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1 **Towards a generic analytical framework for sustainable nitrogen management:**
2 **application for China**

3

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33 **Abstract**

34 Managing reactive nitrogen (N_r) to achieve a sustainable balance between production of
35 food, feed and fibre, and environmental protection is a grand challenge in the context of
36 an increasingly affluent society. Here, we propose a novel framework for national
37 nitrogen (N) assessments enabling a more consistent comparison of the uses, losses and
38 impacts of N_r between countries, and improvement of N_r management for sustainable
39 development at national and regional scales. This framework includes four key
40 components: national scale N budgets, validation of N fluxes, cost-benefit analysis and
41 N_r management strategies. We identify four critical factors for N_r management to
42 achieve the sustainable development goals: N use efficiency (NUE), N_r recycling ratio
43 (e.g., ratio of livestock excretion applied to cropland), human dietary patterns and food
44 waste ratio. This framework was partly adopted from the European Nitrogen
45 Assessment and now is successfully applied to China, where it contributed to trigger
46 policy interventions towards improvements for future sustainable use of N_r . We
47 demonstrate how other countries can also benefit from the application our framework,
48 in order to include sustainable N_r management under future challenges of growing
49 population, hence contributing to the achievement of some key sustainable development
50 goals (SDGs).

51

52 **Key words:** Cost-benefit analysis; Environmental protection; Food security; Nitrogen
53 budget; Nitrogen use efficiency; Socioeconomic barriers; Sustainable development
54 goals

55

56 **Introduction**

57 Human activities have more than tripled the global reactive nitrogen (N_r) creation rates
58 and inputs to terrestrial ecosystems through industrial N fixation (Haber-Bosch process,
59 HBNF), cultivated biological N fixation (CBNF) and unintended N oxide (NO_x)
60 emissions from fossil fuel combustion, compared to the pre-industrial era ¹. The
61 elevated N inputs to agriculture and forestry have substantially increased the supply of
62 food, energy and materials, but also result in detrimental effects on the environment,
63 human health, ecosystem structure and function, and climate ^{2, 3}. About 50% of the
64 global population in the late-20th century was sustained by food production fertilized

65 with N derived from the HBNF process ⁴. However, more than half of the N used in
66 agriculture is lost to the environment, largely as N_r ^{1,5}. The same molecule of N_r can
67 cause a sequence of effects and result in N cascading across multiple scales and
68 environmental compartments ⁶, involving complex anthropogenic, biological, chemical,
69 physical and geological processes ⁷ (Figure 1). A cost of 70-320 billion Euro have been
70 attributed to detrimental effects on the environment and human health in Europe ⁸.
71 There is a substantial disparity in N use between global regions or countries, ranging
72 from too much N use in Europe, the United States (US), India or China, to too little in
73 Africa⁹, impacting on the sustainability and food security in those regions. The regional
74 differences in N use are determined by both human activities such as economic
75 development ^{1,5}, and natural conditions such as climate and soil conditions ^{10,11}.
76 Understanding and managing the disparity in regional N uses and both their beneficial
77 and adverse effects is crucial for global sustainable development.

78 Around the globe, substantial efforts have been made to understand and
79 quantitatively assess the N cycles from the field to local/regional scales and the
80 implication for food production and environmental protection¹². The 7th international N
81 conference held in Melbourne, Australia, in 2016 published the “Melbourne Declaration
82 on Responsible Nitrogen Management for a Sustainable Future”
83 (www.ini2016.com/melbourne-declaration) emphasising the need to conduct regional
84 and global N studies to address the issues of N_r in food security, energy, health,
85 environment, biodiversity and climate change around the world. The US, the European
86 Union (EU) and India, have already completed comprehensive assessments of their N
87 sources, fluxes, and impacts ^{7, 13, 14}. Some regions have implemented a series of policy
88 measures to reduce N_r losses ^{15, 16}. However, specific findings and policies developed
89 e.g. in the US and Europe may not be applicable to other regions, because the N
90 challenges generally differ substantially between regions due to biogeochemical, socio-
91 economical, cultural and political factors ⁵. Other countries are conducting or intend to
92 conduct N assessments to improve their N_r management, such as China ¹⁷. Here, we
93 propose a first comprehensive and uniform framework for the compilation of national N
94 assessments, in order to better understand N cycling at national (or regional) scale, and
95 to determine which policy can be developed and effectively implemented, as well as
96 improving the transferability of this understanding and knowledge between regions and

97 countries.

98 The framework and methodology for the national scale N assessment we have
99 developed addresses several N related Sustainable Development Goals
100 (www.undp.org/content/undp/en/home/sustainable-development-goals.html), in
101 particular Zero Hunger (SDG2), Good Health and Well-Being (SDG3), Sustainable
102 Cities and Communities (SDG11), Responsible Consumption and Production (SDG12),
103 Climate Action (SDG13) and Life on Land (SDG16), while contributing to other SDGs
104 and global objectives, e.g. the Convention on Biological Diversity (CBD). The
105 framework includes four building blocks: (1) national N budget, (2) validation of N
106 flux, (3) cost-benefit analysis, and (4) N_r management strategies. With these building
107 blocks, a pathway for N_r sustainable management can be mapped out by integrating the
108 socioeconomic factors and scientific understanding of the key processes of N cycling.
109 With these approaches, N assessments in different countries can be compared on the
110 same basis, contributing to a better understanding of regional N cycles, interactions
111 across spatial scales, their driving forces and consequences. This will aid policy makers
112 in formulating national policies towards sustainable N_r management in a wider regional
113 context and in interaction with international communities, while considering
114 international trade and cross-transboundary pollution, which exhibit increasingly
115 important effects on global sustainability.

116

117 **Key scientific questions addressed through N assessments**

118 *How are N uses and losses affected by natural and human factors? (Q1)*

119 Unlike the globally uniform effects of a unit emission of a greenhouse gas on climate
120 change¹⁸, the environmental impact of a unit of N_r emission depends on the source,
121 chemical form and location^{8,19}. Therefore, the environmental impacts of changes in N
122 cycles are highly spatially explicit and thus mainly expressed at a regional or even local
123 scale, and also with cross-boundary impacts². Anthropogenic N inputs from different
124 sources are generally well known, but the variation in their magnitude for some fluxes
125 such as the biological N fixation (BNF) is still quite uncertain¹. These uncertainties
126 inevitably cascade through the N cycle on regional scale and result in multiple
127 consequences, except for climate and ozone depletion impacts of N_2O emissions leading
128 to effects on global scale⁶.

