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TORUS KNOTS AND THE RATIONAL DAHA

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ABSTRACT. We conjecturally extract the triply graded Khovanov–Rozansky homology of the (m, n) torus knot from the unique finite dimensional simple representation of the rational DAHA of type A, rank $n - 1$, and central character m/n . The conjectural differentials of Gukov, Dunfield and the third author receive an explicit algebraic expression in this picture, yielding a prescription for the doubly graded Khovanov–Rozansky homologies. We match our conjecture to previous conjectures of the first author relating knot homology to q, t -Catalan numbers, and of the last three authors relating knot homology to Hilbert schemes on singular curves.

1. INTRODUCTION

Given a knot K and an integer N , Khovanov and Rozansky construct a *doubly graded* vector space $\mathcal{H}_{N,K}$ categorifying the quantum \mathfrak{sl}_N invariant of K (in the fundamental representation) [KR08a]. In particular, its Poincaré polynomial $\mathcal{P}_{N,K}(q, t)$ specializes at $t = -1$ to the \mathfrak{sl}_N knot polynomial $P_{N,K}(q)$. They also construct a *triply graded* vector space \mathcal{H}_K whose Poincaré polynomial $\mathcal{P}_K(a, q, t)$ specializes at $t = -1$ to the HOMFLY polynomial $P_K(a, q)$ [KR08b]. While the HOMFLY polynomial collects the data of the \mathfrak{sl}_N polynomials, $P_K(q^N, q) = P_{N,K}(q)$, this *does not hold* for the Poincaré series of the categorifications: $\mathcal{P}_K(q^N, q, t) \neq \mathcal{P}_{N,K}(q, t)$. However, there is a spectral sequence $\mathcal{H}_K \rightarrow \mathcal{H}_{N,K}$ constructed by the third author [Ras06], which establishes part of a richer conjectural picture advanced in [DGR06]. In all known cases, the spectral sequence converges after the first differential.

Let K be a (n, m) torus knot. While these are among the simplest of all knots, no rigorous calculation of the invariants \mathcal{P}_K or $\mathcal{P}_{N,K}$ has been carried out even when $n = 3$ (except the case $N = 2$, where the answer was computed in [Tur08]). By contrast, an explicit formula for P_K was given by Jones [Jon87]. Some recent works [DMMSS11, AS11, Che11, ORS12] give conjectural formulas¹ for \mathcal{P}_K with various differing (often physical) motivations; in all tested cases the formulas agree both with each other and the actual values of \mathcal{P}_K . However thus far there has been no prescription for either $\mathcal{P}_{N,K}$ or the differential recovering $\mathcal{H}_{N,K}$ from \mathcal{H}_K .

We describe here conjectures which recover the homologies of the torus knots – both \mathcal{H}_K and $\mathcal{H}_{N,K}$ – from the simple representations of the rational Cherednik algebras of type A [EG02]. Taking \mathfrak{h} to be the $n - 1$ dimensional reflection representation of S_n , recall that for $c \in \mathbb{C}$ the rational Cherednik algebra \mathbf{H}_c contains as subalgebras $\mathbb{C}[\mathfrak{h}]$, $\mathbb{C}[\mathfrak{h}^*]$, and $\mathbb{C}[S_n]$, and these together generate it. Permutations $\sigma \in S_n$ interact with $\mathbb{C}[\mathfrak{h}]$ and $\mathbb{C}[\mathfrak{h}^*]$ via $\sigma h = \sigma(h)\sigma$. The elements of \mathfrak{h} and \mathfrak{h}^* are subject to the following commutation relation:

$$[y, x] = \langle y, x \rangle - c \sum_{s \in \mathcal{S}} \langle y, \alpha_s \rangle \langle \alpha_s^\vee, x \rangle \cdot s \quad \text{for } y \in \mathfrak{h} \text{ and } x \in \mathfrak{h}^*.$$

Here \mathcal{S} is the set of transpositions, and α_s, α_s^\vee are the root and coroot corresponding to $s \in \mathcal{S}$.

¹ None of these are given in closed form. In [ORS12] the answer takes the form of a sum over diagrams whose evaluation requires times measured in minutes for e.g. a $(13, 20)$ torus knot.

One generally restricts attention to the category \mathcal{O} of finitely generated representations in which \mathfrak{h} acts locally nilpotently. Among these are the *standard modules*, constructed from an irreducible representation τ of S_n by letting $P \in \mathbb{C}[\mathfrak{h}^*]$ act on τ by the scalar $P(0)$, and then inducing $M_c(\tau) := \mathbf{H}_c \otimes_{\mathbb{C}[\mathfrak{h}^*] \rtimes W} \tau$. These have a unique simple quotient $L_c(\tau)$, and these quotients account for all simples [DO03]. For most c , the map $M_c(\tau) \rightarrow L_c(\tau)$ is an isomorphism, and it is known [BEG03a] that $L_c(\tau)$ is finite dimensional if and only if $c = m/n$ with m, n relatively prime integers and moreover τ is the trivial representation if $m > 0$ and the sign representation otherwise. We denote this representation simply $L_{m/n}$.

As the commutation relations in \mathbf{H}_c preserve the difference between \mathfrak{h}^* -degree and \mathfrak{h} -degree, the algebra \mathbf{H}_c is graded by this difference. In fact the grading is internal: there is an element $\mathbf{h} \in \mathbf{H}_c$ which acts semisimply and whose eigenvalues give the grading. It can be shown that \mathbf{h} acts semisimply and with integer eigenvalues on every module in category \mathcal{O} , so these all acquire a grading compatible with that of the algebra. We can now state:

Proposition 1.1. *The HOMFLY polynomial of the (n, m) torus knot is given by:*

$$\mathbf{P}_{(n,m)} = a^{(n-1)(m-1)} \sum_{i=0}^{n-1} a^{2i} \operatorname{tr}(q^{\mathbf{h}}; \operatorname{Hom}_{S_n}(\Lambda^i \mathfrak{h}, L_{m/n}))$$

The left hand side has been calculated by Jones [Jon87] and the right hand side by Berest, Etingof, and Ginzburg [BEG03a]; in Section 3 we show they agree.

To recover the HOMFLY homology we need an additional grading. The algebra \mathbf{H}_c does not admit a second grading, but it *does* admit a filtration in which S_n occupies filtration degree zero and $\mathfrak{h}, \mathfrak{h}^*$ both occupy filtration degree 1.

Conjecture 1.2. *$L_{m/n}$ admits a filtration $\dots \mathcal{F}_{i-1} \subset \mathcal{F}_i \subset \mathcal{F}_{i+1} \dots$ compatible with the filtration of $\mathbf{H}_{m/n}$ (in particular $g\mathcal{F}_i \subset \mathcal{F}_i$ for $g \in S_n$) and the grading induced by \mathbf{h} so that*

$$\mathcal{H}_{T(m,n)} \cong \operatorname{Hom}_{S_n}(\Lambda^* \mathfrak{h}, \operatorname{gr}^{\mathcal{F}} L_{m/n}) =: H_{m/n}^{\mathcal{F}}.$$

The homology group $\mathcal{H}_{T(m,n)}$ is triply graded, and so is $H_{m/n}^{\mathcal{F}}$: it has one grading from the degree in the exterior algebra $\Lambda^* V$; one grading from the element \mathbf{h} ; and one from the filtration \mathcal{F} . Roughly speaking, these correspond respectively to the gradings measured by a , q , and t in the polynomial \mathcal{P}_K ; a precise statement appears at the end of the introduction.

For the filtration \mathcal{F} we have *three* candidates arising from different descriptions of $L_{m/n}$.

- \mathcal{F}^{alg} is the most explicit. Inside the polynomial ring $\mathbb{C}[x_1, \dots, x_n]$ we consider the ideal \mathfrak{a} generated by symmetric functions of positive degree, and the filtration by its powers. The space $L_{m/n}$ is a quotient of $\mathbb{C}[x_1, \dots, x_n]$ on which $\mathbf{H}_{m/n}$ acts by S_n -twisted differential operators, and so also carries a filtration by powers of \mathfrak{a} . There is a certain non degenerate pairing $(\cdot, \cdot)_{m/n}$ on $L_{m/n}$. Up to a shift by the grading, \mathcal{F}^{alg} is the filtration dual to that arising from powers of \mathfrak{a} .
- \mathcal{F}^{ind} comes from relations between L_c for varying values of c . Specifically, if $m > n$, there is a way to build $L_{m/n}$ from $L_{(m-n)/n}$ which induces a filtration on the former from one on the latter. Moreover, although $L_{m/n}$ and $L_{n/m}$ are rather different (having in particular different dimensions), their ‘‘spherical’’ parts are canonically isomorphic, and the whole representation may be reconstructed from its spherical part. Thus using the Euclidean algorithm we may induce a filtration on any $L_{m/n}$ starting from the trivial filtration on the one dimensional space $L_{1/r}$.
- \mathcal{F}^{geom} comes from the realization of $L_{m/n}$ as the cohomology of a certain Hitchin fibre [OY??]; it measures the difference between the homological degree and the grading.

These various filtrations offer different advantages. The filtration \mathcal{F}^{alg} is the most easily computable in any given case. The inductive filtration \mathcal{F}^{ind} is defined so as to interact well with results of Gordon and Stafford [GS05, GS06] which give formulas for the (triply graded) Poincaré series of $H_{m/n}^{\mathcal{F}^{ind}}$ in terms of equivariant Euler characteristics of coherent sheaves on the Hilbert scheme of points on \mathbb{C}^2 . This yields structural predictions and in some cases explicit formulas as in [DMMSS11] and [ORS12, Conj. 8]. In particular, when $m = n + 1$ the representation $L_{(n+1)/n}$ is known to be isomorphic to the space of diagonal harmonics introduced and studied by M. Haiman [Hai94, Hai02a] and in this case we find certain well known q, t -symmetric polynomials appearing as the coefficients of a in $\mathcal{P}_{T(m,n)}$. These polynomials were conjectured to model knot homology in [Gor10].

The geometric filtration \mathcal{F}^{geom} is defined so that, with this choice of filtration, Conjecture 1.2 can be derived from the main conjecture of [ORS12] relating the HOMFLY homology to Hilbert schemes of points on singular curves. The basic point is that the representation $L_{m/n}$ can be realized geometrically [Yun11, OY??] as the cohomology of a certain parabolic Hitchin fibre corresponding to a spectral curve with singularity $x^m = y^n$; we will explain this further in Section 9. This fibre admits an affine cell decomposition which is expected to be related to the combinatorial models for diagonal harmonics.

The filtration \mathcal{F}^{ind} will be defined in Section 4 as the minimal filtration satisfying certain properties. After we verify these for \mathcal{F}^{alg} and \mathcal{F}^{geom} , we will conclude $\mathcal{F}^{ind} \subset \mathcal{F}^{alg}$ and $\mathcal{F}^{ind} \subset \mathcal{F}^{geom}$. Numerical evidence leads us to believe:

Conjecture 1.3. *The three filtrations \mathcal{F}^{alg} , \mathcal{F}^{ind} , \mathcal{F}^{geom} are the same.*

We turn to the evidence supporting Conjecture 1.2. Proposition 1.1 asserts the conjecture holds at the level of Euler characteristics. When $n = 2$ the HOMFLY homology $\mathcal{H}_{T(2,m)}$ was calculated in [Kho07], and for $n = 3$ a formula for $\mathcal{P}_{T(3,m)}$ was conjectured in [DGR06]; we will check in Section 5 that these match the prediction of Conjecture 1.2.

At present there are no systematic calculations of $\mathcal{H}_{T(n,m)}$ for larger n . We can however check that certain structural properties which are known or conjectured for the HOMFLY homology are manifestly present in the $H_{m/n}$. The first nontrivial check comes from the fact that although $T(m, n)$ and $T(n, m)$ are the same knots, the algebras $\mathbf{H}_{m/n}$ and $\mathbf{H}_{n/m}$ are not isomorphic, and the representations $L_{m/n}$ and $L_{n/m}$ do not even have the same dimension as vector spaces. Nevertheless, it is possible to check that

Proposition 1.4. *$H_{m/n} \cong H_{n/m}$ as triply-graded groups*

as would be expected from the conjecture. The coincidence of q -gradings for these spaces was proved earlier in [CEE07] at $a = 0$, and in [Gor11] for general a . The filtration \mathcal{F}^{ind} is only really defined for $m/n > 1$ so in this case we declare the above statement to hold by definition. The other two filtrations make sense for all m/n and the conjecture predicts a coincidence of filtrations under the identification $\mathbf{H}_{m/n} \cong \mathbf{H}_{n/m}$. For \mathcal{F}^{alg} this follows from [Gor11], and for \mathcal{F}^{geom} it follows from the geometric construction [OY??] of $L_{m/n}$.

It was conjectured in [DGR06] that, for any knot K , the triply graded homology \mathcal{H}_K admits an involution generalizing the $q \rightarrow q^{-1}$ symmetry of the HOMFLY polynomial. The corresponding ‘‘Fourier transform’’ Φ on $L_{m/n}$ is well known (we recall it in Section 2) and, consequently, $H_{m/n}$ has the desired symmetry.

A second conjecture in [DGR06] states that the group \mathcal{H}_K should be equipped with differentials $d_N : \mathcal{H}_K \rightarrow \mathcal{H}_K$ for all $N \in \mathbb{Z}$. These differentials are supposed to be mutually anticommuting. For $N > 0$, the differentials should recover the \mathfrak{sl}_N homology via $H(\mathcal{H}_K, d_N) = \mathcal{H}_{N,K}$.

A weakened form of this statement, with the single differential replaced by a spectral sequence, was proved in [Ras06]. It is thus natural to look for such differentials on $H_{m/n}$.

Proposition 1.5. *For all $N \in \mathbb{Z}$, there are mutually anticommuting differentials $d_N : H_{m/n} \rightarrow H_{m/n}$. The behaviour of these maps with respect to the triple grading is compatible with that predicted by [DGR06]. Moreover, the involution $\Phi : H_{m/n} \rightarrow H_{m/n}$ exchanges d_N and $\pm d_{-N}$.*

Conjecture 1.6. *For $N > 0$, we have $H(H_{m/n}, d_N) = \mathcal{H}_{N, T(m, n)}$.*

As $m \rightarrow \infty$, the low q -degree terms of $\mathcal{H}_{T(n, m)}$ stabilize [Sto07] (cf. [DGR06], [RO10].) More precisely, we define

$$\mathcal{H}_{T(n, \infty)} = \lim_{m \rightarrow \infty} \frac{\mathcal{H}_{T(n, m)}}{(aq^{-1})^{(m-1)(n-1)}}$$

Here the powers of a, q should be interpreted as shifting the a, q gradings, and the shifts are arranged so that the unique term in $\mathcal{H}_{T(n, m)}$ with homological grading 0 is in (a, q) bigrading $(0, 0)$ after the shift.

In analogy with this construction, we consider the limit

$$H_{\infty/n} = \lim_{m \rightarrow \infty} H_{m/n}.$$

In this limit, the representation theory of the DAHA simplifies considerably, and we find that $H_{\infty/n}$ is the tensor product of an exterior algebra with a polynomial algebra, as predicted by [DGR06] (see also [Gor10]):

$$H_{\infty/n} \cong \Lambda^*(\xi_1, \dots, \xi_{n-1}) \otimes \mathbb{C}[u_1, \dots, u_{n-1}].$$

Proposition 1.7. *The differential d_N on $H_{\infty/n}$ obeys the graded Leibnitz rule, must vanish on u_i by grading considerations, and is given on ξ_i by the formula*

$$d_N(\xi_i) = \sum_{i_1 + \dots + i_N = i} u_{i_1} u_{i_2} \dots u_{i_N}.$$

Equivalently, introducing the following elements of $\mathbb{C}[\xi_i, u_j, t]/t^N$,

$$\xi = \xi_1 t + \xi_2 t^2 + \dots + \xi_{n-1} t^{n-1} \quad \text{and} \quad u = u_1 t + u_2 t^2 + \dots + u_{n-1} t^{n-1},$$

the formula is expressed by $d_N \xi = u^N$.

This gives the following conjecture, which is stripped of all explicit mention of the DAHA.

Conjecture 1.8. *The stable reduced \mathfrak{sl}_N homology of $T(n, \infty)$ is*

$$H(\Lambda^*(\xi_1, \dots, \xi_{n-1}) \otimes \mathbb{C}[u_1, \dots, u_{n-1}], d_N)$$

where d_N is defined as above.

When $N = 2$, the $sl(2)$ homology is isomorphic to the original Khovanov homology. Thanks to Bar-Natan, Morrison and Shumakovitch ([BN07],[BM],[KhoHo]), there are extensive computations of Khovanov homology for torus knots. Conjecture 1.8 agrees with this data as far as we are able to compute it. For example, Conjecture 1.8 correctly predicts the reduced Khovanov homology of $T(6, 25)$ in q -degree ≤ 50 ; the total dimension of the group in question is 793, with 267-dimensional part of q -degree ≤ 50 . The Poincaré series of the stable homology turns out to be related to the Rogers-Ramanujan identity. For more details and a discussion of the unreduced Khovanov homology, see [GOR12].

In Section 9, we explain the relation of Conjecture 1.2 to [ORS12, Conj. 2]. This previous conjecture proposes that if L is the link of a plane curve singularity, then the *unreduced* HOMFLY homology $\overline{\mathcal{H}}_L$ is given as the direct sum of the cohomologies of certain nested Hilbert schemes of points. We show here that these cohomologies may be extracted from the cohomology of a parabolic Hitchin fibre where the spectral curve carries the desired singularity. The argument involves Springer theory, both the usual kind and the global Springer theory of Z. Yun [Yun11], and various support theorems [Yun11, MY11, MS11, OY??] which build upon the work of Ngô [Ngo10].

Taking the singularity to be of the form $x^m = y^n$ puts us in the situation of the present article. In this case, Yun and the second author have constructed the action of the rational Cherednik algebra on (a factor of) the cohomology of a parabolic Hitchin fibre with this spectral curve [OY??]; they show that the resulting representation is $L_{m/n}$. In the process one takes the associated graded of the perverse filtration on the cohomology, yielding the grading on $L_{m/n}$. There is a filtration \mathcal{F}^{geom} compatible with the algebra action which comes from the difference between the cohomological grading and the perverse grading. With this choice of filtration, Conjecture 1.2 is shown to be equivalent to the special case of [ORS12, Conj. 2] where the singularity is $x^m = y^n$.

It should be remarked however that we have no direct construction of the d_N in terms of the nested Hilbert schemes of [ORS12]. More importantly, we have no construction of them at all for the links of singularities without a \mathbb{C}^* action; this action plays a crucial role in the construction by [OY??] of the rational DAHA representation. On the other hand the original physical ideas [OV00, GSV05] leading to the prediction in [DGR06] of the differentials did not depend on such an action. Recently there has been an explicit physical derivation of [ORS12, Conj. 2] along these lines [DSV12, DHS12]; in this construction it is the nested Hilbert schemes rather than the Hitchin fibres which appear. This suggests two challenges: on the one hand, to explain on physical grounds the appearance of the Hitchin fibres, and on the other, to construct the differentials directly in terms of the nested Hilbert schemes.

Grading conventions.

The group \mathcal{H}_K is triply graded; that is

$$\mathcal{H}_K \cong \bigoplus_{v \in \mathbb{Z}^3} \mathcal{H}_K^v.$$

To express this grading in the more conventional form

$$\mathcal{H}_K \cong \bigoplus_{i,j,k \in \mathbb{Z}} \mathcal{H}_K^{i,j,k}$$

we must pick a basis for \mathbb{Z}^3 , or equivalently, projections $p_1, p_2, p_3 : \mathbb{Z}^3 \rightarrow \mathbb{Z}$ in the direction of the basis vectors. We will refer to any such projection as a *grading* on \mathcal{H} .

Two of these three projections arise naturally from the requirement that the graded Euler characteristic of \mathcal{H} is the HOMFLY-PT polynomial:

$$P_K = \sum_{v \in \mathbb{Z}^3} (-1)^{\pi(v)} a^{p_1(v)} q^{p_2(v)} \dim \mathcal{H}_K^v.$$

We refer to these as the a -grading and q -grading. With our normalization of the HOMFLY-PT polynomial, these gradings are always even when K is a knot. Their (conjectured) relation to the triple grading on the groups $H_{m/n}^{\mathcal{F}} = \bigoplus_i \text{Hom}_{S_n}(\Lambda^i \mathfrak{h}, \text{gr } L_{m/n})$ is easily described. The

q -grading is *twice* the internal grading on $L_{m/n}$, while the a -grading is

$$a = \mu(K) + 2i = (n - 1)(m - 1) + 2i.$$

There are several natural choices for the third grading on \mathcal{H}_K . Some are described below:

- (1) **Homological grading:** This is the homological grading defined by Khovanov and Rozansky in [KR08b], and is equal to one half of the grading gr_h used in [Ras06]. We will refer to it as the h -grading. With respect to this grading, the Poincaré polynomial of $\mathcal{H}_{T(2,3)}$ is $a^2q^{-2}h^1 + a^4q^0h^{-1} + a^2q^2h^{-1}$.
- (2) **t -grading** This is the grading $t = -h + a/2$. It gives the homological grading on Khovanov homology and the negative of the homological grading on \mathfrak{sl}_N homology [Ras06]. If K is an algebraic knot, the conjecture of [ORS12] matches the t -grading with the homological grading on the cohomology of the compactified Jacobian for those groups with minimal a -grading ($a = \mu(K)$).
- (3) **δ -grading:** This is the grading $\delta = a + q + 2h = 2a + q - 2t$. It is preserved under the conjectured symmetry of \mathcal{H}_K [DGR06] and is constant with value $-\sigma(K)$ if K is a two-bridge knot [Ras06]. (Our convention for the signature is that positive knots have negative signature.)
- (4) **filtration grading:** If K is an algebraic knot, we define the *filtration grading* to be

$$f = (\delta - a + \mu(K))/2 = (q + \mu(K))/2 + h.$$

For torus knots, we conjecture that this grading coincides with the grading induced by the filtration \mathcal{F}^\bullet on $H_{m/n}$.

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2. THE RATIONAL CHEREDNIK ALGEBRA

In this section we review the definition and basic properties of the rational Cherednik algebra, or rational DAHA, as defined in [EG02]. All of the material in this section is well known, and we discuss only type A_n , and only topics which are immediately relevant to our purposes. More complete introductions can be found in [Che05] and [EM10].

2.1. Dunkl Operators. Our starting point is the ring $\overline{R} = \mathbb{C}[x_1, \dots, x_n]$. It will be convenient to write X_i for the operator on \overline{R} which multiplies a polynomial by x_i . The symmetric group S_n acts on \overline{R} by permuting the x_i 's. Clearly

$$[X_i, X_j] = 0 \quad \text{and} \quad sX_i s^{-1} = X_{s(i)}.$$

The same relation holds for the operators $\partial/\partial x_i$ of partial differentiation with respect to the x_i . The *Dunkl operators* [Du89] $D_i : \overline{R} \rightarrow \overline{R}$ deform the partial derivatives in such a way that

the relations continue to hold. Fixing $c \in \mathbb{C}$, the Dunkl operators are defined by

$$D_i(p) = \frac{\partial p}{\partial x_i} + c \sum_{j \neq i} \frac{s_{ij}p - p}{x_i - x_j}$$

where s_{ij} is the transposition that exchanges i and j . A calculation shows

$$[D_i, D_j] = 0 \quad \text{and} \quad sD_i s^{-1} = D_{s(i)}.$$

The operators X_i and D_j do not commute, but their failure to do so can be expressed in a nice form. It is convenient to consider the vector spaces

$$\bar{\mathfrak{h}} = \text{span}\langle D_1, D_2, \dots, D_n \rangle \quad \text{and} \quad \bar{\mathfrak{h}}^* = \text{span}\langle X_1, X_2, \dots, X_n \rangle.$$

Elements of $\bar{\mathfrak{h}}$ and $\bar{\mathfrak{h}}^*$ are clearly linear operators acting on \bar{R} . We can identify $\bar{\mathfrak{h}}$ and $\bar{\mathfrak{h}}^*$ with the Cartan subalgebra and dual Cartan subalgebra for \mathfrak{gl}_n , where the Weyl group $W = S_n$ acts on operators by conjugation. In particular, there is a pairing $\langle \cdot, \cdot \rangle : \bar{\mathfrak{h}} \times \bar{\mathfrak{h}}^* \rightarrow \mathbb{C}$ defined by

$$\langle D_i, X_j \rangle = \delta_{ij}$$

Then for $v \in \bar{\mathfrak{h}}$, $w \in \bar{\mathfrak{h}}^*$, it can be verified that

$$[v, w] = \langle v, w \rangle - c \sum_{s \in \mathcal{S}} \langle v, \alpha_s \rangle \langle \alpha_s^\vee, w \rangle \cdot s$$

where $\mathcal{S} \subset S_n$ is the subset of all transpositions (these act by reflections on $\bar{\mathfrak{h}}$ and $\bar{\mathfrak{h}}^*$), and α_s and α_s^\vee are the corresponding roots and coroots. Explicitly, we have $\alpha_{s_{ij}} = X_i - X_j$ and $\alpha_{s_{ij}}^\vee = D_i - D_j$.

2.2. The algebra. The rational Cherednik algebra $\bar{\mathbf{H}}_c$ associated to \mathfrak{gl}_n is defined to be the associative algebra over \mathbb{C} generated by $\bar{\mathfrak{h}}$, $\bar{\mathfrak{h}}^*$, and S_n , subject to relations analogous to the ones considered in the previous subsection. That is, for any $x, x' \in \bar{\mathfrak{h}}^*$, any $y, y' \in \bar{\mathfrak{h}}$, and any $s \in S_n$, we have:

- (1) $[x, x'] = 0 \quad sxs^{-1} = s(x)$
- (2) $[y, y'] = 0 \quad sy s^{-1} = s(y)$
- (3) and $[y, x] = \langle y, x \rangle - c \sum_{s \in \mathcal{S}} \langle y, \alpha_s \rangle \langle \alpha_s^\vee, x \rangle \cdot s$

where $s(x), s(y)$ indicate the action of S_n on $\bar{\mathfrak{h}}^*$ and $\bar{\mathfrak{h}}$.

2.2.1. Triangular decomposition. The relations ensure that any element of $\bar{\mathbf{H}}_c$ can be written in the form $\sum_{\alpha} P_{\alpha}(y) \cdot \sigma_{\alpha} \cdot Q_{\alpha}(x)$ for some polynomials P_{α}, Q_{α} and elements $\sigma_{\alpha} \in S_n$. In other words, the multiplication map $\mathbb{C}[\bar{\mathfrak{h}}] \otimes \mathbb{C}[S_n] \otimes \mathbb{C}[\bar{\mathfrak{h}}^*] \rightarrow \bar{\mathbf{H}}_c$ is surjective. The same is true for other orderings of the factors. In fact, the map above is an isomorphism; this is the ‘‘PBW theorem’’ for Cherednik algebras [EG02].

2.2.2. Grading. Consider the free noncommutative algebra $A = \mathbb{C}\langle x_i, y_j, S_n \rangle$; there is a surjective map $A \rightarrow \bar{\mathbf{H}}_c$ with kernel given by the above relations. The free algebra has a \mathbb{Z} -grading in which the elements $x \in \bar{\mathfrak{h}}^*$ have degree 1, the elements $y \in \bar{\mathfrak{h}}$ have degree -1 , and the elements of S_n have degree 0. From Equations 1, 2, 3 we see this grading descends to $\bar{\mathbf{H}}_c$.

2.2.3. Internal grading and \mathfrak{sl}_2 . In fact, the grading is internal, i.e., given as eigenvalues of the adjoint action of a certain element. Specifically, $\bar{\mathfrak{h}} = \frac{1}{2} \sum_i (x_i y_i + y_i x_i) \in \bar{\mathbf{H}}_c$ has the property [BEG03a, Lem. 2.5] that $[\bar{\mathfrak{h}}, P] = \deg(P) \cdot P$ for any homogeneous $P \in \bar{\mathbf{H}}_c$. This element is included in a \mathfrak{sl}_2 triple. Let $\bar{\mathbf{x}}^2 := \sum_i x_i^2$ and $\bar{\mathbf{y}}^2 := \sum_i y_i^2$. Then evidently $[\bar{\mathfrak{h}}, \bar{\mathbf{x}}^2] = 2\bar{\mathbf{x}}^2$ and $[\bar{\mathfrak{h}}, \bar{\mathbf{y}}^2] = -2\bar{\mathbf{y}}^2$; one can calculate that $[\bar{\mathbf{x}}^2, \bar{\mathbf{y}}^2] = -4\bar{\mathfrak{h}}$. So $\bar{\mathfrak{h}}, \bar{\mathbf{x}}^2/2, -\bar{\mathbf{y}}^2/2$ form an \mathfrak{sl}_2 triple. Note this \mathfrak{sl}_2 commutes with S_n .

