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Threat imminence dictates the role of the bed nucleus of the stria terminalis in contextual fear

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ABSTRACT

Previous work indicates that the bed nucleus of the stria terminalis (BNST) is involved in defensive freezing to unpredictable Pavlovian conditioned stimuli (Goode et al., 2019). Here we show that the BNST mediates freezing to contexts paired with remote (unpredictable), but not imminent (predictable), footshock. Rats underwent a fear conditioning procedure in which a single footshock unconditioned stimulus (US) was delivered either 1 (imminent) or 9 minutes (remote) after placement in the context; each rat received a total of four conditioning trials over two days. Contexts associated with either imminent or remote USs produced distinct patterns of freezing and shock-induced activity but freezing in each case was context-dependent. Reversible inactivation of the BNST reduced the expression of contextual freezing in the context paired with remote, but not imminent, footshock. Implications of these data are discussed in light of recent conceptualizations of BNST function, as well as for anxiety behaviors.

1 INTRODUCTION

2 Anxiety disorders, such as generalized anxiety disorder (GAD), social anxiety disorder, and panic 3 disorder, as well as stress and trauma disorders, such as posttraumatic stress disorder (PTSD), are 4 among the most common and debilitating of mental illnesses (Craske et al., 2017; Essau et al., 5 2018; Kilpatrick et al., 2013; McMillan et al., 2014; Ravindran and Stein, 2010; Stein et al., 2017). 6 Despite their prevalence and severity, a complete understanding of the brain mechanisms of 7 anxiety-related behaviors has been elusive. Current models indicate that anxiety and trauma 8 disorders involve a complex network of highly interconnected brain regions (Adhikari, 2014; 9 Avery et al., 2016; Brooks and Stein, 2015; Calhoon and Tye, 2015; Ch'ng et al., 2018; Dunsmoor 10 and Paz, 2015; Fenster et al., 2018; Fox and Shackman, 2019; Janak and Tye, 2015; Lebow and 11 Chen, 2016; Maren et al., 2013; Miles and Maren, 2019; Robinson et al., 2019; Shackman and 12 Fox, 2016); these include (but are not limited to) the medial prefrontal cortex, amygdala, 13 hippocampus, and bed nucleus of the stria terminalis (BNST). In recent years, growing interest has 14 centered on the BNST as a potential target of therapeutic interventions. However, the precise 15 circumstances that engage the BNST in the learning and memory processes involved in anxiety 16 are poorly understood.

17 To address these lingering questions, we have used Pavlovian fear conditioning procedures 18 to probe the contributions of the BNST to aversive learning and memory. In this form of learning, 19 a neutral conditioned stimulus (CS), such as an auditory tone, is paired with a salient and aversive 20 stimulus, such as footshock [unconditioned stimulus (US)] (Maren, 2001a; Pavlov, 1927; 21 Rescorla, 1968, 1988). The US itself elicits a number of unconditioned behaviors (URs), including 22 bursts in activity and ultrasonic vocalizations (Fanselow, 1994). With as little as a single pairing 23 with the US, the CS alone will elicit conditioned defensive responses (CRs; including defensive 24 immobility or "freezing", which often serves as the index of conditioning). During fear

25 conditioning, animals not only learn that the CS predicts the US, but also learn to fear the context 26 in which conditioning occurs. Interestingly, numerous studies implicate the BNST in the 27 acquisition and expression of conditioned fear to contexts, but not discrete CSs (Goode et al., 2015; 28 LeDoux et al., 1988; Luyten et al., 2011; Poulos et al., 2010; Resstel et al., 2008; Sullivan et al., 29 2004; Waddell et al., 2006; Walker and Davis, 1997; Zimmerman and Maren, 2011). 30 A number of interpretations have been developed to explain the selective role for the BNST 31 in contextual fear (Davis et al., 2010, 1997b, 1997a; Fox et al., 2015; Fox and Shackman, 2019; 32 Gafford and Ressler, 2015; Goode and Maren, 2017; Gungor and Paré, 2016; Klumpers and Kroes, 33 2019; Luyck et al., 2019; Miles and Maren, 2019; Robinson et al., 2019; Shackman and Fox, 2016; 34 Walker et al., 2009, 2003, Walker and Davis, 2008, 2002; Waraczynski, 2016). A dominant view 35 has been that the BNST mediates the sustained (anxiety-like) fear responses to long-duration 36 threats, including contexts (Hammack et al., 2015; Lee and Davis, 1997; Waddell et al., 2006; 37 Walker and Davis, 1997). However, more recent data suggest that the BNST mediates conditioned 38 fear to threat CSs (whether short or long in duration) that are poor predictors of when aversive 39 outcomes occur (Daldrup et al., 2016; Goode et al., 2019; Goode and Maren, 2017; Lange et al., 40 2017). Consistent with this, we have recently reported that pharmacological inactivation of the 41 BNST attenuates fear elicited by discrete auditory CSs that poorly predicted shock onset (Goode 42 et al., 2019).

If temporal predictability, rather than stimulus modality or duration, is the critical factor determining BNST involvement in conditioned fear, then there should be factors in which contextual fear conditioning is independent of the BNST. Indeed, it has recently been reported that BNST lesions do not affect context fear conditioning when the footshock US occurs relatively soon (1 min) after an animal is placed in the conditioning context relative to those shocked after a long delay (10 min) (Hammack et al., 2015). However, in this study total exposure to the

4

49 conditioning context was not equated, which therefore confounded the timing of shock onset
50 (imminent or remote) with the duration of the context CS (short or long).

51 Here we sought to disentangle these factors by using a fear conditioning procedure that 52 equated total context and shock exposure, while varying the placement-to-shock interval (1 min or 53 9 min). Animals experienced four 10-min contextual conditioning sessions in which they received 54 a single shock per session that either occurred 1 min after the animal was placed in the conditioning 55 context ("IMMINENT") or 9 min after placement in the context ("REMOTE"). We hypothesized 56 that the reversible inactivation of the BNST would impair the expression of contextual freezing in 57 animals conditioned with temporally remote (9-min placement-to-shock), but not imminent (1-min 58 placement to shock), USs. We also examined the context-dependence of conditioning by assessing 59 the degree of context discrimination supported by the two conditioning procedures. Furthermore, 60 we characterized behavioral features of each procedure, including shock-induced activity during 61 conditioning, and the freezing latencies and bout durations of the rats during fear retrieval. Overall, 62 we found that pharmacological disruption of the BNST was most effective in disrupting remote 63 but not imminent shock.

