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Thermal transport in laminar flow over superhydrophobic surfaces, utilizing an effective medium approach

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An analytical methodology to characterizing the effects of heat transport in internal laminar flows over ridged patterns, mimicking superhydrophobic surfaces, is indicated. The finite slip velocity on such surfaces and the thermal conductivity characteristics of the constituent material are both shown to modify the convective heat transport in the fluid. We use an effective medium approach to model the lowered thermal conductivity caused by the presence of air in the ridge interstices. The proposed analytical solutions for fully developed flow were verified through comparison with numerical simulations for a periodically ridged geometry in laminar flow. While the convective heat transport and the Nusselt Number (Nu) increase due to the modified fluid velocity profile on superhydrophobic surfaces, the decrease in the thermal conductivity of the substrate may play a larger role in determining the overall heat transfer in the channel. © 2015 AIP Publishing LLC. [<http://dx.doi.org/10.1063/1.4919699>]

I. INTRODUCTION

Quantitative understanding and control of heat transfer in micro- and nano-fluidics are essential for a variety of physical, chemical, and biological applications,¹ such as temperature gradient focusing for electrophoresis,² mixing,^{3,4} and polymerase chain reaction for DNA amplification.^{5,6} A key to efficient thermal control of many such phenomena is the ability to adjust effective convective and conductive heat transfer coefficients. In this context, micro- and nano-patterned surfaces provide a promising way to modulate heat transfer in flowing fluid and the containing solid channel. Air pockets trapped in the valleys of a superhydrophobic (SH) patterned surface reduce interfacial frictional drag and induce a finite slip velocity at the interface^{7–11}—assuming that the viscosity of the air (μ_{air}) is sufficiently low compared to that of the fluid. While significant attention has been given to static phenomena, the understanding of dynamic fluid flow, from the laminar to the turbulent regimes, needs more exploration.^{11–13} A related aspect that also deserves study is the effect of the SH surface on the thermal transport across the surface/fluid interface. It may, for example, be assumed that the trapped air would affect heat transfer through both (a) modifying the flow dynamics, as well as (b) reducing the heat conduction.

SH characteristics generally require a large (typically greater than 150°) contact angle between the fluid and the surface and a low contact angle hysteresis.^{12,14,15} Consequently, when a wetting liquid does not penetrate the interstices of the roughness, e.g., under relatively low-pressure conditions so as not to exceed the Laplace pressure, the resultant state has been termed the Cassie state. For fluid in the regions of direct contact with the solid surface, the no-slip boundary condition is pertinent,¹⁶ while in regions with a fluid/air boundary, a shear-free condition applies. When averaged over the entire composite area, the net enhanced velocity has been considered through an apparent slip¹¹ and quantified through the slip velocity (v_s).

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With the overall goal of understanding heat transport phenomena in multiphase flow over structured surfaces, and to apply such understanding for practical applications requiring strict thermal control, we aim to show the sensitivity of the macroscopic heat transfer to temperature gradients in both the fluid (e.g., water will be the prototype) and solid. It will be indicated that tuning the air fraction of a ridged surface as well as the conductivity of the substrate could in concert modulate the *net* heat transfer rate.

We analyze the heat transport through a model SH surface by treating the air/solid composite through an effective medium approximation (EMA) based approach. The EMA is employed since the roughness length scales, as related to the air pocket dimensions, are typically small and the averages over the channel length, such as velocity (as related to the flow rate) and heat transfer coefficients, are measured at a much larger scale. Specifically, we will consider, in addition to the average slip velocity at the fluid/surface boundary, an effective thermal conductivity, k_{eff} , for the entire SH composite region. Consequently, we seek to decouple the hydrodynamic effects related to an altered fluid velocity profile and related convective heat transport, from the thermal effects of the trapped air pockets. Our approach enables the obtaining of an analytical solution for the temperature profile, heat transfer coefficients, and the Nusselt number (Nu).

Previous heat transport studies on SH surfaces in micro-channels focused on solving, through numerical or analytical methods, the coupled mass, momentum, and energy equations in the fluid, assuming constant temperature¹⁷ or heat flux¹⁸ at the substrate boundary. While it was indicated that the heat transfer could be reduced, an averaging over the SH surface was not employed, and relatively little attention was paid to specific solid characteristics. We aim to extend such studies through explicitly incorporating the thermal conductivity of the solid and the surface roughness needed to achieve SH characteristics. This will serve as the basis for analyzing more generalized cases of heat flux variation within the flowing fluid and enable insight into the pathways of heat flow and loss.

We focus on laminar flow through rectangular shaped micro-channels. We will, in the present work, extend our study to cases where the axial conduction through the micro-channel walls does not have a large effect on the fluid temperature profile and heat flux. Essentially, it was assumed that the heat transfer is primarily in the transverse (wall-normal) direction to the liquid flow. Such a consideration is parameterized through a low ratio of the transverse thermal resistance in the liquid to the lengthwise thermal resistance in the channel walls, through¹⁹ $\beta = (H/k_w)(k_s/L_{\text{tot}})$ where H is the half-height of the channel, k_w and k_s are the thermal conductivities of the fluid (water) and the substrate, respectively, and L_{tot} is the total length of the channel. In commonly fabricated micro-channels with a small H/L_{tot} ratio, the β would generally be small (typical values considered here are in the range of $\beta = 0.001 - 0.1$, assuming an $L_{\text{tot}} = 10$ cm). The rationale for studying low β flows is that at larger β values, the heat transfer in the substrate and ridged region would be a significant fraction of the heat transported in the fluid. Consequently, the influence of the SH surface on fluid flow and heat transport would not be as well defined.

