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RESEARCH ARTICLE



- Trabecular architecture in the thumb of Pan and Homo: implications for investigating hand use, loading, and hand
- preference in the fossil record

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1 | THE EVOLUTION OF HAND 35 AQ1 36 PREFERENCE AND HANDEDNESS

- 37 Handedness is a lateralized behavior that refers to the consistent, pref-
- erential use of either the right- or left-hand across skilled manipulative 38
- tasks (Marchant & McGrew, 2013; McGrew & Marchant, 1997). 39

A right-hand bias is frequently reported in humans to be between 40 \sim 85% and 95% cross-culturally, and thus is considered a population- 41 level behavior (Faurie, Schiefenhovel, Le Bomin, Billiard, & Raymond, 42 2005). Being that many functional asymmetries are now recognized 43 across vertebrates, (Cowell, Waters, & Denenberg, 1997; Frühholz et al., 44 2015; Indersmitten & Gur, 2003; Lewis, Phinney, Brefczynski-Lewis, & 45

Abstract

Objectives: Humans display an 85-95% cross-cultural right-hand bias in skilled tasks, which is considered a derived behavior because such a high frequency is not reported in wild non-human primates. Handedness is generally considered to be an evolutionary byproduct of selection for manual dexterity and augmented visuo-cognitive capabilities within the context of complex stone tool manufacture/use. Testing this hypothesis requires an understanding of when appreciable levels of right dominant behavior entered the fossil record. Because bone remodels in vivo, skeletal asymmetries are thought to reflect greater mechanical loading on the dominant side, but incomplete preservation of external morphology and ambiguities about past loading environments complicate interpretations. We test if internal trabecular bone is capable of providing additional information by analyzing the thumb of Homo sapiens and Pan.

Materials and methods: We assess trabecular structure at the distal head and proximal base of paired (left/right) first metacarpals using micro-CT scans of Homo sapiens (n = 14) and Pan (n = 9). Throughout each epiphysis we quantify average and local bone volume fraction (BV/TV), degree of anisotropy (DA), and elastic modulus (E) to address bone volume patterning and directional asymmetry.

Results: We find a right directional asymmetry in H. sapiens consistent with population-level handedness, but also report a left directional asymmetry in Pan that may be the result of postural and/or locomotor loading.

Conclusion: We conclude that trabecular bone is capable of detecting right/left directional asymmetry, but suggest coupling studies of internal structure with analyses of other skeletal elements and cortical bone prior to applications in the fossil record.

KEYWORDS

biomechanics, hand evolution, hominin behavior, microstructure, skeletal asymmetry

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DeYoe, 2006; Roth, Lora, & Heilman, 2002; Tate, Fischer, Leigh, & Ken-46 drick, 2006; Weiss, Ghazanfar, Miller, & Hauser, 2002), we understand 47 such biases to be widespread and ancient (Andrew & Rogers, 2002). 48 While the genetic determinants of handedness remain elusive, genome-49 50 wide association studies support heritability for hand preference in humans (Armour, Davison, & McManus, 2014; McManus, Davison, & 51 Armour, 2013) and chimpanzees (Pan troglodytes) (Hopkins, Reamer, 52 Mareno, & Schapiro, 2015). 53

In contrast to humans, observational studies of individual hand 54 preference in other primates have determined that variation in posture 55 (Braccini, Lambeth, Schapiro, & Fitch, 2010; Dodson, Stafford, For-56 sythe, Seltzer, & Ward, 1992; Olson, Ellis, & Nadler, 1990), task com-57 plexity (Bardo, Pouydebat, & Meunier, 2015; Byrne & Byrne, 1991; 58 Hopkins, 1995), and terrestriality (Marchant & McGrew, 2007; Miller & 59 60 Paciulli, 2002) all have an impact on the strength of hand preference. While chimpanzees do demonstrate a consistent preference across 61 62 tasks at an individual level (Marchant & McGrew, 2013), a group/species level side-bias remains unobserved in the wild (e.g., Boesch, 1991; 63 McGrew & Marchant, 1992) and only weakly present in captive popu-64 lations (~65% right directional asymmetry) (e.g., Hopkins et al., 2011; 65 Tabiowo & Forrester, 2013). Although the latter point speaks to a 66 potential capacity (Hopkins, 2013), confounding factors in captive pop-67 ulations-such as task transmission through human observation 68 (Marchant & McGrew, 2013)-suggest that species level right-hand 69 preference evolved following the panin-hominin split (Corballis, 2003), 70 and potentially only within Homo (Lozano, Mosquera, Bermúdez de 71 Castro, Arsuaga, & Carbonell, 2009; Uomini, 2011). 72

73 In response to these observations, many have proposed that the species-level right directional asymmetry in humans coevolved with (1) 74 75 an intensified reliance upon increasingly complex stone tool manufacture/use from at least ~2.6 Ma (Semaw et al., 2003; Steele, 2004; 76 77 Steele & Uomini, 2005) to possibly \sim 3.3 Ma (Harmand et al., 2015), and with (2) selection for a highly dexterous hand working in conjunc-78 tion with an augmented suite of visuo-cognitive functional asymme-79 tries (Cantalupo, Freeman, Rodes, & Hopkins, 2008; Fitch & Braccini, 80 2013; Hopkins, 2013; Meguerditchian, Vauclair, & Hopkins, 2010; 81 Steele & Uomini, 2005; Stout & Chaminade, 2012; Stout, Toth, Schick, 82 & Chaminade, 2008). Testing these proposed cause and effect relation-83 84 ships in this coevolution model hinges largely on the timing of when hand preference became fixed in past populations (Steele, 2004; Ube-85 86 laker & Zarenko, 2012; Uomini, 2009). Archaeological techniques for addressing this question rely on right/left directional asymmetries in 87 the production of rock-art stencils of the hand (Faurie & Raymond, 88 2004), or signs of striking preference during stone flake tool production 89 (Rugg & Mullane, 2001; Toth, 1985; but see Ruck, Broadfield, & Brown, 90 2015) and use (Phillipson, 1997). The value of such analyses is appa-91 rent, but their interpretive power is limited by time-averaging, the 92 sparse availability of examples at the onset of the archaeological 93 record, and their disassociation from a specific individual/group/species 94 (Cashmore, Uomini, & Chapelain, 2008). Other techniques, such as 95 skeletal analysis, suffer from missing and incomplete remains, but pro-96 97 vide information on individual and group-level preference by detecting consistent morphological departures from normal right/left symmetry 98 (Auerbach & Ruff, 2006; Van Valen, 1962) that are beyond the random 99 fluctuating asymmetries resulting from environmental stress (Palmer, 100 1994). Such directional bias has been observed in striations accruing 101 from meat cut between clenched incisors (Bermúdez de Castro, Brom- 102 age, & Jalvo, 1988; Frayer et al., 2012; Lozano et al., 2009) and asym- 103 metrical bone remodeling in response to frequent loading of the 104 humeri on the dominant side (Volpato et al., 2012). 105

