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## Thermodynamically-efficient local computation and the inefficiency of quantum memory compression

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Modularity dissipation identifies how locally implemented computation entails costs beyond those required by Landauer’s bound on thermodynamic computing. We establish a general theorem for efficient local computation, giving the necessary and sufficient conditions for a local operation to have zero modularity cost. Applied to thermodynamically-generating stochastic processes it confirms a conjecture that classical generators are efficient if and only if they satisfy retrodiction, which places minimum-memory requirements on the generator. This extends immediately to quantum computation: Any quantum simulator that employs quantum memory compression *cannot* be thermodynamically efficient.

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### I. INTRODUCTION

Recently, Google AI announced a breakthrough in quantum supremacy, using a 54-qubit processor (“Sycamore”) to complete a target computation in 200 seconds, claiming the world’s fastest supercomputer would take more than 10 000 years to perform a similar computation [1]. Shortly afterward, IBM announced that they had proven the Sycamore circuit could be successfully simulated on the Summit supercomputer, leveraging its 250 PB storage and 200 petaFLOPS speed to complete the target computation in a matter of days [2]. This episode highlights two important aspects of quantum computing: first, the importance of memory and, second, the subtle relationship between computation and simulation.

Feynman [3] broached the notion that quantum computers would be singularly useful for the simulation of quantum processes, without supposing that this would also make them advantageous at simulating classical processes. Here, we explore issues raised by the recent developments in quantum computing, focusing on the problem of simulating classical stochastic processes via stochastic and quantum computers. We show that using quantum computers to simulate classical processes typically requires nonzero thermodynamic cost, while stochastic computers can theoretically achieve zero cost in simulating classical processes. This supports the viewpoint originally put forth by Feynman—that certain types of computers would each be advantageous at simulating certain physical processes—which challenges the current claims of quantum supremacy. Furthermore, we show that in both

classical and quantum simulations, thermodynamic efficiency places a lower bound on the required memory of the simulator.

To demonstrate both, we must prove a new theorem on the thermodynamic efficiency of local operations. Correlation is a resource: it has been investigated as such in the formalism of *resource theories* [4] such as that of local operations with classical communication [5], with public communication [6], and many others, as well as the theory of local operations alone, under the umbrella term of *common information* [7–9]. Correlations have long been recognized as a thermal resource [10–13], enabling efficient computation to be performed when taken properly into account. Local operations that act only on part of a larger system are known to never increase the correlation between the part and the whole; most often, they are destructive to correlations and therefore resource-expensive.

Thermodynamic dissipation induced by a local operation—say on system  $A$  of a bipartite system  $AB$  to make a new joint system  $CB$ —is classically proportional to the difference in mutual information [14]:

$$\Delta S_{\text{loc}} = k_B T (I[A : B] - I[C : B]).$$

This can be asymptotically achieved for quantum systems [15]. By the data processing inequality [16,17], it is always nonnegative:  $\Delta S_{\text{loc}} \geq 0$ . Optimal thermodynamic efficiency is achieved when  $\Delta S_{\text{loc}} = 0$ .

To identify the conditions, in both classical and quantum computation, when this is so, we draw from prior results on saturated information-theoretic inequalities [18–24]. Specifically, by using a generalized notion of quantum sufficient statistic [24–27], we show that a local operation on part of a system is efficient if and only if it unitarily preserves the minimal sufficient statistic of the part for the whole. Our geometric interpretation of this also draws on recent progress on fixed points of quantum channels [28–31].

Paralleling previous results on  $\Delta S_{\text{loc}}$  [14], our particular interest in locality arises from applying it to thermal transformations that generate and manipulate stochastic processes. This

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is the study of *information engines* [12,13,32–35]. Rooted in computational mechanics [36–41], which investigates the inherent computational properties of natural processes and the resources they consume, information engines embed stochastic processes and Markovian generators in the physical world, where Landauer’s bound for the cost of erasure holds sway [10].

A key result for information engines is the *information-processing second law* (IPSL): the cost of transforming one stochastic process into another by any computation is at least the difference in their Kolmogorov-Sinai entropy rates [33]. However, actual physical generators and transducers of processes, with their own internal memory dynamics, often exceed the cost required by the IPSL [14]. This arises from the temporal locality of a physical generator—only operating from time step to time step, rather than acting on the entire process at once. The additional dissipation  $\Delta S_{\text{loc}}$  induced by this temporal locality gives the true thermodynamic cost of operating an information engine.

Previous work explored optimal conditions for a classical information engine to generate a process. Working from the hidden Markov model (HMM) [42] that determines an engine’s memory dynamics, it was conjectured that the HMM must be *retrodictive* to be optimal. For this to hold, the current memory state must be a sufficient statistic of the *future* data for predicting the *past* data [14].

Employing a general result on conditions for reversible local computation, the following confirms this conjecture in the form of an equivalent condition on the HMM’s structure. We then extend this, showing that it holds for quantum generators of stochastic processes [15,43–51]. Notably, quantum generators are known to provide potentially unbounded advantage in memory storage when compared with classical generators of the same process [44,45,47–50]. Surprisingly, the advantage is contingent: optimally-efficient generators—those with  $\Delta S_{\text{loc}} = 0$ —must not benefit from any memory compression. We show this to be true not only for previously published quantum generators but also for a new family of quantum generators derived from time reversal [49,52–54].

While important on its own, this also provides a complementary view of our previous result on quantum generators, which showed that a quantum-compressed generator is never less thermodynamically-efficient than the classical generator it compresses [15]. Combined with our current result, one concludes that a quantum-compressed generator is efficient with respect to the generator it compresses but, to the extent that it is compressed, it cannot be optimally efficient. In short, only classical retrodictive generators achieve the lower bound dictated by the IPSL. Practically, this highlights a pressing need to experimentally explore the thermodynamics of quantum computing.

## II. THERMODYNAMICS OF QUANTUM INFORMATION RESERVOIRS

The physical setting of our work is in the realm of *information reservoirs*—systems all of whose states have the same energy level. Landauer’s principle for quantum systems says that to change an information reservoir  $A$  from state  $\rho_A$  to state

$\rho'_A$  requires a work cost satisfying the lower bound

$$W \geq k_B T \ln 2 (H[\rho_A] - H[\rho'_A]), \quad (1)$$

where  $H\rho_A$  is the von Neumann entropy [17]. Note that the lower bound  $W_{\text{min}} := k_B T \ln 2 (H[\rho_A] - H[\rho'_A])$  is simply the change in free energy for an information reservoir. Furthermore, due to an information reservoir’s trivial Hamiltonian, all of the work  $W$  becomes heat  $Q$ . Then the total entropy production—of system and environment—is

$$\Delta S := Q + k_B T \ln 2 \Delta H[A] = W - W_{\text{min}}. \quad (2)$$

Thus, not only does Landauer’s principle provide the lower bound but it also reveals that any work exceeding  $W_{\text{min}}$  represents dissipation.

Reference [14] showed that Landauer’s bound may indeed be attained in the quasistatic limit for any channel acting on a *classical* information reservoir. This result generally does not extend to single-shot quantum channels [55]. However, when we consider asymptotically many parallel applications of a quantum channel, we recover the tightness of Landauer’s bound [15].