II. METHODS

The investigated model system is comprised of an effectively two-dimensional fluid flow channel (of height: $2H$, see Fig. 1) between large parallel plates. The outer surfaces of the plates are exposed to ambient air (with convective heat transfer coefficient: h_{ext}), at a constant temperature: T_{∞} . The inner surfaces at the top and bottom are ridged (see Fig. 1), so as to mimic SH-like character.^{20,21}

A periodic ridge pattern was used (see Fig. 2), with ridge height d , with air (of thermal conductivity $k_a = 0.028$ W/mK) filling the interstices between the ridges, and assuming a flat air meniscus.^{18,22} A linear air fraction was defined through $\phi_a = l_a/L$ (where l_a is the width of the air pocket and L is the combined width of the solid rib of length l_s and the air pocket, i.e., $L = l_s + l_a$). The substrate is of thickness D and thermal conductivity k_s . In the periodically rough region corresponding to the SH surface, an effective thermal conductivity, k_{eff} , is defined by considering the

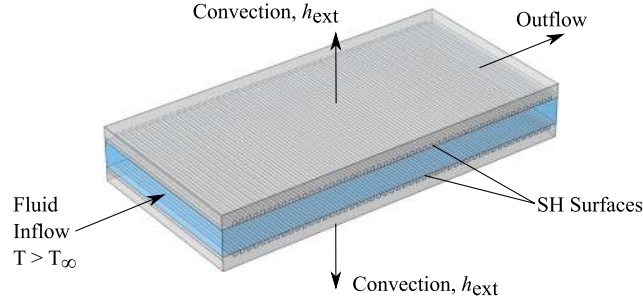


FIG. 1. A model system consisting of plates with internal ridged surfaces, mimicking superhydrophobic patterns, was used to understand heat transfer normal to the flow. The inlet temperature of the fluid (water) was T and greater than the ambient temperature T_∞ . The heat loss to the ambient was by convection, quantified through a heat transfer coefficient: h_{ext} .

solid ridges and air pockets as thermal resistances to heat loss as follows:

$$k_{\text{eff}} = \phi_a k_a + (1 - \phi_a) k_s. \quad (1)$$

Pressure driven laminar flow, of the Poiseuille type, of water (of thermal conductivity $k_w = 0.65$ W/mK with a temperature at the channel input of $T > T_\infty$) was considered. Generally, the heat flux along the channel would be variable, since as the water flows over the SH surface, the temperature could drop yielding a decaying heat flux.²³

For laminar incompressible flow, in the steady state at constant density and viscosity, the solution of the Navier-Stokes equation: $\frac{\partial p}{\partial x} = \mu \frac{\partial^2 u}{\partial y^2}$, for the velocity u , with slip boundary conditions corresponding to the Navier condition ($v_s = b \frac{\partial u}{\partial y}$, with b as the slip length) at the bottom and top SH surfaces was derived to be

$$u = \frac{1}{2\mu} \frac{\partial P}{\partial x} (y^2 - 2bH - H^2). \quad (2)$$

For the no-slip case, $b = 0$ and the standard Poiseuille flow solution can be obtained. Alternately, we indicate a normalized velocity (also see Subsection 1 of the Appendix)

$$u^* = u/\bar{u} = \frac{3}{2} \left(\frac{(1 + 2b^*) - y^{*2}}{1 + 3b^*} \right) = \frac{3}{2} \left(\frac{A - y^{*2}}{B} \right), \quad (3)$$

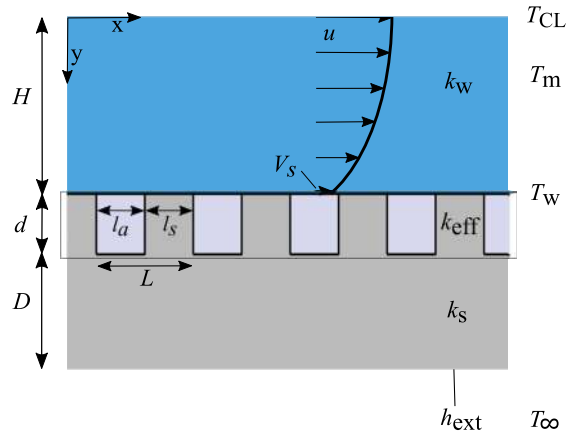


FIG. 2. A schematic of the channel dimensions and relevant length scales, including the half-channel height H , the ridge height d , and the substrate thickness D . Representative values are $H = 150$ μm , $d = 40$ μm , and $D = 110$ μm . The T_{CL} , T_m , and T_w indicate the centerline temperature of the fluid flow, the mass-averaged temperature, and the temperature at the fluid-wall interface, respectively. l_a is the width of the air pocket, and l_s is the width of the solid ridge. The fluid flow centerline corresponds to $y=0$. v_s is the slip velocity (average velocity at the fluid-air/solid composite interface).

where $y^* = y/H$, $b^* = b/H$, and \bar{u} is the average velocity in the channel,

$$\bar{u} = \frac{\int u \, dA}{A}. \quad (4)$$

In Eq. (3), $A = 1 + 2b^*$ can be considered equivalent to the square of an effective height as, per the slip length definition, the velocity would tend to zero at one slip length away from the wall, while the coefficient $B = 1 + 3b^*$ reflects the increase in the average velocity.

To obtain an average b , for square ridges oriented perpendicular to the fluid flow, we use a previously obtained relation by Philip,²⁴ where

$$b = -\frac{L}{2\pi} \ln[\cos(\frac{\pi}{2}(\phi_a))]. \quad (5)$$

Such an expression was obtained for alternating bands of no-slip and shear-free boundaries in Stokes flow and would be applicable for low Reynolds number (Re) flow and $\mu_{\text{air}} \ll \mu_w$. In the case of higher Re , we could employ a modified expression such as the one developed by Woolford *et al.*²⁵ showing a small decrease in the b with increasing Re (yielding a value of ~ 0.98 of that predicted by Eq. (5) at $Re = 100$ to 0.74 at $Re = 1000$ for the length scales considered here). Equation (5) shows that for a channel height $2H$ of $300 \mu\text{m}$ and a horizontal roughness length scale L of $80 \mu\text{m}$, b^* varies from 0.0 to 0.2 as ϕ_a increases from 0.0 to 0.94 , resulting in values of v_s of up to $0.3\bar{u}$.