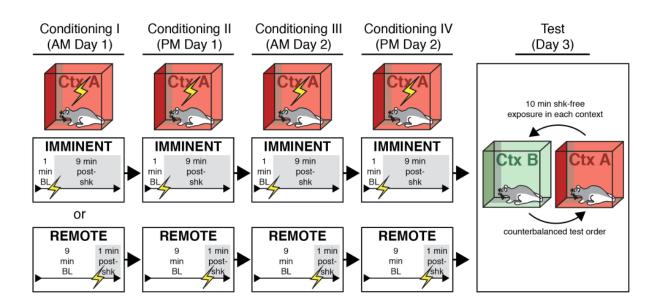


Figure 1. Behavioral design for testing context discrimination following conditioning using imminent and remote shock onset. Rats were randomly assigned to undergo four separate sessions of contextual fear conditioning using IMMINENT (1-min pre-shock baseline) or REMOTE (9-min pre-shock baseline) unsignaled shock. Each conditioning session was 10 min each. After training, and in a counterbalanced manner, IMMINENT and REMOTE rats were placed in a novel context (Context B) or the conditioning context (Context A) for 10 min in the absence of shock before being switched to the other context for an additional shock-free 10 min session.

64 **RESULTS**

65 Conditioning using imminent or remote shock is context-dependent

66 As a first step, we began by characterizing the topography and specificity of conditioned freezing 67 produced by imminent or remote shock. Rats were placed in the conditioning context and received a footshock either 1 or 9 min after placement in the chamber ("IMMINENT" and "REMOTE" 68 69 footshock, respectively); this procedure was conducted across four 10-min session. One day after 70 the final conditioning session, animals underwent a counterbalanced test for context 71 discrimination. A schematic of the behavioral design is shown in Figure 1. As shown in Figure 2, 72 animals freezing behavior increased across each conditioning session for each conditioning 73 procedure. ANOVAs of freezing during conditioning sessions I-IV revealed a main effect of time for each session (session I, repeated measures: $F_{9,252} = 10.05$, p < 0.0001; session II, repeated 74

| 75 | measures: $F_{9,252} = 6.80$, $p < 0.0001$; session III, repeated measures: $F_{9,252} = 14.90$, $p < 0.0001$; |
|----|--|
| 76 | session IV, repeated measures: $F_{9,252} = 20.88$, $p < 0.0001$). Additionally, a main effect of |
| 77 | conditioning procedure was detected for each session (session I: $F_{1,28} = 32.02$, $p < 0.0001$; session |
| 78 | II: $F_{1,28} = 79.42$, $p < 0.0001$; session III: $F_{1,28} = 25.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $p < 0.0001$; session IV: $F_{1,28} = 18.41$, $P < 0.0001$; session IV: $F_{1,28} = 18.41$, $P < 0.0001$; $F_{1,28} = 18.41$, $F_{1,28$ |
| 79 | 0.0005). Significant time \times conditioning procedure interactions were detected across conditioning |
| 80 | (session I, repeated measures: $F_{9,252} = 2.67$, $p < 0.01$; session II, repeated measures: $F_{9,252} = 8.21$, |
| 81 | $p < 0.0001$; session III, repeated measures: $F_{9,252} = 12.09$, $p < 0.0001$; session IV, repeated |
| 82 | measures: $F_{9,252} = 16.94$, $p < 0.0001$). No main effects of test order or any other interactions were |
| 83 | detected for any of the conditioning sessions (F 's < 2.70, p 's > 0.10). Nonetheless, it is worth |
| 84 | noting that post-shock freezing greatly differed between the two procedures by the end of training. |
| 85 | Specifically, a factorial ANOVA comparing freezing behavior of IMMINENT rats during minute |
| 86 | 2 (session IV) vs. freezing of REMOTE rats during minute 10 (session IV) revealed a main effect |
| 87 | of conditioning procedure (main effect of conditioning procedure: $F_{1,28} = 86.33$, $p < 0.0001$; no |
| 88 | other main effects or interactions, $F's < 0.75$, $p's > 0.40$). Reduced post-shock freezing in |
| 89 | REMOTE animals may be due to the short interval between shock and removal from the context |
| 90 | (in this case, shock may signal removal from the chamber). |

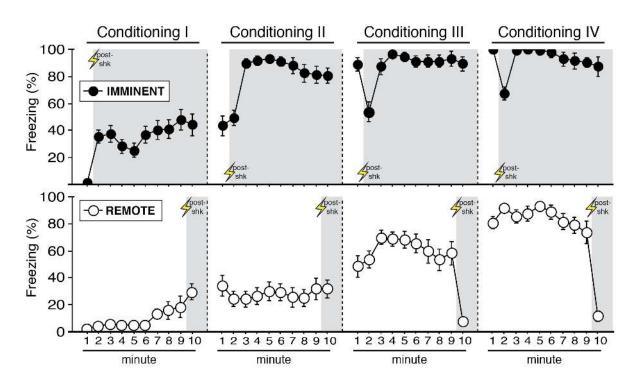


Figure 2. Context conditioning using imminent or remote shock onset. Mean percentage freezing (\pm s.e.m.) of IMMINENT (top) and REMOTE (bottom) rats at each minute of each conditioning session. Shaded areas indicate minutes post-shock for both procedures at each session. IMMINENT (n = 16); REMOTE (n = 16).

