

Thermoacoustic Sound Generation from Monolayer Graphene for Transparent and Flexible Sound Sources

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When alternating current is applied to a conductor, it periodically heats the conductor, resulting in a temperature oscillation. The temperature oscillation of the conductor produces a density oscillation in air, which causes a pressure oscillation (i.e., sound waves); this is called the “thermoacoustic effect”. Contrary to conventional speakers, thermoacoustic loudspeakers emit sound without any mechanically moving parts. Although the conversion of Joule heating into sound was proposed nearly a hundred years ago, the development of efficient thermoacoustic devices has been hindered by limited material choices and fabrication methods. Efficient thermoacoustic sound generation can be achieved by meeting two conditions: 1) a conductor needs to have low heat capacity per unit area (HCPUA); and 2) heat should conduct efficiently to the surrounding fluid. The first condition can be satisfied by using very thin conductors. The latter can be obtained by ensuring that the heat conduction to air dominates and heat loss to the substrate, which supports the conductor, is minimized.

The development of nanomaterials and nanofabrication techniques has renewed interest in thermoacoustic sound generation. Thin metal films^[1] and wires^[2] suspended on porous substrates have demonstrated ultrasonic sound emission. Free-standing carbon-nanotube (CNT) thin films have also been demonstrated as flexible, stretchable, and transparent loudspeakers that can be used in both air^[3] and water.^[4] Compared with other nanomaterials, graphene, one-atom-thick two-dimensional sp^2 -bonded carbon, offers an extremely low HCPUA; the HCPUA ($C_s = d\rho C_p$, where d is the thickness, ρ is the density, and C_p is the specific heat) of monolayer graphene is $\approx 5.8 \times 10^{-4} \text{ J m}^{-2} \text{ K}^{-1}$ ($d = 0.335 \text{ nm}$, $\rho \approx 2200 \text{ kg m}^{-3}$, $C_p \approx 790 \text{ kJ kg}^{-1} \text{ K}^{-1}$),^[5] which is one order of magnitude lower than that of a one-layer CNT thin-film speaker ($C_s = 7.7 \times 10^{-3} \text{ J m}^{-2} \text{ K}^{-1}$).^[3] Moreover, the superior thermal conductivity of graphene^[6–8] makes it advantageous in thermal applications because it quickly delivers

heat to the environment, resulting in faster heating and a more uniform temperature distribution. In this respect, Tian et al. demonstrated sound generation from 20–100 nm thick multilayer graphene films on paper,^[9] as well as monolayer graphene on porous anodic aluminum oxide.^[10] In addition to its low HCPUA, monolayer graphene is extremely transparent and mechanically robust; the transmittance of monolayer graphene is $\approx 97.7\%$ with white light^[11] and single-crystal graphene can sustain more than 20% of strain prior to its fracture.^[12] These features have further motivated the development of transparent, flexible, and stretchable graphene-based applications.^[13–16]

In this work, thermoacoustic sound generation from large-area monolayer graphene is demonstrated on various transparent substrates: glass, polyethylene terephthalate (PET), and polydimethylsiloxane (PDMS). The acoustic performances are analyzed by the effect of the supporting substrate. Particularly, transparent and flexible large-area monolayer graphene loudspeakers are realized by the transfer of monolayer graphene on PET, and the acoustic performance is characterized with cylindrically deformed configurations. Moreover, in order to better understand the graphene-based sound sources, the substrate effect on sound performance is further investigated by transferring graphene onto patterned substrates having different surface porosities. Two or three monolayer graphene films are stacked to improve the mechanical robustness and the quality of the transfer onto patterned substrates made of silicon or PDMS. The acoustic measurements from the 2-layer or 3-layer graphene on patterned substrates show that the substrate with the highest porosity generates the highest sound pressure due to its lower thermal effusivity, which reduces heat loss to the supporting substrate.