1.1 Bone functional adaptation, loading, and handedness

Bone's tendency to remodel in response to the mechanical environ- 108 ment-broadly referred to as bone functional adaptation (Currey, 2003) 109 -is best explained by the mechanostat model (Frost, 1987), which 110 holds that bone mass is regulated by the continual removal and 111 renewal of strain-damaged bone. Biomechanically, morphological varia- 112 tion in both cortical (Bass et al., 2002; Shaw, 2011; Stock, 2006) and 113 trabecular bone (Lambers et al., 2013; Morgan et al., 2015; Schulte 114 et al., 2013) strongly correlates with the loading environment (Christen 115 et al., 2014). Within tubular bones, the most frequently observed corre- 116 lation is found in variation of cross-sectional geometry at the mid-shaft 117 (Marchi, 2005; Ruff, Holt, & Trinkaus, 2006; Ruff & Jones, 1981), which 118 is thought to remodel in a way that confers greater resistance to bend- 119 ing and torsion (but see Demes et al., 1998; Wallace, Judex, & Demes, 120 2015; and below). Similarly, in vivo studies analyzing homologous vol- 121 umes of interest (VOI) of trabeculae at the epiphysis (e.g., Barak, Lie- 122 berman, & Hublin, 2011; Mittra, Rubin, & Qin, 2005; Pontzer et al., 123 2006) demonstrate that differences in loading regimes results in 124 changes to the orientation, thickness, connectivity, spacing, and overall 125 distribution of this structure (e.g., Lambers et al., 2013; Reznikov, 126 Chase, Brumfeld, Shahar, & Weiner, 2015). This variation in trabecular 127 structure allows joint reaction forces to be efficiently transferred away 128 from the articular surface and into the cortices (Currey, 2003; Keaveny, 129 Morgan, Niebur, & Yeh, 2001; Lieberman, Devlin, & Pearson, 2001; 130 Rafferty & Ruff, 1994). 131

Following this model, biomechanical studies focusing on variation 132 in osseous tissue have advanced our understanding of the interrela- 133 tionships among habitual behavior, locomotion, and loading environ- 134 ments for extant (Fajardo & Müller, 2001; Marchi, 2005; Ryan & 135 Ketcham, 2002b; Ryan & Krovitz, 2006; Ryan & van Rietbergen, 2005; 136 Ryan & Walker, 2010; Stock & Pfeiffer, 2001) and extinct primates 137 (Barak et al., 2013; Ryan & Ketcham, 2002a; Trinkaus, Churchill, & 138 Ruff, 1994). Studies applying this perspective to questions of hand 139 preference frequently report a right directional asymmetry in mechani- 140 cal resistance of the upper limbs (Barros & Soligo, 2013; Churchill & 141 Formicola, 1997; Macintosh, Pinhasi, & Stock, 2014; Shaw, Hofmann, 142 Petraglia, Stock, & Gottschall, 2012; Trinkaus et al., 1994;) and manual 143 remains (Lazenby, 1998; Lazenby, Cooper, Angus, & Hallgrimsson, 144 2008; Mays, 2002; Roy, Ruff, & Plato, 1994; Singh, 1979) of past 145 human populations that are commensurate with group/species-level 146 right handedness. Such departures from right/left symmetry are 147 thought to be the byproduct of frequent lateralized loading on the 148

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dominant side (Auerbach & Ruff, 2006; Kanchan, Mohan Kumar, Pradeep Kumar, & Yoganarasimha, 2008), which is supported by studies of
uni-manual loading and self-reported hand preference in living athletes
(Bass et al., 2002; Shaw, 2011).

Despite such results, the relationship between behavior and bone 153 functional adaptation is not always straightforward. For example, varia-154 155 tion in primate trabecular structure of different skeletal elements does not always correlate well with predicted loading based on locomotor 156 157 behavior because of high intragroup variation or substantial overlap across different locomotor groups (e.g., Fajardo, Müller, Ketcham, & 158 Colbert, 2007; Maga, Kappelman, Ryan, & Ketcham, 2006; Ryan & 159 Shaw, 2012; Schilling et al., 2013). Furthermore, Shaw and Ryan (2012) 160 found a consistent biomechanical signal in the cross-sectional geometry 161 and trabecular architecture of the primate humerus but not in the 162 femur. Such disagreement is consistent with Stock, Shirley, Sarringhaus, 163 Davies, and Shaw (2013) who found that levels of right directional 164 asymmetry in the paired humeri and second metacarpals differed 165 166 across medieval, industrial, and hunter-gatherer populations. Of these groups, the hunter-gatherer group showed a much stronger right direc-167 tional asymmetry in the humerus (83.6%) compared with second meta-168 carpal (62.5%) (Stock et al., 2013). Although many of these studies do 169 170 uphold an interpretation of some response to loading, it is clear that the mechanisms underlying bone functional adaptation are not fully 171 understood (Currey, 2012) and that many other factors may contribute 172 173 to changes in bone morphology.