The temperature distribution, $T(x, y)$, in the fluid was obtained through solving the thermally fully developed form of the energy equation²⁶

$$u \frac{\partial T}{\partial x} = \alpha \frac{\partial^2 T}{\partial y^2}, \quad (6)$$

where $\frac{dT}{dx}$ indicates the stream-wise temperature gradient and α is the thermal diffusivity. The viscous dissipation term has been neglected, since the Eckert number ($Ec = \bar{u}^2/C_p\Delta T$, with C_p as the specific heat capacity of water and ΔT as the characteristic temperature difference) was determined to be 10^{-7} . The axial heat conduction in the fluid has also been neglected due to the Péclet number (Pe)—a measure of the thermal energy convected by the fluid to that conducted within the fluid—being large,^{26,27} such that the effect was small for $Pe(=RePr) > 10$, where Pr is the Prandtl number (for water, $2 < Pr < 7$).

We used a normalized temperature, defined per,^{23,26}

$$\theta_i = \frac{T_i - T_\infty}{T_{\text{CL}} - T_\infty}, \quad (7)$$

where T_∞ was the ambient temperature and T_{CL} , the centerline temperature (Fig. 2). Additionally, we use a non-dimensional lengthwise temperature gradient

$$\lambda = \frac{-1}{T_{\text{CL}} - T_\infty} \frac{dT_{\text{CL}}}{dx}. \quad (8)$$

It has been previously discussed²³ that for a heated flow over a surface, λ is also a measure of the characteristic heat flux (q) decay constant, i.e., $q \sim e^{-\lambda x}$. Using $x^* = x/(H \times Pe)$ with $Pe = \bar{u}2H/\alpha$, the energy equation was rewritten as (see Subsection 2 of the Appendix)

$$\frac{d^2\theta}{dy^{*2}} = -\frac{u^*}{2}\lambda\theta. \quad (9)$$

The differential equation was solved with boundary conditions (i) assuming a symmetric temperature profile of the liquid around the centerline, i.e., $\frac{\partial\theta}{\partial y^*}|_{y^*=0} = 0$, along with (ii) $\theta|_{y^*=0} = 1$, following from the definition of θ . We assumed a power series solution for θ of the form (see Subsection 3 of the Appendix)

$$\theta = \sum_{n=0}^{\infty} C_n (y^*)^{2n}, \quad (10)$$

where $C_0 = 1$, $C_1 = -3\lambda A/8B$, and $C_n = 3\lambda(C_{n-2} - AC_{n-1})/(4B(2n)(2n-1))$. The series solution for θ , to the fourth order, is

$$\theta = 1 - \frac{3A}{8B}\lambda y^{*2} + \frac{\lambda}{16B}\left(1 + \frac{3A^2\lambda}{8B}\right)y^{*4}. \quad (11)$$

The λ was obtained through equating the conductive heat flux at the fluid-wall interface, to the convective loss, from the liquid, through²³

$$-k_w \frac{\partial T}{\partial y} \Big|_{y=H} = h_w(T_w - T_\infty), \quad (12)$$

where h_w encompasses the *net* conductance from the inner surface of the wall ($y^* = 1$) to the ambient, see Fig. 2, and was calculated from the series sum of the thermal resistances between the inner wall surface and ambient from

$$\frac{1}{h_w} = R_w = \frac{d}{k_{\text{eff}}} + \frac{D}{k_s} + \frac{1}{h_{\text{ext}}}. \quad (13)$$

Consequently, using $R^* = R_w k_w / H$, we obtain the following:

$$\frac{d\theta}{dy^*} \Big|_{y^*=1} = -\frac{1}{R^*}\theta_w. \quad (14)$$

The solution of Eq. (14) by evaluating the temperature and temperature gradient at the wall from Eq. (11) results in a value for λ of order $1/R^*$ (see Subsection 4 of the Appendix).

We have shown previously through Eq. (3) that the change in average velocity and flow rate due to the slip was $B(=1+3b/H)$. For b in the range of tens of micrometers, for a significant impact on the flow conditions, H would need to be of the order of hundreds of micrometers or less (in our study, we use an $H = 150 \mu\text{m}$). From Eq. (13), for typical $d = 50 \mu\text{m}$, $D = 100 \mu\text{m}$, and $k_s = 0.5 \text{ W/mK}$, we note that h_{ext} (typically $1 \text{ W/m}^2 \text{ K} - 250 \text{ W/m}^2 \text{ K}$)²⁸ dominates R_w . Even for a large h_{ext} of $250 \text{ W/m}^2 \text{ K}$ and $H = 800 \mu\text{m}$, $R^* > 3.3$, which we use as a benchmark value. Such R^* values result in $\lambda < 1$, with the implication that the temperature series expression in Eq. (11) can be truncated to the fourth order with minimal loss to accuracy. The form in Eq. (11) was verified against the numerical integration of Eq. (9) for a $R^* = 4$ with the obtained θ_w and $d\theta/dy$ accurate to within 0.5%.

A heat transfer coefficient: h_c for the internal flows was then defined as

$$h_c = \frac{q}{(T_m - T_w)} = \frac{-\frac{k_w}{H} \frac{d\theta}{dy^*} \Big|_{y^*=1}}{\theta_m - \theta_w} \quad (15)$$

and can be obtained from Eq. (11) by calculating a mass-averaged mean temperature,

$$\theta_m = \frac{1}{2} \int_{-1}^1 u^* \theta dy^*, \quad (16)$$

and through evaluating $\partial\theta/\partial y^*$ from Eq. (11). Consequently,

$$h_c = \frac{k_w}{H} \frac{35(B^2 - \frac{3}{16}A^2\lambda)}{(17 + 84b^* + 105b^{*2}) - \frac{3}{16}\frac{A^2}{B}(8 + 21b^*)\lambda}. \quad (17)$$

A corresponding Nusselt number: $Nu = \frac{h_c D_h}{k_w}$, where $D_h = 4H$ is the hydraulic diameter, can be obtained from Eq. (17). The Nu resulting from Eq. (17), with $b^* = 0$ (no-slip) and $\lambda = 0$ (no heat flux decrease in the horizontal direction), reduces to the typically quoted textbook value²⁶ of $Nu = 8.235$ for parallel plates with a constant heat flux from fluid to ambient.

