

Tracing Compressed Curves in Triangulated Surfaces*

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Abstract

A simple path or cycle in a triangulated surface is *normal* if it intersects any triangle in a finite set of arcs, each crossing from one edge of the triangle to another. A normal curve is a finite set of disjoint normal paths and normal cycles. We describe an algorithm to “trace” a normal curve in $O(\min\{X, n^2 \log X\})$ time, where n is the complexity of the surface triangulation and X is the number of times the curve crosses edges of the triangulation. In particular, our algorithm runs in polynomial time even when the number of crossings is exponential in n . Our tracing algorithm computes a new cellular decomposition of the surface with complexity $O(n)$; the traced curve appears in the 1-skeleton of the new decomposition as a set of simple disjoint paths and cycles.

We apply our abstract tracing strategy to two different classes of normal curves: abstract curves represented by *normal coordinates*, which record the number of intersections with each edge of the surface triangulation, and simple *geodesics*, represented by a starting point and direction in the local coordinate system of some triangle. Our normal-coordinate algorithms are competitive with and conceptually simpler than earlier algorithms by Schaefer, Sedgwick, and Štefankovic [COCOON 2002, CCCG 2008] and by Agol, Hass, and Thurston [Trans. AMS 2005].

*Un poète doit laisser des traces de son passage, non des preuves.
Seules les traces font rêver.*

— René Char, *La Parole en Archipel* (1962)

*A typical simple closed curve on a surface is complicated,
from the point of view of someone tracing out the curve.*

— William P. Thurston, “On the geometry and dynamics
of diffeomorphisms of surfaces” (1988)

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1 Introduction

Curves on abstract surfaces are usually represented by describing the interaction between the curve and a decomposition of the surface into elementary pieces. For example, given a triangulation of the surface, any sufficiently well-behaved curve that avoids the vertices of the triangulation can be described by listing the sequence of edges that the curve crosses, in order along the curve. (See Section 2 for more precise definitions.) This *crossing sequence* identifies the curve up to a continuous deformation that avoids the vertices. We call a subpath of a curve between two consecutive edge crossings an *elementary segment*.

For *simple* curves, however, there are several more compact representations. For example, given a triangulation of the surface, any sufficiently well-behaved simple curve can be described by listing the number of elementary segments connecting each pair of edges in each triangle. These numbers are called the *normal coordinates* of the curve [28, 40]. Any vector of normal coordinates identifies a unique simple curve (again up to continuous deformation), because there is only one way to fill each triangle with the correct number of elementary segments without intersection. The normal coordinate representation is remarkably compact; only $O(n \log(X/n))$ bits are needed to list the normal coordinates of a curve with X crossings on a triangulated surface with complexity n . Several algorithms in two- and three-dimensional topology owe their efficiency to the compactness of the normal-coordinate representation [1, 10, 11, 29, 63, 64, 66, 68, 75].

Schaefer *et al.* [63, 66, 75] consider several algorithmic questions about normal curves, such as computing the number of components of a curve, deciding whether two given curves are isotopic, and computing algebraic and geometric intersection numbers of pairs of curves. Classical algorithms for these problems require explicit traversal or crossing sequences as input.

By connecting normal coordinates with grammar-based text compression [45, 46, 49, 61] and word equations [18, 57, 59, 60], Schaefer *et al.* developed algorithms whose running times are polynomial in the bit complexity of the normal coordinate vector, which they call the *normal complexity* of the curve. These algorithms rely on a complex algorithm of Plandowski and Rytter [57] to compute compressed solutions of word equations. We are unaware of any precise time analysis, but as Plandowski and Rytter's algorithm uses a nested sequence of quadratic- and cubic-time reductions, its running time is quite high. Štefankovic [75] described simpler algorithms for some of these problems in time *linear* in the normal complexity, or $O(n \log(X/n))$ time in our notation, by reducing them to an elegant algorithm of Robson and Deikert [59, 60] to solve word equations with a certain special structure.

