elements of \mathfrak{M} , unless $F = 1$, nor does the inclusion induce a map of $\mathfrak{F}(\mathfrak{M}_F)$ into $\mathfrak{F}(\mathfrak{M})$, unless F^\perp is finite. When dealing with these multiplicative structures the appropriate map ι_F of \mathfrak{M}_F into \mathfrak{M} is given by

$$(2.1) \quad \iota_F(T) = T + F^\perp.$$

It is obvious that ι_F induces an injective homomorphism of $\mathfrak{K}(\mathfrak{M}_F)$, $G(\mathfrak{M}_F)$, resp. $\mathfrak{F}(\mathfrak{M}_F)$, into $\mathfrak{K}(\mathfrak{M})$, $G(\mathfrak{M})$, resp. $\mathfrak{F}(\mathfrak{M})$.

Let X be a compact space. Let ξ be a finite \mathfrak{M} -vector bundle over X with

$$(2.2) \quad \text{Dim } \xi_x = \text{Dim } E$$

for all $x \in X$. Let L be a separable infinite dimensional complex Hilbert space. Choose a trivialization

$$(2.3) \quad V : \xi \otimes L \rightarrow X \times c(E)(H).$$

A section

$$(2.4) \quad T : X \rightarrow \text{end}(\xi \otimes L)$$

is called a *Fredholm section* if

$$(2.5) \quad V_x^\# T_x = V_x T_x V_x^* \in \mathfrak{F}(\mathfrak{M}_{c(E)})$$

for all $x \in X$. This definition is independent of the choice of V because $\mathfrak{F}(\mathfrak{M}_{c(E)})$ is invariant under inner automorphisms of $\mathfrak{M}_{c(E)}$.

We want to describe certain subalgebras of the C^* -algebra $\Gamma \text{end}(\xi \otimes L)$ and their Fredholm sections.

First observe that $\text{end}(\xi_x \otimes L)$ and $\text{end } \hat{\xi}_x \hat{\otimes} \mathcal{L}(L)$ are both isomorphic to $\mathfrak{M}_{c(E)}$. It is easy to see that the canonical homomorphism

$$(2.6) \quad \text{end } \hat{\xi} \hat{\otimes} \mathcal{L}(L) \rightarrow \text{end}(\xi \otimes L)$$

is an isomorphism. Let \mathfrak{b} be a closed $*$ -subalgebra of $\mathcal{L}(L)$. Define

$$(2.7) \quad \text{end } \xi \otimes \mathfrak{b} = \bigcup_{x \in X} (\text{end } \xi_x \otimes \mathfrak{b}).$$

The tensor product of a spatial atlas of $\text{end } \xi$ (see §2 of Chapter II) with the trivial atlas of the trivial C^* -algebra bundle $X \times \mathfrak{b}$ is an atlas of $\text{end } \xi \otimes \mathfrak{b}$ which gives $\text{end } \xi \otimes \mathfrak{b}$ the structure of a C^* -algebra subbundle of the C^* -algebra bundle $\text{end } \hat{\xi} \hat{\otimes} \mathcal{L}(L)$.

It follows that $\Gamma(\text{end } \xi \otimes \mathfrak{b})$ is a C^* -subalgebra of the C^* -algebra $\Gamma(\text{end } \xi \hat{\otimes} \mathcal{L}(L))$.

Let \mathfrak{b} be a postliminal C^* -subalgebra of $\mathcal{L}(L)$ containing the ideal $\mathfrak{C}(L)$ of compact operators of L . Let $\bar{\mathfrak{b}} = \mathfrak{b} / \mathfrak{C}(L)$ be the quotient C^* -algebra and

$$(2.7) \quad p : \mathfrak{b} \rightarrow \bar{\mathfrak{b}}$$

be the canonical projection. Let \mathfrak{m}_x be the ideal of compact elements of $\text{end } \xi_x \hat{\otimes} \mathcal{L}(L)$. Then Proposition 5 of Chapter I says that

$$(2.8) \quad \mathfrak{m}_x \cap \text{end } \xi_x \otimes \mathfrak{b} = \text{end } \xi_x \otimes \mathfrak{C}(L).$$