Monolayer graphene grown by chemical vapor deposition (CVD) on copper foils^[17] with an area of $\approx 1.5 \times 1.5 \text{ cm}^2$ were transferred onto transparent substrates (glass, PET, and PDMS) using a wet transfer technique.^[18] Figure 1a shows the graphene transferred onto PET. The Raman spectrum of graphene on glass shows the typical characteristic peaks of monolayer graphene (Figure 1b) with G (1585 cm^{-1}) and 2D (2695 cm^{-1}) peaks. A minimal D peak at 1350 cm^{-1} indicates that the transferred graphene was of high quality with minimal structural defects. Because of the superior transparency of monolayer graphene, graphene on glass ($\approx 1 \text{ mm}$ thickness) and PET ($\approx 50 \mu\text{m}$ thickness, Mylar) showed excellent transmittance over a wide range of wavelengths, as shown in Figure 1c. At a wavelength of 550 nm, graphene on glass and PET showed transmittances of 88.4% and 82.4%, respectively, when air was used as a reference. When bare glass was used as a reference, the transmittance of the transferred graphene at a wavelength of 550 nm was 97.3%, further confirming that the graphene film was monolayer.^[11,14]

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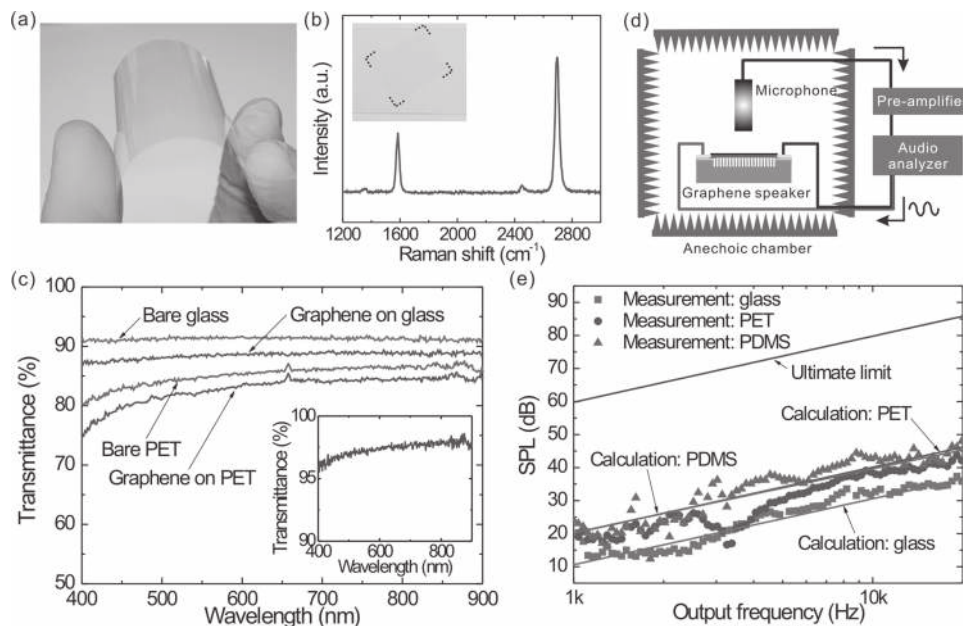


Figure 1. Transparent and flexible graphene-based sound sources. a) Monolayer graphene on PET with high flexibility. b) Raman spectrum of monolayer graphene on glass. The inset of (b) shows monolayer graphene on glass. c) Transmittance of monolayer graphene on glass and PET. Air was used as a reference. The inset of (c) shows the transmittance of monolayer graphene where bare glass was used as a reference. d) Schematic illustration of the set-up for the acoustic measurements. e) The output sound pressure level as a function of the sound frequency, at 0.25 W input power and a 3 cm measurement distance.

The audio performance of the graphene speakers was characterized by applying a pure sinusoidal voltage inside an anechoic chamber (Figure 1d). The electrical resistances of the graphene on glass, PET, and PDMS with electrical connections were 0.92, 0.95, and 0.90 kΩ, respectively. Figure 1e shows the sound pressure level (SPL) versus sound frequency for monolayer graphene on glass, PET, and PDMS substrates at an input power of 0.25 W and a 3 cm measurement distance. The SPL for all of the samples increases as the frequency increases without resonant behavior. The graphene films on PET or PDMS showed higher sound pressure than the graphene films on glass. The acoustic performance of the monolayer graphene on PET or PDMS films is better than that of previously reported flexible, transparent thermoacoustic sound-emitting devices,^[19] making it more suitable for flexible, transparent sound sources. The output sound pressure from the monolayer graphene on PET versus the measurement distance shows that the relation between the sound pressure and the distance (*r*) follows $P_{\text{rms}} \propto 1/r$, indicating that the measurement distance of 3 cm is in far-field (Supporting Information, Figure S1a). The output sound pressure also increased linearly with input power (Supporting Information, Figure S1b).

