

Toddlers' Prosocial Behavior: From Instrumental to Empathic to Altruistic Helping

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Abstract

This study investigated the emergence and development of instrumental (action-based), empathic (emotion-based) and altruistic (costly) helping in 18- and 30-month-olds. In a series of nine tasks children could help an adult by bringing an out-of-reach object. In each task the adult provided increasingly explicit communicative cues about her needs. Children at both ages helped readily in instrumental tasks, requiring minimal communicative support. Empathic helping, which involved understanding another's internal states, was significantly more difficult for younger children. Altruistic helping, which involved a sacrifice from the child, required the most explicit instructions from the experimenter at both ages. Findings suggest that prosocial behavior in the second year develops from relying on action understanding and explicit communications to understanding others' internal states from more subtle cues.

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Human infants begin to behave prosocially very early in life, before two years of age. Studies have documented one-year-olds' abilities to comfort others in distress (Zahn-Waxler, Radke-Yarrow, Wagner, & Chapman, 1992), participate in household tasks (Rheingold, 1982), and help adults by bringing or pointing to out-of-reach objects (Liszkowski, Carpenter, Striano, & Tomasello, 2006; Warneken & Tomasello, 2006, 2007). These apparently prosocial acts, emerging so early in ontogeny, have been of interest to social, developmental, and evolutionary psychologists as possible precursors to such human-specific characteristics as concern for others, ability to cooperate and, ultimately, altruism (Fehr & Rockenbach, 2004; Piliavin & Charng, 1990; Stevens & Hauser, 2004; Warneken & Tomasello, in press). The origins of these early behaviors, however, are not entirely understood, and the mechanisms underlying them remain a matter of debate. If prosocial responding in adults is driven by understanding others' emotions and desires in combination with a motivation to act on others' behalf (Batson, Ahmad, Powell, & Stocks, 2008), how can we explain prosocial acts in infants who have rudimentary social-cognitive abilities and emergent other-oriented motivational systems?

Current theory and research provide different, sometimes contrasting, views about contributors to the early development of prosocial behavior. Some have proposed that infants' interest in people and their actions combined with basic affiliative and imitative tendencies lie at the root of their initial prosocial responses (Grusec, 2006; Hay & Cook, 2007; Rheingold, 1982). Others maintain that the critical contributor to early prosocial behavior is the developing ability to differentiate another's internal states from one's own and to relate one's own emotions, needs, or desires to another's in order to act on the other's behalf (Hoffman, 1975; Mascolo & Fischer, 2007; Moore, 2007; Zahn-Waxler & Radke-Yarrow, 1990). Still others argue for an innate

biological substrate for empathy and altruism in infants (Hoffman, 1975; Preston & de Waal, 2002; Zahn-Waxler, Robinson, & Emde, 1992), in part because some prosocial behaviors appear to be uniquely human (Silk et al., 2005) and emerge before formal socialization begins, suggesting that children may start out being naturally altruistic (Warneken & Tomasello, in press).

These various theoretical perspectives place different emphasis on the social-understanding and motivational components of early prosocial responding. In addition, the *nature* of each of these components may differ at different ages, giving the same behavior (e.g., bringing an object to someone) different meaning depending on the child's social-cognitive abilities. Some researchers propose that infants first interpret others' emotional expressions and behaviors as being about the objects to which they are directed rather than about the other person's mental states or psychological attitudes (e.g., Gergely, Egyed, & Kiraly, 2007). Thus, a behavior exhibited by an infant may be driven by different forms of social understanding than the same behavior exhibited by an older child or an adult; young children's social responses may be based on less sophisticated inferences about the minds of others, or on even simpler mechanisms such as reading behavioral cues or understanding the contingencies between behavior and its outcomes (e.g., Moore & Povinelli, 2007; Perner & Ruffman, 2005).

We can ask, then, to what extent do one-year-olds help their parents empty the dishwasher, wash the dog, sweep up spilled cereal, and the like, out of caring concern for the parent's needs as opposed to being interested in the action itself, enjoying the social exchange in which such activities are embedded, or even relishing the praise that often follows? What inferences can toddlers make about parents' desires, feelings, or needs when they help? When are they able to behave altruistically, giving up something of value or sharing with someone else

rather than simply playing with them? One way to clarify such questions is to compare children's performance in situations that require the same basic behavioral competence but that differ with respect to their underlying understanding and motivation.

During the second year of life social understanding becomes increasingly "mentalistic" (Flavell, 1999), and multiple aspects of social behavior are transformed (see Brownell & Kopp, 2007), making this period particularly relevant for investigating such issues. In the current study, we examine helping behavior in one- and two-year-olds, a period when children's social understanding rapidly develops – and when prosocial responding first emerges. We present toddlers with tasks that are similar behaviorally but differ in their specific social-understanding and motivational demands. Our premise is that if children behave prosocially in versions without the relevant demand and fail to do so in corresponding versions with that demand, we will be able to isolate specific components of prosocial responding that are and are not yet in place at a given age. The factors that we experimentally manipulate are the nature of the inference that the child has to make about the other's need; the cost of helping to the child; and the amount of communicative support available to the child about the other's need and how to help. By contrasting the conditions under which one-year-olds help with those under which two-year-olds help, we aim to shed light on developmental changes in the sources of prosocial behavior and the limits on its expression.

Instrumental versus Empathic Helping

The second year of life is witness to major developmental change in prosocial behavior. Instrumental helping, or assisting another in achieving an action-based goal such as searching for or getting something out of reach, appears by 12 – 14 months of age (Liszkowski et al., 2006; Warneken & Tomasello, 2007), presumably deriving from early-developing understanding of

others' goal-directed behavior (Woodward, 1998). The ability to respond prosocially to others' emotional distress, rooted in empathic concern, emerges somewhat later, with a significant increase between 18 and 24 months of age in expressions of concern and comforting behavior toward others in pain (Zahn-Waxler, Radke-Yarrow et al., 1992). This is associated with the growth of objective self-awareness (Moore, 2006; Zahn-Waxler, Radke-Yarrow et al., 1992) and is likely to be a function of children's corresponding understanding of others as independent agents with internal states that differ from children's own states (Hoffman, 2007; Moore, 2007).