These statements are exceedingly general. To derive useful results, we must place further constraints on the system dynamics to see how Landauer’s bound is affected. Reference [14] introduced the following perspective: Consider a bipartite information reservoir  $AB$  on which we wish to apply the local channel  $\mathcal{E} \otimes I_B$ , where  $\mathcal{E} : \mathcal{B}(\mathcal{H}_A) \rightarrow \mathcal{B}(\mathcal{H}_C)$  maps the states of system  $A$  into those of system  $C$ , transforming the initial joint state  $\rho_{AB}$  to the final state  $\rho_{CB}$ . The Landauer bound for  $AB \rightarrow CB$  is given by  $W_{\text{min}} = k_B T \ln 2 (H[\rho_{AB}] - H[\rho_{CB}])$ . However, since we constrained ourselves to use local manipulations, the lowest achievable bound is actually  $W_{\text{loc}} := k_B T \ln 2 (H[\rho_A] - H[\rho_C])$ . Thus, we must have dissipation of at least

$$\begin{aligned} \Delta S &\geq W_{\text{loc}} - W_{\text{min}} \\ &= k_B T \ln 2 (H[\rho_A] - H[\rho_{AB}] - H[\rho_C] + H[\rho_{CB}]) \\ &= k_B T \ln 2 (I[A : B] - I[C : B]), \end{aligned} \quad (3)$$

where  $I[A : B] = H[\rho_A] + H[\rho_B] - H[\rho_{AB}]$  is the quantum mutual information. And so we have a minimal *locality dissipation*:

$$\Delta S_{\text{loc}} := k_B T \ln 2 (I[A : B] - I[C : B]), \quad (4)$$

which arises because we did not use the correlations to facilitate our erasure. See Fig. 1 for a simple example of this phenomenon.

This local form of Landauer’s principle is still highly general, but the following shows how to examine it for specific classical and quantum computational architectures. The key question we ask is the following: For which architectures can  $\Delta S_{\text{loc}}$  be made to exactly vanish? We first we consider this problem generally and then provide a solution.

## III. REVERSIBLE LOCAL COMPUTATION

Suppose we are given a bipartite system  $AB$  with state  $\rho_{AB}$ . We wish to determine the conditions for a local channel  $\mathcal{E}_A \otimes I_B$  that maps  $A$  to  $C$ :

$$\rho'_{CB} = \mathcal{E}_A \otimes I_B(\rho_{AB}), \quad (5)$$

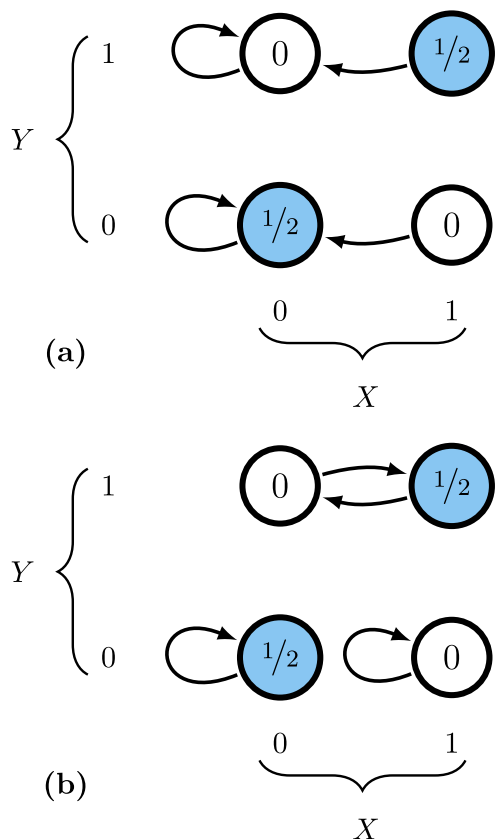


FIG. 1. Thermodynamics of locality: Suppose we have two bits  $XY$  in a correlated state where  $\frac{1}{2}$  probability is in  $XY = 00$  and  $\frac{1}{2}$  probability is in  $XY = 11$ . (a) A thermodynamically irreversible operation can be performed to erase only  $X$  (that is, set  $X = 0$  without changing  $Y$ ) if we are not allowed to use knowledge about the state of  $Y$ . (b) A reversible operation can be performed to erase  $X$  if we are allowed to use knowledge about  $Y$ . Both operations have the same outcome given our initial condition, but the nonlocal operation (a) is more thermodynamically costly because it is irreversible. According to Theorem 1, operation (a) is costly since it erases information in  $X$  that is correlated with  $Y$ .

to preserve the mutual information  $I[A : B] = I[C : B]$ . Proofs of the following results are provided in the Supplemental Material (SM) [56].

Stating our result requires first defining the quantum notion of a sufficient statistic. Previously, quantum sufficient statistics of  $A$  for  $B$  were defined when  $AB$  is a classical-quantum state [27]. That is, when  $\rho_{AB}$  commutes with a local measurement on  $A$ . They were also introduced in the setting of sufficient statistics for a family of states [24,25]. This corresponds to the case where  $AB$  is quantum-classical— $\rho_{AB}$  commutes with a local measurement on  $B$ . Our definition generalizes these cases to fully-quantal correlations between  $A$  and  $B$ .

We start, as an example, by giving the following definition of a minimum sufficient statistic of a classical joint random variable  $XY \sim \Pr(x, y)$  in terms of an equivalence relation. We define the predictive equivalence relation  $\sim$  for which  $x \sim x'$  if and only if  $\Pr(y|x) = \Pr(y|x')$  for all  $y$ . The *minimum sufficient statistic* (MSS)  $[X]_Y$  is given by the equivalence

classes  $[x]_Y := \{x' : x \sim x'\}$ . Let us denote  $\Sigma := [X]_Y$  and let  $\Pr(y|\sigma) := \Pr(y|x)$  for any  $x \in \sigma$ .

This cannot be *directly* generalized to the quantum setting since correlations between  $A$  and  $B$  cannot always be described in the form of states conditioned on the outcome of a local measurement on  $A$ . If the latter were the case, the state would be classical-quantum, but general quantum correlations can be much more complicated than these. However, we can take the most informative local measurement that does not disturb  $\rho_{AB}$  and then consider the “atomic” quantum correlations it leaves behind.

Let  $\rho_{AB}$  be a bipartite quantum state. A *maximal local commuting measurement* (MLCM) of  $A$  for  $B$  is any local measurement  $X$  with projectors  $\{\Pi^{(x)}\}$  on system  $A$  such that

$$\rho_{AB} = \bigoplus_x \Pr(X = x) \rho_{AB}^{(x)}, \tag{6}$$

where

$$\Pr(X = x) = \text{Tr}[(\Pi_X^{(x)} \otimes I_B) \rho_{AB}], \tag{7}$$

and

$$\Pr(X = x) \rho_{AB}^{(x)} = (\Pi_X^{(x)} \otimes I_B) \rho_{AB} (\Pi_X^{(x)} \otimes I_B), \tag{8}$$

and any further local measurement  $Y$  on  $\rho_{AB}^{(x)}$  disturbs the state:

$$\rho_{AB}^{(x)} \neq \sum_y (\Pi_Y^{(y)} \otimes I_B) \rho_{AB}^{(x)} (\Pi_Y^{(y)} \otimes I_B). \tag{9}$$

We call the states  $\{\rho_{AB}^{(x)}\}$  *quantum correlation atoms*.

