

## Top-quark-mass prediction from supersymmetric grand unified theories

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We consider a supersymmetric grand-unified-theory (GUT) framework motivated by SO(10) or  $E_6$  unification in which the parameter  $\tan\beta (\equiv v_2/v_1)$  of the minimal supersymmetric standard model is constrained by the condition that the Yukawa couplings  $h_t, h_b$ , and  $h_\tau$  are all equal at the GUT scale. With  $\alpha_s(M_Z) = 0.106 \pm 0.006$ , the estimate for the  $b$ -quark mass, which depends on  $\tan\beta$ , lies in the "observed" range  $m_b(m_b) = 4.25 \pm 0.10$  GeV, provided that the top-quark mass is  $142^{+26}_{-49}$  GeV.

The minimal supersymmetric extension of the standard model (MSSM) introduces an important new parameter  $\tan\beta \equiv v_2/v_1$ , the ratio of the vacuum expectation values that provide masses for  $u$ -type and  $d$ -type quarks (plus the charged leptons) [1]. Phenomenological considerations require that  $1 < \tan\beta < m_t/m_b$  [2]. Embedding the MSSM in supersymmetric (SUSY) SU(5) [3-5] leaves  $\tan\beta$  undetermined, which means that the SU(5) prediction for  $m_b$  depends on an additional free parameter [6].

In this Brief Report we consider a supersymmetric grand unified framework, based on groups such as SO(10) and  $E_6$ , in which  $\tan\beta$  is constrained by the condition that the Yukawa couplings  $h_t, h_b$ , and  $h_\tau$  are all equal at the grand-unified-theory (GUT) breaking scale  $M_X$ . For  $\mu < M_X$ ,  $\tan\beta$  differs from  $m_t/m_b$  by a (small) calculable amount. With  $\alpha_s(M_Z) = 0.106 \pm 0.006$ , the estimated  $b$ -quark mass lies within the "measured" range [ $m_b(m_b) = 4.25 \pm 0.10$  GeV] [7] provided that the top-quark mass is  $142^{+26}_{-49}$  GeV.

Our starting point is the assumption that the third-generation fermions acquire mass from the coupling  $16 \times 16 \times 10$ , where the 10-plet contains the two Higgs doublets that develop vacuum expectation values (VEV's)  $v_1$  and  $v_2$  in an SO(10) theory, or from the coupling  $27^3$  in an  $E_6$  theory. This implies that the Yukawa couplings  $h_t, h_b$ , and  $h_\tau$  are all equal at  $M_X$  (see Table I for an estimate of  $M_X$  to one loop). For  $M_S < \mu < M_X$  [ $M_S = 1$  TeV denotes the SUSY-breaking scale and

$t \equiv \ln(\mu(\text{GeV})/16\pi^2)$ ] the evolution equations for the gauge and Yukawa couplings to one loop are [6,8] (with  $\alpha_i \equiv g_i^2/4\pi, i = 1, 2$  and  $\alpha_s \equiv g_3^2/4\pi$ )

$$\begin{aligned} dg_1/dt &= (2n_g + \frac{3}{5})g_1^3, \\ dg_2/dt &= (-6 + 2n_g + 1)g_2^3, \\ dg_3/dt &= (-9 + 2n_g)g_3^3, \\ dh_t/dt &= h_t(6h_t^2 + h_b^2 - \frac{16}{3}g_3^2 - 3g_2^2 - \frac{13}{15}g_1^2), \\ dh_b/dt &= h_b(h_t^2 + 6h_b^2 - \frac{16}{3}g_3^2 - 3g_2^2 - \frac{7}{15}g_1^2), \\ dh_\tau/dt &= h_\tau(3h_b^2 - 3g_2^2 - \frac{9}{5}g_1^2). \end{aligned} \tag{1}$$

For  $M_Z < \mu < M_S$ , the equations are

$$\begin{aligned} dg_1/dt &= (\frac{4}{3}n_g + \frac{2}{10})g_1^3, \\ dg_2/dt &= (-\frac{22}{3} + \frac{4}{3}n_g + \frac{1}{3})g_2^3, \\ dg_3/dt &= (-11 + \frac{4}{3}n_g)g_3^3, \\ dh_t/dt &= h_t(9h_t^2 + h_b^2 - 8g_3^2 - \frac{9}{4}g_2^2 - \frac{17}{20}g_1^2), \\ dh_b/dt &= h_b(h_t^2 + 9h_b^2 - 8g_3^2 - \frac{9}{4}g_2^2 - \frac{5}{20}g_1^2), \\ dh_\tau/dt &= h_\tau(6h_b^2 - \frac{9}{4}g_2^2 - \frac{9}{4}g_1^2). \end{aligned} \tag{2}$$