Prosocial responding also requires the motivation to act in the interest of the other person – just understanding the other's need is not enough. But not all the possible motivations for behaving prosocially are other-oriented, perhaps especially in young children. For example, in a study by Rheingold (1982) 18-month-olds participated at high rates in “helping” their parents to set a table, sweep up bits of paper, put cards in a box, and so on. However, the fact that children in the study often initiated an action even before the adult started to act points to the possibility that they may have simply enjoyed participating in social activities alongside adults, have been seeking adults' approval, or found the activities themselves interesting or fun, rather than being concerned about the other's need. Even in older children and adults, prosocial behavior can be motivated by self-oriented concerns such as a desire for social approval, concrete rewards, or reciprocal prosocial responding (Eisenberg, 2005). It is possible, then, that very early instances of *instrumental helping* are motivated as much by more rudimentary forms of self-interest, or interest in the persons, objects, or actions involved, as by compassionate concern for others. *Empathic helping*, i.e., prosocial responding grounded in other-oriented concern, may develop later along with children's growing understanding of others as psychological agents with unique internal states (Hoffman, 2007; Mascolo & Fischer, 2007).

One purpose of the current study was to test this hypothesis by extending previous work on infants' instrumental helping (Rheingold, 1982; Warneken & Tomasello, 2006) to include empathic helping and by testing two-year-olds as well as one-year-olds. We built on the existing experimental work by Warneken & Tomasello (2006), in which they demonstrated that one-year-old children readily help an adult instrumentally with a variety of goal-directed actions and do so more often than in comparable control tasks in which helping is not necessary. In the current study we contrasted instrumental and empathic helping by presenting 18- and 30-month-old children with a series of tasks in which they could help an adult by offering her a nearby object, for example bringing her an out-of-reach toy so she could continue an interrupted game, or giving her a blanket to make her warm when she was cold. We expected that children would be more likely to demonstrate prosocial behavior when it involved helping someone to complete an interrupted action (instrumental helping) than when helping was based on inferring and alleviating another's distressed internal state (empathic helping). We further expected that this difference would be greater for younger children than for older children who are more skilled at inferring others' internal states (Lewis & Carpendale, 2002; Repacholi & Gopnik, 1997) as well as more concerned about them (Radke-Yarrow, 1986; Spinrad & Stifter, 2006; Zahn-Waxler, Radke-Yarrow et al., 1992).

Altruistic helping

Prosocial behavior, even when it is other-oriented, may not always be genuinely altruistic. A child can give a hug to a distressed friend or bring Mom her mittens, which may improve the other person's well-being without diminishing the child's own well-being; in fact, such behaviors are often rewarded, for example, by a reciprocal hug or praise. To what extent are these behaviors altruistic? Of course, the answer to this question depends in part on how we

define altruism. Scholars from different disciplines provide different definitions: in evolutionary biology, a behavior is deemed altruistic only when it raises the expected reproductive success of the recipient at the expense of the reproductive success of the donor (Kitcher, 1998), whereas psychologists generally use less strict terms, although their definitions, too, range in the degree of self-sacrifice required for an act to be called altruistic. Grusec et al. (2002) characterize altruistic behavior as intentional assistance to others that comes at a cost to the donor. Piliavin and Charng (1990) argue that altruistic behavior must be performed without expecting any external reward. The general agreement seems to be that for an act to be considered altruistic, the recipient's well-being must be the donor's ultimate goal (Batson et al., 2008; Foster, Wenseleers, & Ratnieks, 2006), that is, the recipient's benefit must be perceived as an end in itself, not as means to the achievement of some of the donor's other goals (Kitcher, 1998). When does the ability to act on behalf of others – without considering one's own interests and potentially at a cost to oneself – first emerge?

Young children may behave prosocially before they behave altruistically. Toddlers are notoriously poor at sharing their possessions, but with the rapid development of self- and other-understanding over the second year their rates of sharing, helping and cooperating increase (Hay, 2006; Hay & Cook, 2007; Smiley, 2001). However, it is possible that costly helping, especially when it involves sacrificing one's own possessions, may become more difficult, not less so, as children better understand ownership and the costs of sharing in the third year of life (Fasig, 2000; Hay, Caplan, Castle, & Stimson, 1991; Imbens-Bailey & Pan, 1998). Thus, children's motivation to behave altruistically, subordinating their own interests to those of others, may instead decrease with advances in social understanding.

Although infants may be motivated to help others, few empirical studies have directly tested their willingness to help as a function of whether self-sacrifice is required or not (cf. Warneken & Tomasello, 2008). Thus, the second purpose of the current study was to examine children's helping behavior in situations that involved someone else's belongings in comparison to situations that required the children to give up their own belongings altruistically (e.g., giving their own blanket brought from home to an adult who was feeling cold). Prior research has shown that children will sometimes give up something in their possession (e.g., Vaish, Carpenter, & Tomasello, 2009), or willingly give up playing an interesting game (Warneken & Tomasello, 2008) to help an adult in distress. Giving up something of one's own, especially an object from home, is still a different type of cost, and arguably a more stringent test of altruistic motivation and a greater challenge insofar as it carries greater personal significance and value. We expected children at both ages to help more often and more quickly in tasks that did not require them to sacrifice their own belongings. We also expected older children to be more altruistically motivated, hence to help more readily than younger children even in the face of a personal sacrifice; however, we entertained the possibility suggested by Hay et al. (1991) that older children could be less willing to give up something of their own.