**Proposition 1: MLCM uniqueness.** Given a state  $\rho_{AB}$ , there is a unique MLCM of  $A$  for  $B$ .

Now, as in the classical setting, we define an equivalence class over the values of the MLCM via the equivalence between their quantum correlation atoms. Classically, these atoms are simply the conditional probability distributions  $\Pr(\cdot|x)$ ; in the classical-quantum setting, they are the conditional quantum states  $\rho_B^{(x)}$ . Note that each is defined as a distribution on the variable  $Y$  or system  $B$ . In contrast, the general quantum correlation atoms  $\rho_{AB}^{(x)}$  depend on both systems  $A$  and  $B$ .

The resulting challenge is resolved in the following way: Let  $\rho_{AB}$  be a bipartite quantum state and let  $X$  be the MLCM of  $A$  for  $B$ . We define the *correlation equivalence* relation  $x \sim x'$  over values of  $X$  where  $x \sim x'$  if and only if  $\rho_{AB}^{(x)} = (U \otimes I_B) \rho_{AB}^{(x')} (U^\dagger \otimes I_B)$  for a local unitary  $U$ .

Finally, we define the *minimal local sufficient statistic* (MLSS)  $[X]_\sim$  as the equivalence class  $[x]_\sim := \{x' : x' \sim x\}$  generated by the relation  $\sim$  between correlation atoms. Thus, our notion of sufficiency of  $A$  for  $B$  is to find the most informative local measurement and then coarse-grain its outcomes by unitary equivalence over their correlation atoms. The correlation atoms and the MLSS  $[X]_\sim$  together describe the correlation structure of the system  $AB$ .

The machinery is now in place to state our result. The proof depends on previous results regarding the fixed points of stochastic channels [28–31] and saturated information-theoretic inequalities [18–24]. This background and the proof are described in the SM.

**Theorem 1: Reversible local operations.** Let  $\rho_{AB}$  be a bipartite quantum state and let  $\mathcal{E}_A \otimes I_B$  be a local operation

with  $\mathcal{E}_A : \mathcal{B}(\mathcal{H}_A) \rightarrow \mathcal{B}(\mathcal{H}_C)$ . Suppose  $X$  is the MLM of  $\rho_{AB}$  and  $Y$ , that of  $\rho_{CB} = \mathcal{E}_A \otimes I_B(\rho_{AB})$ . The decomposition into correlation atoms is

$$\rho_{AB} = \bigoplus_x \Pr_A(x) \rho_{AB}^{(x)}, \quad (10)$$

$$\rho_{CB} = \bigoplus_y \Pr_C(y) \rho_{CB}^{(y)}. \quad (11)$$

Then,  $I[A : B] = I[C : B]$  if and only if  $\mathcal{E}_A$  can be expressed by Kraus operators of the form:

$$K^{(\alpha)} = \bigoplus_{x,y} e^{i\phi_{xy\alpha}} \sqrt{\Pr(y, \alpha|x)} U^{(y|x)}, \quad (12)$$

where  $\phi_{xy\alpha}$  is any arbitrary phase and  $\Pr(y, \alpha|x)$  is a stochastic channel that is nonzero only when  $\rho_{AB}^{(x)}$  and  $\rho_{CB}^{(y)}$  are equivalent up to a local unitary operation  $U^{(y|x)}$  that maps  $\mathcal{H}_A^{(x)}$  to  $\mathcal{H}_C^{(y)}$ .

The theorem's classical form follows as a corollary:

**Corollary 1: Reversible local operations, classical.** Let  $XY$  be a joint random variable and let  $\Pr(Z = z|X = x)$  be a channel from  $\mathcal{X}$  to some set  $\mathcal{Z}$ , resulting in the joint random variable  $ZY$ . Then  $I[X : Y] = I[Z : Y]$  if and only if  $\Pr(Z = z|X = x) > 0$  only when  $\Pr(Y = y|Z = z) = \Pr(Y = y|X = x)$  for all  $y$ .

In light of the previous section, there is a simple thermodynamic interpretation of Theorem 1 and Corollary 1: local channels that circumvent dissipation due to their locality (i.e., those which have  $\Delta S_{\text{loc}} = 0$ ) are precisely those channels that preserve the sufficiency structure of the joint state. They may create and destroy any information that is not stored in the sufficient statistic and the correlation atoms. However, the sufficient statistic itself must be conserved and the correlation atoms must be only unitarily transformed.

We now turn to apply this perspective to classical and quantum *generators*—systems that use thermodynamic mechanisms to produce stochastic processes. We compute the necessary and sufficient conditions for these generators to have zero locality dissipation:  $\Delta S_{\text{loc}} = 0$ . And so, in this way we determine precise criteria for when they are thermodynamically efficient.

#### IV. THERMODYNAMICS OF CLASSICAL GENERATORS

A classical generator is the physical implementation of a hidden Markov model (HMM) [42]  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$ , where (here)  $\mathcal{S}$  is countable,  $\mathcal{X}$  is finite, and for each  $x \in \mathcal{X}$ ,  $\mathbf{T}^{(x)}$  is a matrix with values given by a stochastic channel from  $\mathcal{S}$  to  $\mathcal{S} \times \mathcal{Y}$ ,  $T_{s's}^{(x)} := \Pr_{\mathfrak{G}}(s', x|s)$ . We define generators to use *recurrent* HMMs, which means the total transition matrix  $T_{s's} := \sum_x T_{s's}^{(x)}$  is irreducible. In this case, there is a unique stationary distribution  $\pi_{\mathfrak{G}}(s)$  over states  $\mathcal{S}$  satisfying  $\pi_{\mathfrak{G}}(s) > 0$ ,  $\sum_s \pi_{\mathfrak{G}}(s) = 1$ , and  $\sum_s T_{s's} \pi_{\mathfrak{G}}(s) = \pi_{\mathfrak{G}}(s')$ .