A theoretical model was compared with the experimental results. Hu et al. proposed a model which can explain the acoustic performance of a thermophone by considering the effect of the supporting substrate in the near-field.^[20] The analytical formula was modified by Tian et al. with consideration of the effect of the conductor in the far-field as follows.^[9] For $f < \alpha_s/(4\pi L_s^2)$ at low frequencies in the far-field, the root-mean-square of the sound pressure (P_{rms}) can be written as:

$$P_{\text{rms}} = \frac{R_0}{\sqrt{2}r_0} \frac{\gamma - 1}{v_g} \frac{e_g}{M(e_s + a_c) + e_g} q_0 \quad (1)$$

For $f > \alpha_s/(4\pi L_s^2)$ at high frequencies in the far-field, the sound pressure can be written as:

$$P_{\text{rms}} = \frac{R_0}{\sqrt{2}r_0} \frac{\gamma - 1}{v_g} \frac{e_g}{(e_s + a_c) + e_g} q_0 \quad (2)$$

where *f* is the frequency of sound, α_s is the thermal diffusivity of the substrate, L_s is the thickness of the substrate, r_0 is the distance between the sound source and the microphone, γ is the heat capacity ratio of the gas, v_g is the sound velocity in the gas, and q_0 is the input power density. $R_0 = A/\lambda_0$ is the Rayleigh distance with respect to sound source area *A* and the isentropic sound wavelength, $\lambda_0 = v_g/f$. $e_i = (\kappa_i \rho_i C_{p,i})^{1/2}$ is the thermal effusivity of material *i*, where κ is thermal conductivity, ρ is the density, C_p is specific heat, and the subscript *i* represents gas (g) or substrate (s). $a_c = (\kappa_c \rho_c C_s)^{1/2}$ is the thermal parameter of the conductor (c), where $C_s = d\rho C_{p,c}$ is the HCPUA of the conductor with a thickness of *d*. *M* is a frequency related factor (see Supporting Information). Under high frequency, $M \approx 1$, and Equation 1 is the same as Equation 2.^[9]

As shown in the equations, the thermal effusivity of the substrate is an important parameter for efficient sound generation. The thermal effusivity characterizes the thermal impedance of matter (i.e., its ability to exchange thermal energy with the surroundings). Therefore, in order to emit more sound pressure for a given electrical power input, the thermal energy generated from the conductor should be well exchanged with

the surrounding air, but not with the substrate. PET and PDMS have a lower thermal effusivity than glass (Supporting Information, Table S1). Therefore, at a fixed input power, graphene films on PET or PDMS would be expected to generate a higher sound pressure than graphene films on glass, as confirmed in Figure 1e. Calculations predict that the SPL of the PET and PDMS samples would be similar to each other, while acoustic tests revealed higher SPLs for PDMS. This might be due to an air gap between the PDMS and poly(methyl methacrylate) (PMMA) (used as an adhesion layer between the graphene and the PDMS). The theoretical upper limit of SPL is also shown for comparison. The upper limit of thermoacoustics in a half-space can be expressed as:^[21]

$$P_{\text{rms,max}} = \frac{Qf}{\sqrt{2r_0 C_{p,g} T_0}} \quad (3)$$

where Q is the input power and T_0 is ambient temperature. In this case, the substrate is thermally insulating. The purple solid line in Figure 1e shows the calculated theoretical limit of the SPL. Therefore, the acoustic performance of graphene speakers has more room to be improved beyond this demonstration. A primary challenge is minimizing the heat loss to the substrate (ideally, by suspending the graphene films in air or using a thermally insulating medium).

