

# Throughput and Delay Scaling of General Cognitive Networks

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# Outline

## Introduction

- Backgrounds
- Motivation and Result

## System Models

- Basic Models
- Operation Rules

## The Hybrid Protocol Model

- Definition
- Feasibility

## Transmission Opportunities

- Cell Partitioning Round-Robin Mode
- Independent Relay Mode

## Optimal Performance Scaling



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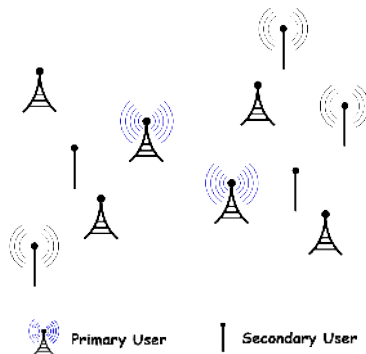
Independent Relay Mode

## Optimal Performance Scaling



# Cognitive Networks

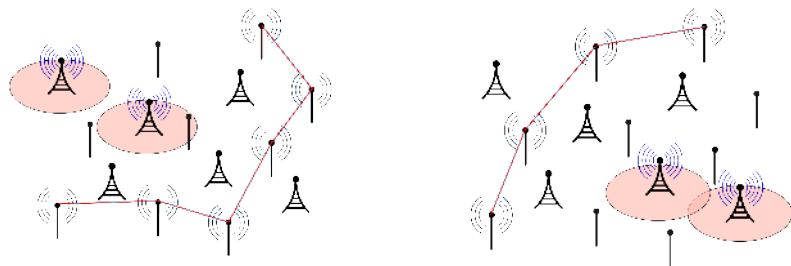
- ▶ Primary users (with priority) and secondary users (opportunistic).
- ▶ Form two networks overlapping in all dimensions.
  - ▶ spatial, temporal, spectral
- ▶ Both networks could be arbitrary ad hoc networks.



What is the throughput and delay performance of the primary and secondary networks?



## Throughput & Delay in Cognitive Networks



**Figure:** Opportunistic channel access.

- ▶ Secondary users suffer from throughput and delay penalty.
- ▶ Depends on how the primary network operates.

**What is the throughput and delay performance of the primary and secondary networks?**



## Related Previous Works

- ▶ Sang-Woon Jeon et al., submitted to TIT [14]
  - ▶ Study two overlapping static ad hoc networks.
  - ▶ Preservation regions to protect primary networks.
  - ▶ Almost all secondary users could achieve the same throughput scaling as standalone networks.
- ▶ Changchuan Yin et al., TON, 2011 [15]
  - ▶ Two overlapping static ad hoc networks.
  - ▶ Both networks can achieve the same delay-throughput tradeoff as the optimal one for standalone networks.
- ▶ Cheng Wang et al., MASS, 2009 [18]
  - ▶ Investigate multicast capacity in cognitive networks.
  - ▶ Identify numerous sub-regimes, and in most cases multicast capacity is the same as or close to that in standalone networks.



# Motivation

- ▶ All previous works consider **specific** primary networks with predefined communication schemes, and then design secondary protocols accordingly.
  - ▶ But primary networks could be **arbitrary and diverse**.
- ▶ All report similar or same results as standalone networks.
  - ▶ May imply a stronger and more general conclusion exists.



**Figure:** Various kinds of primary users in White Spaces networks.



# Motivation

## The Problem

What is the performance of a **general** cognitive networks with arbitrary primary users?

- Mobility? TDMA? CSMA?, etc.

Are there some **general** conditions that the cognitive networks can perform as well as standalone networks and how?





# Results

Yes, if:

- ▶ A1) Primary network operates at a SINR level slightly larger than reception threshold.
  - ▶ such that there are opportunities for secondary users.
- ▶ A2) Primary network employs round-robin TDMA style scheduling schemes; or its traffic flows choose relays independently for routing.
  - ▶ such that the opportunities are sufficient.
- ▶ A3)  $r_{\max}^{\gamma-2} = o(R_{\min}^{\gamma}/R_{\max}^2)$ , where  $R, r$  are transmission ranges of primary and secondary networks.
  - ▶ such that the primary scheduling is “homogeneous” and secondary users can conveniently detect opportunities.