The role of adult communication in early prosocial behavior

Prosocial behavior develops within the crucible of social interaction. It is through dyadic interaction with adults that children's social understanding and responding are socialized and shaped (Dunn, 1988; Grusec, 2006). Notably, in most of the studies reviewed above adults used a variety of ostensive cues to communicate their emotions, intentions, or desires explicitly, enthusiastically, and repeatedly, often with substantial exaggeration. Such communication may serve to scaffold infants' attention to, interest in, and/or inferences about others' internal states

and the characteristics of the objects or events toward which adults behave. Some researchers have found that infants require the supportive, mutual engagement of an adult to recognize what the adult is attending to (Moll, Carpenter, & Tomasello, 2007), and that infants' ability to interpret others' behavior and to understand what another person feels, needs or wants may initially arise out of and depend on adults' scaffolding and communicative support (Carpendale & Lewis, 2004; Ruffman, Slade, Devitt, & Crowe, 2006; Tomasello, 1995).

Early prosocial responding often seems to rely on clear social and communicative cues such as gaze alternation, attention-getting behavior or labeling, (Rheingold, 1982) or exaggerated and prolonged emotional expression (Sigman, Kasari, Kwon, & Yirmiya, 1992; Zahn-Waxler, Radke-Yarrow et al., 1992). In a recent study of sharing in infants, it was shown that 24-month-old children were able to infer and act on an adult's desire for a snack from the adult's explicit verbal expressions of desire, but not when the adult was silent (Brownell, Svetlova, & Nichols, 2009). Thus, prosocial behavior may be more likely when adult communications make the other's needs, desires, and emotions more apparent and reduce the need for complex inferences about others' internal states.

Therefore, the third purpose of our study was to examine how adults' communications support young children's prosocial responding. To this end, we systematically manipulated the expressive cues provided by the adult during the helping tasks. For each task the adult made her need and how to help progressively more explicit with a fixed sequence of specific gestural, vocal, and verbal cues (e.g., first simply vocalizing "Brrrr!" with shivering and shaking; later, reaching toward an out-of-reach blanket with gaze alternation between the child and the blanket) which culminated in a direct request for a particular object (e.g., "Can you bring me the blanket?"). Children were assigned a helping score based on when in the sequence of cues they

helped the adult. If they helped spontaneously without any supportive cueing from the adult they received the maximum score, whereas they received the minimum score if they helped only when specifically requested to do so. We expected that younger children would receive lower scores than older children, that is, that younger children's ability to help the adult would depend on more explicit and direct communications about her needs. We also expected that the need for such social and communicative support from adults would be greater as the complexity of the inference about the other's internal states increased; thus, we expected that children would receive higher scores for instrumental helping than for empathic helping, and higher scores for empathic helping than for altruistic helping.

Overview of design

To examine the roles of developing social understanding, prosocial motivation, and adult communicative support in early prosocial behavior, we presented each child with nine tasks in which an adult needed help and the child could provide it by bringing a nearby object that the adult could not reach. We contrasted children's *instrumental* helping behavior in an "Action" condition in which the adult needed an object to complete a goal-directed action with their *empathic* helping in an "Emotion" condition in which the adult needed an object to alleviate a negative emotion. Even though the target behavior was identical in the two conditions, we expected children to help more readily in the Action condition than in the Emotion condition. Because understanding of goal-directed behavior developmentally precedes the ability to infer and represent others' internal emotional states, we expected greater difference between the two conditions among younger children than among older children. We also contrasted children's instrumental and empathic helping with *altruistic* helping in which they had to give up something of their own to help the adult (the "Altruism" condition). We expected children to

help more readily when they did not have to sacrifice their own belongings. Finally, in each helping task we manipulated the adult's communications about her need and what could be done to help her. We expected younger children to require more communicative cues to support their helping behavior, especially in the Emotion and the Altruism conditions.

Method

Participants

Thirty-two 18-month-olds ($M = 18.46$ months, $SD = .48$; 15 boys and 17 girls) and thirty-three 30-month-olds ($M = 30.32$ months, $SD = .68$; 18 boys and 15 girls) participated in the study. Seven additional children were tested but their data were not usable because of procedural error or the child's refusal to participate in any of the tasks. Participants came from working- and middle-class families from a medium-sized city and surrounding suburbs. Seventy-eight percent were Caucasian, 11% were Asian, 7% were African American, and 4% were Hispanic. All children were walking, talking, and were healthy and developing normally by parent report.

General Procedure

Children arrived to a laboratory playroom with their caregivers who ~~then~~ remained in the room completing questionnaires and were asked not to encourage, reward, or comment on the child's behavior. Testing was conducted by a female experimenter (E) with the help of a female assistant (AE). The session began with a warm-up and familiarization period during which E and AE played with the child for several minutes to ensure that the child was comfortable approaching and interacting with both of them. During warm-up, E and AE also demonstrated various objects that were later used during testing, to be sure that children understood their use and to control for possible differential exposure prior to the study. Specifically, AE came in with

messy hair and showed how to fix it with a hairclip, also giving the child a chance to play with the hairclip. She then gave a small stuffed toy with an attached hairclip to the child and told the child that both the toy and the hairclip were for him or her to keep and to take home (the toy accompanied the hairclip to make the gift equally interesting for girls and boys). AE also showed the child how a blanket could be wrapped around her shoulders to make her feel warm. E showed her teddy bear to the child, saying that it was her special toy that made her feel happy. Parents had been asked to bring the child's own blanket and favorite toy from home; thus, together with the newly acquired hairclip, there were three objects belonging to the child. Before the experiment started, AE placed all the objects behind a curtain, which were later retrieved as relevant in each testing episode. The experimental session was structured so that testing episodes were alternated with free play episodes. The session was video recorded through a one-way mirror for later coding.