Let

$$(2.9) \quad \pi_{\xi,x} : \text{end } \xi_x \otimes \mathfrak{b} \rightarrow \text{end } \xi_x \otimes \bar{\mathfrak{b}}$$

be the canonical map (tensor product of the identity map of $\text{end } \xi_x$ with p_x). The collection of all maps $\pi_{\xi,x}$, $x \in X$, gives rise to a C^* -algebra bundle morphism

$$(2.10) \quad \pi_\xi : \text{end } \xi \otimes \mathfrak{b} \rightarrow \text{end } \xi \otimes \bar{\mathfrak{b}}.$$

Applying the section functor we obtain a C^* -algebra homomorphism

$$(2.11) \quad \Gamma(\pi_\xi) : \Gamma(\text{end } \xi \otimes \mathfrak{b}) \rightarrow \Gamma(\text{end } \xi \otimes \bar{\mathfrak{b}}).$$

PROPOSITION 1. *The homomorphism $\Gamma(\pi_\xi)$ is surjective. The element T of $\Gamma(\text{end } \xi \otimes \mathfrak{b})$ is a Fredholm section if and only if $\Gamma(\pi_\xi)(T)$ is a regular element of $\Gamma(\text{end } \xi \otimes \bar{\mathfrak{b}})$.*

PROOF. The first statement follows immediately from Proposition 6 of Chapter I. The second statement follows easily from (2.8) and Proposition 3 of Chapter I.

In the following we assume in addition to the above that $\bar{\mathfrak{b}}$ is commutative and that \mathfrak{b} contains the identity operator of L . Let $M_{\bar{\mathfrak{b}}}$ be the maximal ideal space of $\bar{\mathfrak{b}}$ equipped with the Gelfand topology. Then there is a canonical C^* -algebra isomorphism

$$(2.12) \quad \mu_{\xi,x} : \text{end } \xi \otimes \bar{\mathfrak{b}} \rightarrow \mathcal{C}(M_{\bar{\mathfrak{b}}}, \text{end } \xi_x)$$

for all $x \in X$ (Chapter I, Corollary 3 of Proposition 4). The collection of all these maps gives rise to a C^* -algebra bundle isomorphism

$$(2.13) \quad \mu_\xi : \text{end } \xi \otimes \bar{\mathfrak{b}} \rightarrow \mathcal{C} \cdot (M_{\bar{\mathfrak{b}}}, \text{end } \xi)$$

(see Chapter I, §4). Define the σ -symbol of $\text{end } \xi \otimes \mathfrak{b}$ by

$$(2.14) \quad \sigma_\xi = \mu_\xi \circ \pi_\xi.$$

Obviously

$$(2.15) \quad \Gamma(\sigma_\xi) = \Gamma(\mu_\xi) \circ \Gamma(\pi_\xi).$$

Proposition 1 can be reformulated in terms of the σ -symbol as follows.

COROLLARY 1. *$\Gamma(\sigma_\xi)$ is a C^* -algebra homomorphism of $\Gamma(\text{end } \xi \otimes \mathfrak{b})$ onto $\Gamma\mathcal{L}(M_{\bar{v}}, \text{end } \xi)$. The section T of $\text{end } \xi \otimes \mathfrak{b}$ is a Fredholm section iff $(\Gamma(\sigma_\xi)T(x, m))$ is a regular element of $\text{end } \xi_x$ for all $(x, m) \in X \times M_{\bar{v}}$.*

Examples of algebras \mathfrak{b} satisfying the above assumptions arise from the theory of singular integral operators. Because of this one can view such algebras \mathfrak{b} as abstract algebras of singular integral operators. For the proof of the periodicity theorem we need a very special and well-known algebra of singular integral operators which is defined in the following.