Some of the problems considered by Schaefer *et al.* can also be solved in polynomial time using the polynomial-time *orbit-counting* algorithm of Agol, Hass, and Thurston [1], which was originally designed to compute the number of components of normal *surfaces* in triangulated 3-manifolds in polynomial time, but in fact (like the word-equation algorithms of Schaefer *et al.* [63, 66, 75]) works for similar problems in any dimension. Agol *et al.* do not claim a precise time bound, but a direct reading of their analysis implies a running time of $O(n^4 \log^3(X/n))$. Dynnikov and Wiest [19] later developed a special case of the orbit-counting algorithm to reconstruct braids from their planar curve diagrams; Dehornoy *et al.* [16] refer to this variant as the *transmission-relaxation method*.

Other compact representations of curves include weighted train tracks [5, 6, 25, 26, 56], Dehn-Thurston coordinates (with respect to a fixed pants decomposition of the surface) [15, 25, 26, 55, 77], and compressed intersection sequences [66, 75].

1.1 New Results: Normal Curves

We propose an alternate strategy to efficiently compute with curves on surfaces. Instead of using complex compression techniques to avoid unpacking the crossing sequence of the input curve, our

1 algorithms *modify the underlying cellular decomposition* of the surface so that the curve has a small
 2 *explicit* description with respect to the new decomposition. Specifically, given the normal coordinates of a
 3 curve γ on a triangulated surface with n edges, we compute a new cellular decomposition of the surface
 4 with complexity $O(n)$, called a *street complex*, such that γ is a simple path or cycle in the 1-skeleton.
 5 After reviewing some background terminology, we formally define the street complex in Section 2; see
 6 Figure 2.2 for an example.

7 At a high level, our algorithm simply *traces* the curve, continuously updating the street complex to
 8 reflect the portion of the curve traced so far. A naïve implementation of our tracing strategy runs in $O(X)$
 9 time, where X is the total number of edge crossings; each time the curve enters a triangle by crossing
 10 an edge, we can easily determine in $O(1)$ time which of the other two edges of the triangle to cross
 11 next. The main result of this paper is a tracing algorithm that runs in $O(n^2 \log X)$ time, an exponential
 12 improvement over the naïve algorithm for any fixed surface triangulation.

13 Our new algorithm relies on two simple ideas. First, we observe that for typical curves, most of
 14 the decisions made by the brute-force tracing algorithm are redundant. If a curve enters a triangle Δ
 15 between two older elementary segments that leave Δ through the same edge, the new elementary
 16 segment must also leave Δ through that edge; see Figure 1.1. The street complex allows us to skip these
 17 redundant decisions automatically.

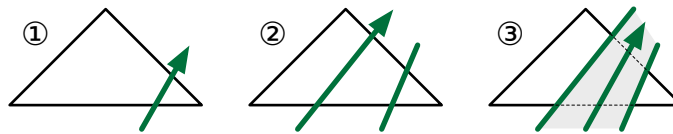


Figure 1.1. Tracing three segments of a curve through a triangle. Tracing the third segment does not require any decisions.

18 Second, even with redundant decisions filtered out, the naïve algorithm may repeat the same series
 19 of crossings many times when the input curve contains a *spiral* [19, 54, 65, 67]. Our algorithm detects
 20 spirals as they occur, quickly determines the depth of the spiral (the number of repetitions), and then
 21 skips ahead to the first crossing after the spiral. See Figure 3.3 below.

22 We describe our generic tracing algorithm in Section 3 and analyze its running time in Section 4. We
 23 also describe and analyze a symmetric *untracing* algorithm in Section 5, which works backward from the
 24 street complex of a curve to its normal coordinates.

25 The street complex allows us to answer several fundamental topological questions about simple
 26 curves using elementary algorithms. For example, to determine whether a curve represented by normal
 27 coordinates is connected, we can trace one component of the curve, and then check whether the number
 28 of edge crossings we encountered is equal to the sum of the normal coordinates. To determine whether
 29 a connected normal curve is contractible, we can trace the curve and then apply a $O(n)$ -time depth-first
 30 search in the dual of the resulting street complex [23]. To find the normal coordinates of a single
 31 component of a curve, we can trace just that component, discard the untraced components, and then
 32 untrace the street complex.

