

# Time Development of Quantum Lattice Systems

MARY BETH RUSKAI\*

Institut de Physique Théorique, Université de Genève, Genève, Suisse

Received September 20, 1970

**Abstract.** The time development of quantum lattice systems is studied with a weaker assumption on the growth of the potential than has been considered previously.

## I. Introduction

The problem of describing the time development of a statistical mechanical system has not yet been treated satisfactorily. In the algebraic approach to statistical mechanics, it has often been assumed that time-translations correspond to automorphisms of the algebra of quasi-local observables [1]. This assumption has been justified in a few very special cases [2–4], but is not true in general. In particular, it has been shown to be invalid for the ideal Bose gas and BCS models [5]. Indeed, it would be rather surprising if such an assumption were generally valid because it would imply that even those states which are not physically realizable have a well-behaved time development. Therefore, it would seem desirable to study the time-development in a simple case without this assumption. In this paper we consider the time-development of a quantum lattice system. Our assumptions about the growth of the potential are less restrictive than those of Robinson [2], which imply that time-translations correspond to automorphisms of the algebra.

A lattice system is one which is parametrized so that it can be identified with  $\mathbf{Z}^v$ , the space of  $v$ -tuples of integers. A Hilbert space,  $\mathcal{H}(x)$ , of finite dimension,  $N$ , is associated with each lattice site  $x$  in  $\mathbf{Z}^v$ . The Hilbert space

$$\mathcal{H}(A) = \bigotimes_{x \in A} \mathcal{H}(x)$$

is associated with each finite region  $A$  in  $\mathbf{Z}^v$ . The algebra of local observables for  $A$ ,  $\mathfrak{A}(A)$ , is simply the algebra of bounded operators on  $\mathcal{H}(A)$ . If  $A_1 \subset A_2$ , one can identify every  $A$  in  $\mathfrak{A}(A_1)$  with the operator  $A \otimes I_{A_2 \setminus A_1}$  in  $\mathfrak{A}(A_2)$ , where  $I_{A_2 \setminus A_1}$  is the identity on  $\mathcal{H}(A_2 \setminus A_1)$ . Then one

\* Battelle Fellow.

can define the algebra of quasi-local observables,  $\mathfrak{A}$ , as the norm closure of

$$\bigcup_{A \subset \mathbf{Z}^{\nu}} \mathfrak{A}(A).$$

$\mathfrak{A}$  is a  $C^*$ -algebra. Translations of the lattice correspond to commuting automorphisms  $g_x$  of  $\mathfrak{A}$ . The Hamiltonian,  $H(A)$ , associated with the finite region  $A$  is a self-adjoint operator on  $\mathcal{H}(A)$  which can be written in terms of a potential  $\Phi$  as:

$$H(A) = \sum_{X \subset A} \Phi(X) \quad (1)$$

where  $\Phi(X)$  is a translation-invariant<sup>1</sup> self-adjoint operator on  $\mathcal{H}(X)$  satisfying  $\Phi(\emptyset) = 0$ .

We say that a sequence,  $(A_n)$ , of finite regions tends to  $\infty$  if for every finite  $A \subset \mathbf{Z}^{\nu}$  there exists an  $N$  such that  $n \geq N$  implies  $A_n \supset A$ .

The time-development of lattice systems seems to be closely related to the rate at which the potential grows as  $X$  increases. For example, suppose that there exists a  $\xi > 0$  such that

$$\|\Phi\|_{\xi} = \sum_{X \ni 0} \|\Phi(X)\| e^{\xi N(X)} < \infty$$

where  $N(X)$  is the number of lattice sites in  $X$ . Then it can be shown [6, 7] that

$$\lim_{A \rightarrow \infty} e^{itH(A)} A e^{-itH(A)} = g_t A$$

exists for all real  $t$  and local  $A$  in  $\mathfrak{A}$ , and defines a strongly continuous one-parameter group of  $*$ -automorphisms of  $\mathfrak{A}$ . We will consider potentials which satisfy the weaker condition

$$\|\Phi\| = \sum_{X \ni 0} \|\Phi(X)\| < \infty. \quad (2)$$

A state on  $\mathfrak{A}(A)$  or  $\mathfrak{A}$  is a positive linear functional,  $f$ , which is normalized so that

$$f(I) = 1$$

where  $I$  is the identity. We will be particularly interested in the Gibbs states which are defined by

$$\varrho^A(A) = \frac{\text{Tr}(e^{-H(A)} A)}{\text{Tr}(e^{-H(A)})} \quad (3)$$

for all  $A$  in  $\mathfrak{A}(A)$ .

<sup>1</sup> See [6], p. 15.