91 To test for retrieval and for the extent of context generalization, animals were either placed 92 in the conditioning context or a novel context (10 min/session) followed by exposure to the 93 alternate context ~4 hrs later (test order was counterbalanced). As shown in Figure 3A and 3B, 94 animals exhibited robust freezing in the conditioned context and lower fear in the novel context. 95 Specifically, three-way ANOVA of freezing (with test context as a factor) revealed a main effect of context ($F_{1.56} = 142.43$, p < 0.0001), indicating robust context discrimination. Additionally, we 96 97 observed a main effect of conditioning procedure ($F_{1.56} = 7.38$, p < 0.01), a main effect of time 98 (repeated measures: $F_{9.504} = 5.32$, p < 0.0001), a time × context interaction (repeated measures: $F_{9,504} = 3.30, p < 0.001$), a time × conditioning procedure interaction (repeated measures: $F_{9,504} =$ 99 100 17.85, p < 0.0001), a time × context × conditioning procedure interaction (repeated measures: $F_{9,504} = 3.12, p < 0.005$), and a time × context × test order interaction (repeated measures: $F_{9,504} =$ 101

102 4.03, p < 0.0001). However, there was no overall main effect of test order (F < 0.09, p > 0.75). No 103 other main effects or interactions were detected (F's < 1.80, p's > 0.06). A discrimination index 104 (i.e., mean freezing of animals in the conditioning context subtracted from freezing percentages in 105 the novel context) was calculated (Figure 3C), which revealed no significant difference in the 106 extent of this discrimination between the two conditioning groups (t < 1.5, p > 0.15). In total, these 107 data indicate that although both IMMINENT and REMOTE rats exhibited generalized freezing in 108 the novel context, this generalized freezing was significantly less than freezing in the conditioned 109 context. Additionally, the extent of context discrimination was similar between the training 110 procedures, suggesting that both training procedures produce similar levels of context-dependent 111 fear conditioning.

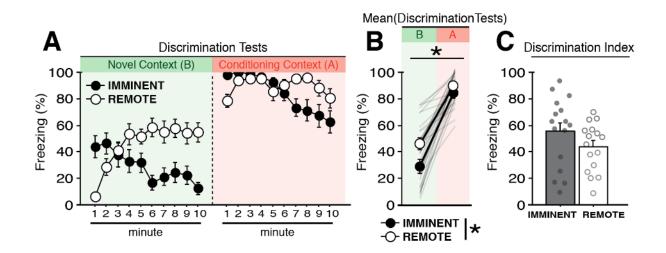


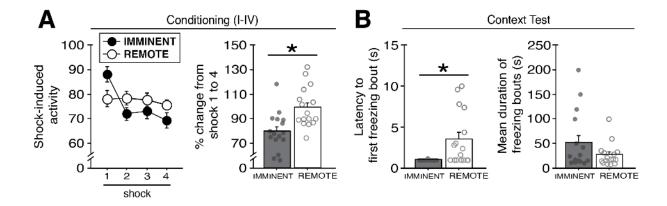
Figure 3. Context discrimination following imminent and remote shock training. (A) Mean percentage freezing (\pm s.e.m.) of IMMINENT and REMOTE rats at each minute of shock-free exposure to a novel context (Context B) or the conditioning context (Context A). (B) Mean percentage freezing (\pm s.e.m.) of IMMINENT and REMOTE rats across the entire test in each context. Shaded lines denote individual performance of each animal. (C) Mean freezing percentages (\pm s.e.m.) in Context B were subtracted from mean responding in Context A to generate a discrimination index for IMMINENT and REMOTE rats. IMMINENT (n = 16); REMOTE (n = 16). *p < 0.05.

112 Conditioning procedure influences the topography of both activity-burst URs and freezing CRs

113 To determine whether the placement-to-shock interval influences the unconditioned response to 114 footshock, we examined the magnitude of shock-evoked activity bursts (as assessed by cage 115 displacement) during each 2-sec shock. Levels of shock-induced activity are shown in Figure 4A 116 (left). Repeated measures ANOVA revealed a significant main effect of shock number ($F_{3,90}$ = 7.25, p < 0.0005), indicating that shock-induced activity changed across the course of the 117 118 conditioning sessions. A shock number \times conditioning procedure interaction was detected in the 119 ANOVA ($F_{3.90} = 5.38$, p < 0.005), indicating differences in the shock-induced activity between the 120 two conditioning procedures across the conditioning sessions (no other main effects were detected: 121 F < 0.5, p > 0.5). These differences are further apparent when comparing the percent change in 122 shock-induced activity from the first to the final shock (Figure 4A; right). An unpaired t-test 123 revealed that IMMINENT rats exhibited a significant reduction in the magnitude of the shock-124 induced activity across the trials as compared to REMOTE rats ($t_{30} = -3.48$, p < 0.005). These 125 findings parallel our recent work (Goode et al., 2019), which found that conditioning-related 126 decreases in shock-induced activity were lower in backward(unpredictable)-conditioned compared 127 to forward (predictable)-conditioned animals. These outcomes may reflect a greater regulation of 128 US responding in procedures in which animals can predict the onset of footshock.

In addition to affecting the expression of shock-induced URs, the conditioning procedures produced differences in the nature of conditioned freezing in the conditioning context. To elucidate these differences, we examined the latency to the first freezing bout, as well as the average length of the freezing bouts in the conditioning context at test (Maren, 2001b). Although percentages of freezing are commonly reported as an index of learning, how animals reach certain magnitudes of freezing can differ. For example, an animal could express 50% freezing across a 10-min session by freezing for a sustained 300-sec bout, or by engaging in ten separate 30-sec bouts across the

136 session. Thus, by examining latencies and durations of bouts over time, we may reveal important



137 differences in BNST-dependent or -independent defensive strategies.

Figure 4. Shock-induced activity, latencies and durations of conditioned freezing bouts in animals trained with imminent or remote shock. (A) Left panel shows mean values of shock-induced activity (\pm s.e.m.) of IMMINENT and REMOTE rats at each shock (data correspond to the conditioning of rats shown in Figure 2). Right panel shows the percentage change in magnitude of shock-induced activity (\pm s.e.m.) from shock 1 to shock 4. (**B**) Left panel depicts latency (in seconds; \pm s.e.m.) of IMMINENT and REMOTE rats to exhibit their first freezing bout in the conditioning context during testing (corresponding to Figure 3). Right panel shows mean duration of each bout (\pm s.e.m.) for the entire test. IMMINENT (n = 16); REMOTE (n = 16). *p < 0.05.