174 Indeed, debate exists about the potential systemic impact on other 175 areas of the skeleton when one bone/region is loaded (Cresswell, Goff, 176 Nguyen, Lee, & Hernandez, 2016; Lieberman, 1996; Sample et al., 2008; Sugiyama, Price, & Lanyon, 2010; Wallace et al., 2010), and how 177 bone remodeling changes in response to differences in age (Nikander 178 et al., 2010; Pearson & Lieberman, 2004; Ruff et al., 2006), muscle 179 (Robling, 2009) versus joint reaction loading (Judex & Carlson, 2009; 180 Schipilow, Macdonald, Liphardt, Kan, & Boyd, 2013), force (Christen 181 et al., 2014; Schulte et al., 2013), and even how these factors are bal-182 183 anced against the role of the bone in maintaining homeostasis (Currey, 2003). Beyond this, large scale genetic studies have identified inde-184 185 pendent loci for cortical and trabecular bone that are associated with higher risk of fracture (Paternoster et al., 2013; Yerges et al., 2010), 186 187 which suggests certain fixed aspects to bone morphology that may be insensitive to loading. Similarly, investigations into the question of 188 189 changes to bone density during hormonal osteoporotic intervention make it clear that the cellular response of the boney matrix is sensitive 190 to physiological agents that might act to augment, nullify, or buffer the 191 reaction to loading (Bahtiri et al., 2015; Xhae et al., 2015). Such com-192 plexities are highlighted in a recent study by Wallace et al. (2015) who 193 used three cohorts of treadmill-exercised mice to clarify the relation-194 ship of peak-load and bone functional adaptation. In this study it was 195 shown that although peak forces were greater in the forelimbs, only 196 the hindlimbs of the exercised mice reflected meaningful cortical and 197 trabecular skeletal adaptation, which, the authors suggested, could be 198 explained by a non-uniform response of the cells responsible for bone 199 growth and repair. In light of this, it is clear that new methods for 200

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detecting variances in bone morphology must be added to pre-existing 201 ones to refine our understanding of the relationship between behavior, 202 loading environment, function, and skeletal response (Cashmore et al., 203 2008; Lazenby, 2002; Steele, 2004). 204

With recent advances in computational power it is now feasible to 205 analyze multiple VOIs (Su, Wallace, & Nakatsukasa, 2013) or the entire 206 internal trabecular structure (Gross, Kivell, Skinner, Nguyen, & Pahr, 207 2014) to gain a greater understanding of how trabecular bone varies 208 throughout an epiphysis or bone. The latter method allows the map- 209 ping of site-specific bone volume to total volume (BV/TV) and degree 210 of anisotropy (DA) values onto a 3D tetrahedral mesh, thus facilitating 211 the visual comparison of quantitative data. This is valuable because 212 BV/TV is consistently shown to be the strongest predictor of fracture 213 resistance (Keaveny et al., 2001; Maquer, Musy, Wandel, Gross, & 214 Zysset, 2015; Stauber, Rapillard, van Lenthe, Zysset, & Müller, 2006), 215 and understanding this site-specific distribution has already proven val- 216 uable in interpreting joint loading position related to locomotion and 217 manual behavior in extant (Tsegai et al., 2013) and extinct (Skinner 218 et al., 2015) primate hand bones. Furthermore, recent studies inspect- 219 ing the localized nature of trabecular repair support the view that for- 220 mation and remodeling sites correspond to areas of load (Christen 221 et al., 2014; Cresswell et al., 2016; Schulte et al., 2013), which supports 222 the idea of visualizing and describing this site-specific variation. If 223 applied to bones of the hand, this method has strong potential rele- 224 vance for reconstructing hominin manipulatory repertoires and the 225 evolution of hand preference in the fossil record.

1.2 Thumb loading and predictions

In humans many complex manual tasks utilize pinch, key, or power 228 grips that are facilitated by a long thumb relative to the fingers (Ladd, 229 Crisco, Hagert, Rose, & Weiss, 2014; Marzke, 1997; Napier, 1960; Sus- 230 man, 1979). Such grips are important during stone tool manufacture 231 and use (Marzke et al., 1998) and often involve forceful opposition pro- 232 vided by a derived set of thenar and pollical muscles that allows the tip 233 of the thumb to flex while the base remains extended (e.g., when hold- 234 ing a needle) (Diogo, Richmond, & Wood, 2012; Marzke et al., 1999). 235 Experimental studies have shown that during tool-related activities, 236 loading of the thumb of the dominant hand is high (Rolian, Lieberman, 237 & Zermeno, 2011; Williams, Gordon, & Richmond, 2012) compared 238 with non-dominant thumb (Kev & Dunmore, 2015). This differs from 239 other great apes, such as Pan, that possess a short and comparatively 240 weaker thumb (Marzke et al., 1999), and most often use less forceful 241 pad-to-side precisions grips (Marzke & Wullstein, 1996; but see 242 Marzke, Marchant, McGrew, & Reece, 2015) that are employed during, 243 for example, nut-cracking (Boesch & Boesch, 1993) and ant-fishing 244 (Marchant & McGrew, 2007). Because of such differences in anatomy 245 and use between humans and other apes, the thumb remains the focus 246 of multidisciplinary attempts to ascertain its biomechanical role (Cheema, 247 Cheema, Tayyab, & Firoozbakhsh, 2006; Key & Lycett, 2011; Marzke 248 et al., 1998) and evolutionary change over time (Diogo et al., 2012; 249 Niewoehner, 2001, 2006; Niewoehner, Weaver, & Trinkaus, 1997; 250 Shrewsbury, Marzke, Linscheid, & Reece, 2003; Smith, 2000; Tocheri 251

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TABLE 1 Average BV/TV, DA, and elastic modulus in the study sample

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	Pan pairs $(n = 9)$				Homo pairs (n = 14)			Pan (mean L&R)		Homo (mean L&R)		Between groups		
Variable	L	SD	R	SD	L	SD	R	SD	Mean	SD	Mean	SD	U	p Value
BV/TV head, %	34.74	(6.10)	32.65	(5.19)	20.18	(4.44)	22.44	(2.80)	33.69	(5.60)	21.31	(3.34)	716	<0.01
BV/TV base, %	27.12	(3.84)	26.83	(4.53)	16.86	(3.33)	18.96	(2.66)	26.74	(4.06)	17.91	(2.78)	714	< 0.01
DA head	1.04	(0.11)	1.00	(0.01)	1.28	(0.17)	1.29	(0.17)	1.02	(0.06)	1.29	(0.11)	88	<0.01
DA base	1.22	(0.25)	1.14	(0.17)	1.30	(0.15)	1.34	(0.18)	1.18	(0.20)	1.32	(0.15)	76	< 0.01
E head	3.36	(1.13)	3.01	(1.00)	1.50	(0.52)	1.82	(0.32)	3.19	(1.06)	1.66	(0.37)	708	<0.01
E base	2.40	(0.72)	2.28	(0.819)	1.17	(0.37)	1.43	(0.31)	2.34	(0.75)	1.30	(0.31)	708	<0.01

Abbreviations (BV/TV = bone volume; DA = degree of anisotropy; E = elastic modulus in gigapascals; L&R = mean value of the mean (right and left sides) values; L = mean value of all left metacarpals; R = mean value of all right metacarpals; SD = standard deviation; U = Mann-Whitney U score; p = exact p value for Mann-Whitney U test).