## II. Time Evolution of the Gibbs State

First consider the time-development of the system in a finite region  $A$ . Let

$$A^A(\xi) = e^{-\xi H(A)} A e^{\xi H(A)} \tag{4}$$

where  $A$  is in  $\mathfrak{A}(A)$ ,

$\xi$  is a complex number,

and

$$H(A) \text{ is given by (1).}$$

Since  $H(A)$  is bounded,  $A^A(\xi)$  is in  $\mathfrak{A}(A)$ . Thus, when  $\xi = -it$ , (4) defines an automorphism of  $\mathfrak{A}(A)$  which describes the time development of the system in the region  $A$ .

Now when  $A \rightarrow \infty$ , (4) is not necessarily well-defined. Therefore, we consider instead the expectation values of products of operators in the Gibbs states, (3). That is, we consider the limit as  $A_n \rightarrow \infty$  of

$$\begin{aligned} & \varrho^{A_n}(A_1(\xi_1) \dots A_k(\xi_k)) \\ &= \frac{\text{Tr}(e^{-H(A)} e^{-\xi_1 H(A)} A_1 e^{\xi_1 H(A)} \dots e^{-\xi_k H(A)} A_k e^{\xi_k H(A)})}{\text{Tr}(e^{-H(A)})} \end{aligned} \tag{5}$$

in the region:

$$\mathcal{D}_k = \{(\xi_1 \dots \xi_k) : \beta_1 < \beta_2 < \dots < \beta_k < \beta_1 + 1\} \tag{6}$$

where  $\xi_j = \beta_j - it_j$ .

The distinguished boundary of  $\mathcal{D}_k$  is the set

$$\begin{aligned} e(\mathcal{D}_k) &= \{(\xi_1 \dots \xi_k) : \beta_1 = \beta_2 = \beta_j < \beta_{j+1} = \beta_k = \beta_1 + 1 \\ &\text{for some } j, \quad 1 \leq j \leq k\}. \end{aligned} \tag{7}$$

The following theorem shows that (5) has well-defined limits when  $A_n \rightarrow \infty$ .

**Theorem 1.** *Let  $\varrho^{A_n}$  and  $\mathcal{D}_k$  be defined by (5) and (6) respectively, and let  $(A_n)$  be any sequence  $\rightarrow \infty$ . Then there exists a subsequence  $(\Omega_n)$  and, for every  $k$  and  $A_1, \dots, A_k$  in  $\mathfrak{A}$ , a function  $F_{A_1 \dots A_k}(\xi_1 \dots \xi_k)$  such that*

- a) *When  $A_1 \dots A_k$  are local, i.e. in  $\mathfrak{A}(A_0)$  for some finite  $A_0$ ,  $\varrho^{\Omega_n}(A_1(\xi_1) \dots A_k(\xi_k))$  converges uniformly on the compact subsets of  $\overline{\mathcal{D}_k}$  to  $F_{A_1 \dots A_k}(\xi_1 \dots \xi_k)$ .*
- b)  *$F_{A_1 \dots A_k}$  is analytic in  $\mathcal{D}_k$ .*
- c)  *$F_{A_1 \dots A_k}$  is continuous in  $\overline{\mathcal{D}_k}$ .*
- d)  $|F_{A_1 \dots A_k}(\xi_1 \dots \xi_k)| \leq \prod_{i=1}^k \|A_i\| \quad \text{in } \overline{\mathcal{D}_k}$ .
- e) *The expressions  $F_{A_1 \dots A_k}$  are linear separately in each  $A_i$ . The last two conditions imply that when  $B_i^l \rightarrow A_i$  in norm then  $F_{B_1^l \dots B_k^l} \rightarrow F_{A_1 \dots A_k}$  uniformly in  $\xi_1 \dots \xi_k$ .*

Before proving Theorem 1, we consider some properties of  $q^A$ .

**Theorem 2.** For each choice of  $k, A_1, \dots, A_k$  consider  $q^A(A_1(\xi_1) \dots A_k(\xi_k))$  as a function  $f(\xi_1 \dots \xi_k)$  of  $k$  complex variables. Then

- a)  $f$  is analytic in  $\mathcal{D}_k$ .
- b)  $f$  is continuous and bounded in  $\overline{\mathcal{D}}_k$ .

c)  $|f(\xi_1 \dots \xi_k)| \leq \prod_{i=1}^k \|A_i\|$  in  $\overline{\mathcal{D}}_k$ .

d)  $\left| \frac{\partial}{\partial \xi_j} f(\xi_1 \dots \xi_k) \right| \leq 2N(A_0) \|\Phi\| \prod_{i=1}^k \|A_i\|$  in  $\overline{\mathcal{D}}_k$ .

where  $\mathcal{D}_k$  is defined by (6),  $\|\Phi\|$  is defined by (2), and  $A_0$  is a finite region chosen so that  $A_1 \dots A_k$  are in  $\mathfrak{A}(A_0)$ .