Nine helping tasks (one trial per task) were presented, in which E demonstrated difficulty or distress which could be alleviated by giving her a particular object. Prior to each trial, the target object (the one that E needed) and two distracter objects were placed on a tray out of E's reach, but within the child's reach. The distracter objects served as targets in other trials. On each trial E provided up to eight progressively more explicit cues as to her need or emotion and what could help or comfort her. All tasks were administered within subjects. To control for possible fatigue or familiarization effects, the order of tasks was counterbalanced using a modified Latin square design, with a total of 9 possible orders; no two tasks from the same condition ever occurred in a row. Approximately equal numbers of children received each order.

Conditions & Tasks

The nature of the inference that children had to make about E's need and the degree of sacrifice they had to make were manipulated via three conditions (Action; Emotion; Altruism), with three trials per condition. In the Action condition, the child had to infer E's action-related goal (3 trials); in the Emotion condition, the child had to infer E's emotion or internal state (3 trials). In both of these conditions, the needed object belonged to E. The Altruism condition (3 trials) was identical to the Emotion condition except that the child had to give up something of his or her own to help or comfort E, thus requiring a sacrifice on the child's part to alleviate E's distress.

More specifically, in the Action condition, E had difficulty completing three different goal-oriented actions involving objects that were out of reach because they had been dropped or misplaced; the child could help by bringing the objects necessary to complete the actions. This condition emphasized the interrupted action, not E's internal state. In the Emotion and Altruism conditions, E demonstrated three different negative internal states (sadness, cold, frustration); the child again could help by bringing specific objects to E. These conditions emphasized the adult's distress and the child's help served to alleviate the distress instead of completing an interrupted action.

The three trials in each condition were equated across conditions to ensure that the tasks were parallel, i.e., that the objects needed and the helping behaviors required were similar in each condition (see Table 1). There were three task types in each condition: 1) need for a clip for attaching something; 2) need for a blanket or cloth for wrapping; and 3) need for a toy for playing or comforting. Thus, the tasks were similar across conditions in terms of the target object needed and the specific helping behavior required, but they had different social-cognitive and motivational demands depending on the condition. For example, a clip could be handed to

the experimenter to help her complete the action of clipping cloths to a line (Action condition) or to alleviate her feeling of frustration with her messy hair (Emotion and Altruism conditions), with the clip belonging to the child in the Altruism condition. Hence, the required helping behavior and the required object were identical in the three conditions (e.g., handing a clip to E), but helping in each condition required a different inference about the need of the recipient and/or a different motivation. Whereas in the Action condition helping was based on understanding another person's goal and motivated by wanting to facilitate an interrupted goal-directed action, in the Emotion condition it required understanding another person's internal state and wanting to alter that state, with an additional altruistic motivation in the Altruism condition.

Brief description of tasks

Action condition

Clipping task (adapted from Warneken & Tomasello, 2006). E is clipping square pieces of fabric to a clothesline and drops a clothespin out of reach. Child's target behavior: hand the clothespin to E.

Wrapping task. E is wrapping wooden blocks in square pieces of fabric (sitting behind a small table), and runs out of wrappers on the final block. An additional wrapper is available on the tray which can be reached by the child but not by E. Child's target behavior: hand the wrapper to E.

Toy task. E is playing with a toy car together with a toy driver that fits in the car, then absent-mindedly places the car on the tray near the child to play another short game with the child. She then reseats herself behind the table and away from the tray, picks up the driver to start playing again, but has no car. Child's target behavior: hand the car to E.

Emotion condition

Clipping Task. AE places the hairclip on the tray near the child, and leaves. E enters the room with her hair in her eyes, sits on the floor out of reach of the tray, and demonstrates her frustration and distress with actions, moans, and sighs as she tries unsuccessfully to move her messy hair away from her face. Child's target behavior: hand the hairclip to E.

Wrapping Task. E shows her blanket to the child, reminding the child that it makes her warm. She then absent-mindedly places the blanket on the tray near the child as she begins a game with the child, moves out of reach of the tray, and sits on the floor. E suddenly becomes cold, shivering, rubbing her arms, and saying "Brrrr" with a distressed expression on her face. Child's target behavior: hand the blanket to E.

Toy Task. E shows her teddy bear to the child, reminding the child that it is her favorite toy and that it makes her happy. She then absent-mindedly places the teddy bear on the tray near the child and moves out of reach of the tray. AE enters and whispers something to E, who immediately becomes sad, sighing and sobbing as she sits on the floor out of reach of the tray. Child's target behavior: hand the teddy to E.

Altruism condition

Clipping Task. AE places the child's hairclip (given to the child during the warm-up and attached to a stuffed toy) on the tray near the child, and leaves. E enters the room with her hair in her eyes, sits on the floor out of reach of the tray, and demonstrates frustration with actions, moans, and sighs as she tries unsuccessfully to move her messy hair away from her face. Child's target behavior: hand the child's *own* hairclip to E.

Wrapping Task. E places the child's blanket, brought from home, on the tray near the child; she points it out and talks about it with the child, mentioning that it must be warm. She then begins a game with the child, moving out of reach of the tray, and sitting on the floor. E

suddenly becomes cold, shivering, rubbing her arms, and saying “Brrrr” while looking distressed. Child’s target behavior: hand the child’s *own* blanket to E.

Toy Task. E places the child’s favorite/special toy, brought from home, on the tray near the child; she points it out and talks about it with the child, saying that it looks very special and must make the child happy. E then moves out of reach of the tray and suddenly receives a call on her cell phone, which makes her sad. Child’s target behavior: hand the child’s *own* toy to E.

Communicative cues

On each trial, the experimenter provided up to eight progressively more explicit cues about her need or emotion and what the child could do to help or comfort her. The cues and order of their presentation were the same across trials. The first two cues communicated the experimenter's *state* gesturally, then verbally (e.g., shivering; saying “I’m cold”); the third cue stated the *general need* (“I need something to make me warm”); the fourth cue drew the child’s attention to the *target object* by labeling it (e.g., “a blanket!”); and the rest of the cues informed the child with increasing specificity about *how to help or comfort* the experimenter, with the final cue giving a very *specific instruction* (e.g., “Can you bring me the blanket?”). Table 2 displays the order of presentation and description of each of the 8 cues.