2.2.4. Filtration. The free algebra A carries an increasing \mathbb{N} -filtration $\mathbb{C}[S_n] = \mathcal{G}_0 \subset \mathcal{G}_1 \subset \dots$ in which $\mathcal{G}_i A$ is spanned as a vector space by elements which can be written as products of at most i of the x 's and y 's, and an arbitrary number of elements of S_n . Evidently this filtration descends to a filtration, again denoted \mathcal{G}_\bullet , on $\bar{\mathbf{H}}_c$.

2.2.5. Fourier transform. There is a map $\Phi : \bar{\mathbf{H}}_c \rightarrow \bar{\mathbf{H}}_c$ which acts on generators by carrying $x_i \rightarrow y_i, y_i \rightarrow -x_i$, and preserving elements of S_n . By inspection of the relations it gives a well defined algebra isomorphism; by construction it reverses the grading and preserves the filtration. It is shown in [BEG03a, Sec. 3] that in fact the \mathfrak{sl}_2 described above exponentiates to an $\mathrm{SL}_2(\mathbb{C})$ action by algebra automorphisms on $\bar{\mathbf{H}}_c$; Φ is among these.

2.3. The \mathfrak{sl}_n version. We will generally work with Cherednik algebra \mathbf{H}_c associated to \mathfrak{sl}_n , rather than the \mathfrak{gl}_n algebra described above; in particular, the representation $L_{m/n}$ appearing in Conjecture 1.2 is a representation of \mathbf{H}_c rather than $\bar{\mathbf{H}}_c$.

Let $\mathfrak{h} \subset \bar{\mathfrak{h}}$ and $\mathfrak{h}^* \subset \bar{\mathfrak{h}}^*$ be the Cartan and dual Cartan for \mathfrak{sl}_n ; they are respectively generated by the differences $y_i - y_j$ and $x_i - x_j$. The algebra \mathbf{H}_c is generated by $x \in \mathfrak{h}^*, y \in \mathfrak{h}$, and $s \in S_n$, subject to the relations in equations (1)-(3). Since \mathfrak{h} and \mathfrak{h}^* are S_n -invariant subsets of $\bar{\mathfrak{h}}$ and $\bar{\mathfrak{h}}^*$, we can view \mathbf{H}_c as an explicit subalgebra of $\bar{\mathbf{H}}_c$. As such, it inherits both the grading and the filtration described above; evidently it is preserved by the Fourier transform Φ .

Note that the elements $\bar{\mathfrak{h}}, \bar{\mathbf{x}}^2, \bar{\mathbf{y}}^2 \in \bar{\mathbf{H}}_c$ do not lie in \mathbf{H}_c . Denote $\bar{x} := \frac{1}{\sqrt{n}} \sum x_i$ and $\bar{y} := \frac{1}{\sqrt{n}} \sum y_i$. Then the following are elements of \mathbf{H}_c which play the corresponding roles:

$$\mathfrak{h} := \bar{\mathfrak{h}} - \frac{\bar{x}\bar{y} + \bar{y}\bar{x}}{2}, \quad \mathbf{x}^2 := \bar{\mathbf{x}}^2 - \bar{x}^2, \quad \mathbf{y}^2 := \bar{\mathbf{y}}^2 - \bar{y}^2.$$

In fact, there is very little difference between $\bar{\mathbf{H}}_c$ and \mathbf{H}_c . Writing $\mathcal{D} \subset \bar{\mathbf{H}}_c$ for the subalgebra generated by \bar{x}, \bar{y} . Then it is straightforward to see that \mathcal{D} is isomorphic to the algebra of differential operators in one variable, that \mathcal{D} commutes with \mathbf{H}_c , and that the multiplication map $\mathcal{D} \otimes \mathbf{H}_c \rightarrow \bar{\mathbf{H}}_c$ is an isomorphism.

2.4. Representations. By construction, the algebra $\bar{\mathbf{H}}_c$ acts on \bar{R} . That is, the basis elements $x_i \in \bar{\mathfrak{h}}^*$ act as multiplication by x_i , and likewise the $y_i \in \bar{\mathfrak{h}}$ act by the Dunkl operators D_i . This is the *polynomial representation* of $\bar{\mathbf{H}}_c$, and is denoted by \bar{M}_c .

The subring $R \subset \bar{R}$ generated by differences $x_i - x_j$ is a polynomial ring on $n - 1$ variables. It is preserved by the action of S_n , and by differences $D_i - D_j$ of Dunkl operators. It follows that \mathbf{H}_c acts on R . We write M_c for this polynomial representation of \mathbf{H}_c .

\bar{M}_c carries a grading by the eigenvalues of $\bar{\mathfrak{h}}$, which differs by from the usual polynomial grading by a shift. If $\eta \in \bar{\mathbf{H}}_c$ and $p \in \bar{M}_c$ are homogenous, then from $\bar{\mathfrak{h}}\eta p = ([\bar{\mathfrak{h}}, \eta] + \eta\bar{\mathfrak{h}})p$ we see $\deg(\eta p) = \deg(\eta) + \deg(p)$. Writing $p(x) \in \bar{\mathbf{H}}_c$ for the polynomial viewed as an element of $\bar{\mathbf{H}}_c$ rather than \bar{M}_c , we have $p = p(x) \cdot 1$ hence $\deg(p) = \deg(p(x)) + \deg(1)$ where $\deg(p(x))$ is just its degree as a polynomial, and we compute $\deg(1) = \bar{\mathfrak{h}} \cdot 1 = n(1 + (1 - n)c)/2$. In the case of interest we will have $c = m/n$ and so $\bar{\mathfrak{h}} \cdot 1 = (n + m - mn)/2$. Similarly

M_c acquires a grading by the eigenvalues of \mathfrak{h} , which differs from the polynomial grading by $\mathfrak{h} \cdot 1 = (n(1 + (1 - n)c) - 1)/2$. When $c = m/n$ this is $-(m - 1)(n - 1)/2$.

Lemma 2.1. *Let $V \subset R$ be a vector subspace annihilated by all Dunkl operators, and consider the ideal $I = \mathbb{C}[\mathfrak{h}] \cdot \mathbb{C}[S_n] \cdot V \subset R$. Then $\mathbf{H}_c \cdot I = I$.*

Proof. Recall the multiplication map $\mathbb{C}[\mathfrak{h}] \otimes \mathbb{C}[S_n] \otimes \mathbb{C}[\mathfrak{h}^*] \rightarrow \mathbf{H}_c$ is surjective. Since V is annihilated by the Dunkl operators, hence by all nonconstant elements of $\mathbb{C}[\mathfrak{h}^*]$, it follows that $\mathbf{H}_c \cdot V = \mathbb{C}[\mathfrak{h}] \cdot \mathbb{C}[S_n] \cdot V = I$, and hence $\mathbf{H}_c \cdot I = \mathbf{H}_c \cdot \mathbf{H}_c \cdot V = I$. \square

It follows that the quotient M_c/I defines a representation of H_c .

Example. Suppose $n = 2$, and write $u = x_1 - x_2$ so that $M_c = \mathbb{C}[x_1 - x_2] = \mathbb{C}[u]$. S_2 has a unique nontrivial element s , which sends u to $-u$. If k is even, we compute

$$D_1(u^k) = ku^{k-1} + c \frac{(-u)^k - u^k}{u} = \begin{cases} ku^{k-1} & k \text{ even} \\ (k - 2c)u^{k-1} & k \text{ odd} \end{cases}$$

Since $D_2(u^k) = -D_1(u^k)$ (in general, it's easy to show that $\sum D_i = 0$ when restricted to R), we see that the Dunkl operators have a nontrivial kernel if and only if $c = k/2$, where k is an odd integer. In this case $\mathbf{H}_{k/2}$ has a finite dimensional representation of the form $\mathbb{C}[u]/(u^k)$.

An important result of Berest, Etingof, and Ginzburg says that for $c > 0$, all finite dimensional irreducible representations of \mathbf{H}_c arise in this way:

Theorem 2.2. [BEG03b] *\mathbf{H}_c has finite dimensional representations if and only if $c = m/n$, where m and n are integers and $(m, n) = 1$. In this case, $\mathbf{H}_{m/n}$ has a unique (up to isomorphism) finite dimensional irreducible representation $L_{m/n}$. For $c = m/n > 0$, $L_c = M_c/I_c$, where I_c is an ideal generated by homogenous polynomials of degree m .*

The fact that I_c must be a graded ideal follows from considering the action of \mathfrak{h} . In any case $L_{m/n}$ carries a grading by the eigenvalues of \mathfrak{h} , which is the image of the grading on $M_{m/n}$. According to Conjecture 1.2, this grading should correspond to the q -grading on the HOMFLY homology $\mathcal{H}_{T(m,n)}$.

It can be shown that set of polynomials of degree m in $I_{m/n}$ is a copy of the standard $n - 1$ dimensional representation of S_n . Explicit formulas for these polynomials are given in [Du98] and [EC03].

2.5. Projectors and the Spherical Subalgebra. Let V_λ be the irreducible representations of the symmetric group S_n ; recall they are labelled by partitions λ of n . As for any finite group, any representation W of S_n decomposes canonically as a direct sum into *isotypic* components: $W = \bigoplus_\lambda W_\lambda$ where W_λ is non-canonically isomorphic to a direct sum of V_λ . The decomposition is encoded by a decomposition of the identity $1 \in \mathbb{C}[S_n]$ into idempotents $1 = \sum_\lambda e_\lambda$ which act by projection $e_\lambda W = W_\lambda$.

The algebra \mathbf{H}_c contains the group ring $\mathbb{C}[S_n]$. Letting the group ring act on \mathbf{H}_c by right multiplication, we can decompose

$$\mathbf{H}_c = \bigoplus_\lambda \mathbf{H}_{c,\lambda}$$

Similarly $\mathbb{C}[S_n]$ acts on representations of \mathbf{H}_c , so we can decompose

$$L_{m/n} = \bigoplus_\lambda e_\lambda L_{m/n}$$

where, as a S_n representation, $e_\lambda L_{m/n}$ is a direct sum of irreducibles of type λ .

Two projectors which are of particular importance are the ones corresponding to the trivial and alternating representations:

$$\mathbf{e} = \frac{1}{|S_n|} \sum_{s \in S_n} s \quad \mathbf{e}_- = \frac{1}{|S_n|} \sum_{s \in S_n} \text{sign}(s)s$$

The algebra $\mathbf{eH}_c\mathbf{e}$ is the *spherical subalgebra* of \mathbf{H}_c referred to in the introduction, and $\mathbf{e}L_{m/n}$ is the *spherical representation*. Similarly, $\mathbf{e}_-\mathbf{H}_c\mathbf{e}_-$ and $\mathbf{e}_-L_{m/n}$ are known as the *antispherical Cherednik algebra* and representation.

2.6. Symmetries.

2.6.1. Fourier transform. Since $L_{m/n}$ is finite dimensional, the $\mathfrak{sl}_2 \subset \mathbf{H}_c$ exponentiates to a $\text{SL}_2(\mathbb{C})$ action. In particular the Fourier transform Φ will act on $L_{m/n}$. Evidently for any $h \in \mathbf{H}_c$ and $p \in L_{m/n}$ we have $\Phi(h)\Phi(p) = \Phi(hp)$. In particular if $h = \mathbf{h}$ is the grading element, $\Phi(\mathbf{h}) = -\mathbf{h}$ ensures that Φ reverses the grading on $L_{m/n}$. Since Φ commutes with S_n , it preserves all isotypic components $e_\lambda L_{m/n}$.

2.6.2. Spherical - Antispherical.

Proposition 2.3. ([BEG03b, Prop. 4.6]) *There is an isomorphism $\mathbf{eH}_c\mathbf{e} \cong \mathbf{e}_-\mathbf{H}_{c+1}\mathbf{e}_-$ which respects the natural filtrations and gradings on both algebras.*

Let $W \in \mathbb{C}[\mathfrak{h}]$ denote the Vandermonde determinant. Multiplication by W transforms symmetric polynomials into anti-symmetric ones.

Proposition 2.4. ([Hec91]; [BEG03a, Prop. 4.11]) *Identify $L_{m/n}$ and $L_{(m-n)/n}$, as above, with the appropriate quotients of $\mathbb{C}[\mathfrak{h}]$. Multiplication by W gives an isomorphism*

$$m_W : \mathbf{e}L_{(m-n)/n} \xrightarrow{\sim} \mathbf{e}_-L_{m/n}.$$

This isomorphism corresponds to the “top-row / bottom row” symmetry of the HOMFLY polynomial described in [Kal08]. An analogous symmetry for the HOMFLY homology of algebraic knots was conjectured in [Gor10] and [ORS12].

2.6.3. Interchanging n and m . Write \mathfrak{h}_n for the standard $n - 1$ dimensional representation of S_n . Then we have

Proposition 2.5. *For each $1 \leq k \leq \max(m, n)$, there is a grading-preserving isomorphism*

$$\text{Hom}_{S_n}(\Lambda^k \mathfrak{h}_n, L_{m/n}) \cong \text{Hom}_{S_m}(\Lambda^k \mathfrak{h}_m, L_{n/m}).$$

In particular, the proposition implies that $\text{Hom}_{S_n}(\Lambda^k \mathfrak{h}_n, L_{m/n}) = 0$ for $m < k \leq n$.

Proof. Consider the zero-dimensional scheme $\mathcal{M}_{m,n}$ defined by the coefficients in z -expansion of the following equation²

$$(4) \quad (1 + z^2 e_2 - z^3 e_3 + \dots + (-1)^n z^n e_n)^m = (1 + z^2 \tilde{e}_2 - z^3 \tilde{e}_3 + \dots + (-1)^m z^m \tilde{e}_m)^n$$

The main result of [Gor11] is an explicit isomorphism of graded vector spaces

² This scheme appears in [FGS99] and is the moduli space of genus zero maps to a rational curve containing the singularity $x^m = y^n$. The length of this scheme is shown there to be equal to the Euler number of the compactified Jacobian of the curve. The comparison of the grading on $L_{m/n}$ and the perverse grading on the cohomology of this compactified Jacobian (see Section 9 and [MS11, MY11, OY??]) gives a one-parameter refinement of this result. The additional conjectural comparison of \mathcal{F}^{geom} and \mathcal{F}^{alg} , when restricted to $\mathbf{e}L_{m/n}$, is a bigraded refinement of this result, which in some form had been conjectured by L. Göttsche.

$$(5) \quad \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}_n, L_{m/n}) \cong \Omega^k(\mathcal{M}_{m,n}).$$

In particular, e_k and \tilde{e}_k are identified with the elementary symmetric polynomials on \mathfrak{h}_n and \mathfrak{h}_m respectively. The right hand side of (5) is symmetric in m and n , which gives the result. \square

This symmetry corresponds to the identity of torus knots $T(n, m) = T(m, n)$.

Remark. In [CEE07, Prop. 9.5] there is a (rather more sophisticated) construction of an isomorphism $eL_{m/n} = eL_{n/m}$; the techniques there may extend to give the above result.

Example. Let us prove Proposition 2.3 using (5). One can rewrite (4) to get the isomorphism

$$eL_{m/n} = \mathbb{C}[\mathcal{M}_{m,n}] \cong \mathbb{C}[e_2, \dots, e_n]/(f_1, \dots, f_{n-1}),$$

where

$$f_i = \text{Coef}_{m+i}[(1 + e_2 z^2 + \dots + (-1)^n e_n z^n)^{\frac{m}{n}}].$$

On the other hand, we have

$$e_{-}L_{m/n} = \Omega^{n-1}(\mathcal{M}_{m,n}) \cong \mathbb{C}[e_2, \dots, e_n]/\left(\frac{\partial f_i}{\partial e_j}\right).$$

The isomorphism $eL_{(m-n)/n} = e_{-}L_{m/n}$ follows from the identity

$$\frac{\partial f_i}{\partial e_j} = (-1)^j \frac{m}{n} \text{Coef}_{m+i-j}[(1 + e_2 z^2 + \dots + (-1)^n e_n z^n)^{\frac{m-n}{n}}].$$

Lemma 2.6. *Let $p_k = \sum x_i^k$ denote the power sum. The following identity holds:*

$$\bar{y}^2(p_k) = (1+c)k(k-1)p_{k-2} - kc \sum_{i=0}^{k-2} p_i p_{k-2-i}.$$

Proof. We have $y_a p_k = kx_a^{k-1}$, so

$$\begin{aligned} \bar{y}^2(p_k) &= \sum_a y_a (kx_a^{k-1}) = k(k-1)p_{k-2} - kc \sum_{a \neq b} \frac{x_a^{k-1} - x_b^{k-1}}{x_a - x_b} = \\ &= k(k-1)p_{k-2} - kc \left(\sum_{i=0}^{k-2} p_i p_{k-2-i} - (k-1)p_{k-2} \right). \quad \square \end{aligned}$$

Suppose that we have two sets of variables x_1, \dots, x_n and $\tilde{x}_1, \dots, \tilde{x}_m$ and let p_k and \tilde{p}_k denote the power sums in x_i and \tilde{x}_i respectively. Suppose furthermore that these sets of variables are related by the equation (compare with (4))

$$(6) \quad \left[\prod_{i=1}^n (1 - zx_i) \right]^m = \left[\prod_{i=1}^m (1 - z\tilde{x}_i) \right]^n$$

By taking the logarithmic derivative in z one immediately gets the identity

$$mp_k = n\tilde{p}_k$$

Definition 2.7. *Let us define a bidifferential operator on symmetric functions:*

$$\langle f, g \rangle_x = \sum_i \frac{\partial f}{\partial x_i} \cdot \frac{\partial g}{\partial x_i}.$$

Lemma 2.8. *For symmetric f and g we have*

$$\langle f, g \rangle_x = \frac{m}{n} \langle f, g \rangle_{\tilde{x}}.$$

Proof. We have

$$\langle p_k, p_l \rangle_x = \sum_i klx_i^{k+l-2} = kl p_{k+l-2},$$

so

$$\langle \tilde{p}_k, \tilde{p}_l \rangle_x = \frac{m^2}{n^2} \cdot kl p_{k+l-2} = \frac{m}{n} \cdot kl \tilde{p}_{k+l-2} = \frac{m}{n} \langle \tilde{p}_k, \tilde{p}_l \rangle_{\tilde{x}}.$$

Since $\langle \cdot, \cdot \rangle$ is a first order differential operator in both arguments, we conclude that

$$\langle f, g \rangle_x = \frac{m}{n} \langle f, g \rangle_{\tilde{x}}. \quad \square$$

Theorem 2.9. *Consider the Hamiltonians $H_2^c := \bar{\mathbf{y}}^2$ for $c = m/n$ and $c = n/m$ as operators on $\mathfrak{e}L_{m/n} = \mathfrak{e}L_{n/m}$. Then*

$$H_2^{m/n} = \frac{m}{n} \cdot \tilde{H}_2^{n/m}.$$

Proof. By Lemma 2.6 we have

$$H_2^{m/n}(p_k) = \frac{m+n}{n} k(k-1)p_{k-2} - k \frac{m}{n} \sum_{i=0}^{k-2} p_i p_{k-2-i},$$

so

$$H_2^{m/n}(\tilde{p}_k) = \frac{m}{n} H_2^{\frac{m}{n}}(p_k) = \frac{m}{n} \tilde{H}_2^{n/m}(\tilde{p}_k).$$

The following identity is true for symmetric polynomials f and g :

$$(7) \quad H_2(fg) = \sum_i D_i(D_i(f)g + fD_i(g)) = H_2(f)g + fH_2(g) + 2\langle f, g \rangle_x.$$

Now the theorem follows from (7) and Lemma 2.8, since it was already checked for the generators p_k . \square

Corollary 2.10. (cf. [CEE07], Proposition 8.7) *The actions of the spherical subalgebras $\mathfrak{e}H_{m/n}\mathfrak{e}$ and $\mathfrak{e}H_{n/m}\mathfrak{e}$ on $L_{m/n}$ and $L_{n/m}$ are proportional.*

Proof. It is well known (e.g. [BEG03a], Propositions 4.10 and 4.11) that the spherical subalgebra $\mathfrak{e}H_c\mathfrak{e}$ is generated by H_2^c and p_k . \square

3. EULER CHARACTERISTIC

Definition 3.1. *Let L be a representation of S_n . The Frobenius character of L is the following symmetric function:*

$$\text{ch}(L) = \frac{1}{n!} \sum_{\sigma \in S_n} \text{Tr}_L(\sigma) p_1^{k_1(\sigma)} \dots p_r^{k_r(\sigma)},$$

where p_i are power sums, and $k_i(\sigma)$ is the number of cycles of length i in a permutation σ .

It is well known that the Frobenius character of an irreducible representation of S_n labelled by the Young diagram λ is given by the Schur polynomial s_λ . As always we denote by \mathfrak{h} the $(n-1)$ -dimensional reflection representation of S_n . The following lemma is well known.

Lemma 3.2. For $\sigma \in S_n$ acting in the reflection representation \mathfrak{h} ,

$$(8) \quad \det(1 - q\sigma) = \frac{1}{1 - q} \prod_i (1 - q^i)^{k_i(\sigma)}$$

Proof. Indeed, one has to prove that in the defining n -dimensional representation

$$\det(1 - q\sigma) = \prod_i (1 - q^i)^{k_i(\sigma)}.$$

This is easy to check by reduction of σ to a single cycle. \square

Proposition 3.3. For any representation L of S_n ,

$$(9) \quad \sum_{k=0}^{n-1} (-u)^k \dim \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, L) = \frac{1}{1 - u} \text{ch}(L; p_i = 1 - u^i).$$

Proof. By orthogonality of characters, one has

$$\begin{aligned} \sum_{k=0}^{n-1} (-u)^k \dim \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, L) &= \sum_{k=0}^{n-1} (-u)^k \langle \Lambda^k \mathfrak{h}, L \rangle = \\ &= \frac{1}{n!} \sum_{\sigma \in S_n} \sum_{k=0}^{n-1} (-u)^k \text{Tr}_L(\sigma) \text{Tr}_{\Lambda^k \mathfrak{h}}(\sigma). \end{aligned}$$

Now we can use the identity (8):

$$\sum_{k=0}^{n-1} (-u)^k \text{Tr}_{\Lambda^k \mathfrak{h}}(\sigma) = \det_{\mathfrak{h}}(1 - u\sigma) = \frac{1}{1 - u} \prod_i (1 - u^i)^{k_i}.$$

\square

Definition 3.4. Let $F_{m/n}(q; p_i)$ denote the graded Frobenius character of $L_{m/n}$. Let $P_{m,n}(a, q)$ denote the reduced HOMFLY polynomial for the (m, n) torus knot.

Proposition 3.5. The generating function for $F_{m/n}(q; p_i)$ for given m is:

$$(10) \quad F_m(q; p_i) := \sum_{n=0}^{\infty} z^n F_{m/n}(q; p_i) = \frac{1}{[m]_q} \prod_{i=0}^{m-1} \prod_j \frac{1}{1 - q^{i+\frac{1-m}{2}} z x_j},$$

where $[m]_q = \frac{q^{m/2} - q^{-m/2}}{q^{1/2} - q^{-1/2}}$ is a normalized q -integer.

Remark. While we have been considering $L_{m/n}$ only for coprime m and n , we will see in the proof that the formula for the Frobenius character $F_{m/n}$ makes sense for any natural number n .

Proof. Let $\delta_{m,n} = \frac{(m-1)(n-1)}{2}$. The q -graded character of $L_{m/n}$ was computed in [BEG03b] (Theorem 1.6):

$$\text{Tr}_{L_{m/n}}(\sigma \cdot q^{\mathfrak{h}}) = q^{-\delta_{m,n}} \frac{\det_{\mathfrak{h}}(1 - q^m \sigma)}{\det_{\mathfrak{h}}(1 - q\sigma)} \stackrel{(8)}{=} q^{-\delta_{m,n}} \frac{1 - q}{1 - q^m} \prod_i \left(\frac{1 - q^{mi}}{1 - q^i} \right)^{k_i(\sigma)}.$$

Therefore

$$F_{m/n}(q; p_i) = \frac{1}{n!} \sum_{\sigma \in S_n} \frac{q^{\frac{n(1-m)}{2}}}{[m]_q} \prod_i \left(\frac{1 - q^{mi}}{1 - q^i} p_i \right)^{k_i(\sigma)}.$$

Now

$$F_m(q; p_i) = \frac{1}{[m]_q} \exp \left(\sum_{k=1}^{\infty} \frac{(1 - q^{mk}) p_k z^k q^{\frac{k(1-m)}{2}}}{(1 - q^k) k} \right) =$$

$$\frac{1}{[m]_q} \prod_{i=0}^{m-1} \exp \left(\sum_{k=1}^{\infty} \frac{p_k q^{ik} z^k q^{\frac{k(1-m)}{2}}}{k} \right) = \frac{1}{[m]_q} \prod_{i=0}^{m-1} \prod_j \frac{1}{1 - q^{i+\frac{1-m}{2}} z x_j}. \quad \square$$

Theorem 3.6. *The representation $L_{m/n}$ has a following decomposition into irreducible representations:*

$$(11) \quad L_{m/n} = \frac{1}{[m]_q} \bigoplus_{|\lambda|=n} s_{\lambda}(q^{\frac{1-m}{2}}, q^{\frac{3-m}{2}}, \dots, q^{\frac{m-1}{2}}) V_{\lambda}$$

where V_{λ} is an irreducible representation of S_n labelled by the Young diagram λ .

Proof. We have to prove the identity

$$F_{m/n}(q; p_i) = \frac{1}{[m]_q} \sum_{|\lambda|=n} s_{\lambda}(x_i) s_{\lambda}(q^{\frac{1-m}{2}}, q^{\frac{3-m}{2}}, \dots, q^{\frac{m-1}{2}}).$$

It follows from (10) and the Cauchy identity

$$\prod_{i,j} \frac{1}{1 - x_i y_j} = \sum_{\lambda} s_{\lambda}(x_i) s_{\lambda}(y_j)$$

with

$$y_j = \begin{cases} z q^{j+\frac{1-m}{2}} & , 0 \leq j < m \\ 0 & , j \geq m \end{cases}. \quad \square$$

Remark. The polynomial $s_{\lambda}(q^{\frac{1-m}{2}}, q^{\frac{3-m}{2}}, \dots, q^{\frac{m-1}{2}})$ is the q -character of the representation of Lie algebra $\mathfrak{sl}(m)$ labelled by the diagram λ . In particular, it vanishes if λ has more than m rows. It is useful to use the hook formula (e.g. [Ais97],[Res87])

$$(12) \quad s_{\lambda}(q^{\frac{1-m}{2}}, \dots, q^{\frac{m-1}{2}}) = \prod_{(i,j) \in \lambda} \frac{[m+i-j]_q}{[h_{ij}]_q},$$

where h_{ij} is the hook length for a box (i, j) in λ .

The identity (11) can be also deduced from the Propositions 2.5.2 and 2.5.3 of [Hai94].

Example. Let λ be a hook-shaped diagram with $k+1$ rows. The corresponding representation of S_n is $\Lambda^k \mathfrak{h}$, and the corresponding representation of $\mathfrak{sl}(m)$ by hook formula (12) has a character

$$s_{\lambda}(q^{\frac{1-m}{2}}, \dots, q^{\frac{m-1}{2}}) = \frac{[m+n-k-1]_q!}{[n]_q \cdot [k]_q! \cdot [n-k-1]_q! [m-k-1]_q!}.$$

Therefore the multiplicity of $\Lambda^k \mathfrak{h}$ in $L_{m/n}$ is

$$\frac{[m+n-k-1]_q!}{[m]_q \cdot [n]_q \cdot [k]_q! \cdot [n-k-1]_q! [m-k-1]_q!}.$$

This matches the formula for the coefficient of $(-1)^k a^{\mu+2k}$ for the HOMFLY polynomial obtained in [Gor10] (Theorem 3.1) and [BEM11] (Equation 3.46). In particular, it is symmetric in m and n .