However, such a conventional definition of Nu in internal flows incorporates the effects of the altered velocity profile due to the patterned surface and only indirectly considers the modified thermal conductance of the channel wall (Eq. (14)). To further understand and quantify the net impact of the SH composite surface on the heat transfer from the liquid to the ambient, we define

another heat transport coefficient: h_{sh} as follows:

$$\frac{1}{h_{sh}} = \frac{1}{h_c} + \frac{d}{k_{eff}}. \quad (18)$$

The h_{sh} incorporates the change in the convective heat transport coefficient h_c as well as the influence of the air pockets on the conductive heat transport.

It has been assumed that the k_{eff} would be the only pertinent parameter for heat transport through the ridged region, as the convective heat transfer within the interstices can be neglected. This was justified on the basis that the equivalent resistance per unit area, $=d/k_a$ of the air pocket, with, e.g., $d = 40 \mu\text{m}$ would be $\sim 0.0014 \text{ m}^2 \text{ K/W}$. This would correspond to an equivalent heat transfer coefficient of $\sim 665 \text{ W/m}^2 \text{ K}$, a relatively high value for air, and requires a large air velocity. Such conditions may only be important when the Re for the fluid flow in the channel corresponds to a turbulent regime.

We carried out numerical simulations on a representative case, using COMSOL Multiphysics (computational fluid dynamics coupled with heat transfer solver), to corroborate our analysis. We chose a representative case with a $Pe = 60$, within the limits of applicability. The fluid inflow temperature was 40 K over ambient which results in an average $Pr = 3$. The water flow was driven by a pressure gradient of 1000 Pa/m, resulting in an approximate velocity of 1.8 cm/s, and a Re of 20. The SH surface ridge parameters (Fig. 2) were in the range of $0 \mu\text{m} > l_a > 80 \mu\text{m}$ and $d = 40 \mu\text{m}$, chosen to generate sufficient slip yet within the practical limitations imposed by air pocket stability. The h_{ext} was chosen as $80 \text{ W/m}^2 \text{ K}$, an approximate value for forced air convection, and a $k_s = 0.5 \text{ W/mK}$ corresponding to a thermally non-conductive substrate such as polydimethylsiloxane (PDMS) ($\beta = 0.001$). Additionally, a thermally conductive substrate case with a $k_s = 45 \text{ W/mK}$, as for steel ($\beta = 0.1$), was simulated.

III. RESULTS

It was seen that the main variables defining the heat transfer were the air fraction ϕ_a and the corresponding normalized slip length b^* , with the normalized thermal resistance R^* and λ having a small effect. Fig. 3 indicates the variation of the ϕ_a and the resulting k_{eff} for different b^* considered through Eqs. (1) and (5). It was evident that a large ϕ_a yields large slip for the given conditions. However, the heat transfer is impeded by the concomitantly lowered k_{eff} , reducing the conductivity of the composite region from 0.5 W/mK to as low as 0.06 W/mK at $\phi_a = 0.94$.

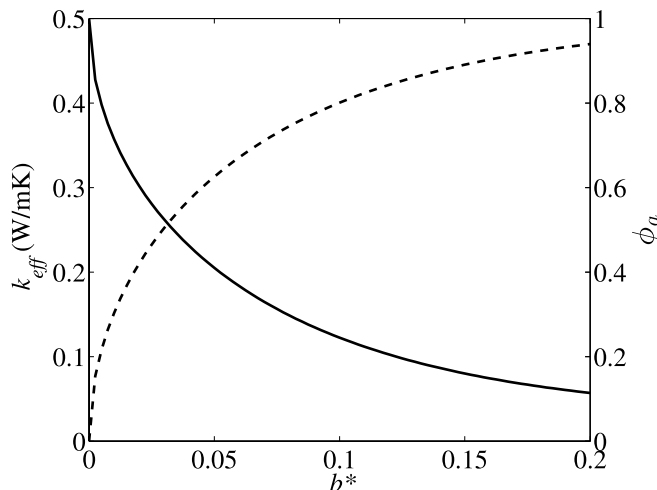


FIG. 3. Effective thermal conductivity, k_{eff} (solid line), and air fraction, ϕ_a (dashed line), as a function of normalized slip length, b^* , for a thermally non-conductive substrate case with $k_s = 0.5 \text{ W/mK}$, $H = 150 \mu\text{m}$ and $L = 80 \mu\text{m}$.

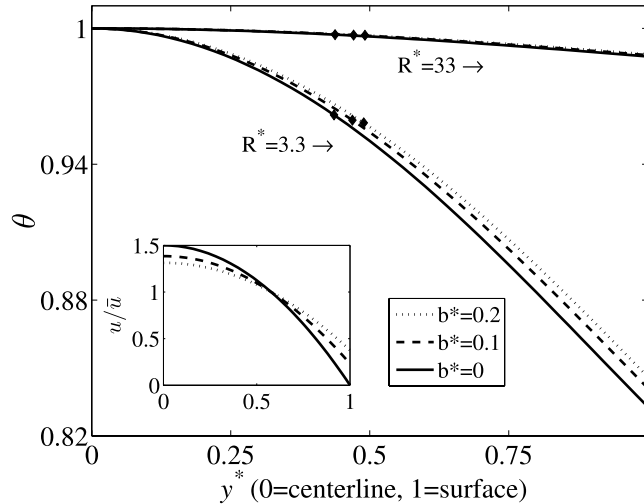


FIG. 4. Temperature profiles in the fluid region as a function of the height in the channel, for $R^* = 33$ and $R^* = 3.3$ and slip lengths $b^* = 0$, $b^* = 0.1$, and $b^* = 0.2$. The \blacklozenge symbols indicate the values of the θ_m . The inset shows the normalized velocity profiles for varying b^* showing the slip velocity v_s at $y^* = 1$.