At the tree level the Yukawa couplings are given by

$$\begin{aligned} h_t &= \frac{m_t \sqrt{1 + \tan^2\beta}}{174 \tan\beta}, \\ h_b &= \frac{m_b \sqrt{1 + \tan^2\beta}}{174}, \\ h_\tau &= \frac{m_\tau \sqrt{1 + \tan^2\beta}}{174}, \end{aligned} \tag{3}$$

where  $\sqrt{v_1^2 + v_2^2} = 174$  GeV.

In Fig. 1 we plot  $\tan\beta$  vs  $m_t(m_t)$ , where  $\tan\beta$  is deter-

TABLE I. One-loop predictions for  $\sin^2\theta_w(M_Z)$  and  $M_X$  with SUSY SO(10) or  $E_6$  GUT broken directly to the minimal supersymmetric extension of the standard model.

$\alpha_s(M_Z)$	$M_S$ (TeV)	$M_X$ (GeV)	$\sin^2\theta_w(M_Z)$
0.100	1.0	$0.42 \times 10^{16}$	0.235
0.106	1.0	$0.71 \times 10^{16}$	0.233
0.112	1.0	$1.02 \times 10^{16}$	0.231

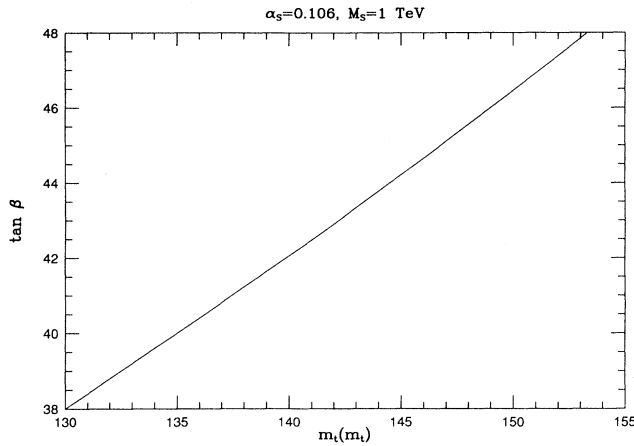


FIG. 1. Plot of  $\tan\beta$  vs  $m_t(m_t)$  with  $\alpha_s=0.106$  and  $M_S=1$  TeV.

mined by the requirement that for a given  $m_t(m_t)$ , the three Yukawa couplings  $h_t$ ,  $h_b$ , and  $h_\tau$  meet at the GUT scale  $M_X$ . In Fig. 2 an example of the evolution of the Yukawa couplings as functions of the momentum scale is shown. It may be noticed that  $h_t/h_b$  is of order 1 in the entire range and asymptotically reaches 1 from above. In Fig. 3 we plot  $m_t(\text{physical}) \approx m_t(m_t)[1 + 4\alpha_s(m_t)/3\pi]$  vs  $m_b(m_b)$ . Note that between  $M_Z$  and  $m_b$  the QCD corrections are included to two loops. For  $\alpha_s(M_Z)$ , following the first paper in Ref. [9], we take the range  $0.106 \pm 0.006$ . Our conclusion from this is that the top-quark mass is  $142_{-49}^{+26}$  GeV. A larger value for  $\alpha_s(M_Z)$ , say 0.12, leads to a top-quark mass in the range 171–182 GeV.

Independent of the constraint from  $m_b(m_b)$ , one can approximately bound  $h_t$  by setting the right-hand side of the evolution equation for its logarithm to zero. It turns out that, for  $h_t \lesssim 1.05$ , the system of equations lies in the

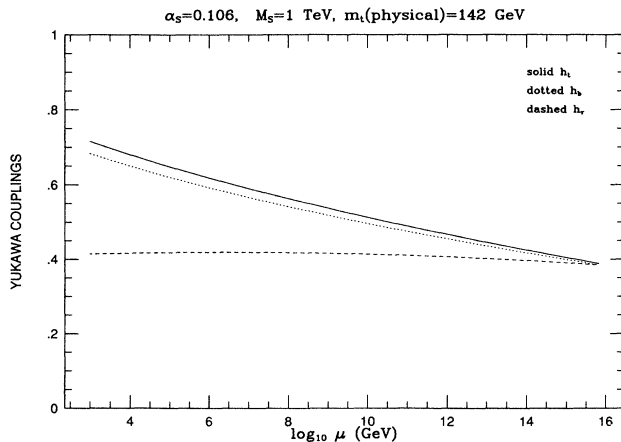


FIG. 2. Plot of Yukawa couplings vs  $\log_{10}\mu(\text{GeV})$  for the case  $\alpha_s=0.106$ ,  $M_S=1$  TeV and  $m_t(\text{physical})=142$  GeV.