We obtain the following result.

Theorem 3.7. *The q -graded character of $H_{m/n}$ coincides with the HOMFLY polynomial of the (m, n) -torus knot:*

$$a^{(m-1)(n-1)} \sum_{i=0}^{n-1} (-a^2)^i \dim_q \operatorname{Hom}_{S_n}(\Lambda^i \mathfrak{h}, L_{m/n}) = P_{m,n}(a, q).$$

4. FILTRATIONS ON $L_{m/n}$

In this section, we construct two filtrations on $L_{m/n}$, which we call the *algebraic* and *inductive* filtrations. We conjecture that these two filtrations are identical.

We begin with some remarks regarding filtrations. Let V, V' be vector spaces supporting increasing filtrations \mathcal{F}_i and \mathcal{F}'_i . Then $V \oplus V'$ is filtered by $(\mathcal{F} \oplus \mathcal{F}')_i(V \oplus V') = \mathcal{F}_i(V) \oplus \mathcal{F}'_i(W)$, and $V \otimes V'$ carries the tensor product filtration

$$(\mathcal{F} \otimes \mathcal{F}')_k(V \otimes V') = \sum_{i+j=k} \mathcal{F}_i V \otimes \mathcal{F}'_j V'$$

A map $f : V \rightarrow V'$ is compatible with the filtration if $f(\mathcal{F}_i V) \subset \mathcal{F}'_i V'$. If A is a filtered algebra, then a filtration on an A -module V is compatible with that on A if the multiplication map $A \otimes V \rightarrow V$ is compatible with the filtrations. If V, V' are respectively right and left filtered A -modules, then $V \otimes_A V'$ carries a tensor product filtration. If V is a graded vector space, we require each step $\mathcal{F}_i V$ of a filtration to be spanned by homogeneous elements; in this case $\operatorname{gr}^{\mathcal{F}} V$ carries a bigrading.

Specifically, for a filtration \mathcal{F} on $L_{m/n}$ to be compatible with the algebra filtration \mathcal{G} on \mathbf{H}_c , we must have $\sigma \mathcal{F}_i \subset \mathcal{F}_i$ for any $\sigma \in S_n$ and $x_\alpha \mathcal{F}_i, y_\alpha \mathcal{F}_i \subset \mathcal{F}_{i+1}$. For it to be compatible with the grading, we must have $\mathfrak{h} \mathcal{F}_i = \mathcal{F}_i$.

Since $\mathbb{C}[S_n] = \mathcal{G}_0 \mathbf{H}_c$, such a filtration will satisfy $\mathcal{F}_i = \bigoplus e_\lambda \mathcal{F}_i$. Note in particular $e_- L_{m/n}$ will be a filtered module over $e_- \mathbf{H}_c e_-$. For $c > 1$, the natural map $\mathbf{H}_c e_- \otimes_{e_- \mathbf{H}_c e_-} e_- L_{m/n} \rightarrow L_{m/n}$ is known to be [GS05, BE08] an isomorphism. The map is evidently filtered, i.e. if \mathcal{F}^- is the filtration on the left hand side then $\mathcal{F}^-, i \subset \mathcal{F}^i$. We may ask this to be an equality.³

Proposition 2.4 asserts that, for $c > 0$, multiplication by the Vandermonde determinant fixes compatible isomorphisms $e \mathbf{H}_c e = e_- \mathbf{H}_{c+1} e_-$ and $e L_{m/n} = e_- L_{(m+n)/n}$. We may ask that the filtrations on both sides agree, fixing the isomorphism defined in Proposition 2.4. In [CEE07], it is shown that there is a canonical isomorphism $e L_{m/n} \cong e L_{n/m}$; that the images of the algebras $e \mathbf{H}_{m/n}$ and $e \mathbf{H}_{n/m}$ in the endomorphisms of this space agree as filtered algebras; and that the \mathfrak{sl}_2 factors have the same image (see section 2.6.3 for more details). Thus it is natural to ask that the two filtrations on this space agree as well. From the point of view of our Conjecture 1.2 at least the dimensions of the associated bigraded spaces must be the same.

4.1. The inductive filtration. The above desiderata uniquely specify a filtration. The idea of the following construction is due to Etingof and Ginzburg. When $m \equiv 1 \pmod{n}$, this construction appears explicitly in section 7 of [BEG03b] (c.f. [GS05]).

Theorem 4.1. *Consider $L_{m/n}$ for $m > n$ and $e L_{m/n}$ for $m > 0$. There is a unique system of filtrations on these modules compatible with the algebra filtrations on $\mathbf{H}_{m/n}$ such that:*

- (1) *For the one dimensional modules $e L_{1/n}$, the filtration is $0 = \mathcal{F}_{-1} \subset \mathcal{F}_0 = e L_{1/n}$.*
- (2) *The canonical isomorphisms $e L_{m/n} = e L_{n/m}$ and $e L_{m/n} = e_- L_{(m+n)/n}$ preserve filtrations.*

³We could, but will not, ask the same to hold for the restriction to $e L_{m/n}$. Indeed, both these conditions cannot hold simultaneously.

(3) For $m > n$ the isomorphism $L_{m/n} = \mathbf{H}_{m/n} \mathbf{e}_- \otimes_{\mathbf{e}_- \mathbf{H}_{m/n} \mathbf{e}_-} \mathbf{e}_- L_{m/n}$ preserves filtrations.

We denote the resulting filtration \mathcal{F}^{ind} . It is preserved by the \mathfrak{sl}_2 action.

If \mathcal{F}' is a family of filtrations satisfying (1) and (2) and compatible with the action of \mathbf{H}_c , then $\mathcal{F}'_i^{ind} L_{m/n} \subset \mathcal{F}'_i L_{m/n}$ for all m, n, i .

Proof. Consider the partial order on non-integer positive fractions in lowest terms n/m generated by the relations $m/n \prec (m+n)/n$ and $m/n \succ n/m$ if $n < m$. The Euclidean algorithm implies this is a strict partial order with minimal elements $1/n$ to which every other pair is connected by a finite chain of the above relations. We construct \mathcal{F}^{ind} by induction. Its value on the minimal elements $1/n$ is prescribed by condition (1) above. If $m < n$ then we need only provide a filtration on $\mathbf{e}L_{m/n} = \mathbf{e}L_{n/m}$; the RHS is defined by induction. Otherwise we have by (2) and (3) the following isomorphisms of filtered modules. As the RHS is defined by induction, the LHS is determined as well.

$$L_{m/n} = \mathbf{H}_{m/n} \mathbf{e}_- \otimes_{\mathbf{e}_- \mathbf{H}_{m/n} \mathbf{e}_-} \mathbf{e}_- L_{m/n} = \mathbf{H}_{m/n} \mathbf{e}_- \otimes_{\mathbf{e}_- \mathbf{H}_{m/n} \mathbf{e}_-} \mathbf{e}L_{(m-n)/n}$$

The filtration thus constructed is preserved by the \mathfrak{sl}_2 action since the same holds for the filtrations on all objects used in its construction.

A similar induction establishes the final statement. Indeed, suppose $\mathcal{F}'_{\bullet} L_{m/n} \subset \mathcal{F}'_{\bullet} L_{m/n}$. Let $\mathcal{F}''_{\bullet} L_{(n+m)/m}$ be the filtration induced from $\mathcal{F}'_{\bullet} L_{m/n}$; evidently $\mathcal{F}^{ind}_{\bullet} L_{(n+m)/m} \subset \mathcal{F}''_{\bullet} L_{(n+m)/m}$. The second equality in the equation is still an equality of filtrations by condition (2), and from the first we see $\mathcal{F}''_{\bullet} L_{(n+m)/m} \subset \mathcal{F}'_{\bullet} L_{(n+m)/m}$ since \mathcal{F}' was assumed to be compatible with the algebra structure. \square

Definition 4.2. We define \mathcal{F}^{ind} on $H_{m/n} = \text{Hom}_{S_n}(\Lambda^* V, L_{m/n})$ in the following way:

- (1) For $m > n$ it is obtained by the restriction of \mathcal{F}^{ind} onto the corresponding isotypic components
- (2) For $m < n$ it is obtained by the isomorphism $H_{m/n} \simeq H_{n/m}$.

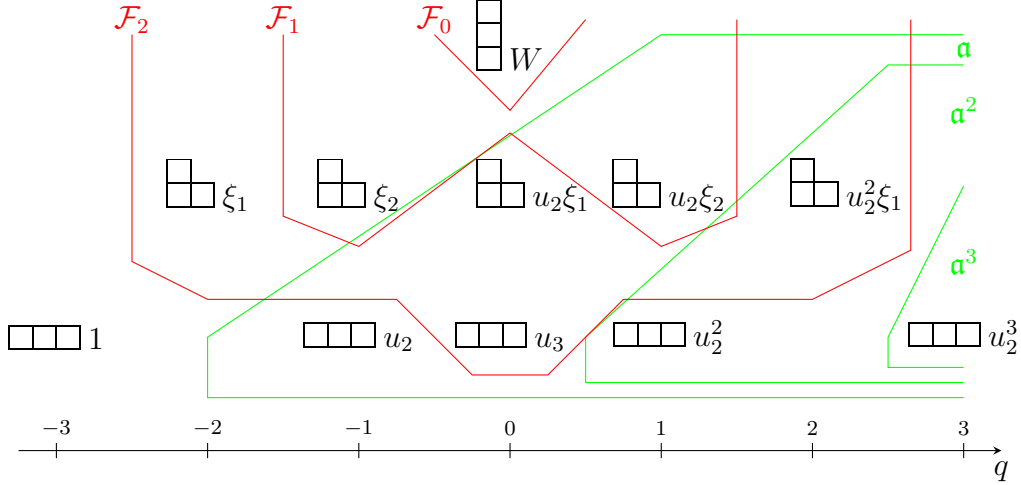
Proposition 4.3. If $f \in L_{m/n}$ is a homogeneous element of degree d , then $f \notin \mathcal{F}_{-d-1}^{ind} L_{m/n}$.

Proof. Follows from the inductive construction. \square

Proposition 4.4. Let W be the Vandermonde determinant and $m/n > 1$ so that the image of W is nonzero in $L_{m/n}$. Let h be an element of degree $-d$. Then $h \in \mathbb{C}[h].W$ if and only if $h \in \mathcal{F}_d^{ind} L_{m/n} \setminus \mathcal{F}_{d-1}^{ind} L_{m/n}$.

Proof. We first check the “only if” direction. For degree reasons the given elements cannot lie in the smaller step of the filtration. It suffices to establish the result for 1 and W , since it will follow for $\mathbb{C}[h].W$. By Proposition 2.4, W is the image of 1 under the map identifying $\mathbf{e}L_{m/n} = \mathbf{e}_- L_{\frac{n+m}{n}}$, and the identification $\mathbf{e}L_{m/n} = \mathbf{e}L_{n/m}$ takes 1 to 1. To deduce the result from the inductive construction it remains to note that for $c > 1$ there is a homogeneous polynomial p such that $p(y).W = 1$.

In the “if” direction, assume $h \in \mathcal{F}_d^{ind} L_{m/n}$. By definition we may write $h = q(y)p(x)v$ with $v \in \mathcal{F}_{d-\deg q-\deg p}^{ind} \mathbf{e}_- L_{m/n}$. We have $\deg v = \deg h + \deg q - \deg p = -d + \deg q - \deg p$. By the proposition we must have $d - \deg q - \deg p \geq d - \deg q + \deg p$, so certainly p is constant. It remains to show v is proportional to W . But we have an equality of filtered graded spaces $\mathbf{e}_- L_{m/n} = \mathbf{e}L_{(m-n)/n}$; if $m-n < n$ we pass to $\mathbf{e}L_{n/(m-n)}$. Let us write v' for the image of v in this space; and W' for the Vandermonde in this space; then by induction we have $v' = r(y).W'$ for some $r(y)$. As the only such elements are scalars, we see v is proportional to W . \square


 FIGURE 1. Algebraic filtration (red) and filtration by powers of \mathfrak{a} (green) on $L_{\frac{4}{3}}$.

4.2. The algebraic filtration. Let $\mathfrak{a} \subset \mathbb{C}[\mathfrak{h}^*] = M_c$ be the ideal generated by symmetric polynomials of positive degree; its powers give a *decreasing* filtration on M_c and hence on L_c .

The filtration \mathcal{F}^{ind} constructed above evidently differs from the filtration by powers of \mathfrak{a} : the former is increasing and preserved by the \mathfrak{sl}_2 action; the latter is decreasing and not preserved by \mathfrak{sl}_2 . Nonetheless we will conjecture that they carry the same information.

Denote by $L_{m/n}(i)$ the component of grading i . We introduce a modified decreasing filtration

$$\mathcal{F}^i L_{m/n} = \sum_j \left(\mathfrak{a}^j \cap \bigoplus_{k < 2j-i} L_{m/n}(k) \right)$$

Proposition 4.5. *The (decreasing) filtration \mathcal{F}^i is compatible with the filtration on \mathbf{H}_c in the sense that $S_n \cdot \mathcal{F}^i L_{m/n} = \mathcal{F}^i L_{m/n}$ and $(\mathfrak{h} \oplus \mathfrak{h}^*) \cdot \mathcal{F}^i \subset \mathcal{F}^{i-1}$. Moreover, $\mathcal{F}^i L_{m/n}$ is preserved by \mathfrak{sl}_2 .*

Proof. The fact that $\mathfrak{h}^* \mathcal{F}^i \subset \mathcal{F}^{i-1}$ is obvious from the definition. Recall from [Du89] that if g is a symmetric polynomial, and f is any polynomial, we have

$$(13) \quad D_i(fg) = D_i(f)g + D_i(g)f.$$

From this it follows that $D_i \mathfrak{a}^n \subset \mathfrak{a}^{n-1}$, and hence that $\mathfrak{h} \mathcal{F}^i \subset \mathcal{F}^{i-1}$.

The filtration is evidently preserved by \mathbf{x}^2 and \mathbf{h} . Let us see it is preserved by \mathbf{y}^2 . Since \mathbf{y}^2 decreases degree by 2, we must show that $(\sum D_r^2) \mathfrak{a}^k \subset \mathfrak{a}^{k-1}$. The result is vacuous for $k = 0, 1$, so we assume $k \geq 2$. Consider $f = g\sigma_1 \dots \sigma_k$, where σ_i are symmetric polynomials of positive degree. By (13) we have

$$\left(\sum_r D_r^2 \right) (g\sigma_1 \dots \sigma_k) = \sum_r D_r (D_r(g)\sigma_1 \dots \sigma_k + gD_r(\sigma_1)\sigma_2 \dots \sigma_k + \dots + g\sigma_1 \dots D_r(\sigma_k))$$

Evidently $D_r(D_r(g)\sigma_1 \dots \sigma_k) \in \mathfrak{a}^{k-1}$. The remaining terms are all of the same sort; we expand the second term to obtain

$$\sum_r D_r (gD_r(\sigma_1))\sigma_2 \dots \sigma_k + gD_r(\sigma_1)D_r(\sigma_2)\sigma_3 \dots \sigma_k + gD_r(\sigma_1)\sigma_2 D_r(\sigma_3)\sigma_4 \dots \sigma_k + \dots$$

The first term inside the sum is evidently in \mathfrak{a}^{k-1} . The remaining terms are the products of something in \mathfrak{a}^{k-2} times something which, upon summing over r , becomes symmetric. \square

To obtain the *increasing* filtration conjecturally equivalent to \mathcal{F}^{ind} , we take the orthogonal complement with respect to Dunkl's bilinear form [Du91]. Recall this is defined on the polynomial representation of \mathbf{H}_c by the formula

$$(f, g)_c = [\Phi(f) \cdot g] |_{x=0},$$

where Φ , as above, denotes the Fourier transform on \mathbf{H}_c (see section 2.2.5). When c is clear from context we omit it from the notation. One can show [DO03, DJO94] that the form is symmetric and $(yf, g) = (f, \Phi(y)g)$. Its kernel on $\mathbb{C}[\mathfrak{h}]$ coincides with the defining ideal of L_c , hence it defines a nondegenerate symmetric bilinear form on $L_{m/n}$ [DO03, BEG03b]. It is preserved up to a scalar by the isomorphism $eL_c = e_{-L_{c+1}}$ due to the equality $(Wf, Wg)_{c+1} = (W, W)_{c+1}(f, g)_c$, where f, g are symmetric and W is the Vandermonde determinant [DJO94, Cor. 4.5] (see also [Hec91]). It follows from Corollary 2.10 that the isomorphism $eL_{m/n} = eL_{n/m}$ is isometric with respect to the Dunkl forms. For any subspace $V \subset L_{m/n}$ we write V^\perp for its orthogonal complement with respect to the Dunkl form.

Definition 4.6. *The algebraic filtration on $L_{m/n}$ is $\mathcal{F}_i^{alg} L_{m/n} := (\mathcal{F}^i L_{m/n})^\perp$.*

Proposition 4.7. *The filtration \mathcal{F}^{alg} gives $L_{m/n}$ the structure of a filtered $\mathbf{H}_{m/n}$ -module. It is preserved by the \mathfrak{sl}_2 action.*

Proof. Evidently \mathcal{F}^{alg} is preserved by the action of S_n . Consider $f \in \mathcal{F}_j^{alg}$. Then for any $g \in \mathcal{F}^{j+1}$ and $x \in \mathfrak{h}^*$, we have $(xf, g) = (f, \Phi(x)g) = 0$ since $\Phi(x)g \in \mathcal{F}^j$ by Proposition 4.5. Thus $x\mathcal{F}_j^{alg} \subset \mathcal{F}_{j+1}^{alg}$. The same argument shows $\mathfrak{h}\mathcal{F}_j^{alg} \subset \mathcal{F}_{j+1}^{alg}$, and that the filtration is preserved by the \mathfrak{sl}_2 action. \square

Theorem 4.8. *We have an inclusion of filtrations $\mathcal{F}_i^{ind} L_{m/n} \subset \mathcal{F}_i^{alg} L_{m/n}$.*

Proof. Since we have shown that \mathcal{F}^{alg} is compatible with the filtration on $\mathbf{H}_{\frac{m}{n}}$, it remains to verify the first two conditions of Theorem 4.1. The first is a trivial consequence of the definition. Since the isomorphism $eL_{m/n} = eL_{\frac{m+n}{n}}$ preserves the grading and orthogonality under the Dunkl form, checking that it preserves \mathcal{F}^{alg} is equivalent to checking that it preserves the filtration by powers of \mathfrak{a} . This follows from the fact that the map is explicitly given by multiplication by the Vandermonde determinant. To check that \mathcal{F}^{alg} is preserved by $L_{m/n} = L_{n/m}$, note that the equality is according to [Gor11] an isomorphism of rings; the maximal ideal in each case is the image of \mathfrak{a} . It remains to observe that the isomorphism also preserves orthogonality under the Dunkl form. \square

Proposition 4.9. *If $f \in L_{m/n}$ is a homogeneous element of degree d , then $f \notin \mathcal{F}_{-d-1}^{alg} L_{m/n}$.*

Proof. Since the Dunkl pairing makes components of different degrees orthogonal, it is equivalent to show that $f \in \mathcal{F}^{-d-1}$. From the definition this contains the space $\mathfrak{a}^0 \cap L_{m/n}(d)$ of all elements of degree d . \square

Corollary 4.10. *Let W be the Vandermonde determinant and $m/n > 1$ so that the image of W is nonzero in $L_{m/n}$. Let $h \in \mathbb{C}[\mathfrak{h}]$. W be an element of degree $-d$. Then $h \in \mathcal{F}_d^{alg} L_{m/n} \setminus \mathcal{F}_{d-1}^{alg} L_{m/n}$.*

Proof. We have just seen the elements in question cannot lie in the smaller piece of the filtration. From Proposition 4.4 we have $h \in \mathcal{F}_d^{ind} L_{m/n} \subset \mathcal{F}_d^{alg} L_{m/n}$. \square

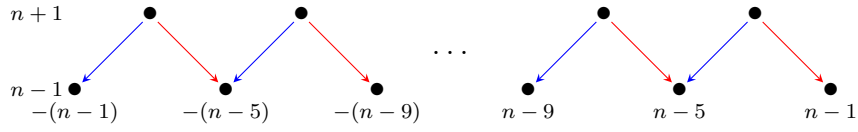


FIGURE 2. The generators of $L_{n/2}$ arranged according to a (vertical) and q (horizontal) gradings. The action of multiplication by u and the Dunkl operator on the top row are indicated by red and blue arrows, respectively.

Corollary 4.11. *Let $m > n$. Then on $\mathbb{C}[\hbar] \cdot W \subset L_{m/n}$ we have $\mathcal{F}^{alg} = \mathcal{F}^{ind}$. Equivalently $\mathbb{C}[\hbar] \cdot W = \mathfrak{a}^\perp$.*

Proof. The first statement follows by comparing Proposition 4.4 to the previous corollary. The second follows by unwinding the definitions. \square

Remark. Since both filtrations are preserved by \mathfrak{sl}_2 they must agree on $\mathbb{C}[x^2] \cdot \mathbb{C}[\hbar] \cdot W$.

Motivated by Corollary 4.11 and numerical evidence, we formulate the following

Conjecture 4.12. *For $c > 1$ we have the identity of filtrations $\mathcal{F}^{alg} = \mathcal{F}^{ind}$.*

5. EXAMPLES

In this section we calculate the groups $H_{m/n}$ in a few examples, and see that they agree either with the known behavior of the HOMFLY-PT homology, or the conjectured behavior as described in [DGR06]. The examples we consider are the $(2, n)$ and $(3, n)$ torus knots, and the (n, m) torus knot in the limit as $m \rightarrow \infty$. We also discuss a variant of conjecture 1.2 which describes the unreduced homology.

5.1. The $(2, n)$ torus knot. As described in example 2.4, we have $L_{n/2} \cong \mathbb{C}[u]/(u^n)$. Then $L_{n/2} = \mathfrak{e}L_{n/2} \oplus \mathfrak{e}_-L_{n/2}$, with $\mathfrak{e}L_{n/2}$ and $\mathfrak{e}_-L_{n/2}$ are spanned by even and odd powers of u respectively.

Proposition 5.1. $H_{n/2} \cong L_{n/2}$. *The q grading on $L_{n/2}$ is given by $q(u^k) = 2k - (n - 1)$. Elements of $\mathfrak{e}L_{n/2}$ have a -grading $n - 1$ and filtration grading $(n - 1)/2$, while elements of $\mathfrak{e}_-L_{n/2}$ have a -grading $n + 1$ and filtration grading $(n - 3)/2$.*

Proof. S_2 has only two representations: the trivial representation $\Lambda^0 \mathfrak{h}$ and the alternating representation $\Lambda^1 \mathfrak{h}$. Both are one-dimensional, which implies $\text{Hom}_{S_n}(\Lambda^* \mathfrak{h}, L_{n/2}) \cong L_{n/2}$. The action of the nontrivial element of S_2 takes $u \rightarrow -u$, so the even powers of u are in $\mathfrak{e}L_{n/2}$ and the odd powers in $\mathfrak{e}_-L_{n/2}$. By definition $\mathfrak{e}L_{n/2}$ has a -grading $0 + \mu(K) = n - 1$, and $\mathfrak{e}_-L_{n/2}$ has a -grading $2 + \mu(K) = n + 1$. The normalized q -grading is $q(u^k) = 2k - (n - 1)$. These gradings are illustrated in Figure 5.1 and give the HOMFLY polynomial of $T(2, n)$.

We compute the filtration $\mathcal{F}^{ind} L_{n/2}$, starting with the one-dimensional representation $L_{1/2}$, which has filtration 0. From the figure, it is clear that any generator in the bottom row (spherical DAHA) can be reached from an element of the top row (antispherical DAHA) either by multiplying by u or by applying the Dunkl operator. The filtration grading of elements of the top row of $L_{n/2}$ is the same as the filtration grading of elements in the bottom row of $L_{(n-2)/2}$, so by induction we conclude that the filtration grading of generators in the top row of $L_{n/2}$ is $(n - 3)/2$, and that all generators in the bottom row have filtration grading $(n - 1)/2$. This is equivalent to all generators having δ -grading $n - 1 = -\sigma(T(2, n))$. Finally, it is easy to see that $\mathcal{F}^{ind} = \mathcal{F}^{alg}$ in this case. \square

Observe that the action of u and the Dunkl operators on the top row matches the action of the differentials d_1 and d_{-1} on HOMFLY-PT homology, as described in [DGR06]. This is not a coincidence; in section 7 we use the action of the DAHA to construct differentials on $H_{m/n}$.

5.2. The $(3, n)$ torus knot. In the description of $H_{n/3}$ we will use the identification (5): $\text{Hom}_{S_n}(\Lambda^* \mathfrak{h}, L_{m/n}) \cong \Omega^\bullet(\mathcal{M}_{3,n})$, where

$$\mathcal{M}_{3,n} = \text{Spec } \mathbb{C}[u_2, u_3]/(f_{n+1}, f_{n+2}); \quad f_i = \text{Coef}_i[(1 + u_2 z^2 + u_3 z^3)^{\frac{n}{3}}].$$

The ring of functions $\mathbb{C}[\mathcal{M}_{3,n}]$ was computed in [GM12].

Lemma 5.2 ([GM12]). *The ring $\mathbb{C}[\mathcal{M}_{3,n}]$ has a monomial basis consisting of monomials*

$$(14) \quad u_2^a u_3^b, \quad a + 3b \leq n - 1.$$

Proof. Let us consider the case $n = 3k + 1$, the case $n = 3k + 2$ is analogous. The defining equations p_{3k+2} and p_{3k+3} has degrees $3k + 2$ and $3k + 3$ respectively. One can check that p_{3k+3} has non-zero coefficient at u_3^{k+1} and p_{3k+2} has non-zero coefficient at $u_3^k u_2$. The syzygy between leading monomials shows that the leading monomial in

$$p_{3k+5} := u_2 p_{3k+3} - \lambda u_3 p_{3k+2} = u_2 (c_1 u_3^{k+1} + c_2 u_3^{k-1} u_2^3 + \dots) - \frac{c_1}{d_1} u_3 (d_1 u_3^k u_2 + d_2 u_3^{k-2} u_2^4 + \dots)$$

is $u_3^{k-1} u_2^4$. The syzygy between leading monomials in p_{3k+2} and p_{3k+5} has a form

$$p_{3k+8} := u_3 p_{3k+5} - \lambda u_2^3 p_{3k+2},$$

and its leading monomial is $u_3^{k-2} u_2^7$ etc.

Using this process, we can eliminate the monomials

$$u_3^{k+1}, u_3^k u_2, u_3^{k-1} u_2^4, u_3^{k-2} u_2^7, \dots, u_2^{3k+1}.$$

In the quotient we get the monomials (14). □

Proposition 2.3 yields $\Omega^2(\mathcal{M}_{3,n}) \cong \mathbb{C}[\mathcal{M}_{3,n-3}]$. Finally, the defining equations of $\Omega^1(\mathcal{M}_{3,n})$ can be obtained by applying the de Rham differential to the equations from the proof of Lemma 5.2. We arrive at the following result.