129 Economic development increases fertilizer use through easier access to and reduced
130 prices of fertilizer products, whereas improved management practices reduce N
131 fertilizer use via increasing N use efficiency (NUE)⁵. NO_x emissions from fossil fuel
132 combustion are directly related to energy consumption and technological development
133 levels that control the emission rate during the combustion process²⁰. NH₃ emissions
134 show a strong temperature dependence, with more NH₃ emitted in warmer temperatures
135²¹. Generally, natural factors such as precipitation and temperature affect N cycling
136 through changing N transformation rates and cycling pathways¹¹. For instance, in dry
137 regions more N losses through NH₃ emissions to the air occur, while transportation to
138 groundwater and surface water is commonly found to be higher in humid regions²².

139 Overall, regional variations of the N fluxes and their environmental impacts may be
140 attributed to anthropogenic factors such as economic growth, technological
141 advancement, policy innovation, and natural factors such as air temperature and
142 precipitation⁵ (Figure 2). These variations result in substantial uncertainties in N flows
143 and fates⁹. Although recent studies have improved our understanding and hence the
144 more accurate quantification of the global N flows and fates¹, uncertainties regarding N
145 fluxes are still substantial due to inadequate understanding of N fates and their driving
146 factors on a regional scale¹⁷. More regional work is needed to better understand the
147 mechanisms and constrain the uncertainties.

148

149 ***How to quantify the societal costs and benefits of N uses? (Q2)***

150 Quantifying the costs and benefits of N use is one way to address multiple, adverse as
151 well as beneficial impacts of different chemical forms and sources of N_r (Figure 2). A
152 consistent approach to the valuation of multiple impacts allows a weighted comparison
153 of various uses (inputs) and emissions of N_r. Studies on the cost-benefit analysis of N
154 cycles on a regional scale are scarce, and only selected studies^{8, 19, 23} attempted a
155 comprehensive assessment of costs and benefits in monetary terms. Large ranges and
156 uncertainties exist in these previous estimates, e.g., the cost per kilogram of N_r loss
157 ranges over one order of magnitude^{8, 24}. The variation of population exposure to N_r
158 pollutants in different regions might equally be a major cause of this large range¹⁹.
159 Moreover, effects on ecosystem function and services are important, but typically not
160 included^{24, 25}.

161 A substantial element of human health costs arising from atmospheric N_r pollution
162 is the quantification of the loss of healthy life years due to exposure to fine (N-
163 containing) particulate matter. NO_x contributing to the formation of tropospheric ozone
164 and to a lesser extent, especially in urban areas, direct exposure to ambient
165 concentrations of NO_2 also affects human health⁸. The societal cost of human health
166 impacts depends on the statistical value attributed to a healthy life year, which is highly
167 related to the income level of a country²⁶. This illustrates that national assessments on
168 the cost and benefit of N_r uses and losses are crucial, as they take into consideration
169 national characteristics, such as population exposure rate and value of a healthy life
170 year, and determine the most appropriate parameters for the analysis. To make the
171 results from different countries comparable, a tiered approach is proposed to quantify
172 the cost-benefit of N_r uses and losses. We distinguish five tiers that can be developed
173 from criteria for environmental quality (Tier 1), to impacts of N_r pollution on
174 ecosystems and health (Tier 2), nationally agreed policy objectives for environmental
175 quality (Tier 3), metrics to aggregate impacts N_r on human health (e.g. Disability
176 Adjusted of Life Years, DALY) and ecosystems (e.g. biodiversity or services) (Tier 4)
177 and a loss or gain of prosperity or welfare in monetary terms (Tier 5). More details
178 about the five tiers can be found in *SI text*.

179

180 ***What are the socioeconomic barriers constraining the more sustainable use of N?***

181 ***(Q3)***

182 To mitigate N_r pollution, previous studies mainly focused on technological options, with
183 little consideration of the context or the implementation costs of regulations¹⁷. For
184 instance, the 4R approach (applying N fertilizer with the right type and right amount, at
185 the right time and right location) has been recommended for many years in the US and
186 EU¹⁶, but has not been widely adopted in China owing to local constraints²⁷. Excessive
187 N fertilization is common in China, as a consequence of the average farm size being
188 smaller than 0.1 hectare, which restricts the usage of advanced machineries,
189 communication, information and training required for the implementation of the 4R
190 approach²⁷. Cui et al²⁸ engaged in training activities aiming to enable millions of
191 smallholder farmers to implement advanced technologies; however, due to the high cost
192 involved, it is difficult to maintain the skill levels after delivering training or extending

193 such capabilities to a wider base of smallholder farmers ²⁹. Meanwhile, income from
194 such small farms accounts for very little fraction of family income, reducing the
195 incentive of better agronomic management practices. It implies that the socioeconomic
196 barriers are sometimes critical for the sustainable use of N, even if technological or
197 management approaches exist at zero or negative cost to the farmer. Meanwhile, the
198 cost per unit of prevented N_r emission normally increases with cumulative emission
199 reduction ⁸, suggesting it may be necessary to gradually adjust approaches to mitigate
200 N_r pollution as more stringent emission controls and methods for N_r management are
201 implemented. Hence, more work is needed to better understand the driving forces and
202 barriers underlying these non-linear mitigation pathways. Also more work is needed on
203 cost efficiency and cost-benefit analysis, including considering national and socio-
204 economic characteristics (Figure 2).

205 Apart from the implementation costs of regulations, other barriers such as human
206 behaviour related factors, e.g., culture, dietary preference, also affect the sustainable use
207 of N ³⁰ and opportunities to improve management. For instance, western diets (e.g., in
208 the US) prefer beef over pork, while eastern diets (e.g., in China) prefer pork over beef.
209 This implies that dietary structure regulations must consider the dietary culture in
210 different countries to be effective. Policies or regulations developed in some countries
211 may not transfer well to other countries due to the difference in socioeconomic barriers
212 that constrain the sustainable use of N. Identifying and quantifying these barriers for the
213 implementation of sustainable use of N_r through social surveys, sensitivity analyses and
214 cost-benefit analyses on national scale, working with social science experts and
215 economists in transdisciplinary contexts, can benefit a wider adoption of N regulation
216 measures.

217

218 **Methodology of the framework**

219 Here, we introduce a hierarchical approach of how to conduct N assessments to achieve
220 a sustainable and efficient use of N_r. The methodology broadly relates to the Driver–
221 Pressure–State–Impact–Response (DPSIR) concept ³¹ (Figure 3). It comprises four
222 stages (Figure 4). At the first stage, an integrated N budget is compiled using a mass
223 balance model to analyse interactions between major components. All the sources,
224 flows, losses, and the NUE in a country are quantified, including time series to reflect

225 the past and current status and trends of N_r use. The first research questions (Q1), and
226 the *Driver* and *Pressure* of the DPSIR concept are addressed at this stage (Figure 3).