138 Latencies and bout durations of freezing of IMMINENT and REMOTE rats in the 139 conditioned context are shown in Figure 4B (left). We opted to perform these analyses on the 140 retrieval responses of IMMINENT and REMOTE rats from Figure 3 because these two groups 141 exhibited similar overall magnitudes of freezing percentages across the 10-min session. Although 142 both groups exhibited relatively short latencies for initiating freezing (all rats exhibited freezing 143 within the first 15 sec of the exposure), IMMINENT rats were freezing almost immediately upon 144 entering the context. Indeed, an unpaired t-test revealed that IMMINENT rats exhibited 145 significantly shorter latencies to their first bout of freezing as compared to REMOTE rats ($t_{30} = -$ 146 3.02, p < 0.01). Concerning the duration of the conditioned responses, we observed no significant 147 difference between IMMINENT and REMOTE rats in the average length of freezing bouts (t <148 1.5, p > 0.15). Thus, these data identified distinct (as well as overlapping) features of URs and CRs

- in REMOTE and IMMINENT rats, which may be factors the contribution of the BNST to the
- 150 expression of contextual fear.
- 151
- 152 Reversible inactivation of the BNST disrupts fear to contexts conditioned with remote, but not
- 153 *imminent, shock onset*

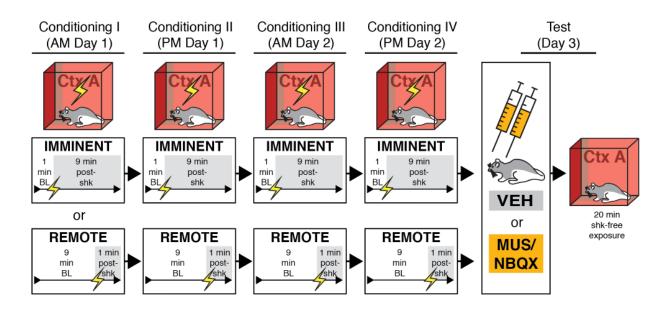


Figure 5. Behavioral design for contextual fear conditioning with imminent or remote footshock. BNST-cannulated animals were randomly assigned to undergo four separate sessions of contextual fear conditioning using IMMINENT (1-min pre-shock baseline) or REMOTE (9-min pre-shock baseline) unsignaled shock. Each conditioning session was 10 min. After conditioning, IMMINENT and REMOTE rats were infused with MUS/NBQX (to reversible inactivate) or vehicle (VEH) into the BNST prior to a 20 min shock-free retrieval session in the conditioning context.

- 154 In this experiment we tested whether placement-to-shock interval influences the role of the BNST
- 155 in the expression of contextual freezing. Rats with cannula targeting the BNST were placed in the
- 156 conditioning context and received a footshock either 1 or 9 min after placement in the chamber
- 157 ("IMMINENT" and "REMOTE" footshock, respectively); a schematic of the behavioral design is
- 158 shown in Figure 5. Cannula placements for all rats included in the analyses as well as a

159 representative image of cannula tracts in tissue containing the BNST are displayed in Figure 5–

¹⁶⁰ Figure Supplement 1.

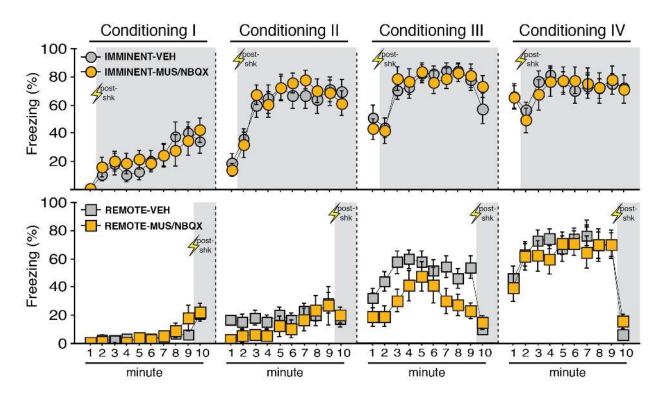


Figure 6. Context conditioning using IMMINENT or REMOTE shock onset. Mean percentage freezing (\pm s.e.m.) of IMMINENT (top) and REMOTE (bottom) rats at each minute of each conditioning session (I-IV). Shaded areas indicate minutes post-shock for both procedures at each session. Drug and vehicle assignments are shown for comparison but no BNST infusions occurred during this pre-test phase. IMMINENT-VEH (n = 13); IMMINENT-MUS/NBQX (n = 11); REMOTE-VEH (n = 15); REMOTE-MUS/NBQX (n = 9). *p < 0.05.

Freezing during each minute of each of the four conditioning sessions is shown in Figure 6. Freezing behavior reliably increased across the conditioning sessions. Separate ANOVAs of freezing during each conditioning session (I-IV) revealed a main effect of time for each session (session I, repeated measures: $F_{9,396} = 17.91$, p < 0.0001; session II, repeated measures: $F_{9,396} =$ 22.58, p < 0.0001; session III, repeated measures: $F_{9,396} = 24.39$, p < 0.0001; session IV, repeated measures: $F_{9,396} = 17.17$, p < 0.0001). Additionally, a main effect of conditioning procedure was detected for the first three sessions (session I: $F_{1,44} = 14.62$, p < 0.0005; session II: $F_{1,44} = 50.11$, 168 p < 0.0001; session III: $F_{1,44} = 27.85$, p < 0.0001). Moreover, a time × conditioning procedure 169 interaction was detected for each conditioning session (session I, repeated measures: $F_{9,396} = 3.16$, 170 p < 0.005; session II, repeated measures: $F_{9,396} = 11.17$, p < 0.0001; session III, repeated measures: 171 $F_{9,396} = 6.46$, p < 0.0001; session IV, repeated measures: $F_{9,396} = 13.272$, p < 0.0001). These data 172 indicate that rats in the IMMINENT shock group generally increased their freezing more rapidly 173 across each session.