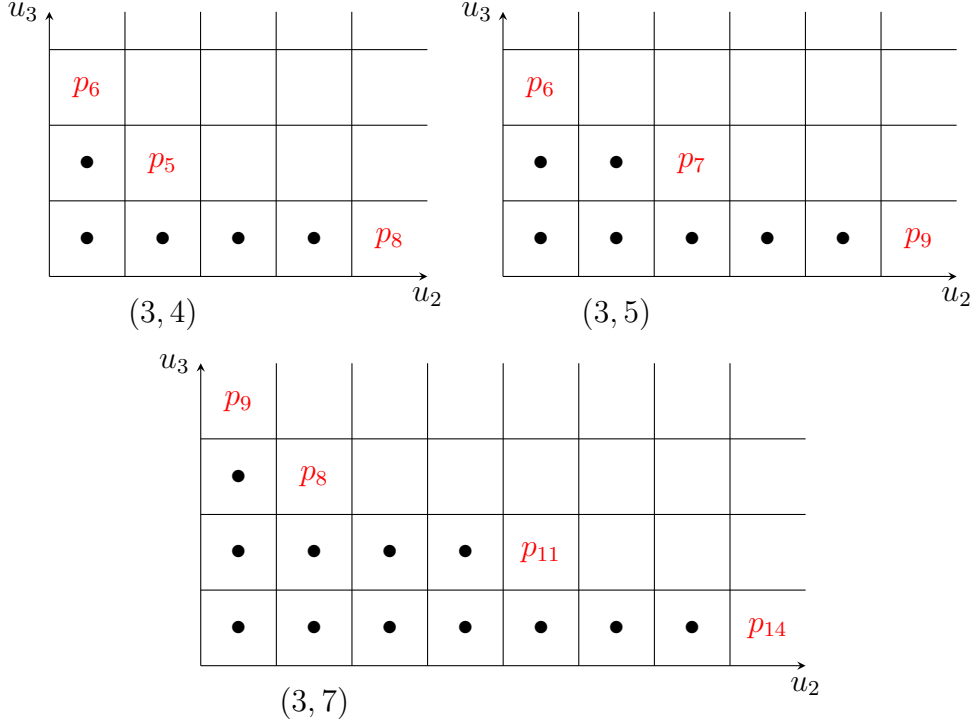
Theorem 5.3. *The space $H_{n/3}$ has the following monomial basis:*

$$\begin{aligned} a = 0: & \quad u_2^a u_3^b, \quad a + 3b \leq n - 1. \\ a = 1: & \quad u_2^a u_3^b du_2, \quad a + 3b \leq n - 1, \quad a < n - 1; \quad u_2^a u_3^b du_3, \quad a + 3b \leq n - 4. \\ a = 2: & \quad u_2^a u_3^b du_1 \wedge du_2, \quad a + 3b \leq n - 4. \end{aligned}$$

To compute the dimensions of the associated graded vector space determined by the filtration $\mathcal{F}^{alg} L_{m/n}$, we work with the dual filtration \mathcal{F}^i given by powers of \mathfrak{a} . One can check that the filtration level of any of the monomial basis elements above is given by $2 \deg_u - q/2$, and that corresponding Hilbert polynomial agrees with the Poincaré polynomial of $\mathcal{H}_{T(3,n)}$ predicted by [DGR06], [Gor10] and [ORS12].

5.3. Wedge products in $H_{m/n}$. Before going on, we recall some basic facts about the representation theory of S_n . The permutation representation $\bar{\mathfrak{h}}$ of S_n has a basis x_1, \dots, x_n . Suppose V is a representation of S_n . Given $\bar{\varphi} \in \text{Hom}_{S_n}(\bar{\mathfrak{h}}, V)$, we write $\bar{\varphi}(x_i) = \bar{\varphi}_i$. Viewing $\text{Hom}_{S_n}(\bar{\mathfrak{h}}, V)$ as $(\bar{\mathfrak{h}}^* \otimes V)^{S_n}$, we can write $\bar{\varphi} = \sum_i \bar{\varphi}_i x_i^*$. More generally, we can view

$$\text{Hom}_{S_n}(\Lambda^* \bar{\mathfrak{h}}, V) \cong (\Lambda^* \bar{\mathfrak{h}}^* \otimes V)^{S_n}.$$


 FIGURE 3. Monomial bases in $\mathbb{C}[\mathcal{M}_{3,n}]$ for $n = 4, 5$ and 7

Thus given $\bar{\varphi} \in \text{Hom}_{S_n}(\Lambda^i \bar{\mathfrak{h}}, V)$ and $\bar{\psi} \in \text{Hom}_{S_n}(\Lambda^j \bar{\mathfrak{h}}, W)$, we can form

$$\bar{\varphi} \wedge \bar{\psi} \in \text{Hom}_{S_n}(\Lambda^{i+j} \bar{\mathfrak{h}}, V \otimes W).$$

Similarly, if $\alpha \in \text{Hom}_{S_n}(\bar{\mathfrak{h}}, V)$, we can use the natural isomorphism $\bar{\mathfrak{h}} \cong \bar{\mathfrak{h}}^*$ given by the Killing form to form $\alpha \bar{\psi} \in \text{Hom}_{S_n}(\Lambda^j \bar{\mathfrak{h}}, V \otimes W)$.

Next, we consider the reflection representation $\mathfrak{h} \subset \bar{\mathfrak{h}}$ of S_n . There is a natural projection $\pi_{\mathfrak{h}} : \bar{\mathfrak{h}} \rightarrow \mathfrak{h}$. Any $\varphi \in \text{Hom}_{S_n}(\mathfrak{h}, V)$ induces $\bar{\varphi} = \varphi \circ \pi_{\mathfrak{h}} \in \text{Hom}_{S_n}(\bar{\mathfrak{h}}, V)$. Conversely, it is easy to see that $\bar{\varphi} \in \text{Hom}_{S_n}(\bar{\mathfrak{h}}, V)$ is induced by some φ if and only if $\sum_i \varphi_i = 0$. More generally, $\varphi \in \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, V)$ induces $\bar{\varphi} \in \text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, V)$ via the natural projection $\pi_k : \Lambda^k \bar{\mathfrak{h}} \rightarrow \Lambda^k \mathfrak{h}$, and $\bar{\varphi} \in \text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, V)$ is induced by some φ if and only if $\mathbf{1}_{\mathfrak{h}} \bar{\varphi} = 0$, where $\mathbf{1}_{\mathfrak{h}} \in \text{Hom}_{S_n}(\bar{\mathfrak{h}}, \mathbf{1})$ denotes the homomorphism to the trivial representation.

5.4. Stable homology of torus knots. The *stable homology* of the n -stranded torus knot is defined to be

$$\mathcal{H}_{T(n, \infty)} = \lim_{m \rightarrow \infty} (a^{-1}q)^{(n-1)(m-1)} \mathcal{H}_{T(n, m)}.$$

The multiplications by a and q denote degree shifts which act by the given multiplication when we take the Poincaré polynomial. This limit exists by a theorem of Stosic [Sto07]. In [DGR06], it was conjectured that

$$\mathcal{H}_{T(n, \infty)} \cong \Lambda^*(\xi_1, \dots, \xi_{n-1}) \otimes \mathbb{C}[u_1, \dots, u_{n-1}],$$

where ξ_i has (a, q, t) grading $(2, 2i, 2i + 1)$, and u_i has (a, q, t) grading $(0, 2i + 2, 2i)$.

Let us compute the analogous limit for $H_{m/n}$.

Lemma 5.4. $\lim_{m \rightarrow \infty} (a^{-1}q)^{(n-1)(m-1)} H_{m/n} \cong \text{Hom}_{S_n}(\Lambda^* \mathfrak{h}, \mathbb{C}[\mathfrak{h}^*]).$

Proof. $L_{m/n} \cong M_{m/n}/I$ where I is generated by polynomials of degree m . It follows that in q -degrees $< 2m$, $H_{m/n} \cong \text{Hom}_{S_n}(\Lambda^* \mathfrak{h}, M_{m/n}) = \text{Hom}_{S_n}(\Lambda^* \mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$. \square

To compute the Poincaré series of the limit, we recall some well-known facts about the action of S_n on the polynomial representation $M_{m/n} = \mathbb{C}[\mathfrak{h}^*]$. First, the ring of invariants under this action is a polynomial ring in $n - 1$ variables:

$$\mathbb{C}[\mathfrak{h}^*]^{S_n} \cong \mathbb{C}[u_1, \dots, u_{n-1}]$$

where u_i has degree $i + 1$. The ring of coinvariants $\mathbb{C}[\mathfrak{h}^*]_{S_n}$ is defined to be the quotient $\mathbb{C}[\mathfrak{h}^*]/I$ where I is the ideal generated by all symmetric polynomials of positive degree. Then

$$(15) \quad \mathbb{C}[\mathfrak{h}^*] \cong \mathbb{C}[\mathfrak{h}^*]_{S_n} \otimes \mathbb{C}[\mathfrak{h}^*]^{S_n}$$

as representations of S_n . Moreover, $\mathbb{C}[\mathfrak{h}^*]_{S_n}$ is isomorphic to the regular representation of S_n . In particular, it contains $\binom{n-1}{k}$ copies of $\Lambda^k \mathfrak{h}$.

More explicitly, if for each u_i we let $du_i \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$ be given by

$$du_i = \sum_j \frac{\partial u_i}{\partial x_j} x_j^*,$$

then $\text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*]_{S_n})$ is generated by the du_i 's. In addition, we can compose $du_{i_1} \wedge \dots \wedge du_{i_j}$, which is *a priori* an element of $\text{Hom}_{S_n}(\Lambda^j \mathfrak{h}, \mathbb{C}[\mathfrak{h}^*]^{\otimes j})$ with the multiplication map $\mathbb{C}[\mathfrak{h}^*]^{\otimes j} \rightarrow \mathbb{C}[\mathfrak{h}^*]$, to get an element of $\text{Hom}_{S_n}(\Lambda^j \mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$, which we will again denote by $du_{i_1} \wedge \dots \wedge du_{i_j}$. $\text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, \mathbb{C}[\mathfrak{h}^*]_{S_n})$ is generated by these wedge products.

The limits of the filtrations \mathcal{F}^{ind} and \mathcal{F}^{alg} will be considered more carefully in section 8. For now, we just describe the dual filtration \mathcal{F}^i on $\mathbb{C}[\mathfrak{h}^*]$ given by powers of the ideal $\mathfrak{a} = (u_1, \dots, u_{n-1})$. Let $\mathfrak{a}^{S_n} = (u_1, \dots, u_{n-1}) \subset \mathbb{C}[\mathfrak{h}^*]^{S_n}$. Then under the isomorphism of equation (15), \mathfrak{a}^k corresponds to $\mathbb{C}[\mathfrak{h}^*]_{S_n} \otimes (\mathfrak{a}^{S_n})^k$. Thus the filtration \mathcal{F}^i is induced by a multiplicative grading f^* on $\text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$, with respect to which u_i is homogenous with grading $f^*(u_i) = (1 + i) - 2$ and du_i is homogenous with $f^*(du_i) = 0$. Consulting the discussion of gradings in the introduction and recalling that $f^* = -f$ (since \mathcal{F}^i is dual to the filtration we want), we see that f^* is related to the t -grading by

$$t = f^* + (a + q)/2.$$

Putting these results together and writing $\xi_i = du_i$, we have

Proposition 5.5. $\text{Hom}_{S_n}(\Lambda^* \mathfrak{h}, \text{gr } \mathbb{C}[\mathfrak{h}^*]) \cong \Lambda^*(\xi_1, \dots, \xi_{n-1}) \otimes \mathbb{C}[u_1, \dots, u_{n-1}]$ where ξ_i has (a, q, t) bigrading $(2, 2i, 2i + 1)$ and u_i has (a, q, t) bigrading $(0, 2i + 2, 2i)$.

5.5. Unreduced Homology. There is an unreduced version $\overline{\mathcal{H}}_K$ of the HOMFLY homology, whose graded Euler characteristic is the unnormalized HOMFLY polynomial:

$$\chi(\overline{\mathcal{H}}_K) = \frac{a - a^{-1}}{q - q^{-1}} \mathbf{P}_K.$$

The relation between \mathcal{H} and $\overline{\mathcal{H}}$ which categorifies this formula is rather uninteresting:

$$\overline{\mathcal{H}}_K \cong H^*(\mathbb{C}^*) \otimes \mathbb{C}[X] \otimes \mathcal{H}_K,$$

but there are spectral sequences relating $\overline{\mathcal{H}}_K$ to the unreduced \mathfrak{sl}_N homology $\overline{\mathcal{H}}_{N,K}$ analogous to those of [Ras06] which are not easily derived from the reduced versions. With this in mind, we formulate a version of Conjecture 1.2 which describes the unreduced homology.

Recall from section 2.3 that $\overline{\mathbf{H}}_c \cong \mathcal{D} \otimes \mathbf{H}_c$, where \mathcal{D} is the algebra of differential operators in one variable. The decomposition $\overline{\mathfrak{h}} \cong \mathfrak{h} \oplus \mathbf{1}$ induces an isomorphism $\mathbb{C}[\overline{\mathfrak{h}}^*] \cong \mathbb{C}[u_1] \otimes \mathbb{C}[\mathfrak{h}^*]$,

where $u_1 = x_1 + \dots + x_n$, and the action of $\overline{\mathbf{H}}_c$ on $\mathbb{C}[\overline{\mathfrak{h}}^*]$ respects this decomposition. It follows that $\overline{\mathbf{H}}_{m/n}$ acts on $\overline{L}_{m/n} := \mathbb{C}[u_1] \otimes L_{m/n}$. We define a q -grading on $\mathbb{C}[u_1]$ by the requirement that $q(u_1^k) = -1 + 2k$, and an increasing filtration $\mathcal{F}'_i = \langle 1, u_1, \dots, u_1^i \rangle$. Taking tensor products with the grading and filtrations on $L_{m/n}$ gives a q -grading on $\overline{L}_{m/n}$, as well as filtrations $\overline{\mathcal{F}}^{ind}$ and $\overline{\mathcal{F}}^{alg}$. Finally, the decomposition $\overline{\mathfrak{h}} \cong \mathfrak{h} \oplus \mathbf{1}$ induces an isomorphism $\Lambda^* \overline{\mathfrak{h}} \cong \Lambda(\xi_0) \otimes \Lambda^* \mathfrak{h}$. Combining these observations, we see that

$$\mathrm{Hom}_{S_n}(\Lambda^* \overline{\mathfrak{h}}, \mathrm{gr}^{\overline{\mathcal{F}}} \overline{L}_{m/n}) \cong \Lambda^*(\xi_0) \otimes \mathbb{C}[u_1] \otimes H_{m/n}^{\mathcal{F}}.$$

Thus Conjecture 1.2 is equivalent to

Conjecture 5.6. $\overline{\mathcal{H}}_{T(n,m)} \cong \mathrm{Hom}_{S_n}(\Lambda^* \overline{\mathfrak{h}}, \mathrm{gr}^{\overline{\mathcal{F}}} \overline{L}_{m/n})$.

There is a natural injection

$$\iota : \mathrm{Hom}_{S_n}(\Lambda^* \mathfrak{h}, \mathrm{gr}^{\mathcal{F}} L_{m/n}) \rightarrow \mathrm{Hom}_{S_n}(\Lambda^* \overline{\mathfrak{h}}, \mathrm{gr}^{\overline{\mathcal{F}}} \overline{L}_{m/n})$$

given by

$$\iota(\varphi)(x_i) = \iota_{\mathbb{C}[\overline{\mathfrak{h}}^*]}(\varphi(\pi_{\mathfrak{h}}(x_i)))$$

where $\iota_{\mathbb{C}[\overline{\mathfrak{h}}^*]} : \mathbb{C}[\mathfrak{h}^*] \rightarrow \mathbb{C}[\overline{\mathfrak{h}}^*]$ is the inclusion, and $\pi_{\mathfrak{h}} : \overline{\mathfrak{h}} \rightarrow \mathfrak{h}$ is the projection. Similarly, there is a projection

$$\pi : \mathrm{Hom}_{S_n}(\Lambda^* \overline{\mathfrak{h}}, \mathrm{gr}^{\overline{\mathcal{F}}} \overline{L}_{m/n}) \rightarrow \mathrm{Hom}_{S_n}(\Lambda^* \mathfrak{h}, \mathrm{gr}^{\mathcal{F}} L_{m/n})$$

given by

$$\pi(\overline{\varphi})(\tilde{x}_i) = \pi_{\mathbb{C}[\mathfrak{h}^*]}(\overline{\varphi}(\iota_{\mathfrak{h}}(\tilde{x}_i))).$$

6. CHARACTER FORMULAS

In this section we use the following notations: $a(c)$, $l(c)$, $a'(c)$ and $l'(c)$ denote respectively arm, leg, co-arm and co-leg for a box c in a diagram μ , μ^t denotes the conjugate diagram and

$$n(\mu) = \sum_{c \in \mu} l(c) = \sum_{c \in \mu} l'(c) = \sum_i (i-1)\mu_i.$$

As above, s_λ denotes the Schur polynomial corresponding to a Young diagram λ .

6.1. Macdonald polynomials. We will work in the ring Λ of symmetric polynomials in the infinite number of variables. As above, let p_k denote the power sums, and it is well known that $\Lambda = \mathbb{C}[p_1, p_2, \dots]$. Let $\langle \cdot, \cdot \rangle$ denote the standard inner product on Λ such that the Schur polynomials form the orthonormal basis in Λ .

Definition 6.1. We define the following homomorphisms of $\Lambda[q, t]$:

$$\begin{aligned} \varphi_{1-q} : p_k &\rightarrow (1 - q^k)p_k, & \varphi_{\frac{1}{1-q}} : p_k &\rightarrow \frac{1}{1 - q^k}p_k; \\ \varphi_{1-t} : p_k &\rightarrow (1 - t^k)p_k, & \varphi_{\frac{1}{1-t}} : p_k &\rightarrow \frac{1}{1 - t^k}p_k. \end{aligned}$$

The following theorem is a definition of the *modified Macdonald polynomials*.

Theorem 6.2 (e.g. [Hai02b]). *There is a homogeneous $\mathbb{Q}(q, t)$ -basis $\{\tilde{H}_\mu\}$ of Λ whose elements are uniquely characterized by the conditions:*

- (1) $\varphi_{1-q}(\tilde{H}_\mu) \in \mathbb{Q}(q, t)\{s_\lambda : \lambda \geq \mu\}$,
- (2) $\varphi_{1-t}(\tilde{H}_\mu) \in \mathbb{Q}(q, t)\{s_\lambda : \lambda \geq \mu^t\}$,
- (3) $\langle \tilde{H}_\mu, s_{(n)} \rangle = 1$.

Here μ is an integer partition, and λ ranges over partitions of the same integer $\lambda = \mu = n$, with \geq denoting the dominance partial ordering on partitions.

For experts, we recall below in Proposition 6.10 the relation of \tilde{H}_μ to the standard Macdonald polynomials P_μ .

Corollary 6.3. *The polynomials for transposed partitions are related as*

$$(16) \quad \tilde{H}_{\mu^t}(q, t) = \tilde{H}_\mu(t, q).$$

Example. The polynomials \tilde{H}_μ for $|\mu| = 3$ have the form:

$$\begin{aligned} \tilde{H}_3 &= s_3 + (q + q^2)s_{1,2} + q^3s_{111}, \\ \tilde{H}_{111} &= s_3 + (t + t^2)s_{1,2} + t^3s_{111}, \\ \tilde{H}_{12} &= s_3 + (q + t)s_{1,2} + qt s_{111}, \end{aligned}$$

Proposition 6.4 ([GH96], Corollary 2.1). *The coefficients of \tilde{H}_μ at Schur polynomials corresponding to the hook-shaped partitions are given by the formula*

$$(17) \quad \sum_{k=0}^{n-1} a^k \langle \tilde{H}_\mu, s_{n-k, 1^k} \rangle = \prod_{c \neq (0,0)} (1 + aq^{a'} t^{c'}).$$

Proof. By (9) we have

$$\sum_{k=0}^{n-1} (-1)^k a^k \langle \tilde{H}_\mu, s_{k+1, 1^{n-k-1}} \rangle = \frac{1}{1-a} \tilde{H}_\mu(p_i = 1 - a^i).$$

Now (17) follows from the evaluation formula for the Macdonald polynomials ([Mac79]). \square

Corollary 6.5.

$$\langle \tilde{H}_\mu, s_{1^n} \rangle = q^{n(\mu^t)} t^{n(\mu)}.$$

We will need the following result.

Theorem 6.6 ([GH96], Theorem 2.4). *The elementary symmetric polynomial has the following expansion in the basis \tilde{H}_μ :*

$$e_n = \sum_{\mu} \frac{(1-t)(1-q)\Pi_{\mu}(q, t)B_{\mu}(q, t)}{\prod (q^a - t^{l+1})(t^l - q^{a+1})} \cdot H_{\mu},$$

where

$$\Pi_{\mu} = \prod_{c \neq (0,0)} (1 - q^{a'} t^{c'}), \quad B_{\mu} = \sum q^{a'} t^{c'},$$

Definition 6.7. *Following [GH96], let us define an operator ∇ by the formula*

$$\nabla \tilde{H}_{\mu} = q^{n(\mu^t)} t^{n(\mu)} \tilde{H}_{\mu}.$$

Lemma 6.8. *The following equation holds:*

$$\langle \nabla f, s_{1^n} \rangle = \langle f, s_n \rangle$$

Proof. Follows from the definition of ∇ and Corollary 6.5. \square

The ring Λ has a canonical involution ω defined by the equation $\omega(p_i) = (-1)^i p_i$. It is well known (e.g [Mac79]) that

$$\omega(s_\lambda) = s_{\lambda^t}.$$

Let us describe the action of ω on the polynomials \tilde{H}_μ .

Theorem 6.9 ([GH96], Theorem 2.7). *The following equation holds:*

$$\omega\left(\tilde{H}_\mu(x; q, t)\right) = q^{n(\mu^t)} t^{n(\mu)} \tilde{H}_\mu(x; 1/q, 1/t).$$

We finish this subsection by recalling the relation of \tilde{H}_μ to the standard Macdonald polynomials P_μ . The *integral form* Macdonald polynomials are defined by the formula

$$J_\mu(x; q, t) = \prod (1 - q^a t^{l+1}) P_\mu(x; q, t).$$

Proposition 6.10. ([GH96]) *Let $H_\mu(x; q, t) = \varphi_{\frac{1}{1-t}}(J_\mu(x; q, t))$, then*

$$\tilde{H}_\mu(x; q, t) = t^{n(\mu)} H_\mu(x; q; 1/t).$$

6.2. Diagonal Harmonics and Procesi Bundle. Consider the diagonal action of S_n on the ring of polynomials in two groups of variables $\mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]$. The space of its coinvariants

$$DH_n := \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n] / (\mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n])^{S_n}$$

is called the space of *diagonal harmonics*. It carries two natural gradings (x -degree and y -degree) compatible with the action of S_n . The following results were conjectured in [Hai94] and proved in [Hai02a]:

Theorem 6.11. *The dimension of the space DH_n equals $(n + 1)^{n-1}$. The dimension of its anti-invariant part is equal to the n -th Catalan number:*

$$\dim(DH_n)^\varepsilon = c_n = \frac{1}{n+1} \binom{2n}{n}.$$

The key geometric tool from [Hai02a] is the interpretation of DH_n as a space of sections of a certain sheaf over a punctual Hilbert scheme. Let $\text{Hilb}^n(\mathbb{C}^2)$ denote the Hilbert scheme of n points of \mathbb{C}^2 , and let $\pi : \text{Hilb}^n(\mathbb{C}^2) \rightarrow S^n \mathbb{C}^2$ denote the Hilbert-Chow morphism. The *isospectral Hilbert scheme* X_n is the *reduced* fibre product appearing in the following commutative diagram.

$$\begin{array}{ccc} X_n & \xrightarrow{\rho_n} & \text{Hilb}^n(\mathbb{C}^2) \\ \downarrow & & \downarrow \\ (\mathbb{C}^2)^n & \longrightarrow & S^n \mathbb{C}^2 \end{array}$$

The central fact in the theory is that X_n is normal, Cohen-Macaulay, and Gorenstein [Hai01].

Definition 6.12. *Following [Hai02a], we define the following bundles on $\text{Hilb}^n(\mathbb{C}^2)$:*

- (1) T is the tautological rank n bundle: $T_I = \mathcal{O}_{\mathbb{C}^2}/I$;
- (2) $T_0 = T/(1)$ is a quotient of T by the trivial line subbundle;
- (3) $\mathcal{O}(1) = \Lambda^n T$ is the tautological line bundle;
- (4) $P = \rho_* \mathcal{O}_{X_n}$ is the Procesi bundle. Its rank is $n!$, and its fibres carry the S_n action.

Let $Z_n \subset \text{Hilb}^n(\mathbb{C}^2)$ denote the punctual Hilbert scheme. The following theorem is a main result of [Hai02a]:

Theorem 6.13 ([Hai02a]). *The following isomorphisms hold:*

- (1) $H^i(Z_n, P \otimes T^k) = 0$ for all k, n and $i > 0$.
- (2) $DH_n = H^0(Z_n, P \otimes T)$.
- (3) $(DH_n)^\varepsilon = H^0(Z_n, \Lambda^n T)$.

Theorem 6.14 ([Hai01]). *Let I_μ denote the monomial ideal in $\mathbb{C}[x, y]$ corresponding to a Young diagram μ . Let \mathcal{J}_μ denote the defining ideal of the scheme-theoretic fibre $\rho_n^{-1}(I_\mu)$ inside $(\mathbb{C}^2)^n$. Then $R_\mu = \mathbb{C}[x_1, \dots, x_n, y_1, \dots, y_n]/\mathcal{J}_\mu$ is the fibre of the Procesi bundle at a point $I_\mu \in \text{Hilb}^n(\mathbb{C}^2)$, and the following properties hold:*

- (1) $\dim R_\mu = n!$
- (2) The $(\mathbb{C}^*)^2$ -equivariant Frobenius character of R_μ is $\tilde{H}_\mu(q, t)$.

Lemma 6.15. *Let V_n denote, as above, the reflection representation of S_n . Then we have the following identity in the equivariant K -theory $K_0^{(\mathbb{C}^*)^2}(\text{Hilb}^n)$:*

$$\text{Hom}_{S_n}(\Lambda^k V, P) = \Lambda^k T_0.$$

Proof. Let us first remark that all bundles in question are $(\mathbb{C}^*)^2$ -equivariant, and thus all computations can be localized to the fixed points I_μ . The generating function for the graded characters of the left hand side equals

$$\begin{aligned} \sum_k a^k \dim_{q,t} \text{Hom}_{S_n}(\Lambda^k V, P|_{I_\mu}) &= \sum_k a^k \langle s_{n-k, 1^k}, \text{ch } R_\mu \rangle = \\ &= \sum_k a^k \langle s_{n-k, 1^k}, \tilde{H}_\mu \rangle = \prod_{c \neq (0,0)} (1 + aq^a t^t). \end{aligned}$$

The last equation follows from (17).

On the other hand, $\dim_{q,t} T_0|_{I_\mu} = \sum_{c \neq (0,0)} q^a t^t$, so

$$\sum_k a^k \dim_{q,t} \Lambda^k T_0|_{I_\mu} = \prod_{c \neq (0,0)} (1 + aq^a t^t). \quad \square$$

6.3. From Cherednik algebras to Hilbert schemes.

Proposition 6.16. *The bigraded Frobenius characters of the finite dimensional DAHA representations satisfy the following properties:*

- (1) $\text{ch}_{q,t} L_{c+1} = \nabla \text{ch}_{q,t} L_c$,
- (2) $\text{ch}_{q,t} L_{-c} = \omega \text{ch}_{q,t} L_c$.

Proof. The first statement is [GS05, Lem. 4.4]. The second follows from the identity

$$L_{-c} = L_c \otimes \varepsilon$$

proved in [BEG03b]. □

Proposition 6.17. *The following bigraded Frobenius characters can be computed explicitly:*

$$\begin{aligned} \text{ch}_{q,t} L_{(kn+1)/n} &= \nabla^k h_n = \sum_\mu \frac{q^{kn(\mu^t)} t^{kn(\mu)} (1-t)(1-q) \Pi_\mu(q, t) B_\mu(q, t)}{\prod (q^a - t^{l+1})(t^l - q^{a+1})} \cdot H_\mu, \\ \text{ch}_{q,t} L_{(kn-1)/n} &= \nabla^k e_n = \sum_\mu \frac{q^{(k+1)n(\mu^t)} t^{(k+1)n(\mu)} (1-t)(1-q) \Pi_\mu(q, t) B_\mu(q^{-1}, t^{-1})}{\prod (q^a - t^{l+1})(t^l - q^{a+1})} \cdot H_\mu. \end{aligned}$$

The functions B_μ and Π_μ are defined in Theorem 6.6.

Proof. By Conjecture 6.16 we have

$$\text{ch}_{q,t} L_{(kn+1)/n} = \nabla^k \text{ch}_{q,t} L_{1/n}, \quad \text{ch}_{q,t} L_{(kn-1)/n} = \nabla^k \omega(L_{1/n}).$$

The representation L_{\perp} is a trivial 1-dimensional representation of S_n , and its character is h_n .

