



Three exposures to the spike protein of SARS-CoV-2 by either infection or vaccination elicit superior neutralizing immunity to all variants of concern

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Infection-neutralizing antibody responses after severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infection or coronavirus disease 2019 vaccination are an essential component of antiviral immunity. Antibody-mediated protection is challenged by the emergence of SARS-CoV-2 variants of concern (VoCs) with immune escape properties, such as omicron (B.1.1.529), which is rapidly spreading worldwide. Here we report neutralizing antibody dynamics in a longitudinal cohort of coronavirus disease 2019 convalescent and infection-naive individuals vaccinated with mRNA BNT162b2 by quantifying SARS-CoV-2 spike protein antibodies and determining their avidity and neutralization capacity in serum. Using live-virus neutralization assays, we show that a superior infection-neutralizing capacity against all VoCs, including omicron, developed after either two vaccinations in convalescents or a third vaccination or breakthrough infection of twice-vaccinated, naive individuals. These three consecutive spike antigen exposures resulted in an increasing neutralization capacity per anti-spike antibody unit and were paralleled by stepwise increases in antibody avidity. We conclude that an infection-plus-vaccination-induced hybrid immunity or a triple immunization can induce high-quality antibodies with superior neutralization capacity against VoCs, including omicron.

he World Health Organization classified B.1.1.529 (Omicron) on 26 November 2021 as a severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) variant of concern (VoC). Omicron has since become the dominant VoC in most countries¹. Earlier VoCs showed either an enhanced ability for transmission (VoCs Alpha (B.1.1.7) and Delta (B.1.617.2)) or a partial immune escape with variable effects on neutralization by polyclonal serum antibodies (VoCs Beta (B.1.351), Gamma (P.1/B.1.1.28)) and Delta)²-7. A striking characteristic of the VoC Omicron, which apparently developed independently, is the large number of amino acid substitutions, insertions and deletions in the viral spike protein—32 compared with the original Wuhan-hu-1 virus®—that likely contribute to its extraordinarily rapid spread in the population. The number of epitopes in the spike protein, which are

relevant for neutralization and are targeted by polyclonal antibody responses in coronavirus disease 2019 (COVID-19) convalescent or vaccinated naive individuals, is an important determinant of the genetic barrier to viral escape from humoral immunity^{6,9}. Thus, physician–scientists anticipated early on Omicron's potential for a pronounced immune escape.

Neutralizing antibody levels are highly predictive of immune protection from symptomatic SARS-CoV-2 infection¹⁰. Affinity maturation of neutralizing antibodies can markedly alter their capacity to control SARS-CoV-2 variants¹¹. In general, somatic hypermutations in variable regions of antibodies increase their binding affinity depending on type and duration of antigen exposure^{6,12}. Affinity maturation can markedly expand the breadth and efficiency of neutralizing antibodies against SARS-CoV-2 infection¹³. This may

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even enable the neutralization of emerging virus variants that have evolved to escape neutralization by ancestral antibodies.

In this Article, we characterized the antibody response in a longitudinal cohort of 98 convalescent individuals, infected with SARS-CoV-2 during the first pandemic wave in spring 2020, and 73 infection-naive individuals matched for sex, age, working conditions and risk factors¹⁴. We quantified anti-spike IgG titers, IgG antibody avidity and infection-neutralizing capacity in serum samples from these two groups collected after the first, second and third vaccinations with the mRNA BNT162b2 COVID-19 vaccine. The aim of the study was to characterize the dynamics of infection neutralization against SARS-CoV-2 and its VoCs after different timely spaced infection events and vaccinations.

Results

Convalescents develop a higher neutralization capacity against all SARS-CoV-2 variants of concern than naive individuals after vaccination. We established a cohort of 98 convalescents from mild COVID-19 (for details, see Supplementary Table 1 and Extended Data Fig. 1 and work by Koerber et al. 14), of which 6 were excluded because of suspected SARS-CoV-2 re-exposure and 62 were followed up after vaccination. Then, 73 infection-naive individuals were randomly matched for age, sex and infection exposure risk. These individuals were continuously followed since the first wave of the COVID-19 pandemic in spring 2020, through their initial COVID-19 vaccinations with mRNA BNT162b2 in early 2021 and after a third vaccination during the last quarter of 2021, with a total of 486 serum samples collected. In this cohort, we determined the dynamics of SARS-CoV-2 spike protein antibodies and serum-neutralization capacity against the early clinical SARS-CoV-2 isolate B.1.177 (EU1) and all five VoCs: B.1.1.7 (Alpha), B.1.351 (Beta), P.1/B.1.1.28.1 (Gamma), B.1.617.2 (Delta), as well as B.1.1.529 (Omicron, sublineage BA.1; Extended Data Fig. 1). The first (1) and second (2) COVID-19 vaccination were given 3 weeks apart, and the third vaccination dose (3) was applied 9 months later.

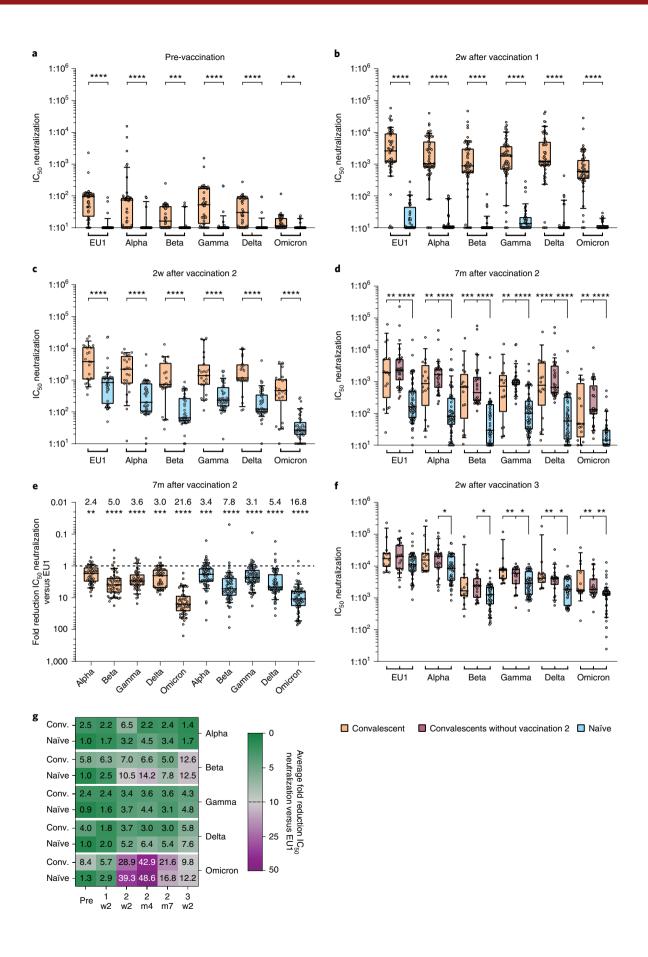
To quantify infection neutralization, we used a novel, high-throughput live-virus neutralization assay comprising all known VoCs that were isolated from individuals with COVID-19. Hereby, immortalized human MDA-MB-231 cells expressing the angiotensin-converting enzyme 2 (hACE2) receptor (MDA-MB-231-hACE2 cells)^{15,16}, which

are highly susceptible to SARS-CoV-2 infection and display a strong cytopathic response to infection, allowed for the rapid quantification of neutralizing activities against SARS-CoV-2. Sera from COVID-19 convalescents collected approximately 9 months after infection showed a low-level infection-neutralization capacity against the early 2020 SARS-CoV-2 variant EU1 and against all VoCs (Fig. 1a). After a first vaccination (1) with mRNA BNT162b2, serum-neutralization titers of convalescents showed a 63-fold increase on average, while titers in infection-naive vaccinees remained close to background (Fig. 1b). Neutralization titers in naive individuals markedly increased after vaccination 2, still remaining significantly lower than those of convalescents (Fig. 1c). Interestingly, even at 4 and 7 months after vaccination 2, no significant difference in neutralization capacity was detected comparing convalescents vaccinated once or twice within a 3-week interval (Fig. 1d and Extended Data Fig. 2). Although in naive individuals the infection-neutralization capacity after vaccination 2 was significantly lower than that of vaccinated convalescents (Fig. 1c,d and Extended Data Fig. 2), the relative ability of individual VoCs to escape neutralization relative to EU1 at 7 months after vaccination 2 was similar for convalescent and naive individuals (Fig. 1e and Extended Data Fig. 3). Overall, the infection-neutralization capacity for Omicron and, albeit less pronounced, for Beta was lower than for the other SARS-CoV-2 variants confirming the immune escape properties of these two VoCs (Fig. 1a-e and Extended Data Figs. 2 and 3). Around 40.6% (95% confidence interval: 29.4–52.9%) of naive individuals, but only 4.0% (95% confidence interval: 1.1-13.5%) of convalescents showed no neutralization activity against Omicron 7 months after the initial vaccinations.