174 Rats that were slated to receive SAL or MUS during the test were similar to one another 175 during conditioning (there were no drug infusions during conditioning). A time × drug assignment 176 interaction was found for session 3 (repeated measures: $F_{9,396} = 2.20$, p < 0.05), but this difference 177 was not apparent by session 4; no other main effects or interactions of drug assignment were found 178 (F's < 2.15, p's > 0.15). Post-shock freezing in the final session appeared to mirror patterns seen 179 in the prior experiment. Specifically, REMOTE-shocked animals exhibited significantly less 180 freezing during minute 10 of session IV vs. minute 2 of session IV of IMMINENT animals 181 (factorial ANOVA, main effect of conditioning procedure: $F_{1,44} = 59.387$, p < 0.0001; no other 182 main effects or interactions, F's < 2.25, p's > 0.14). Collectively, these data reveal reliable 183 increases in freezing during conditioning; results that are similar to the prior experiment.

184 After conditioning, the GABA_A receptor agonist, MUS, or the AMPA receptor antagonist, 185 NBQX (collapsed into a single group, "MUS/NBQX"; see methods), was used to inactivate the 186 BNST prior to a shock-free retrieval test in the conditioning context (Figure 7). A significant main 187 effect of time (repeated measures: $F_{19,836} = 7.02$, p < 0.0001) and a significant time × conditioning 188 procedure interaction (repeated measures: $F_{19,836} = 1.90$, p < 0.05) were found in the ANOVA, 189 indicating that IMMINENT and REMOTE rats broadly changed in freezing across the course of 190 the test. A main effect of drug was also apparent ($F_{1,44} = 5.215$, p < 0.05). A significant time \times 191 conditioning procedure × drug assignment interaction was also found in the ANOVA (repeated

measures: $F_{19,836} = 1.81$, p < 0.05). Fisher's PLSD indicated REMOTE-MUS/NBQX exhibited significantly less freezing as compared to REMOTE-VEH (p < 0.01). No other main effects or interactions were detected in the analyses (F's < 3.60, p's > 0.05). Neither drug on its own was effective in attenuating freezing in IMMINENT animals (NBQX vs. VEH: F's < 0.45, p's > 0.90; MUS vs. VEH: F's < 0.70, p's > 0.85]. In total, these data indicate that contextual fear expression after conditioning with imminent shock is insensitive to inactivation of the BNST.

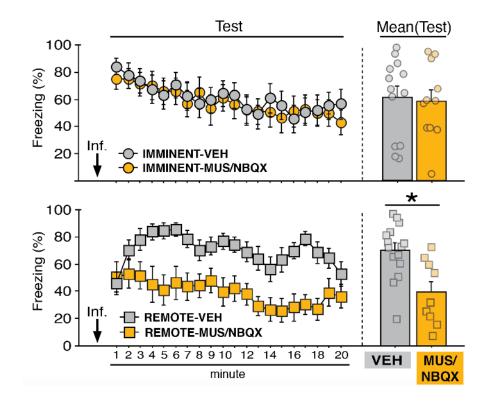


Figure 7. BNST inactivation attenuates freezing in a context conditioned with remote but not imminent shock onset. Mean percentage freezing (\pm s.e.m.) of IMMINENT (top) and REMOTE (bottom) rats in the conditioning context following intra-BNST microinfusions of VEH or MUS/NBQX. IMMINENT-VEH (n = 13); IMMINENT-MUS/NBQX (n = 11); REMOTE-VEH (n = 15); REMOTE-MUS/NBQX (n = 9). *p < 0.05.

198 DISCUSSION

199 We demonstrate a dissociable role for the BNST in the expression of fear to contexts that signal

200 imminent vs. remote shock onset. Inactivation of the BNST impaired conditioned freezing in a

201 context associated with remote footshock, but had no effect on freezing in a context associated

202 with imminent footshock. Importantly, the conditioning procedures equated total context and 203 shock exposure and produced similar degrees of context-dependent freezing, revealing that it is 204 the timing of shock with respect to placement in the conditioning context that determines 205 involvement of the BNST in contextual freezing. Interestingly, despite differences in latency to 206 freeze, the topography of freezing behavior was similar in animals conditioned with imminent and 207 remote footshocks. This reveals that although freezing was similarly sustained across the context 208 retrieval test, BNST inactivation only reduced freezing in animals conditioned with remote 209 footshock. This suggests that it is not the duration of the CR that determines BNST involvement 210 in conditioned freezing, but rather the degree to which the context or CS signals when footshock 211 will occur.

212 The current results build on a prior study from our lab (Goode et al., 2019), in which we 213 found that CSs that signaled imminent shock (e.g., forward-trained CSs) were insensitive to BNST 214 inactivation, whereas CSs that were poor predictors of shock onset (e.g., backward or randomized 215 CSs) were sensitive to the manipulation. Importantly, the current work replicates the findings of a 216 significant prior study that showed that context fear was insensitive to lesions of the BNST when 217 trained with early shock onset (Hammack et al., 2015). The current study builds on and expands 218 on these findings in several critical ways. First, the study by Hammack and colleagues (2015) 219 utilized permanent excitotoxic lesions that persisted throughout conditioning and retrieval, making 220 it difficult to isolate whether the role of the BNST in context fear was specific to processes of 221 conditioning, consolidation, or retrieval. Although the BNST may have roles during these other 222 stages, our current data suggest that the BNST is necessary at retrieval for proper recall following 223 remote- but not imminent-shock training. Additionally, Hammack and colleagues (2015) 224 compared context fear expression in two groups of animals that not only differed in the timing of 225 shock, but also in total context exposure. In the current study, all animals had equal exposure to

the context, indicating that the effects on retrieval are precisely because of shock timing, rather

than the duration of the context exposure *per se*.

228 Prior work has suggested that the BNST mediates distinct forms of fear expression, 229 particularly sustained responses, that are dissociable from phasic fear responses, which may be 230 governed by other structures such as the central amygdala [e.g., (Sullivan et al., 2004; Walker and 231 Davis, 1997)]. In contrast, we observed robust freezing in both IMMINENT and REMOTE 232 animals, including similar overall durations of freezing bouts, but only REMOTE training was 233 sensitive to BNST inactivation. Thus, we believe a more accurate depiction of BNST function is 234 that it mediates responses to remote and unpredictable threats, and that these responses may in 235 some cases be sustained (perhaps as the risk of threat persists), but that response duration is not 236 always predictive of whether BNST is involved.