Unlike glass, PET and PDMS have a superior flexibility. Moreover, PDMS is an elastomeric (rubbery), stretchable material. Therefore, graphene on PET or PDMS has the

potential for transparent, flexible, and stretchable loudspeakers. A transparent, flexible loudspeaker was demonstrated with monolayer graphene on PET ($\approx 2.5 \times 2.5 \text{ cm}^2$) while bending the film. Figure 2a,b show the SPL versus the sound frequency of cylindrically deformed films, with various radii, at a fixed measurement distance (3 cm). For the convex configuration, the overall SPL of the film was slightly decreased with increased curvature (Figure 2a). The minimal decrease of the SPL while bending the film might be because most of the sound intensity of the thermoacoustic radiation by graphene is focused on the on-axis with $\pm 30^\circ$.^[9] For the concave configuration with a fixed measurement distance, the SPL at low frequency ($< \approx 7 \text{ kHz}$) increased with increased curvature, while the SPL at high frequency was flattened in this measurement range (Figure 2b). This demonstrates the ability to fabricate flexible loudspeakers having a high transmittance, as well as flexible transducers, which may have significance in applications such as focused sound fields. The acoustic performance of the concave films was further characterized as a function of the measurement distance with two different radii of curvature (Figure 2c,d). At lower frequency, the SPL decreased with increased measurement distance. However, the higher-frequency region showed different behaviors. The sharp drop and jump of the SPLs for both cases are positioned at different frequencies. This might be due to the superposition of radiated sound, but it is known that the effective focal region of a concave source is not located at the center of curvature and is highly dependent on frequency due to the phase.^[22] Further study is needed for

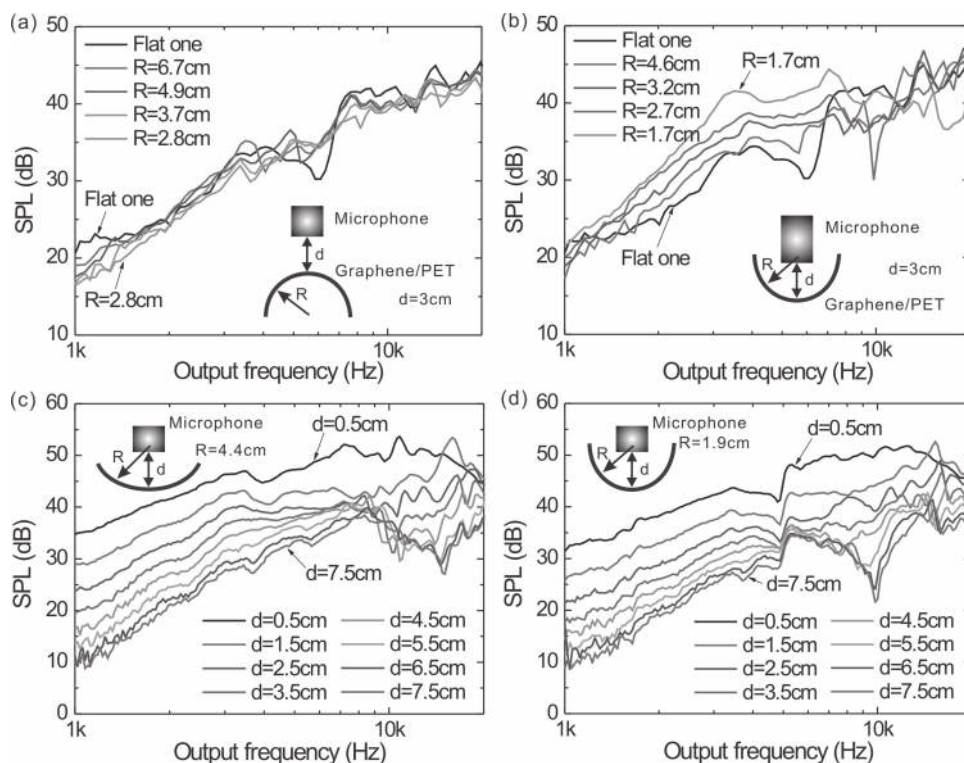


Figure 2. Acoustic performance of cylindrically deformed graphene/PET films. a,b) The output sound pressure levels of convex (a) and concave (b) configurations as a function of the radius of curvature at 0.25 W input power and a 3 cm measurement distance. c,d) The output sound-pressure levels of the concave film as a function of the measurement distance with radii of 4.4 cm (c) and 1.9 cm (d).

better understanding of the complicated behaviors of the cylindrically deformed thermoacoustic speaker.