Strikingly, after COVID-19 vaccination 3, administered 9 months after vaccinations 1 and 2, the infection-neutralization capacity against all VoCs, including Omicron, reached high levels in both naive and convalescent individuals (Fig. 1f). Again, infection-neutralization capacity remained higher in vaccinated convalescents, and there was no difference whether convalescents had received one or two vaccine doses (Fig. 1f). Figure 1g summarizes neutralization of VoCs compared to that of EU1, highlighting both the prominent immune escape properties of Omicron and the impact of a third vaccination in naive individuals that was able to partially counteract this pathogen's evolution.

Overall, COVID-19 convalescents showed a higher neutralization capacity against all SARS-CoV-2 VoCs compared to

Fig. 1 | Kinetics and comparison of infection-neutralization activities for SARS-CoV-2 variants of concern in naive individuals and convalescents after BNT162b2 vaccination. a-g, COVID-19 convalescents (orange), convalescents who received only vaccinations 1 and 3 (red) and naive individuals (blue) at indicated time points before and after BNT162b2 vaccination. a-d,f, Serum IC₅₀ values for infection-neutralization capacity of SARS-CoV-2 strain EU1 and VoCs Alpha, Beta, Gamma, Delta and Omicron normalized to 107 viral RNA copies shown as boxplots with median, bounds between upper and lower quartiles and whiskers between the 10th and 90th percentiles. Numbers of serum samples analyzed are indicated below, with those against Omicron in parentheses. a, 51 (50) SARS-CoV-2 convalescents at approximately 9 months after infection and 34 (29) SARS-CoV-2 naive individuals before vaccination (pre), naive individuals versus convalescents for Omicron **P = 0.0033, Beta ***P = 0.0002, all other VoCs ****P < 0.0001, all other VoCs ****P < 0.0001. **b**, 59 (56) convalescents and 48 (42) naive individuals at 2 weeks after vaccination 1 (w2), ****P < 0.0001. **c**, 23 (22) convalescents and 47 (42) naive individuals at 2 weeks after vaccination 2, ****P < 0.0001. d, 16 (16) convalescents and 65 (64) naive individuals at 7 months (m7) after vaccination 2 and 34 (34) convalescents having received only vaccination 1, naive individuals versus twice-vaccinated convalescents for all variants ****P < 0.0001, and versus once-vaccinated convalescents for EU1 **P = 0.0011, Alpha **P = 0.0054, Beta ***P = 0.0004, Gamma **P = 0.0031, Delta ****P< 0.0001 and Omicron **P = 0.0034. **e**, Fold reduction of IC₅₀ values comparing neutralization of EU1 with that of VoCs depicted as boxplots with median, bounds between the upper and lower quartiles, and whiskers between the 10th and 90th percentiles in 50 convalescents and 64 naive individuals (blue) at m7; numbers above boxes indicate average (avg.) fold changes comparing EU1 and VoCs; in convalescents comparing EU1 to Alpha **P=0.0017, Delta ***P = 0.0005 and all other VoCs ****P < 0.0001, and in naive individuals comparing EU1 and Alpha***P = 0.0002 and all other VoCs ****P < 0.0001. f, 14 convalescents and 59 naive individuals at 2 weeks after vaccination 3, and 22 convalescents who received only vaccination 1 and 3; naive individuals versus twice-vaccinated convalescents for Gamma **P = 0.0064, Delta **P = 0.0025 and Omicron **P = 0.0069, and versus three-times-vaccinated convalescents for Alpha *P = 0.0307, Beta *P = 0.0155, Gamma *P = 0.0342, Delta *P = 0.0115 and Omicron **P = 0.0089. g, Heat map illustrating average fold reduction of IC₅₀ values for VoCs compared to IC₅₀ values for EU1 in convalescent (conv.) and naive participants. Connecting lines indicate statistically significant differences between groups. Absence of connecting lines or asterisks indicates absence of significance. Statistics were calculated using Mann-Whitney *U* test (**a-c**), Kruskal-Wallis test with Dunn's multiple-testing correction (**d,f**) and two-sided Friedman test with Dunn's multiple-testing correction (e). Pre, before first vaccination; 1, first vaccination; 2, second vaccination; 3, third vaccination; w2, 2 weeks after respective vaccination; m4, 4 months after vaccination 2.



infection-naive individuals, even after three vaccinations in the latter. The Omicron VoC is characterized by an unprecedented escape from antibody neutralization in serum samples from convalescents and naive individuals at all time points of this study.

Increased infection-neutralization capacity is associated with higher antibody avidity. The higher neutralization capacity of convalescents in light of the immune escape properties of the Omicron VoC prompted us to investigate the longitudinal dynamics of infection-neutralization capacity and compare these to binding antibody titers against the S1 domain and polyclonal antibody-binding strength to the S1 and S2 ectodomains of the spike protein of the original Wuhan SARS-CoV-2 strain. Serum anti-spike IgG levels reached their maximum in convalescents after one vaccine dose, and in naive individuals after two vaccinations (Fig. 2a). Subsequently, IgG levels declined in both groups at 4 months and even more so at 7 months after vaccination 2, albeit more rapidly in naive individuals (Fig. 2a). After vaccination 3, serum anti-spike IgG levels increased significantly compared with 7 months after the initial vaccinations, on average by a factor of 2.7 and 9.6 for vaccinated convalescent and naive individuals, respectively (Fig. 2a).

The marked decline in serum anti-spike IgG levels in both study groups following vaccination 2 (Fig. 2a) was contrasted by a substantial infection-neutralization capacity of convalescents against all VoCs (Fig. 1d). This lack of an association between antibody titers and infection-neutralization capacity led us to reanalyze the data from our cohort for the dynamics of neutralization activity against the different VoCs over time (Extended Data Fig. 4). We found that neutralization capacity in infection-naive individuals, which was particularly low against Omicron, significantly increased after vaccination 3 (Fig. 2b,c). In convalescent individuals, vaccination 3 further increased their capacity to neutralize EU1 as well as Alpha, Gamma and Omicron, whereas the increase was less pronounced for Beta or Delta VoCs (Fig. 2b,c and Extended Data Figs. 4 and 5). Specifically, the neutralization capacity against Delta, reflected by the 50% inhibitory concentration (IC₅₀) value, showed an 8.1-fold increase in naive individuals, but only a 4.6-fold increase in convalescents (Fig. 2d). Against Omicron, a >42-fold increase in naive individuals and a >14-fold increase in convalescents, respectively, were observed (Fig. 2e), indicating the particular relevance of a third vaccination to be able to neutralize this VoC.

To better assess the relative efficacy of serum antibodies for virus neutralization, we determined the ratio between the IC_{50} neutralization and anti-spike IgG titers. Notably, we observed a high

neutralization capacity per antibody unit in sera of convalescents against EU1 and all VoCs, including Omicron, that slightly increased after vaccination 2 and became more pronounced after vaccination 3 (Fig. 2f,g and Extended Data Fig. 6). For naive individuals, in contrast, this ratio was low after vaccinations 1 and 2, increased over time (m4 and m7), and further after vaccination 3, reaching levels comparable to those seen in convalescents (Fig. 2f,g and Extended Data Fig. 6).

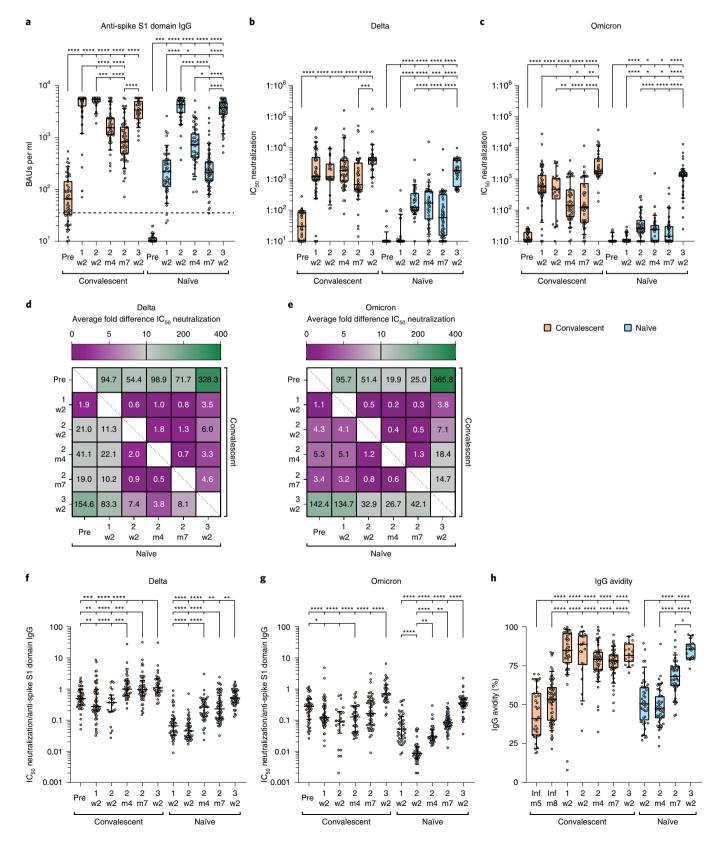
Collectively, these results suggest a maturation of antibody responses over time and after each encounter with the SARS-CoV-2 spike protein. Conceptually, this could be due to either an increased breadth of the polyclonal neutralizing antibody repertoire directed against the spike protein or an increase of their strength of binding to the spike protein. To experimentally address the latter, we quantified the avidity of serum IgG binding to the S1/S2 SARS-CoV-2 spike protein ectodomain of the original Wuhan-hu-1 SARS-CoV-2 strain. In convalescent individuals, we detected a step increase in antibody avidity after a single vaccine dose, which remained largely stable over the following 7 months and did not further increase after vaccination 3 (Fig. 2h). This is consistent with a maturation of spike-specific antibodies that have been reported after SARS-CoV-2 infection^{17,18} and which required only a single vaccination to reach maximal avidity. Hereby, the long time period of 9 months after infection may have supported a matured antibody response. In naive individuals, however, spike protein-specific antibody avidity only increased 7 months after vaccination 2, and vaccination 3 was required to increase the avidity to levels comparable to those in vaccinated convalescents (Fig. 2f). Taken together, these results suggest that an increase in antibody avidity may be critical for a highly potent infection-neutralization capacity, and provide mechanistic insight into the exceptional benefit of a third vaccination in infection-naive individuals or two timely spaced vaccinations in convalescents to counteract VoCs with immune escape potential such as Omicron.