The temperature and velocity profiles in the fluid obtained from Eq. (11) with representative R^* and b^* values are shown in Figure 4, showing the decrease in temperature from the maximum at the centerline. The temperature gradient in the fluid was higher for small R^* values, as may be deduced from Eq. (14). A small (/large) thermal resistance between the heated fluid and the outside air would result in a large (/small) temperature change within the fluid. Increasing the b^* at a constant R^* makes the temperature profile in the fluid more uniform due to an increased v_s . Such enhanced uniformity mimics the change in the velocity profiles (Fig. 4 inset), which becomes more plug-like in flow over SH surfaces. Interestingly, while the overall temperature profile in the fluid increases for increasing b^* , the mass-averaged temperature θ_m actually decreases, due to an increased flow close to the wall at higher slip.

The temperature profiles in the fluid and the channel walls are shown in Figure 5 for the case of $k_s = 0.5$ W/mK with the typical length scales used in the numerical analysis and simulations. An

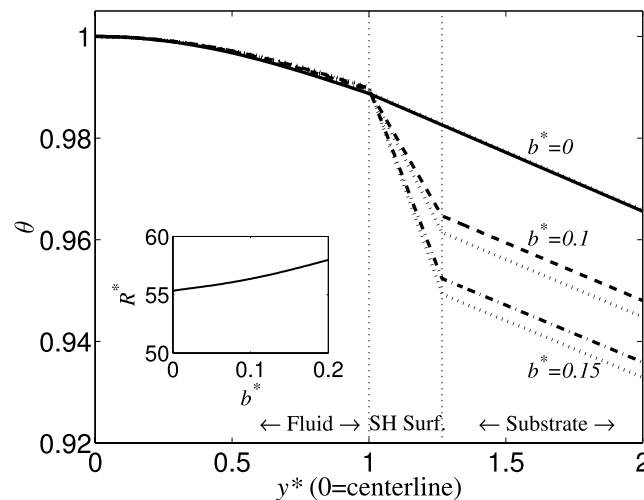


FIG. 5. Temperature profiles in the channel and the solid substrate for different slip at $k_s = 0.5$ W/mK. The heavy lines show the analytical expressions from Eqs. (1) and (11), while dotted lines indicate the results from the computational fluid dynamics (CFD) simulations. For $b^* = 0$, the analytical and simulation results are superimposed. The inset shows the increase in the normalized wall resistance R^* with b^* .

TABLE I. Air fraction (ϕ_a) versus effective thermal conductivity (k_{eff}) from the EMA approach (analytical), using Eq. (1), compared with that obtained from the CFD simulations (simulation).

Slip length	Air fraction	Analytical	Simulation
b^*	ϕ_a	k_{eff} (W/mK)	
0.00	0.000	0.500	0.500
0.05	0.625	0.205	0.180
0.10	0.801	0.123	0.110
0.15	0.891	0.080	0.074

increased relative temperature in the fluid was again seen with increasing b^* . However, a progressively larger temperature gradient in the SH surface composite region was also observed. Consequently, there was a marked decrease in temperature through the SH zone for increasing b^* . A concomitant increase in R^* , through the decrease in k_{eff} from the increased air fraction, is indicated in the inset of the figure. The temperature gradient in the SH composite region from the simulations was used to estimate an effective thermal conductivity for each surface; Table I compares the k_{eff} used in the analytical derivation from Eq. (1), with the estimate from the simulations. A close match to within 12% indicates the validity of the EMA based approach for understanding heat transfer through the composite region.

An increase in the Nu ($\sim \frac{q}{T_m - T_w}$) with increasing b^* may be initially expected, as convection was enhanced due to the increased slip velocity, as illustrated in Fig. 6. Also, from Eq. (15), the Nu was seen to be a function of both the temperature gradient at the wall (in the numerator), as well as the temperature difference between fluid and wall (in the denominator). However, there seems to be a subtle interplay; it was observed that the temperature difference $\theta_m - \theta_w$ decreases much more (of the order of 20% between $b^* = 0$ and $b^* = 0.2$) compared to the decrease in the temperature gradient ($\frac{d\theta}{dy^*}|_{y^*=1}$, of around 10%) resulting in an overall increase in the Nu (Fig. 6) from 8.21 to 9.42. The increase in Nu arises primarily from the increase in slip velocity. Increasing air fraction also works to increase R^* (Fig. 5, inset) leading to a decrease in λ and an additional increase in the Nu . However, the effect of λ was very small (Eq. (17)) for our representative case, and generally for the considered values (i.e., with $R^* > 3.3$).

A decrease in the h_{sh} (as defined in Eq. (18)) with b^* is shown in Fig. 7. The variation is in comparison to the no-slip value/baseline value (where $b^* = 0$). While the h_c/Nu was increased

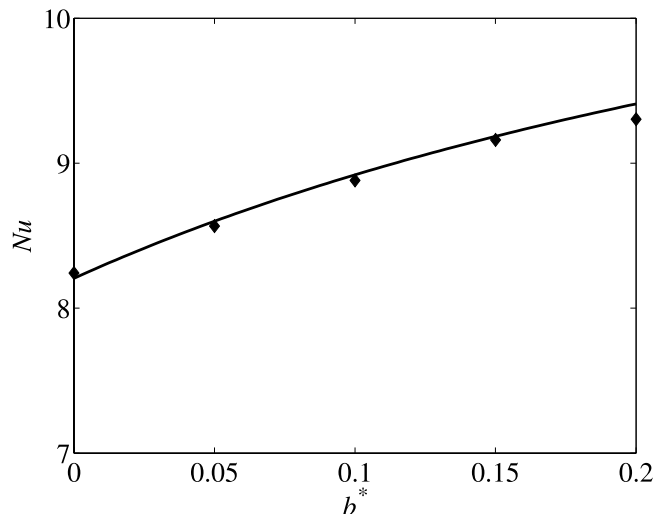


FIG. 6. The Nusselt number (Nu)—a function of both the temperature gradient at the wall as well as the temperature difference between the fluid and wall—increases with the normalized slip length (b^*). ♦ symbols show the simulation results.