On the basis of the above experiments, the sound pressure generated from large-area monolayer graphene can be altered by choosing an appropriate substrate and different geometrical configurations. The effect of the underlying substrate on thermoacoustic sound generation was further systematically investigated using suspended graphene structures. 300 μm thick silicon substrates of varying surface porosities, defined as the area fraction of the patterns to the entire surface area of the substrate, were compared. **Figure 3a** shows a schematic illustration of the fabricated devices. 20 μm deep holes with $\approx 2.3 \mu\text{m}$ diameter were etched into the silicon substrates with different hole-to-hole spacing to obtain $\approx 11\%$ and $\approx 45\%$ surface porosities.

Figure 3b,c show SEM images of the patterned substrates with $\approx 45\%$ surface porosity. Graphene films were transferred onto these patterned substrates using a dry transfer technique to avoid trapping of water inside the holes.^[18] In order to enhance the robustness of the graphene films during the dry transfer, 2-layer graphene films were used by stacking two monolayer graphene films (the detailed transfer method is described in the Supporting Information). **Figure 3d** shows the 2-layer graphene transferred onto the patterned substrate ($\approx 1 \times 1 \text{ cm}^2$) with $\approx 45\%$ surface porosity.

Figure 3e shows the SPL of the 2-layer graphene on the patterned substrates as a function of sound frequency at an input power of 0.25 W. Overall, the substrate with the higher surface porosity generated a higher sound pressure. Interestingly,