Delta and Omicron breakthrough infections in twice-vaccinated, naive individuals boost neutralizing responses comparably to a third vaccination. To explore the applicability of the findings in our longitudinal cohort of the high immune-protective benefit of three separate exposures to SARS-CoV-2 spike antigen—either from vaccination alone or from infection and vaccination—in a real-world scenario, we investigated a second cohort of 31 individuals with 16 Delta and 15 Omicron breakthrough infections. Of these, 30 individuals had received two vaccine doses and one person had been

Fig. 2 | Longitudinal analysis of serum antibody titers, infection neutralization of Delta and Omicron variants of concern and antibody avidity following mRNA BNT162b2 vaccination. a, Anti-spike S1 domain IgG titers in 274 sera from 62 convalescents, and 304 sera from 73 naive participants as binding arbitrary units (BAUs) per ml, convalescent ***P = 0.0004, naive pre-vaccination (pre) versus w2 after vaccination (vacc.) 1 ***P = 0.0002, w2 after vacc. 1 versus m4 after vacc. 2 *P=0.0181, m4 after vacc. 2 versus w2 after vacc. 3 *P=0.0123, convalescent m7 after vacc. 2 versus w2 vacc. 3 ***P=0.0005, naive w2 after vacc. 1 versus m7 vacc. $2^{***}P = 0.0003$. **b,c**, Serum IC_{s_0} values for infection-neutralization capacity normalized to 10^7 viral RNA copies of SARS-CoV-2 VoCs Delta in 266 and 296 sera (b) and Omicron in 261 and 279 sera (c) from 62 convalescents and 73 naive individuals, respectively; convalescent w2 vacc. 1 versus m7 vacc. 2*P = 0.0357, and versus w2 vacc. 3*P = 0.0043, w2 vacc. 2 versus m4 vacc. 2*P = 0.0049, naive pre versus w2 vacc. 3*P = 0.0049, waxel vacc. 2*P = 0.0049, naive pre versus w3 vacc. 3*P = 0.0049, vacc. 3*P = 0.0m4 vacc. 2*P = 0.0197, and versus m7 vacc. 2*P = 0.0376, w2 vacc. 1 versus m4 vacc. 2*P = 0.0236, and versus m7 vacc. 2*P = 0.0043. **d,e**, Heat maps showing average fold changes in IC₅₀ values for Delta (**d**) and Omicron (**e**) between the respective time points for convalescent and naive individuals. f.g, Ratios between infection-neutralization IC₅₀ values and anti-spike S1 domain antibody titers for Delta in 263 and 295 sera; convalescent pre versus m4 vacc. 2 **P = 0.0030, versus m7 vacc. 2 **P = 0.0052, and versus w2 vacc. 3 ***P = 0.0005, w2 vacc. 2 versus m7 vacc. 2 ***P = 0.0003, and versus m7 vacc. 2 ***P = 0.0005, naive w2 vacc. 1 versus m7 vacc. 2 **P = 0.0027, and versus w2 vacc. 3 **P = 0.0032 (f); and for Omicron in 258 and 278 sera from 62 convalescents and 73 naive individuals; convalescent pre versus m4 vacc. 2 *P = 0.0340, naive w2 vacc. 2 versus m4 vacc. 2 **P = 0.0077, and versus m7 vacc. 2 **P = 0.0011 (g). h, IgG-type anti-spike avidity in 288 sera from 90 convalescents, and 150 sera from 47 naive individuals, convalescent pre versus m4 vacc. 2 *P=0.0340, naive w2 vacc. 2 versus m4 vacc. 2 **P=0.0077, and versus m7 vacc. 2 **P=0.0011. a-c,h, Boxplots with median, bounds between upper and lower quartiles, and whiskers between the 10th and 90th percentiles, SARS-CoV-2 convalescents (orange) and naive participants (blue). a-c,f-h, Medians are indicated by lines and interquartile ranges (IQRs) by the error bars. Differences between time points analyzed for statistical significance using the Kruskal-Wallis test with Dunn's multiple-testing correction; ****P < 0.0001. Connecting lines indicate statistically significant differences between groups. Absence of connecting lines or asterisks indicates absence of significance. Inf, after infection; m4, 4 months after vaccination; m5, 5 months after infection; m7, 7 months after vaccination; m8, 8 months after infection.

vaccinated with a single dose of Ad26.COV2.S, on average 5 months earlier (Supplementary Table 2). In this second cohort, we determined infection-neutralization titers on average 7 d after PCR-based diagnosis of a breakthrough infection. Remarkably, neutralization titers were significantly higher among these 31 individuals

than among twice-vaccinated naive study participants of the first cohort and comparable to those detected in twice-vaccinated convalescent and triple-vaccinated naive individuals of the first cohort 2 weeks after the last vaccination (Fig. 3a). We did not detect significant differences in the infection-neutralization capacity against the



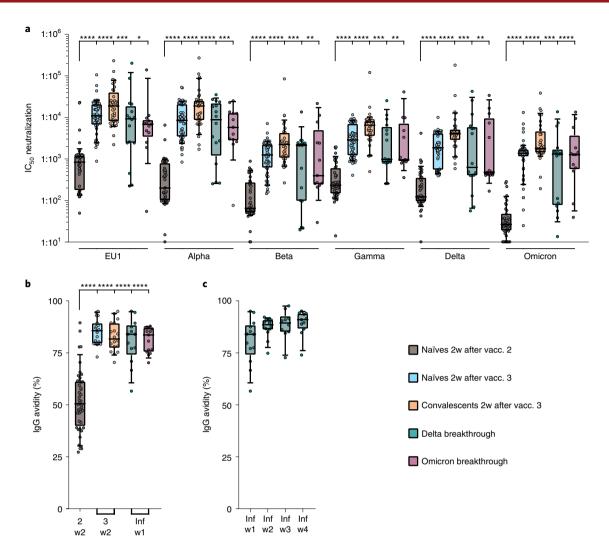


Fig. 3 | Infection-neutralization capacity for SARS-CoV-2 variants of concern after breakthrough infection with Delta and Omicron in vaccinated individuals.

a, Serum IC₅₀ values for infection-neutralization capacity normalized to 10⁷ viral RNA copies of SARS-CoV-2 VoCs in 47 naive individuals (42 for Omicron) 2 weeks after vacc. 2 (dark blue), 59 naive individuals (light blue) and 36 convalescents 2 weeks after vacc. 3, as well as 16 and 15 vaccinated individuals on average 7 d after PCR-confirmed breakthrough infections with Delta (green) and Omicron (purple), respectively; naive individuals 2 weeks after vacc. 2 versus naive individuals and convalescents 2 weeks after vacc. 3 ****P<0.0001 for all variants, versus Delta breakthrough infection for EU1 ****P=0.0007, Alpha *****P=0.0001, Beta ****P=0.0010, Gamma *****P=0.0007, Delta *****P=0.0006 and Omicron *****P=0.0002, and versus Omicron breakthrough for EU1 ****P=0.0251, Alpha *****P=0.0003, Beta ****P=0.0024, Gamma ****P=0.0016, Delta ****P=0.0022 and Omicron *****P<0.0001. b, IgG-type anti-spike antibody avidities in 44 naive participants 2 weeks after vacc. 2 (dark brown), 19 naive (light blue) and 18 convalescent participants 2 weeks after vaccination 3, as well as 13 and 13 vaccinated individuals on average 7 d after PCR-confirmed breakthrough infections with Delta (green) and Omicron (purple), respectively; *****P<0.0001. c, IgG-type anti-spike antibody avidity in vaccinated individuals on average 7 d (n=13), 2 weeks (n=14), 3 weeks (n=10) and 4 weeks (n=11) after PCR-confirmed breakthrough infections with Delta. Data are shown as boxplots with median, bounds between upper and lower quartiles, and whiskers between the 10th and 90th percentiles. Differences between groups were analyzed for their statistical significance using the Kruskal-Wallis test with Dunn's multiple-testing correction. Connecting lines indicate statistically significant differences between groups. Absence of connecting lines or asterisks indicates absence of significance. w1, 7 d after infection; w2, 2 weeks after respective vaccination/infection; w3, 3 weeks after infection; w4, 4 weeks after infection.