227 At the second stage, data compiled from independent national field monitoring
228 programs, remote sensing, and published data are used for comparison with those N
229 fluxes obtained from the mass balance model from Stage 1 in order to validate model
230 results. The results of the compiled N budget are total amounts of N fluxes, which differ
231 from environmental quality objectives, i.e. N_r concentrations. Thus, a downscaling of
232 national N fluxes to match with data from environmental quality monitoring at an
233 appropriate, comparable scale is needed. The *Pressure* and *State* of the DPSIR concept
234 will be addressed at this stage (Figure 3).

235 At the third stage, a cost-benefit analysis is applied to estimate both the costs and
236 benefits of N_r use on ecosystems, human health and economy, considering the excess
237 and accumulation, respectively the deficiency of N_r in the environment. The second key
238 research question (Q2) and the *State* and *Impact* of the DPSIR concept are addressed at
239 this stage.

240 At the final (fourth) stage, the results from the first three stages are integrated to
241 assess how to build a sustainable future through improved N_r management (e.g.,
242 measures to maximize the benefits while minimizing the costs and damages of N_r uses)
243 embedded in policies, institutions and regulations. The potential pathways to ensure an
244 effective implementation of these regulations to overcome the socioeconomic barriers
245 are also discussed. The last research question (Q3), and the *Response* of the DPSIR
246 concept are addressed at this stage.

247

248 ***N budget modelling***

249 To understand the changes of N fluxes, several datasets from both national statistics and
250 global databases such as the Food and Agriculture Organization (FAO)³² and
251 International Fertilizer Industry Association (IFA)³³ can be accessed and compiled for
252 analysis. Two types of data should be taken into account: human activities and N
253 cycling parameters (e.g., NH_3 emission, runoff, and denitrification) (Figure 4). The
254 datasets of human activities cover historical changes of population, urbanisation,
255 production (industrial, crop, livestock and aquaculture), consumption (food, non-food
256 goods, energy), international trade (e.g., grains, animal products, fertilizers), land use

257 and management related to N cycling, such as manure recycling and wastewater
 258 treatment. Long-term datasets provide the best basis for a comprehensive assessment,
 259 e.g. starting from the year 1961 (the year when FAO database was available).

260 For N parameters, literature reviews are required to complement data on all of the
 261 relevant N cycling parameters, such as NH₃ emission ratios (% of total N applied),
 262 which are indicative of the local situation³⁴, such as dry climates in Australia. Some
 263 global models containing regionally specific parameters can also be used for the
 264 construction of such a dataset, e.g., IMAGE³⁵, IMAGE-GNM³⁶, GLOBIOM³⁷ and
 265 MAgPIE³⁸. However, they may not contain all N related subsystems, for instance, as
 266 many models do not include an explicit industry subsystem. To understand the complete
 267 N cycling in a country, we propose a 14-subsystem model which enables a
 268 comprehensive assessment how N flows among different functional units, such as from
 269 cropland to livestock. This 14-subsystem model can also help to quantitatively assess
 270 and subsequently reduce the uncertainties of N fluxes calculation through robustly
 271 constraining the interacting fluxes among different subsystems¹⁷. The 14 subsystems
 272 include industry, cropland, grassland, forest, urban green land, livestock, aquaculture,
 273 pet, human, wastewater treatment, garbage treatment, surface water, groundwater, and
 274 atmosphere. Comprehensive N cycling within the 14-subsystem has been formally
 275 implemented in the CHANS model (Coupled Human And Natural Systems) for China
 276¹⁷, which can be easily adapted and applied to other countries. Other models can also be
 277 used to calculate a complete or parts of a N budget based on similar mass balance
 278 principles, such as IMAGE³⁵ and MAgPIE³⁸.

279 The basic principle of mass balance for the whole system and 14 subsystems is:

$$280 \quad \sum_{h=1}^m IN_h = \sum_{g=1}^n OUT_g + \sum_{k=1}^p ACC_k$$

281 where IN_h and OUT_g represent the N inputs and outputs, respectively, and ACC_k
 282 represents the N accumulations. Most N_r inputs transfer between subsystems, for
 283 example NO_x emissions from fossil fuel combustion deposit onto three major domains,
 284 natural land (i.e., forest, natural grassland and extensive graze land), managed grassland
 285 (including intensive grazing land and cropland) and freshwater and marine water bodies,
 286 and it can undergo further transformations and result in a variety of fluxes in and from
 287 these landscapes. N inputs to a country include HBNF, BNF, fossil fuel combustion,

288 imports of N-containing products, and transboundary transports through atmospheric
289 circulation and surface water flows. N outputs across national boundaries include
290 riverine N transport to coastal waters, atmospheric circulation that advects N_r away
291 from a country, denitrification, and N-containing product exports. More details of the N
292 budget calculation for the 14 subsystems can be found in *SI Text*.

293

294 ***N fluxes validation and uncertainty***

295 The validation of N fluxes calculated in the N budget model requires monitoring data
296 from independent sources. The national scale N fluxes are downscaled to provincial,
297 county or watershed scale to match and compare with the monitoring data. Two
298 approaches can be used for downscaling: first, applying the national scale assessment to
299 provincial, county or watershed scale if the available data is sufficiently spatially
300 resolved; second, allocating total N fluxes on national scale to smaller scales through
301 proxy indexes or modelling. The calibration of the modelled N budget is required if the
302 validation results suggest a consistent and systematic bias. Then the newly calculated N
303 fluxes need to be revalidated with monitoring data until sufficient agreement is
304 achieved. Two types of monitoring data can be utilized for this: ground based
305 monitoring and remote sensing (including Earth Observation) (Figure 4). Ground based
306 monitoring covers N_r concentrations in the environment (air, water and soil). The data
307 can typically be obtained from openly accessible repositories of regulatory monitoring
308 networks, as well as published or ongoing research activities. However, the N_r
309 concentrations monitored cannot be used to validate the N fluxes calculated within the
310 budget model directly, as metrics and spatial resolution often differ. Thus, spatial
311 patterns or temporal trends of these N_r concentrations in the environment are used to
312 validate and calibrate the calculation of N fluxes. Meanwhile, some models which
313 quantitatively assess atmospheric transmission of pollutants such as the Community
314 Multi-scale Air Quality model (CMAQ) can be used to link the N fluxes to the N_r
315 concentrations in the environment ³⁹.