Note that the bounds in (c) and (d) are independent of  $\Lambda$ .

*Proof.* It is easy to see that  $f(\xi_1 \dots \xi_k)$  is actually an entire function and remains bounded on  $\mathcal{D}_k$ , so that (a) and (b) are satisfied. To prove (c), we first show that it is sufficient to consider the maximum of  $|f(\xi_1 \dots \xi_k)|$  on  $e(\mathcal{D}_k)$ . To do this, we exploit the fact that  $f$  depends only on differences and make the change of variables.

$$\omega_j = \xi_{j+1} - \xi_j = \alpha_j + i\eta_j \quad (j = 1 \dots k - 1).$$

Then  $\alpha_j > 0$  and  $\sum_j \alpha_j < 1$ . Now by fixing  $k - 2$  of the  $\omega_j$  ( $j \neq j_0$ ) one can apply the usual principle of the maximum<sup>2</sup> to  $f$  considered as an analytic function of one variable in the region

$$0 < \alpha_{j_0} < 1 - \sum_{j \neq j_0} \alpha_j$$

to show that it approaches its maximum modulus when  $\alpha_{j_0} = 0$  or  $\sum_j \alpha_j = 1$ . Then since all but one  $\omega_j$  were arbitrary,  $f(\xi_1 \dots \xi_k)$  approaches its maximum when either

$$\beta_1 = \beta_2 = \dots = \beta_k \quad (\text{all } \alpha_j = 0)$$

or

$$\beta_k = \beta_1 + 1 \quad \left( \sum_j \alpha_j = 1 \right).$$

In the latter case one can repeat the argument by considering  $f(\xi_1 \dots \xi_k)|_{\xi_k = \xi_1 + 1}$  as a function of  $k - 1$  variables. Continuing this process, one finds that at each step  $|f|$  approaches its maximum either when

$$\beta_1 = \beta_2 = \dots = \beta_j$$

---

<sup>2</sup> If a function is holomorphic and bounded on a strip, then the supremum of its modulus on the strip is equal to the supremum of its modulus on the boundary.

under the assumption  $\beta_{j+1} = \dots = \beta_k = \beta_1 + 1$ , or when  $\beta_j = \beta_{j+1} = \dots = \beta_k = \beta_1 + 1$ .

Thus  $f(\xi_1 \dots \xi_k)$  approaches its maximum on  $e(\mathcal{D}_k)$ . To estimate  $|f|$  there we use the formula

$$\frac{\text{Tr}(BC)}{\text{Tr}B} \leq \|C\| \tag{8}$$

which is valid on finite dimensional Hilbert spaces whenever  $B$  is a positive operator. On  $e(\mathcal{D}_k)$  one has

$$f = \frac{\text{Tr}[A_1(it_1) \dots A_j(it_j) e^{-H(A)} A_{j+1}(it_{j+1}) \dots A_k(it_k)]}{\text{Tr}[e^{-H(A)}}. \tag{9}$$

Then using (8), cyclicity of the trace, and the properties of the norm, one immediately gets

$$|f(\xi_1 \dots \xi_k)| \leq \|A_1\| \dots \|A_k\|, \tag{10}$$

which proves (c). To prove (d) note that

$$\frac{\partial}{\partial \xi_j} f = \frac{\text{Tr}(e^{-H(A)} A_1(\xi_1) \dots e^{-\xi_j H(A)} [-H(A), A_j] e^{\xi_j H(A)} \dots A_k(\xi_k))}{\text{Tr}(e^{-H(A)}}. \tag{11}$$

Proceeding as in the proof of (c) one gets

$$\left| \frac{\partial}{\partial \xi_j} f \right| \leq \prod_{i \neq j} \|A_i\| \| [H(A), A_j] \|.$$

Now

$$\begin{aligned} \| [H(A), A] \| &= \left\| \sum_{\substack{X \subset A \\ X \cap A_0 \neq \emptyset}} [\Phi(X), A] \right\| \\ &\leq 2 \|A\| \sum_{x \in A_0} \sum_{X \ni x} \|\Phi(X)\| \\ &= 2 \|A\| N(A_0) \|\Phi\|. \end{aligned}$$

Therefore

$$\left| \frac{\partial}{\partial \xi_j} f \right| \leq 2N(A_0) \|\Phi\| \prod_{i=1}^k \|A_i\| \tag{12}$$

which proves (d).

We now prove Theorem 1.