During its operation, a generator's function is to produce a *stochastic process*—for each  $\ell$ , a probability distribution  $\Pr_{\mathfrak{G}}(x_1 \dots x_\ell)$  over words  $x_1 \dots x_\ell \in \mathcal{X}^\ell$ . The probabilities for words of length  $\ell$  generated by  $\mathfrak{G}$  are defined by

$$\Pr_{\mathfrak{G}}(x_1 \dots x_\ell) := \sum_{s_0, \dots, s_\ell \in \mathcal{S}^{\ell+1}} T_{s_\ell s_{\ell-1}}^{(x_\ell)} \dots T_{s_1 s_0}^{(x_1)} \pi(s_0). \quad (13)$$

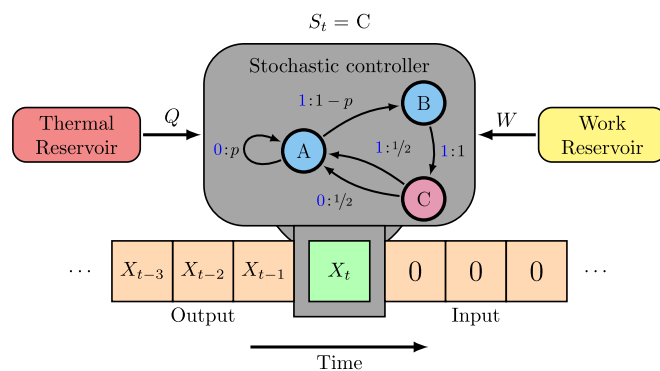


FIG. 2. Information ratchet sequentially generating a symbol string on an empty tape: At time step  $t$ ,  $S_t$  is the random variable for the ratchet state. The generated symbols in the generated (output) process are denoted by  $X_{t-1}, X_{t-2}, X_{t-3}, \dots$ . The most recently generated symbol  $X_t$  (green) is determined by the internal dynamics of the ratchet's memory by using heat  $Q$  from the thermal reservoir as well as work  $W$  from the work reservoir. (*Inside ratchet*) Ratchet memory dynamics and symbol emission are governed by the conditional probabilities  $\Pr(s_{t+1}, x_t | s_t)$ , where  $s_t$  is the current state at time  $t$ ,  $x_t$  is the generated symbol, and  $s_{t+1}$  is the new state. Graphically, this is represented by a hidden Markov model, depicted here as a state-transition diagram in which nodes are states  $s$  and edges represent transitions  $s \rightarrow s'$  labeled by the generated symbol and associated probability:  $x : \Pr(s', x|s)$ . (Reproduced with permission from Ref. [15].)

Typically, we view a generator as operating over discrete time, writing out a sequence of symbols from  $x \in \mathcal{X}$  on a tape, while internally transforming its memory state; see Fig. 2. Starting with an initial state  $S_0 \sim \pi(s)$  and empty tape at time  $t = 0$ , the entire system at time  $t$  is described by the joint random variable  $X_1 \dots X_t S_t$ , with distribution

$$\Pr_{\mathfrak{G}}(x_1 \dots x_t, s_t) := \sum_{s_0, \dots, s_{t-1} \in \mathcal{S}^t} T_{s_t s_{t-1}}^{(x_t)} \dots T_{s_1 s_0}^{(x_1)} \pi_{\mathfrak{G}}(s_0). \quad (14)$$

Continuing this technique, one can compute the joint random variable  $X_1 \dots X_t S_t X_{t+1} S_{t+1}$ .

This picture of a generator as operating on a tape while continually erasing and rewriting its internal memory allows us to define the possible thermodynamics, also shown in Fig. 2. Erasure generally requires work, drawn from the work reservoir, while the creation of noise often allows the extraction of work, which is represented in our sign convention by drawing negative work from the reservoir. Producing a process  $X_1 \dots X_t \sim \Pr(x_1 \dots x_t)$  of length  $t$  has an associated work cost  $W \geq -k_B T \ln 2H[X_1 \dots X_t]$ . The negative sign, as discussed, indicates work  $k_B T \ln 2H[X_1 \dots X_t]$  may be transferred from the thermal reservoir to the work reservoir. For large  $t$ , this can be asymptotically expressed by the work rate  $W/t \geq -k_B T \ln 2 h_\mu$ , where

$$h_\mu := \lim_{t \rightarrow \infty} \frac{1}{t} H[X_1 \dots X_t] \quad (15)$$

is the process's *Kolmogorov-Sinai entropy rate* [33]. This is a reasonable description of the average entropy rate of a process that is *stationary*—that is,  $\Pr(X_t \dots X_{t+\ell} = x_1 \dots x_{\ell-1})$  is independent of  $t$ —and *ergodic*. Said differently, for large

$t$  a typical realization  $x_1 \dots x_t$  contains the word  $\widehat{x}_1 \dots \widehat{x}_\ell$  approximately  $t \times \Pr(\widehat{x}_1 \dots \widehat{x}_\ell)$  times. Recurrent generators produce exactly these sorts of processes.

Now, a given generator cannot necessarily be implemented as efficiently as the minimal work rate  $W_{\min} := -k_B T \ln 2 h_\mu$  indicates. This is because a generator acts temporally locally, only being able to use its current memory state to generate the next memory state and symbol. The true cost at time  $t$  must be bounded below by  $W_{\text{loc}} := W_{\min} + \Delta S_{\text{loc}}$ , where in this case the locality dissipation is [14]

$$\Delta S_{\text{loc}} = k_B T \ln 2 (I[S_t : X_1 \dots X_t] - I[S_{t+1} X_{t+1} : X_1 \dots X_t]). \quad (16)$$

In this case, the dissipation does not represent work lost to heat but rather the increase in tape entropy that did not facilitate converting heat into work. To understand this in some detail, this section identifies the necessary and sufficient conditions for efficient generators—those with  $\Delta S_{\text{loc}} = 0$ .

To state our result for classical generators, we must introduce two further notions regarding generators. As before, proofs of results are given in the SM. Consider a partition of  $\mathcal{S}$ :  $\mathcal{P} = \{\mathcal{P}_\theta\}$ ,  $\mathcal{P}_\theta \cap \mathcal{P}_{\theta'} = \emptyset$ ,  $\bigcup_\theta \mathcal{P}_\theta = \mathcal{S}$ , labeled by index  $\theta$ . Let

$$\Pr_{\mathfrak{G}^{\mathcal{P}}}(\theta', x|\theta) := \sum_{\substack{s' \in \mathcal{P}_{\theta'} \\ s \in \mathcal{P}_\theta}} \Pr_{\mathfrak{G}}(s', x|s) \pi(s|\theta), \quad (17)$$

with  $\pi_{\mathfrak{G}}(s|\theta) = \pi_{\mathfrak{G}}(s)/\pi_{\mathfrak{G}^{\mathcal{P}}}(\theta)$  and  $\pi_{\mathfrak{G}^{\mathcal{P}}}(\theta) = \sum_{s \in \mathcal{P}_\theta} \pi_{\mathfrak{G}}(s)$ . We say a partition  $\{\mathcal{P}_\theta\}$  is *mergeable* with respect to the generator  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$  if the merged generator  $\mathfrak{G}^{\mathcal{P}} = (\mathcal{P}, \mathcal{X}, \{\tilde{T}_{\theta'\theta}^{(x)}\})$ , with transitions  $\tilde{T}_{\theta'\theta}^{(x)} := \Pr(\theta', x|\theta)$ , generates the same process as the original.