Each cue was presented for 5 to 7 seconds. Once the child handed the target object to the experimenter she stopped providing cues. If the child brought one of the distracter objects first, E took it from the child with a neutral facial expression and continued to provide cues until the child brought the target object. If the child did not bring the target object by the last cue, the experimenter got up and retrieved it herself without reaction or comment, and then proceeded to the next play episode. To reduce the possibility of simple compliance or attempts to seek adult approval, the experimenter did not smile at, thank, or otherwise praise or reward the child when

the child brought the object, but instead neutrally described the result (e.g., “Now I can clip”, “Now I feel warm”).

Measures

Children's responses to each helping task were coded from video records. For each task a “target helping score” (for bringing the target object) was assigned to the child corresponding to the specific cue in the sequence of eight communicative cues when the child brought the target object to the experimenter (see Table 2 for the scores assigned to each cue). Higher scores represent more skilled responding, i.e., earlier responses in the cue sequence, requiring less social and communicative support. A child who did not bring the target object by the final cue on a given task received a score of 0 for that task. As an indicator of their general propensity to help, children were also coded for whether they brought any object, either target or distracter, at any cue level, for each task. Video records were coded by two trained research assistants blind to the study's hypotheses; the reliability was calculated on 21% of the data, resulting in the weighted kappa of $k = .89$.

Results

Preliminary analyses were conducted to check for gender, task, and task order effects. No differences were found for gender or task order on any outcome variable, so all subsequent analyses were conducted on data collapsed over gender and order. Overall, task effects varied unsystematically across conditions, suggesting that no particular type of task was more or less familiar or difficult than others; in particular, tasks did not differ in difficulty within the emotion condition. Analyses also showed that task did not interact with order or gender to affect performance, nor did order interact with condition.

Two sets of substantive analyses are presented. The first set addressed general questions about whether older children helped more often across contexts and manipulations than did younger children, and whether their helping was more specific and appropriate. The second set of analyses examined age and condition differences in how readily children helped and how much communicative support they needed to help, using the target helping score as the dependent measure.

General propensity to help and appropriateness of help

To examine age differences in children's general propensity to help the experimenter, we analyzed the number of times children brought any object (either the target object or the distracter object) across all nine trials, regardless of the cue level at which they did so. All children exhibited some degree of helping toward the experimenter. Among 18-month-olds, every child helped by bringing some object on at least two out of nine trials, and 44% helped on all nine. Among 30-month-olds, every child helped on at least six out of the nine trials, and 84% helped on all nine. An independent-samples *t*-test on the mean number of helping responses yielded a significant difference between age groups, with 30-month-olds helping more often ($M = 8.74$, $SD = 0.68$) than 18-month-olds ($M = 7.40$, $SD = 1.88$), $t(61) = 3.72$, $p < 0.001$. Thus, older children were more inclined to help overall, but even younger children helped, on average, on more than 7 out of the 9 opportunities. It is important to note, however, that this includes instances of directed or instructed helping when the experimenter specifically requested the target object by name (e.g., "Can you bring me the blanket?"), as well as instances of helping by bringing the distracter object, i.e., without discriminating whether the object was the one that the experimenter actually needed.

To examine children's ability to help appropriately by bringing the specific object that the adult needed we conducted a two-way repeated measures ANOVA with age group (18 months; 30 months) as the between-subject factor, and condition (Action; Emotion; Altruism) as the within-subjects factor, on the mean number of times children brought the target object, regardless of cue level (see Figure 1). Across conditions, older children brought the target object significantly more often ($M = 2.87$ out of 3 trials per condition, $SE = 0.09$) than did younger children ($M = 2.31$, $SE = 0.09$), $F(1, 61) = 14.73$, $p < 0.001$. There was also a significant effect of condition, $F(2, 122) = 19.16$, $p < 0.001$. Post-hoc pairwise comparisons (LSD) revealed that children of both ages brought the target significantly more often in the Action condition ($M = 2.84$, $SE = 0.05$) than in the Emotion condition ($M = 2.69$, $SE = 0.08$), $p = 0.03$, and significantly more often in the Emotion condition than in the Altruism condition ($M = 2.24$, $SE = 0.12$), $p = 0.001$. The interaction was not significant.

Thus, collapsing across cue levels, older children more often helped and more often helped appropriately by bringing the object that the experimenter actually needed. All children tended to exhibit more appropriate helping in the Action condition than in the Emotion and the Altruism conditions.

How readily children helped as a function of age and helping condition

To determine whether there were age or condition differences in how readily children helped, i.e., how much communicative support they needed to help appropriately, a two-way repeated measures ANOVA, with age group (18 months; 30 months) as the between-subjects factor and condition (Action; Emotion; Altruism) as the within-subjects factor, was conducted on the target helping scores. Higher scores corresponded to earlier, more skilled helping with less communicative support from the adult.

A significant difference between age groups was found, $F(1, 61) = 61.99, p < 0.001$. Across conditions, older children helped more readily, i.e., at earlier cue levels ($M = 5.41, SE = 0.20$), than younger children ($M = 3.25, SE = 0.19$). There was also a significant effect for condition, $F(2, 122) = 68.04, p < 0.001$. Post-hoc comparisons (LSD) revealed that across ages, children helped more readily in the Action condition ($M = 5.62, SE = 0.18$) than in the Emotion condition ($M = 4.28, SE = 0.18$), $p < 0.001$, and in the Emotion condition than the Altruism condition ($M = 3.09, SE = 0.20$), $p < 0.01$.