Let $L^2(S^1)$ be the Hilbert space of complex Lebesgue square integrable functions of the 1-sphere $S^1 = \{z \in \mathbb{C} \mid |z| = 1\}$. For $f \in \mathcal{L}(S^1, \mathbb{C})$ define $M_f \in \mathcal{L}(L^2(S^1))$ as usual by

$$(2.16) \quad M_f(g) = f \cdot g \quad \text{for all } g \in L^2(S^1).$$

Let $f_n(z) = z^n/2\pi$, $n \in \mathbb{Z}$. Then $(f_n)_{n \in \mathbb{Z}}$ is a complete o.n.s. of $L^2(S^1)$. Let L be the closure of the span of $(f_n)_{n \in \mathbb{Z}^-}$. Let Q be the projection of $L^2(S^1)$ onto L . Define

$$(2.17) \quad W : \mathcal{L}(S^1, \mathbb{C}) \rightarrow \mathcal{L}(L)$$

by $W_f(g) = QM_f g$ for all $g \in L$. Then W is a linear isometry of $\mathcal{L}(S^1, \mathbb{C})$ into $\mathcal{L}(L)$, but not an algebra homomorphism. The commutators

$$(2.18) \quad [W_f, W_g] = W_f W_g - W_g W_f, \quad f, g \in \mathcal{L}(S^1, \mathbb{C}),$$

are always compact. One has

$$(2.19) \quad \mathfrak{C}(L) \cap \text{Range } W = \{0\}.$$

Let \mathfrak{a} be the $*$ -subalgebra of $\mathcal{L}(L)$ generated by $\mathfrak{C}(L)$ and $\text{Range } W$. Then

$$(2.20) \quad \mathfrak{a} = \mathfrak{C}(L) + \text{Range } W.$$

Let $\bar{\mathfrak{a}} = \mathfrak{a} / \mathfrak{C}(L)$. The canonical map W of $\mathcal{L}(S^1, \mathbb{C})$ into \mathfrak{a} composed with the projection p of \mathfrak{a} onto $\bar{\mathfrak{a}}$ is a C^* -algebra isomorphism

$$(2.21) \quad p \circ W : \mathcal{L}(S^1, \mathbb{C}) \cong \bar{\mathfrak{a}}.$$

It follows that S^1 is the maximal ideal space of $\bar{\mathfrak{a}}$ and that $\mu = (p \circ W)^{-1}$ is the Gelfand isomorphism. Observe that the map

$$(2.22) \quad e = W \circ \mu \circ p$$

is idempotent. Its kernel is $\mathfrak{C}(L)$ and its range is $\text{Range}(W)$. Hence the algebraic direct sum (2.20) is also topologically direct. Hence \mathfrak{a} is closed!

PROPOSITION 2. *W_f is Fredholm iff f is regular. If W_f is Fredholm, then the index of W_f is the negative winding number of f ,*

$$(2.23) \quad \text{Index } W_f = -\omega(f).$$

PROOF. The first part is trivial. The map ω which associates to each regular $f \in \mathcal{C}(S^1, \mathbb{C})$ its winding number $\omega(f)$ induces an isomorphism of $\pi_0 G\mathcal{C}(S^1, \mathbb{C})$ onto \mathbb{Z} . Hence there is a $k \in \mathbb{Z}$ such that

$$\text{Index } W_f = k\omega(f)$$

for all $f \in G\mathcal{C}(S^1, \mathbb{C})$. Choosing for f the identity map of S^1 , i.e. $f(z) = z$, one sees that $k = -1$.

3. The periodicity theorem. Let X be a locally compact space and $\dot{X} = X \cup \{\infty\}$ be its one point compactification. Using the index isomorphism

$$(3.1) \quad \text{index} : [\dot{X}, \mathfrak{F}\mathfrak{M}] \rightarrow K_{\mathbb{R}}(\dot{X})$$

of Chapter III and the results of §1 – §2 of this chapter we will construct a homomorphism

$$(3.2) \quad \alpha : K_{\mathbb{R}}(\mathbb{R}^2 \times X) \rightarrow K_{\mathbb{R}}(X).$$

This will be the analogue of the corresponding construction in K -theory given by Atiyah [3].