Shaded boxes indicate left directional asymmetry.

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et al., 2003; Tocheri, Orr, Jacofsky, & Marzke, 2008; Trinkaus & Villemeur,
1991; Villemeur, 1994; Vlček, 1975; see Almécija, Alba, & Moya-Sola,
2012; Almécija, Wallace, Judex, Alba, & Moya-Sola, 2015 for a view that

255 certain features of the thumb are retained from Miocene apes).

To this end, we investigate trabecular architecture and directional 256 257 asymmetry in the thumb of recent Homo sapiens and Pan to assess if such an analysis might be applied to questions of loading history and 258 hand preference within the fossil record. Given the predictions of the 259 mechanostat model (Currey, 2003; Frost, 1987), variation in loading of 260 261 the thumb should be reflected in the first metacarpal (Mc1) because the pollical musculature passes along the base and head to attach at 262 263 the phalanges (Brand & Hollister, 1993; Maki & Trinkaus, 2011; Marzke et al., 1998; Trinkaus & Villemeur, 1991), meaning this bone will be 264 265 loaded both by joint reaction forces and muscular tension during flexion and opposition (Hu, Ren, Howard, & Zong, 2014; Kargov, Pylatiuk, 266 Martin, Schulz, & Doderlein, 2004; Pataky, Slota, Latash, & Zatsiorsky, 267

2012). We predict that the H. sapiens first metacarpals will experience 268 asymmetric loading reflecting group-level hand preference, while Pan 269 should reflect no group bias. Although highly lateralized terrestrial gal- 270 loping has been reported (Arcadi & Wallauer, 2011), the thumb is not 271 loaded during terrestrial knuckle-walking (Matarazzo, 2013; Sarring- 272 haus, MacLatchy, & Mitani, 2014; Wunderlich & Jungers, 2009). Poten- 273 tially high and variable loading of the thumb is thought to occur during 274 arboreal climbing, suspensory locomotion (Hunt, 1991, 1994; Marzke 275 & Wullstein, 1996), and grips observed during feeding (Marzke et al., 276 2015), behaviors for which individuals can show a hand preference. 277 However, the lack of directional asymmetry in a previous study of trabecular architecture of paired first, second, and fifth metacarpals of 279 Pan troglodytes (Lazenby, Skinner, Hublin, & Boesch, 2011) suggest 280 the same will be true for the first metacarpal in the present study. 281

Accordingly, we predict that (1) the BV/TV distribution and overall 282 architectural trabecular pattern of the Mc1 will reflect variation in the 283



FIGURE 1 Bone volume of the first metacarpal base (left) and head (right) for both sides of each individual. Shaded area contains individuals demonstrating left directional asymmetry. *H. sapiens* (green dot) demonstrates a clear right directional asymmetry with relatively low BV/TV, while *Pan* (purple square) demonstrates a left directional asymmetry trend with relatively high BV/TV



FIGURE 2 Degree of anisotropy of the first metacarpal base (left) and head (right) for both sides of each individual. The shaded area indicates individuals demonstrating a left directional asymmetry while the red line indicates isotropy. *H. sapiens* (green dot) variation in anisotropy for both regions, while *Pan* (purple square) is very constrained. The blue box is a magnified view of clustered individuals that approach total isotropy for both sides. This is particularly marked in the head of *Pan*, with six individuals contained between 1.0 and 1.002 on both sides

284 biomechanical environment and, because of this; (2) the directional

 285 asymmetry of trabecular architectural variables (i.e., greater BV/TV,

286 DA, and elastic modulus) in H. sapiens will be significantly greater on

287 the right; (3) while Pan will exhibit no significant departure from a neu-

288 tral (50%) distribution.

289 2 | MATERIALS AND METHODS

290 **2.1** | Study sample

The H. sapiens Mc1 sample (n = 14 pairs) is composed of ten 1st-3rd century CE individuals from the Roman necropolis of Isola Sacra (Italy) (Prowse et al., 2008), two 19th century individuals from Tiera del

²⁹⁴ Fuego (Chile/Argentina) (Marangoni et al., 2011), and two 20th century

individuals from Syracuse (Sicily). The Pan cohort (n = 9 pairs) is com- 295 posed of four wild-shot individuals from southern Cameroon (Pan trog- 296 lodytes spp.), three wild individuals from Côte d'Ivoire (Pan troglodytes 297 verus) and two wild-shot individuals from the Congo Basin (DRC) (Pan 298 paniscus). 299

2.2 Micro-CT scanning and tissue segmentation

300

The heads and bases of the paired Mc1 remains from Isola Sacra and 301 Côte d'Ivoire were scanned without the metaphyses (as part of a previ-302 ous study) with a Skyscan (Aartselaar, Belgium) 1172 desktop Micro-303 CT scanner at an isotropic voxel resolution of 13.56 μ m (parameters: 304 100 kV, 0.094 mA, 0.5-mm aluminum filter, 0.25 rotation step, 360 305 degrees of rotation, with two frame averaging). The remaining Mc1s 306

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 TABLE 2
 Right/left count comparisons within and between groups

	Pan (n = 9)			Homo (n = 14)			Between groups	
Variable	R>L	DIRA, %	В	R>L	DIRA, %	В	χ 2	p Value
BV/TV head	1/9	-5.94	0.039	10/14	11.95	0.179	7.987	.005
BV/TV base	3/9	-1.38	0.508	12/14	12.64	0.013	6.626	.010
DA head	7/9	-2.93	0.180	9/14	1.01	0.424	0.471	.493
DA base	4/9	-5.80	1	10/14	2.57	0.180	1.675	.196
E head	2/9	-10.94	0.180	11/14	23.15	0.057	7.078	.008
E base	4/9	-2.54	1	12/14	22.32	0.013	4.407	.036

Abbreviations (BV/TV = bone volume; DA = degree of anisotropy; E = elastic modulus in gigapascals; R > L = # of individuals displaying right directional asymmetry; DIRA = average direction of asymmetry with negative numbers indicating a left directional asymmetry; B = p values for binomial exact test for deviations from an expected 50/50 distribution; $\chi^2 = p$ values for Pearson's χ^2 test to determine if directional asymmetry counts between groups are significantly different).