*Proof.* Let  $A_1 \dots A_k$  be in  $\mathfrak{A}(A_0)$  and let  $f_n$  be the analytic function corresponding to  $\varrho^{A_n}(A_1(\xi_1) \dots A_k(\xi_k))$  as in Theorem 2. Then the functions  $f_n$  and their derivatives are bounded uniformly in  $n$ . Therefore the sequence  $(f_n)$  is equicontinuous and one can apply the Arzelà-Ascoli Theorem<sup>3</sup>. Thus for each choice of  $k, A_1, \dots, A_k, (A_n)$  has a subsequence

<sup>3</sup> See Appendix.

$(A_n)$  such that  $(f_n)$  converges on every compact subset of  $\overline{\mathcal{D}}_k$  to a continuous function  $F_{A_1 \dots A_k}(\xi_1 \dots \xi_k)$ . We want to show that this subsequence can be chosen independently of  $k, A_1 \dots A_k$ . Let  $X$  be a countable, dense subset of  $\mathfrak{A}$ . Then

$$\bigcup_{k=1}^{\infty} \{B_1 \dots B_k : B_i \text{ in } X\}$$

is countable. By the usual Cantor diagonalization procedure one can find a subsequence  $(\Omega_n)$  of  $(A_n)$  such that  $\varrho^{\Omega_n}(B_1(\xi_1) \dots B_k(\xi_k))$  converges for all  $k$  and  $B_1 \dots B_k$  in  $X$ . Now let  $A_1 \dots A_k$  be arbitrary local elements of  $\mathfrak{A}$  and choose  $B_i$  in the dense set  $X$  so that

$$\|A_j - B_j\| \leq \varepsilon \left[ (2k+1) \prod_{i=1}^{j-1} \|B_i\| \prod_{i=j+1}^k \|A_i\| \right]^{-1}.$$

Since  $\varrho^{\Omega_n}$  converges on  $X \otimes X \otimes \dots \otimes X$  one can find an  $N$  such that  $n, m \geq N$  implies

$$|\varrho^{\Omega_n}(B_1(\xi_1) \dots B_k(\xi_k)) - \varrho^{\Omega_m}(B_1(\xi_1) \dots B_k(\xi_k))| < \frac{\varepsilon}{2k+1}.$$

Then  $n, m \geq N$  implies that

$$\begin{aligned} & |\varrho^{\Omega_n}(A_1(\xi_1) \dots A_k(\xi_k)) - \varrho^{\Omega_m}(A_1(\xi_1) \dots A_k(\xi_k))| \\ &= |\varrho^{\Omega_n}((A_1 - B_1)(\xi_1) A_2(\xi_2) \dots A_k(\xi_k)) \\ &\quad + \varrho^{\Omega_n}(B_1(\xi_1) (A_2 - B_2)(\xi_2) \dots A_k(\xi_k)) \\ &\quad + \dots + \varrho^{\Omega_n}(B_1(\xi_1) \dots B_k(\xi_k)) \\ &\quad - \varrho^{\Omega_m}(B_1(\xi_1) \dots B_k(\xi_k)) \\ &\quad - \varrho^{\Omega_m}(B_1(\xi_1) \dots B_{k-1}(\xi_{k-1}) (A_k - B_k)(\xi_k)) \\ &\quad - \dots - \varrho^{\Omega_m}((A_1 - B_1)(\xi_1) A_2(\xi_2) \dots A_k(\xi_k))| \end{aligned}$$

$\leq \varepsilon$  for all  $\xi_1 \dots \xi_k$  in any compact subset of  $\overline{\mathcal{D}}_k$ .

This proves part (a). Since the  $(\varrho^{A_n})$  are linear in each  $A_i$  and uniformly bounded, the limit is also linear and bounded by

$$|F_{A_1 \dots A_k}(\xi_1 \dots \xi_k)| \leq \prod_{i=1}^k \|A_i\|.$$

Now let  $A_1 \dots A_k$  be arbitrarily elements in  $\mathfrak{A}$  and let  $(B_i^n)$  be a sequence of local elements converging to  $A_i$ . Then boundedness and linearity imply that one can choose  $N$  so that whenever  $n, m \geq N$

$$|F_{B_1^n \dots B_k^n} - F_{B_1^m \dots B_k^m}| < \varepsilon$$

for all  $\xi_1 \dots \xi_k$  in  $\bar{\mathcal{D}}_k$ . Therefore the functions  $(F_{B_1^n \dots B_k^n})$  converge uniformly on compact subsets of  $\mathcal{D}_k$  to a limit  $F_{A_1 \dots A_k}$  which satisfies, (b), (c), (d), and (e).