perturbative domain [6,10]. In the first paper of Ref. [6],  $\tan\beta$  was set to unity which gives an approximate bound on the top-quark mass of  $(1.05)(1/\sqrt{2})(174 \text{ GeV}) \approx 130$  GeV. Our study involves large values of  $\tan\beta$  and as a consequence, we end up with an approximate upper

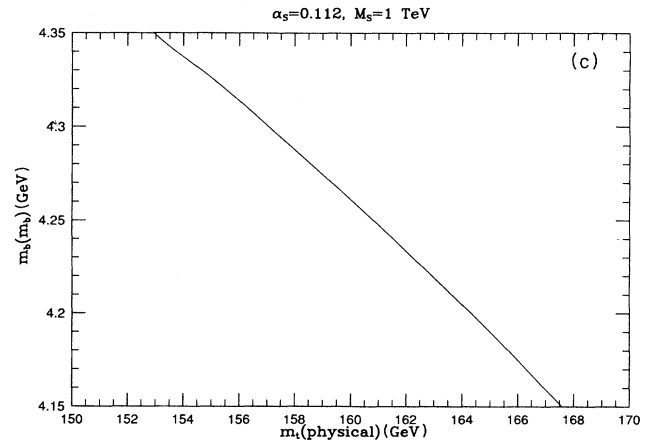
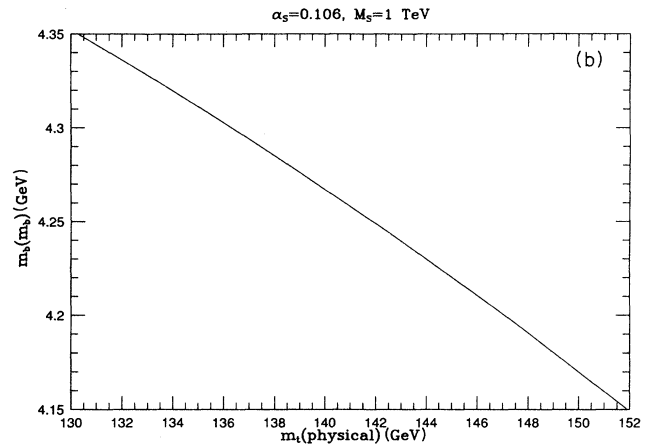
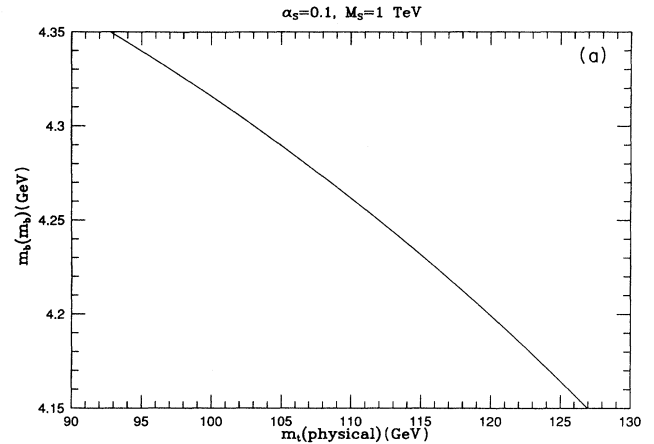


FIG. 3. Plots of  $m_b(m_b)$  vs  $m_t(\text{physical})$  for typical choices of parameters.

bound on  $m_t$  of  $(1.05)(174 \text{ GeV}) \simeq 183 \text{ GeV}$ , which is similar to the second paper of Ref. [6].

In conclusion, some recent investigations [9] suggest that supersymmetric grand unified theories directly broken to the MSSM are in striking agreement with data. For instance, the predicted value for  $\sin^2\theta_W$  is in excellent agreement with recent results. Moreover, the observed gauge couplings when extrapolated to high energies appear to meet at a common scale close to  $10^{16} \text{ GeV}$  (with  $M_S \simeq 1 \text{ TeV}$ ). Our results on the top-quark mass

take us a step further in this direction. We have shown that certain supersymmetric GUT's also predict a heavy top quark.

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