Now the statements follow from Theorems 6.6 and 6.9. \square

We finish this section by mentioning the relation to the recent work on “extended superpolynomials” introduced by S. Shakirov, A. Morozov et al. In the series of papers ([MMS12], [MMSS12], [DMMSS11]) they assigned to a (m, n) torus knot a polynomial in variables q, t, p_i specializing to HOMFLY-PT polynomial for $q = 1$ and $p_i = \frac{1-a^i}{1-t^i}$. For general t , the polynomials are supposed to compute the Poincaré polynomials of the HOMFLY homology of the corresponding knot.

Let $P_{m,n}(a, q, t)$ denote the Poincaré polynomial for the *reduced* HOMFLY homology of the (m, n) torus knot (“superpolynomial”) and let $H_{m,n}(q, t; p_i)$ denote the “extended superpolynomial” from [DMMSS11] (unfortunately, the authors of [DMMSS11] do not give any definition of this polynomial).

The following formula is proposed in [DMMSS11]:

$$(18) \quad \frac{1-a}{1-t} P_{m,n}(a, q, t) = H_{m,n} \left(q, t; p_i = \frac{1-a^i}{1-t^i} \right).$$

It follows from (9) that

$$P_{m,n}(a, q, t) = \frac{1}{1-a} \text{ch} L_{m/n}(p_i = 1-a^i).$$

Therefore it is natural to define the “extended superpolynomial” by the formula:

$$H_{m,n}(x; q, t) = \frac{1}{1-t} \varphi_{1-t} \text{ch} L_{m/n}, \quad \text{ch} L_{m/n} = \frac{1}{1-t} \varphi_{\frac{1}{1-t}} H_{m,n}(x; q, t).$$

This equation can be compared with (6.10), what allows one to recast the formulas for $H_{m,n}$ in terms of the standard Macdonald polynomials. Such formulas were previously conjectured in [DMMSS11], where ∇ was denoted by $e^{\hat{W}}$.

7. DIFFERENTIALS

In this section, we construct a family of differentials on the groups $H_{m/n}$. The definition of these differentials was motivated by the main conjecture of [DGR06], which states that the homology $\mathcal{H}(K)$ should admit a family of differentials d_N , such that for $N > 0$, the homology of $\mathcal{H}(K)$ with respect to d_N is the $\mathfrak{sl}(N)$ homology of K . More precisely, we have

Conjecture 7.1. [DGR06] *For each $N \in \mathbb{Z}$, there are maps $d_N : \mathcal{H}(K) \rightarrow \mathcal{H}(K)$ with the following properties:*

- (1) (*Grading*) d_N lowers a -grading by 2 and q -grading by $2N$. For $N \neq 0$, d_N lowers δ grading by $|N| - 1$, while d_0 lowers δ -grading by 2.
- (2) (*Symmetry*) $\mathcal{H}(K)$ admits an involution ι with the property that $\iota d_N = d_{-N} \iota$.
- (3) (*Anticommutativity*) $d_N d_M = -d_M d_N$ for $k, l \in \mathbb{Z}$. In particular, $d_N^2 = 0$.
- (4) (*Homology*) For $N > 0$, $H(\mathcal{H}(K), d_N) \cong \mathcal{H}_N(K)$, where the latter group denotes the reduced $\mathfrak{sl}(N)$ homology of K defined by Khovanov and Rozansky in [KR08a]. Moreover, $H(\mathcal{H}(K), d_0)$ is isomorphic to the knot Floer homology $\widehat{HF\overline{K}}(K)$.

In [Ras06], it is proved that for $N > 0$, there are spectral sequences with E_1 term $\mathcal{H}(K)$ which converge to $H_N(K)$. For $N > 0$, the conjecture is more or less equivalent to the statement that these spectral sequences converge at the E_2 term.

For all N in \mathbb{Z} , we will construct maps $d_N : H_{m/n}^{\mathcal{F}} \rightarrow H_{m/n}^{\mathcal{F}}$ satisfying the analogues of properties (1)-(3) in the conjecture. We are unable to prove the analogue of property (4), but we will show that $H(H_{m/n}^{\mathcal{F}}, d_1) \cong \mathbb{Z} \cong \mathcal{H}_{1,T(n,m)}$. In the next section, we give an explicit description of the limit $\lim_{m \rightarrow \infty} H(H_{m/n}^{\mathcal{F}}, d_N)$. When $k = 2$, it is possible to compare this description with with previously known calculations of the Khovanov homology and see that they agree [GOR12].

7.1. Definitions. Recall that $H_{m/n}^{\mathcal{F}} = \text{Hom}_{S_n}(\Lambda^* \mathfrak{h}, \text{gr}^{\mathcal{F}} L_{m/n})$ where \mathcal{F} is a decreasing filtration on $L_{m/n}$ with the property that $\mathcal{G}_i \cdot \mathcal{F}_j \subset \mathcal{F}_{i+j}$. Given $\varphi \in \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, \text{gr}^{\mathcal{F}} L_{m/n})$, we want to define $d_N(\varphi) \in \text{Hom}_{S_n}(\Lambda^{k-1} \mathfrak{h}, \text{gr}^{\mathcal{F}} L_{m/n})$. To do so, we first define maps

$$\partial_N : \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, L_{m/n}) \rightarrow \text{Hom}_{S_n}(\Lambda^{k-1} \mathfrak{h}, L_{m/n})$$

with the property that for $N \neq 0$, $d_N(\mathcal{F}_i) \subset \mathcal{F}_{i+N}$. We will then take d_N to be the induced map on associated graded groups.

Observe that $\text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, \mathfrak{h} \otimes \Lambda^{k+1} \mathfrak{h})$ is one-dimensional, since $\mathfrak{h} \otimes \Lambda^{k+1} \mathfrak{h}$ has a unique summand isomorphic to \mathfrak{h} . Consider the map $\mathfrak{h} \otimes \Lambda^{k+1} \mathfrak{h} \rightarrow \Lambda^k \mathfrak{h}$ given by contraction. Dualizing and using the fact that $\mathfrak{h}^* \cong \mathfrak{h}$ gives an explicit generator f_k of $\text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, \mathfrak{h} \otimes \Lambda^{k+1} \mathfrak{h})$.

Definition 7.2. Let S_n act on $\mathbf{H}_{m/n}$ by conjugation. Given $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{m/n})$ and $\phi \in \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, L_{m/n})$, we define $\partial_\alpha \phi \in \text{Hom}_{S_n}(\Lambda^{k-1} \mathfrak{h}, L_{m/n})$ to be the following composition:

$$\Lambda^{k-1} \mathfrak{h} \xrightarrow{f_{k-1}} \mathfrak{h} \otimes \Lambda^k \mathfrak{h} \xrightarrow{\alpha \otimes \phi} \mathbf{H}_{m/n} \otimes L_{m/n} \xrightarrow{\quad} L_{m/n}$$

where the final map is given by the action of $\mathbf{H}_{m/n}$ on $L_{m/n}$.

Equivalently, if we let $\mu : \mathbf{H}_{m/n} \otimes L_{m/n} \rightarrow L_{m/n}$ be the multiplication map, then $\partial_\alpha(\varphi) = \mu(\alpha \lrcorner \varphi)$. From now on, we will abuse notation and just write $\alpha \lrcorner \varphi$ for the right-hand side, leaving the μ understood.

If the image of α is contained in \mathcal{G}_i , and the image of φ is contained in \mathcal{F}_j , then the image of $\partial_\alpha(\varphi)$ will be contained in \mathcal{F}_{i+j} . This leads to the following

Definition 7.3. Suppose the image of $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{m/n})$ is contained in \mathcal{G}_i but not in \mathcal{G}_{i+1} . Then we define

$$d_\alpha : \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, \text{gr}_*^{\mathcal{F}} L_{m/n}) \rightarrow \text{Hom}_{S_n}(\Lambda^{k-1} \mathfrak{h}, \text{gr}_{*+i}^{\mathcal{F}} L_{m/n})$$

to be the map on associated graded groups induced by ∂_α .

Observe that d_α depends only on the image of α in the associated graded group $\text{Hom}_{S_n}(\mathfrak{h}, \mathcal{G}_i/\mathcal{G}_{i-1})$. The following result is immediate from the definition:

Proposition 7.4. (Grading) Suppose $\alpha \in \text{Hom}_{S_n}(V, \mathcal{G}_i)$ is homogeneous of grading k . Then $d_\alpha : H_{m/n}^{\mathcal{F}} \rightarrow H_{m/n}^{\mathcal{F}}$ raises filtration grading by i , shifts the polynomial grading by k , and lowers the exterior grading by 1.

The symmetry $\Phi : \mathbf{H}_{m/n} \rightarrow \mathbf{H}_{m/n}$ commutes with the action of S_n , so given $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{m/n})$, we can define $\Phi(\alpha) = \Phi \circ \alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{m/n})$. We have

Proposition 7.5. (Symmetry) $\Phi \circ d_{\Phi(\alpha)} = d_\alpha \circ \Phi$.

Proof. $\partial_\alpha(\Phi(\varphi))$ is given by the following composition:

$$\Lambda^{k-1}\mathfrak{h} \xrightarrow{f_{k-1}} \mathfrak{h} \otimes \Lambda^k \mathfrak{h} \xrightarrow{\alpha \otimes \Phi(\varphi)} \mathbf{H}_{m/n} \otimes L_{m/n} \xrightarrow{\cdot} L_{m/n}.$$

Since $\alpha\Phi(\varphi) = \Phi(\Phi(\alpha)\varphi)$, this is the same as

$$\Lambda^{k-1}\mathfrak{h} \xrightarrow{f_{k-1}} \mathfrak{h} \otimes \Lambda^k \mathfrak{h} \xrightarrow{\Phi(\alpha) \otimes \varphi} \mathbf{H}_{m/n} \otimes L_{m/n} \xrightarrow{\cdot} L_{m/n} \xrightarrow{\Phi} L_{m/n}.$$

Thus $\partial_\alpha \circ \Phi = \Phi \circ \partial_{\Phi(\alpha)}$. \square

Now suppose β is another element of $\text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{m/n})$. We consider conditions under which d_α and d_β anticommute. We say that an element of $\mathbf{H}_{m/n}$ is *pure monomial* of filtration i if it can be expressed as a product of at most i elements of $\mathfrak{h} \cup \mathfrak{h}^*$. (In particular, no elements of S_n are used in the product.) An element of $\mathbf{H}_{m/n}$ is *pure polynomial* of filtration i if it is a sum of pure monomials of filtration i .

Lemma 7.6. *If α and β are pure polynomial of filtration i and j , then the commutator $[\alpha, \beta]$ is contained in \mathcal{G}_{i+j-2} .*

Proof. Without loss of generality, we may assume α and β are pure monomials. By repeatedly applying the relation

$$[x, yz] = [x, y]z + y[x, z],$$

we see that it is enough to prove the statement in the case where α and β are pure monomial of degree 1. In this case, the claim follows immediately from the defining relations for $\mathbf{H}_{m/n}$. \square

More generally, we say that $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{m/n})$ is pure polynomial of filtration i if every element in the image of α is pure polynomial of filtration i .

Proposition 7.7. *(Anticommutativity) Suppose $\alpha, \beta \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{m/n})$ are pure polynomial. Then $d_\alpha d_\beta = -d_\beta d_\alpha$.*

Proof. If $\alpha = \sum \alpha_i \bar{e}_i^*$, and $\beta = \sum \beta_j \bar{e}_j^*$, we define a two-form

$$[\alpha, \beta] = \frac{1}{2} \sum_{i,j} [\alpha_i, \beta_j] \bar{e}_i^* \wedge \bar{e}_j^*$$

Then we have

$$\begin{aligned} \partial_\alpha \partial_\beta(\varphi) + \partial_\beta \partial_\alpha(\varphi) &= \alpha \lrcorner (\beta \lrcorner \varphi) + \beta \lrcorner (\alpha \lrcorner \varphi) \\ &= [\alpha, \beta] \lrcorner \varphi. \end{aligned}$$

Now α and β are pure polynomial, of filtration (let us say) i and j . Then by the lemma, $[\alpha_i, \beta_j] \in \mathcal{G}_{i+j-2}$. But ∂_α and ∂_β raise filtration grading by i and j respectively, so passing to associated gradeds, we see that $d_\alpha d_\beta + d_\beta d_\alpha = 0$. \square

Remark. The construction of [Ras06] can be adapted to yield spectral sequences starting at $\overline{\mathcal{H}}(K)$ and converging to the unreduced $\mathfrak{sl}(N)$ homology. In the latter case, the image of the reduced $\mathcal{H}(K)$ in $\overline{\mathcal{H}}(K)$ will usually not be a subcomplex with respect to the differentials in the spectral sequence. However it is true that the kernel of the natural projection $\overline{\mathcal{H}}(K) \rightarrow \mathcal{H}(K)$ is preserved, and the resulting spectral sequence on the quotient agrees with the spectral sequence on reduced homology constructed in [Ras06].

The construction above can also be adapted to the unreduced case. If we choose $\bar{\alpha} \in \text{Hom}_{S_n}(\bar{\mathfrak{h}}, \overline{\mathbf{H}}_{m/n})$, we get a differential $\partial_{\bar{\alpha}} : \overline{H}_{m/n}^{\mathcal{F}} \rightarrow \overline{H}_{m/n}^{\mathcal{F}}$, where as in section 5.5 $\overline{H}_{m/n}^{\mathcal{F}} =$

$\text{Hom}_{S_n}(\Lambda^* \bar{\mathfrak{h}}, \text{gr}^{\mathcal{F}} \bar{L}_m)$. It is easy to see that if $\alpha \in \text{Hom}_{S_n}(\bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*])$, the kernel of the projection $\bar{H}_{m/n}^{\mathcal{F}} \rightarrow H_{m/n}^{\mathcal{F}}$ is preserved by $d_{\bar{\alpha}}$, and that the induced differential on $H_{m/n}^{\mathcal{F}}$ is $d_{\pi(\bar{\alpha})}$, where $\pi_{\alpha} \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$ is obtained by composing α with the inclusion $\mathfrak{h} \rightarrow \bar{\mathfrak{h}}$ and the projection $\mathbb{C}[\bar{\mathfrak{h}}^*] \rightarrow \mathbb{C}[\mathfrak{h}^*]$.

We can now establish the proposition about differentials stated in the introduction.

Proof. (of Proposition 1.5) In order to construct the differentials d_N , we see that it is enough to choose homomorphisms $\tilde{\alpha}_N : \mathfrak{h} \rightarrow \mathbf{H}_{m/n}$ with the following properties:

- (1) $\tilde{\alpha}_N$ is homogenous of degree N .
- (2) $\tilde{\alpha}_{-N} = \Phi(\alpha_N)$.
- (3) $\tilde{\alpha}_N$ is pure polynomial of filtration $|N|$ (for $N \neq 0$) or 2 (for $N = 0$).

For $N > 0$, we see that the image of $\tilde{\alpha}_N$ must be contained in the homogenous polynomials of degree N in $\mathbb{C}[\mathfrak{h}^*]$. We choose $\tilde{\alpha}_N = \pi(\alpha_N)$, where $\alpha_N = \sum_i x_i^N x_i^*$.

To define d_0 , we must choose $\beta_0 : \mathfrak{h} \rightarrow \mathbf{H}_{m/n}$ which is of degree 1 in the x_i and the y_i separately. Up to lower order terms in the filtration, there is essentially a unique choice: it is given by

$$(\beta_0)_i = \tilde{x}_i \tilde{y}_i - \frac{1}{n} \sum_j \tilde{x}_j \tilde{y}_j,$$

where

$$\tilde{x}_i = x_i - \frac{1}{n} \sum_j x_j \quad \text{and} \quad \tilde{y}_i = y_i - \frac{1}{n} \sum_j y_j.$$

Finally, to see that $d_0 = -\Phi \circ d_0$ observe that $\Phi(\beta_0)$ is given by the a similar formula, but with x 's and y 's reversed and an additional factor of -1 . Using the commutation relation, we see that $-\Phi(\beta_0)$ and β_0 agree modulo elements of \mathcal{G}_0 , so they induce the same map on associated graded. \square

Remark. It is clear from the above construction that we have considerable latitude in our choice of $\tilde{\alpha}_N$. In section 8.4, we will show that in the limit as $m \rightarrow \infty$, any choice of $\tilde{\alpha}_N$ gives rise to the same differential up to multiplication by scalars. It is unclear to us whether this property continues to hold for finite m .

In contrast, different choices of homogenous α of degree N on the unreduced homology can give genuinely different differentials. As $m \rightarrow \infty$, there is some evidence (discussed in the next section) that $\alpha = \alpha_N$ is the correct choice. Consequently, we have chosen to take $\tilde{\alpha}_N = \pi(\alpha_N)$ in the reduced case.

7.2. The exterior derivative. The differentials of the previous section were constructed by contraction with $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{m/n})$. We now consider the dual construction, in which we take wedge product with α . Let $g_k : \Lambda^{k+1} \bar{\mathfrak{h}} \rightarrow \bar{\mathfrak{h}} \otimes \Lambda^k \bar{\mathfrak{h}}$ be dual to the map given by wedge product.

Definition 7.8. For $\varphi \in \text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*])$, we define $\nabla_c \varphi$ to be the following composition:

$$\Lambda^{k+1} \bar{\mathfrak{h}} \rightarrow \bar{\mathfrak{h}} \otimes \Lambda^k \bar{\mathfrak{h}} \xrightarrow{\alpha_1 \otimes \varphi} \bar{\mathbf{H}}_c \otimes \mathbb{C}[\bar{\mathfrak{h}}^*] \rightarrow \mathbb{C}[\bar{\mathfrak{h}}^*]$$

where $\alpha_1 : \bar{\mathfrak{h}} \rightarrow \bar{\mathbf{H}}_c$ is given by $\alpha_1(e_i) = D_i$.

Under the identification $\text{Hom}_{S_n}(\Lambda^* \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*]) \cong (\Lambda^* \bar{\mathfrak{h}}^* \otimes \mathbb{C}[\bar{\mathfrak{h}}^*])^{S_n}$, $\nabla_c(\phi) = \mu(\alpha_1 \wedge \phi)$ where μ denotes the multiplication map. As before, we will omit μ from the notation. Suprisingly, the value of $\nabla_c(\varphi)$ does not depend on c .

Lemma 7.9. $\nabla_c(\varphi) = \nabla_0(\varphi)$.

Proof. Let $p_r \in \mathbb{C}[\bar{\mathfrak{h}}^*]$ denote the symmetric r -th power function. Then it is easy to see that the statement holds for p_r and $\nabla_c p_r = r\alpha_{r-1}$. Since these elements generate $\text{Hom}_{S_n}(\Lambda^* \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*])$, the claim follows from Lemma 5.5 of [Gor11], where it is shown that if $\varphi_1, \dots, \varphi_k$ are in $\text{Hom}_{S_n}(\bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*])$, then

$$\nabla_c(\varphi_1 \wedge \dots \wedge \varphi_k) = \sum_{i=1}^k (-1)^{i-1} \varphi_1 \wedge \dots \wedge \nabla_c \varphi_i \wedge \dots \wedge \varphi_k.$$

□

From now on we will omit the c from our notation, and simply write $\nabla(\varphi)$. If we view $\text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*])$ as the space of S_n invariant polynomial k -forms on $\bar{\mathfrak{h}}$, the lemma says that $\nabla(\varphi)$ is the exterior derivative of φ .

From now on we will omit the c from our notation and simply write $\nabla(\varphi)$. If we let $c = m/n$, we see that ∇ descends to a well-defined map $\nabla : \text{Hom}_{S_n}(\Lambda^* \bar{\mathfrak{h}}, \bar{L}_{\frac{m}{n}}) \rightarrow \text{Hom}_{S_n}(\Lambda^* \bar{\mathfrak{h}}, \bar{L}_{\frac{m}{n}})$. Moreover, it is easy to see that ∇ preserves $\text{Hom}_{S_n}(\Lambda^* \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*]) \subset \text{Hom}_{S_n}(\Lambda^* \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*])$, and thus descends to a well-defined map $\nabla : H_{m/n} \rightarrow H_{m/n}$.

Next, we consider how ∇ affects the filtration. We start with a preparatory result.

Lemma 7.10. *Suppose $\varphi \in \text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, L_{m/n})$. Then $\varphi \in (\mathfrak{a}^i)^\perp$ if and only if $\partial_{-j_1} \circ \dots \circ \partial_{-j_k}(\varphi) \in (\mathfrak{a}^i)^\perp$ for all sequences j_1, \dots, j_k .*

Proof. If $\varphi \in (\mathfrak{a}^i)^\perp$, Proposition 7.4 implies that $\partial_{-j_1} \circ \dots \circ \partial_{-j_k}(\varphi) \in (\mathfrak{a}^i)^\perp$. For the converse, let $W = \text{im } \varphi \subset L_{m/n}$. The Dunkl pairing defines an S_n equivariant homomorphism $W \otimes L_{m/n} \rightarrow \mathbb{C}$. The space of possible homomorphisms is parametrized by $\text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, L_{m/n})$, which we can view as a quotient of $\text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}])$. The latter space is spanned by elements of the form $u \tilde{\alpha}_{-j_1} \wedge \dots \wedge \tilde{\alpha}_{-j_k}$, where $u \in \mathbb{C}[\bar{\mathfrak{h}}]^{S_n}$. The corresponding homomorphism is given by $u \partial_{-j_1} \circ \dots \circ \partial_{-j_k}$. Thus if $\partial_{-j_1} \circ \dots \circ \partial_{-j_k}(\varphi) \in (\mathfrak{a}^i)^\perp$ for all sequences j_1, \dots, j_k , $\varphi \in (\mathfrak{a}^i)^\perp$ as well. □

Lemma 7.11. $\nabla(\mathcal{F}_i^{\text{alg}}) \subset \mathcal{F}_{i-1}^{\text{alg}}$.

Proof. We need to show that if $\varphi \in \text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, L_{m/n})$ is in $(\mathfrak{a}^i)^\perp$, then $\nabla \varphi \in (\mathfrak{a}^{i-1})^\perp$. By the previous lemma, it suffices to check that $\partial_{-j_1} \circ \dots \circ \partial_{-j_{k+1}}(\nabla \varphi) \in (\mathfrak{a}^{i-1})^\perp$ for all sequences j_1, \dots, j_{k+1} . We compute

$$\partial_{-j_1} \circ \dots \circ \partial_{-j_{k+1}}(\nabla \varphi) = \sum_{i=1}^{k+1} (-1)^{i-1} (\alpha_{j_i} \lrcorner \alpha_1) \cdot \partial_{-j_1} \circ \dots \circ \partial_{-j_{i-1}} \circ \partial_{-j_{i+1}} \circ \dots \circ \partial_{-j_k}(\varphi).$$

Now $\alpha_{j_i} \lrcorner \alpha_1 \in \mathbb{C}[\bar{\mathfrak{h}}]^{S_n}$, so if $x \in \mathfrak{a}^{i-1}$, we have

$$(\partial_{-j_1} \circ \dots \circ \partial_{-j_{k+1}}(\nabla \varphi), x) = \sum_{i=1}^{k+1} (-1)^{i-1} (\partial_{-j_1} \circ \dots \circ \partial_{-j_{i-1}} \circ \partial_{-j_{i+1}} \circ \dots \circ \partial_{-j_k}(\varphi), \Phi(\alpha_{j_i} \lrcorner \alpha_1)x).$$

Since $\Phi(\alpha_{j_i} \lrcorner \alpha_1)x \in \mathfrak{a}^i$, all the terms on the right-hand side vanish. □

Lemma 7.12. *Suppose $\varphi \in \text{Hom}_{S_n}(\Lambda^k \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*])$ is homogenous of degree r . Then*

$$(\nabla \circ \partial_1 + \partial_1 \circ \nabla)\varphi = (r + k)\varphi.$$

Proof. Viewing ∇ as exterior differentiation, and ∂_1 as contraction with α_1 , we see that $\nabla \circ \partial_1 + \partial_1 \circ \nabla = \mathcal{L}_{\alpha_1}$ is the Lie derivative with respect to α_1 . Now

$$\mathcal{L}_{\alpha_1}(\phi \wedge \psi) = \mathcal{L}_{\alpha_1}(\phi) \wedge \psi + \phi \wedge \mathcal{L}_{\alpha_1}(\psi),$$

so if the statement holds for ϕ and ψ , it holds for $\phi \wedge \psi$ as well. When $\phi = p_r$ and $\psi = \nabla p_r = r\alpha_{r-1}$, the lemma is easily verified. Since $\text{Hom}_{S_n}(\Lambda^*\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$ is generated by these elements, the lemma holds in general. \square

Since ∇ and ∂_1 both preserve $\text{Hom}_{S_n}(\Lambda^k\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*]) \subset \text{Hom}_{S_n}(\Lambda^k\bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*])$, the formula of the lemma also holds for $\phi \in H_{m/n}$. By Lemma 7.11, ∇ reduces filtration level by 1. If we let $\tilde{\nabla} : H_{m/n}^{\mathcal{F}^{alg}} \rightarrow H_{m/n}^{\mathcal{F}^{alg}}$ be the associated graded map, we see that

$$\tilde{\nabla} \circ d_1 + d_1 \circ \tilde{\nabla} = (r + k)\text{Id}.$$

Corollary 7.13. $H(H_{m/n}^{\mathcal{F}^{alg}}, d_1)$ is one-dimensional and is generated by $1 \in eL_{m/n}$.

The analogous result for the HOMFLY homology was conjectured in [DGR06] and proved in [Ras06].

8. STABLE $\mathfrak{sl}(N)$ HOMOLOGY

We have already observed in section 5 that as $m \rightarrow \infty$, the group $H_{m/n}$ has a well-defined limit

$$H_{\infty/n} := \lim_{m \rightarrow \infty} (a^{-1}q)^{(n-1)(m-1)} H_{m/n} = \text{Hom}_{S_n}(\Lambda^*\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$$

and that this limit is the tensor product of an exterior algebra with a symmetric algebra:

$$H_{\infty/n} \cong \Lambda^*(\xi_1, \dots, \xi_{n-1}) \otimes \mathbb{C}[u_1, \dots, u_{n-1}].$$

In this section, we show that the algebraic and inductive filtrations on $L_{m/n}$ tend to a limiting filtration

$$\dots \mathcal{F}_{i-1}L_{\infty/n} \subset \mathcal{F}_iL_{\infty/n} \subset \mathcal{F}_{i+1}L_{\infty/n} \dots$$

on $L_{\infty/n}$. The associated filtration on $H_{\infty/n}$ is induced by a grading. We will show that there is a preferred choice of isomorphism

$$\rho : \Lambda^*(\xi_1, \dots, \xi_{n-1}) \otimes \mathbb{C}[u_1, \dots, u_{n-1}] \rightarrow H_{\infty/n}$$

which makes $H_{\infty/n}$ into a graded ring with homogenous generators $\xi_1, \dots, \xi_{n-1}, u_1, \dots, u_{n-1}$ with respect to the filtration grading f defined by

$$f(\xi_i) = -i \quad f(u_i) = 1 - i,$$

and that $\mathcal{F}_iH_{\infty/n}$ is the subspace spanned by all homogenous elements with filtration grading $\leq i$.

The elements u_i are determined up to scalars by the relations

$$P_i(u_k) = 0 \text{ for } i \neq k + 1 \quad \text{and} \quad P_{k+1}(u_k) \neq 0,$$

where P_k is an appropriately scaled version of the quantum Olshanetsky-Perelomov Hamiltonian $\sum_i D_i^k$. The ξ_i satisfy $(i + 1)\xi_i = \nabla u_i$. Using the calculation of the action of the O-P Hamiltonians in [Gor11], we give an explicit expression for the u_i in terms of elementary symmetric functions. This, in turn, allows us to compute the action of d_N on ξ_i .

Normalizations: Throughout this section, we use the rescaled Dunkl operators

$$D_i = \frac{1}{c} \frac{\partial}{\partial x_i} + \sum_{j \neq i} \frac{s_{ij} - 1}{x_i - x_j}, \quad \underline{D}_i = \sum_{j \neq i} \frac{s_{ij} - 1}{x_i - x_j}.$$

However, we do not rescale the ∇ operator; it is still given by

$$\nabla \varphi = \left(\sum \frac{\partial}{\partial x_i} x_i^* \right) \wedge \varphi.$$

The vector space $L_{\infty/n}$ is naturally a module over algebra $\mathbf{H}_{\infty/n}$ first studied in [EG02]. It has the same generators as \mathbf{H}_c with relations:

$$[v, w] = \sum_{s \in \mathcal{S}} \langle v, \alpha_s \rangle \langle \alpha_s^\vee, w \rangle \cdot s.$$

As it is shown in [EG02] (or [O04] for more elementary proofs) the spherical algebra $\mathbf{eH}_{\infty/n}\mathbf{e}$ is the algebra of regular functions on so called Calogero-Moser space CM_n and $\mathbf{H}_{\infty/n} = \text{End}_{\mathbf{eH}_{\infty/n}\mathbf{e}}(\mathbf{H}_{\infty/n}\mathbf{e})$ with $\mathbf{H}_{\infty/n}\mathbf{e}$ forming vector bundle of rank $n!$ over CM_n .