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# Assumptions

- ▶ Network extension: a unit square.
- ▶  $n$  primary users  $\{X_i\}_{i=1}^n$  and  $m$  secondary users  $\{Y_j\}_{j=1}^m$ .
- ▶ Independently and identically distributed (i.i.d.) in the network extension.
- ▶ Random permutation traffic, no cross network traffic.



## Interference Model

*The Physical Model:* a transmission between two primary users is successful if the SINR at receiver satisfies:

$$SINR = \frac{\text{Received Power}}{N_0 + I_{P \rightarrow P} + I_{S \rightarrow P}} \geq \alpha \quad (1)$$

where,

$I_{P \rightarrow P}$  : Interference from other primary TXs

$I_{S \rightarrow P}$  : Interference from all secondary TXs

- ▶ If successful, transmits with bandwidth  $W$ .
- ▶ Define the physical model for secondary users similarly.
- ▶ Similar results also hold for the *Gaussian Channel Model*.



# Asymptotic Capacity

## Definition

*Asymptotic per-node capacity*  $\lambda(n)$  of the network is said to be  $\Theta(g(n))$  if there exist two positive constants  $c$  and  $c'$  such that:

$$\begin{cases} \lim_{n \rightarrow \infty} \Pr \{ \lambda(n) = cg(n) \text{ is feasible} \} = 1 \\ \lim_{n \rightarrow \infty} \Pr \{ \lambda(n) = c'g(n) \text{ is feasible} \} < 1 \end{cases}$$



# Operation Rules

- ▶ Essential difference between cognitive networks and normal (standalone) networks.
- ▶ Should be as general as possible.
- ▶ To formulate the concept of *priority*:
  - ▶ Primary users disregard secondary users.
  - ▶ Secondary users must take care of both themselves and primary users.



## Operation Rules

### Rule 1 (the scheduling decision model of primary users)

A transmission is eligible to be scheduled if:

$$\frac{\text{Received Power}}{N_0 + I_{P \rightarrow P}} \geq \alpha + \epsilon \quad (2)$$

- ▶ Note that  $I_{S \rightarrow P}$  is not included, i.e., the primary scheduler may not be aware of secondary users.
- ▶ Though it could be arbitrarily small, the allowance  $\epsilon$  is necessary to provide opportunities for secondary users.



# Operation Rules

## Rule 2 (scheduling decision model of secondary users)

Given a set of primary transmissions satisfying Eq. 2 (Rule 1), a secondary transmission is eligible to be scheduled if it guarantees that the set of all primary and secondary links indeed satisfies the physical model (e.g., Eq. 1).





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## Why this model?

- ▶ The operation rules are general to define, intuitive to understand, but awkward to use:
  - ▶ Complexity: depends on location, power, and aggregate interference.
  - ▶ Very difficult for secondary users to detect opportunities.
- ▶ We need a simpler criterion for secondary users to identify potential transmission opportunities.



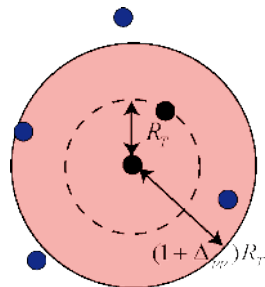
# The Hybrid Protocol Model

## Definition

let  $\mathcal{A} \in \{p, s\}$ , and nodes  $i, j \in \mathcal{A}$ . Denote  $d_{ij}$  as the distance between them. Then a transmission from  $i$  to  $j$  is considered successful under the hybrid protocol model if

$$d_{kj} > (1 + \Delta_{AB})d_{ij}$$

for any other node  $k \in \mathcal{B}$ ,  $\mathcal{B} \in \{p, s\}$  transmitting simultaneously.