316 Remote sensing data can also be used to monitor the N_r concentrations in the air.
317 Column concentrations of NH_3 and NO_2 from satellite instruments such as Infrared
318 Atmospheric Sounding Interferometer (IASI), MOderate resolution Imaging
319 Spectroradiometer (MODIS) or Multi-angle Imaging SpectroRadiometer (MISR)

320 generate spatially explicit maps of N_r concentrations for comparison with N budget
321 results^{34, 40-42}. Some re-sampling and weighted mean methods are typically applied to
322 make spatial correlations comparable^{40, 41}. A spatial and temporal correlation analysis
323 between N budgets and satellite monitoring results can be conducted for the validation
324 (Figure 4). Normalized Difference Vegetation Index (NDVI) and land use data can be
325 used to validate the national vegetation and crop production datasets to ensure spatial
326 patterns of statistical data are robust⁴³.

327 The accuracy of N fluxes is critical for the subsequent costs-benefit analysis and
328 design of management strategies. This accuracy is limited by our understanding of N
329 cycles, the quality of the data, and the applicability of the calculated coefficients. The
330 input-output calculations of the 14 subsystems are based on our current understanding
331 of N cycles, and uncertainty quantifications have been introduced in areas where we
332 consider our understanding to be less advanced, such as denitrification¹⁷. The quality of
333 basic official data e.g. on food production and population consumption is important to
334 the overall uncertainty of the estimation. We believe that the official statistics are a
335 sufficiently reliable source of data for the analyses, and the confidence rating for the
336 related N fluxes such as HBNF and N in food (and straw), goods production and
337 consumption (from FAO statistics) can be considered as very high³². Nevertheless, N
338 cycling parameters usually have large uncertainty ranges because they are affected by
339 many natural (e.g. temperature) and anthropogenic (e.g. technology) factors³⁴. Thus, N
340 fluxes that are calculated from statistical data and N parameters have much higher levels
341 of uncertainty compared to the primary N fluxes such as food production. To offset
342 these uncertainties, the independently-calculated or measured data can be used to
343 calibrate these N fluxes (Figure 4). For example, soil carbon sink can be used to validate
344 the uncertainties of estimates on soil organic N accumulation¹⁷.

345

346 ***Cost-benefit analysis***

347 Benefits of N_r use are the results of increased production of the “good”, decreased
348 production of the “bad” outputs or decreased costs of measures or practices^{8, 44}. N_r costs
349 are the result of decreased production of the “good”, increased production of the “bad”
350 or increased cost of measures or practices. Cost-benefit analysis of N can be applied to
351 estimate the societal cost associated with N mitigation strategies (including the cost of

352 implementation of measures) or to calculate values and trends of societal net and gross
353 cost of N pollution (excluding the cost of measures).

354 To quantify the societal value of these goods such as crops, livestock, biofuel, and
355 non-fertilizer industrial N products, a straight forward approach would be to apply
356 market prices and purchasing power parity (PPP) correction. Unlike the goods that can
357 be valued by using marketing prices, other benefits such as ecosystem service (ES) need
358 to be estimated based on non-market valuation approaches such as those presented in
359 the framework of Millennium Ecosystem Assessment (MEA) ⁴⁵. Apart from the
360 valuation of N_r contributions to climate change effects that can also be estimated based
361 on carbon price on the global market, the non-market values of other services are
362 mainly quantified using willingness-to-pay (WTP) approaches, or restoration costs ²⁵
363 (Figure 4).

364 The costs include the market costs to produce synthetic ammonia and its
365 derivatives, the costs of damage to the environment, human health, ecosystems, climate,
366 and society (e.g., reductions of labour productivity and crop yield), and costs to mitigate
367 N_r pollution. The costs of intended N_r uses are typically straightforward to quantify by
368 including values based on market prices of resources and other inputs to produce these
369 products or services ⁸. For human health damage costs, the values for mortality and
370 morbidity (e.g. loss of a healthy human life year), as well as costs arising from
371 healthcare systems need to be quantified through N critical loading experiments and
372 dose-response-effects modelling ^{8,46}. For ecosystems and resource degradation, the
373 restoration cost or WTP to prevent or restore biodiversity loss and associated ES can be
374 used ²⁵.

375

376 ***Scenario analysis and management***

377 The findings from the first three stages provide an integrated picture of the N cycling in
378 a country from the past to the present, and their costs and benefits. To maximize the
379 benefits of N_r uses while minimizing its costs, scenario analyses can be conducted to
380 understand how different measures affect the sustainable uses of N_r (Figure 4). Firstly,
381 sensitivity analysis tests which parameters exert dominant effects on the target N fluxes
382 by using N budget models such as MAgPIE and CHANS ^{17,38}. Then, the potential
383 changes in N fluxes and their costs and benefits under different scenarios can be

384 assessed. Various management strategies can be identified based on the results of the
 385 scenario analysis, considering both the potential of N flux changes and the related costs
 386 and benefits.

387 **Scenario analysis.** For scenario development, several parameters, including NUE,
 388 N recycling ratio, dietary pattern and food waste ratio, have been identified to have
 389 substantial effects on the mitigation of N_r losses^{17, 38}. Human N requirement (mainly
 390 food N) is determined by dietary patterns and food waste ratios, and the overall N
 391 requirement and loss (N budget) can be estimated through integrating the NUE and N
 392 recycling ratios¹⁷. These four parameters can be estimated based on following
 393 equations:

$$394 \quad \text{NUE} = \frac{\text{N in products}}{\text{Total N input for production}}$$

395 where NUE refers to the efficiency to produce N containing products in a system, such
 396 as cropland, livestock, aquaculture, etc. N in products refers to N contained in the final
 397 products such as crops, meat & eggs, fishes. Total N input for production refers to the N
 398 used such as N fertilizer in cropland and feed for livestock. High NUE refers to a higher
 399 ratio of N_r contained in final useful products, and lower amounts of N_r lost to the
 400 environment⁵.

$$401 \quad \text{N recycling ratio} = \frac{\text{N reused}}{\text{Total N residue}}$$

402 where N recycling ratio refers to the ratio of N_r reused for production, such as the
 403 manure recycled to cropland for production. N reused refers to the part of N_r residue or
 404 waste reused for production, and Total N residue refers to total N residue generated
 405 such as total manure generated. The N recycling ratio mainly includes the recycled
 406 content of livestock and human excretion and straw returned to the agricultural
 407 production systems. This can increase the NUE indirectly through reducing the overall
 408 N losses.

$$409 \quad \text{Dietary pattern} = \frac{\text{Animal protein}}{\text{Total protein consumed}}$$

$$410 \quad \text{Food waste ratio} = \frac{\text{Food waste}}{\text{Food supply}}$$

411 where dietary pattern refers to the ratio of human protein consumption provided by
 412 animal products such as meat and eggs. Total protein consumed includes both vegetal

413 and animal proteins. The food waste ratio refers to how much of food supplied is not
414 consumed, usually wasted during storage, distribution and disposal. Regulations of
415 dietary pattern and food waste mainly benefit the sustainable N_r uses through reducing
416 the overall N_r demands by the end-users³⁰.