Pertinent to our goals here is the notion of *retrodictive equivalence*. Let  $\Pr_{\mathfrak{G}}(x_1 \dots x_t | s_t) := \Pr_{\mathfrak{G}}(x_1 \dots x_t, s_t) / \pi_{\mathfrak{G}}(s_t)$ . Given two states  $s, s' \in \mathcal{S}$  of a generator  $(\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$ , we say that  $s \sim s'$  if  $\Pr_{\mathfrak{G}}(x_1 \dots x_t | s) = \Pr_{\mathfrak{G}}(x_1 \dots x_t | s')$  for all words  $x_1 \dots x_t$ . The equivalence class  $[s_t]_{\sim}$  is the sufficient statistic of  $S_t$  for predicting the past symbols  $X_1 \dots X_t$ . The set  $\mathcal{P}_{\sim} := \{[s]_{\sim} : s \in \mathcal{S}\}$  of equivalence classes is a partition on  $\mathcal{S}$  that we index by  $\sigma$ .

*Proposition 2.* Given a generator  $(\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$ , the partition  $\mathcal{P} := \mathcal{P}_{\sim}$  induced by retrodictive equivalence is mergeable.

We now state our theorem for efficient classical generators:

*Theorem 2.* A generator  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$  satisfies  $I[S_t : X_1 \dots X_t] = I[S_{t+1} X_{t+1} : X_1 \dots X_t]$  for all  $t$  if and only if the retrodictively state-merged generator  $\mathfrak{G}^{\mathcal{P}} = (\mathcal{P}_{\sim}, \mathcal{X}, \{\tilde{T}_{\sigma'\sigma}^{(x)}\})$  satisfies  $\tilde{T}_{\sigma'\sigma}^{(x)} \propto \delta_{\sigma, f(\sigma', x)}$  for some function  $f : \mathcal{S} \times \mathcal{X} \rightarrow \mathcal{S}$ .

We say that a generator  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$  satisfying  $T_{s's}^{(x)} \propto \delta_{s, f(s', x)}$  for some  $f$  is *co-unifilar*. The dual property  $T_{s's}^{(x)} \propto \delta_{s', f(s, x)}$  for some  $f$  is called *unifilar* [57]. For every process, there is a unique generator, called the *reverse  $\epsilon$ -machine*, constructed by retrodictively state-merging any co-unifilar generator [50]. Similarly, by using a different partition called *predictive equivalence* on states, any unifilar generator for a process can be state-merged into a unique generator called the *forward  $\epsilon$ -machine* of that process [50].

The reverse  $\epsilon$ -machine has the following property: Let  $\vec{X}_t := X_{t+1} X_{t+2} \dots$  represent all future generated symbols, the reverse  $\epsilon$ -machine state  $\Sigma_t$  at time  $t$  is the minimum sufficient statistic of  $\vec{X}_t$  for predicting  $X_1 \dots X_t$ . Any generator whose state  $S_t$  is a sufficient statistic of  $\vec{X}_t$  for  $X_1 \dots X_t$  is called a *retrodictor*. The reverse  $\epsilon$ -machine can then be considered the minimal retrodictor.

Reference [14] conjectured that the necessary and sufficient condition for  $\Delta S_{\text{loc}} = 0$  is that the generator in question is a retrodictor. In the SM we confirm this by establishing that the conditions of Theorem 2 imply that the generator is a retrodictor.

A similar result, for classical generators, was presented in Ref. [34] where a lower bound on  $\Delta S_{\text{loc}}$  was derived for predictive generators [Eq. (A23) in Ref. [34]]. A consequence of this bound is that  $\Delta S_{\text{loc}} = 0$  only when the predictor is also a retrodictor. However, this bound does not extend to nonpredictive generators. In contrast, Theorem 2 applies to all generators.

Our result is complemented by another recent result [35] which demonstrated how, from a predictive generator, one can construct a sequence of generators that asymptotically approach a retrodictor and whose dissipation  $\Delta S_{\text{loc}}$  asymptotically approaches zero. Helpfully, this result points to possible perturbative extensions of Theorem 2.

These results bear on the trade-off between dissipation and *memory* for classical generators. The reverse (forward)  $\epsilon$ -machine, being a state-merging of any co-unifilar (unifilar) generator, is minimal with respect to the co-unifilar (unifilar) generators via all quantifications of the memory, such as the number of memory states  $|\mathcal{S}|$  and the entropy  $H[S]$  [50].

As a consequence, we now see that the above showed that any *thermodynamically efficient* generator can be state-merged into a co-unifilar generator. This means it can be further state-merged into the reverse  $\epsilon$ -machine of the process it generates. In short, thermodynamic efficiency comes with a memory constraint. And, when the memory falls below this constraint, *dissipation must be present*.

## V. THERMODYNAMICS OF QUANTUM MACHINES

A process's forward  $\epsilon$ -machine, a key player in the previous section, may be concretely defined as the unique generator  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$  for a given process satisfying [38]

- (1) *Recurrence*:  $T_{s's}^{(x)} := \sum_x T_{s's}^{(x)}$  is an irreducible matrix;
- (2) *Unifilarity*:  $T_{s's}^{(x)} > 0$  only when  $s' = f(s, x)$  for some function  $f : \mathcal{S} \times \mathcal{X} \rightarrow \mathcal{S}$ ;

- (3) *Predictively Distinct States*:  $\Pr(x_t x_{t+1} \dots x_\ell | s_t) = \Pr(x_t x_{t+1} \dots x_\ell | s'_t)$  for all  $\ell$  and  $x_t x_{t+1} \dots x_\ell$  implies  $s_t = s'_t$ .

$\epsilon$ -machines are a process's minimal unifilar generators, in the sense that they are smallest with respect to the number of memory states  $|\mathcal{S}|$ , the entropy  $H[S]$ , and all other ways of measuring memory, such as the Rényi entropies  $H_\alpha[S] := \frac{1}{1-\alpha} \log_2[\sum_s \pi_{\mathfrak{G}}(s)^\alpha]$ . In this, they are unique.

However, one can implement  $\epsilon$ -machines with even lower memory costs, by encoding them in a quantum system and generating symbols by means of a noisy measurement. This encoding is called a *q-machine*. In terms of qubits, as a unit of size, these implementations can generate the same

process at a much lower memory cost than the  $\epsilon$ -machine's bit-based memory cost. It has also been shown that these quantum implementations have a lower locality cost  $W_{\text{loc}}$  than their corresponding  $\epsilon$ -machine, and so they are more thermodynamically efficient [15]. This section identifies the constraints for quantum generators to have zero dissipation; that is,  $\Delta S_{\text{loc}} = 0$ . We show that this results in a peculiar pair of constraints. First, the forward  $\epsilon$ -machine memory must not be smaller than the memory of the reverse  $\epsilon$ -machine. (This mirrors the results of Theorem 2 in the SM.) Second, the quantum generator achieves no compression. That is, the memory of the quantum generator in qubits is precisely the memory of the forward  $\epsilon$ -machine in bits. Thus, compression of memory and perfect thermodynamic efficiency are exclusive outcomes.

To state this precisely, we review  $q$ -machines and introduce several new definitions to capture their properties (see the SM for the proofs).