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TABLE 3 Average absolute asymmetry within and between groups

	Pan(n=9)	Homo (n = 14)	Between groups		
Variable	AA, %	AA, %	U	p Value	
BV/TV head	5.99	16.21	20.00	.005	
BV/TV base	6.44	14.74	34.00	.072	
DA head	3.52	14.11	16.00	.002	
DA base	8.95	9.95	50.00	.439	
E head	11.84	29.82	27.00	.023	
E base	11.14	26.24	34.00	.068	

Abbreviations (BV/TV = bone volume; DA = degree of anisotropy;

E = elastic modulus; AA = mean absolute asymmetry; U = Mann-Whitney U score; p = exact p value for Mann-Whitney U test).

were scanned in their entirety with a BIR ACTIS 225/300 high-307 resolution Micro-CT scanner at an isotropic voxel resolution \sim 30 μ m 308 (parameters: 130 kV and 100 IA using a 0.25 aluminum filter). The 309 310 heads and bases of the complete Mc1 sample were isolated and cropped using Avizo 6.3 to allow for uniform comparison with those 311 scanned without the metaphysis. Segmentation of bone tissue in each 312 313 scan was accomplished using the Ray Casting Algorithm of Scher and 314 Tilgner (2009).

315 2.3 | Trabecular bone analysis and visualization

316 Unless noted otherwise, all procedures were performed with medtool

 $\ensuremath{^{317}}$ (Dr. Pahr Ingenieurs e.U.). After segmentation, trabecular and cortical

318 bone were isolated using protocols outlined in Gross et al. (2014). In

319 brief, opening-and-closing filters (kernel size = 3) were employed to

320 remove natural cortical porosities, which permits creation of a smooth

shell that is then filled to create an inner- and outer-mask of the whole 321 bone. The resultant cortical mask is then used to create an independent 322 image of each tissue. This process is repeated, to replace the initial kersize with one taking into account the average trabecular thickness 324 measured by the BoneJ plugin (Doube et al., 2010) in ImageJ (Rasband, 325 1997; see also Gross et al., 2014). 326

We focus our analysis of asymmetry in trabecular structure on 327 three variables: average bone volume to total volume fraction (BV/TV), 328 degree of anisotropy (DA), and the elastic modulus (E), which is meas- 329 ured in gigapascals (GPa) (Pahr and Zysset, 2009). These variables were 330 chosen because it has been demonstrated that body mass does not 331 strongly correlate with BV/TV or DA (Barak, Lieberman, & Hublin, 332 2013; Doube, Klosowski, Wiktorowicz-Conroy, Hutchinson, & Shefel- 333 bine, 2011; Ryan & Shaw, 2013), and that they have a well-established 334 correlation with mechanical loading and functional bone adaptation 335 (Barak et al., 2011; Lambers, Bouman, Rimnac, & Hernandez, 2013; 336 Odgaard, 1997; Pontzer et al., 2006; Uchiyama et al., 1999). Although 337 the relationship between trabecular structure and E depends on the 338 anatomical location and direction of loading (Morgan, Bayraktar, & Kea- 339 veny, 2003), it is an accepted proxy for bone strength (Helgason et al., 340 2008; Stauber et al., 2006). Herein we calculate E following the Zysset- 341 Curnier model (Zysset, 2003) using a reference tissue value of E0 = 10 342 GPa; v0 = 0.3; $\mu0 = 3$ GPa, because it takes into account BV/TV and 343 mean intercept length derived fabric, which has been demonstrated in 344 several anatomical locations to be a better predictor of the mechanical 345 properties of trabecular bone (~97%) than other models (Haïat et al., 346 2009; Maguer et al., 2015). 347

To analyze each variable throughout the epiphyseal head and base 348 of each Mc1, a 5 mm spherical VOI was passed over a rectangular 349 2.5 mm 3D grid placed over the trabecular mask. From these VOIs, 350



FIGURE 3 Mid-sagittal cross section of right and left first metacarpals exemplifying the site specific internal distribution and average bone volume for *H. sapiens* (top) and *Pan* (bottom). Average quantitative BV/TV (%) for the head and base are indicated in the shaded boxes

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C O L O R

FIGURE 4 3D models showing the site specific BV/TV (%) distribution in the left and right first metacarpals in palmar, dorsal, radial, and ulnar views of a modern human (left) and a bonobo (right). Average quantitative BV/TV (%) for the head and base are indicated in palmar view with the greatest value from each side in bold

average values for each trabecular variable (BV/TV, DA, and E) within 351 each epiphyseal segment were computed. To visualize and qualitatively 352 compare the site-specific distribution of BV/TV, we first created a 3D tet-353 rahedron mesh of the trabecular mask using HyperMesh® (Altair Engineer-354 355 ing, Inc., USA), onto which we then mapped the BV/TV results from each VOI of the background grid analysis. These results were uniformly scaled 356 between 1% and 45% and visualized using Paraview (v. 3.14.1, paraview. 357 org), which allows the color-coded models of BV/TV to be viewed as com-358 plete trabecular mesh or by a user-defined 2D cross section. 359

360 2.4 Calculation of asymmetry

Calculation of asymmetry follows the handedness index of Mays (2002) for directional asymmetry (DIRA): DIRA = $(r - I)/((r + I)/2) \times$