33 In Section 6, we describe algorithms to solve these and several other related problems for normal
 34 curves in $O(n^2 \log X)$ time. All of the problems we consider were previously solved in (large) polynomial
 35 time by Schaefer *et al.* [63]; however, our algorithms are significantly faster and simpler. Our algorithms
 36 are also faster than the orbit-counting algorithm of Agol *et al.* [1] and more general than Dynnikov and
 37 Wiest's transmission-relaxation method [16, 19]. For some of the problems we consider, our algorithms
 38 appear to be slower by a factor of n than algorithms described by Štefankovic [75]; however, we
 39 optimistically conjecture that this gap can be closed with more careful time analysis.

1.2 New Results: Geodesics

Finally, in Section 7, we describe an extension of our tracing algorithm to simple *geodesic* paths on piecewise-linear surfaces. Here, the input surface is presented as a set of n triangles, each with its own local Euclidean coordinate system, with some pairs of equal-length edges identified; the geodesic path is specified by a starting point and a direction, in the local coordinate system of one of the triangles. In particular, we do *not* assume that the input surface is embedded (or embeddable) in any Euclidean space. As an example application, we sketch an algorithm to find the first point of self-intersection on a geodesic path in $O(n^2 \log X)$ time.

We regard our algorithm as a first step toward efficiently computing shortest paths on arbitrary piecewise-linear surfaces. Many algorithms have already been proposed to compute both exact and approximate shortest paths in piecewise-linear surfaces; Mitchell [48] and Bose *et al.* [7] provide exhaustive surveys. However, despite some claims to the contrary [14], these algorithms are efficient (and some are correct) only under the assumption that any shortest path crosses any edge of the input complex at most a constant number of times. This crossing assumption is reasonable in practice; for example, it holds if the input complex is PL-embedded in \mathbb{R}^d for any d (in which case any shortest path crosses any edge at most *once*), or if all face angles are larger than some fixed constant. However, this assumption does not hold in general. As an elementary bad example, consider the piecewise-linear annulus defined by identifying the non-horizontal edges of the parallelogram with vertices $(0, 0)$, $(1, 0)$, $(x, 1)$, $(x + 1, 1)$, for some arbitrarily large integer x ; as shown in Figure 1.2. This annulus is isometric to a “toilet paper tube” cut by x turns of a helix; although this tube is curved, its Gaussian curvature is zero everywhere. The shortest path in this annulus between its two vertices is a vertical segment that crosses the oblique edge $x - 1$ times; all existing shortest-path algorithms require at least constant time for each crossing. Essentially the same example appears as Figure 1 in a seminal paper of Alexandrov [2].

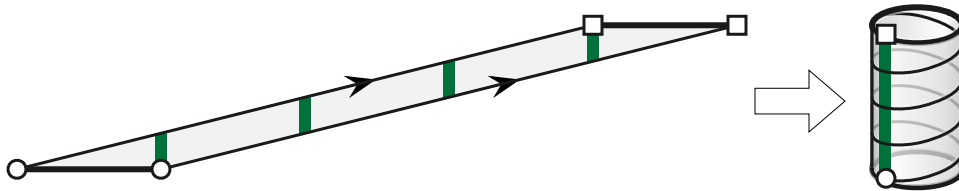


Figure 1.2. A shortest path in a piecewise-linear toilet paper tube

A *shortest-path map* for a surface Σ with source point s is a subdivision of Σ into regions, such that for each region, all shortest paths from s to that region cross the same sequence of edges of Σ . Suppose Σ is a piecewise-linear surface such that the sum of angles around every vertex is at most 2π . Alexandrov’s theorem [2] implies that Σ is isometric to the surface of a convex polyhedron; it follows that the edges of any shortest-path map on Σ are themselves geodesics. (Without the angle assumption, some edges of shortest-path maps may be hyperbolic arcs.) The results of Schaefer *et al.* [63] imply that any shortest-path map on Σ has a compressed representation of complexity $O(n^2 \log X)$, where X is the number of intersections between edges of the shortest-path map and edges of Σ . Mount [52] described a similar compressed representation of size $O((n + m) \log(m + n))$ for a decomposition of Σ into disks by m interior-disjoint geodesic paths, but only under the explicit assumption that each geodesic traverses each edge of Σ at most once. Mount’s data structure stores the sequence of intersections along each edge of Σ in a binary tree; to save space, common subtrees are shared between edges. Schreiber and Sharir [69, 70] extended and applied Mount’s data structure in their algorithm to compute shortest paths on *convex* polyhedra in $O(n \log n)$ time. The compressed intersection sequences of Schaefer *et al.* [63] (and our equivalent tracing history, defined in Section 3.3) can be viewed as a generalization of Mount’s representation.