237 We also observed differences in the magnitude of shock-evoked URs of IMMINENT vs. 238 REMOTE animals across the conditioning sessions. Specifically, imminent-shock onset appeared 239 to coincide with reductions in shock-induced activity across the sessions, whereas remote-shocked 240 animals largely expressed consistent levels of activity-burst URs across the sessions. We recently 241 reported a similar outcome in the US-induced activity of animals subjected to auditory forward vs. 242 backward fear conditioning (Goode et al., 2019), insofar as forward-conditioned animals more 243 rapidly exhibited reductions in their activity across conditioning. These outcomes may reflect a 244 greater regulation of US responding in signaled or imminent threat paradigms, which may be a 245 factor in BNST recruitment to fear.

Overall, our findings concord with recent human imaging studies examining unpredictability [e.g., (Clauss et al., 2019; Figel et al., 2019; Naaz et al., 2019)], which may support a role for the BNST in anticipatory responses to temporally unpredictable threatening stimuli. Unpredictability of the onset of aversive events may serve as a common thread for the BNST's

| 250 | broad contributions to anxiety, as well as for fear and drug relapse the aftermath of unpredictable |
|-----|---|
| 251 | stressors (Goode et al., 2018; Goode and Maren, 2019; Harris and Winder, 2018; Mantsch et al., |
| 252 | 2016; Miles et al., 2018; Stamatakis et al., 2014; Vranjkovic et al., 2017). Nonetheless, the BNST |
| 253 | is an intricate, sexually dimorphic and heterogeneous structure, with diverse functions (Avery et |
| 254 | al., 2014; Crestani et al., 2013; Daniel and Rainnie, 2016; Flavin and Winder, 2013; Hammack et |
| 255 | al., 2012, 2010, 2009; Kash, 2012; Kash et al., 2015; Radley and Johnson, 2018; Waraczynski, |
| 256 | 2016)—more work is needed to fully characterize its complex neural responses and contributions |
| 257 | to aversive stimuli (Acca et al., 2017; Duvarci et al., 2009; Haufler et al., 2013; Luyck et al., 2018, |
| 258 | 2017; Martinon et al., 2019; Moaddab and Dabrowska, 2017). To conclude, we build on our prior |
| 259 | study (Goode et al., 2019) by demonstrating a dissociable role of the BNST in the behavioral |
| 260 | expression of contextual fear, an effect that depends on the timing of shock onset. |

261

262 MATERIALS AND METHODS

263

264 Subjects. All subjects were adult (200-240 g) male Long-Evans (Blue Spruce) rats (n = 96, before 265 exclusions) obtained from Envigo (Indianapolis, IN). Rats were individually housed in a climate-266 controlled vivarium and kept on a fixed light/dark cycle (lights on from 7:00 AM to 9:00 PM). 267 Home cages consisted of a clear plastic cage layered with sawdust bedding (changed weekly), with 268 access for the animals to standard rodent chow and water ad libitum. Home cages were housed on 269 a rotating cage rack. Group assignments for all behavioral testing was randomized for cage position 270 on the racks. Prior to the start of any surgical or behavioral procedures, experimenters handled the 271 rats (~30 sec/day) for five consecutive days. All procedures were performed in accordance with 272 the US National Institutes of Health (NIH) Guide for the Care and Use of Laboratory Animals and 273 were approved by the Texas A&M University Institutional Animal Care and Use Committee.

274

275 Apparatuses. Conditioning/testing chambers (30 cm × 24 cm × 21 cm; MED Associates, Inc.) 276 were housed in two distinct rooms of the laboratory (eight chambers per room). Each chamber 277 rested in larger external sound-attenuating cabinets. The chambers were comprised of aluminum 278 (sidewalls) and Plexiglas (rear walls, ceilings, and front doors). The floors of the chambers 279 consisted of a grid of nineteen stainless steel bars (4 mm in diameter), spaced 1.5 cm apart (center 280 to center). For delivery of footshock, the grid floors were connected to an electric shock source 281 and a solid-state grid scrambler (MED Associates, Inc.). The chambers were also equipped with 282 15 W house lights (as needed for distinct contexts). Fans in each cabinet provided background 283 noise (~70 dB) as needed. Aluminum pans collected animal waste below each chamber. Speakers 284 were attached to the chambers (for delivery of auditory tones), but these were not used in the 285 current study.

286 To measure freezing over time, our lab utilized an automated and unbiased scoring system. 287 Specifically, each chamber rests on a load-cell platform that detects chamber displacement as the 288 animal moves. Load-cell activity values (in a range of -10 to +10 V) were digitized at 5 Hz and 289 recorded using Threshold Activity Software (MED Associates, Inc.). These measurements are 290 converted offline to generate absolute values ranging from 0 to 100; low values correspond to 291 minimal chamber displacement. Thus, freezing bouts were set as absolute values of ≤ 10 for 1 s or 292 more. Percentages of freezing can then be calculated for periods of time as defined in each 293 experiment. For shock-induced activity measurements, we reported the absolute values generated 294 by the Threshold Activity Software, such that larger values indicate more displacement of the 295 chamber as a result of the animal's activity (Goode et al., 2019; Maren, 1998).

Experiments utilized distinct contextual features to generate two different contexts. Each context was assigned to a separate behavioral room in the laboratory. Chambers were cleaned with

298 the context's respective odor before and after each squad of rats. For Context A, the following 299 procedures and features were used: Testing chambers were wiped down with 3% acetic acid odor, 300 and a small amount of the solution was poured into the pans beneath the chambers. Chamber lights 301 were turned on, while the cabinet fans remained off. The cupboard doors of the cabinets were 302 closed. A dim red light was used for illuminating the room. Rats were transported to and from the 303 context using small white plastic boxes. For Context B, the following procedures were used: 1% 304 ammonium hydroxide odor was used to scent the chambers. Chamber lights were turned off, while 305 the cabinet fans remained on. Thin black plastic sheets were set on top of the grid floors. The 306 cupboard doors of the cabinet were left open. White lights were used to illuminate the behavioral 307 room. Rats were transported to and from Context B using sawdust-containing black plastic 308 transport boxes.