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100, where a positive number indicates right directional asymmetry (r) 363 and a negative number left directional asymmetry (I). Similarly, absolute 364 asymmetry (AA) is calculated by: $AA = |r - l|/((r + l)/2) \times 100$, with the 365 difference being a standardized measure of the magnitude of asymme- 366 try for comparison between groups (Palmer, 1994). Statistical analysis 367 of the resulting variables was performed using SPSS 20 (IBM), R ver- 368 sion 3.1.0, and PAST 3.04 (Hammer, Harper, & Ryan, 2001). Mann- 369 Whitney U tests were used to determine if the values for the left/right 370 variables differed significantly between Pan and Homo. Within-group 371 exact binomial tests were performed on DIRA counts to determine if 372 Pan and Homo departed from an expected 50/50 right- versus left- 373 distribution, while Pearson's χ^2 tests were performed to determine if 374 these counts differed significantly between the two groups. Mann- 375 Whitney U tests were performed to determine if absolute asymmetry 376 values differed significantly between the two groups. 377

3 | RESULTS

3.1 Quantitative results

Averages and standard deviations for the mean (sides combined) and 380 side-specific (i.e., right and left) trabecular variables (BV/TV, DA, and E) 381 for the Pan and H. sapiens groups are shown in Table 1. On average, 38271 Pan exhibits left directional asymmetry and H. sapiens exhibit a right- 383 directional asymmetry for each variable at the head and base. Mann- 384 Whitney U tests are significant between Pan and H. sapiens for all vari- 385 ables, with Pan being considerably more isotropic and having greater 386 overall BV/TV and E for both regions. It is also evident that Pan and H. 387 sapiens share a distinct difference in the pattern of trabecular variables 388 at the head and base, with the Mc1 head having greater BV/TV and E 389 but lower DA when compared with the base. Figures 1 and 2 present 390F1 F2 bi-variate plots of BV/TV and DA distribution in each epiphysis. As 391 noted above, Pan has greater BV/TV in both the head and base and a 392 greater number of individuals that exhibit a left directional asymmetry 393 (see below). Although there is overlap between the two groups in DA 394 values at the Mc1 base, the majority of Pan individuals approach iso- 395 tropy (DA close to 1) on both sides at the head. 396

Table 2 presents the results of DIRA and right directional asymme- $39\pi^2$ try individual counts, along with results from the within-group binomial 398 exact and between-group χ^2 tests. The binomial exact tests found that 399 the right directional asymmetry for H. sapiens is significant at the Mc1 400 base for BV/TV and *E*, while the left directional asymmetry for Pan is 401 significant at the head for BV/TV. The between groups χ^2 tests found 402 that the right directional asymmetry count distribution was significantly 403 different from the expected 50/50 right versus left distribution for 404 BV/TV and *E* for both the Mc1 head and base. These differences in 405 frequency can also be seen in Figures 1 and 2 for BV/TV and DA, 406 respectively.

Table 3 presents the results of Mann-Whitney U tests for signifi- 40 T3cant differences in absolute asymmetry between H. sapiens and Pan. 409BV/TV, DA, and E all exhibit significantly greater absolute asymmetry 410at the Mc1 head, but not at the base.411



FIGURE 5 Representative 3D models of right and left first metacarpals BV/TV (%) and levels of directional asymmetry (DIRA). The greater average BV/TV for the region indicated by the shaded boxes are in bold. Individuals with a left directional asymmetry are indicated by a negative number, while those with right directional asymmetry are indicated by a positive number

412 3.2 | Qualitative results

F3 413 Figure 3 is a mid-sagittal cross section exemplifying the site-specific 414 internal bone volume distribution and individual quantitative averages at the Mc1 head and base for a selection of H. sapiens and Pan while 415 Figure 4 is an external view (i.e., complete trabecular mesh). The differ- 41**F**4 ences in site-specific bone volume concentration and distribution are 417 reflected in the color maps, and illustrate that the Pan sample is more 418



FIGURE 6 Example of site specific bone volume distribution in the first metacarpal head (top two rows) and base (bottom two rows) for two H. sapiens individuals (SCR 180 and UNI FI 3240e)

uniform internally in both Mc1 regions, while concentrations in the H. 419 420 sapiens are found towards the articular surface areas. Also of note are the variations in distribution between left and right sides, with a greater 421 radial concentration at the right base and head in the individual from 422 423 Tierra del Fuego (UNI FI 3240e, Figures 3 and 4).

Figure 5 shows examples of low and marked directional asymme-F5 424 425 try for representative H. sapiens and Pan. Herein the complexity of determining asymmetry is made clear because although the bone vol-426 427 ume quantitative averages provide evidence for a right or left directional asymmetry, the concentrations and uniformity do vary between 428 the head and base regions (e.g., MRAC 15293 compared with ZMB 429 72844). Furthermore, site-specific distribution and concentration of 430 bone volume also varies between individuals (UNI FI 3240d base, see 431 also cross section in Figure 3). For example, in certain Pan (MRAC 432 29045) individuals the right/left directional asymmetry is unclear, with 433 the head being greater on the right directional but greater on the left 434 at the base or vice versa. 435

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Figure 6 shows the right Mc1 head and base for two H. sapiens individuals (UNI FI 3240e and SCR 180) in standard anatomical views. 437 Even with different average values for each individual, the site-specific 438 BV/TV pattern in H. sapiens shows that the trabecular distribution at 439 the head is fairly concentrated at the articular surface, with the great-440 est volumes found at the contact areas for the first proximal phalanx. 441 442 This is also the case at the base, but the highest concentrations are found at the palmar aspect of the articular surface and extend distally 443 along the radial side. 444

Figure 7 shows the site-specific bone volume distribution for the 44F7 right Mc1 head and base for two Pan individuals (MRAC 29045 and 446 ZMB 72844) from standard anatomical views. The cause for the much 447 higher average quantitative BV/TV is evident here, as the trabeculae 448 are much more evenly distributed and palmarly concentrated through- 449 out the head. This is not as marked at the base where the greatest con- 450 centrations are not actually at the articular surface, but are instead 451 slightly distal to the surface on the dorsal, ulnar, and radial sides, which 452 contrasts with the pattern found at the H. sapiens Mc1 head and base 453 (Figure 6). 454