In light of these results, it is natural to ask whether compressed shortest-path maps can be constructed in time polynomial in n and $\log X$; our research in this paper was originally motivated by this open problem. We leave further exploration of these ideas to future papers.

1.3 Computational Assumptions

Most of our time bounds are stated functions of two variables: the number n of triangles in the input triangulation and the total crossing number X of the traced curve. We assume that $X = \Omega(n^2)$, since otherwise our analysis yields a time bound slower than the trivial bound $O(n + X)$; this assumption implies that $\log(X/n) = \Theta(\log X)$.

We formulate and analyze our algorithms for normal curves in the standard unit-cost integer RAM with w -bit words, where $w = \Omega(\log X)$; that is, we assume that the *sum* of the normal coordinates can be stored in a single memory word. This assumption implies that all necessary integer arithmetic operations (comparison, addition, subtraction, multiplication, and division) required by our tracing algorithm can be executed in constant time. The $O(n \log X)$ time bound for Štefankovic’s word-equation algorithms [59, 60, 75] and the $O(n^4 \log^3 X)$ time bound for the Agol-Hass-Thurston orbit-counting algorithm [1] require the same model of computation.¹ For integer RAMs with smaller word sizes (for example, if the word size is only large enough to the largest *individual* normal coordinate), all these running times increase by at most a polylogarithmic factor in X .

However, like many other exact geometric shortest-path algorithms, the geodesic-tracing algorithm we describe in Section 7 requires the real RAM model of computation, to avoid prohibitive numerical issues; the real RAM model supports *exact* real addition, subtraction, multiplication, division, and square roots in constant time [58]. Specifically, we require exact real arithmetic to efficiently compute and apply transformations between the local coordinate systems of faces of the input surface. (Square roots are not required if the input surface is given as a set of triangles in the plane specified by vertex coordinates, but they are necessary for other reasonable input representations, such as polyhedra in \mathbb{R}^3 or planar triangles specified by their edge lengths.)

2 Background

We begin by establishing some terminology and notation. In Section 2.1–2.3, we recall several standard definitions from combinatorial topology; for further background, see Edelsbrunner and Harer [20] or Stillwell [76]. We define the street complex and its components in Section 2.4. We defer background on piecewise-linear surfaces and geodesics to Section 7.1.

2.1 Surfaces, Curves, and Isotopy

A *surface* (more formally, a *2-manifold with boundary*) is a Hausdorff space in which every point has an open neighborhood homeomorphic to either the plane \mathbb{R}^2 or the closed halfplane $\{(x, y) \mid x \geq 0\}$. The set of points in a surface Σ with halfplane neighborhoods is the *boundary* of the surface, denoted $\partial\Sigma$; the boundary is homeomorphic to a finite set of disjoint circles. A surface is orientable if it does not contain a subset homeomorphic to the Möbius band. We consider only compact, connected, *orientable* surfaces in this paper; we use a fixed but arbitrary orientation of the surface to distinguish the “left” and “right”, and “clockwise” and “counterclockwise”.

¹For several of his algorithms, Štefankovic [1] only claims running times on integer RAMs with significantly larger word sizes, but his estimates are unnecessarily conservative.