different VoCs, including Omicron, between individuals with either Delta or Omicron breakthrough infections (Fig. 3a). Although not statistically significant, individuals 7d after Delta breakthrough infection seemed to neutralize the Omicron VoC less well. Findings were similar when analyzing only individuals of the second cohort vaccinated twice with mRNA BNT162b2 (Extended Data Fig. 7). This observation corresponded well to the increased antibody avidity to the Wuhan-hu-1 spike protein after a Delta or Omicron breakthrough infection (Fig. 3b). Interestingly, we detected increasing antibody avidity in single individuals over time in a longitudinal analysis following Delta breakthrough infection (Fig. 3c) that did, however, not reach statistical significance.

Together, the results obtained in this independent cohort of vaccinated individuals with newly diagnosed SARS-CoV-2 breakthrough infections corroborated the findings from the longitudinal analysis in the first cohort; both for vaccinated naive individuals and for convalescent individuals, a total of three timely spaced challenges of the immune system with SARS-CoV-2 spike protein, irrespective of the type of exposure, led to superior infection-neutralization capacity.

Discussion

Using a rapid and sensitive high-throughput infection-neutralization assay with replication-competent, clinical isolates of all known SARS-CoV-2 VoCs, we quantified and compared the serum-neutralization

capacity in a longitudinal cohort of COVID-19 convalescents and matched infection-naive individuals before and after vaccination. This allowed us to determine the distinct dynamics of infection-neutralization capacity associated with the type and order of antigen exposure in the form of vaccination or infection. Comparison to a second cohort of vaccinated individuals with recent Delta and Omicron breakthrough infections identified three timely spaced encounters with SARS-CoV-2 spike protein as the common determinant to reach a superior neutralization capacity against all SARS-CoV-2 VoCs, including the emergent Omicron VoC that shows the ability to escape immunity.

We here report four key findings: First, in a direct comparison with all other VoCs, Omicron displays the most pronounced humoral immune escape evading antibody neutralization at early and late time points after vaccination. Second, a 'hybrid immunity' in convalescents after one mRNA vaccination is not further enhanced by a second vaccination after a short time frame of 3 weeks. In contrast, a timely spaced, second vaccination after several months further increases neutralization capacity to combat VoCs such as Omicron with an unprecedented ability of immune escape. Third, in a longitudinal analysis, there is no direct association between anti-spike IgG titers and the infection-neutralization capacity. A stepwise increase in the avidity of SARS-CoV-2 spike-specific antibodies after the first vaccination in convalescents and after the second and third vaccination in naive individuals was noted, consistent with the reported occurrence of affinity-matured memory B cells up to 6 months after infection¹⁹, highlighting that the quality rather than the mere quantity of antibodies is important. Fourth, triple-vaccinated naive individuals reach almost the same level of neutralization capacity against the immune escape VoC Omicron as vaccinated convalescents, as well as individuals who experienced a breakthrough infection with either the Delta or the Omicron VoC. Thus, the more rapid induction of high-avidity antibodies in convalescents after vaccination can be compensated for by three mRNA vaccinations in infection-naive individuals, and also develops after a breakthrough infection in twice-vaccinated individuals.

'Hybrid immunity' was achieved either after two mRNA vaccinations in convalescents (first cohort) or after a SARS-CoV-2 breakthrough infection in naive individuals, who had received a two-dose COVID-19 vaccination regimen (second cohort), both resulting in superior infection-neutralizing immune responses against SARS-CoV-2 VoCs including Omicron. Of note, a robust neutralization response in convalescents was seen already after a single vaccine dose, and a second shot only increased the response if given with a delay. An alternative path toward a comparably high neutralizing immunity is reported here for individuals who were triple vaccinated with BNT162b2, consistent with similar observations by others²⁰⁻²⁵.

From our data, we conclude that a superior infection - neutralization capacity against SARS-CoV-2 VoCs, including those with immune escape properties, needs to develop over time following a total of three spike antigen exposures. Our results support the notion that a single infection with SARS-CoV-2 does not provide a similar level of protection as the combination of infection and vaccination. Importantly, the dynamics by which the infection-neutralization capacity increased were paralleled by an enhanced avidity of SARS-CoV-2 spike-binding antibodies providing a critical refinement for predicting the efficacy of protective humoral responses against a range of different VoCs.

Further studies will be required to analyze the breadth of the spike-specific antibody repertoire after repeated vaccinations in naive and convalescent individuals, and to characterize the avidity of spike-specific antibodies generated after infection or vaccination specifically to current and future VoCs. While a superior infection-neutralization capacity against immune escape VoCs is induced by repeated exposure to the original SARS-CoV-2 spike

protein as encoded by the BNT162b2 mRNA vaccine, a boosting and refinement of immunity through VoC-specific vaccines may provide higher and long-lasting protection from infection.

It should be noted that this study focused on determining serum infection-neutralization capacity following infection and vaccination as a correlate of protection and identified antibody avidity as an important factor. We, however, lack the information on how the antibody repertoire may evolve over time and did not analyze antibody levels and neutralizing capacity at time points shortly before the third vaccination. The study also provides insights neither into the breadth of antibody responses nor into antibody avidity against the spike of the different VoCs.

Notwithstanding our finding of a superior infection-neutralization capacity after three mRNA vaccinations, protection from severe COVID-19 may already be achieved after two antigen encounters in particular in children and young adults²⁶. In this context, cell-mediated immunity elicited by infection or by vaccination likely contributes to protection from severe COVID-19 (ref. ²⁷). In our study, however, we neither directly assessed the protective efficacy of two versus three antigen doses against severe disease nor addressed the protective effect of T cell responses. Although the development of infection-neutralization capacity mediated by spike-specific antibodies and antiviral T cell immunity have been shown to develop in parallel¹⁴, further studies are required to elucidate whether three timely spaced encounters with spike antigen also accompany a quantitative and qualitative increase in protective T cell immunity.

Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at https://doi.org/10.1038/s41591-022-01715-4.

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Methods

Study participants and sample collection. In a screening effort, 4,554 health care workers were tested for SARS-CoV-2 nucleocapsid-specific antibodies with a commercial chemiluminescence immunoassay (iFlash CLIA, YHLO Biotechnology²⁸. Convalescent individuals from SARS-CoV-2 infection in the first pandemic wave in March/April 2020 were identified either by positive PCR or by two to four independent serological assays (specificity of ≥98% for each assay results in a specificity of ≥99.96% for the convalescent cohort)¹⁴. Naive individuals tested negative in at least two different SARS-CoV-2 nucleocapsid-specific IgG assays. In total, 171 (98 convalescent and 73 naive) individuals were enrolled into a follow-up study that was conducted from April 2020 onwards at the University Hospital rechts der Isar of the Technical University of Munich (Supplementary Table 1). The study scheme is depicted in Extended Data Fig. 8. No statistical methods were used to predetermine sample sizes, but our sample sizes increase those reported in previous publications^{20–25}. Studies were approved by the local ethics committee (ethics vote 476/20 and 26/21S-SR) and participants provided written informed consent to study participation and biobanking.

A total of 68 convalescents gave written informed consent for further analyses after their COVID-19 vaccination, and 73 SARS-CoV-2 naive individuals were matched by sex, age, working conditions and risk factors present in the convalescent cohort. Median age was 36 (IQR, 29 to 53) years in naive participants and 40 (IQR, 29 to 54) years in convalescent participants. Approximately 65.8% of naive participants and 57.6% of convalescent participants were female. All naive and 25 of 68 convalescent individuals who were continuously followed received two doses of BNT162b2 mRNA vaccine (Comirnaty, BioNTech/Pfizer) as immunization. The interval between the two vaccinations was, on average, 22 and 21 d for naive and convalescent individuals, respectively. Due to a change in the national guidelines in March 2021, the remaining 43 of 68 convalescents from the first wave were only vaccinated once with BNT162b2 until the middle of 2021, assuming that the prior infection substituted for one vaccination29. For all analyses, six convalescent individuals were excluded because they showed ≥fourfold and ≥eightfold increases in a surrogate neutralization and in IC₅₀ value for neutralization, respectively, independent of vaccination indicating SARS-CoV-2 re-exposure14

Sera from 34 naive and 51 convalescent participants were analyzed before vaccination, from 48 naive and 59 convalescent participants 2 weeks after their initial vaccination and from 47 naive and 23 convalescent participants 2 weeks after the second vaccination. A total of 45 and 72 naive and 51 and 56 convalescent participants were tested 4 and 7 months after their basic immunization, respectively, including 31 and 37 of convalescents who did not receive a second vaccine dose. Finally, sera from 59 naive participants and 36 convalescents were evaluated 2 weeks after receiving an additional BNT162b2 shot as the third immunization after an average of 9 months (Extended Data Fig. 1).