4 | DISCUSSION

455

We sought to test whether skeletal asymmetries in trabecular bone 456 were consistent with the assumption of increased mechanical loading 457 on the dominant limb, following the mechanostat model of bone func- 458 tional adaptation (Frost, 1987). Overall we found that the right direc- 459 tional asymmetry in measured epiphyseal trabecular variables of the 460 paired first metacarpals (Mc1) from H. sapiens matched expectations, 461 while the left directional asymmetry of Pan countered our expecta- 462 tions. We also report that, compared with Pan, H. sapiens have signifi- 463 cantly lower bone volume (BV/TV) and elastic modulus (E), but higher 464

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MC1 base Corte d'Ivoire (ZMB 72844)

FIGURE 7 Example of site specific bone volume distribution in the first metacarpal head (top two rows) and base (bottom two rows) for two *Pan* Individuals (MRAC 29045 and ZMB 72844)

degree of anisotropy (DA) in both regions. There is also a consistent 465 head/base pattern evident in H. sapiens and Pan, with higher DA but 466 lower BV/TV and E at the Mc1 base compared with the head. The indi-467 vidual site-specific BV/TV distribution models make it clear that many 468 469 of the quantitative results are explained by the individual variation in the distribution of trabeculae, and suggest that the relationship 470 471 between hand preference and directional asymmetry in the Mc1 epiphyseal trabecular architecture is not as straightforward as initially 472 hypothesized. 473

474 4.1 | Directional asymmetry in Homo sapiens

475 In counts of right or left directional asymmetry we found a significant right directional asymmetry in BV/TV and E in the H. sapiens Mc1 476 base, while the Pan Mc1 reached a significant left directional asymme-477 try for BV/TV at the head. Comparisons between the two groups 478 revealed that the counts reached significance for bone volume in both 479 regions and elastic modulus at the base. Finally, H. sapiens displayed 480 greater levels of absolute asymmetry for all trabecular variables in both 481 regions with significant differences between the two groups existing at 482 483 the Mc1 head.

We consider statistically significant greater trabecular variables (BV/TV and *E*) in the Mc1 base as the best indicator of loading related to hand preference. This appears to be supported by the palmar and radial bone volume concentrations at the articular surface, which con- 487 form with areas of localized remodeling in response to mechanical 488 stimuli (Christen et al., 2014; Cresswell et al., 2016; Schulte et al., 489 2013) and speak to loading during flexion and forceful opposition 490 (Ladd et al., 2014). These results join those of Lazenby, Angus, Cooper, 491 and Hallgrimsson (2008) and Lazenby, Cooper, et al. (2008), who exam-92 ined the directional asymmetry in paired second metacarpals and found 493 a significant right directional asymmetry for trabecular bone volume at 494 the head, mid-shaft cross-sectional geometry of the cortex, and medio-95 lateral articular dimensions.

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4.2 Directional asymmetry in Pan

We predicted that there would be no directional asymmetry detected 498 in the Pan Mc1s because the thumb is removed from loading during 499 lateralized terrestrial locomotion (Arcadi & Wallauer, 2011) and that 500 other potential behaviors that produce asymmetrical loading of the 501 thumb (e.g., arboreal locomotion, tool use) would not be detected at a 502 group level. Our results did not support this prediction and instead we 503 found a left directional asymmetry trend in the Pan Mc1. This result is 504 not consistent with previous studies of Pan trabecular bone (Lazenby 505 et al., 2011) that did not find any directional asymmetry. If the left 506 directional asymmetry found in this study is a byproduct of manipula-507 tion, it is inconsistent with wild observational data that indicate only 508

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individual-level, but not group-level, hand preference (Boesch, 1991; 509 510 Marchant & McGrew, 2007; McGrew & Marchant, 1992, 1997). 511 Although the mechanostat model is admittedly oversimplified, it seems unlikely that variation in hormonal, genetic, and cellular responses 512 across different anatomical regions could explain differences in right/ 513 left directional asymmetry within a single skeletal element. However, 514 future studies could address this question, such as in a mouse model 515 after Wallace et al. (2015), where right/left loading is controlled for as 516 opposed to fore/hind limb. 517

518 We do, however, find a similar pattern to that reported here descried in a cortical bone assessment of Sarringhaus. Stock. Marchant. 519 and McGrew (2005) who found a left directional asymmetry in the 520 humerii and right directional asymmetry in the second metacarpals of 521 P. troglodytes. They discussed a tradeoff in loading environments 522 based on observations of wild chimpanzees (Hunt, 1991), where pref-523 erence for manual support of the left-hand leaves the right free to col-524 lect food (Sarringhaus et al., 2005). Such a preference is noted in 525 captive groups, with a left-hand preference existing for hanging (Mor-526 cillo, Fernandez-Carriba, & Loeches, 2006) and during front-forward 527 descent, where the palm makes direct contact with the substrate (Hop-528 kins, 2008). These observations are paired with studies of chimpanzee 529 soft tissue anatomy that have found greater muscle mass on the left 530 531 upper limb and greater muscle moment arms on the right (Carlson, 532 2006). These studies suggest that there may be greater asymmetry in Pan upper limb use and loading than previously thought, which could 533 override signals of hand preference during manipulation and complicate 534 direct comparisons between Pan and H. sapiens. Clarification of this 535 issue requires the incorporation of more than a single skeletal element 536 or osseous tissue. In the absence of such a comparison in the current 537 study, we suggest that the homogenous distribution of bone volume, 538 high elastic modulus, and near total isotropy in the Pan Mc1 is better 539 540 explained by lateralized loading during locomotion and postural support. Given our finding of overall less absolute asymmetry in the Pan 541 Mc1 in relation to that of H. sapiens, this type of lateralized loading 542 543 appears more balanced, but implies that questions pertaining to skilled 544 tool use and hand preference may only be appropriate for committed 545 terrestrial bipeds.