Given a forward  $\epsilon$ -machine  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$ , for any set of phases  $\{\phi_{xs} : x \in \mathcal{X}, s \in \mathcal{S}\}$  there is an encoding  $\{|\psi_s\rangle : s \in \mathcal{S}\}$  of the memory states  $\mathcal{S}$  into a Hilbert space  $\mathcal{H}_S$  and a set of Kraus operators  $\{K^{(x)} : x \in \mathcal{X}\}$  on said Hilbert space such that

$$K^{(x)} |\psi_s\rangle = e^{i\phi_{xs}} \sqrt{T_{f(s,x),s}^{(x)}} |\psi_{f(s,x)}\rangle. \quad (18)$$

This expression implicitly defines the Kraus operators given the encoding  $\{|\psi_s\rangle\}$ . The encoding, in turn, is determined up to a unitary transformation by the following constraint on their overlaps:

$$\langle \psi_{r'} | \psi_s \rangle = \sum_{x \in \mathcal{X}} e^{i(\phi_{xs} - \phi_{xr'})} \sqrt{T_{r',r}^{(x)} T_{s',s}^{(x)}} \langle \psi_{r'} | \psi_{s'} \rangle, \quad (19)$$

where  $r' = f(r, x)$  and  $s' = f(s, x)$ . This equation has a unique solution for every choice of phases  $\{\phi_{xs}\}$  [51].

We note that if  $\pi_{\mathfrak{G}}(s)$  is the  $\epsilon$ -machine's stationary distribution, then the stationary state of this quantum generator is given by the ensemble

$$\rho_{\pi} = \sum_s \pi_{\mathfrak{G}}(s) |\psi_s\rangle \langle \psi_s| \quad (20)$$

and satisfies

$$\rho_{\pi} = \sum_x K^{(x)} \rho_{\pi} K^{(x)\dagger}. \quad (21)$$

When we say that a quantum generator *uses less memory than its classical counterpart*, we mean that  $\dim \mathcal{H}_S \leq |\mathcal{S}|$ ,  $H[\rho_{\pi}] \leq H[S]$ , and further that  $H_{\alpha}[\rho_{\pi}] \leq H_{\alpha}[S]$ , where  $H_{\alpha}[\rho_{\pi}] := \frac{1}{1-\alpha} \log_2 \text{Tr}[\rho_{\pi}^{\alpha}]$  are the Rényi-von Neumann entropies [44,49,50].

To see this quantum generator as a physical system, as in Fig. 2, requires us to interpret the tape as being written on as a series of copies of a single Hilbert space  $\mathcal{H}_A$  that represents one cell on the tape. On  $\mathcal{H}_A$  we define the computational basis  $\{|x\rangle : x \in \mathcal{X}\}$  in which outputs will be written. The system at time  $t$  can be described by using the joint Hilbert space  $\mathcal{H}_{A_1} \otimes \mathcal{H}_{A_t} \otimes \mathcal{H}_S$ , where each  $\mathcal{H}_{A_k}$  is unitarily equivalent to  $\mathcal{H}_A$ , and

the state is

$$\rho_{\mathfrak{G}}(t) := \sum_{x_1 \dots x_t} |x_1 \dots x_t\rangle \langle x_1 \dots x_t| \otimes K^{(x_t \dots x_1)} \rho_{\pi} K^{(x_t \dots x_1)\dagger}, \quad (22)$$

where  $K^{(x_t \dots x_1)} = K^{(x_t)} \dots K^{(x_1)}$  and  $|x_1 \dots x_t\rangle = |x_1\rangle_{A_1} \otimes \dots \otimes |x_t\rangle_{A_t}$ . From this we get the process generated by the  $\epsilon$ -machine and quantum generator in terms of the Kraus operators as

$$\text{Pr}_{\mathfrak{G}}(x_1 \dots x_t) := \text{Tr}[K^{(x_t \dots x_1)} \rho_{\pi} K^{(x_t \dots x_1)\dagger}]. \quad (23)$$

Let us now briefly discuss the thermodynamic properties of quantum generators, homing in on our main result about conditions for their efficiency. The previous section discussed how a process, to be generated, requires the minimal work rate  $W_{\text{min}} = -k_B T \ln 2 h_{\mu}$ . However, this is not typically achievable for classical generators. The same principle holds for quantum generators: Since they act temporally locally, the true cost at time  $t$  is bounded below by  $W_{\text{loc}} = W_{\text{min}} + \Delta S_{\text{loc}}$  and the locality dissipation  $\Delta S_{\text{loc}}$  has the same form:

$$\Delta S_{\text{loc}} = k_B T \ln 2 (I[S_t : A_1 \dots A_t] - I[S_{t+1} A_{t+1} : A_1 \dots A_t]). \quad (24)$$

There are two crucial differences, though. First, the mutual information  $I$  above is the *quantum* mutual information derived from the von Neumann entropy. Second, even the work rate  $W_{\text{loc}}$  is not necessarily achievable in the single-shot case [55]. However, it may be attained for asymptotically parallel generation [15]. We will not concern ourselves with this second problem here. Our intent is to focus, as in the previous section, on the necessary and sufficient conditions for  $\Delta S_{\text{loc}} = 0$ .

The preceding material was, in fact, review. We now introduce a simple partition that may be constructed on the memory states of the  $\epsilon$ -machine for a given quantum implementation. Specifically, we define the *maximal commuting partition* (MCP) on  $\mathcal{S}$  to be the most refined partition  $\{\mathcal{B}_{\theta}\}$  such that the overlap matrix  $\langle \psi_r | \psi_s \rangle$  is block diagonal. That is,  $\{\mathcal{B}_{\theta}\}$  is such that  $\langle \psi_r | \psi_s \rangle = 0$  if  $r \in \mathcal{B}_{\theta}$  and  $s \in \mathcal{B}_{\theta'}$  for  $\theta \neq \theta'$ .

Our result on thermodynamically-efficient quantum generators is as follows:

*Theorem 3: Maximally-efficient quantum generator.* Let  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$  be a given process's  $\epsilon$ -machine. Suppose we build from it a quantum generator with encoding  $\{|\psi_s\rangle$  and Kraus operators  $\{K^{(x)}\}$ . Let  $\mathcal{B} := \{\mathcal{B}_{\theta}\}$  be the MCP of  $\mathcal{S}$ . Then the quantum generator has  $\Delta S_{\text{loc}} = 0$  if and only if the partition  $\mathcal{B}$  is trivially maximal—in that  $|\mathcal{B}_{\theta}| = 1$  for each  $\theta$ —and the retrodictively state-merged generator  $\mathfrak{G}^{\mathcal{B}}$  of  $\mathfrak{G}$  is co-unifilar.