Additionally, a second cohort of 31 individuals with PCR-confirmed breakthrough infections with SARS-CoV-2 Delta or Omicron VoC ≥14 d after vaccination 2 were included (cohort 2; Supplementary Table 2). This study was approved by the local ethics committee (vote 229/21), and all participants provided written informed consent. Median age was 35 (IQR, 31 to 38) years in Delta-infected participants and 41 (IQR, 28 to 49) years in Omicron-infected participants. Specimens were collected on average 7 d (V1), 2 weeks (V2), 3 weeks (V3) and 4 weeks (V4) after the first positive PCR result showing breakthrough infection. VoC-specific PCR and/or whole-genome sequencing identified Delta (B.1.617.2) in respiratory samples of 16/31 and Omicron (B.1.1.529) in respiratory samples of 15/31 individuals. In this cohort, 26 of 31 participants (84%) had received two doses of an mRNA vaccine (22 BNT162b2, 4 mRNA-1273), and 5 of 31 had received a first vaccination with an adenoviral vector vaccine, two of which subsequently received the same vaccine and two were vaccinated with BNT162b2 (Supplementary Table 2). The median time span between the first positive PCR result and a complete vaccination cycle was 141 d (IQR, 99 to 242 d) in Delta-infected participants and 166 d (IQR, 146 to 194 d) in Omicron-infected individuals.

Antibody detection and avidity assays. IgG-type antibody responses to the Wuhan-hu-1 strain S1 domain of SARS-CoV-2 spike antigen were quantified in tenfold diluted serum specimens using the commercial anti-SARS-CoV-2 QuantiVac-ELISA (IgG; EuroImmun). Binding strength of the SARS-Cov-2 IgG antibodies was determined by adaptation of the commercial IgG agile SARS-CoV-2 ELISA (Virion/Serion) using ammonium thiocyanate (Roth, Germany) as the chaotropic agent as described previously³⁰. Briefly, serum samples were measured using the IgG agile SARS-CoV-2 ELISA and adjusted to 100 BAUs per ml, according to the standard curve provided by the manufacturer, to exclude an influence of variable antibody concentrations. Then, serum samples were incubated in the plates pre-coated with Wuhan SARS-CoV-2 spike protein ectodomain S1, S2 and receptor binding domain recombinant antigens for 1 h at 37 °C in a humid chamber. After washing, antigen-antibody complexes were incubated in the presence of 1.0 M ammonium thiocyanate or PBS as control for 10 min at room temperature. After washing to remove antibodies bound with low avidity, the ELISA was completed according to the manufacturer's instructions. The relative avidity index was calculated as follows: percentage avidity = IgG concentration ammonium thiocyanate treated)/(IgG concentrations PBS treated) \times 100 and is given in percentages^{30,31}.

SARS-CoV-2 neutralization assay. High-titer virus stocks were generated by infection of Vero-E6 cells (American Type Culture Collection) grown in virus expansion medium (DMEM containing 5% FBS, 100 U ml⁻¹ penicillinstreptomycin). Cells were incubated with clinical isolates of different SARS-CoV-2 variants (GISAID EPI ISL: 2450298 (EU1/B.1.177), 2095258 (Alpha/B.1.1.7), 1752394 (Beta/B.1.351), 2095178 (Gamma/P.1/B.1.1.28.1), 2772700 (Delta/B.1.617.2) and 7808190 (Omicron/B.1.1.529, sublineage BA.1)). EU1 and the Omicron VoC were isolated from nasopharyngeal swabs of patients with COVID-19. Virus stocks were expanded by two passages before collection and storage at -80 °C. All virus stocks were only used for infection experiments after sequencing of the complete viral genomes. Virus stocks were characterized by real-time RT-PCR as reported previously³².

For each individual SARS- $^{\circ}$ CoV-2 VoC, the tissue culture infectious dose resulting in 90% loss of target cell viability (TCID₉₀) 48 h after infection was determined using a dilution series of the virus stock on MDA-MB-231 cells (American Type Culture Collection) overexpressing hACE2. For infection neutralization, cells were cultured and infected in 384-well plates (7,500 cells per well). The respective TCID₉₀ of each virus stock was incubated for 2 h with different concentrations of each serum to be tested. Subsequently, 10 µl of the virus–serum mixtures were added to 20 µl of medium and added to MDA-MB-231-hACE2 cells. At 48 h after infection, cytopathic effects were recorded by the addition of 10 µl Cell Titer-Glo 2.0 reagent (Promega) and subsequent measurement of bioluminescence signals (0.5-s integration time, no filter) to quantify virus-mediated cytotoxicity in target cells.

Statistical analysis. Data and statistical analyses were performed in Prism 9 (GraphPad Software). TCID $_{90}$ values for tissue culture infectious doses and IC $_{50}$ values for neutralization were calculated after normalized, sigmoidal doseresponse curve approximation of the respective data.

Reporting Summary. Further information on research design is available in the Nature Research Reporting Summary linked to this article.

Data availability

All primary data that was used to generate the results obtained in this study are available in the source data provided with this paper.

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Author contributions

These authors equally share responsibility for this work: P.A.K., O.T.K. and U.P. Conceptualization: P.A.K., O.T.K. and U.P. Methodology: P.R.W., M.S., A.P., A.W., E.V., N.G., H.M., M.F., C.-C., G.L., M.A., E.M.-P., M.M., S.K., H.M., C.C., J.W., D.H., S.K., G.A., T.V., M.P. and O.T.K. Resources: S.B., P.S. and V.F. Formal analysis: C.D., M.M., A.G., S.J., N.G., H.M., M.W., E.V., S.Y., K.T. and C.C. Writing—original draft: P.R.W., A.P., M.S., P.A.K., O.T.K. and U.P. Writing—review and editing: all authors. Visualization: P.R.W., A.P., P.K., O.T.K. and U.P. Supervision: H.B., V.H., B.L., K.U., P.A.K., O.T.K. and U.P. Funding acquisition: B.L., K.U., P.A.K., O.T.K. and U.P.

Competing interests

The authors declare no competing interests concerning the study content. Outside the study, they declare the following competing interests: U.P. is a cofounder, shareholder and board member of SCG Cell Therapy, a member of the scientific advisory board of Leukocare and a member of topic-specific scientific advisory boards of Sanofi-Pasteur, GILEAD and GSK and an ad hoc advisor for BioNTech (without remuneration).

Additional information

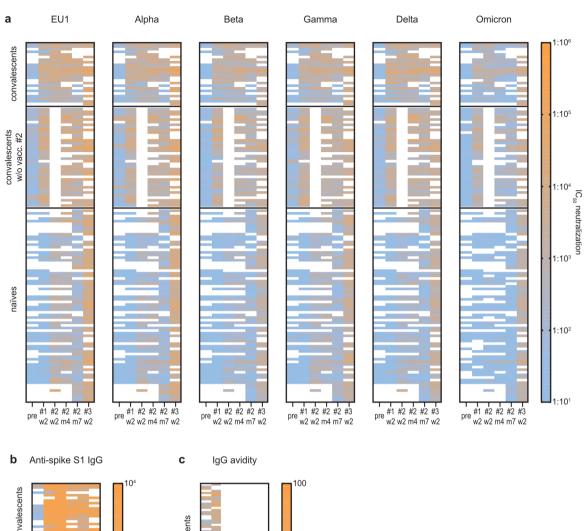
Extended data is available for this paper at https://doi.org/10.1038/s41591-022-01715-4.