546 4.3 Mc1 loading in Homo sapiens

547 Compared with other primates, the low BV/TV of H. sapiens reported here agrees with reports of less dense trabecular architecture in 548 humans in other skeletal elements (Chirchir et al., 2015; Griffin et al., 549 2010; Maga et al., 2006; Ryan & Shaw, 2012, 2013, 2015), including 550 551 the hand (Schilling et al., 2013; Tsegai et al., 2013). The thumb has been described as operating as a single functional unit during flexion 552 (Ladd et al., 2014), such that the three phalanges, trapezium, and sup-553 porting thenar musculotendon network act in concert to rotate and 554 support the distal pad during the forceful opposition of the other fin-555 gers and/or manipulated objects (Brand & Hollister, 1993; Diogo et al., 556 2012; Landsmeer, 1955; Li & Tang, 2007; Marzke et al,. 1999). Our 557 finding of greater BV/TV and E at the head relative to the base sug-558 559 gests that the Mc1 head experiences greater loading, but this may also

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be a result of loads being transferred into the broad surface of the tra- 560 pezium (Marzke, 2013). Visually, the site-specific BV/TV concentra- 561 tions at the articular surface of the head and palmar-radial 562 concentration at the base are consistent with loads incurred while 563 using a flexed and abducted thumb (e.g., key/pinch/power grips), 564 where the base of the first metacarpal translates ulnarly and the radial 565 articular surface resists the load (Halilaj et al., 2014). Such an interpre- 566 tation also appears consistent with clinical micro-CT trabecular studies, 567 which report a mirrored palmar-ulnar concentration in the trapezium 568 that is thought to be an indication of remodeling in response to the 569 axial displacement of force during thumb loading (Ladd et al., 2014; 570 Lee et al., 2013; Nufer et al., 2008). As such, this pattern appears to be 571 biomechanically consistent between bones, but would benefit by hav- 572 ing a broader comparison of trabecular structure across the remaining 573 bones of the hand. 574

4.4 | Mc1 loading in Pan

We find that the high BV/TV, low DA, and head/base pattern reported 576 for Pan Mc1s here agrees with results derived from a similar Mc1 sam- 577 ple using single VOIs by Lazenby et al. (2011). A strict interpretation of 578 the mechanostat model would suggest that the extremely high BV/TV 579 and E in the Pan Mc1, when compared with H. sapiens, is a direct result 580 of high impact mechanical loading. This pattern would be consistent 581 with the scenario described above, where the high BV/TV and E in the 582 Pan Mc1 compensate for the reduced thenar musculature (Diogo et al., 583 2012; Marzke et al., 1999) and smaller joint surfaces in the thumb com- 584 plex (Marzke, 2013) during locomotion and postural support. However, 585 as discussed above, bone functional adaptation is a complex aspect of 586 biology and our results are not inconsistent with a view that systemic 587 differences in hormones, genes (Paternoster et al., 2013; Wallace et al., 588 2010; Yerges et al., 2010), and cellular response to loading (Wallace 589 et al., 2015) may also work to explain the genera-level differences 590 reported here. This being the case, a one-to-one correlation between 591 loading and bone morphology is not supported by our results and, we 592 can only suggest that the greater BV/TV and E in the Pan Mc1 are 593 byproducts of systemic hormonal/genetic differences in combination 594 with loading. 595

Even so, evidence for localized loading and bone remodeling does 596 exist in the site-specific areas of high BV/TV concentration in the Pan 597 Mc1 base, visible just above the articular surface. These regions appear 598 correspondent with the muscle attachment sites responsible for flexion 599 of the thumb (Diogo, Potau, & Pastor, 2013; Marzke et al., 1999). This 600 is intriguing in light of the report of Marzke et al. (2015), who recog-601 nized previously unobserved hand grips during food processing that 602 appear to involve forceful loading of the thumb. These include a thrust-603 ing movement involving large fruits held in the pocket between the 604 thumb and index, and a variation of the transverse hook grip that 605 depends on leverage provided by the thumb to strip away meat 606 clenched between teeth. Although this result suggests that the rela-607 tionship between muscle attachment sites and trabecular response 608 requires additional exploration, the uniformity of bone volume, 609 extremely low anisotropy, and high elastic modulus speaks to a greater 610

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demand for multi-axial loading than manipulation or feeding alone. 611 Being that these grips are also observed during arboreal locomotion, 612 613 which is very complex and variable throughout life (Sarringhaus et al., 2014), our results are perhaps best explained by loading during contact 614 with a highly variable substrate. If this interpretation is correct, then it 615 implies that the signal from subtler loading scenarios, such as those 616 during manipulation, may be reduced or lost in favor of loading regimes 617 618 with higher peak loading.

619 5 | CONCLUSION

In summary, we found that the first metacarpal trabecular pattern 620 and distribution were consistent with the biomechanical role of the 621 thumb and found that counts of right directional asymmetry for 622 average bone volume and elastic modulus at the base reached sig-623 nificance, which appears to be concordant with the 85-95% right-624 hand preference reported cross-culturally. Contrary to our expecta-625 626 tions we also found a significant left directional asymmetry at the Pan Mc1 head for bone volume that, combined with the finding of 627 628 extremely low DA and high E in both head/base regions, is best explained as a reflection of individual preference for left side later-629 alized loading during locomotion and postural support. We also 630 report greater BV/TV and E in the Pan Mc1, when compared with 631 H. sapiens, which we stress is likely a reflection of a systemic hor-632 monal/genetic difference between the two groups and is likely not 633 an indication of greater loading in the Pan thumb. This is an impor-634 tant consideration that should be kept in mind during the analysis 635 and interpretation of hominin fossil remains (see discussion in Wal-636 lace et al., 2015). As such, we suggest that behavioral studies con-637 cerned with the manifestations of bone functional adaptation 638 should adopt a layered analysis that incorporates multiple techni-639 ques to check the biomechanical agreement of various osseous tis-640 641 sues and taxa.

To conclude, we found directional asymmetry in the first metacar-642 pal trabecular architecture, but caution that the cause of this bias is not 643 always a clear indication of individual hand preference during manipula-644 tive tasks and suggest that additional analyses be applied to multiple 645 skeletal elements and other osteological features (e.g., cortical bone) 646 whenever possible. We also found that our interpretation of the quan-647 titative results and potential loading histories were greatly aided by 648 referring to the site-specific bone volume distribution models. As such, 649 our analysis builds upon previous studies that have used trabecular 650 architecture to investigate loading history and its relationship to bone 651 functional adaptation. We conclude the characterization and visualiza-652 tion of trabecular architecture is a method that should be joined with 653 previously established techniques to supplement fossil studies con-654 cerned with the evolution of handedness. Such an application has great 655 potential to provide missing information prior to the advent of stone 656 tool manufacture, and would thus improve our understanding of the 657 hypothesized cause and effect relationship between knapping, brains, 658 659 and behavior.

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