Formally, a *simple cycle* in a surface Σ is a continuous injective map $\gamma: S^1 \rightarrow \Sigma$. A *simple path* is (the image of) a continuous injective map $\pi: [0, 1] \rightarrow \Sigma$; a *simple arc* is a simple path α whose endpoints $\alpha(0)$ and $\alpha(1)$ lie on the boundary $\partial\Sigma$. Except where explicitly noted, our algorithms deal with *undirected* curves; we do not normally distinguish between a cycle or arc and its reversal, or between different parametrization of the same cycle or arc. A simple arc is *properly embedded* if it intersects $\partial\Sigma$ only at its endpoints; similarly, a simple cycle is properly embedded if it avoids $\partial\Sigma$ entirely. A *properly embedded curve* is a finite collection of disjoint, properly embedded arcs and cycles. We emphasize that curves may have multiple components.

A *homotopy* between two curves is a continuous deformation of one curve to the other; if the curve remains properly embedded during the entire deformation, the homotopy is called a *(proper) isotopy*. More formally, a homotopy between two cycles γ and γ' is a continuous map $h: [0, 1] \times S^1 \rightarrow \Sigma$ such that $h(0, \cdot) = \gamma$ and $h(1, \cdot) = \gamma'$; the homotopy is an isotopy if $h(t, \cdot)$ is a properly embedded cycle for all $t \in [0, 1]$. Similarly, a homotopy between two arcs α and α' is a continuous map $h: [0, 1] \times [0, 1] \rightarrow \Sigma$ such that $h(0, \cdot) = \alpha$ and $h(1, \cdot) = \alpha'$; again, the homotopy is a proper isotopy if $h(t, \cdot)$ is a properly embedded arc for all $t \in [0, 1]$. The formal definitions of homotopy and proper isotopy extend naturally to properly embedded curves with multiple components; in particular, a proper isotopy is a continuous deformation of the *entire* curve, so that the *entire* curve is always properly embedded. Two curves are *isotopic*, or in the same *isotopy class*, if there is a isotopy between them; homotopic curves are defined similarly. A simple cycle or arc is *contractible* if it is homotopic to a point.

An *ambient isotopy* is a continuous deformation of the entire surface, that is, a continuous function $H: [0, 1] \times \Sigma \rightarrow \Sigma$ such that $H(0, \cdot)$ is the identity map and $H(t, \cdot)$ is a homeomorphism for all t . Classical results of Epstein [22] imply that two properly embedded curves γ and γ' are isotopic if and only if there is an *ambient* isotopy H such that $H(1, \gamma) = \gamma'$; see also Hirsch [33, Theorem 1.3].

The *genus* of a surface is the maximum number of disjoint simple cycles that can be removed without disconnecting the surface. Up to homeomorphism, there is exactly one orientable surface with genus g and b boundary components for any non-negative integers g and b .

2.2 Triangulations and Euler Characteristics

An *embedding* of a graph G on a surface Σ is a function mapping the vertices of G to distinct points in Σ and the edges of G to paths in Σ that are simple and disjoint except at common endpoints. The *faces* of the embedding are maximal connected subsets of Σ that are disjoint from the image of the graph. An embedding is *cellular* if every face is homeomorphic to an open disk; in particular, $\partial\Sigma$ must be the image of a set of disjoint cycles in G . A *triangulation* of Σ is a cellularly embedded graph in which a walk around the boundary of any face has length three. Equivalently, a triangulation expresses Σ as a set of disjoint triangles with certain pairs of edges identified; the *1-skeleton* of the resulting cell complex is the induced graph of vertices and edges.

We assume that our input surfaces are presented as triangulations, either as a set of triangles and gluing rules, or as an abstract graph with a rotation system [39, 51] specifying the counterclockwise order of edges around each vertex. We do *not* assume that triangulations are simplicial complexes. That is, triangulations may contain parallel edges and loops; two triangles may share more than a single vertex or a single edge; and the same triangle may be incident to a vertex or edge more than once.

The *Euler characteristic* of a triangulation T is the number of vertices and faces minus the number of edges; the Euler characteristic $\chi(\Sigma)$ of a surface Σ is the Euler characteristic of any triangulation of Σ . A classical extension of Euler's formula, originally due to l'Huillier [43, 44], implies that $\chi(\Sigma) = 2 - 2g - b$ for the orientable surface Σ with genus g and b boundary components.