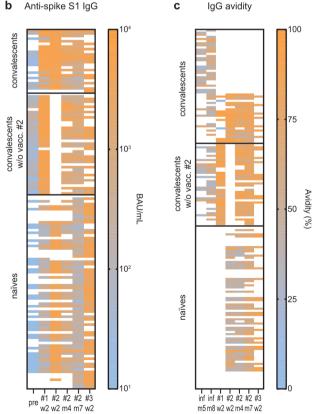
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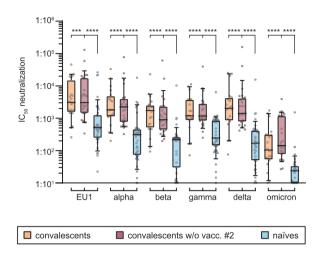
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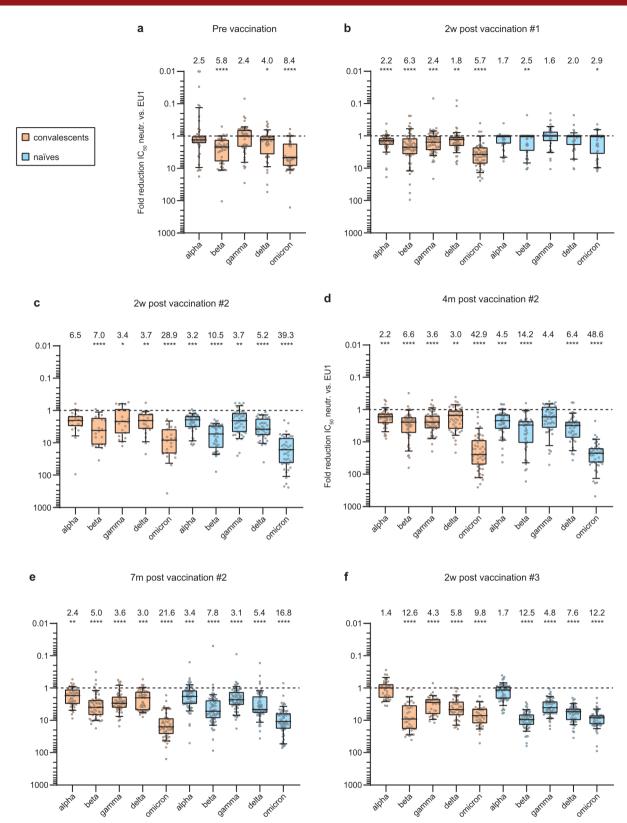


Extended Data Fig. 1 | See next page for caption.

Extended Data Fig. 1 | Heatmaps of infection neutralization capacity against SARS-CoV-2 variants, anti-SARS-CoV-2 antibody responses, and antibody avidity in naive individuals and convalescents after BNT162b2 vaccination. a,b, heatmaps of serum IC_{50} values for infection-neutralization capacity normalized to 10^7 viral RNA copies for serum infection-neutralization of SARS-CoV-2 variants (a) and IgG-type anti-spike S1 domain antibody titers (b) in 24 SARS-CoV-2 convalescents, 38 convalescents who did not receive vaccination #2, and 73 naive participants. c, heatmap of IgG-type anti-spike antibody avidity in 54 SARS-CoV-2 convalescents, 38 convalescents who did not receive the second vaccination, and 73 naive participants. For white areas within heatmaps data was not available. Abbreviations, pre – prior to first vaccination; #1 – first vaccination time point; 2 – second vaccination; #3 – third vaccination; w2 – two weeks after respective vaccination; m4 – 4 months after vaccination; m7 – 7 months after vaccination; inf. m5 – 5 months after SARS-CoV-2 infection; inf. m8 – 8 months after SARS-CoV-2 infection; BAU – binding antibody units.

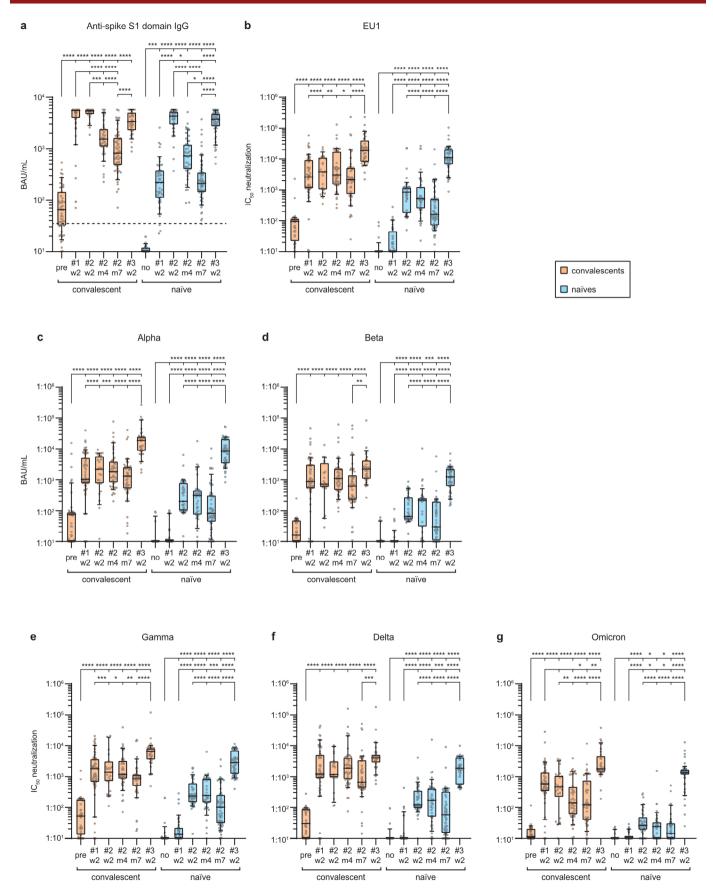


Extended Data Fig. 2 | Comparison of infection neutralization capacities for VoCs in naive individuals and convalescents 4 months after BNT162b2 vaccination. Serum IC_{50} values for infection-neutralization capacity normalized to 10^7 viral RNA copies for serum infection-neutralization of SARS-CoV-2 variants EU1 and VoCs alpha, beta, gamma, delta and omicron as box plots with median, bounds between upper and lower quartiles, and whiskers between the 10^{th} and 90^{th} percentiles for 20 SARS-CoV-2 convalescents (orange), and 43 naive participants (blue) collected 4 months after vaccination #2 and 27 convalescents who did not receive vaccination #2 collected at the same time point (red). Differences in IC_{50} values were analysed for statistical significance using the Kruskal-Wallis-test with Dunn's multiple testing correction. Connecting lines indicate statistically significant differences between groups. Absence of connecting lines or asterisks indicates absence of significance. "'P=0.0001, "'P<0.0001.



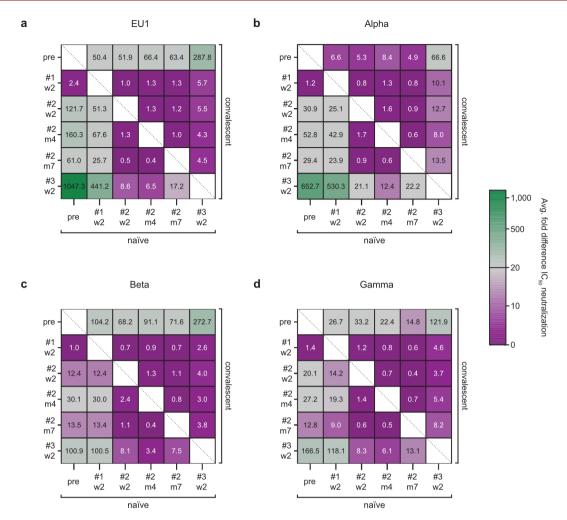
Extended Data Fig. 3 | See next page for caption.

Extended Data Fig. 3 | Comparison of infection-neutralization capacities for VoCs in naive individuals and SARS-CoV-2 convalescents after BNT162b2 vaccination. Fold-reduction of serum IC_{50} values for infection-neutralization capacity comparing the neutralization of EU1 with the VoCs depicted as box plots with median, bounds between upper and lower quartiles, and whiskers between the 10^{th} and 90^{th} percentiles for SARS-CoV-2 convalescents (orange) and 64 naive participants (blue). **a**, 50 SARS-CoV-2 convalescents collected prior to vaccination, P=0.0116. **b**, 56 convalescents, 42 naives at 2 weeks (2w) after vaccination #1, convalescent EU1 vs. gamma P=0.0003, delta P=0.0022, naive EU1 vs. beta P=0.0013, vs. omicron P=0.0121. **c**, 22 convalescents, 42 naives at 2w after vaccination #2, convalescent EU1 vs. gamma P=0.0496, delta P=0.0084, naive EU1 vs. alpha P=0.0001, gamma P=0.0005. **d**, 47 convalescents, 43 naives at 4 months (4m) after vaccination #2; **e**, 50 convalescents, and 64 naives at 7m after vaccination #2, convalescent EU1 vs. alpha P=0.0007, delta P=0.0007, delt

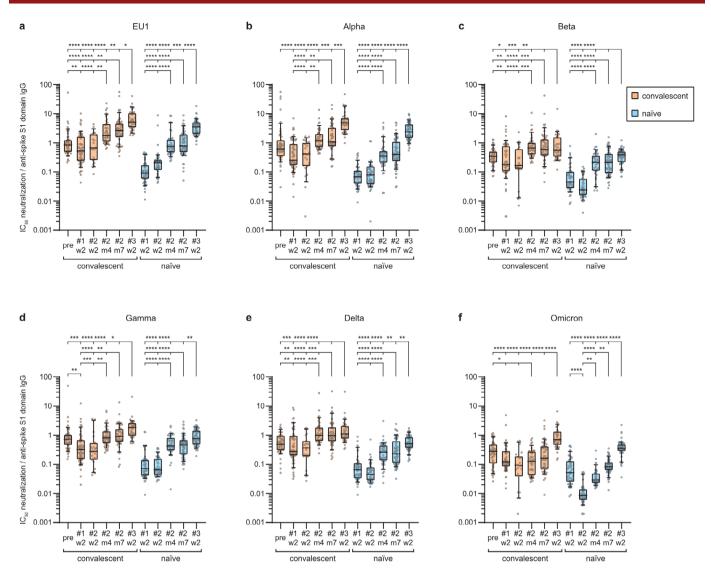


Extended Data Fig. 4 | See next page for caption.