309

310 Surgeries. For the data corresponding to Figs. 1-3, animals were first implanted with bilateral 311 guide aimed at the BNST [similar to prior reports: (Acca et al., 2017; Goode et al., 2019, 2015; 312 Nagaya et al., 2015; Zimmerman and Maren, 2011)]. On the day of surgery, animals were 313 individually transported from the vivarium to a surgical suite and prepped for surgery. Animals 314 were deeply anesthetized using gaseous isoflurane (5% for induction; maintained during surgery 315 at 1-2%). Once deeply anesthetized, animals were secured in a stereotactic frame (Kopf 316 Instruments, Inc.), the hair on the top of the head was clipped, artificial tear ointment was applied, 317 and the skin at the site of the incision was treated with povidone-iodine. A small incision was made 318 in the skin and the skull exposed. Small holes were drilled into the skull to attach jeweler's screws. 319 Bregma and lambda of the skull were aligned on an even plane and small holes were drilled in the 320 skull to allow for insertion of bilateral stainless-steel guide cannulas (26-gauge, 8 mm from the 321 bottom of their plastic pedestals; Small Parts). The guide cannulas were lowered into the brain at

322 the following coordinates: -0.15 mm posterior to bregma, ± 2.65 mm lateral to the midline, and -323 5.85 mm dorsal to dura (guide cannulas were angled at 10° with their needles directed at the 324 midline). Dental cement was applied to cover the skull and to secure the guide cannulas to the 325 screws. Stainless steel obturators (33-gauge, 9 mm; Small Parts) were inserted into the guide 326 cannulas. Subsequently, rats were removed from the stereotaxic frame, topical antibiotic ointment 327 was applied to the head, and the rats were monitored for recovery. Rats were provided rimadyl-328 containing bacon-flavored tablets for post-operative pain management. Animals recovered for one 329 week in their homecages prior to the onset of behavioral training.

330

Intracranial infusions. In the week of recovery following surgery, animals were acclimated to the process of intracranial microinfusions. This involved transporting the animals (in sawdustcontaining five-gallon buckets) from the vivarium (in squads of eight) to the separate room used for the infusions in the laboratory. The stainless-steel obturators were gently removed from the guide cannulas and replaced with clean ones. Animals were then returned to their homecages. This process was repeated twice on separate days.

337 For the data shown in Figures 5-7, the γ -aminobutyric acid (GABA)_A receptor agonist, 338 muscimol (MUS), or the α -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) 339 receptor antagonist 2,3-dihydroxy-6-nitro-7-sulfamoyl-benzo[f]quinoxaline-2,3-dione, NBQX, 340 was used to temporarily inactivate the BNST. Both MUS (Bangasser et al., 2005; Breitfeld et al., 341 2015; Buffalari and See, 2011; Fendt et al., 2003; Goode et al., 2019, 2015; Markham et al., 2009; 342 Pina et al., 2015; Sajdyk et al., 2008; Xu et al., 2012) and NBQX (Adami et al., 2017; Davis and 343 Walker, 2014; Goode et al., 2019, 2015; Zimmerman and Maren, 2011) have been used to 344 reversibly inactivate the BNST. MUS (Sigma-Aldrich) was dissolved in physiological saline to a 345 concentration of 0.1 μ g/ μ l. NBQX (Sigma Life Sciences) was dissolved in physiological saline to

a concentration of 10.0 μ g/ μ l. Physiological saline served as vehicle ("VEH" for all cases). An equal number of animals were assigned to received muscimol ("MUS"; n = 16 prior to exclusions) or NBQX (n = 16 prior to exclusions). Ultimately, MUS- (n = 8, after exclusions; included in figures) and NBQX-treated (n = 12, after exclusions; included in figures) animals were collapsed into a single group ("MUS/NBQX") as neither manipulation alone altered responding to the earlyshock context (see Results for additional details).

352 For the purpose of microinjections, 9 mm stainless steel injectors (33 gauge, Small Parts) 353 were connected to water-filled polyethylene tubing (PE-20; Braintree Scientific), with the tubing's 354 other end connected to gastight 10 µl syringes (Hamilton, Co.). Syringes were secured to an 355 infusion pump (KD Scientific, Inc.). The infusion pump was set to deliver a total volume of 0.275 356 ul (per injector) of MUS, NBQX, or VEH; infused at a rate of 0.275 µl/min. On the day of 357 infusions, MUS, NBQX, or VEH was drawn up into the injectors and tubing, with a small air 358 bubble separating the drugs or vehicle from the water in the tubing. Rats (in squads of eight) were 359 brought to the infusion room and the obturators were removed. The drug- and vehicle-filled 360 injectors were inserted into the guide cannulas; microinjections occurred simultaneously for the 361 entire squad of rats (injectors were left in the cannulas for 1 min following the infusions to allow 362 for diffusion). Once the infusions were completed, the injectors were removed and new obturators 363 were inserted. Animals were then immediately transported to the behavioral chambers as necessary 364 for testing.

365

Behavioral procedures and exclusions. Summaries of the behavioral procedures can be found in
Figs. 1 and 4. For all experiments, footshock (2 sec, 1.0 mA) served as the unconditioned stimulus
(US).