The elements of $\text{Vect}_{\mathbb{R}}(S^2 \times \dot{X})$ are by Proposition 10 of Chapter II of the form $[\xi, \varphi]$, where ξ is a finite \mathfrak{M} -vector bundle over \dot{X} and φ is a clutching function of ξ . We can consider φ as a unitary element of the C^* -algebra $\Gamma\mathcal{C}(S^1, \text{end } \xi)$ (see Proposition 11 of Chapter II). Let \mathfrak{a} be the algebra of singular integral operators defined in §2. Let

$$(3.3) \quad \sigma : \text{end } \xi \otimes \mathfrak{a} \rightarrow \mathcal{C}(S^1, \text{end } \xi)$$

be the σ -symbol of the C^* -algebra bundle $\text{end } \xi \otimes \mathfrak{a}$. Then

$$(3.4) \quad \Gamma(\sigma) : \Gamma(\text{end } \xi \otimes \mathfrak{a}) \rightarrow \Gamma\mathcal{C}(S^1, \text{end } \xi)$$

is a surjective C^* -algebra homomorphism (Corollary 1 of Proposition 1). Let

$$(3.5) \quad \gamma_\xi : \Gamma \mathcal{L}(S^1, \text{end } \xi) \rightarrow \Gamma(\text{end } \xi \otimes \mathfrak{a})$$

be a global continuous section of $\Gamma(\sigma)$. Such sections exist according to Bartle-Graves [6], and any two such sections are homotopic (via a straight line because the kernel of $\Gamma(\sigma)$ is a linear space).

In the following we assume first that \dot{X} is connected. Then the fibre dimension of ξ is constant. Choose a projection E of \mathfrak{M} such that $\text{Dim } E$ is the fibre dimension of ξ . Let $F = c(E)$ be the central cover of E . Let L be a separable infinite dimensional complex Hilbert space. Let

$$(3.6) \quad V : \xi \otimes L \rightarrow \dot{X} \times F(H)$$

be an \mathfrak{M} -isomorphism (Chapter II, Proposition 1). Observe that any two such trivializations of $\xi \otimes L$ are homotopic.

The trivialization V of $\xi \otimes L$ induces a trivialization

$$(3.7) \quad V^\# : \text{end } \hat{\xi} \otimes \mathcal{L}(L) \rightarrow \dot{X} \times \mathfrak{M}_F$$

(see Chapter II, Proposition 2). Applying the section functor Γ one arrives at a C^* -algebra isomorphism

$$(3.8) \quad \Gamma(V^\#) : \Gamma(\text{end } \hat{\xi} \otimes \mathcal{L}(L)) \rightarrow \mathcal{L}(\dot{X}, \mathfrak{M}_F).$$

Let

$$(3.9) \quad \iota_F : \mathfrak{M}_F \rightarrow \mathfrak{M}$$

be the map defined by (2.1).

Since φ is a unitary element of $\Gamma \mathcal{L}(S^1, \text{end } \xi)$ it follows from the corollary of Proposition 1 that $(\iota_F \circ \Gamma(V^\#) \circ \gamma_\xi)\varphi$ is an element of $\mathcal{L}(\dot{X}, \mathfrak{F}\mathfrak{M})$. The homotopy class of the map

$$(3.10) \quad (\iota_F \circ \Gamma(V^\#) \circ \gamma_\xi)\varphi : \dot{X} \rightarrow \mathfrak{F}\mathfrak{M}$$

depends on the homotopy class of φ only. Hence it depends on the element $[\xi, \varphi]$ of $\text{Vect}_{\mathfrak{M}}(S^2 \times \dot{X})$ only. We denote the homotopy class of (3.10) by $\Delta_{[\xi, \varphi]}$.

If \dot{X} is not connected, then the restriction of ξ to each connected component of \dot{X} and φ give rise to a continuous map of that component into $\mathfrak{F}\mathfrak{M}$ whose homotopy class again depends on $[\xi, \varphi]$ only. Thus $[\xi, \varphi]$ also gives rise to a homotopy class of continuous maps of \dot{X} into $\mathfrak{F}\mathfrak{M}$ which is denoted by $\Delta_{[\xi, \varphi]}$.