These main effects were qualified by a significant interaction between age and condition, $F(2, 122) = 5.91, p < 0.001$ (see Figure 2). Younger children needed significantly less communicative support to help in the Action condition ($M = 4.64, SD = 1.75$) than in either the Emotion condition ($M = 2.79, SD = 1.55$), $t(31) = 6.26, p < 0.001$, or the Altruism condition ($M = 2.33, SD = 1.44$), $t(31) = 7.08, p < 0.001$, which did not differ from each other, $t(31) = 1.75, n.s.$ Older children, on the other hand, helped more readily in the Action condition ($M = 6.61, SD = 0.88$) than in the Emotion condition ($M = 5.77, SD = 1.26$), $t(30) = 3.21, p = 0.003$, and more readily in the Emotion condition than in the Altruism condition ($M = 3.85, SD = 1.76$), $t(30) = 5.49, p < 0.001$.

As a more stringent test of children's ability to help, we conducted non-parametric tests on the number of children who helped immediately by bringing the target object after the initial communicative cue from the experimenter (i.e., non-verbal expression of need). Among 18-month-old children, 44% helped the adult immediately and appropriately on one or more of the tasks in the Action condition (out of three), i.e., they brought the target object when the adult first registered her difficulty with facial expression, vocalization, and/or posture. In the Emotion condition, this dropped to 13% who helped immediately at least once, and in the Altruism

condition only 6% of 18-month-olds helped the adult immediately at least once. Among 30-month-olds, 87% helped immediately one or more times in the Action condition, as did 64% in the Emotion condition, but only 18% helped immediately at least once in the Altruism condition. Age differences in the number of children who helped immediately one or more times were significant for the Action ($\chi^2 = 20.30, p < .001$) and Emotion ($\chi^2 = 18.30, p < .001$) conditions, but not for the Altruism condition ($\chi^2 = 2.43, ns$).

Discussion

In this study we examined early developments in children's ability to help others in need. Our data confirmed previously reported findings that toddlers as young as 18 months are able to help adults instrumentally, and that the propensity to respond prosocially grows significantly between 18 and 30 months of age. The particular novelty of this study was in the manipulation of factors that could underlie helping behaviors at the examined ages, specifically, the nature of the inference that the child had to make about the other's need, the nature of the motivation involved, and the amount of communicative support provided by the adult in need of help. By varying these factors we aimed to clarify some of the possible mechanisms that support early prosocial behavior and its development in the second and third years of life. Helping an adult instrumentally to complete an interrupted action-related goal was significantly easier than empathic helping to alleviate an adult's emotional distress, particularly for 18-month-olds. Helping was also easier when it did not require children to give up something that belonged to them for children of both ages. We also found that 18-month-olds' helping required significantly greater communicative support and scaffolding from an adult than that of 30-month-olds, whose prosocial behavior was more autonomous and demonstrated greater social understanding.

Age-related differences in helping and requisite adult socialization

Prosocial responses have been shown to emerge early in the second year of life (Rheingold, 1982; Warneken & Tomasello, 2006, 2007) and to increase over early childhood in concert with growing self-other differentiation and social understanding (Brownell & Carriger, 1990; Moore, 2006; Zahn-Waxler, Radke-Yarrow et al., 1992). However, the base rates of prosocial responses among one-year-olds are low, occur more reliably for familiar situations and emotion contexts (e.g., pain; Zahn-Waxler, Radke-Yarrow et al., 1992) than for less familiar situations (e.g., sadness, fatigue; Sigman et al., 1992), and can be increased by explicit requests for assistance (Rheingold, 1982; Spinrad & Stifter, 2006). Even at age two, prosocial behavior remains relatively infrequent under these supportive circumstances, requires multiple trials, and does not occur reliably across all trials or tasks (Demetriou & Hay, 2004; Hay, 2006).

In the current study both 18- and 30-month old children helped the experimenter on the majority of trials. However, this included instances in which the adult explicitly requested help from the child and specified what was needed. Furthermore, when we consider the particular circumstances under which 18-month-olds helped, it becomes evident that their performance was mostly accounted for by their helping in action-related tasks, in which the experimenter had difficulty with completing a goal-directed action involving a clear relationship between objects. For emotion-related tasks, where children had to infer the adult's internal state and intervene to alleviate it, 18-month-olds were unlikely to help unless they received substantial, detailed communicative support and scaffolding from the adult. Across conditions the younger group tended to respond, on average, after the adult had expressed her internal state or need in different ways and had named the target object. Thirty-month-old children exceeded 18-month-olds on all measures of prosocial behavior and in all conditions. As predicted, not only did older children help more frequently, but they also required less communicative support from the adult; on

average, older toddlers tended to help before the adult had named the object that she needed. Thus, 18-month-olds are most able to respond prosocially in situationally obvious, goal-oriented helping situations when they are supported by very clear communications about what the other person needs and what can be done to mitigate the need. By 30 months of age, children's greater ability to infer another's needs from more general information and more subtle cues permits them to recognize another's need for help more easily and to rely less on the adult for this information.

One implication of these findings is that very early prosocial responding depends on social and communicative support from others about their needs and how the child can help. Several investigators of early social-cognitive development, starting with Vygotsky (1978), have emphasized the essential role of partner support when children first acquire new knowledge and skills. In a classic study of 6- to 18-month old infants and their mothers, Bakeman and Adamson (1984) showed that new forms of social engagement first appeared embedded in and supported by the social interaction context. In their study, mothers initially acted in ways that scaffolded their infants' performance before infants were capable of such performance on their own; with age and repeated exposure, infants themselves exhibited more skilled and autonomous forms of engagement. We can speculate that new forms of social understanding and the prosocial responses that depend on it are socialized in a similar way. At both of the ages that we considered, tasks involving more challenging social-cognitive demands required more prolonged, specific and explicit communication; with age, children's need for such communication decreased. While human infants may have a natural predisposition to be prosocial early in development (Warneken & Tomasello, 2006), social and communicative

support from adults appears to be necessary to scaffold young children's understanding of when and how to enact prosocial responses.