**Figure:** Guard zone for other PUs interfering with the transmitting PU.

- Simplicity: only involves *pairwise distance*.



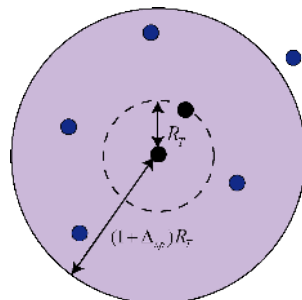
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**Figure:** Guard zone for other SUs interfering with the transmitting PU.

- Simplicity: only involves *pairwise distance*.



## Hybrid Protocol Model vs. Operation Rules

The hybrid protocol model (HP) should be consistent with the operation rules:

- ▶ Any primary schedule allowed by Rule 1 must also be allowed by HP (HP should be *flexible*).
- ▶ Any schedule allowed by HP must be indeed physical feasible, thus satisfying Rule 2 (HP should be *stringent*).

How?

- ▶ Adjust protocol parameters  $\Delta_{pp}$ ,  $\Delta_{ps}$ ,  $\Delta_{sp}$  and  $\Delta_{ss}$ .
- ▶ Control underlying parameters such as transmission power.



# HP is Flexible

## Lemma

For any  $\alpha + \epsilon$  specified by Rule 1, let  $\Delta_{pp} \leq (\alpha + \epsilon)^{\frac{1}{\gamma}} - 1$ , then all feasible schedules of Rule 1 are feasible under HP.

## Intuition

Decreasing  $\Delta_{pp}$  will allow for more concurrent primary transmissions, even at a very poor SINR.

- Therefore HP complies with Rule 1.



# Physical Feasibility of HP

## Key Challenges:

- ▶ Ensure secondary transmissions harmless to primary network: upper bound  $I_{S \rightarrow P}$ .
- ▶ Establish secondary links given uncontrollable interference from primary network: upper bound  $I_{P \rightarrow S}$ .

Secondary users should employ flexible power control.



- └ The Hybrid Protocol Model

- └ Feasibility

## Upper Bounding $I_{S \rightarrow P}$ : Main Idea

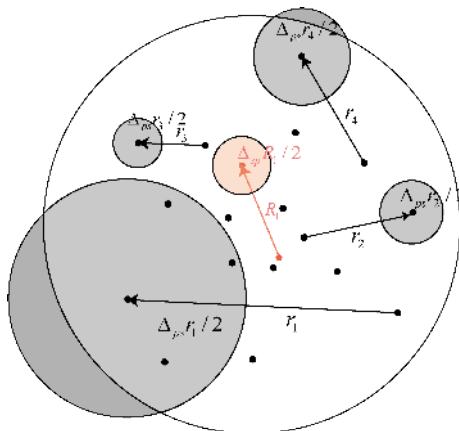
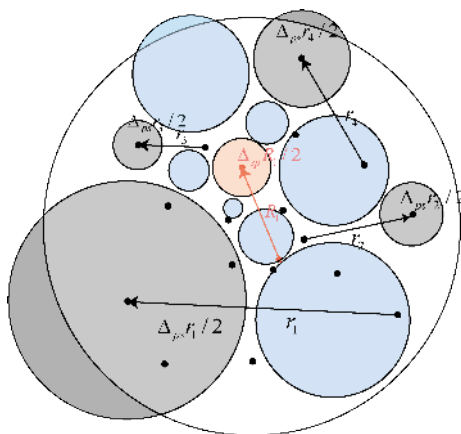


Figure: Hybrid protocol model: transmissions consume area.