417 To simulate these N_r uses and losses, shared social pathway (SSP) storylines
418 present a useful approach to estimate the population, urbanization and per capita GDP in
419 the future³⁸. Many studies on the mitigation of N losses followed the scenarios of IPCC
420 with regard to future projections of greenhouse gas emissions, although the behaviour of
421 carbon is not always consistent with that of N⁴⁷. Therefore, the new scenarios
422 specifically designed with N in mind here are recommended for use in N assessments.

423 **Management.** Solutions for better managing N_r under different scenarios may not
424 be adopted solely due to high cost, if benefits are not recognised or quantified²⁴.
425 Therefore the results from the cost-benefit analysis and scenarios analysis on the
426 optimisation of N fluxes to achieve cost-effective solutions for a sustainable future need
427 to be integrated. The costs and benefits of each scenario could be quantified to identify
428 optimal solutions. Furthermore, whether these selected solutions for the sustainable use
429 of N_r are feasible requires further analysis of the socioeconomic barriers^{27, 48}. These
430 barriers can be economic structure (e.g., farm size), population density, culture, religion,
431 consumer or even dry climate. Thus, social scientists should be involved in assessing
432 the feasibilities of the proposed solutions. Finally, several N sustainability indices can
433 be used to translate the scientific results to the public and policy makers to make real
434 impacts, such as the N footprint concepts⁴⁹. N fluxes and their costs and benefits are
435 incorporated into the indices to reflect the quality of products and their environmental
436 effects.

437

438 **Implications of N assessment**

439 This paper presents a generally applicable framework for a comprehensive, 4-stage
440 national scale N assessment, covering all relevant N_r issues towards a better N
441 management in a country. While national N budgets have already been completed in
442 some countries, N_r related challenges vary with regions and countries and are intricately
443 linked with local socioeconomic development^{7, 14, 17}. Parts of this framework have been
444 successfully applied to EU⁵⁰ and China¹⁷, compiling a comprehensive N budget and

445 illustrating potential future trends under different scenarios. We believe that a wider
446 application of the N assessment to other countries can contribute to a substantial
447 improvement of global sustainable use of N.

448 The framework and methodology proposed in this study are inherently
449 interdisciplinary and require the integration of expertise from both natural sciences (e.g.,
450 Environmental Science, Soil Science, Ecology, Earth Science, etc.) and social sciences
451 (e.g., Economics, Management, Policy, Law, etc.). Unlike previous studies on N
452 cycling, this study designs an approach for the development of a comprehensive,
453 comparable N assessments, including the interaction between N cycling and
454 socioeconomic issues. Novel interdisciplinary methods proposed here range from site-
455 scale monitoring and validation, to regional surveys and remote sensing datasets,
456 combined with budget calculation, modelling, econometric and policy assessment. This
457 framework will advance our knowledge on how to sustainably use N_r at a national scale,
458 and how to face the challenges of N_r releases under future global change and growing
459 population pressures.

460

461 *Application for China*

462 To feed an increasingly affluent population, China uses about one third of global N_r to
463 produce food. Nevertheless, it still imports over 100 million tons of grain to meet
464 China's national food demand³². Unfortunately, a large amount of N_r is lost to the
465 environment during the production and consumption of food at the country scale,
466 resulting in serious environmental pollution in China. At the same time, substantial
467 socioeconomic developments have taken place since the late 1970s^{19, 51}. To solve the
468 double challenge of producing more food with less pollution, we applied our framework
469 of N assessment to China for the period of 1980 to 2015.

470 **Nitrogen budget.** Results showed that total N_r input to China increased from 25 to
471 71 Tg N yr⁻¹ between 1980 and 2015, of which 74% and 89% derived from
472 anthropogenic sources in 1980 and 2015, respectively (Figure 5). After input to the
473 boundary of China, N_r cascades through the 14 subsystems, and produces about 20 Tg
474 N yr⁻¹ in food and feed, while losses to the environment amount to around 50 Tg N yr⁻¹
475 in 2015. Agricultural sources are responsible for approximately 91% of total NH_3
476 emissions; fossil fuel combustion accounts for 90% of total NO_x emissions; agricultural

477 and natural sources (forest and surface water) together dominate N₂O emissions in
478 China. Agricultural sources and human sewage contribute most of the N_r discharge to
479 surface water, while agricultural sources and landfill leaching are responsible for the
480 bulk of N_r discharges to groundwater. More detailed information about the N fluxes in
481 China can be found in Figure S1.

482 ***Nitrogen flux validation.*** The modelled N_r fluxes to the environment were
483 validated using data from ground based national monitoring networks of air and water
484 quality and remote sensing data providing column concentrations of NH₃ and NO₂^{19, 34}.
485 N fluxes to air (NH₃ and NO_x emissions) were well validated using remote sensing data
486 with a regression (R²) of more than 0.7, while N fluxes to water showed a less strong
487 regression (R² ~0.5). This is due to the complex N cycling processes which occur in
488 water bodies and e.g. denitrification. Increasing the number of monitoring sites for
489 water N concentrations could help to reduce the uncertainty by providing more data for
490 a robust validation of N fluxes to water bodies.

491 ***Cost-benefit analysis.*** Integrating N_r emissions and population exposure
492 assessments, we calculated that using 2015 N_r fluxes, N_r emissions to the air (including
493 NH₃, NO_x and N₂O) caused the loss of 16.5 million life years annually in China, a much
494 higher value than the loss of 2.6 million life years found in EU^{8, 17}. If converted, these
495 losses of life years equate to a monetary value of around 200 billion US dollars
496 annually. Further analyses of other cost-benefit assessment components, such as
497 ecosystem service impacts due to N_r loss to water bodies are still ongoing.

498 ***Scenario analysis.*** An explicit consideration of the following four proposed factors
499 in the analysis could help to better explore potential interventions to mitigate N_r losses.
500 We found that increasing N use efficiency, optimising diets, increasing N recycling and
501 reducing food waste could decrease total N losses during food production and
502 consumption by about 50%, 25%, 30% and 10%, respectively. Combining feasible
503 changes in these four factors could reduce N losses by the year 2050 to about 60-70% of
504 2015 levels.