Social understanding: Actions vs. Emotions

In line with our expectations, action-related tasks elicited the most robust helping responses and required the least scaffolding from an adult. Even for 30-month-olds helping in the Action condition was significantly easier than in the other two conditions. This is consistent with existing research on development of early social understanding that has shown that by the middle of the second year of life children are able to understand other people's goals (Meltzoff, 1995) and intentions (Tomasello, Carpenter, Call, Behne, & Moll, 2005), which enables them to provide instrumental help with adults' actions (Rheingold, 1982; Warneken & Tomasello, 2006). Even though emotion understanding also undergoes important developments in this period (Eisenberg, 2005; Mascolo & Fischer, 2007), enabling some forms of empathy (Spinrad & Stifter, 2006; Zahn-Waxler, Radke-Yarrow et al., 1992), empathic helping appears to be more complex than helping with action-related tasks. Unlike helping based on predicting action-effect outcomes in observable actions (Csibra & Gergely, 2007), helping in response to others' emotions requires an additional step in social-cognitive processing, as inferring the goal in this case follows from inferring someone's internal state. Furthermore, emotion-related tasks can lead to personal distress in young children via emotion contagion, perhaps especially when others are in pain or evident distress. This requires young children both to differentiate their own distress from the other's distress and to regulate their own distress if they are to exhibit empathic helping based on other-oriented concern or sympathy rather than based on the wish to reduce their own personal distress (Eisenberg, 2005; Hoffman, 1975). As a result, both cognitive and affective demands are greater in empathic helping compared to instrumental, action-related

helping. The ability to understand and assist others with goal-directed actions may represent the first step toward this more complex social understanding and the prosocial responses that depend on it.

Together with other recent research, the current study points to an important transition from instrumental helping to empathic helping late in the second year of life and early in the third. Warneken and Tomasello (2007) demonstrated that 14-month-olds are able to help adults in only the most straightforward instrumental tasks, those involving reaching. Between 18 and 24 months of age, infants are able to help in a wider array of instrumental tasks that include difficulties with tools, obstacles, means-end relationships and the like, suggesting more advanced, general understanding of others' action-related goals (Warneken & Tomasello, 2006). In the current study, which contrasted instrumental and empathic helping, we discovered that empathic helping is quite limited at 18 months but relatively skilled by 30 months of age. The developmental picture suggested by integrating these findings is that by 14 months of age, infants are able to help others with very simple, goal-directed actions; by 18 to 24 months they can help in action-related situations that are more demanding cognitively but still relatively transparent with respect to another's goals and needs; by 30 months of age, they are able to help in situations requiring more complex inferences about others' needs based on inferring others' feelings and internal psychological states.

Altruistic motivation: Cost vs. No cost

The Altruism condition, in which helping required the child to give his or her own possession to the experimenter, was included in the design to examine the motivational component of early prosocial behavior. It is important to remember that the only difference between the Altruism and Emotion conditions was whether the objects needed by the adult were

the child's own or not. Since the helping tasks in the two conditions were otherwise identical, any differences in helping must be due to affective or motivational, rather than cognitive, mechanisms. As expected, the Altruism condition was the most difficult for both ages. Interestingly, however, the younger children's behavior did not differ significantly for the Emotion condition versus the Altruism condition. Indeed, helping scores were near the floor in both conditions for 18-month-olds. Thus, in both conditions 18-month-olds had an equivalently difficult time understanding the adult's needs and how to alleviate them and required substantial input from the adult to generate a helping response. In the older group, however, both the rates of helping and how early in the cue sequence children responded differed significantly between the Altruism and Emotion conditions, even though the social-cognitive demands were identical. Although 30-month-olds knew about the adult's need and how to help in the Emotion tasks, they were less willing to provide costly help in the Altruism tasks. For the younger group, therefore, the challenge seemed to be in disentangling the complex demands of the emotion-related tasks, whereas the older group had the most difficulty with overcoming their possessiveness and giving up something of value.

These findings suggest that very young children exhibit a general prosocial motivation, readily providing instrumental help to an adult when the amount of information about what is needed corresponds to their level of social understanding. Yet, when the demands of the task involve a sacrifice – giving their own possession to alleviate another's distress – this motivation is significantly reduced. Grusec et al. (2002), among others, define prosocial behavior as any intentional action that produces a positive outcome for the recipient, whereas altruism implies that assistance to others comes at some cost to the donor. The fact that instances of costly helping in the current study were quite low and occurred largely in response to an adult's direct

and explicit request suggests that toddlers' helping responses are unlikely to be genuinely altruistic. Altruistic motivation thus appears to be a later developing phenomenon, which may build upon the more basic prosocial motivation emerging and developing in toddlerhood.

Several limitations of this study are worth noting. First, the contrast between the action condition and emotion condition was not as strong as it could be in some respects, but in other respects may have been too distinct. For example, the emotion of frustration is a response in part to a blocked goal (Witherington, Campos, & Hertenstein, 2001) and as operationalized in the current study also has a strong action component (moving one's hair away from one's face). Clearer distinctions between these conditions might produce clearer developmental differences between instrumental and empathic helping. Another limitation is the absence of an action version of the altruism condition, thus constraining our examination of altruistic responding to empathic helping situations. Future research could address whether altruism emerges earlier in instrumental helping than in emotion-related helping contexts. Finally, perhaps some children failed to help because they chose not to help, believing that adults bear responsibility for helping others (Caplan & Hay, 1989). In particular, it is possible that rates of helping among the older children in the altruism condition were underestimated in the current study if such motivational factors were in operation besides the personal cost of sharing one's own possession. One way future research might address this question is by manipulating the circumstances under which helping occurs, such as presence of parents or other adults in the room, or manipulating the value of the to-be-shared object in addition to whether it belongs to the child or not.