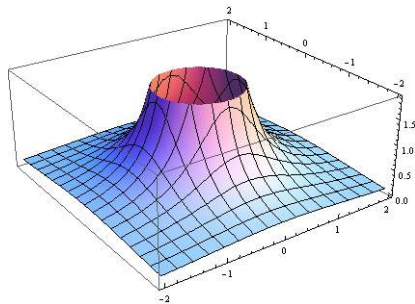
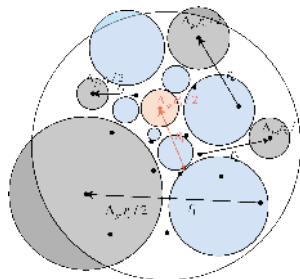
# Upper Bounding $I_{S \rightarrow P}$ : Main Idea



**Figure:** The amount of interference that can be “plugged” around the receiver is upper bounded.



## Upper Bounding $I_{S \rightarrow P}$ : Main Idea



**Figure:** By carefully tuning secondary transmission power, the interference that primary users suffer can be bounded by integral.



## Physical Feasibility of HP: Main Idea

- ▶ Similarly we can bound  $I_{P \rightarrow S}$ .
- ▶  $I_{S \rightarrow P}$  is roughly bounded by  $CR_{ij}^{2-\gamma}$  and  $I_{P \rightarrow S}$  by  $R_{\min}^{-\gamma}$ .
- ▶ If  $r_{\max}^{\gamma-2} = o(\frac{R_{\min}^{\gamma}}{R_{\max}^2})$ , then we can indeed find a proper  $C$ , such that the SINR of any primary and secondary users is larger than physical reception threshold.

Therefore HP is physical feasible.



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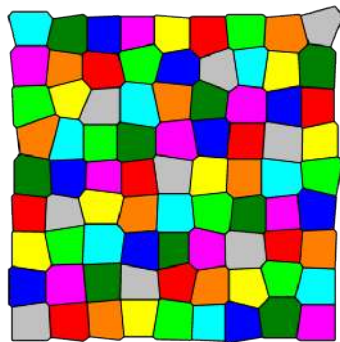
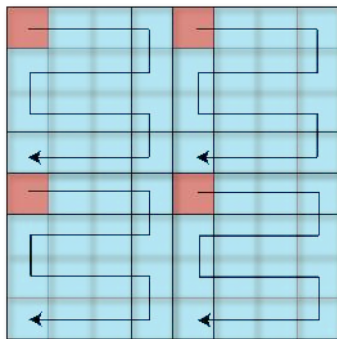
# Cell Partition Round-Robin Scheduling

- ▶ Tessellate the network into cells.
- ▶ Group non-interfering cells into the same color, allow concurrent transmissions in cells with the same color.
- ▶ Different groups take turns to be active, such that every cell has constant fraction of time to transmit.



- └ Transmission Opportunities
- └ Cell Partitioning Round-Robin Mode

## Cell Partition Round-Robin Scheduling



**Figure:** Simple 16-TDMA (the left figure) and its general form: Voronoi Cells (the right figure).



# Availability of Transmission Opportunities

## Intuition

As a result of the hybrid protocol model, for any secondary user  $i$ , we can find a corresponding nearby primary cell  $V$ , such that  $i$  is able to transmit without interfering the primary network whenever  $V$  is activated.

- ▶ Secondary users have constant fraction of time to be active.
- ▶ Only wait constant time before the opportunities occur.

Thus same throughput & delay scaling as standalone networks.



- └ Transmission Opportunities
- └ Independent Relay Mode

## Independent Relay Routing

- ▶ Tessellate network into arbitrary cells.
- ▶ Packets are forwarded along these cells hop by hop following arbitrary path.
- ▶ Different traffic flows choose relays **independently** in cells.





- └ Transmission Opportunities
- └ Independent Relay Mode

## Independent Relay Routing

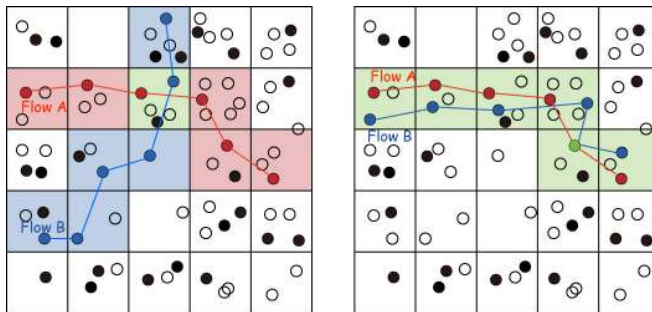


Figure: Two examples of independent relaying.