505 ***Management.*** However, to achieve these reductions, socioeconomic barriers need
506 to be addressed as indicated previously. We found that increasing farm size (current
507 average of <0.1 hectare each farm) is the crucial challenge for the implementation of
508 regulatory measures in China, especially interventions to increase NUE and recycling

509 ratio. High labour costs suggest a low level of mechanisation and automation in small
510 farms, which inhibits the application of precision agriculture and fertilization
511 technologies, as well as management based on scientific knowledge and information on
512 application methods ²⁷. Increasing farm size has been integrated as a viable intervention
513 into the recommendations to achieve the goal of a zero increase and even reduction in
514 fertilizer use in China. Nevertheless, more sophisticated assessments are still ongoing to
515 identify further socioeconomic barriers that inhibit the sustainable use of N_r in China.

516

517 **SUPPORTING INFORMATION**

518 **SI text**

519 Five tiers for the cost-benefit analysis

520 N budgets of 14 subsystems

521 **SI methods**

522 Nitrogen budget calculations for the 14 subsystems within the CHANS N cycle model

523 **SI Figures**

524 Figure S1. N cycling among the 14 subsystems in China in 1980.

525 Figure S2. Coupled Human And Natural Systems (CHANS) model structure.

526 **SI Tables**

527 Table S1-S10, parameters used within the CHANS model.

528

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544

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- 696

697 **Figure Legend**

698 **Figure 1. Major transformation pathways of the global N cycle.** Red arrows
699 represent the pathways of the human mediated N cycle; grey arrows represent the
700 pathways dominated by microbial activities; green arrows represent the pathways
701 dominated by plants; blue arrows represent the pathways dominated by atmospheric
702 chemical reactions. Abbreviations: *BNF*, biological N fixation; *CBNF*, cultivated
703 biological N fixation; *Denitri*, denitrification; *HBNF*, Haber-Bosch N fixation; *Nitri*,
704 nitrification.

705

706 **Figure 2. Simplified view of managing N for sustainable development highlighting**
707 **the three major scientific questions.** (Q1) How are N uses and losses affected by
708 natural and human factors? (Q2) How to quantify the societal costs and benefits of N
709 use? (Q3) What are the socioeconomic barriers constraining the more sustainable use of
710 N? N_r , reactive N.

711

712 **Figure 3. Conceptual diagram depicting the linkage between the DPSIR scheme**
713 **and the framework of N assessment in this study.** DPSIR, Driver–Pressure–State–
714 Impact–Response; Q1-Q3 represent the three major scientific questions need to be
715 addressed in Figure 2. (Q1) How are N uses and losses affected by natural and human
716 factors? (Q2) How to quantify the societal costs and benefits of N use? (Q3) What are
717 the socioeconomic barriers constraining the more sustainable use of N? NUE, N use
718 efficiency.

719

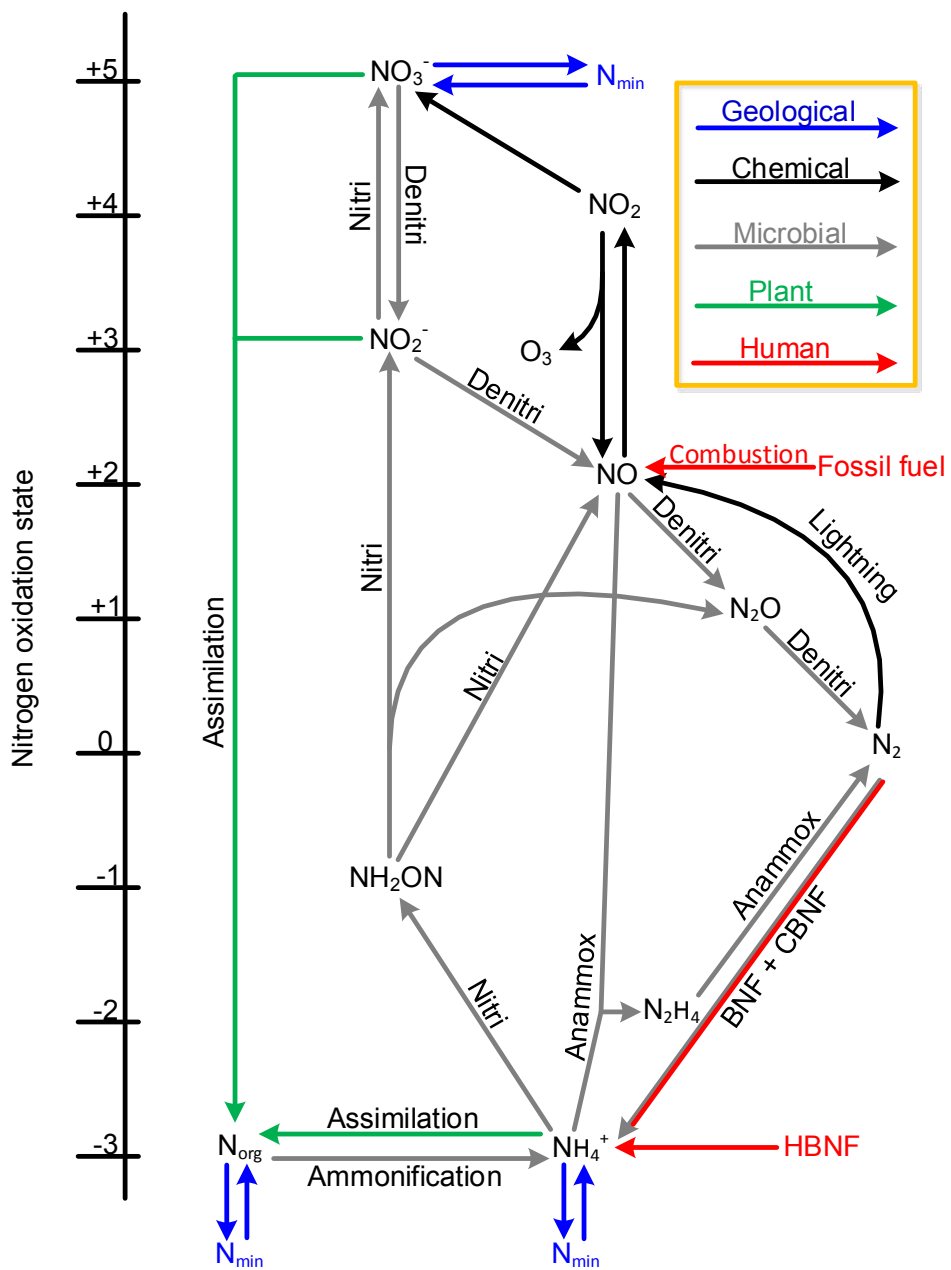
720 **Figure 4. An overview of the methodological framework.** It describes the approaches
721 to mass balance modelling, validation, cost-benefit analysis and management for the
722 sustainable future use of N_r . *BNF*, biological N fixation; *NDVI*, normalized differential
723 vegetation index; *WTPs*, willingness to pay.