We previously found that, in the limit of asymptotically parallel generation, a quantum generator is always more thermodynamically efficient than its corresponding  $\epsilon$ -machine, in that it has a lower dissipation [15]. Yet this does not imply that dissipation can be made to vanish for quantum generators of a process. In fact, only for processes whose forward  $\epsilon$ -machine is also a retrodictor can dissipation be made to vanish. In these cases, the memory states will be orthogonally encoded, and so no memory compression is achieved, which is seen

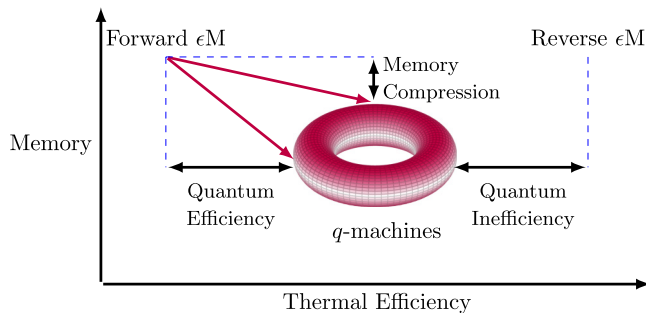


FIG. 3. Performance trade-offs for  $q$ -machines, whose variety and dependence on phases  $\{\phi_{xs}\}$  is depicted by a torus: Under all ways of quantifying memory, the  $q$ -machines constructed from a predictor achieve nonnegative memory compression [50], and they also have a smaller dissipation  $\Delta S_{\text{loc}}$ , rendering them more thermodynamically efficient [15]. However, to achieve positive compression, they must also have a nonzero  $\Delta S_{\text{loc}}$ , rendering them less efficient than a classical retrodictor.

by the trivial maximality of  $\mathcal{B}$ . The situation is heuristically represented in Fig. 3.

## VI. THERMODYNAMICS OF REVERSE $q$ -MACHINES

We showed that forward  $\epsilon$ -machines compressed via the  $q$ -machine cannot achieve the efficiency of a classical retrodictor. However, one may wonder what happens to a retrodictor's optimal efficiency if it is directly compressed. We now demonstrate a method for such compression, derived from the time-reversal of the  $q$ -machine, and prove that even here any nonzero compression of memory precludes optimal efficiency.

A process's reverse  $\epsilon$ -machine may be defined similarly to the forward  $\epsilon$ -machine as the unique generator  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$  for a given process satisfying:

- (1) *Recurrence*:  $T_{s's}^{(x)} := \sum_x T_{s's}^{(x)}$  is an irreducible matrix;
- (2) *Co-unifilarity*:  $T_{s's}^{(x)} > 0$  only when  $s = f(s', x)$  for some function  $f : \mathcal{S} \times \mathcal{X} \rightarrow \mathcal{S}$ ;
- (3) *Retrodictively Distinct States*:  $\Pr(x_1 \dots x_t | s_t) = \Pr(x_1 \dots x_t | s'_t)$  for all  $t$  and  $x_1 \dots x_t$  implies  $s_t = s'_t$ .

Reverse  $\epsilon$ -machines are a process's minimal co-unifilar generators, in the sense that they are smallest with respect to the number of memory states  $|\mathcal{S}|$ , the entropy  $H[S]$ , and all other ways of measuring memory, such as the Rényi entropies  $H_\alpha[S] := \frac{1}{1-\alpha} \log_2[\sum_s \pi_\mathfrak{G}(s)^\alpha]$ .

There is an intricate relationship between forward and reverse  $\epsilon$ -machines that can only be appreciated in the language of time reversal. The time-reverse of a generator  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$  is the generator  $\tilde{\mathfrak{G}} = (\mathcal{S}, \mathcal{X}, \{\tilde{T}_{s's}^{(x)}\})$  where  $\tilde{T}_{s's}^{(x)} = \pi_s T_{s's}^{(x)} / \pi_{s'}$  [54]. The generator  $\tilde{\mathfrak{G}}$  is associated with the reverse process,  $\Pr_{\tilde{\mathfrak{G}}}(x_1 \dots x_t) = \Pr_{\mathfrak{G}}(x_t \dots x_1)$ . Note that time reversal preserves both the state space  $\mathcal{S}$  and the stationary distribution  $\pi_s$ .

Given a process's forward  $\epsilon$ -machine  $\tilde{\mathfrak{F}}$ , its time reverse  $\tilde{\tilde{\mathfrak{F}}}$  is the *reverse*  $\epsilon$ -machine of the *reverse* process. Conversely, given a process's reverse  $\epsilon$ -machine  $\mathfrak{G}$ , its time reverse  $\tilde{\mathfrak{G}}$  is the *forward*  $\epsilon$ -machine of the *reverse* process. Since the stationary distribution and state space are preserved under time reversal,  $\tilde{\mathfrak{F}}$  and  $\tilde{\tilde{\mathfrak{F}}}$  have the same memory costs, as do  $\mathfrak{G}$

and  $\tilde{\mathfrak{G}}$ . However, somewhat surprisingly, this does not mean that  $\tilde{\mathfrak{F}}$  and  $\tilde{\mathfrak{G}}$  have the same memory costs [53].

Previous work compared the results of compressing the forward  $\epsilon$ -machine  $\tilde{\mathfrak{F}}$  of a process *and* the forward  $\epsilon$ -machine  $\tilde{\mathfrak{G}}$  of the reverse process using the  $q$ -machine formalism. The result, for compressing  $\tilde{\mathfrak{G}}$ , is a  $q$ -machine that generates the reverse process—remarkably, with identical cost to the  $q$ -machine constructed from  $\tilde{\mathfrak{F}}$  [49].

The  $q$ -machine constructed from  $\tilde{\mathfrak{G}}$  generates a quantum process and as such can itself undergo quantum time reversal [52], resulting in a new process that is generated by what we call the *reverse  $q$ -machine*. Just as the  $q$ -machine compresses  $\tilde{\mathfrak{G}}$ , the reverse  $q$ -machine is a compression of  $\mathfrak{G}$ . Although the reverse  $q$ -machine is derived from the  $q$ -machine via time reversal, there is genuinely new physics present, because the dissipation  $\Delta S_{\text{loc}}$  [Eq. (24)] is not invariant under time reversal. Thus, they must be approached as a separate case from the traditional  $q$ -machine when examining their thermodynamic efficiency.

The details of the time reversal are handled in the SM. Here, we present the resulting technique for compressing the reverse  $\epsilon$ -machine. Given a reverse  $\epsilon$ -machine  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$ , for any set of phases  $\{\phi_{xs} : x \in \mathcal{X}, s \in \mathcal{S}\}$  there is an encoding  $\{|\psi_s\rangle : s \in \mathcal{S}\}$  of *orthogonal* states into a Hilbert space  $\mathcal{H}_\mathcal{S}$  and a set of Kraus operators  $\{K^{(x)} : x \in \mathcal{X}\}$  on said Hilbert space such that

$$K^{(x)}|\psi_s\rangle = \sum_{s' \in \mathcal{S}} e^{i\phi_{xs'}} \sqrt{T_{s's}^{(x)}} |\psi_{s'}\rangle. \quad (25)$$

The orthogonality of  $\{|\psi_s\rangle\}$  allows us to turn this into an explicit definition of the Kraus operators:

$$K^{(x)} = \sum_{s' \in \mathcal{S}} e^{i\phi_{xs'}} \sqrt{T_{s'f(s',x)}^{(x)}} |\psi_{s'}\rangle \langle \psi_{f(s',x)}|. \quad (26)$$

The stationary state  $\rho_\pi$  of this machine is, unlike the  $q$ -machine, generically not expressible as an ensemble of the encoding states  $\{|\psi_s\rangle\}$ . If this were so, the orthogonality of  $\{|\psi_s\rangle\}$  would make them a diagonalizing basis for  $\rho_\pi$ , and we would achieve no memory compression. Rather, compression is achieved for the reverse  $q$ -machine precisely because the stationary state  $\rho_\pi$  is generically not diagonal in the encoding states—in contrast with the  $q$ -machine, which derived compression from the nonorthogonality of the encoding states.