Define

$$(3.11) \quad \Delta : \text{Vect}_{\mathfrak{R}}(S^2 \times \dot{X}) \rightarrow [\dot{X}, \mathfrak{F}\mathfrak{M}]$$

by $[\xi, \varphi] \rightarrow \Delta_{[\xi, \varphi]}$.

PROPOSITION 3. Δ is a monoid homomorphism.

PROOF. Choose \mathfrak{M} -embeddings

$$(3.12) \quad \xi \subseteq \dot{X} \times E(H), \quad \eta \subseteq \dot{X} \times F(H)$$

with

$$(3.13) \quad EF = 0, \quad E \sim F, \quad E + F = 1.$$

ξ , resp. η , are also \mathfrak{M}_E -, resp. \mathfrak{M}_F -, vector bundles over \dot{X} . Applying the above definition of Δ to $\xi, \varphi, \mathfrak{M}_E$, resp. $\eta, \psi, \mathfrak{M}_F$, we get homotopy classes

$$(3.14) \quad \Delta_{[\xi, \varphi]}^E \in [\dot{X}, \mathfrak{F}\mathfrak{M}_E], \quad \Delta_{[\eta, \psi]}^F \in [\dot{X}, \mathfrak{F}\mathfrak{M}_F].$$

Let

$$(3.15) \quad h : \dot{X} \rightarrow \mathfrak{F}\mathfrak{M}_E, \quad k : \dot{X} \rightarrow \mathfrak{F}\mathfrak{M}_F$$

be maps whose homotopy classes are $\Delta_{[\xi, \varphi]}^E$, resp. $\Delta_{[\eta, \psi]}^F$. Then $h + F$, resp. $E + k$, represents $\Delta_{[\xi, \varphi]}$, resp. $\Delta_{[\eta, \psi]}$. Hence $(h + F)(E + k)$ represents $\Delta_{[\xi, \varphi]} + \Delta_{[\eta, \psi]}$ (Chapter III, Proposition 2). On the other hand $h + k$ represents $\Delta_{[\xi \oplus \eta, \varphi \oplus \psi]}$. But $h + k = (h + F)(E + k)$. Hence

$$(3.16) \quad \Delta_{[\xi \oplus \eta, \varphi \oplus \psi]} = \Delta_{[\xi, \varphi]} + \Delta_{[\eta, \psi]}.$$

One has a canonical \mathfrak{M} -isomorphism

$$(3.17) \quad [\xi \oplus \eta, \varphi \oplus \psi] \cong [\xi, \varphi] \oplus [\eta, \psi].$$

The last two relations imply Proposition 3.

Composing Δ with the index map we obtain a monoid homomorphism

$$(3.18) \quad \text{index } \Delta : \text{Vect}_{\mathfrak{R}}(S^2 \times \dot{X}) \rightarrow K_{\mathfrak{R}}(\dot{X}).$$

Since $K_{\mathfrak{R}}(S^2 \times \dot{X})$ is universal with respect to $\text{Vect}_{\mathfrak{R}}(S^2 \times X)$ there is a unique group homomorphism

$$(3.19) \quad \dot{\alpha} : K_{\mathfrak{R}}(S^2 \times \dot{X}) \rightarrow K_{\mathfrak{R}}(\dot{X})$$

satisfying

$$(3.20) \quad \dot{\alpha}([\xi, \varphi]_{\mathfrak{R}}) = \text{index}(\Delta_{[\xi, \varphi]})$$

for all $[\xi, \varphi]_{\mathfrak{R}} \in K_{\mathfrak{R}}(S^2 \times \dot{X})$.