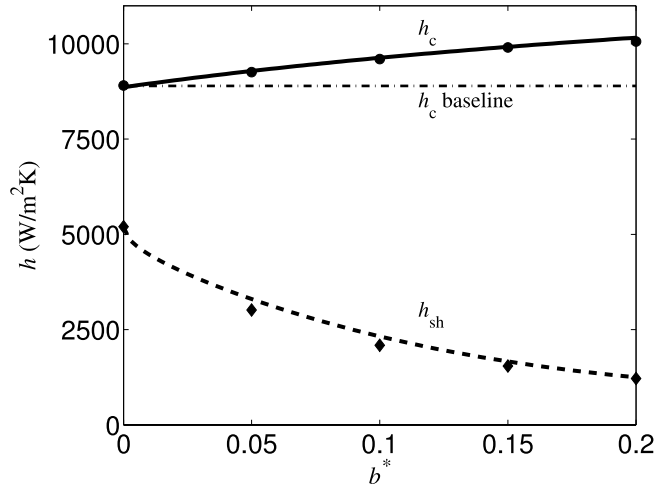


FIG. 7. The variation of the convective heat transfer coefficient: h_c , and net heat transfer coefficient: h_{sh} , with b^* for $k_s = 0.5$ W/mK. The h_c baseline line shows the value obtained with $b^* = 0$, from Eq. (17). \blacklozenge and \bullet symbols show the results from the CFD simulations.

following the previous discussion, the k_{eff} was involved in the overall decrease of h_{sh} . A decrease in the net heat transfer then seems to be the rule for thermally non-conductive substrates. However, the magnitude of the decrease was dependent on the specific values of the k_s and d ; e.g., with $k_s = 0.5$ W/mK and $d = 40$ μ m, the increased convective heat transfer was offset by the k_{eff} resulting in a decreased h_{sh} , yet the heat transfer rate decrease could be limited by a smaller d or higher conductivity substrate k_s .

The results for the thermally conductive substrate ($k_s = 45$ W/mK) are shown in Figs. 8 and 9. There was a sharper decrease in the k_{eff} with b^* in the simulation results than was predicted through Eq. (1), shown in Fig. 8. The variation in k_{eff} was presumably from the additional effect of conduction in the streamwise direction in the composite roughness zone, which is more significant with increasing $|k_s - k_{al}|$.²⁸ In this case, the effective thermal conductivity of the interface will be between the Wiener bounds, of the sum of the resistances in parallel as in Eq. (1) (heat flow in the transverse direction) and that in series (heat flow in the streamwise direction).

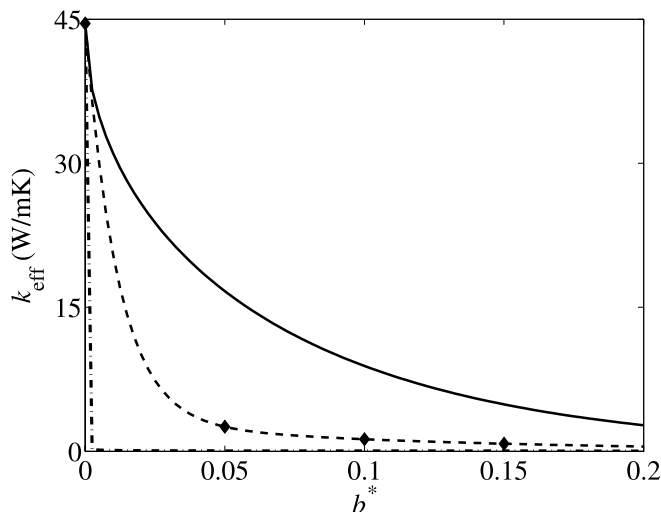


FIG. 8. The effective thermal conductivity k_{eff} with b^* for $k_s = 45$ W/mK. Solid line is the k_{eff} from parallel thermal resistances (Eq. (1)), while the dotted line is the series thermal resistance. \blacklozenge symbols and dashed line show the results from the CFD simulations.

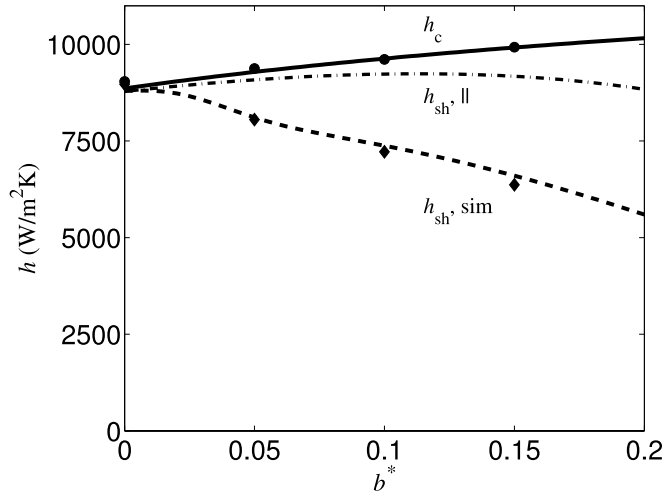


FIG. 9. The convective heat transfer coefficient: h_c , and net heat transfer coefficient: h_{sh} , with b^* for $k_s = 45$ W/mK. The dotted line shows the h_{sh} using a k_{eff} from Eq. (1), while the dashed line shows the h_{sh} obtained using the k_{eff} values from the simulation. The \blacklozenge and \bullet symbols show the results from the CFD simulations.