The reverse  $q$ -machine stochastic dynamics Eq. (23) and thermodynamics Eq. (24) are defined precisely as those for  $q$ -machines in the previous section. As before, to prove our result we must define a special partition of the generator states. Here, it is important to note a relationship between a process's forward  $\epsilon$ -machine  $\tilde{\mathfrak{F}} = (\mathcal{P}, \mathcal{X}, \{R_{p'p}^{(x)}\})$  and its reverse  $\epsilon$ -machine  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$ . Specifically, the state  $S_t$  of  $\mathfrak{G}$  after seeing the word  $x_1 \dots x_t$  and the state  $P_t$  of  $\tilde{\mathfrak{F}}$  after the same are related by

$$\Pr_{\tilde{\mathfrak{G}}}(s_t | x_1 \dots x_t) = \sum_{p_t} \Pr_C(s_t | p_t) \Pr_{\tilde{\mathfrak{F}}}(p_t | x_1 \dots x_t) \quad (27)$$

for some channel  $\Pr_C(s|p)$ . Let  $\lambda_p$  be the stationary distribution of  $\tilde{\mathfrak{F}}$ 's states and let  $\Pr_E(s'|s) = \sum_p \Pr_C(s|p) \Pr_C(s'|p) \lambda_p / \pi_s$ . Let  $\mathcal{B} = \{\mathcal{B}_\theta\}$  be the ergodic



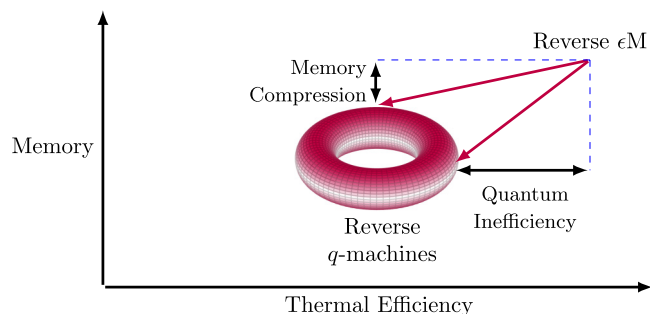


FIG. 4. Performance trade-offs for reverse  $q$ -machines, whose variety and dependence on  $\{\phi_{x,s}\}$  is represented by a torus: Under all quantifications of memory, the reverse  $q$ -machines constructed from a retrodictor achieve non-negative memory compression. However, to achieve positive compression, they must also have a nonzero dissipation  $\Delta S_{\text{loc}}$ . The latter renders them less thermodynamically efficient.

partition of  $\Pr_{\mathcal{E}}(s'|s)$ , such that  $\Pr_{\mathcal{E}}(s'|s) > 0$  only when  $\theta(s) = \theta(s')$ . The SM shows that  $\rho_{\pi}$  is diagonal in the blocks defined by  $\mathcal{B}$ .

Our result for reverse  $q$ -machines, proven in the SM, can now be stated:

*Theorem 4: Maximally-efficient reverse  $q$ -machine.* Let  $\mathfrak{G} = (\mathcal{S}, \mathcal{X}, \{T_{s's}^{(x)}\})$  be a given process' reverse  $\epsilon$ -machine. Suppose we build from it a reverse  $q$ -machine with encoding  $\{|\psi_s\rangle\}$  and Kraus operators  $\{K^{(x)}\}$ . Let  $\mathcal{B} := \{\mathcal{B}_{\theta}\}$  be the MCP of  $\mathcal{S}$ . Then the reverse  $q$ -machine has  $\Delta S_{\text{loc}} = 0$  if and only if the partition  $\mathcal{B}$  is trivially maximal—in that  $|\mathcal{B}_{\theta}| = 1$  for each  $\theta$ —and the predictively state-merged generator  $\mathfrak{G}^{\mathcal{B}}$  of  $\mathfrak{G}$  is unifilar.

Notice that this statement is a similar to that made in the last section and is essentially its time reverse. It implies that the only reverse  $\epsilon$ -machines which can be quantally compressed are those which are also predictive generators. Also, again the trivial maximality of the ergodic partition  $\mathcal{B}$  implies an inability to achieve nonzero compression. A heuristic diagram of the situation is shown in Fig. 4.

In conjunction with the previous section, this is a profound result on the efficiency of quantum memory compression. Distinct from the classical case, where Theorem 2 established that *every* process has certain generators that do achieve zero dissipation, Theorems 3 and 4 imply that only *certain* processes have zero-dissipation quantum generators and, moreover, those particular processes achieve no memory compression. The memory states, being orthogonally encoded, take no advantage of the quantum setting to reduce their memory cost.

### VII. CONCLUDING REMARKS

We identified the conditions under which local operations circumvent the thermodynamic dissipation  $\Delta S_{\text{loc}}$  that arises from destroying correlation. We started by showing how a useful theorem can be derived by using recent results on the fixed points of quantum channels. We applied it to the setting

of local operations to determine the necessary and sufficient conditions for vanishing  $\Delta S_{\text{loc}}$  in classical and quantum settings, with the aid of a generalized notion of quantum sufficient statistic. We employed this fundamental result to review and extend previous results on the thermodynamic efficiency of generators of stochastic processes. We confirmed a recent conjecture regarding the conditions for vanishing  $\Delta S_{\text{loc}}$  in a classical generator. And, then, we showed that the exact same conditions hold for quantum generators, even to the point of requiring orthogonal encoding of memory states. This implies the profound result that quantum memory compression and perfect efficiency ( $\Delta S_{\text{loc}} = 0$ ) are incompatible.

It is appropriate here to recall the lecture by Feynman in the early days of thinking about quantum computing, in which he observed that quantum systems can only be simulated on classical (even probabilistic) computers with great difficulty, but on a fundamentally-quantum computer they could be more realistically simulated [3]. Here, we considered the task of simulating a classical stochastic process by two means: one by using fundamentally-classical but probabilistic machines and the other by using a fundamentally-quantum machine. Previous results generally indicated quantum machines are advantageous in memory for this task, in comparison with their classical counterparts. Historically, this led to a much stronger notion of “quantum supremacy” than Feynman proposed: quantum computers may be advantageous in *all* tasks [58].

However, the quantum implementation we examined, although advantageous in memory, requires nonzero dissipation in order to cash in on that advantage. Furthermore, not every process necessarily has a quantum generator that achieves zero dissipation. This is in sharp contrast with the classical outcome. And so, this returns us to the spirit of Feynman’s vision for simulating physics, in which it may sometimes be the case that the best machine to simulate a classical stochastic process is a classical stochastic computer—at least, thermodynamically speaking.

To further exercise these results, further extensions must be made to quantum generators, beyond the  $q$ -machine and its time reverse. We must determine if the exclusive relationship between compression and zero dissipation continues to hold in such extensions. We pursue this question in forthcoming work.

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