1 **Lemma 2.1.** *The components of a properly embedded curve on a surface with genus g and b boundary*
 2 *cycles fall into at most $9g + 6b - 8$ isotopy classes.*

3 **Proof:** Fix a properly embedded curve γ on a surface Σ . We separately bound the contractible compo-
 4 nents, noncontractible cycles, and noncontractible arcs in γ ; thus, our analysis is not tight.

5 Two contractible arcs are isotopic if and only if their endpoints lie on the same boundary cycle of Σ ;
 6 thus, contractible arcs fall into at most b isotopy classes. All contractible cycles in Σ are isotopic. We
 7 conclude that γ has at most $b + 1$ contractible components.

8 Let \mathcal{C} be a maximal set of pairwise-disjoint noncontractible *cycles* in distinct isotopy classes. Cutting
 9 the surface along any cycle leaves its Euler characteristic unchanged. Each component of $\Sigma \setminus \mathcal{C}$ is
 10 either a pair of pants bounded by three cycles in \mathcal{C} or an annulus bounded by a cycle in \mathcal{C} and a
 11 boundary cycle of Σ ; a component of any other topological type would contain a non-contractible
 12 cycle that is not isotopic to any cycle in \mathcal{C} . A pair of pants has Euler characteristic -1 ; an annulus has
 13 Euler characteristic 0 ; and each annular component of $\Sigma \setminus \mathcal{C}$ contains exactly one boundary cycle of Σ .
 14 Thus, $\Sigma \setminus \mathcal{C}$ consists of exactly $-\chi(\Sigma) = 2g + b - 2$ pairs of pants and b annuli, which implies that
 15 $|\mathcal{C}| = (3(2g + b - 2) + b)/2 = 3g + 2b - 3$.

16 Similarly, let \mathcal{A} be a maximal set of pairwise-disjoint noncontractible *arcs* in distinct isotopy classes.
 17 Each component of $\Sigma \setminus \mathcal{A}$ is a disk bounded by exactly three arcs in \mathcal{A} and three boundary arcs.
 18 Contracting each boundary cycle of Σ to a point transforms \mathcal{A} into a b -vertex triangulation of a surface
 19 of genus g with no boundary. Thus, Euler's formula implies that $b - |\mathcal{A}| + \frac{2}{3}|\mathcal{A}| = 2 - 2g$, or equivalently,
 20 $|\mathcal{A}| = 6g + 3b - 6$. \square

21 **2.3 Normal Curves, Normal Isotopy, and Normal Coordinates**

22 Let T be a triangulation of a surface Σ and let n be the number of triangles in T . A properly embedded
 23 curve γ in Σ is **normal** with respect to T if (1) γ avoids the vertices of T ; (2) every intersection
 24 between γ and an edge of T is transverse; and (3) the intersection of γ with any triangular face of T
 25 is a finite set of disjoint **elementary segments**: simple paths whose endpoints lie on distinct sides of
 26 the triangle. A **normal isotopy** between two normal curves is a proper isotopy h such that $h(t, \cdot)$ is a
 27 normal curve for all t . Two curves are **normal isotopic**, or in the same **normal isotopy class**, if there is
 28 a normal isotopy between them.

29 A normal cycle is **trivial** if it bounds a disk in Σ containing a single vertex of T . We call a normal
 30 curve γ **reduced** if no component of γ is a trivial cycle and no two components of γ are normal isotopic.
 31 Lemma 2.1 immediately implies that any reduced normal curve in T has at most $O(n)$ components,
 32 where n is the number of triangles in T .

33 Any normal curve can be identified, up to normal isotopy, by two different vectors of $O(n)$ non-
 34 negative integers. There are three types of elementary segments within any face Δ , each separating one
 35 corner of Δ from the other two; the **corner coordinates** of γ list the number of elementary segments
 36 of each type in each face of T . The **edge coordinates** of γ list the number of times γ intersects each
 37 edge of T . See Figure 2.1. We collectively refer to the corner and edge coordinates of a curve as its
 38 **normal coordinates**.² Given either normal coordinate representation, it is easy to compute the other
 39 representation in $O(n)$ time. Not every vector of non-negative integers gives rise to a normal curve; the
 40 sum of corner coordinates within each triangle must be even, and the edge coordinates on the boundary
 41 of each triangle must satisfy the triangle inequality.

