

## Thin-film ZnO as Micromechanical Actuator at Low Frequencies

F R BLOM, D J YNTEMA, F C M VAN DE POL, M ELWENSPOEK, J H J FLUITMAN and TH J A POPMA  
 Faculty of Electrical Engineering, University of Twente, P O Box 217, 7500 AE Enschede (The Netherlands)

### Abstract

A new model is proposed for the low-frequency piezoelectric activity of ZnO films grown on CVD SiO<sub>2</sub>. In this MOS structure, with ZnO as the semiconductor, a depletion layer is induced by means of a d.c. bias voltage. Using standard semiconductor theory, an expression is derived relating the electric field in this depletion layer with the driving a.c. and d.c. voltages. Due to the built-in charge at the ZnO-SiO<sub>2</sub> interface, a depletion layer exists, even when no d.c. bias is applied. We measured the vibration amplitude at resonance of the tip of a silicon cantilever, upon which the MOS structure was deposited, as function of a.c. and d.c. voltages. The results show good agreement with calculated curves. Therefore, it can be concluded that thin-film ZnO can be used as a piezoelectric actuator for micromechanical devices working at low frequencies.

### Introduction

Thin-film ZnO is a very promising material for electromechanical transducers, since it has high piezoelectric coupling factors [1]. Unfortunately, there are several problems with this material. The most severe problem arises from the conductivity due to excessive zinc, which prevents any electric field building up in the film at low frequencies. The cut-off frequency is determined by the relaxation time  $\tau$ , given by the product of the resistivity  $\rho$  and the permittivity  $\epsilon$  of the material [2]. Consequently, ZnO films can easily be used above 1 MHz and therefore thin-film ZnO is often used as a piezoelectric transducer in the field of SAW and BAW resonators (see, e.g. refs 2 and 3).

At low-frequency applications, however, the build-up of an electric field is counteracted by charge transport of free carriers. In the literature, solutions for this problem have been sought by increasing the resistivity of the film by doping with, for instance, lithium [2], or by encapsulating the ZnO film between insulating SiO<sub>2</sub> layers, hence cutting off electric leakage paths [4, 5]. The

explanation Muller *et al* [4, 5] give for the low-frequency activity of their ZnO films is the Debye length,  $L_D$ , being much larger than typical film thicknesses of 120 and 1  $\mu\text{m}$ , respectively. This explanation is not valid for our ZnO films grown on CVD SiO<sub>2</sub>, as we have determined a much smaller value for  $L_D$ , namely  $L_D = 5 \text{ nm}$  [6]. However, earlier work in our group on micromechanical sensors demonstrated the low-frequency activity of ZnO films as piezoelectric transducers [7, 8].

In this paper we propose an explanation for the low-frequency activity of ZnO films, based on standard semiconductor theory (see ref 9) and the electric model for these layers, which is described elsewhere [6].

### Model

A sputtered ZnO film can be treated as a polycrystalline semiconductor. Low-frequency application of this piezoelectric material requires a depletion layer inside the semiconducting film to sustain an electric field, for instance by means of an MOS structure. The width of this depletion layer can be calculated as a function of the applied d.c. voltage from standard semiconductor theory. When the electric field is known, the electromechanical transduction of the piezoelectric effect can be calculated.

In the following, we shall apply this simple strategy to a ZnO film grown on CVD SiO<sub>2</sub>, an MOS structure, depicted in Fig 1. Also in this Figure the space charge distribution and the electric field in the different layers are shown, if a negative d.c. voltage,  $U_{DC}$ , is applied. Using Poisson's equation we can calculate the electric field in every region. Integrating over the complete MOS structure, we derive the relation for the width of the depletion layer as a function of  $U_{DC}$ ,  $W_d(U_{DC})$ . On this d.c. voltage we superpose an a.c. signal,  $U_{AC}$ , which is so small that  $W_d$  is not influenced. In this case the electric field in the depleted ZnO,  $E_z$ , varies proportionally to  $U_{AC}$ . In order to determine the relation between  $E_z$  and

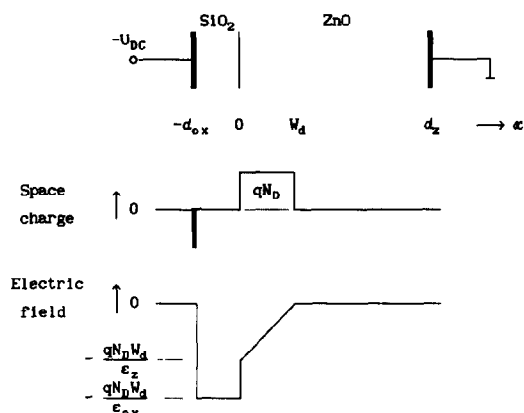


Fig 1 Cross section of the  $\text{SiO}_2$ -ZnO MOS structure with the space charge distribution and the electric field in the different regions if a negative d.c. voltage is applied