The results for h_{sh} for the thermally conductive substrate are shown in Fig. 9. The h_{sh} variation in b^* should be understood as due to the opposing effects of an increase in h_c and a decrease in k_{eff} . With an increase in the thermal conductivity of the substrate, the relative magnitude of d/k_{eff} is reduced, such that the increase in h_c should maintain h_{sh} relatively unchanged (see Fig. 9). However, as the simulations show, the increased reduction in k_{eff} due to the streamwise effects results in a decreased heat transfer rate.

A coefficient of performance (COP) was postulated as the ratio of the relative change in the heat transfer coefficient compared to a non-SH surface (no-slip) and the relative change in the pumping power as compared to a non-SH surface as follows:

$$COP = \frac{h_{sh}/h_{sh,b^*=0}}{(\Delta PQ)/(\Delta PQ)_{b^*=0}}, \quad (19)$$

where ΔP is the pressure drop across the channel, and Q is the volumetric flow rate. The COP variation for the $k_s = 0.5$ W/mK and $k_s = 45$ W/mK cases is shown in Fig. 10, both resulting in decreasing COP with increasing b^* .

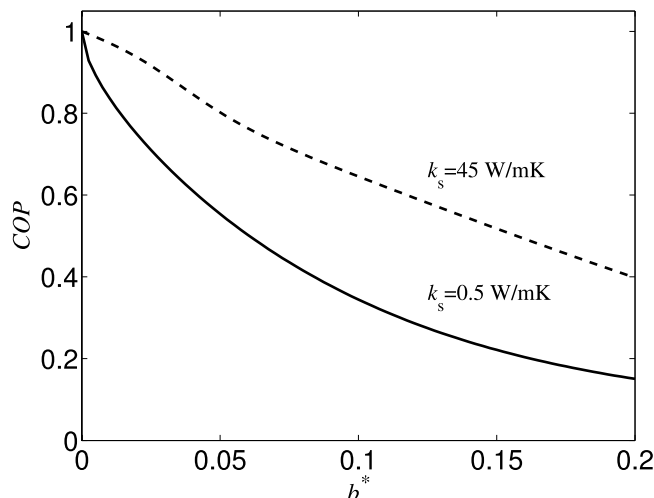


FIG. 10. COP for the thermally non-conductive case with $k_s = 0.5$ W/mK and the thermally conductive case with $k_s = 45$ W/mK.

IV. CONCLUSIONS

The EMA based approach yields physical insights into the nature of thermal transport (including temperature profiles and heat flux) through SH patterned surfaces in laminar flow. The analytical methodology is capable of being extended to the study of other surfaces (ridges parallel to flow, posts, etc.) through the use of modified slip length and effective thermal conductivity relations. We have indicated the subtle balancing of the effects of an increased velocity (which enhances the h_c) with the thermal insulation provided by air. For low k_s (of ~ 1 W/mK, as typical to polymeric substrates), the effect of a SH surface seems to be the reduction of the overall heat transfer in micro-channels, regardless of the air fraction. For substrates with a larger k_s , the net heat transport also decreases with air fraction, though to a lesser degree. However, in this case, there was a deviation from the form of Eq. (1) (for the EMA k_{eff}) due to the contributions from the multidimensional effects (e.g., both transverse and streamwise). The study of such influences with respect to the roughness characteristics would be important for practical microfluidics applications and forms the basis for a fertile field of inquiry.

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APPENDIX: DERIVATION OF THE FLUID AND HEAT TRANSPORT EQUATIONS

1. Non-dimensionalized velocity

In order to obtain the non-dimensionalized form of the velocity expression (Eq. (2)), we obtain the average velocity in the channel,

$$\bar{u} = \frac{\int u \, dA}{A} = \frac{-1}{3\mu} \frac{\partial p}{\partial x} (H^2 + 3bH). \quad (\text{A1})$$

The normalized velocity, $u^* = u/\bar{u}$, becomes

$$u^* = \frac{-3}{2} \frac{y^2 - 2bH - H^2}{H^2 + 3bH}, \quad (\text{A2})$$

which can be written in normalized variables $y^* = y/H$, $b^* = b/H$ as

$$u^* = \frac{3}{2} \frac{(1 + 2b^*) - y^{*2}}{1 + 3b^*}. \quad (\text{A3})$$

Introducing two coefficients, $A = 1 + 2b^*$ and $B = 1 + 3b^*$, we can write u^* as

$$u^* = \frac{3}{2} \frac{A - y^{*2}}{B}. \quad (\text{A4})$$

2. Non-dimensionalized energy equation

Starting with the energy equation in dimensional form (Eq. (6)),

$$u \frac{\partial T}{\partial x} = \alpha \frac{\partial^2 T}{\partial y^2}, \quad (\text{B1})$$

and substituting using the non-dimensional variables $u^* = u/\bar{u}$, $y^* = y/H$, and $x^* = x/(H \times Pe)$, where $Pe = Re \times Pr = 2H\bar{u}/\alpha$, $\theta = (T - T_\infty)/(T_{\text{CL}} - T_\infty)$, we obtain the following:

$$\frac{u^*}{2} \frac{\partial [(T_{\text{CL}} - T_\infty)\theta]}{\partial x^*} = \frac{\partial^2 [(T_{\text{CL}} - T_\infty)\theta]}{\partial y^{*2}}. \quad (\text{B2})$$

Noting that for fully developed flow, $\theta = f(y^*)$ only and $T_{CL} = f(x^*)$ only, the partial derivative becomes

$$\frac{u^*}{2} \theta \frac{dT_{CL}}{dx^*} = (T_{CL} - T_{\infty}) \frac{d^2\theta}{dy^{*2}}. \quad (\text{B3})$$