42 The **total crossing number** of a normal curve is the sum of its edge coordinates; this number is also
 43 equal to the sum of the curve's corner coordinates plus the number of arc components of the curve.

²Schaefer *et al.* [63, 66, 75] refer to the edge coordinates as “normal coordinates”, but the standard coordinate system for normal surfaces [28] is a generalization of corner coordinates.

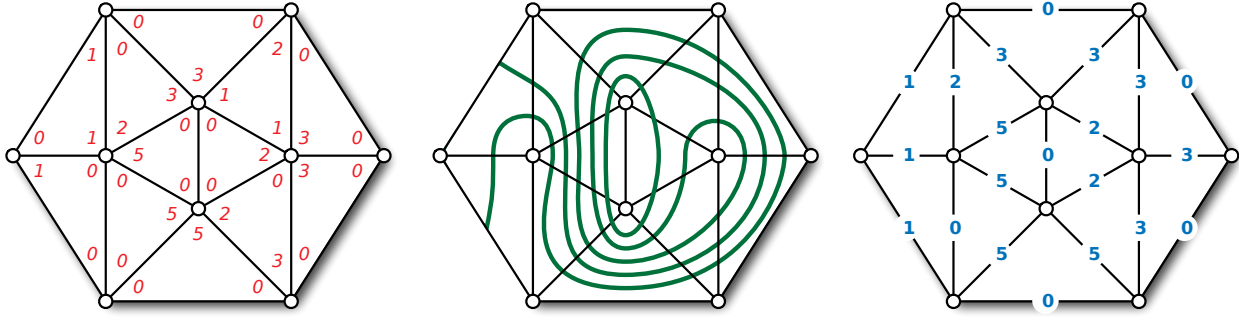


Figure 2.1. Corner and edge coordinates of a normal curve with two components in a triangulated disk.

2.4 Ports, Blocks, Junctions, and Streets

We now introduce the street complex and its components.

The intersections between any normal curve γ and the edges of any triangulation T partition γ into *elementary segments* and partition the edges of T into segments called *ports*. The *overlay graph* $T \parallel \gamma$ is the cellularly embedded graph whose edges are these elementary segments and ports. Every vertex of $T \parallel \gamma$ is either a vertex of T or an intersection point of γ and some edge of T . Every face of $T \parallel \gamma$ is a subset of some face Δ of T . We call each face a *junction* if it is incident to all three sides of its containing face Δ , and a *block* if it is incident to only two sides of Δ ; these are the only two possibilities. Each face of T contains exactly one junction. Each block is bounded either by two elementary segments and two ports, or by one elementary segment and two ports that share a vertex of T .

The following useful observation is essentially due to Kneser [40].

Lemma 2.2. *A reduced normal curve in a surface triangulation with n triangles has at most $\lfloor (3n - 1)/2 \rfloor = O(n)$ components.*

Proof: Fix a reduced normal curve γ on a triangulation T with n triangles and v vertices; obviously, $v \geq 1$. Consider the non-reduced normal curve γ' obtained from γ by adding v trivial cycles and arcs, one around each vertex of T . Orient each component of γ' arbitrarily, and consider the faces of $T \parallel \gamma'$ immediately to the left of some nontrivial component γ_i . Because γ_i is nontrivial, none of these faces is a triangular block. If all of these faces were quadrilateral blocks, the component just to the left of γ_i would be normal-isotopic to γ_i , contradicting our assumption that γ is reduced. Thus, at least one face on the left side of γ_i is a junction; symmetrically, at least one face on the right side of γ_i is a junction. Similarly, each trivial component of γ' is incident to at least one junction. The overlay graph $T \parallel \gamma'$ has exactly n junctions, each incident to at most three components of γ' . We conclude that γ' has at most $\lfloor (3n - v)/2 \rfloor$ non-trivial components. \square

We call a port *redundant* if it separates two blocks; because each face of T contains exactly one junction, each edge of T contains at most two non-redundant ports. Removing all the redundant ports from the overlay graph $T \parallel \gamma$ merges contiguous sets of blocks into *streets*. Each street is either a single open disk with exactly two non-redundant ports on its boundary (called the *ends* of the street), an open annulus bounded by a trivial component of γ and a vertex of T , or an annulus bounded by two parallel components of γ . In particular, if γ is reduced, all streets are of the first type. For any reduced normal curve γ , the *street complex* $S(T, \gamma)$ is the complex of streets and junctions in the overlay $T \parallel \gamma$. Figure 2.2 shows the street complex of the normal curve in Figure 2.1. Streets and junctions are two-dimensional analogues of the *product regions* and *guts* of normal surfaces, defined by Jaco, Letscher, and Rubinstein [35, 36].