724

725 **Figure 5. A simplified N cycling schematic for China for the year 2015.** Pathways
726 marked in green refer to ‘natural’ fluxes (to some extent altered by atmospheric N_r
727 deposition), those in blue are intentional anthropogenic fluxes, and those in orange are
728 unintentional anthropogenic fluxes. Not all N fluxes were included due to space limits.

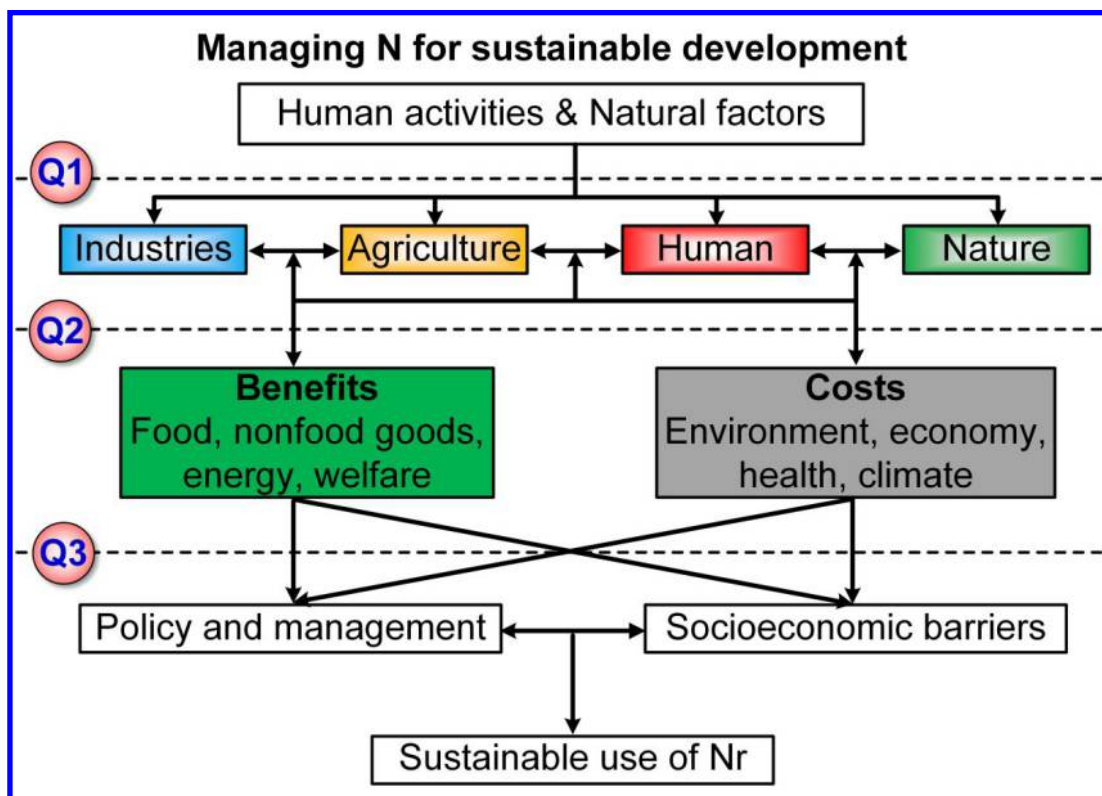
729 Nat, Natural; exp., export; wwt, wastewater treatment.

730 **Figure 1**



731

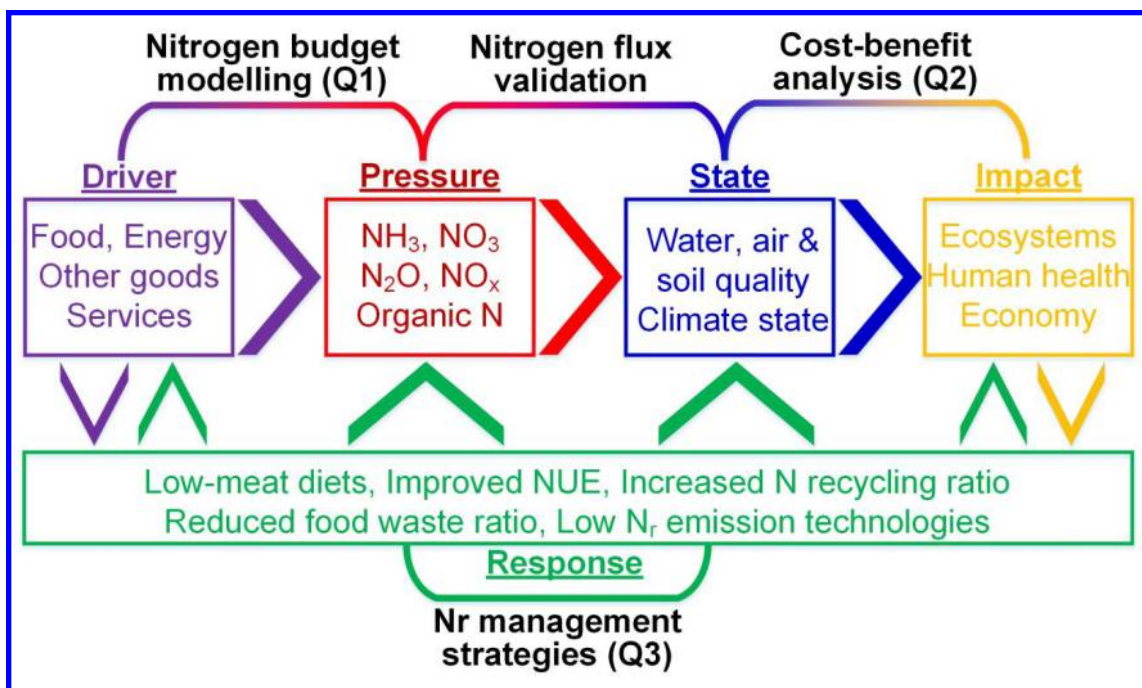
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733 **Figure 2**