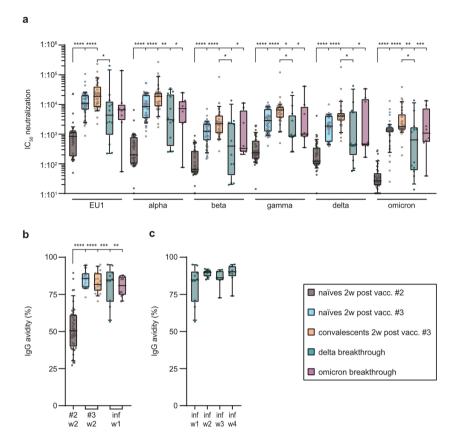
Extended Data Fig. 4 | Longitudinal comparison of infection neutralization capacities against VoCs and anti-SARS-CoV-2 spike antibody responses after BNT162b2 vaccination. a, anti-spike S1 domain antibody titers in 274 sera from 62 convalescents, and 304 sera from 73 naive participants given as binding arbitrary units (BAU)/mL, convalescent w2 after vaccination (vacc.) #2 vs. m4 vacc. #2 "P=0.0004, naive pre-vaccination (pre) vs. w2 vacc #1 ""P=0.0002, w2 vacc. #1 vs. m4 vacc. #2 'P=0.0181, m4 vacc. #2 vs. m7 vacc. #2 'P=0.0123. b-g, Serum IC so values for infection-neutralization capacity normalized to 10⁷ viral RNA copies of SARS-CoV-2 VoCs EU1, alpha, beta, gamma, and delta in 266 / 296 (**b-f**) and omicron and 261 / 279 (**g**) sera from 62 convalescents / 73 naives, respectively; **b**, convalescent w2 vacc. #3 vs. w2 vacc. #2 "P=0.0018, and vs. m4 vacc. #2 P=0.0108, **c**, w2 vacc. #2 vs. w2 vacc #3 "P=0.0002, **d**, convalescent m7 vacc. #2 vs. w2 vacc. #3 "P=0.0037, naive pre vs. m7 vacc #2 "P=0.0036, **e**, convalescent w2 vacc. #3 vs. w2 vacc. #1 ""P=0.0006, vs. w2 vacc. #2 "P=0.0237, and vs. m4 vacc #2 "P=0.0023, naive w2 vacc. #1 vs. m7 vacc. #2 ""P=0.0002, **f**, convalescent m7 vacc. #2 vs. w2 vacc. #3 "'P=0.0005, naive w2 vacc. #1 vs. m7 vacc. #2 "'P=0.0003, **g**, convalescent w2 vacc. #1 vs. m7 vacc. #2 'P=0.0357, and vs. w2 vacc. #3 "P=0.0043, w2 vacc. #2 vs. w2 vacc. #3 "P=0.0049, naive pre vs. m4 vacc. #2 P=0.0197, and vs. m7 vacc. #2 P=0.0376, w2 vacc. #1 vs. m4 vacc. #2 'P=0.0236, and vs. m7 vacc. #2 'P=0.0043, a-g, ""P<0.0001. Data are shown as box plots with median, bounds between upper and lower quartiles, and whiskers between the 10th and 90th percentile for SARS-CoV-2 convalescents (orange) and naive participants (blue). Differences between time points were analysed for their statistical significance using the Kruskal-Wallis test with Dunn's multiple testing correction. Connecting lines indicate statistically significant differences between groups. Absence of connecting lines or asterisks indicates absence of significance. a, f, g, are also shown in Fig. 2 and were added to this extended data figure to enhance comparability. Abbreviations, pre: prior to first vaccination; #1 - first vaccination; #2 - second vaccination; #3 - third vaccination; w2 - two weeks after respective vaccination; m4 - 4 months after vaccination; m7 - 7 months after vaccination.



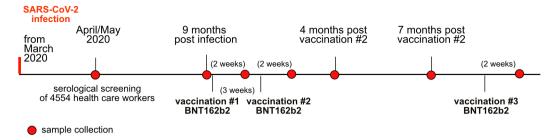
Extended Data Fig. 5 | VoC-centered heatmaps for longitudinal comparisons of infection-neutralization capacities in naive individuals and convalescents after vaccination. a-d, heatmaps illustrating average-fold changes of serum IC_{50} values for infection-neutralization capacity normalized to 10^7 viral RNA copies for serum infection-neutralization of EU1 and VoCs alpha, beta and gamma between the respective time points. Abbreviations, pre – prior to first vaccination; #1 – first vaccination; #2 – second vaccination; #3 – third vaccination; w2 – two weeks after respective vaccination; m4 – 4 months after vaccination; m7 – 7 months after vaccination.



Extended Data Fig. 6 | Longitudinal comparison of the ratios between infection neutralization capacities against VoCs and anti-SARS-CoV-2 antibodies in naive individuals and convalescents after BNT162b2 vaccination. Ratios between serum IC₅₀ values for infection-neutralization capacity against VoCs and antibody titers to the S1 domain of SARS-CoV-2 spike antigen shown as box plots with median, bounds between upper and lower quartiles, and whiskers between the 10th and 90th percentiles in SARS-CoV-2 convalescents (regardless of whether or not they received vaccination #2, orange) and naive participants (blue). a-e, 263 sera from 62 convalescents, and 295 sera from 73 naives; (f) 258 sera from 62 convalescents, and 278 sera from 73 naives. a, convalescent w2 after vaccination (vacc.) #3 vs. m4 vacc. #2 "P=0.0091, and vs. m7 vacc #2 P=0.0401, w2 vacc. #2 vs. m7 vacc. #2 "P=0.0012, m4 vacc. #2 vs. pre-vaccination (pre) "P=0.0015, and vs. w2 vacc. #2 "P=0.0093, naive m4 vacc. #2 vs. w2 vacc. #3 ""P=0.0002. **b**, convalescent w2 vacc. #2 vs. m4 vacc. #2 "P=0.0025, w2 vacc. #2 vs. m7 vacc. #2 "P=0.0018, w2 vacc. #3 vs. m4 vacc. #2 "P=0.0003, and vs. m7 vacc. #2 "'P=0.0002. **c**, convalescent m4 vacc. #2 vs. pre "P=0.0030, and vs. w2 vacc. #2 "'P=0.0003, m7 vacc. #2 vs. pre "P=0.0074, and vs. w2 vacc. #2 "P=0.0008, w2 vacc. #3 vs. pre P=0.0250, vs. w2 vacc. #1 "P=0.0005, and vs. w2 vacc. #2 "P=0.0023. d, convalescent pre vs. w2 vacc. #1"P=0.0082, m4 vacc. #2 vs. w2 vacc. #1""P=0.0001, and vs. w2 vacc. #2"P=0.0064, m7 vacc. #2 vs. w2 vacc. #2"P=0.0017, w2 vacc. #3 vs. pre "P=0.0006, and vs. m4 vacc. #2 P=0.0408, naive m7 vacc. #2 vs. w2 vacc. #3 P=0.0034. e, convalescent m4 vacc. #2 vs. pre P=0.0030, and vs. w2 vacc. #2 "P=0.0003, m7 vacc. #2 vs. pre "P=0.0052, and vs. w2 vacc. #2 "P=0.0005, pre vs. w2 vacc. #3 "P=0.0005, naive w2 vacc. #3 vs. m4 vacc. #2 "P=0.0027, and vs. m7 vacc. #2 "P=0.0032. f, convalescent pre vs. m4 vacc. #2 'P=0.0340, naive w2 vacc. #2 vs. m4 vacc. #2 "P=0.0077, m4 vacc. #2 vs. m7 vacc. #2 "P=0.0011, a-f, ""P<0.0001. Connecting lines indicate statistically significant differences between groups. Absence of connecting lines or asterisks indicates absence of significance. Differences between time points were analysed for their statistical significance using the Kruskal-Wallis test with Dunn's multiple testing correction. The data depicted in panels (e, f) are also shown in Fig. 2 and were added to this extended data figure to enhance comparability. Abbreviations, pre: prior to first vaccination; #1 - first vaccination; #2 - second vaccination; #3 - third vaccination; w2 - two weeks after respective vaccination; m4 - 4 months after vaccination; m7 - 7 months after vaccination.