- └ Transmission Opportunities
- └ Independent Relay Mode

## Availability of Transmission Opportunities

### Theorem

If a secondary link is muted from transmission for a constant fraction of time, then with high probability there also exists a constant fraction of time that it can transmit without constraint.

Intuition: muted and triggered region.

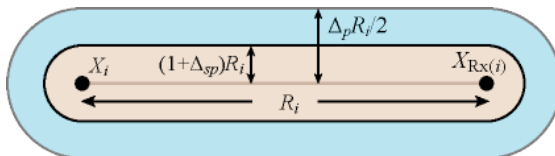


Figure: Muted region (in pink) and triggered region (in blue).



- └ Transmission Opportunities
- └ Independent Relay Mode

## Availability of Transmission Opportunities

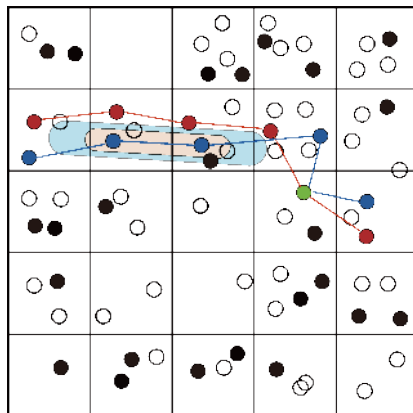


Figure: Region muted and triggered by a specific link in the network.



- └ Transmission Opportunities
- └ Independent Relay Mode

## Availability of Transmission Opportunities

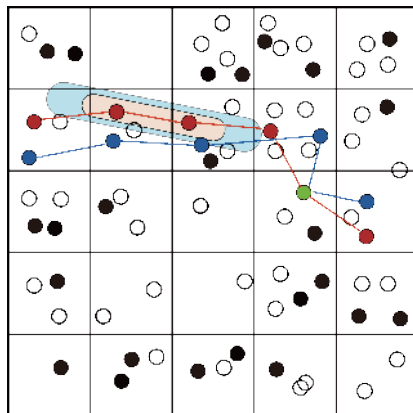


Figure: Region muted and triggered by a specific link in the network.



- └ Transmission Opportunities
- └ Independent Relay Mode

## Availability of Transmission Opportunities

### Lemma

Whether a secondary link is muted or triggered can be characterized by random Bernoulli trials, i.e., if muted with probability  $p_1$ , then constant  $c > 0$  exists such that triggering probability  $p_2 > cp_1$ .

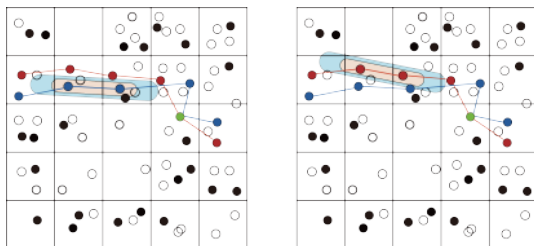


Figure: Regions muted and triggered by specific links in the network.



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# Performance Scaling for General Cognitive Networks

## Theorem

For any schemes that satisfies conditions A1)-A3) (pp. 9), and achieves throughput  $\lambda_s$  and delay  $D_s$  in case that the secondary network were standalone, there exists a corresponding scheme which can achieve throughput  $\Theta(\lambda_s)$  and delay  $\Theta(D_s)$  when primary network is present and Operation Rules 1 and 2 apply.



# Static Wireless Ad Hoc Networks

## Corollary

In static cognitive networks, the optimal throughput delay tradeoff is  $D_p = \Theta(n\lambda_p)$ ,  $\lambda_p \leq \Theta(1/\sqrt{n})$  for primary network and  $D_s = \Theta(m\lambda_s)$ ,  $\Theta(n\lambda_p/m) < \lambda_s \leq \Theta(1/\sqrt{m})$  for secondary network, if  $\Theta(1/\sqrt{m}) > \Theta(n\lambda_p/m)$ .