The functions  $F_{A_1 \dots A_k}$  are, of course, related to the Gibbs state on  $\mathfrak{A}$ . In fact one can identify the following quantities with the Gibbs state on  $\mathfrak{A}$ :

- a)  $F_A(\xi) = F_A(0)$  for all  $\xi$ .
- b)  $F_{A_1 \dots A_k}(\xi_1 \dots \xi_k)$  for each fixed  $\xi_1 \dots \xi_k$  such that  $\xi_1 \dots \xi_k$  is in  $e(\mathcal{D}_k)$  and  $t_1 = t_2 = \dots = t_k$ .
- c)  $F_{A_1 \dots A_k}(\xi_1 \dots \xi_k)$  for each fixed  $\xi_1 \dots \xi_k$  when  $\lim_{A \rightarrow \infty} A^A(\xi)$  exists for all local  $A$  in  $\mathfrak{A}$ .

The translation invariance of the potential has been used only in the proof of part (d) of Theorem 2. One can actually drop this requirement if (2) is replaced by

$$\|\Phi\|_x = \sum_{X \ni x} \|\Phi(X)\| < \infty \quad \text{for all } x \text{ in } \mathbf{Z}^v .$$

Non-translationally invariant potentials have been discussed by Brascamp [8].

It is also interesting to note that a result analogous to part (a) of Theorem 1 has been proved for the case of dilute quantum continuous gases [9].

### III. Representation in a Hilbert Space

We have already noted that the algebra of quasi-local observables at non-zero time,  $t$ , is not necessarily identical to  $\mathfrak{A}$ , the algebra at time  $t = 0$ . Therefore we wish to consider a larger algebra,  $\mathcal{W}$ , defined as the free algebra of polynomials generated by

$$\{(A_i, t_i) : A_i \text{ in } \mathfrak{A}, t_i \text{ in } \mathbf{R}\} . \tag{13}$$

Elements of  $\mathcal{W}$  are denoted by

$$\mathcal{P}((A_1, t_1), (A_2, t_2) \dots (A_k, t_k)) = \mathcal{P}(A_i, t_i) ,$$

and conjugation is defined by

$$\mathcal{P}^*((A_1, t_1) \dots (A_k, t_k)) = \mathcal{P}((A_k^*, t_k) \dots (A_1^*, t_1)) . \tag{14}$$

$\mathcal{W}$  is a \*-algebra, but not a C\*-algebra. Indeed, we have not even defined a norm on all of  $\mathcal{W}$ . Nevertheless, we will show that one can construct a representation of  $\mathcal{W}$  on the algebra of bounded operators of some Hilbert space. This construction is completely analogous to the usual Gelfand-Naimark-Segal (GNS) representation [10] for C\*-algebras. The

only difference is that the GNS construction uses the completeness of the algebra to prove that the image operators are bounded, while we must use special properties of the Gibbs states to show this<sup>4</sup>.

We now define a functional on  $\mathcal{W}$  which can be used to implement the construction of the GNS Hilbert space. Let

$$\sigma((A_1, t_1) \dots (A_k, t_k)) = F_{A_1 \dots A_k}(-it_1 \dots -it_k) \quad (15)$$

and extend  $\sigma$  to all of  $\mathcal{W}$  by linearity. Parts (a) and (b) of the following theorem show that  $\sigma$  is the analogue of a state on  $\mathcal{W}$ .

**Theorem 3.** *Let  $\mathcal{W}$  and  $\sigma$  be the free algebra and functional defined in (13) and (15). Then  $\sigma$  has the following properties:*

- a)  $\sigma$  is positive, i.e.  $\sigma(\mathcal{P}^* \mathcal{P}) \geq 0$  for all  $\mathcal{P}$  in  $\mathcal{W}$ .
- b)  $\sigma(I, t) = 1$  where  $I$  is the identity in  $\mathcal{W}$  and  $t$  is in  $\mathbf{R}$ .
- c)  $|\sigma(\mathcal{P}_1^*(A, t), \mathcal{P}_2)| \leq \|A\| [\sigma(\mathcal{P}_1^* \mathcal{P}_1) \sigma(\mathcal{P}_2^* \mathcal{P}_2)]^{\frac{1}{2}}$ .
- d)  $\sigma((A_1, t_1) \dots (A_k, t_k)) = \sigma((A_1, t_1 + \tau) \dots (A_k, t_k + \tau))$ .