Extended Data Fig. 7 | Comparison of infection neutralization capacities for VoCs in twice BNT162b2 vaccinated individuals after breakthrough infection with delta and omicron compared to naive individuals and SARS-CoV-2 convalescents. a, serum IC₅₀ values for infection-neutralization capacity normalized to 10⁷ viral RNA copies of SARS-CoV-2 variants in 47 naive participants (42 for omicron) 2 weeks after vaccination #2 (dark brown), 59 naive (light blue) and 36 convalescent participants 2 weeks after vaccination #3, as well as 12 and 10 twice BNT162b2 vaccinated individuals on average 7 days after PCR-confirmed breakthrough infections with delta (green) or omicron (purple), respectively; naives 2w after vaccination (vacc.) #2 vs. naives and convalescents 2w after vacc. #3 ""P<0.0001 for all variants, vs. delta breakthrough infection for alpha "P=0.0043, gamma P=0.0366, omicron "P=0.0074, vs. omicron breakthrough for alpha 'P=0.0100, beta 'P = 0.0246, gamma 'P=0.0165, delta 'P=0.0167, omicron "P=0.0007, convalescent 2w vacc. #2 vs. delta breakthrough for EU1 'P=0.0453, beta 'P=0.0306, gamma 'P=0.0230, delta 'P=0.0226, omicron 'P=0.0434. (b) IgG-type anti-spike antibody avidities in 44 naive participants 2 weeks after vaccination #2 (dark brown), 19 naive (light blue) and 18 convalescent participants 2 weeks after vaccination #3, as well as 10 and 8 twice BNT162b vaccinated individuals on average 7 days after PCR-confirmed breakthrough infections with delta (green) or omicron (purple), respectively; naives 2w vacc. #2 vs. naives and convalescents 2w after vacc. #3 ""P<0.0001, vs. delta breakthrough "P=0.0001, and vs. omicron breakthrough "P=0.0014. (c) IgG-type anti-spike antibody avidities in twice BNT162b2 vaccinated individuals on average 7 days (n=10), 2 weeks (n=11), 3 weeks (n=7), and 4 weeks (n=8) after PCR-confirmed breakthrough infections with delta. Data are shown as box plots with median, bounds between upper and lower quartiles, and whiskers between the 10th and 90th percentiles. Differences between groups were analysed for their statistical significance using the Kruskal-Wallis test with Dunn's multiple testing correction. Connecting lines indicate statistically significant differences between groups. Absence of connecting lines or asterisks indicates absence of significance. Abbreviations, inf: after infection; #2 - second vaccination; #3 - third vaccination; w1 - 7 days after infection; w2 - two weeks after respective vaccination/infection; w3 - three weeks after infection; w4 - four weeks after infection.



Extended Data Fig. 8 | Graphical illustration of the longitudinal cohort analysis depicting time points of vaccination and blood sample collection.

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Last updated by author(s): J	lan 21, 2022

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For	all st	atistical analyses, confirm that the following items are present in the figure legend, table legend, main text, or Methods section.
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\boxtimes		For hierarchical and complex designs, identification of the appropriate level for tests and full reporting of outcomes

Our web collection on <u>statistics for biologists</u> contains articles on many of the points above.

Software and code

Policy information about availability of computer code

Data collection

Statistics

All data from participants were obtained after informed written consent. Clinical data from participants were collected in DIS (digital information system, University Hospital rechts der Isar, Technical University of Munich, Germany) that assures anonymization of clinical and laboratory data.

Data analysis

Data was analyzed using Prism 9.3.1. (GraphPad Software, USA)

Estimates of effect sizes (e.g. Cohen's d, Pearson's r), indicating how they were calculated

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Portfolio guidelines for submitting code & software for further information.

Data

Policy information about <u>availability of data</u>

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- Accession codes, unique identifiers, or web links for publicly available datasets
- A description of any restrictions on data availability
- For clinical datasets or third party data, please ensure that the statement adheres to our policy

All primary raw data that was used to generate the results obtained in this study are available in the source data of this manuscript.

Field-spe	ecific reporting
Please select the o	ne below that is the best fit for your research. If you are not sure, read the appropriate sections before making your selection.
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For a reference copy of	the document with all sections, see <u>nature.com/documents/nr-reporting-summary-flat.pdf</u>
Life scier	nces study design
All studies must dis	sclose on these points even when the disclosure is negative.
Sample size	All healthcare workers of a quaternary care hospital were invited using different modes of communication to participate in the study irrespective of their work environment. 4,554 were screened for SARS-CoV-2 infection after giving written informed consent. All COVID-19 convalescent individuals identified were invited to be followed up, of whom 98 agreed and were enrolled in this study. A sex-, age-, working conditions- and risk factor-matched cohort of 73 infection-naive individuals was established from the seronegative participants of the study. In total, 486 serum samples were longitudinally collected from the convalescent and naïve individuals within this cohort. In addition from a second cohort, in which we studied breakthrough infections in vaccinated individuals, sera from 15 vaccinated patients infected with SARS-CoV-2 VoC omicron, and 51 sera from 16 vaccinated patients infected with SARS-CoV-2 VoC delta were analyzed. The number of participants was tested to be sufficient to allow a statistically significant comparison of the immune response to vaccination in convalescents vs infection-naive inidividuals by the institutional biostatistician.
Data exclusions	Six convalescent individuals were excluded because they showed a ≥8-fold increase in a surrogate assay and in IC50 neutralization, respectively, independent of vaccination indicating a recent SARS-CoV-2 re-exposure.
Replication	The assay to determine binding antibody titers was performed using a commercial, diagnostical assays that is well-validated and makes use of plate-wise calibrators, negative and positive controls. Titers were determined according to WHO standard binding units (BAU) assuring high standardization. Binding antibody titers were confirmed in a second, independent commercial assay before avidity testing. Experiments to determine antibody avidity were performed in duplicates showing low variance between results. The neutralization assay was validated previously showing low variance between results of independent experiments. Furthermore, each sample was tested in the neutralization assay at six different concentrations. Because of the low sample volumes available, experiments to determine neutralization titers were not replicated.
Randomization	4554 health care workers were screened for sub-acute/resolved COVID-19. 98 COVID-19 convalsescent participants were followed up. Naive individuals were randomly matched to the convalescent cohort according to sex, age, working conditions and other risk factors.

Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

Laboratory experiments and data evaluation were performed with blinded samples. De-blinding of cohorts was performed after the

Materials & experimental systems Met		thods	
n/a	Involved in the study	n/a	Involved in the study
\times	Antibodies	\boxtimes	ChIP-seq
	Eukaryotic cell lines	\boxtimes	Flow cytometry
\times	Palaeontology and archaeology	\boxtimes	MRI-based neuroimaging
\boxtimes	Animals and other organisms		
	Human research participants		
	Clinical data		
\times	Dual use research of concern		
Ful	karvotic cell lines		

Policy information about <u>cell lines</u>

Cell line source(s)

MDA-MB-231 (German collection of Microorganisms and Cell Cultures, Germany), Vero-E6 (American Type Culture Collection, USA)

Authentication

Blinding

evaluation of all raw data.

Cells were authenticated by short tandem repeat (STR) analysis.

Mycoplasma contamination

Commonly misidentified lines (See ICLAC register)

All cell lines tested negative for mycoplasma contamination.

No commonly misidentified cell lines were used in this study.

Human research participants

Policy information about studies involving human research participants

Population characteristics

Median age was 36 (interquartile range (IQR) 29 to 53) years in naïve and 38 (IQR 29 to 53) years in convalescent participants. 65.8% naïve and 54.1% convalescent participants were female. Median age was 35 (IQR 31 to 38) years in delta-and 42 (IQR 28 to 52) years in omicron-infected participants.

Recruitment

All healthcare workers of a quaternary care hospital were invited to join an antibody testing study. 4,554 participants were recruited using E-mails, handouts and via personal communication without selection bias.

Convalescents were identified to be SARS-CoV-2 antibody positive from this large-scale antibody screening. All convalescents

were invited to participate in the follow-up study and all individuals who agreed to participate were included. Individuals with a possible re-exposure to SARS-CoV-2 were excluded. Naive individuals were randomly matched from the original 4,554 individuals cohort. Study participants did not receive any compension.

Ethics oversight

The study protocol was approved by the ethics committee of the Technical University Munich (TUM) (protocols 476/20, 26/21S-SR, 229/21).

Note that full information on the approval of the study protocol must also be provided in the manuscript.

Clinical data

Policy information about clinical studies

All manuscripts should comply with the ICMJE guidelines for publication of clinical research and a completed CONSORT checklist must be included with all submissions.

Clinical trial registration | Ethics protocols of follow-up studies are: 476/20, 26/21S-SR, 229/21; no clinical trial was performed.

Study protocol The ethics study protocols are available upon reasonable request.

Data collection Serum samples were collected between April 2020 and December 2021 at the University Hospital rechts der Isar of the Technical

University of Munich.

Outcomes Primary and secondary outcome measures are describedin the manuscript.