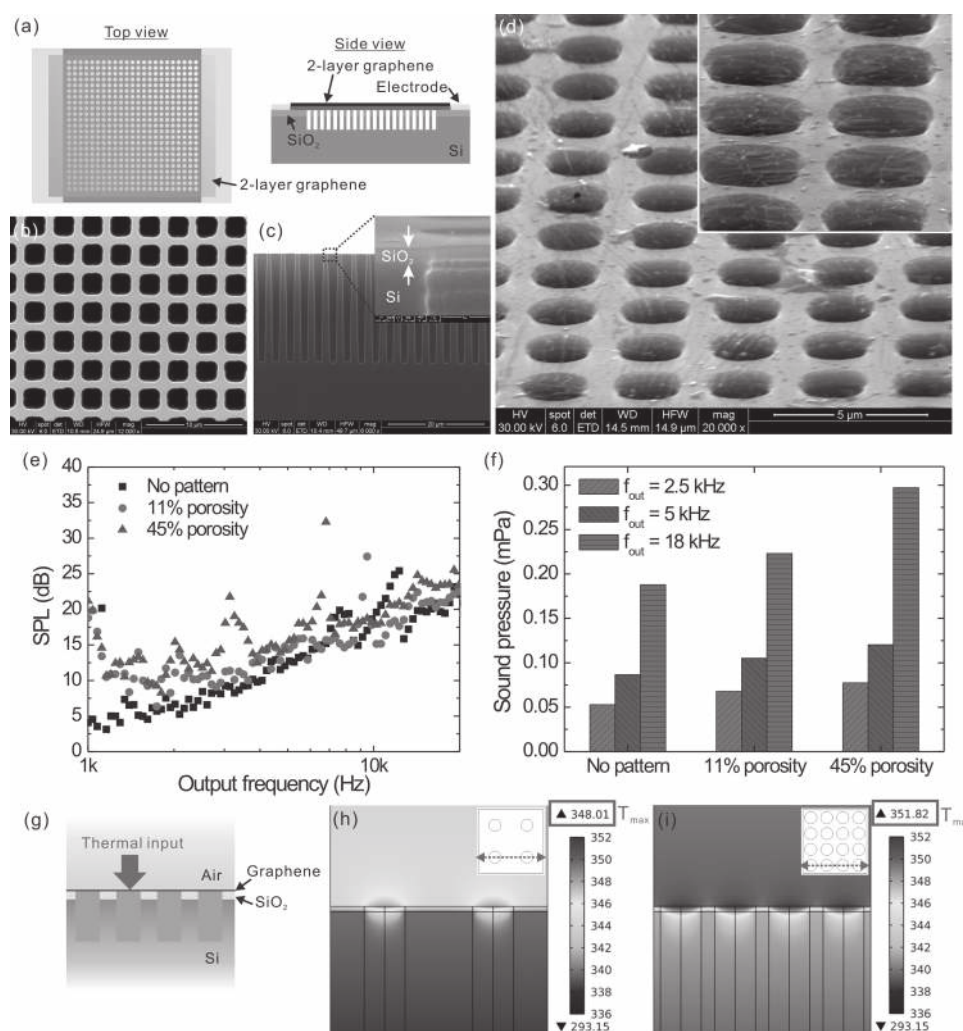


Figure 3. Comparison of the acoustic performance of 2-layer graphene according to the surface porosities. a) Schematic illustration of the patterned substrate device. b,c) Top- and cross-sectional-view SEM images of a substrate with $\approx 45\%$ surface porosity. The inset of (c) shows the 300 nm-thick SiO₂ deposited on the patterned silicon substrate. d) SEM images of 2-layer graphene on the patterned substrate with a $\approx 45\%$ surface porosity. e) The output sound-pressure level as a function of sound frequency at 0.25 W input power and a 3 cm measurement distance. f) The output sound pressure at sound frequencies of 2.5, 5, and 18 kHz. g) Schematic illustration of a cross-sectional view of a model for numerical analysis of the heat transfer in the patterned substrate and surrounding air. h,i) Cross-sectional temperature distribution of the patterned substrates with two different surface porosities at the same input power: $\approx 11\%$ (h) and $\approx 45\%$ (i). The plots were taken along the dotted lines. The maximum temperature of the substrate surface is marked with a red box (the unit is K).

the substrate with $\approx 45\%$ surface porosity showed prominent increases of the SPL around 3.1 kHz and 6.8 kHz. This might be attributable to the patterned holes modifying the generated sound pressure. Figure 3f shows the sound pressure from three substrates at the sound frequencies of 2.5, 5, and 18 kHz. The sound pressure increased as the surface porosity increased. It was thereby found that the thermal properties of the substrates play an important role in thermoacoustic sound generation. With an increase in the surface porosity, the effective properties, such as thermal conductivity, density, and specific heat of the substrates, are reduced due to the increased effect of air. Thus, it is expected that the substrate with higher porosity has a lower thermal effusivity, which induces a higher thermoacoustic sound pressure, as confirmed by our measurements.

Finite element analysis was used for evaluating the heat transfer in the patterned substrates and the surrounding air. For simplicity, only a $12.2 \times 12.2 \mu\text{m}^2$ area section was modeled, containing circular cavities with $2.3 \mu\text{m}$ diameter and $20 \mu\text{m}$ depth (see more details in the Supporting Information). Figure 3g shows a schematic illustration of the model for the heat transfer analysis. Figure 3h,i show the calculated temperature distribution of the substrates with $\approx 11\%$ and $\approx 45\%$ surface porosities, respectively, and identical input power to both. The maximum temperature of the surface is higher for the higher surface porosity, indicating that the heat loss to the substrate can be minimized by reducing the contact area of the graphene films with the supporting substrate. Therefore, the sound pressure generated from the graphene films can be enhanced by increasing the porosity of the underlying substrate. This demonstration of graphene films on patterned silicon substrates also suggests the potential for integrating graphene speakers with micro-electromechanical systems (MEMS) acoustic devices such as microphones and ultrasound sensors.

Another supporting substrate, PDMS, was tested to compare the acoustic performance as a function of the surface porosity of the substrate. Three monolayer graphene films were stacked with $\approx 100 \text{ nm}$ thick PMMA film for enhanced mechanical robustness and adhesion to PDMS. The PMMA/3-layer-graphene film was transferred onto a patterned PDMS substrate having circular pillars with $\approx 2.3 \mu\text{m}$ diameter and $\approx 1 \mu\text{m}$ height. The patterned PDMS substrate was replicated from the prepatterned silicon substrate used in Figure 3 (the detailed procedure is described in the Supporting Information). Figure 4 shows the acoustic performance of the 3-layer graphene films as a function of the surface porosities at 0.25 W input power and a 3 cm measurement distance. Compared with the silicon substrate shown in Figure 3, the PDMS substrate more clearly generated a higher SPL as the surface porosity increased, indicating that a proper material combined with a designed surface morphology can minimize the heat loss to the underlying substrate.