In sum, the findings of the current study reveal an important developmental transition at the end of the second year of life when children's helping behavior expands to include empathic as well as instrumental helping. The results point as well to the late emergence of altruistic

helping, after other-oriented helping first becomes evident, inasmuch as even two-year-olds find costly helping especially difficult. This suggests that changes in social understanding and prosocial motivation may be closely linked, with other-oriented concern developing in concert with growth in children's ability to represent and understand others' subjective internal states like emotions, and altruistic helping developing later, in concert with understanding of social and moral norms. It would be productive in future research to investigate these links more directly, possibly by including additional measures of self- and other-understanding and empathy, as well as by testing older children in situations that require various types of helping. Future research could also be informed by examining the potential sources of individual differences in early developing prosocial behavior, including children's temperamental characteristics and parental socialization of internal state understanding.

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Table 1.

The Nine Helping Tasks Assigned to Conditions

Condition	Action	Emotion	Altruism
Target Object			
	<u>Attaching objects</u>	<u>Frustration</u>	<u>Frustration</u>
Clip	Target behavior: Give out of reach clothespin to E	Target behavior: Give out of reach hairclip to E	Target behavior: Give <i>child's own</i> out of reach hairclip to E
	<u>Wrapping objects</u>	<u>Cold</u>	<u>Cold</u>
Cloth wrapper/ Blanket	Target behavior: Give out of reach cloth wrapper to E	Target behavior: Give out of reach blanket to E	Target behavior: Give <i>child's own</i> out of reach blanket to E
	<u>Playing with objects</u>	<u>Sadness</u>	<u>Sadness</u>
Toy	Target behavior: Give out of reach toy to E	Target behavior: Give out of reach toy to E	Target behavior: Give <i>child's own</i> out of reach toy to E

Note. Target objects and target behaviors were similar across the three conditions.

Table 2.

Communicative Cues and Corresponding Helping Scores

Order of presentation	Description	Helping score assigned
1	Facial/bodily/vocal expression of general need (e.g., hands up, looking around, “hmmm”) or internal state (e.g., shivering with cold, rubbing and hugging oneself to get warm, “brrrr”).	8
2	Naming the interrupted action (e.g., “I can’t clip!”) or internal state (e.g., “I am sad”).	7
3	Verbal expression of a general need for an object (e.g. “I need something to clip with” or “I need something that would make me feel warm”).	6
4	Naming the specific object that would meet the need (e.g., “A clothespin!” or “A blanket!”).	5
5	Alternating gaze between the object and the child, as a nonverbal request to get the object.	4
6	Reach and gesture toward the object, as a more explicit request to get the object.	3
7	General verbal request for help (“Can you help me?”).	2
8	Specific verbal request (e.g., “Can you bring me a blanket?”).	1

Figure captions

Figure 1. Mean number of times children helped by age and condition (out of 3 possible instances per condition).

Figure 2. Mean target helping scores by age and condition. Higher scores indicate earlier helping with less communicative support.

Figure 1.

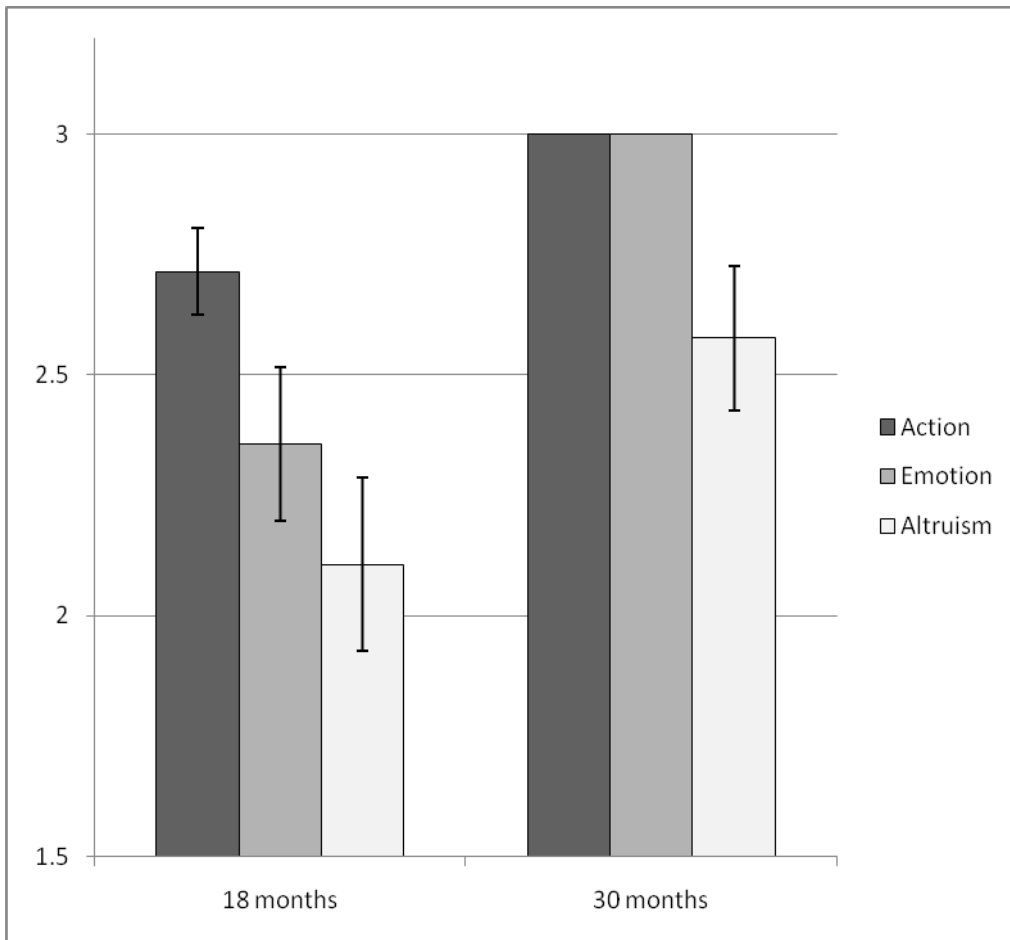


Figure 2.