*Proof.* To prove (a) consider only those  $\mathcal{P}(A_i t_i)$  for which all  $A_i$  are local, i.e. in some  $\mathfrak{A}(A_0)$ . Then

$$\sigma(\mathcal{P}^* \mathcal{P}) = \lim_{n \rightarrow \infty} \text{Tr}(B_n^* B_n) / \text{Tr}(C_n^* C_n)$$

where

$$\begin{aligned} B_n &= \mathcal{P}(A_i(-it_i)) e^{-H(\Omega_n)/2} \\ C_n &= e^{-H(\Omega_n)/2}. \end{aligned}$$

Then part (e) of Theorem 1 implies that  $\sigma$  is positive for all  $\mathcal{P}$ . Parts (b) and (d) are trivial. To prove (c) we again need to consider only local  $A_i$ . Let  $\pi^n(A)$  be the image of  $A$  in the usual GNS representation of  $\mathfrak{A}(\Omega_n)$  defined by  $\varrho^{\Omega_n}$ . Then

$$\begin{aligned} & |\sigma[\mathcal{P}_1^*(A_j, t_j)(B, \tau) \mathcal{P}_2(A_j, t_j)]|^2 \\ &= \lim_{n \rightarrow \infty} |\varrho^{\Omega_n}[\mathcal{P}_1^*(A_j(-it_j)) B(-i\tau) \mathcal{P}_2(A_j(-it_j))]|^2 \\ &\leq \lim_{n \rightarrow \infty} [\varrho^{\Omega_n}(\mathcal{P}_1^* \mathcal{P}_1) \varrho^{\Omega_n}(\mathcal{P}_2^* \mathcal{P}_2) \|\pi^n(B(-i\tau))\|^2] \\ &\leq \sigma(\mathcal{P}_1^* \mathcal{P}_1) \sigma(\mathcal{P}_2^* \mathcal{P}_2) \|B\|^2. \end{aligned}$$

We now sketch the construction of our representation of  $\mathcal{W}$ . Details are similar to those of GNS [10] or Wightman [11]. Let

$$\Gamma = \{\mathcal{P} \in \mathcal{W} : \sigma(\mathcal{P}^* \mathcal{P}) = 0\}.$$

$\Gamma$  is a left ideal in  $\mathcal{W}$ . Let  $\tilde{\mathcal{H}} = \mathcal{W}/\Gamma$  be the quotient space of  $\mathcal{W}$  with  $\Gamma$  and define

$$\langle \psi(\mathcal{P}_1), \psi(\mathcal{P}_2) \rangle = \sigma(\mathcal{P}_1^* \mathcal{P}_2). \quad (16)$$

<sup>4</sup> A similar representation of field operators has been given by Wightman [11].



Then (16) defines a positive definite inner product on  $\tilde{\mathcal{H}}$  and one can complete  $\tilde{\mathcal{H}}$  to a Hilbert space  $\mathcal{H}$ . Define a  $*$ -representation of  $\mathcal{W}$  in  $\mathcal{H}$  by

$$\pi(\mathcal{P}_1) \psi(\mathcal{P}_2) = \psi(\mathcal{P}_1 \mathcal{P}_2). \tag{17}$$

Part (c) of Theorem 3 implies that  $\pi(\mathcal{P})$  is a bounded linear operator on  $\mathcal{H}$  since

$$\begin{aligned} \|\pi(B(t))\| &= \sup_{\mathcal{P}_1, \mathcal{P}_2 \notin \Gamma} \frac{|\langle \psi(\mathcal{P}_1), \pi(B(t)) \psi(\mathcal{P}_2) \rangle|}{\|\psi(\mathcal{P}_1)\| \|\psi(\mathcal{P}_2)\|} \\ &= \sup_{\mathcal{P}_1, \mathcal{P}_2 \notin \Gamma} \frac{|\sigma(\mathcal{P}_1^*, (B, t), \mathcal{P}_2)|}{[\sigma(\mathcal{P}_1^* \mathcal{P}_1) \sigma(\mathcal{P}_2^* \mathcal{P}_2)]^{\frac{1}{2}}} \\ &\leq \|B\|. \end{aligned}$$

If  $I$  is the identity in  $\mathcal{W}$ , then  $\alpha = \psi(I)$  is a cyclic vector for  $\pi$ . One can summarize these results in the following theorem:

**Theorem 4.** *Let  $\sigma$  be a positive linear functional on  $\mathcal{W}$  satisfying conditions (a) and (c) of Theorem 3. Then there exists a  $*$ -representation,  $\pi$ , of  $\mathcal{W}$  in the bounded operators of some Hilbert space,  $\mathcal{H}$ , and a cyclic vector,  $\alpha$  in  $\mathcal{H}$  such that:*

- a)  $\sigma(\mathcal{P}) = \langle \alpha, \pi(\mathcal{P})\alpha \rangle$ .
- b)  $\{\pi(\mathcal{P})\alpha : \mathcal{P} \text{ in } \mathcal{W}\}$  is dense in  $\mathcal{H}$ .
- c)  $\|\pi(A, t)\| \leq \|A\|$ .