In conclusion, monolayer graphene grown on copper by CVD was used to demonstrate thermoacoustic sound generation. Various transparent substrates with different thermal properties were studied for their effects on sound generation. Substrates having a lower thermal effusivity showed better acoustic performance, as predicted by the theoretical study. Due to its transparency, atomic thickness, and mechanical robustness, monolayer graphene demonstrates the potential for transparent,

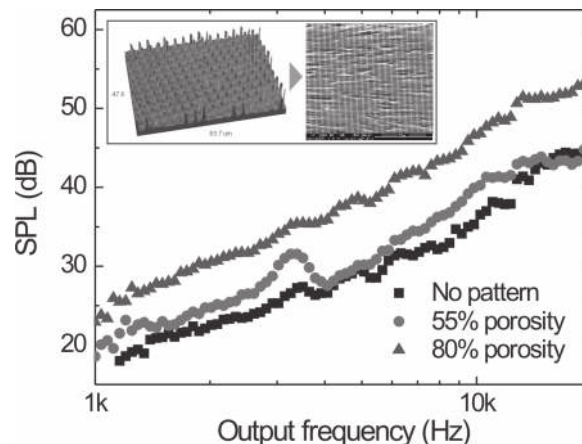


Figure 4. The output sound pressure level of 3-layer graphene on patterned PDMS as a function of sound frequency at 0.25 W input power and a 3 cm measurement distance. The inset of the graph shows an optical profiler image of the patterned PDMS with $\approx 80\%$ porosity and an SEM image of 3-layer graphene with $\approx 100 \text{ nm}$ -thick PMMA transferred on the patterned PDMS.

flexible, and stretchable loudspeakers with intriguing features such as focused sound fields. Moreover, the effect of the underlying substrates on the acoustic performance of graphene was further investigated by transferring it onto patterned substrates with different surface porosities. The substrate with highest surface porosity generated the highest sound pressure. This proves that the thermoacoustic sound generation from graphene can be enhanced by the choice of proper substrates, as well as the design of the morphology of the substrates.

Experimental Section

Graphene Transferred on Transparent Substrates: The transfer onto glass and PET was achieved by polymer-assisted wet transfer. PMMA solution (10 mg mL^{-1} in chlorobenzene) was spin-coated on a graphene/copper foil at 4000 rpm for 30 s. The copper was etched in ammonium persulfate (0.5 M) by floating the sample over the etchant surface. The PMMA/graphene was rinsed with distilled water and transferred onto the target substrates. After drying the sample, the PMMA was removed with acetone. For the transfer onto PDMS, the same wet transfer method did not result in a quality transfer; the monolayer graphene was cracked and discontinuous after the transfer. A thin PMMA layer ($\approx 0.6 \mu\text{m}$ thickness) was used as an adhesion layer to hold the monolayer graphene onto the PDMS (see Supporting Information). Raman spectroscopy (488 nm excitation laser with a $100\times$ objective lens, WITec Alpha 300 micro-Raman imaging system) was used to characterize the quality of the transferred graphene. The optical transmittance was measured using a spectroscopic ellipsometer system (JA Woolham M2000).

Acoustic Tests: For the acoustic measurements, electrical wires were connected onto the transferred graphene with silver paste. A microphone (Type 40AC, G.R.A.S Sound and Vibration) was placed in front of the sample. The frequency response was measured by an audio analyzer (dScope Series III, Prism Sound). A pure sinusoidal voltage at an input frequency sweeping from 500 Hz to 10 kHz was applied to the loudspeaker without any DC bias. Due to the nature of thermoacoustic sound generation, the output frequency doubled that of the input; thus, the audio analyzer was set to detect the signal at the doubled frequency.

Two-Layer Graphene onto Patterned Substrates: On flat silicon substrates (300 μm thick), circular patterns were fabricated by UV photolithography and deep reactive-ion etching. A 300 nm-thick silicon dioxide film was deposited by plasma-enhanced CVD onto the patterned substrates to electrically insulate silicon from graphene. Electrodes made of Cr(20 nm)/Au(100 nm) were then thermally deposited onto the substrates. Scanning electron microscopy (SEM) images were taken using an FEI Quanta-600 FEG Environmental SEM system. Conventional wet transfer techniques could not be used for these substrates because water would easily become trapped inside the holes. Therefore, a dry transfer technique using a PDMS block was used for transferring 2-layer graphene onto the patterned substrate (see Supporting Information). The heat transfer in the patterned substrates and the surrounding air was numerically analyzed using COMSOL Multiphysics (see Supporting Information).

Supporting Information

Supporting Information is available from the Wiley Online Library or from the author.

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