Substituting the definition of $\lambda = -1/(T_{CL} - T_{\infty})(\partial T_{CL}/\partial x^*)$, we obtain the final, non-dimensionalized form

$$-\frac{u^*}{2} \theta \lambda = \frac{d^2\theta}{dy^{*2}}. \quad (\text{B4})$$

3. Series solution for the energy equation

The solution to the energy equation (Eq. (9)) can be represented by a power series in the form

$$\theta = \sum_{i=0}^{\infty} a_i y^i, \quad (\text{C1})$$

and the second derivative with respect to y becomes

$$\theta'' = \sum_0^{\infty} (n)(n-1)a_n y^{n-2}. \quad (\text{C2})$$

Substituting into Eq. (9) results in

$$\sum_0^{\infty} (i)(i-1)a_i y^{i-2} + \frac{3}{4} \frac{A\lambda}{B} \sum_0^{\infty} a_i y^i - \frac{3}{4} \frac{\lambda}{B} \sum_0^{\infty} a_i y^{i+2} = 0. \quad (\text{C3})$$

Shifting the coefficients in order to write all terms as summations of y^i and starting the summation at the same starting index, we obtain the following:

$$2a_2 y + \frac{3}{4} \frac{A\lambda}{B} a_0 + 6a_3 y + \frac{3}{4} \frac{A\lambda}{B} a_1 y + \sum_2^{\infty} [(i+2)(i+1)a_{i+2} y^i + \frac{3}{4} \frac{A\lambda}{B} a_i y^i - \frac{3}{4} \frac{\lambda}{B} a_{i-2} y^i] = 0. \quad (\text{C4})$$

Requiring the coefficients of each power of y to be equal to zero results in

$$i = 0 : a_2 = -\frac{3}{8} \frac{\lambda A}{B} a_0 \quad (\text{C5})$$

$$i = 1 : a_3 = -\frac{1}{8} \frac{\lambda A}{B} a_1 \quad (\text{C6})$$

$$i = 2 : a_4 = \frac{1}{(3)(4)} \left(\frac{3}{4} \frac{\lambda}{B} a_0 - \frac{3}{4} \frac{\lambda A}{B} a_2 \right) \quad (\text{C7})$$

$$i = 3 : a_5 = \frac{1}{(4)(5)} \left(\frac{3}{4} \frac{\lambda}{B} a_1 - \frac{3}{4} \frac{\lambda A}{B} a_3 \right) \quad (\text{C8})$$

$$i = n : a_n = \frac{1}{(i+2)(i+1)} \left(\frac{3}{4} \frac{\lambda}{B} a_{i-4} - \frac{3}{4} \frac{\lambda A}{B} a_{i-2} \right). \quad (\text{C9})$$

The power series solution becomes

$$\theta = a_0 + a_1 y - \frac{3}{8} \frac{\lambda A}{B} a_0 y^2 - \frac{1}{8} \frac{\lambda A}{B} a_1 y^3 + \frac{1}{16} \frac{\lambda}{B} \left(1 + \frac{3}{8} \frac{\lambda A^2}{B} \right) y^4 \dots \quad (\text{C10})$$

Applying the boundary condition

$$\frac{d\theta}{dy} \Big|_{y=0} = 0 \quad (\text{C11})$$

results in all odd terms being zero and $a_1 = 0$. From the definition of θ , $a_0 = 1$, such that the final form is

$$\theta = 1 - \frac{3}{8} \frac{\lambda A}{B} a_0 y^2 + \frac{1}{16} \frac{\lambda}{B} \left(1 + \frac{3}{8} \frac{\lambda A^2}{B}\right) y^4 \dots \quad (\text{C12})$$

Since only even terms are left, we change the summation index from $i = 2n$ to get the final form

$$\theta = \sum_{n=0}^{\infty} C_n y^{*2n}, \quad (\text{C13})$$

where $C_0 = 1$, $C_1 = -3\lambda A/8B$, and $C_n = 3\lambda(C_{n-2} - AC_{n-1})/(4B(2n)(2n-1))$.

4. Derivation of λ

To obtain λ , the boundary condition at the wall equating the heat flux conducted through the fluid with the heat flux to the ambient temperature, Eq. (12),

$$-k_w \frac{\partial T}{\partial y} \Big|_{y=H} = h_{w-a}(T_w - T_\infty). \quad (\text{D1})$$

In non-dimensionalized form, with $\theta_w = (T_w - T_\infty)/(T_{CL} - T_\infty)$, we obtain the form

$$\frac{d\theta}{dy^*} \Big|_{y^*=1} = -\frac{h_{w-a}H}{k_w} \theta_w. \quad (\text{D2})$$

Using $R^* = k_w/(h_w H)$, a non-dimensionalized resistance from wall to ambient, we obtain the following:

$$\frac{d\theta}{dy^*} \Big|_{y^*=1} = -\frac{1}{R^*} \theta_w. \quad (\text{D3})$$

From the temperature expression Eq. (11), we can obtain both the temperature gradient at the wall (LHS of Eq. (D3)) and θ_w (RHS of Eq. (D3)). These are

$$\frac{d\theta}{dy^*} \Big|_{y^*=1} = -\frac{\lambda}{2} + \frac{3}{32} \frac{A^2 \lambda^2}{B^2} \quad (\text{D4})$$

$$\theta_w = 1 - \frac{3A\lambda}{8B} + \frac{\lambda}{16B} \left(1 + \frac{3A^2 \lambda}{8B}\right). \quad (\text{D5})$$

Substituting these values into the non-dimensionalized form (Eq. (D3)) results in

$$(3A^2 + 12A^2 R^*) \lambda^2 + (8B - 48AB - 64B^2 R^*) \lambda - 128B^2 = 0, \quad (\text{D6})$$

and solving the resultant quadratic equation for λ , we obtain the following:

$$\lambda = \frac{E - \sqrt{F}}{G}, \quad (\text{D7})$$

where $E = 4B(6A - 1 + 8BR^*)$, $F = 16B^2[(1 - 6A - 8BR^*)^2 - 8(3A^2 + 12A^2 R^*)]$, and $G = 3A^2 + 12A^2 R^*$.

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