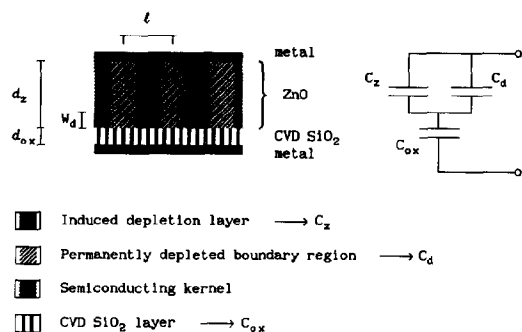


Fig 2 Schematic drawing of the MOS structure, showing the columnar structure of the ZnO layer with the permanently depleted boundary region, the semiconducting kernel and the induced depletion layer in the kernel. Also important parameters and the equivalent circuit are shown

$U_{AC}$ , we have to take a closer look at the film structure

Our ZnO films consist of columnar grains, containing a semiconducting kernel surrounded by a permanently depleted boundary region [6]. This is schematically depicted in Fig 2. The equivalent circuit of the MOS structure then consists of three capacitors, one corresponding to the  $\text{SiO}_2$  layer,  $C_{ox}$ , one to the boundary region,  $C_d$ , and one due to the induced depletion layer in the kernel,  $C_z$ . With the aid of this circuit we can calculate the relation between  $U_{AC}$  and  $E_z$ , which is also dependent on  $U_{DC}$ , thus  $E_z = f(U_{DC}) U_{AC}$ .

### Measurement Method and Sample Structure

In order to determine the piezoelectric activity of the ZnO films, we used a micromachined silicon cantilever with an MOS structure deposited

top, see Fig 3. This multilayer structure is excited into a bending mode vibration by the piezoelectric effect in the depletion layer. The vibration amplitude of the tip of the cantilever at resonance is measured with a heterodyne Mach-Zehnder interferometer, which allows an absolute determination of the amplitude.

The cantilevers are 5 mm long, 0.2 to 0.5 mm wide and 15 to 25  $\mu\text{m}$  thick, depending on the batch used. The ZnO film is reactively sputtered in a planar RF magnetron system from a pure Zn target using 100%  $\text{O}_2$ . The ZnO is 0.5  $\mu\text{m}$ , the  $\text{SiO}_2$  0.15  $\mu\text{m}$  thick, respectively. Molybdenum is used as the bottom electrode, aluminum as the top electrode material. The tip of the cantilever is not deposited with thin films and serves as a mirror for the optical detection.

A relation is now derived for the deflection of the tip of the silicon cantilever with an MOS structure deposited on top, due to the piezoelectric activity in the ZnO, see Fig 3. As a first order we shall approximate the activity of the complete ZnO layer with that of a layer having a thickness  $W_d$  only. The electric field is applied over this thickness, resulting in a mean stress in the ZnO. The real stress distribution in the ZnO layer is much more complicated, cf Fig 2, and a three-dimensional analysis is required to solve this problem. Our approximation results in neglecting the upper part of the ZnO layer.

Since the thickness of the ZnO layer,  $d_z$ , is much smaller than that of the silicon cantilever,  $d_s$ , we find a simple relation between the dynamic vibration amplitude of the cantilever at resonance as function of the driving a.c. and d.c. voltages

$$\delta = 3Q \frac{Y_z}{Y_s} \left( \frac{l_s}{d_s} \right)^2 d_{31} U_{AC} f(U_{DC}) \quad (1)$$

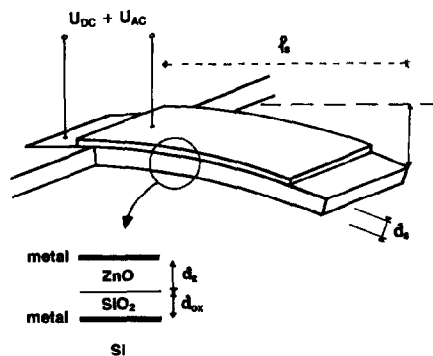


Fig 3 The multilayered cantilever in vibratory deflection with a close-up the cross section of the  $\text{SiO}_2$ -ZnO MOS structure on top of the silicon

where  $Q$ ,  $Y_z$ ,  $Y_s$ ,  $l_s$  and  $d_{31}$  are, respectively, the mechanical quality factor, the Young's modulus of ZnO and of Si, the length of the cantilever and the piezoelectric coefficient of ZnO

## Results and Discussion

Results of measurements of the vibration amplitude of the tip of the cantilever as a function of  $U_{AC}$  and  $U_{DC}$  are shown in Fig 4. The theoretical curves, calculated according to eqn (1), are drawn as well. In these calculations the bulk value of the piezoelectric coefficient  $d_{31}$  is used, namely  $d_{31} = -5.1 \times 10^{-12}$  m/V [10]. The mechanical quality factor of the cantilever is  $95 \pm 10$ .