[1] A. El Gamal, J. Mammen, B. Prabhakar, and D. Shah, "Optimal throughput delay scaling in wireless networks: part i: the fluid model," *IEEE/ACM Trans. on Networking*, 2006.





## Networks with Random Walk Mobility

### Corollary

If primary nodes move according to random walk model, then the optimal throughput delay tradeoff for primary network is  $D_p = \Theta(n\lambda_p)$  if  $\lambda_p \leq \Theta(1/\sqrt{n})$ ,  $D_p = \Theta(n \log n)$  if  $\Theta(1/\sqrt{n}) < \lambda_p \leq \Theta(1)$ . And the optimal throughput delay tradeoff for secondary network is  $D_s = \Theta(m\lambda_s)$ ,  $\Theta(n \min(1/\sqrt{n}, \lambda_p)/m) < \lambda_s \leq \Theta(1/\sqrt{m})$ , if  $\Theta(1/\sqrt{m}) > \Theta(n \min(1/\sqrt{n}, \lambda_p)/m)$ .

[1] A. El Gamal, J. Mammen, B. Prabhakar, and D. Shah, "Optimal throughput delay scaling in wireless networks: part i: the fluid model," *IEEE/ACM Trans. on Networking*, 2006.



## Hybrid Networks

### Corollary

If primary network is equipped with  $k = \Omega(\sqrt{n})$  base stations, the capacity of it is  $\lambda_p = \Theta(k/n)$ , and the optimal throughput delay tradeoff for the secondary network is  $D_s = \Theta(m\lambda_s)$ ,  $\Theta(\sqrt{\lambda_p n}/m) < \lambda_s \leq \Theta(1/\sqrt{m})$ , if  $\Theta(1/\sqrt{m}) > \Theta(\sqrt{\lambda_p n}/m)$ .

[2] B. Liu, Z. Liu, and D. Towsley, "On the capacity of hybrid wireless networks," in *IEEE Infocom*, 2003.



# CSMA Networks

## Corollary

If the primary network employs independent relay protocol and CSMA protocol. The capacity of primary network is  $\Theta(\frac{1}{\sqrt{n \log n}})$ . The optimal throughput delay tradeoff for secondary network is  $D_s = \Theta(m\lambda_s)$ ,  $\Theta(\sqrt{n}/m\sqrt{\log n}) < \lambda_s \leq \Theta(1/\sqrt{m})$ , if  $m = \Omega(n^M)$  for some constant  $M > 1$ .

[3] C.-K. Chau, M. Chen, and S. C. Liew, "Capacity of large-scale CSMA wireless networks," in *Proc. ACM Mobicom*, 2009.



## Networks with General Mobility

### Corollary

If the mobility of primary users can be described by a stationary spatial distribution with support of diameter  $f(n) = \omega(\frac{1}{\sqrt{n}})$ , then the capacity of primary network is  $\lambda_p = \Theta(f(n))$ . The optimal throughput delay tradeoff for secondary network is

$D_s = \Theta(m\lambda_s)$ ,  $\Theta(\sqrt{n}/m) < \lambda_s \leq \Theta(1/\sqrt{m})$ , if  $m = \omega(n)$ .

[4] M. Garetto, P. Giaccone, and E. Leonardi, "Capacity scaling in delay tolerant networks with heterogeneous mobile nodes," in *Proc. of ACM MobiHoc, 2007*.



## And More ...

- ▶ Multicast networks
- ▶ MIMO networks
- ▶ Clustered networks
- ▶ ...



# Summary

- ▶ The hybrid protocol model for opportunity detection
- ▶ Round-robin scheduling and independent relay routing
- ▶ Examples that cognitive networks achieve the same performance scaling as standalone networks: static networks, mobile networks, hybrid networks, CSMA networks ...



# Questions?

Thanks for listening.