LEMMA 5. *The restriction of α to the subgroup $K_{\mathbb{R}}(\mathbb{R}^2 \times X)$ of $K_{\mathbb{R}}(S^2 \times X)$ is a group homomorphism*

$$(3.21) \quad \alpha_X : K_{\mathbb{R}}(\mathbb{R}^2 \times X) \rightarrow K_{\mathbb{R}}(X).$$

If Y is another locally compact space, then we have commutative diagrams

$$(D_1) \quad \begin{array}{ccc} K(\mathbb{R}^2 \times Y) \otimes K_{\mathbb{R}}(X) & \longrightarrow & K_{\mathbb{R}}(\mathbb{R}^2 \times Y \times X) \\ \downarrow \alpha_X \otimes 1 & & \downarrow \alpha_{X \times Y} \\ K(Y) \otimes K_{\mathbb{R}}(X) & \longrightarrow & K_{\mathbb{R}}(Y \times X) \end{array}$$

and

$$(D_2) \quad \begin{array}{ccc} K_{\mathbb{R}}(\mathbb{R}^2 \times X) \otimes K(Y) & \longrightarrow & K_{\mathbb{R}}(\mathbb{R}^2 \times X \times Y) \\ \downarrow \alpha_X \otimes 1 & & \downarrow \alpha_{X \times Y} \\ K_{\mathbb{R}}(X) \otimes K(Y) & \longrightarrow & K_{\mathbb{R}}(X \times Y) \end{array}$$

where the horizontal maps are defined by external multiplication.

This lemma is a simple consequence of the lemmas of §1.

Let $\varphi_n(z) = z^n$ for all complex numbers z . Let ξ be the trivial complex line bundle over the one point space $\{x\}$. Then $[\xi, \varphi_n]$ is a complex line bundle over S^2 denoted by ξ_n . Define the Bott class b in $K(S^2)$ by

$$(3.22) \quad b = [\xi_{-1}] - [\xi_0].$$

It is obvious that b is contained in the subgroup $K(\mathbb{R}^2)$ of $K(S^2)$. The definition of α_X in Lemma 5 gives rise to a map

$$(3.23) \quad \alpha_{\{x\}} : K(\mathbb{R}^2) \rightarrow Z.$$

LEMMA 6. $\alpha_{\{x\}}$ is an isomorphism satisfying

$$(3.24) \quad \alpha_{\{x\}}([\xi_n]) = -n, \quad n \in Z,$$

and consequently

$$(3.25) \quad \alpha_{\{x\}}(b) = 1.$$

PROOF. This is an obvious consequence of the definition of $\alpha_{\{x\}}$ and Proposition 2.

Returning to the general case we define

$$(3.26) \quad \beta_X : K_{\mathbb{R}}(X) \rightarrow K_{\mathbb{R}}(\mathbb{R}^2 \times X)$$

by taking the external product of any $[\xi]_{\mathbb{R}} - [\eta]_{\mathbb{R}} \in K_{\mathbb{R}}(X)$ with b ,

$$(3.27) \quad \beta_X([\xi]_{\mathbb{R}^2} - [\eta]_{\mathbb{R}^2}) = b \cdot ([\xi]_{\mathbb{R}^2} - [\eta]_{\mathbb{R}^2}).$$

PERIODICITY THEOREM. For any locally compact space X the maps α_X, β_X are inverse to each other. Thus we have an isomorphism

$$(3.28) \quad K_{\mathbb{R}^2}(X) \cong K_{\mathbb{R}^2}(\mathbb{R}^2 \times X).$$

PROOF. Substituting in (D_1) of Lemma 5 the space Y by the one point space $\{x\}$ one obtains a commutative diagram

$$(D_1') \quad \begin{array}{ccc} K(\mathbb{R}^2) \otimes K_{\mathbb{R}^2}(X) & \longrightarrow & K_{\mathbb{R}^2}(\mathbb{R}^2 \times X) \\ \downarrow \alpha_{\{x\}} \otimes 1 & & \downarrow \alpha_X \\ Z \otimes K_{\mathbb{R}^2}(X) & \longrightarrow & K_{\mathbb{R}^2}(X) \end{array}$$