In Fig 4 it is seen that the measured results agree quite well with the calculated curves.  $\delta$  is proportional to  $U_{AC}$  and varies with  $U_{DC}$  in accordance to our model. We also observe  $\delta$  remaining constant for  $U_{DC}$  below  $-4$  V. In this case inversion occurs at the ZnO-SiO<sub>2</sub> interface and the depletion layer in the ZnO has reached its maximum width. This effect is not accounted for in the calculations.

Furthermore, we observe an off-set in the d.c. voltage of  $7 \pm 1$  V, which is due to interface charge at the ZnO-SiO<sub>2</sub> interface. This shift is in agreement with the results of  $C$ - $V$  measurements, where a mean flat-band voltage was found of  $5 \pm 1$  V [6]. An important conclusion of this observation is that no external d.c. voltage is required to use the piezoelectric effect. We can also apply this explanation to the results of Muller *et al* [4, 5]. As outlined above, they encapsulated their ZnO films between insulating SiO<sub>2</sub> layers. Because of the interface charge, they found low-frequency piezoelectric activity of the ZnO.

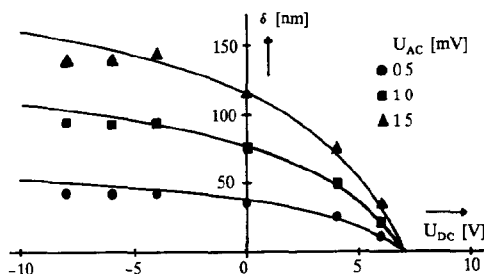


Fig 4 Measured (●, ■, ▲) and calculated (full line) values of the vibration amplitude  $\delta$  of the tip of the cantilever at resonance as a function of d.c. and a.c. voltages

## Conclusions

In this paper we propose a new model explaining the low-frequency application of semiconducting ZnO films. Using an MOS structure with ZnO as the semiconductor, a depletion layer is induced by means of a d.c. voltage. The electric field in this layer is proportional to the driving a.c. voltage. Because of a built-in charge at the ZnO-SiO<sub>2</sub> interface, a depletion layer exists, even when no d.c. bias is applied. Therefore, ZnO thin films can be applied as piezoelectric transducers for micromechanical devices working at low frequencies.

## Acknowledgements

The authors would like to thank Siebe Bouwstra for stimulating discussions and John Baxter for carefully reading the manuscript. This research in the program of the Foundation of Fundamental Research on Matter (FOM) is sponsored by the Dutch Technology Foundation (STW).

## References

- 1 T Shiosaki and A Kawabata, Piezoelectric thin films for SAW applications, *Ferroelectrics*, 42 (1982) 219-232
- 2 T Shiosaki and A Kawabata, Low-frequency piezoelectric-transducer applications of ZnO film, *Appl Phys Lett*, 25 (1974) 10
- 3 S Ono, K Wasa and S Hayakawa, Surface-acoustic-wave properties in ZnO-SiO<sub>2</sub>-Si layered structure, *Wave Electron*, 3 (1977) 35
- 4 D L Polla and R S Muller, Zinc oxide thin films for integrated sensor applications, *Tech Digest, IEEE Solid-State Sensors Workshop, Hilton Head Island, SC, U S A*, June 6-9, 1986
- 5 P L Chen, R S Muller, R M White and R Jolly, Thin film ZnO-MOS transducer with virtually DC response, *Proc IEEE Ultrasonics Symp*, Boston, MA, U S A, Nov 1980, p 945
- 6 F R Blom, F C M van de Pol, G Bauhuis and Th J A Popma, Electric model and electric properties of sputtered polycrystalline ZnO films, *Thin Solid Films*, submitted for publication
- 7 J G Smits, H A C Tilmans, K Hoen, H Mulder, J van Vurren and G Boom, Resonant diaphragm pressure measurement system with ZnO on Si excitation, *Sensors and Actuators*, 4 (1983) 565-571
- 8 S Bouwstra, Scanner-research, third phase of the Integrated Laser Beam Deflector project, *Internal Rep 070 1055*, University of Twente, 1986 (in Dutch)
- 9 e.g., S M Sze, *Semiconductor Devices, Physics and Technology*, Wiley, New York, 1st edn, 1985, pp 186-195, R S Muller and T I Kamins, *Device Electronics for Integrated Circuits*, Wiley, New York, 2nd edn, 1986, pp 378-394
- 10 K-H Hellwege (ed.), *Landolt and Börnstein, Numerical Data and Functional Relationships in Science and Technology*, Vol III-11, Springer, Berlin, 1979, p 370