The algebra $\mathbf{eH}_c\mathbf{e}$ is a quantization of $\mathbf{eH}_{\infty/n}\mathbf{e}$ induced by the Poisson bracket $\{\cdot, \cdot\}$ defined by:

$$\{f, g\} := \lim_{c \rightarrow \infty} c(\tilde{f}\tilde{g} - \tilde{g}\tilde{f}),$$

where \tilde{f}, \tilde{g} are lifts of elements $f, g \in \mathbf{eH}_{\infty/n}\mathbf{e}$ to $\mathbf{eH}_c\mathbf{e}$.

It is shown in [EG02] (or [O04] for more elementary proofs) the spherical algebra $\mathbf{eH}_{\infty/n}\mathbf{e}$ is the algebra of regular functions on the Calogero-Moser space CM_n . Moreover, $\mathbf{H}_{\infty/n} = \text{End}_{\mathbf{eH}_{\infty/n}\mathbf{e}}(\mathbf{H}_{\infty/n}\mathbf{e})$ and $\mathbf{H}_{\infty/n}\mathbf{e}$ forms a vector bundle of rank $n!$ over CM_n . The algebras $\mathbf{eH}_c\mathbf{e}$ give a deformation quantization of $\mathbf{eH}_{\infty/n}\mathbf{e}$, and this structure is recalled by a Poisson bracket on the latter.

It has the same generators as \mathbf{H}_c with relations:

$$[v, w] = \sum_{s \in \mathcal{S}} \langle v, \alpha_s \rangle \langle \alpha_s^\vee, w \rangle \cdot s.$$

We will also use rescaled versions of the q -grading, a -grading, and filtration. As indicated above, we shift the q -grading on $H_{m/n}$ up by a factor of $\mu = (m-1)(n-1)$, and the a -grading down by the same amount. In addition, we shift the filtration on $H_{m/n}$ down by a factor of $\mu/2$. The net result is that the image of the element $1 \in \mathbb{C}[\mathfrak{h}^*]$ in $L_{m/n}$ has rescaled q -grading, a -grading, and filtration level all equal to 0.

8.1. More about $L_{\infty/n}$. In this section, we discuss the action of Dunkl operators on the representation $L_{\infty/n} = \mathbb{C}[\mathfrak{h}^*]$ and describe a natural filtration on $H_{\infty/n}$. In the next section, we will show that this filtration coincides with the limit of both $\mathcal{F}^{alg} L_{m/n}$ and $\mathcal{F}^{ind} L_{m/n}$ as $m \rightarrow \infty$.

Our starting point is the following lemma, whose proof is immediate from the definition:

Lemma 8.1. *If $f \in \mathbf{e}L_{\infty/n} = \mathbb{C}[\mathfrak{h}^*]^{S_n}$, and $g \in L_{\infty/n}$, then $\underline{D}_i(fg) = f\underline{D}_i(g)$.*

Corollary 8.2. *If $f \in \mathbf{e}L_{\infty/n}$ and $\xi \in H_{\infty/n}$, then $\partial_\alpha(f\xi) = f\partial_\alpha\xi$.*

Corollary 8.3. *Let $W : \mathbf{e}L_{\infty/n} \rightarrow \mathbf{e}_-L_{\infty/n}$ and $\widetilde{W} : \mathbf{e}_-L_{\infty/n} \rightarrow \mathbf{e}L_{\infty/n}$ be multiplication by $W(x)$ and $W(y)$ respectively, where W denotes the Vandermonde determinant. Then $W \circ \widetilde{W}$ and $\widetilde{W} \circ W$ are both multiplication by a nonzero constant ν .*

Remark. The constant is $\nu = (-1)^{\binom{n}{2}} n!(n-1)! \dots 1!$, although we will not need this fact here.

Proof. Every element of $e_-L_{\infty/n}$ can be written as $fW(x)$, where $f \in eL_{\infty/n}$. Thus the claim follows from the lemma together with the fact that $W(y) \cdot W(x)$ is the stated constant, for which see [DH98, Thm. 2.6] or [GW06, Eq. 0.4]. \square

Lemma 8.4. (*Kostant decomposition*) *The map $\rho : \mathbb{C}[\mathfrak{h}]_{S_n} \otimes \mathbb{C}[\mathfrak{h}^*]^{S_n} \rightarrow L_{\infty/n}$ given by*

$$\phi(f(y) \otimes g(x)) = g(x)(f(y) \cdot W(x))$$

is a linear isomorphism.

Proof. The graded dimensions of the two spaces agree, so it suffices to show ρ is injective. Consider the pairing $\mathbb{C}[\mathfrak{h}]_{S_n} \otimes \mathbb{C}[\mathfrak{h}]_{S_n} \rightarrow \mathbb{C}$ given by

$$(f_1, f_2) = f_1(y)f_2(y) \cdot W(x).$$

Up to a factor of ν , this is the same as taking the projection of f_1f_2 onto the 1-dimensional subspace of top degree in $\mathbb{C}[\mathfrak{h}]_{S_n}$. It is well-known that this is a nonsingular pairing, so given a basis f_i of $\mathbb{C}[\mathfrak{h}]_{S_n}$, we can find a dual basis f^i with the property that $(f_i, f^j) = \delta_i^j$. It follows that if

$$h = \sum g_i(x)(f_i(y) \cdot W(x)) = 0,$$

then $f^i(y) \cdot h = g_i(x) = 0$. Thus ρ is injective. \square

Next, we consider an analog of the Kostant decomposition for $\text{Hom}_{S_n}(\Lambda^*\mathfrak{h}, L_{\infty/n})$. The following lemma follows from duality $\Phi(\partial_i) = \partial_{-i}$ and the Vandermonde formula:

Lemma 8.5. $\widetilde{W} = \partial_{-1} \circ \partial_{-2} \circ \dots \circ \partial_{-n}$.

We define $\partial_{\mathfrak{h}}W(x) \subset \text{Hom}_{S_n}(\Lambda^*\mathfrak{h}, L_{m/n})$ to be the space spanned by the images of $W(x)$ under repeated applications of operators of the form ∂_{α} , where $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}])$ is polynomial in the Dunkl operators. $\partial_{\mathfrak{h}}W(x)$ is analogous to the space $\mathbb{C}[\mathfrak{h}] \cdot W(x) \subset L_{\infty/n}$ appearing in the Kostant decomposition. If $I = \{i_1, \dots, i_k\} \subset \{1, \dots, n-1\} = \bar{I}$, we write $\partial_{-I} = \partial_{-i_1} \circ \dots \circ \partial_{-i_k}$.

Lemma 8.6. *The set $\{\partial_{-I}(W(x)) \mid I \subset \bar{I}\}$ is a basis for $\partial_{\mathfrak{h}}W(x)$.*

Proof. Any $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}])$ can be written as $\alpha = \sum_i f_i \alpha_i$, where $f_i \in \mathbb{C}[\mathfrak{h}]^{S_n}$. Note that if f_i is an invariant polynomial with vanishing constant term, then $f_i(y) \cdot W(x) = 0$, since it is an alternating polynomial in $\mathbb{C}[\mathfrak{h}^*]$ with degree strictly than that of $W(x)$. Thus

$$\partial_{\alpha}W(x) = \sum_i \partial_i(f_i \cdot W(x)) = \sum_i f_i(0) \partial_i(W(x)).$$

It follows that elements of the form $\partial_{-I}(W(x))$ span $\partial_{\mathfrak{h}}W(x)$. To see that they are linearly independent, observe that $\partial_{-I}(\partial_{-J}W(x))$ is nonzero for $J = \bar{I}/I$ and is zero otherwise. \square

Corollary 8.7. $\partial_{\mathfrak{h}}W(x) = \text{Hom}_{S_n}(\Lambda^*\mathfrak{h}, \mathbb{C}[\mathfrak{h}] \cdot W(x))$.

Lemma 8.8. $\nabla(\partial_{\mathfrak{h}}W(x)) = 0$.

Proof. We show that $\nabla(\partial_{-I}W(x)) = 0$ by induction on the size of I . When I is empty, the claim is trivial. In general, we use the identity $e^{-\alpha}(\alpha \wedge \beta) = (e^{-\alpha}) \wedge \beta + (-1)^{|\alpha|} \alpha \wedge (e^{-\alpha}\beta)$ to

write

$$\begin{aligned}
 \nabla(\partial_{-I}W) &= \alpha_1 \wedge (\alpha_{i_1} \neg (\partial_{-I'}W)) \\
 &= (\alpha_{i_1} \neg \alpha_1) \wedge (\partial_{-I'}W) - \alpha_{i_1} \neg (\alpha_1 \wedge \partial_{-I}(W)) \\
 &= p_{i_1+1}(y) \cdot (\partial_{-I'}W) - \partial_{i_1}(\nabla(\partial_{-I'}W)) \\
 &= \partial_{-I'}(p_{i_1+1}(y) \cdot W) - \partial_{i_1}(\nabla(\partial_{-I'}W)) \\
 &= 0.
 \end{aligned}$$

The first term in the next-to-last line vanishes because $p_{i_1+1}(y) \cdot W$ is an antisymmetric function of degree strictly less than that of W , and the second term vanishes by the induction hypothesis. \square

Definition 8.9. Let $\xi_i = (-1)^i \nu^{-1} \partial_{-I/\{i\}} W(x) \in \text{Hom}_{S_n}(\mathfrak{h}^*, L_{\infty/n})$.

Proposition 8.10. Among elements of $\text{Hom}_{S_n}(\mathfrak{h}^*, L_{\infty/n})$, ξ_i is uniquely characterized by the properties that 1) $\partial_\alpha \xi_i = 0$ for all polynomials $\alpha \in \mathbb{C}[\mathfrak{h}]$ with degree less than i ; and 2) $\partial_{-i}(\xi_i) = -1$.

Proof. It is easy to see that ξ_i satisfies the given properties. For the converse, recall that as a module over $\mathbb{C}[\mathfrak{h}^*]^{S_n}$, $\text{Hom}_{S_n}(\mathfrak{h}^*, L_{\infty/n})$ is freely generated by elements of q -grading $2, 4, \dots, 2(n-1)$. Observe that if $f_i \in \mathbb{C}[\mathfrak{h}^*]^{S_n}$, then

$$\partial_{-i} \left(\sum_{j < i} f_j(x) \xi_j \right) = 0,$$

so ξ_i is not contained in the span of ξ_j for $j < i$. By inducting on i , we see that ξ_1, \dots, ξ_{n-1} generate $\text{Hom}_{S_n}(\mathfrak{h}, L_{\infty/n})$. Thus we can write any other element of q -grading $2i$ as

$$\xi = \sum_{j \leq i} f_j(x) \xi_j.$$

Then $\partial_{-j} \xi = f_j(x)$, so condition 1) implies that $f_j = 0$ for $j < i$. \square

Corollary 8.11. The set $\{\xi_1, \dots, \xi_{n-1}\}$ is a basis for $\text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$ over $\mathbb{C}[\mathfrak{h}^*]^{S_n}$.

Definition 8.12. Let $u_i = \partial_1(\xi_i) \in \mathfrak{e}L_{\infty/n}$.

To provide a characterization of the u_i analogous to that of Proposition 8.10, we consider the operators $H_k : \mathfrak{e}L_{m/n} \rightarrow \mathfrak{e}L_{m/n}$ given by $H_k(x) = p_k(y) \cdot x$. The H_k are known as the quantum Olshanetsky-Perelomov Hamiltonians ([EM10],[OP83]). From the expression $D_i = \frac{1}{c} \frac{\partial}{\partial x_i} + \underline{D}_i$ and the fact that \underline{D}_i vanishes on any symmetric polynomial, it is clear see that $H_k \rightarrow 0$ as $c \rightarrow \infty$. We consider a normalized version of these operators which captures their leading order behavior in c .

Definition 8.13. The normalized Olshanetsky-Perelomov Hamiltonian $P_k : \mathfrak{e}L_{\infty/n} \rightarrow \mathfrak{e}L_{\infty/n}$ is given by $P_k = \lim_{c \rightarrow \infty} c H_k$.

Expanding D_i^k to first order in $1/c$, we see that

$$P_k(f) = \sum_i \underline{D}_i^k \left(\frac{\partial f}{\partial x_i} \right) = \partial_{-k+1}(\nabla f).$$

Combining the formula above with Corollary 8.2, we see that P_k satisfies the Leibniz rule:

Lemma 8.14. If $u, v \in \mathbb{C}[\mathfrak{h}^*]^{S_n}$, then $P_k(uv) = P_k(u)v + uP_k(v)$.

Proposition 8.15. *Among elements of $eL_{\infty/n}$, u_i is uniquely characterized by the properties that*

- (1) $P_k(u_i) = 0$ for $k \neq i + 1$
- (2) $P_{i+1}(u_i) = -(i + 1)$.

Proof. By Lemmas 7.12 and 8.8, $\nabla(u_i) = (i + 1)\xi_i$. The fact that u_i satisfies properties 1) and 2) follows from Proposition 8.10. Conversely, if u is homogenous of degree $i + 1$ and satisfies 1) and 2), then $\nabla u / (i + 1)$ satisfies properties 1) and 2) of Proposition 8.10, so $\nabla u / (i + 1) = \xi_i$. \square

Remark. From the discussion at the beginning of the section we see that operators could be constructed in terms of the Poisson bracket:

$$P_k(f) = \{p_k(y), f\} \pmod{(p_2(y), \dots, p_n(y))}.$$

In particular, the previous proposition states that coordinates u_i , $1 \leq i \leq n - 1$ are (up to some factors) canonically dual to the coordinates $p_i(y)$, $2 \leq i \leq n$ along the locus of the Calogero-Moser space defined by equations $p_i(y) = 0$.

Corollary 8.16. $\mathbb{C}[\mathfrak{h}^*]^{S_n}$ is generated by u_1, \dots, u_{n-1} .

Proof. It suffices to show that u_i is not contained in the ring generated by u_1, \dots, u_{i-1} . For $u \in \mathbb{C}[u_1, \dots, u_{i-1}]$, we can use the Leibnitz rule to see that $P_{i+1}(u) = 0$. Since $P_{i+1}(u_i) \neq 0$, the claim is proved. \square

Combining Corollaries 8.11 and 8.16, we see that

$$\mathrm{Hom}_{S_n}(\Lambda^* \mathfrak{h}, L_{\infty/n}) \cong \Lambda^*(\xi_1, \dots, \xi_n) \otimes \mathbb{C}[u_1, \dots, u_n].$$

Remark. Note that the operators P_k can be viewed as acting on $\mathbb{C}[\overline{\mathfrak{h}}^*]$. In this case, P_1 acts nontrivially. It is easy to see that $\mathbb{C}[\mathfrak{h}^*] \subset \mathbb{C}[\overline{\mathfrak{h}}^*]^{S_n}$ is the kernel of P_1 , so we could equally well view the u_i as being elements of $\mathbb{C}[\overline{\mathfrak{h}}^*]^{S_n}$ characterized by the condition that $P_k(u_i) = 0$ for $k \neq i + 1$, ($k = 1, \dots, n$). We have

$$\mathrm{Hom}_{S_n}(\Lambda^* \overline{\mathfrak{h}}, L_{\infty/n}) \cong \Lambda^*(\xi_0, \xi_1, \dots, \xi_n) \otimes \mathbb{C}[u_0, u_1, \dots, u_n].$$

where $u_0 = x_1 + \dots + x_n$ and $\xi_0 = \nabla u_0 = \sum \overline{e}_i^*$.

We now turn our attention to the filtration.

Definition 8.17. *The filtration grading f on $eL_{\infty/n}$ is the multiplicative grading determined by the condition and $f(u_i) = 1 - i$. Equivalently, if a is a monomial in the u_i , then*

$$f(a) = 2 \deg_u(a) - \frac{1}{2}q(a).$$

Let $\mathcal{F}_i^{sph} \subset eL_{\infty/n}$ be the subspace generated by homogenous elements with filtration grading $\leq i$. The \mathcal{F}_i^{sph} define an increasing filtration on $eL_{\infty/n}$. In the next subsection, we will show that this filtration agrees with the limits of both the algebraic and the inductive filtrations on $eL_{\infty/n}$.

Suppose \mathcal{G}^{sph} is a filtration on $eL_{\infty/n}$. By Proposition 2.3, its push-forward to the antispherical representation $e_-L_{\infty/n}$ is given by $m_W(\mathcal{G})_i = W(x) \cdot \mathcal{G}_{i+n(n-1)/2}$. We say that \mathcal{G}^{sph} is *stable* if when we form the filtration induced on $L_{\infty/n}$ by $\psi(\mathcal{G}^{sph})$, its restriction to $eL_{\infty/n}$ will again be \mathcal{G}^{sph} . If the limit of the inductive filtrations on $eL_{m/n}$ exists, its restriction to $eL_{\infty/n}$ is clearly stable.

Next, we consider the limit of the algebraic filtration. Let $P^{alg}(\mathfrak{e}L_{m/n})$ be the Hilbert polynomial of the filtration \mathcal{F}^{alg} restricted to $\mathfrak{e}L_{m/n}$. If \mathcal{G}^{sph} is the limit of these filtrations, the Hilbert series of \mathcal{G}^{sph} should agree with the limit of the $P^{alg}(\mathfrak{e}L_{m/n})$. This limit is easily computed:

Lemma 8.18. $P^{alg}(\mathfrak{e}L_{\infty/n}) := \lim_{m \rightarrow \infty} P^{alg}(\mathfrak{e}L_{m/n}) = \prod_{i=1}^{n-1} (1 - q^{2i+2}t^{1-i})^{-1}$.

Proof. The filtration $\mathcal{F}^i \mathbb{C}[\mathfrak{h}^*]^{S_n} = \sum_j \left(\mathfrak{a}^j \cap \bigoplus_{k < 2j-i} \mathbb{C}[\mathfrak{h}^*]^{S_n}(k) \right)$ of the ring of symmetric functions by powers of its maximal ideal has Hilbert series

$$\prod_{i=1}^{n-1} (1 - q^{2i+2}t^{i-1})^{-1}.$$

Thus the claim follows from the fact that

- (1) $\mathfrak{e}L_{m/n}(k) = \mathbb{C}[\mathfrak{h}^*]^{S_n}(k)$ for all $m > k$
- (2) the filtration $\mathcal{F}_i^{alg} \mathfrak{e}L_{m/n}(k)$ is dual to $\mathcal{F}^i \mathfrak{e}L_{m/n}(k)$ under the Dunkl pairing.

□

In fact, these two conditions are enough to characterize the filtration \mathcal{G}^{sph} .

Proposition 8.19. *Suppose \mathcal{G}^{sph} is an increasing filtration on $\mathfrak{e}L_{\infty/n}$ which is stable and whose Hilbert series is given by \mathcal{G}^{sph} . Then $\mathcal{G}^{sph} = \mathcal{F}^{sph}$.*

Proof. It is easy to see that the Hilbert series of \mathcal{F}^{sph} is given by $P^{alg}(\mathfrak{e}L_{\infty/n})$. Thus it is enough to show that $\mathcal{F}_i^{sph} \subset \mathcal{G}_i^{sph}$.

We claim that u_i , which is an element of \mathcal{F}_{1-i}^{sph} , is contained in \mathcal{G}_{1-i}^{sph} as well. To see this, write $u_i = \partial_1(\partial_{T/i}(W(x)))$. It is clear from $P^{alg}(\mathfrak{e}L_{\infty/n})$ that $1 \in \mathcal{G}_0^{sph}$, so by stability of \mathcal{G} , $W(x) \in \mathcal{G}_{-n(n-1)/2}$. It follows that in the induced filtration, the level of u_i is $\leq -n(n-1)/2 + 1 + |I/i| = 1 - i$. Since \mathcal{G} is stable, $u_i \in \mathcal{G}_{1-i}$.

We will show by induction on k that $\mathcal{F}_i^{sph}(2k) \subset \mathcal{G}_i^{sph}(2k)$. When $k = 0$, this is clear. In general, if $u \in \mathfrak{e}L_{\infty/n}$ is a monomial in the u_i with $q(u) = 2k$ we can write $u = u_i u'$ for some u_i . By Corollary 8.2, $u = \partial_1(\partial_{T/i}(W(x)u'))$. Then by the induction hypothesis, $u' \subset \mathcal{G}_{f(u')}$, and the same argument as above shows that $u \subset \mathcal{G}_{f(u')+1-i}^{sph} = \mathcal{G}_{f(u)}^{sph}$. □

To describe the corresponding filtration on all of $L_{\infty/n}$, we use the Kostant decomposition:

Definition 8.20. *We define a grading f on $L_{\infty/n}$ by declaring that if $a = g(x)h(y) \cdot W(x)$, where $g \in \mathbb{C}[\mathfrak{h}^*]^{S_n}$ is a monomial in the u_i , and $h \in \mathbb{C}[\mathfrak{h}]_{S_n}$ is q -homogenous, then a is f -homogenous with*

$$f(a) = f(g(x)) - q(h(y) \cdot W(x))/2 = 2 \deg_u(g) - q(a)/2.$$

Let $\mathcal{F}_i \subset L_{\infty/n}$ be the subspace generated by homogenous elements with grading $\leq i$. Clearly $\mathcal{F}_i \cap \mathfrak{e}L_{\infty/n} = \mathcal{F}_i^{sph}$. We say that a filtration \mathcal{G} of $L_{\infty/n}$ is *stable* if the filtration induced on $L_{\infty/n}$ by $m_W(\mathcal{G}^{sph})$ agrees with \mathcal{G} .

Proposition 8.21. *Suppose \mathcal{G} is an increasing filtration on $L_{\infty/n}$ which is stable and whose restriction to $\mathfrak{e}L_{\infty/n}$ agrees with \mathcal{F}^{sph} . Then $\mathcal{G} = \mathcal{F}$.*

Proof. Let us show $\mathcal{F}_i \subset \mathcal{G}_i$. Suppose $a = g(x)h(y) \cdot W(x)$, where $h(y) \in \mathbb{C}[\mathfrak{h}]^{S_n}$ is q -homogenous of degree $2k$ and $g(x) \in \mathbb{C}[\mathfrak{h}^*]$ is a monomial in the u_i . Since \mathcal{G}^{sph} agrees with \mathcal{F}^{sph} , $g(x)W(x) \in \mathcal{G}_{f(g)-n(n-1)/2}$. This implies $a \in \mathcal{G}_{f(g)-n(n-1)/2+k} = \mathcal{G}_{f(a)}$.

Conversely, suppose $a \in \mathcal{G}_i$. Write $a = \sum_j g_j(x)h_j(y) \cdot W(x)$, where g_j is q -homogenous and h_j is a monomial in the u_i , and choose j for which $f(g_j) - q(h_j(y) \cdot W)/2$ is maximal. Let $q(h_j(y) \cdot W) = 2k$. We can find \bar{h} q -homogenous of degree $2k$ so that $h_j\bar{h} \equiv W(y) \pmod{\mathbb{C}[\mathfrak{h}]_+^{S_n}}$. Then $e\bar{h} \cdot a \in \mathcal{G}_{i+k}^{sph} = \mathcal{F}_{i+k}^{sph}$ has a nonzero coefficient of g_j , so $f(g_j) \leq i + k$. It follows that

$$f(g_j(x)h_j(y) \cdot W(x)) = f(g_j) - q(h_j(y) \cdot W)/2 \leq i$$

so $a \in \mathcal{F}_i$. □

Definition 8.22. We define a grading f on $H_{\infty/n}$ by declaring that if $\varphi = g(x)\partial_{-I}W(x)$, where $g \in \mathbb{C}[\mathfrak{h}^*]^{S_n}$ is a monomial in the u_i , then a is f -homogenous with

$$f(\varphi) = f(g(x)) - q(\partial_{-I}W(x))/2 = 2 \deg_u(g) - q(\varphi)/2.$$

From Corollary 8.7, we deduce

Corollary 8.23. The filtration induced by $\mathcal{F}L_{\infty/n}$ on $H_{\infty/n}$ is the same as the increasing filtration defined using f .

8.2. The limiting filtration. Our goal in this section is to prove the following

Theorem 8.24. The limits $\lim_{m \rightarrow \infty} \mathcal{F}^{alg}L_{m/n}$ and $\lim_{m \rightarrow \infty} \mathcal{F}^{ind}L_{m/n}$ both exist and are equal to $\mathcal{F}L_{\infty/n}$.

In fact, we will prove slightly more:

Proposition 8.25. For any fixed k , there exists some M so that $\mathcal{F}_i^{ind}L_{m/n}(k) = \mathcal{F}_i^{alg}L_{m/n}(k)$ whenever $m \geq M$.

Note that in both cases, it suffices to prove the result under the additional hypothesis that $m \equiv r \pmod{n}$ for an arbitrary value of r . Our starting point is the following

Lemma 8.26. $\lim_{m \rightarrow \infty} \mathcal{F}^{alg}L_{m/n}$ exists. Its restriction to $eL_{\infty/n}$ has Hilbert series $P^{alg}(eL_{\infty/n})$.

Proof. Since \mathcal{F}^{alg} is compatible with the q -grading, it suffices to show that $\lim_{m \rightarrow \infty} \mathcal{F}^{alg}(k)$ exists for any fixed value of k . Taking $m > k$, we may identify $L_{m/n}(k) = L_{\infty/n}(k) = \mathbb{C}[\mathfrak{h}^*](k)$, so the filtrations in question all have the same underlying space V_k . Moreover, the filtration $\mathcal{F}^d(k)$ defined by powers of the maximal ideal is independent of m .

For each value of $c > k/n$, the Dunkl pairing defines a nonsingular pairing on V_k . Alternatively, we may think of it as defining a nonsingular pairing on $V_k \otimes \mathbb{C}(c)$, which we view as a vector space over the ring of rational functions in c . Let $\{v_i\}$ be a basis for V_k . Then by row-reduction, we can find a basis $\alpha_j = \sum a_{ij}v_i$ over $\mathbb{C}(c)$ for $(\mathcal{F}^d(k) \otimes \mathbb{C}(c))^\perp$. This defines a rational map from \mathbb{P}^1 to the Grassmanian of r -planes in V_k , where $r = \dim \mathcal{F}^d(k)$. Any rational map of \mathbb{P}^1 to a projective variety extends to all of \mathbb{P}^1 , so the limit as $c \rightarrow \infty$ exists. The last statement follows immediately from Lemma 8.18. □

We are now ready to start the proof of Theorem 8.24. As usual, we begin with the case of the spherical representation.

Proposition 8.27. For each k and r , the following statements hold:

- (1) There exists M such that for all $m > M$ such that $m \equiv r \pmod{n}$, $\mathcal{F}^{ind}eL_{m/n}(\leq k) = \mathcal{F}^{alg}eL_{m/n}(\leq k)$.

$$(2) \lim_{m \rightarrow \infty} \mathcal{F}^{alg} \mathbf{e}L_{m/n}(\leq k) = \mathcal{F}^{sph}(\leq k).$$

Proof. By induction on k . When $k = 0$, the statement follows immediately from Proposition 4.4. Now suppose the statement holds for $k - 1$. We have already shown in Theorem 4.8 that $\mathcal{F}_i^{ind} \mathbf{e}L_{m/n} \subset \mathcal{F}_i^{alg} \mathbf{e}L_{m/n}$. Thus to prove statement (1), it suffices to show that $\dim \mathcal{F}_i^{ind} \mathbf{e}L_{m/n}(k) = \dim \mathcal{F}_i^{alg} \mathbf{e}L_{m/n}(k)$ when m is large.