$\mathcal{W}$  has been constructed so that time-translations form a one-parameter group,  $G = \{g_\tau\}$ , of automorphisms of  $\mathcal{W}$  with

$$g_\tau \mathcal{P}(A_i, t_i) = \mathcal{P}(A_i, t_i + \tau).$$

Therefore, every appropriately bounded<sup>5</sup>, positive linear functional,  $\sigma$ , which is invariant under time-translations, i.e.  $\sigma(g_\tau \mathcal{P}) = \sigma(\mathcal{P})$ , can be used to define a representation of  $G$  on a Hilbert space.

**Theorem 5.** *Let  $\sigma$  be a linear functional on  $\mathcal{W}$  satisfying conditions (a), (c), and (d) of Theorem 3. Let  $\mathcal{H}$ ,  $\pi$ , and  $\alpha$  be as in Theorem 4. Then there exists a unique continuous unitary representation  $U(\tau)$  of  $\mathbf{R}$  in  $\mathcal{H}$  such that:*

- a)  $U(\tau)\alpha = \alpha$ .
- b)  $U(\tau)\pi(\mathcal{P})U(-\tau) = \pi(g_\tau \mathcal{P})$ .
- c)  $\sigma((A_1, t_1) \dots (A_k, t_k)) = \langle \alpha, \pi(A_1)U(t_2 - t_1)\pi(A_2) \dots U(t_k - t_{k-1})\pi(A_k)\alpha \rangle$ .
- d)  $\{\mathcal{P}(\pi(A_i), U(\tau_i))\alpha : A_i \text{ in } \mathfrak{A}, \tau_i \text{ in } \mathbf{R}, \mathcal{P} \text{ is a polynomial in } \pi(A_i) = \pi(A_i, 0) \text{ and } U(\tau_i)\}$  is dense in  $\mathcal{H}$ .

<sup>5</sup> Condition (c) of Theorem 3.

*Proof.* The proof of (a) and (b) is identical to that of a similar theorem for  $C^*$ -algebras<sup>6</sup>. We merely note that  $U(\tau)$  can be defined by

$$U(\tau) \pi(\mathcal{P}(A_i t_i)) \alpha = \pi(\mathcal{P}(A_i, t_i + \tau)) \alpha .$$

Parts (c) and (d) then follow easily from Theorem 4.

#### IV. Translation Invariance

The functionals considered so far need not be translation invariant. In this section we show that there exists a translation invariant functional which has the same time-development as  $\sigma$ , the functional which describes the time-development of the Gibbs states.

First we digress to show that the spaces which we use are compact. Let  $\mathcal{E}$  be the space of linear functionals on  $\mathcal{W}$  which satisfy

$$|\gamma((A_1, t_1) \dots (A_k, t_k))| \leq \prod_{i=1}^k \|A_i\|$$

and put on  $\mathcal{E}$  the weak topology. Then  $\mathcal{E}$  is homeomorphic to the space  $M$ , defined by

$$M = \prod_{\alpha} [-\mu_{\alpha}, \mu_{\alpha}]$$

where  $\alpha$  labels all possible choices of  $k, A_1, \dots, A_k, t_1, \dots, t_k$ ,

$$\mu_{\alpha} = \prod_{i=1}^{k_{\alpha}} \|A_{i_{\alpha}}\| ,$$

and  $M$  has the usual product topology. Then since  $M$  is compact,  $\mathcal{E}$  is also compact in the weak topology. Thus, any closed subset of  $\mathcal{E}$  will be compact.

Now define

$$\begin{aligned} g_x \sigma(\mathcal{P}(A_i, t_i)) &= \sigma_x(\mathcal{P}(A_i, t_i)) \\ &= \sigma(\mathcal{P}(g_x A_i, t_i)) \end{aligned} \tag{18}$$

where  $g_x A_i$  is defined by the automorphism of  $\mathfrak{A}$  corresponding to translation by  $x$  in  $\mathbf{Z}^v$ . Since  $\|g_x A\| = \|A\|$ ,  $\sigma_x$  is in  $\mathcal{E}$ . Let  $\mathcal{K}$  be the closed convex hull of  $\{\sigma_x : x \in \mathbf{Z}^v\}$ .  $\mathcal{K}$  is a closed, and therefore compact, subset of  $\mathcal{E}$ .

For every  $x$  in  $\mathbf{Z}^v$ , define a mapping  $g_x$  on  $\mathcal{K}$  by

$$g_x \sigma_y = \sigma_{x+y} . \tag{19}$$

---

<sup>6</sup> See Ref. [6], p. 147.

$g_x$  has the following properties:

- a)  $g_x \mathcal{K} = \mathcal{K}$ .
- b)  $g_x$  is commutative, i.e.  $g_x g_y = g_y g_x$ .
- c)  $g_x$  is linear.
- d)  $g_x$  is continuous.