734

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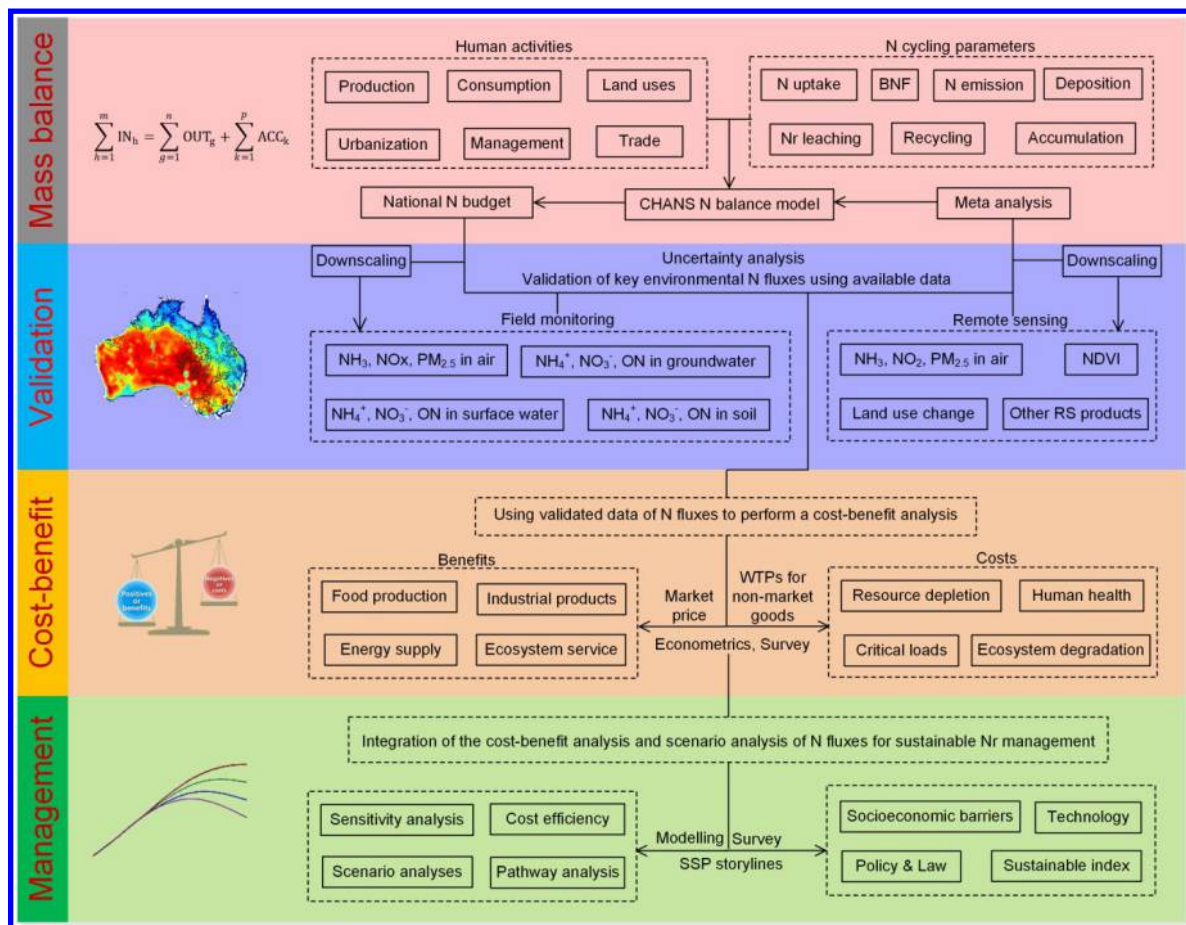
736 **Figure 3**



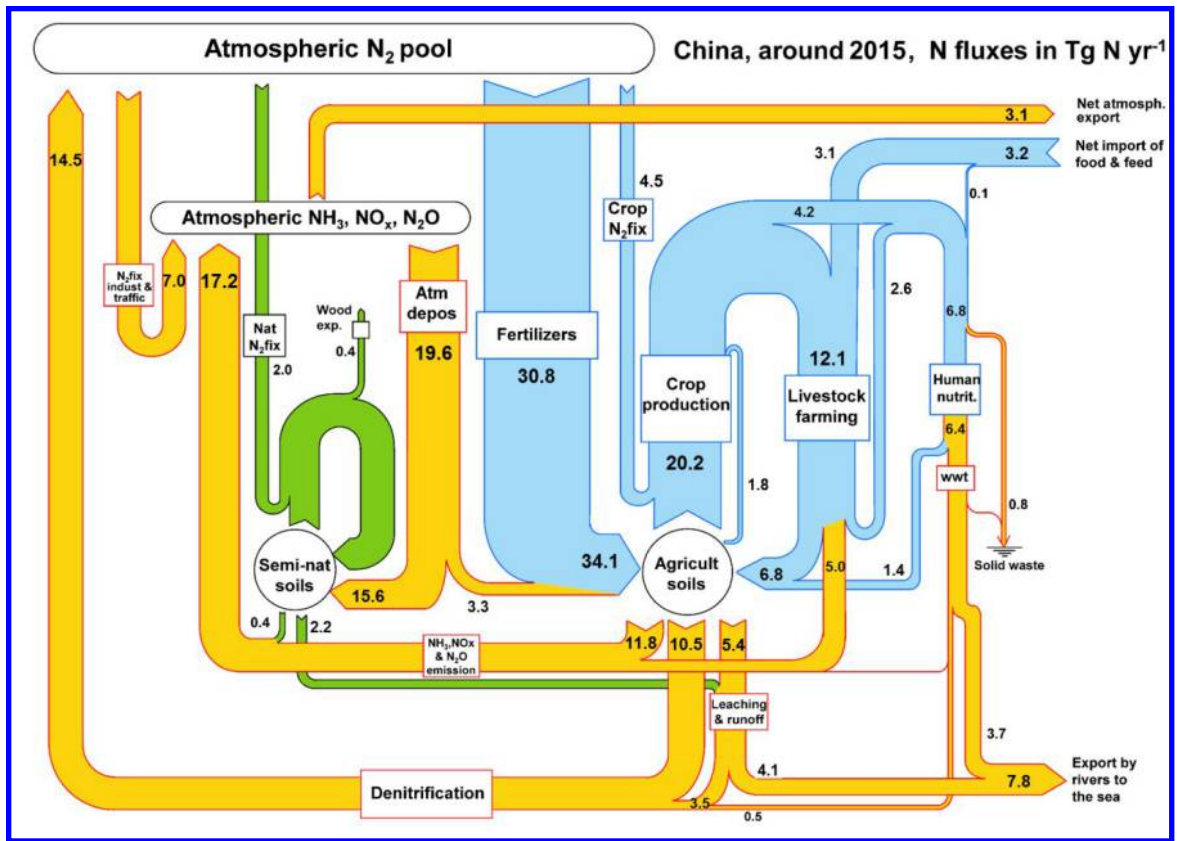
737
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740 **Figure 4**

741



742 **Figure 5**



743