Recall that the filtration on $\mathbf{e}L_{m/n}$ is induced by the filtration on $\mathbf{e}L_{(m-n)/n}$ via the isomorphism $m_W : \mathbf{e}L_{(m-n)/n} \rightarrow \mathbf{e}L_{m/n}$ and the relation

$$\beta \in \mathcal{F}_i^{m/n} \text{ if and only if } \beta = a\phi(\alpha) \text{ where } a \in \mathcal{G}_j, \alpha \in \mathcal{F}_k^{(m-n)/n}, \text{ and } i + j = k.$$

We say that $a \in \mathbf{e}L_{m/n}$ is *reachable at filtration level i* from $\mathbf{e}L_{(m-n)/n}(\leq k)$ if we can find $b \in \mathcal{F}_{i_1}^{ind} \mathbf{e}L_{(m-n)/n}$ and $\beta \in \mathbf{H}_n^m$ of filtration level i_2 with $i_1 + i_2 - n(n-1)/2 \leq i$ and $a = \beta \cdot m_W(b)$. Let $\mathcal{F}_i^{\leq k} \mathbf{e}L_{m/n}$ be the set of elements which are reachable at level i from $\mathbf{e}L_{(m-n)/n}(\leq k)$. By construction, $\mathcal{F}_i^{\leq k} \mathbf{e}L_{m/n} \subset \mathcal{F}_i^{ind} \mathbf{e}L_{m/n}$.

The proof of Proposition 8.19 amounts to showing that the dimension of the subspace of $\mathbf{e}L_{\infty/n}(k)$ which is reachable at level i from $\mathbf{e}L_{\infty/n}(\leq k-1)$ is equal to the dimension of $\mathcal{F}_i^{alg} \mathbf{e}L_{m/n}(k)$ for m large. Now by the induction hypothesis, we know that as $m \rightarrow \infty$, $\mathcal{F}_i^{ind} \mathbf{e}L_{m/n}(\leq k-1) \rightarrow \mathcal{F}_i \mathbf{e}L_{\infty/n}(\leq k-1)$. Moreover, as $m \rightarrow \infty$, the action of \mathbf{H}_n^m on $\mathbb{C}[\mathfrak{h}^*]$ tends to the action of \mathbf{H}_n^∞ on $\mathbb{C}[\mathfrak{h}^*]$. Since the property that the image of a subspace under a linear map has dimension at least j is an open condition, it follows that when m is large,

$$\dim \mathcal{F}_i^{ind} \mathbf{e}L_{m/n}(k) \geq \dim \mathcal{F}_i^{\leq k-1} \mathbf{e}L_{m/n} \geq \dim \mathcal{F}_i^{\leq k-1} \mathbf{e}L_{\infty/n} = \dim \mathcal{F}_i^{alg} \mathbf{e}L_{m/n}(k).$$

We conclude that statement (1) holds for k .

To show that statement (2) holds, let $\mathcal{G}^{sph}(\leq k) = \lim_{m \rightarrow \infty} \mathcal{F}^{alg} \mathbf{e}L_{m/n}(\leq k)$. (The limit exists by Lemma 8.26.) By statement (1) \mathcal{G}^{sph} is also the limit of $\mathcal{F}^{ind} \mathbf{e}L_{m/n}$, so it is stable. Finally, by proposition 8.19, we conclude that $\mathcal{G}^{sph}(\leq k) = \mathcal{F}^{sph}(\leq k)$. \square

We can now prove the analogous results for all of $L_{m/n}$.

Proof. (of Proposition 8.25) As in the proof of the last proposition, it suffices to show that $\dim \mathcal{F}_i^{ind} L_{m/n}(k) \geq \dim \mathcal{F}_i^{alg} L_{m/n}(k)$ for large m . To compute the right-hand side of this inequality, we use the isomorphism $L_{\infty/n} \cong \mathbb{C}[\mathfrak{h}]_{S_n} \otimes \mathbb{C}[\mathfrak{h}^*]^{S_n}$ provided by the Kostant decomposition. It is easy to see that under this isomorphism, \mathfrak{a}^k maps to $\mathbb{C}[\mathfrak{h}]_{S_n} \otimes (\mathbb{C}[\mathfrak{h}^*]_+^{S_n})^k$. Thus the Hilbert series of the decreasing filtration $\mathcal{F}^i L_{\infty/n}$ is given by

$$Q(q^2 t) P^{\mathfrak{a}^k}(\mathbf{e}L_{m/n}) = \prod_{i=2}^n \frac{1 - q^{2i} t^i}{(1 - q^2 t)(1 - q^{2i} t^{i-2})}$$

where $Q(q^2) = [n!]_{q^2}$ is the Hilbert polynomial of $\mathbb{C}[\mathfrak{h}]_{S_n}$. The Hilbert polynomial of the dual filtration $\mathcal{F}^{alg} L_{\infty/n}$ is obtained by replacing t with t^{-1} in this series. It is clear from Definition 8.20 that this is also the Hilbert polynomial of the filtration $\mathcal{F} L_{\infty/n}$. In summary, we have seen that when m is large relative to k , $\dim \mathcal{F}_i^{alg} L_{m/n}(k) = \dim \mathcal{F}_i L_{\infty/n}(k)$.

The proof of Proposition 8.21 shows that if $a \in \mathcal{F}_i L_{\infty/n}(k)$, then a is reachable at filtration level i from $\mathbf{e}L_{\infty/n}(\leq k + n(n-1)/2)$. Since we already know that $\mathcal{F}^{ind} \mathbf{e}L_{m/n}(\leq k) \rightarrow \mathcal{F} \mathbf{e}L_{\infty/n}(\leq k)$ as $m \rightarrow \infty$, the same argument as in the proof of Proposition 8.27 shows that when m is large,

$$\dim \mathcal{F}_i^{ind} L_{m/n}(k) \geq \dim \mathcal{F}_i L_{\infty/n}(k) = \dim \mathcal{F}_i^{alg} L_{m/n}(k)$$

which is what we wanted to prove. \square

Proof. (of Theorem 8.24) By Lemma 8.26, $\mathcal{G} := \lim_{m \rightarrow \infty} \mathcal{F}^{alg} L_{m/n}$ exists. Proposition 8.25 implies that $\mathcal{G} = \lim_{m \rightarrow \infty} \mathcal{F}^{ind} L_{m/n}$, so \mathcal{G} is stable. Then by Proposition 8.21, $\mathcal{G} = \mathcal{F}$. \square

If $I = \{i_1, \dots, i_k\} \subset \bar{I}$, we write $\xi_I = \xi_{i_1} \wedge \dots \wedge \xi_{i_k}$.

Proposition 8.28. *The set $\{\xi_I \mid I \subset \bar{I}\}$ is a basis for $\partial_{\mathfrak{h}} W(x)$.*

Proof. The set of ξ_I 's has the correct cardinality, and they are linearly independent, since $\xi_I \wedge \xi_J = 0$ unless $J = \bar{I}/I$, and is a nonzero multiple of W in that case. Thus it suffices to prove that ξ_I is contained in $\partial_{\mathfrak{h}} W(x)$. From Corollary 8.23, we see that $\partial_{\mathfrak{h}} W(x)(2k) = \mathcal{F}_{-k} H_{\infty/n}(2k)$. We prove by induction on $|I|$ that $\xi_I \in \mathcal{F}_{-k}(2k) H_{\infty/n}$, where $2k = q(\xi_I)$. When $|I| = 1$, this is clear. In general, write $\xi_I = \xi_{i_1} \wedge \xi_{I'}$. Then by the induction hypothesis $\xi_{I'} \in \mathcal{F}_{-k'}(2k')$, where $q(\xi_{I'}) = 2k'$. Then $u_{i_1} \xi_{I'} \in \mathcal{F}_{-k'+1-i_1}$. We proved in lemma 7.11 that $\nabla(\mathcal{F}_i^{alg} H_{m/n}) \subset \nabla(\mathcal{F}_i^{alg} H_{m/n})$. Since $\mathcal{F}_i H_{\infty/n}$ is the limit of the \mathcal{F}_i^{alg} , $\nabla(\mathcal{F}_i^{alg} H_{\infty/n}) \subset \nabla(\mathcal{F}_i^{alg} H_{\infty/n})$. Thus $\nabla(u_{i_1} \xi_{I'}) = \xi_{i_1} \wedge \xi_{I'} = \xi_I$ is in $\mathcal{F}_{-k'-i_1} = \mathcal{F}_{-k}$. \square

Remark. It seems natural to conjecture that ξ_I is a scalar multiple of $\partial_{-\bar{I}/I}$.

It follows that the filtration $\mathcal{F} H_{\infty/n}$ is induced by a multiplicative grading f on $H_{\infty/n} \cong \Lambda^*(\xi_1, \dots, \xi_{n-1}) \otimes \mathbb{C}[u_1, \dots, u_{n-1}]$, where $f(u_i) = 1 - i$ and $f(\xi_i) = -i$.

8.3. Determining u_k . Recall that $u_k \in \mathfrak{e} L_{\infty/n}$ is characterized by the fact that $P_i(u_k) = 0$ for $i \neq k+1$ and $P_{k+1}(u_k) = -(k+1)$, where $P_k : L_{\infty/n} \rightarrow L_{\infty/n}$ is the normalized Olshanetsky-Perelomov Hamiltonian. The symmetric polynomials u_k can be written explicitly as a sum of elementary symmetric polynomials. If λ is a partition of k , and λ' is its dual partition, we define

$$F_n(\lambda) = \frac{1}{n^{\lambda'_1} (n-1)^{\lambda'_2} \dots (n-k+1)^{\lambda'_k}} = \prod_i \frac{(n-\lambda_i)!}{n!}.$$

Moreover, we define constants $a_k(\lambda)$ to be the coefficients of the expansion of the k th symmetric power function in terms of elementary symmetric functions:

$$p_k = \sum_{\lambda \vdash k} a_k(\lambda) e_{\lambda}.$$

Then we have

$$\mathbf{Proposition 8.29.} \quad u_k = \sum_{\lambda \vdash (k+1)} a_{k+1}(\lambda) F_n(\lambda) e_{\lambda}.$$

Proof. The action of H_k on $e_l \in \mathfrak{e} L_{m/n}$ was calculated in Theorem 5.10 of [Gor11]. It is given by

$$H_k(e_l) = - \left(-\frac{m}{n} \right)^{k-1} (n+1-l) \dots (n+k-l) e_{l-k}.$$

Passing to the limit $m \rightarrow \infty$, we see that the action of the rescaled Hamiltonian P_k on $e_l \in \mathfrak{e} L_{\infty/n}$ is given by

$$P_k(e_l) = (-1)^k (n+1-l) \dots (n+k-l) e_{l-k}.$$

Therefore if

$$\bar{e}_l = (-1)^l e_l \frac{(n-l)!}{n!},$$

then

$$(19) \quad P_k(\bar{e}_l) = \bar{e}_{l-k}.$$

Consider the generating function

$$\overline{E}(z) = \sum_{k=0}^n \overline{e}_k z^k = \sum_{k=0}^n (-1)^k \frac{(n-k)!}{n!} e_k z^k,$$

then (19) implies $P_k(\overline{E}(z)) = z^k \overline{E}(z)$. Since P_k obeys the Leibniz rule, we get

$$(20) \quad P_k(\ln \overline{E}(z)) = \frac{P_k(\overline{E}(z))}{\overline{E}(z)} = \frac{z^k \overline{E}(z)}{\overline{E}(z)} = z^k.$$

On the other hand, it is well known (e.g. [Mac79]) that

$$\sum_{k=1}^{\infty} \sum_{\lambda \vdash k} \frac{z^k}{k} a_k(\lambda) e_\lambda = \sum_{k=1}^{\infty} \frac{z^k}{k} p_k = -\ln \left(\sum_{k=0}^n (-1)^k e_k z^k \right),$$

therefore

$$(21) \quad U(z) = \sum_{k=0}^{\infty} u_k \frac{z^{k+1}}{k+1} = \sum_{k=1}^{\infty} \sum_{\lambda \vdash k} \frac{z^k}{k} a_k(\lambda) \prod_i e_{\lambda_i} \frac{(n-\lambda_i)!}{n!} = -\ln \overline{E}(z).$$

From (20) and (21) we conclude $P_k(u_l) = -(k+1) \cdot \delta_k^l$. \square

Corollary 8.30. $\lim_{n \rightarrow \infty} n^{k-1} u_k(n) = p_k$.

8.4. Computing d_N . In this section, we determine the action of d_α on $H_{\infty/n}^{\mathcal{F}}$, where $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*]) \subset \text{Hom}_{S_n}(\mathfrak{h}, \mathbf{H}_{\infty/n}^{\infty})$. Before we go on, we pause to consider the dependence of d_α on the choice of α . Suppose that $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$ is q -homogenous of degree N . The set of all such polynomials has a basis consisting of monomials of the form $u_\lambda \xi_i$, where $|\lambda| + i = N$. It is immediate from the definition of ∂_α that $\partial_{u_\lambda \xi_i} = u_\lambda d_{\xi_i}$. Multiplication by u_λ shifts the filtration level by $2l(\lambda) - l|\lambda|$, so the net shift in filtration level is $i + 2l(\lambda) - |\lambda| = N - 2(|\lambda| - l(\lambda))$. Unless u_λ is a power of u_0 , this is strictly less than N , so it will not contribute to the map on associated graded groups. Thus when we work with reduced homology, there is an essentially unique choice of d_N up to scale.

We now return to the calculation. Our first step is to observe that d_α satisfies a Leibniz rule:

Lemma 8.31. Consider $d_\alpha : H_{\infty/n}^{\mathcal{F}} \rightarrow H_{\infty/n}^{\mathcal{F}}$, where $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$. We have

$$d_\alpha \varphi \wedge \psi = (d_\alpha \varphi) \wedge \psi + (-1)^{|\varphi|} \varphi \wedge (d_\alpha \psi).$$

Proof. Since $\alpha \in \text{Hom}_{S_n}(\mathfrak{h}, \mathbb{C}[\mathfrak{h}^*])$, we have

$$\alpha \neg (\varphi \wedge \psi) = (\alpha \neg \varphi) \wedge \psi + (-1)^{|\varphi|} \varphi \wedge (\alpha \neg \psi)$$

from which it follows that

$$\partial_\alpha \varphi \wedge \psi = (\partial_\alpha \varphi) \wedge \psi + (-1)^{|\varphi|} \varphi \wedge (\partial_\alpha \psi).$$

Since the filtration \mathcal{F} is induced by a multiplicative grading, the analogous statement for associated graded also holds. \square

Thus it suffices to compute $d_\alpha \xi_i$. For the moment, we work with unreduced homology. Suppose that $\alpha, \beta \in \text{Hom}_{S_n}(\overline{\mathfrak{h}}, \mathbb{C}[\overline{\mathfrak{h}}^*])$ are given by $x_i \mapsto \alpha_i, x_i \mapsto \beta_i$. We define $\alpha \cdot \beta \in \mathbb{C}[\overline{\mathfrak{h}}^*]^{S_n}$ by $\alpha \cdot \beta = \sum_i \alpha_i \beta_i$ and $\alpha * \beta \in \mathbb{C}[\overline{\mathfrak{h}}^*]$ by $x_i \mapsto \alpha_i \beta_i$ respectively. We clearly have

Lemma 8.32. $\alpha \cdot (\beta * \gamma) = (\alpha * \beta) \cdot \gamma$.

If $\alpha_j \in \text{Hom}_{S_n} \bar{\mathfrak{h}}, \mathbb{C}[\bar{\mathfrak{h}}^*]$ is given by $x_i \mapsto x_i^j$, then we can compute

Proposition 8.33. $\alpha_1 * \xi_k = \sum_{i=0}^k u_i \xi_{k-i} + (n - k - 1) \xi_{k+1}$.

Proof. Since $\frac{\partial e_k}{\partial x_i} = e_{i-1}(x_1, \dots, \hat{x}_i, \dots, x_n)$, one has

$$x_i \frac{\partial e_k}{\partial x_i} = e_k - \frac{\partial e_{k+1}}{\partial x_i},$$

therefore

$$x_i \frac{\partial \bar{e}_k}{\partial x_i} = \bar{e}_k + (n - k) \frac{\partial \bar{e}_{k+1}}{\partial x_i},$$

and

$$(22) \quad z x_i \frac{\partial \bar{E}(z)}{\partial x_i} = z \bar{E}(z) + (n + 1) \frac{\partial \bar{E}(z)}{\partial x_i} - \frac{\partial^2 \bar{E}(z)}{\partial z \partial x_i}.$$

By (21) $\bar{E}(z) = \exp(-U(z))$, so we can rewrite (22) as

$$z x_i \frac{\partial U(z)}{\partial x_i} = -z + (n + 1) \frac{\partial U(z)}{\partial x_i} - \frac{\partial^2 U(z)}{\partial z \partial x_i} + \frac{\partial U(z)}{\partial x_i} \cdot \frac{\partial U(z)}{\partial z}.$$

By expanding in z , we get the equation

$$\frac{x_i}{k+1} \frac{\partial u_k}{\partial x_i} = -\delta_0^k + \frac{(n-k-1)}{k+2} \frac{\partial u_{k+1}}{\partial x_i} + \sum_{j=0}^k \frac{u_j}{k+1-j} \cdot \frac{\partial u_{k-j}}{\partial x_i},$$

thus we can use the equation $\nabla u_i = (i+1)\xi_i$:

$$x_i (\xi_k)_i = -\delta_0^k + (n-k-1) (\xi_{k+1})_i + \sum_{j=0}^k u_j \cdot (\xi_{k-j})_i.$$

□

We can now prove the main result of this section.

Theorem 8.34. $d_{\alpha_N}(\xi_k) = \sum_{j_1+\dots+j_N=k} u_{j_1} \dots u_{j_N}$, where the sum runs over $j_i \geq 0$.

Proof. We have

$$d_{\alpha_N}(\xi_k) = [\alpha_N \cdot \xi_k],$$

where $[\cdot]$ denotes the equivalence class in the associated graded group. By Lemma 8.32

$$\alpha_N \cdot \xi_k = (\alpha_1 * \alpha_{N-1}) \cdot \xi_k = \alpha_1 \cdot (\alpha_{N-1} * \xi_k).$$

By repeatedly applying Proposition 8.33, we find that

$$\alpha_{N-1} * \xi_k = \sum_{j_1+\dots+j_N=k} u_{j_1} \dots u_{j_{N-1}} \xi_{j_N} + \dots$$

where the terms which are omitted all have lower filtration grading. To finish the proof, we need only recall that $\alpha_1 \cdot \xi_j = \partial_1 \xi_j = u_j$. □

Corollary 8.35. $d_{\bar{\alpha}_N}(\xi_k) = \sum_{j_1+\dots+j_N=k} u_{j_1} \dots u_{j_N}$, where the sum runs over $j_i \geq 1$.

Proof. This is an immediate consequence of the remarks following Proposition 7.7. □

9. THE GEOMETRIC FILTRATION

Given a point p on a planar curve C , let $C_m^{[d]}$ be the space of colength d ideals which require m generators at p . Let $\mathfrak{w}_t(X)$ denote the weight polynomial (aka the virtual Poincaré polynomial). Consider the following series:

$$(23) \quad Z(C) = \sum_{d,m} q^{2d} \mathfrak{w}_t(C_m^{[d]}) \sum_{k=0}^m \binom{m}{k}_{t^2} a^{2k} t^{k^2}$$

In [ORS12] we proposed the following

Conjecture 9.1. *Let C be a locally planar curve, smooth away from a single point p . Then the unreduced HOMFLY homology is given by:*

$$\overline{\mathcal{P}}_{\text{link}(C,p)} = (aq^{-1})^{\mu-1} (1-q^2)^{1-b} (1-q^2t^2)(1+q^2t)^{-2\tilde{g}} Z(C)$$

where \tilde{g} is the geometric genus of C , b is the number of analytic local branches at p , and μ is the Milnor invariant of the singularity.

In this section we use Springer theory to relate the above conjecture to Conjecture 1.2.

9.1. From Hilbert schemes to Hitchin fibres. For this subsection we fix $\mathfrak{g} = \mathfrak{gl}_n$. Let \mathcal{B} be the space of complete flags, and $\tilde{\mathfrak{g}} \subset \mathfrak{g} \times \mathcal{B}$ be the locus where the matrix preserves the flag. The map $s : \tilde{\mathfrak{g}} \rightarrow \mathfrak{g}$ is called the Grothendieck simultaneous resolution, and its restriction to the nilpotent matrices, $s|_{\tilde{\mathcal{N}}} : \tilde{\mathcal{N}} \rightarrow \mathcal{N}$ is called the *Springer resolution*. As Lusztig observed [Lus81], $s : \tilde{\mathfrak{g}} \rightarrow \mathfrak{g}$ is small, so $R s_* \mathbb{C}_{\tilde{\mathfrak{g}}}$ is the IC extension of its restriction to the open dense locus \mathfrak{g}^{rs} of regular semisimple elements. The fibre over such elements is naturally a S_n -torsor, and so the local system $R s_* \mathbb{C}_{\tilde{\mathfrak{g}}}|_{\mathfrak{g}^{rs}}$ carries an S_n action. Then functoriality of IC gives an action of S_n on the cohomology of every fibre. These are the *Springer representations*, which have many other equivalent constructions [Spr76, Spr78, Slo80, KL80, BM81, Hot81].

The flag manifold \mathcal{B} carries tautological bundles L_i for $i = 1, \dots, n$; the fibre of L_i at a given flag F being F_i/F_{i-1} . The cohomology $H^*(\mathcal{B})$ is the quotient of the algebra generated by the Chern classes $x_i = c_1(L_i)$ by the ideal generated by the elementary symmetric functions in the x_i ; the action of S_n is by permuting the c_i . The fibres $\mathcal{B}_\gamma := s^{-1}(\gamma)$ are similarly well understood; we restrict attention to the case of nilpotent γ . As for \mathcal{B} , the \mathcal{B}_γ are stratified by linear spaces [Spa76]. The inclusion $\mathcal{B}_\gamma \rightarrow \mathcal{B}$ induces $H^*(\mathcal{B}) \rightarrow H^*(\mathcal{B}_\gamma)$; this map is known to be surjective, and moreover can be described as the quotient by a certain explicit ideal of functions in the x_i depending only on the conjugacy class of γ [dCP81]. In particular if we stratify \mathcal{N} by conjugacy classes, the homology sheaves of $R\pi_* \mathbb{C}_{\tilde{\mathcal{N}}}$ are *trivial* local systems on each stratum.

To a nilpotent matrix γ , assign the partition $j(\gamma) \vdash n$ whose entries are the sizes of the Jordan blocks; e.g., $j(\mathbf{0}) = (1^n)$. Since all $H^*(\mathcal{B}_\gamma)$ with $j(\gamma) = \pi$ are canonically isomorphic, we will sometimes denote this space as $H^*(\mathcal{B}_\pi)$. Our convention for partitions is as in [Mac79]: a partition μ has parts $\mu_1 \geq \mu_2 \geq \dots$; we write $\ell(\mu)$ for the number of parts, $n(\mu) := \sum (i-1)\mu_i$, and μ' for the transposed partition. Recall that irreducible representations of S_n are indexed by partitions of n ; in our convention $V_{(n)}$ is the trivial representation, and more generally $V_{(1^k, n-k)}$ is the k -th exterior power of the standard representation. Writing $S_\pi := S_{\pi_1} \times \dots \times S_{\pi_k}$, it was shown (apparently first in unpublished work of Macdonald) that $H^*(\mathcal{B}_\gamma) = \text{Ind}_{S_{j(\gamma)}}^{S_n} 1$. The decomposition into irreducible representations of S_n is given by the Kostka numbers [FH91,

Cor. 4.39]: $K_{\lambda\mu} = \dim \operatorname{Hom}_{S_n}(V_\lambda, \operatorname{Ind}_{S_\mu}^{S_n})$. The $K_{\lambda\mu}$ are combinatorially the number of semi-standard tableaux on λ with μ_k k 's. It is easy to see $K_{(1^k, n-k), \mu} = \binom{\ell(\mu)-1}{k}$, where $\ell(\mu)$ is the number of parts of μ .

The Kostka numbers admit a refinement $\tilde{K}_{\lambda\mu}(x)$ such that $\tilde{K}_{\lambda\mu}(1) = K_{\lambda\mu}$. These have many interpretations, see [Mac79] and the references thereof; in particular [Lus81, HS77]:

$$\tilde{K}_{\lambda\mu}(t^2) = \sum t^i \dim \operatorname{Hom}_{S_n}(V_\lambda, H^i(\mathcal{B}_\mu))$$

The $\tilde{K}_{\lambda\mu}(x)$ also admit a combinatorial expression $\tilde{K}_{\lambda\mu}(x) = \sum_{T \in SST(\lambda, \mu)} x^{n(\mu) - c(T)}$, where $SST(\lambda, \mu)$ are the same tableaux counted by $K_{\lambda\mu}$ and $c(T)$ is a certain complicated statistic called the *charge* [LSc79] (or see [Mac79, III.6]).

Lemma 9.2. *Let $\mathcal{N}^{(m)}$ be the locus of nilpotent matrices with kernel of dimension m . Let \mathfrak{h} be the standard representation of the symmetric group. Then $\operatorname{Hom}_{S_n}(\Lambda^k \mathfrak{h}, R S_* \mathbb{C}|_{\mathcal{N}^{(m)}})$ is a direct sum of constant sheaves. The fibre is pure with Poincaré series $t^{k(k+1)} \binom{m-1}{k}_{t^2}$.*

Proof. It is known [Spa76] that all the Springer fibers \mathcal{B}_γ are paved by linear spaces, from which the purity of the weight filtration follows. Moreover [dCP81] the cohomology of the fibers is given explicitly as an S_n -equivariant quotient of $H^*(\mathcal{B})$ by an ideal which (1) depends only on the partition and (2) increases as the partition increases in the dominance order. In particular all $H^*(\mathcal{B}_\gamma)$ for $\gamma \in \mathcal{N}^{(m)}$ have a canonical surjective S_n -equivariant map onto $H^*(\mathcal{B}_{(n-m, 1^m)})$. Hence $\operatorname{Hom}_{S_n}(\Lambda^k \mathfrak{h}, R S_* \mathbb{C}|_{\mathcal{N}^{(m)}})$ maps surjectively to the constant local system with fibre $\operatorname{Hom}_{S_n}(\Lambda^k \mathfrak{h}, H^*(\mathcal{B}_{(n-m, 1^m)}))$. As all fibres have cohomology with the same total dimension $K_{(n-k, 1^k), \mu} = \binom{m-1}{k}$, the map is an isomorphism.

We are now reduced to computing one fibre, so it will suffice to show

$$\tilde{K}_{(n-k, 1^k), (n-m, 1^m)}(t^2) = t^{k(k+1)} \binom{m-1}{k}_{t^2}$$

By [LSc79], this is a straightforward combinatorial exercise; or see [Mac79, p. 362, ex. 2]. \square

Remark. It follows that

$$\tilde{K}_{(n-k, 1^k), \mu}(t^2) = t^{k(k+1)} \binom{\ell(\mu)-1}{k}_{t^2}$$

this is also easy to see directly from the combinatorial description of the $\tilde{K}(x)$.

We will need the slightly stronger statement:

Lemma 9.3. *Let $s/G : [\tilde{\mathfrak{g}}/G] \rightarrow [\mathfrak{g}/G]$ be the (stacky) adjoint quotient of the simultaneous resolution. Then $\operatorname{Hom}_{S_n}(\Lambda^k \mathfrak{h}, R(s/G)_* \mathbb{C}|_{\mathcal{N}^{(m)}/G})$ is a direct sum of constant sheaves. The fibre is pure with Poincaré series $t^{k(k+1)} \binom{m-1}{k}_{t^2}$.*

Proof. By definition, we should show that the result of Lemma 9.2 holds when \mathfrak{g} , \mathcal{N} , \mathcal{B} , etc., are replaced by torsors arising from some not-necessarily-trivial rank n bundle. But note that although the bundle of flag varieties is not trivial, its cohomology forms a trivial local system because it is generated by Chern classes of tautological bundles. Now the argument of Lemma 9.2 applies. \square

We recall basic properties of the Hitchin fibration [Hit87, BNR89, Ngo06]; for a good introduction see [DM95]. Fix a smooth base curve X , and a line bundle L of degree at least $2g(X)$. Then moduli space of Higgs bundles $\mathcal{M}_X = \mathcal{M}_{X, L, n}$ parameterizes pairs $(E, \phi : E \rightarrow E \otimes L)$ where E is a rank n vector bundle over X . We write $\mathcal{M}_{X, \ell}$ for the component where E has

some fixed degree ℓ . Let $\mathcal{A}_X = \mathcal{A}_{X,L,n}$ be the vector space parameterizing curves in the total space of L projecting with degree n to X ; we write $[C] \in \mathcal{A}$ to denote the point corresponding to a curve C . Then the *Hitchin fibration* is the map $h : \mathcal{M}_X \rightarrow \mathcal{A}_X$ which takes (E, ϕ) to the “spectral” curve cut out by the characteristic polynomial of ϕ . We restrict attention to the locus $\mathcal{A}_X^\heartsuit \subset \mathcal{A}_X$ of integral spectral curves. Over this locus all Higgs bundles must be simple, since a sub-bundle would give rise to a sub-spectral curve. The restriction $\mathcal{M}_\ell|_{\mathcal{A}_X^\heartsuit} \rightarrow \mathcal{A}_X^\heartsuit$ is a proper flat map between smooth varieties. Henceforth we omit the \heartsuit .