Thus, by the Markov-Kakutani Theorem <sup>7</sup>, there exists a functional,  $\hat{\sigma}$ , in  $\mathcal{K}$  such that

$$g_x \hat{\sigma} = \hat{\sigma} \quad \text{for all } x \text{ in } \mathbf{Z}^v,$$

i.e.  $\hat{\sigma}$  is translation invariant.

Since  $\sigma$  satisfies the hypotheses of Theorem 3, so do all elements of  $\mathcal{K}$ . For example, if  $\omega = \sum_i \lambda_i \sigma_{x_i}$ , then

$$\begin{aligned} & |\omega(\mathcal{P}_1^*(A_j, t_j), (B, \tau) \mathcal{P}_2^*(A_j, t_j))| \\ &= \left| \sum_i \lambda_i \sigma[(\tau_{x_i} \mathcal{P}_1)^*(\tau_{x_i} B, \tau) (\tau_{x_i} \mathcal{P}_2)] \right| \\ &\leq \sum_i \lambda_i \{ \sigma[(\tau_{x_i} \mathcal{P}_1)^*(\tau_{x_i} \mathcal{P}_1)] \sigma[(\tau_{x_i} \mathcal{P}_2)^*(\tau_{x_i} \mathcal{P}_2)] \}^{\frac{1}{2}} \|\tau_{x_i} B\| \\ &= \sum_i [\lambda_i \sigma_{x_i}(\mathcal{P}_1^* \mathcal{P}_1)]^{\frac{1}{2}} [\lambda_i \sigma_{x_i}(\mathcal{P}_2^* \mathcal{P}_2)]^{\frac{1}{2}} \|B\| \\ &\leq \left[ \sum_i \lambda_i \sigma_{x_i}(\mathcal{P}_1^* \mathcal{P}_1) \right]^{\frac{1}{2}} \left[ \sum_i \lambda_i \sigma_{x_i}(\mathcal{P}_2^* \mathcal{P}_2) \right]^{\frac{1}{2}} \|B\| \\ &= |\omega(\mathcal{P}_1^* \mathcal{P}_1) \omega(\mathcal{P}_2^* \mathcal{P}_2)|^{\frac{1}{2}} \|B\|. \end{aligned}$$

Therefore,  $\hat{\sigma}$  defines a representation  $(\mathcal{H}, \pi, \alpha)$  of  $\mathcal{W}$  according to Theorem 4, and a representation,  $U(\tau)$  of the time-translations according to Theorem 5.

### Appendix

**Theorem A.1** (Arzelà-Ascoli, [12]).

Let  $(f_k)$  be a pointwise bounded, equicontinuous sequence of real- or complex-valued functions on a separable metric space  $M$ . Then  $(f_k)$  has a subsequence which converges to a continuous function  $g$  pointwise on  $M$  and uniformly on every compact subset of  $M$ .

**Theorem A.2** (Markov-Kakutani, [13]).

Let  $K$  be a compact convex subset of a linear topological space  $\mathcal{X}$ . Let  $\mathcal{T}$  be a commuting family of continuous linear mappings which map  $K$  into itself. Then there exists a point  $p$  in  $K$  such that  $Tp = p$  for all  $T$  in  $\mathcal{T}$ .

*Acknowledgement.* It is a pleasure to thank Professor D. Ruelle for suggesting this problem and for many helpful discussions. The author is also grateful to Prof. J. M. Jauch and Dr. J.-P. Eckmann for their continued interest and encouragement.

<sup>7</sup> See Appendix.

### References

1. Haag, R., Hugenholtz, N. M., Winnink, M.: *Commun. math. Phys.* **5**, 215 (1967).
2. Robinson, D. W.: *Commun. math. Phys.* **7**, 337 (1968).
3. Streater, R. F.: *Commun. math. Phys.* **7**, 93 (1968).
4. Hepp, K.: Unpublished.
5. Dubin, D. A., Sewell, G. L.: *J. Math. Phys.* **11**, 2990 (1970).
6. Ruelle, D.: *Statistical mechanics. Rigorous results.* New York: Benjamin 1969.
7. — *Lecture notes, Les Houches Summer School, 1970.*
8. Brascamp, H. J.: *Commun. math. Phys.* **18**, 82 (1970).
9. Ruelle, D.: *Analyticity of Green's functions of dilute quantum gases*, preprint.
10. Gelfand, I., Raikov, D., Shilov, G.: *Commutative normed rings*, Chapter VIII. New York: Chelsea 1964.
11. Wightman, A. S.: *Phys. Rev.* **101**, 860 (1956).
12. Korevaar, J.: *Mathematical methods*, p. 244. New York: Academic Press 1968.
13. Dunford, N., Schwarz, J. T.: *Linear operators*, Vol. I, p. 456. New York: Interscience 1958.

Mary Beth Ruskai  
Institut de Physique Théorique  
Université de Genève  
Genève, Suisse