| 369 | <i>IMMINENT/REMOTE w/ contextual discrimination</i> . In a 2 × 2 design, rats ($n = 32$) were |
|-----|---|
| 370 | randomly selected to experience context conditioning using early ("IMMINENT") or late |
| 371 | ("REMOTE") onset of shock, and to undergo a counterbalanced test order (Context A then B, or |
| 372 | Context B then A) for the context discrimination test. No rats were excluded from these analyses, |
| 373 | resulting in the following final group sizes (as shown in the figures): IMMINENT ($n = 16$); |
| 374 | REMOTE ($n = 16$). If collapsed to account for test order (i.e., IMMINENT w/ test order one, |
| 375 | IMMINENT w/ test order two, REMOTE w/ test order one, and REMOTE w/ test order two), then |
| 376 | each group is comprised of eight rats. On the morning of the first day of behavioral training, |
| 377 | animals (in squads of eight rats) were transported from the vivarium to Context A. We alternated |
| 378 | squads undergoing IMMINENT or REMOTE training. Drug and vehicle assignments were |
| 379 | counterbalanced across each squad and for chamber position during training and testing. For rats |
| 380 | undergoing IMMINENT training, animals were placed in the chamber and allowed to acclimate |
| 381 | to the context for 1 min before the onset of the US; animals remained in the chamber for 9 min |
| 382 | after shock onset before being removed and returned to their homecages (session I). Later that |
| 383 | afternoon, this process was repeated (session II). The following day, this process was repeated for |
| 384 | a morning session (III) and an afternoon session (IV). For REMOTE rats, the animals were placed |
| 385 | in Context A and allowed to acclimate for 9 min before the onset of the US; rats remained in the |
| 386 | chamber for 1 min after shock onset before being returned to their homecages (session I). This |
| 387 | process was repeated for an afternoon session (II), and two more session, III and IV, on the |
| 388 | following morning and afternoon, respectively. Thus, both IMMINENT and REMOTE rats |
| 389 | experienced four conditioning sessions in total (two per day; each conditioning session was 10 |
| 390 | min). On the day after the final conditioning session, rats (in squads comprised of equal numbers |
| 391 | of IMMINENT and REMOTE animals) were placed in either the novel (Context B) or |
| 392 | conditioning (Context A) context for 10 min in the absence of shock. We alternated squads for |
| | |

393 novel or conditioning context exposure until each rat had experienced both the novel and 394 conditioning context for 10 min each. Both of these tests occurred on the same day (day three of 395 behavioral procedures). After each test session, animals were returned to their homecages until 396 sacrificed at a later date.

397 IMMINENT/REMOTE w/ BNST inactivation. In a 2 \times 2 design, rats (n = 64, prior to 398 exclusions) were randomly selected to experience contextual conditioning using early 399 ("IMMINENT") or late ("REMOTE") onset of shock, and to undergo drug ("MUS/NBQX") or 400 vehicle ("VEH") infusions into the BNST prior to testing. Of the original sixty-four rats, fifteen 401 were found to have off-target cannulas and were excluded from the final analyses. An additional 402 rat was euthanized to due illness and was excluded from the study. This resulted in the final group 403 sizes for the final analyses (as shown in the figures): IMMINENT-VEH (n = 13); IMMINENT-404 MUS/NBQX (n = 11; comprised of five MUS-treated and six NBQX-treated animals); REMOTE-405 VEH (n = 15); REMOTE-MUS/NBQX (n = 9; comprised of seven MUS-treated and two NBQX-406 treated animals). For contextual fear conditioning, IMMINENT and REMOTE rats experienced 407 identical conditioning procedures as discussed above, with drug assignments being 408 counterbalanced for each squad as possible for training. After completing the final conditioning 409 session, and on the following day, animals were infused with MUS/NBQX or VEH immediately 410 before a 20-min shock-free retrieval test in the conditioning context. In this case, test squads 411 included equal numbers of IMMINENT and REMOTE rats (counterbalanced for drug 412 assignments). After the test session, animals were returned to their homecages.

413

414 Histological procedures and image analyses. For animals implanted with cannulas in the BNST,
415 and at the conclusion of behavioral procedures, rats were overdosed on sodium pentobarbital (Fatal
416 Plus; 100 mg/ml, 0.5 ml, i.p.) and transcardially perfused using chilled physiological saline

417 followed by 10% formalin. Brains were extracted and placed in 10% formalin for 24 hrs at 4° C. 418 Brains were then switched to a 30% sucrose-formalin solution until sectioning occurred (stored at 419 4° C). For sectioning, brains were flash frozen using crushed dry ice and coronal sections (40 μm) 420 containing the BNST were collected using a cryostat set to -20° C (Leica Microsystems). Sections 421 were wet-mounted onto gelatin-subbed glass microscope slides. Subsequently, the tissue was 422 stained with 0.25% thionin using a standard staining procedure. Glass coverslips were glued 423 (Permount, Sigma) to the microscope slides, and the slides were allowed to dry before imaging. 424

(MZFLIII) and Leica Firecam software. Data shown in Figs. 5-7 include only those animals with 425 426 injector tips localized to within the borders of the BNST.

Photomicrographs of the thionin-stained tissue were generated at 10^{\times} using a Leica Microscope

427

428 Statistics. All data were submitted to repeated or factorial analysis of variance (ANOVA) or two 429 tailed t-tests as described for each experiment. Only after a significant omnibus F ratio in the 430 ANOVA (α was set at 0.05) were data submitted to post-hoc comparisons in the form of Fisher's 431 protected least significant difference (PLSD). All data are shown as means (± s.e.m). No statistical 432 methods were used to predetermine group sizes (group sizes were selected as based on prior work). 433 Data distributions were assumed to be normal, although these were not formally tested.

434

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441

442 ADDITIONAL INFORMATION

443 Competing interests

444 The authors declare no competing interests.

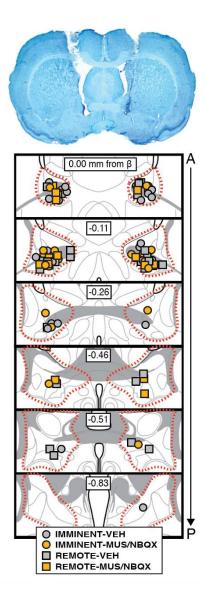


Figure 5—Figure Supplement 1. *Bilateral cannula placements in the BNST.* Representative photomicrograph of a thionin-stained coronal section (40 μ m) depicting bilateral cannula tracts and injector tips in the BNST (top image). Bottom image shows the positions of injector tips for each animal for each group included in the final analyses of the experiment (approximate borders of the BNST are outline by the dotted red line). IMMINENT-VEH (n = 13); IMMINENT-MUS/NBQX (n = 11); REMOTE-VEH (n = 15); REMOTE-MUS/NBQX (n = 9).

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