Together with Lemma 6 this implies

$$(3.29) \quad \alpha_X \beta_X([\xi]_{\mathbb{R}^2}) = \alpha_{\{x\}}(b) \cdot [\xi]_{\mathbb{R}^2} = [\xi]_{\mathbb{R}^2}$$

for all $\xi \in \text{Vect}_{\mathbb{R}^2}(X)$. Hence α_X is a left inverse of β_X . Substituting Y by \mathbb{R}^2 in (D_2) of Lemma 5 one obtains a commutative diagram

$$(D_2') \quad \begin{array}{ccc} K_{\mathbb{R}^2}(\mathbb{R}^2 \times X) \otimes K(\mathbb{R}^2) & \longrightarrow & K_{\mathbb{R}^2}(\mathbb{R}^2 \times X \times \mathbb{R}^2) \\ \downarrow & & \downarrow \\ K_{\mathbb{R}^2}(X) \otimes K(\mathbb{R}^2) & \longrightarrow & K_{\mathbb{R}^2}(X \times \mathbb{R}^2) \end{array}$$

Hence

$$(3.30) \quad \alpha_{X \times \mathbb{R}^2}(ub) = (\alpha_X u)b \quad \text{for all } u \in K_{\mathbb{R}^2}(X \times \mathbb{R}^2).$$

Define

$$(3.31) \quad j: \mathbb{R}^2 \times X \times \mathbb{R}^2 \rightarrow \mathbb{R}^2 \times X \times \mathbb{R}^2$$

by

$$(3.32) \quad j(r, x, s) = (s, x, r).$$

It is easy to see that j is homotopic within the homeomorphisms of $\mathbb{R}^2 \times X \times \mathbb{R}^2$ to the identity map of $\mathbb{R}^2 \times X \times \mathbb{R}^2$. Hence

$$(3.33) \quad K_{\mathbb{R}^2}(j): K_{\mathbb{R}^2}(\mathbb{R}^2 \times X \times \mathbb{R}^2) \rightarrow K_{\mathbb{R}^2}(\mathbb{R}^2 \times X \times \mathbb{R}^2)$$

is the identity map. Define

$$(3.34) \quad i: X \times \mathbb{R}^2 \rightarrow \mathbb{R}^2 \times X$$

by

$$(3.35) \quad i(x, r) = (r, x).$$

The maps i, j satisfy the following obvious relations

$$(3.36) \quad K_{\mathbb{R}}(j)(u \cdot b) = b \cdot K_{\mathbb{R}}(i)(u) \quad \text{for all } u \in K_{\mathbb{R}}(\mathbb{R}^2 \times X)$$

and

$$(3.37) \quad K_{\mathbb{R}}(i)(v \cdot b) = b \cdot v \quad \text{for all } v \in K_{\mathbb{R}}(X).$$

Using (3.36) and the already proved fact that $\alpha_{X \times \mathbb{R}^2}$ is a left inverse of $\beta_{X \times \mathbb{R}^2}$ one obtains for every $u \in K_{\mathbb{R}}(\mathbb{R}^2 \times X)$

$$(3.38) \quad \begin{aligned} \alpha_{X \times \mathbb{R}^2}(u \cdot b) &= \alpha_{X \times \mathbb{R}^2} K_{\mathbb{R}}(j)(u \cdot b) \\ &= \alpha_{X \times \mathbb{R}^2}(b \cdot K_{\mathbb{R}}(i)u) = K_{\mathbb{R}}(i)u. \end{aligned}$$

Together with (3.30) this implies

$$(3.39) \quad K_{\mathbb{R}}(i)u = (\alpha_X u) \cdot b.$$

The relations (3.37) and (3.39) imply

$$(3.40) \quad \begin{aligned} \beta_X \alpha_X(u) &= b \alpha_X(u) = K_{\mathbb{R}}(i)(\alpha_X(u) \cdot b) \\ &= K_{\mathbb{R}}(i)K_{\mathbb{R}}(i)u = u. \end{aligned}$$

Hence α_X is a right inverse of β_X . This concludes the proof of the Periodicity Theorem.

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