Let $[C] \in \mathcal{A}_X$ be a spectral curve. The fibre $\mathcal{M}_{X,\ell,[C]} := h^{-1}([C])$ can be identified with the moduli space $\overline{\mathcal{J}}^{\ell'}(C)$ of torsion free rank one sheaves of degree $\ell' = \ell + \binom{n}{2} \deg L$ on C : such a sheaf pushes forward to a rank n bundle on X , which comes equipped with a Higgs field induced from the tautological section $C \rightarrow L|_C$.

Fixing a point $x \in X$ yields an evaluation map $ev : \mathcal{M}_{X,\ell} \rightarrow [\mathfrak{g}/G]$ which takes the conjugacy class of ϕ_x . The *parabolic Hitchin system* is given by $\widetilde{\mathcal{M}}_X = \mathcal{M}_X \times_{[\mathfrak{g}/G]} [\widetilde{\mathfrak{g}}/G]$. In other words, $\widetilde{\mathcal{M}}_X$ parameterizes triples $(E, \phi : E \rightarrow E \otimes L, \text{a complete flag in } E_x \text{ preserved by } \phi_x)$. Evidently the fibre product is compatible with the map to \mathcal{A}_X . We denote the projection also as $s : \widetilde{\mathcal{M}}_X \rightarrow \mathcal{M}_X$. By pullback we obtain the action of S_n on $R s_* \mathbb{C}_{\widetilde{\mathcal{M}}_X}$. We write \mathcal{N}_X for the preimage under ev of the nilpotent cone \mathcal{N} , and $\widetilde{\mathcal{N}}_X$ for the preimage of $\widetilde{\mathcal{N}}$ under the projection to the second factor.

Let $\mathcal{M}_X^{(m)} \subset \mathcal{M}_X$ be the locus where the $\dim E_x / \phi_x E_x = m$, and similarly $\mathcal{N}_X^{(m)}$. Consider some $(E, \phi) \in \mathcal{N}_X^{(m)}$; note in particular the spectral curve C_a has a single point over x which moreover is on the zero section of L . Let z be a local coordinate on X near x , and y a vertical coordinate along the line bundle, and $f(z, y)$ the defining equation of the spectral curve. If F is the torsion free sheaf on C such that $\pi_* F = E$, then

$$\dim F / (z, y)F = \dim(F/zF) / y(F/zF) = \dim E_x / \phi_x E_x = m$$

Lemma 9.4. $\text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, R s_* \mathbb{C}|_{\mathcal{N}_X^{(m)}})$ is a trivial local system whose fibre is pure with Poincaré series $t^{k(k+1)} \binom{m-1}{k}_{t^2}$.

Proof. By pullback from Lemma 9.3. □

Let $\mathcal{H}_{X,\ell}$ denote the space of triples (E, ϕ, σ) where $(E, \phi) \in \mathcal{M}_{X,\ell}$, and $\sigma \in \mathbb{P}H^0(X, E)$. Its fibre $\mathcal{H}_{X,\ell,[C]}$ over a fixed (integral) spectral curve $[C] \in \mathcal{A}$ parameterizes torsion free sheaves with a section; since C is locally planar and hence Gorenstein we have $\mathcal{H}_{X,\ell,[C]} \cong C^{[\ell']}$ [PT10, Appendix B] where as before $\ell' = \ell + \binom{n}{2} \deg L$. Let $\widetilde{\mathcal{H}}_{X,\ell} = \mathcal{H}_{X,\ell} \times_{[\mathfrak{g}/G]} [\widetilde{\mathfrak{g}}/G]$ be the parabolic version, and $\mathcal{H}_{X,\ell}^{(m)}$ the locus where ϕ_x is nilpotent and $\dim E_x / \phi_x E_x = m$.

Assume C is nonsingular away from its fibre over x and ϕ_x is nilpotent. In [ORS12] we used the notation $C_m^{[\ell']}$ to denote the locus in the Hilbert scheme of points where the ideal sheaf requires m generators; we have seen above that this may be identified with $\widetilde{\mathcal{H}}_{X,\ell,[C]}^{(m)}$.

We have the binomial identity

$$\sum_{i=0}^r \binom{r}{i}_{t^2} A^i t^{i(i-1)} = \prod_{i=0}^{r-1} (1 + t^{2i} A)$$

which we may use in conjunction with the analogue for $\widetilde{\mathcal{H}}$ of Lemma 9.4 to conclude

$$Z(C) = (1 + a^2 t) \sum_{d',k} q^{2d'} (a^2 t)^k \mathfrak{w}_t \text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, H^*(\widetilde{\mathcal{H}}_{X,\ell,[C]}))$$

Thus we have exchanged explicit mention of the number of generators of ideals for taking isotypic components with respect to the Springer action on a parabolic object.

We now recall from [MY11, MS11] how to replace the Hilbert schemes with Hitchin fibres. As always we work over the locus \mathcal{A}^\heartsuit of integral spectral curves. Since $\mathcal{M}_{X,\ell}$ is smooth and h is proper, the decomposition theorem of Beilinson, Bernstein, and Deligne [BBD82] implies that $h_*\mathbb{C}_{\mathcal{M}_{X,\ell}}$ splits as a direct sum of shifted semisimple perverse sheaves. From the support theorem of Ngô [Ngo10], we know that moreover these constituents are all IC sheaves associated to the local systems of cohomologies of fibres on the smooth locus \bar{h} of h . In particular, at the fibre over some fixed spectral curve C we obtain a decomposition

$$H^i(\mathcal{M}_{X,\ell,[C]}) \cong \bigoplus_j H^i(\mathrm{IC}(\mathrm{R}^j\bar{h}_*\mathbb{C})[-j - \dim \mathcal{A} - g]_{|[C]})$$

On the RHS the restriction of the IC sheaf to a point gives some complex, and we are just taking its cohomology as a complex. We will denote by $H^{i,j}(\mathcal{M}_{X,\ell,\alpha})$ the j -th summand on the RHS.⁴

Let $f : \mathcal{H}_{X,\ell} \rightarrow \mathcal{M}_{X,\ell}$ be the forgetful map. It is shown in [MY11, MS11] that $\mathcal{H}_{X,\ell}$ is nonsingular, and that the shifted perverse summands of $h_*f_*\mathbb{C}_{\mathcal{H}_{X,\ell}}$ all have support equal to \mathcal{A}_X . Consequently we may compare $h_*f_*\mathbb{C}_{\mathcal{H}_{X,\ell}}$ and $f_*\mathbb{C}_{\mathcal{M}_{X,\ell}}$ along the common smooth locus of both maps (ie, the locus of smooth spectral curves), take IC sheaves to get a comparison over all of \mathcal{A}_X , and then restrict to the (singular) spectral curve of interest. Thus in [MY11, MS11] it is proven that:

$$(1 - q^2)(1 - q^2t^2) \sum_{\ell'=0}^{\infty} q^{2\ell'} H^*(\mathcal{H}_{X,\ell,[C]}) = \sum_{i=0}^{2g} q^{2i} H^{*,i}(\mathcal{M}_{X,0,[C]})$$

The equality is an equality of mixed Hodge structures, where t indicates the inverse Tate twist (i.e., it becomes multiplication by t after taking weight polynomial). We have chosen degree 0 on the right for specificity, but the choice is arbitrary.

The analogous equality holds S_n -equivariantly in the parabolic case. Over the open locus \mathcal{A}^{rs} where the spectral curve consists of n distinct points over x this is clear: the maps $\tilde{\mathcal{H}} \rightarrow \mathcal{H}$ and $\tilde{\mathcal{M}}_X \rightarrow \mathcal{M}_X$ are just S_n torsors. Therefore it suffices to show that $h_*f_*\mathbb{C}_{\tilde{\mathcal{H}}}$ and $f_*\mathbb{C}_{\tilde{\mathcal{M}}}$ are the intermediate extensions of their restrictions to \mathcal{A}^{rs} . This fact is established for $f_*\mathbb{C}_{\tilde{\mathcal{M}}}$ by Yun [Yun11], and a combination of the arguments there with the appropriate S_n enrichment of the arguments in [MY11] yields the result for $h_*f_*\mathbb{C}_{\tilde{\mathcal{H}}}$ (see [OY??] for details). Thus we conclude:

Theorem 9.5. *Let the reduced curve C appear as a spectral curve in the Hitchin system. Then,*

$$\begin{aligned} Z(C) &:= \sum_{d,m} q^{2d} \mathfrak{w}_t(C_m^{[d]}) \sum_{k=0}^m \binom{m}{k} a^{2k} t^{k^2} \\ &= \frac{1 + a^2t}{(1 - q^2)(1 - q^2t^2)} \sum_{i=0}^{2g} q^{2i} (a^2t)^k \mathfrak{w}_t(\mathrm{Hom}_{S_n}(\Lambda^k \mathfrak{h}, H^{*,i}(\tilde{\mathcal{M}}_{X,0,[C]}))) \end{aligned}$$

Thus the conjecture of [ORS12] for the *reduced* homology of a knot reads

⁴ Because the general fibres are abelian varieties, one can produce a canonical isomorphism. Moreover, any family of compactified Jacobians with smooth total space will induce the same isomorphism, so the decomposition depends only on $[C]$ and not the way in which it appears as a spectral curve.

$$\mathcal{P}_{\text{link}(C,x)} = (1 + q^2t)^{-2\tilde{g}}(aq^{-1})^\mu \sum_{i=0}^{2g} q^{2i}(a^2t)^k \mathbf{w}_t(\text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, H^{*i}(\widetilde{\mathcal{M}}_{X,0,[C]})))$$

and when C is rational, which may always be arranged,

$$\mathcal{P}_{\text{link}(C,x)} = (aq^{-1})^\mu \sum_{i=0}^{\mu} q^{2i}(a^2t)^k \mathbf{w}_t(\text{Hom}_{S_n}(\Lambda^k \mathfrak{h}, H^{*i}(\widetilde{\mathcal{M}}_{X,0,[C]})))$$

In other words, the cohomological degree on the Hitchin fibre should match the grading $\mu/2 - h$ on the HOMFLY homology.

9.2. From Hitchin fibers to Cherednik algebras. In this section we discuss the results of [OY??], where the representations $L_{n/m}$ of the rational Cherednik algebra (of type A) are constructed in the cohomology of certain Hitchin fibers associated to $G = \text{SL}_n$. The difference between the SL_n case and the GL_n case discussed previously is mild and will be explained below. As before fix a smooth curve X and line bundle L ; let G be any reductive group. Then for a scheme S , the S -points of the Hitchin moduli stack $\mathcal{M}_{X,G}$ is the groupoid of Hitchin pairs (\mathcal{E}, φ) where:

- \mathcal{E} is a G -torsor over $S \times X$
- $\varphi \in H^0(S \times X, \text{Ad}(\mathcal{E}) \otimes_{\mathcal{O}_X} L), \text{Ad}(\mathcal{E}) = \mathcal{E} \times_G \mathfrak{g}$

If we choose a point $x \in X$ then by means of evaluation map at x we can define the parabolic version of the stack $\widetilde{\mathcal{M}}_{X,G} := \mathcal{M}_{X,G} \times_{\mathfrak{g}/G} \tilde{\mathfrak{g}}/G$. (This space is often denoted $\mathcal{M}_{X,G}^{\text{par}}$.) As in the case of moduli spaces from the previous section, the moduli stacks from above come equipped with the natural map h to the Hitchin base $\mathcal{A}_{X,G}$

When $\text{deg}(L) \geq 2g_X$ the restriction of the moduli stack $\mathcal{M}_{X,G}$ and $\widetilde{\mathcal{M}}_{X,G}$ on \mathcal{A}^\vee is a smooth Deligne-Mumford stack [Ngo06, Yun11]. The moduli spaces $\mathcal{M}_{X,\ell}$ and $\widetilde{\mathcal{M}}_{X,\ell}$ considered in the previous section are open substacks of $\mathcal{M}_{X,\text{GL}_n}$ and $\widetilde{\mathcal{M}}_{X,\text{GL}_n}$. There is an embedding of the Hitchin bases $\mathcal{A}_{X,\text{SL}_n} \rightarrow \mathcal{A}_{X,\text{GL}_n}$ and we can consider the map $\mathcal{M}_{X,\text{GL}_n}|_{\mathcal{A}_{X,\text{SL}_n}} \rightarrow \text{Pic}(X)$ which takes the determinant of the bundle E ; the fiber over zero gives $\mathcal{M}_{X,\text{SL}_n}$. In particular when $X = \mathbb{P}^1$ the fibers of GL_n and SL_n Hitchin maps are identical, so the results on the Hitchin fiber from the previous section hold for the SL_n Hitchin fibers.

Now we restrict our attention to the case $X = \mathbb{P}^1, G = \text{SL}_n$ and we omit these objects in the notations for the Hitchin stacks. Let us fix homogeneous coordinates $[\xi : \eta]$ and $0 = [0 : 1], \infty = [1 : 0]$; we also fix $x = 0$ in the definition of $\widetilde{\mathcal{M}}$. Let $L = \mathcal{O}(d), d \geq m/n$, and consider the curve C cut out by the section $\xi^m \eta^{dn-m} \in H^0(\mathbb{P}^1, L^{\otimes n})$. C is smooth outside of the fibers over the points 0 and ∞ , where it has singularities given respectively by the equations $y^n = x^m$ and $y^n = x^{m-nd}$. By the product formula [Ngo06] we can express (topologically) this Hitchin fibre as the product of affine Springer fibers

$$\widetilde{\mathcal{M}}_{[C]} \sim \widetilde{\text{Sp}}_{n,m} \times \text{Sp}_{n,nd-m}$$

Here $\text{Sp}_{a,b}$ is the affine Springer fiber with spectral curve $y^a = x^b$ in the affine Grassmannian for SL_a , and as always the tilde denotes the parabolic version, which sits inside the affine flag manifold [KL88].

If $C_{m,n}$ is a rational spectral curve (for a Hitchin system with some other line bundle L) with a unique singularity of the type $x^m = y^n$, then according to Laumon [Lau06] there is a homeomorphism $\mathcal{M}_{[C_{m,n}]} \sim \text{Sp}_{n,m}$; the left hand side is just the compactified Jacobian of

$C_{m,n}$. Introducing parabolic structure at the point on the base curve beneath the singularity we have similarly $\widetilde{\mathcal{M}}_{[C_{m,n}]} \sim \widetilde{\text{Sp}}_{n,m}$.

As explained in the previous section the cohomology of the Hitchin fiber carries a natural filtration P coming from the perverse t -structure on $D_c^b(\mathcal{A})$. This filtration is centered at zero, but in the notation of the previous section we had put a shift so that

$$\mathrm{H}^{i;j}(\mathcal{M}_{X,[C]}) = \mathrm{Gr}_{j-g(C)}^P \mathrm{H}^i(\mathcal{M}_{X,[C]}).$$

In [Yun11] it is shown that the perverse filtration is compatible with the product formula in the sense that one can induce a local version of this filtration on the cohomology of the local factors $\text{Sp}_{n,m}$. Thus we can construct the associate graded space

$$\mathrm{Gr}^P \mathrm{H}^*(\widetilde{\text{Sp}}_{n,m}) = \bigoplus_i P_{\leq i} \mathrm{H}^*(\widetilde{\text{Sp}}_{n,m}) / P_{\leq i-1} \mathrm{H}^*(\widetilde{\text{Sp}}_{n,m}).$$

In [Yun11] the action of the trigonometric DAHA is constructed on the equivariant cohomology of the Hitchin system $Rh_* \mathbb{C}_{\widetilde{\mathcal{M}}}$ with respect to the scaling action on the Hitchin base, which is induced by scaling the line bundle L . However, taking a non-equivariant fibre (i.e. the fibre over any spectral curve other than a multiple of the zero section) comes at the cost of losing the interesting non-commutative structure. The virtue of taking the base \mathbb{P}^1 and the fibre over curve C introduced above is there is a second \mathbb{C}^* action on $\mathcal{M}_{\mathbb{P}^1}$ coming from scaling \mathbb{P}^1 , and in the fibre $\mathcal{M}_{\mathbb{P}^1,[C]}$ there remains a \mathbb{C}^* action coming from balancing the scaling on \mathbb{P}^1 and on L to preserve C . The basic idea of [OY??] is that the representations of the *rational* DAHA can be constructed by using this \mathbb{C}^* action in the constructions of [Yun11], specializing the equivariant parameter to 1, and passing to the associated graded with respect to the perverse filtration.

Theorem 9.6. [OY??] *The vector space $\mathrm{Gr}^P \mathrm{H}^*(\widetilde{\text{Sp}}_{n,m})$ carries geometrically constructed endomorphisms endowing it with the structure of a module over $\mathbf{H}_{m/n}$; it is the irreducible representation $L_{m/n}$. The perverse degree is the internal grading.*

Specializing the equivariant cohomology $\mathrm{H}_{\mathbb{C}^*}^*(\widetilde{\text{Sp}}_{n,m}) = \mathrm{H}^*(\widetilde{\text{Sp}}_{n,m})[\omega]$ at $\omega = 1$ means

$$\mathrm{H}_{\mathbb{C}^*}^k(\widetilde{\text{Sp}}_{n,m}) = \bigoplus_i \mathrm{H}^{k-2i}(\widetilde{\text{Sp}}_{n,m}) \omega^i \rightarrow \bigoplus_i \mathrm{H}^{k-2i}(\widetilde{\text{Sp}}_{n,m})$$

so operations which respect the degree in equivariant cohomology will now only respect the filtration indicated on the RHS. We saw in the previous section that the cohomological grading on $\widetilde{\text{Sp}}_{n,m}$ should correspond to the grading $\mu/2 - h$ on the HOMFLY homology. The relation between h and the filtration grading explained in the introduction suggests the following definition:

$$\mathcal{F}_i^{\text{geom}} L_{m/n} = \bigoplus_{k-j+(m-1)(n-1) \leq i} \mathrm{Gr}_k^P \mathrm{H}^j(\widetilde{\text{Sp}}_{n,m}).$$

The cohomological degree is always bounded below by the dimension $(m-1)(n-1)/2$ plus the perverse degree, so this is an increasing filtration which must stabilize at $i = (m-1)(n-1)/2$.

Proposition 9.7. [OY??] *The filtration $\mathcal{F}^{\text{geom}}$ is compatible with the filtration on $\mathbf{H}_{m/n}$.*

We conclude:

Theorem 9.8. *Conjecture 1.2 with $\mathcal{F} = \mathcal{F}^{\text{geom}}$ is implied by Conjecture 2 of [ORS12].*

The affine Springer fibres enjoy certain relations. Denoting by $\text{Sp}_{a,b}^{(c)}$ the sublocus where the endomorphism has kernel of rank c at the central point x . Then there is an identification

$\mathrm{Sp}_{n,n+m}^{(n)} \rightarrow \mathrm{Sp}_{n,m}$ given by replacing the bundle E by the kernel of the map $E \rightarrow \phi_x E_x$, the line bundle L by $L(x)$, and the automorphism ϕ by ϕ/t where t is some local coordinate at x . On the other hand we have seen in the previous section that $H^*(\mathrm{Sp}_{n,n+m}^{(n)}) = t^{n(n-1)} \mathrm{Hom}_{S_n}(\Lambda^n \mathfrak{h}_n, H^*(\widetilde{\mathrm{Sp}}_{n,n+m}))$. Composing these equalities gives the geometric incarnation of the identification $eL_{m/n} = e_{-}L_{(n+m)/n}$, and it will be shown in [OY??] that this identification is compatible with the algebra actions. Similarly there is (at least topologically) an identification $\mathrm{Sp}_{n,m} \sim \mathrm{Sp}_{m,n}$ – both spaces are identified with the compactified Jacobian of the same singularity – and it will be shown that the algebra actions are compatible with this identification $eL_{m/n} = eL_{n/m}$. Thus from Theorem 4.1 we conclude:

Proposition 9.9. $\mathcal{F}^{ind} \subset \mathcal{F}^{geom}$.

We have conjectured these filtrations always agree. As evidence we have the following result:

Theorem 9.10. $\mathcal{F}^{ind} eL_{(mn+1)/n} = \mathcal{F}^{geom} eL_{(mn+1)/n}$.

Proof. Since we have an inclusion of filtrations we need only compare the associated graded dimensions of the given spaces. For the inductive filtration, according to [GS05, GS06] this amounts to computing a certain specialization of the (generalized) q, t -Catalan numbers; the desired specialization is computed in [GH96, Thm. 4.4] and is given by the sum over Young diagrams contained in the triangle of sides $mn + 1, n$ weighted with the area. It is shown in [GM12, Cor. 1.2] that the same formula gives the Poincaré series of the compactified Jacobian of the relevant singularity, i.e., the associated graded dimensions of \mathcal{F}^{geom} . \square

9.3. Fixed points and parking functions. Let m and n be two coprime integers.

Definition 9.11. We call a function $f : \{1, \dots, n\} \rightarrow \{1, \dots, m\}$ a $\frac{m}{n}$ -parking function, if for every k one has

$$|f^{-1}([1, k])| \geq \frac{kn}{m}.$$

The set of all $\frac{m}{n}$ -parking functions will be denoted as $\mathrm{PF}_{\frac{m}{n}}$.

$\mathrm{PF}_{\frac{m}{n}}$ has a natural action of S_n by permutation of elements in the source.

Proposition 9.12. The number of $\frac{m}{n}$ -parking functions equals to m^{n-1} .

Proof. The proof is analogous to the case $m = n + 1$. There are m^n functions from $\{1, \dots, n\}$ to $\{1, \dots, m\}$, consider the action of the cycle $(1, \dots, m)$. One can check that in every orbit there is precisely one $\frac{m}{n}$ -parking function. \square

Let us draw "parking function diagrams", generalizing the similar pictures from [Hag08]. Consider a $m \times n$ rectangle and lattice path below the diagonal in it. Let us write numbers from 1 to n above this path such that

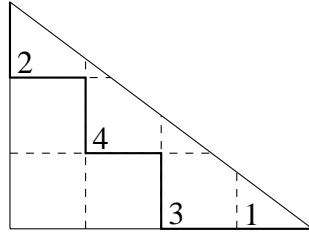
- (i) In every column there is exactly one number
- (ii) In every row the numbers are decreasing from left to right

Parking function diagrams are in one-to-one correspondence with the parking functions, where a function corresponding to a diagram is just y -coordinate.

Example. Consider a $\frac{3}{4}$ -parking function

$$\begin{pmatrix} 1 & 2 & 3 & 4 \\ 1 & 3 & 1 & 2 \end{pmatrix}$$

Its diagram is shown in Figure 4.

FIGURE 4. Example of a $\frac{3}{4}$ -parking function

Let $\Gamma = \Gamma^{m,n} = \{am + bn : a, b \in \mathbb{Z}_{\geq 0}\}$ denote the integer semigroup generated by m and n . Recall that ([LS91], [Pio07]) the compactified Jacobian $\mathrm{Sp}_{n,m}$ admits the torus action, whose fixed points correspond to the semi-modules over $\Gamma^{m,n}$. It was shown in [GM11] that such semi-modules are in 1-to-1 correspondence with the Young diagrams in the $m \times n$ rectangle R below the diagonal.

Let us label the boxes of R with integers, so that the shift by 1 up subtracts n , and the shift by 1 to the right subtracts m . We normalize these numbers so that mn is in the box $(0, 0)$ (note that this box is not in the rectangle R , as we start enumerating boxes from 1). In other words, the numbers are given by the linear function $f(x, y) = kn - kx - ny$.

One can see that the labels of the boxes below the diagonal are positive, while all other numbers in R are negative. Moreover, numbers below the diagonal are exactly the numbers from the complement $\mathbb{Z}_{\geq 0} \setminus \Gamma$, and each such number appears only once.

Definition 9.13. ([GM11]) For a 0-normalized Γ -semi-module Δ , let $D(\Delta)$ denote the set of boxes with labels belonging to $\Delta \setminus \Gamma$.

Definition 9.14. ([LW09]) Let D be a Young diagram, $c \in D$. Let $a(c)$ and $l(c)$ denote the lengths of arm and leg for c . For each real nonnegative x define

$$h_x^+(D) = \# \left\{ c \in D \mid \frac{a(c)}{l(c)+1} \leq x < \frac{a(c)+1}{l(c)} \right\}.$$

The following theorem is the main result of [GM11].

Theorem 9.15. The dimensions of cells in the compactified Jacobian can be expressed through the h^+ statistic:

$$\dim C_\Delta = \frac{(k-1)(n-1)}{2} - h_{\frac{n}{m}}^+(D(\Delta)).$$

One can see that under this correspondence a $\frac{m}{n}$ -parking function diagram corresponds to a flag of $\Gamma^{m,n}$ -semimodules

$$\Delta_1 \supset \Delta_2 \supset \dots \supset \Delta_m \supset \Delta_{m+1} = \Delta_1 + m$$

such that $|\Delta_i \setminus \Delta_{i+1}| = 1$. According to [LS91], such flags parametrize the fixed points of the natural torus action in $\widetilde{\mathrm{Sp}}_{n,m}$. Therefore we arrive at the following

Proposition 9.16. The variety $\widetilde{\mathrm{Sp}}_{n,m}$ admits a torus action with a finite number of fixed points. These fixed points are in 1-to-1 correspondence with the $\frac{m}{n}$ -parking functions.

It was shown in [LS91] that $\widetilde{\mathrm{Sp}}_{n,m}$ admits an algebraic cell decomposition with affine cells corresponding to the fixed points of the torus action. We plan to compute the dimensions of these cells and compare them with the combinatorial statistics of [HHLRU05] and [Arm10] in the future.

We finish with the combinatorial conjecture from [ORS12] describing the character of $H_{m/n}$.

Definition 9.17. Consider a diagram D corresponding to a semigroup module Δ . Let P_m denote the numbers in the SE corners, Q_i denote the numbers in the ES corners. Then

$$\beta(P_m) = \sum_i \chi(P_i > P_m) - \sum_i \chi(Q_i > P_m).$$

Example. Consider a semigroup generated by 5 and 6, and a module

$$\Delta = \{0, 1, 2, 5, 6, \dots\}.$$

Its diagram has a form:

4	-2			
9	3		-9	
14	8	2	-4	-10
19	13	7	1	-5

We have

$$\{P_i\} = \{-5, -4, 3, 4\}, \quad \{Q_j\} = \{-10, -9, -2\}.$$

Therefore

$$\beta(-5) = 3 - 1 = 2, \quad \beta(-4) = 2 - 1 = 1, \quad \beta(3) = 1, \quad \beta(4) = 0.$$

Conjecture 9.18. The triply graded character of $H_{m/n}$ can be computed as a sum over Young diagrams in $m \times n$ rectangle below the diagonal:

$$(24) \quad \mathcal{P}_{m,n}(a, q, t) = \sum_D q^{2|D|+2h_{\frac{n}{m}}^+(D)} t^{2|D|} \prod_{j=1}^r (1 + a^2 q^{-2\beta(P_j)} t).$$

For $a = 0$ this conjecture gives a refinement of Theorem 9.15, providing a combinatorial description of the perverse filtration on the cohomology of the compactified Jacobian. It was shown in the Appendix A.3 of [ORS12] that for $m = n + 1$ the formula (24) agrees with the combinatorial statistics describing the q, t -multiplicities of hooks in the diagonal harmonics, conjectured in [EHKK03] and proved in [Hag04].

The authors wrote a computer program calculating the right hand side of (24), its output is available by request. In all known examples the results agree with the ones of [AS11], [Che11] and [DMMSS11], obtained by completely different methods.

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