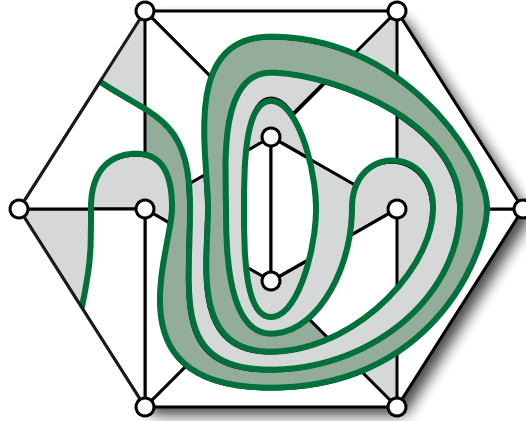


Figure 2.2. The street complex of the normal curve in Figure 2.1. Unshaded faces are junctions; shaded faces are streets; one street is shaded darker (green) for emphasis.

1 By construction, the components of any reduced normal curve γ appear as disjoint paths and cycles
 2 in the 1-skeleton of the street complex. Although the complexity of the overlay graph $T \parallel \gamma$ can be
 3 arbitrarily large, even when the curve γ is connected, the street complex $S(T, \gamma)$ of a reduced normal
 4 curve is never more than a constant factor more complex than the original triangulation.

5 **Lemma 2.3.** *Let T be a surface triangulation with n triangles. For any **reduced** normal curve γ in T ,*
 6 *the street complex $S(T, \gamma)$ has complexity $O(n)$.*

7 **Proof:** The triangulation T trivially has at most $3n$ vertices and at most $3n$ edges. Each interior edge
 8 of T contains at most two non-redundant ports, so $S(T, \gamma)$ has $O(n)$ interior vertices. Each boundary
 9 vertex of $S(T, \gamma)$ is either a boundary vertex of T or an endpoint of one of the $O(n)$ components of γ , so
 10 $S(T, \gamma)$ has $O(n)$ boundary vertices. Each vertex of $S(T, \gamma)$ is either a vertex of T or has degree at most 4,
 11 so $S(T, \gamma)$ has $O(n)$ edges. Each non-redundant port is an end of at most one street, so $S(T, \gamma)$ has $O(n)$
 12 streets. Finally, $S(T, \gamma)$ has exactly n junctions, one in each triangle of T . \square

13 Our restriction to reduced curves has two motivations. First, the street complex of any non-reduced
 14 curve γ contains annular faces, which would complicate our algorithms (but probably not seriously).
 15 More importantly, the street complex of a non-reduced curve can have arbitrarily high complexity, since
 16 the curve can have arbitrarily many components. Fortunately, as we argue in Section 6, it is easy to
 17 avoid tracing trivial components or more than one component in the same normal isotopy class.

18 The **crossing sequence** of a street is the sequence of edges in the original triangulation T crossed by
 19 any path that traverses the street from one end to the other. The **crossing length** of a street is the length
 20 of its crossing sequence, or equivalently, the number of constituent blocks plus one. To simplify our
 21 analysis, we regard any port between two junctions, as well as any boundary port incident to a junction,
 22 as a street with crossing length 1. The sum of the crossing lengths of the streets in any street complex
 23 $S(T, \gamma)$ is the total crossing number of γ plus the number of edges in T .

24 Any normal curve γ' that is disjoint from γ subdivides each port in $S(T, \gamma)$ into smaller ports,
 25 each street in $S(T, \gamma)$ into narrower “blocks”, and each junction in $S(T, \gamma)$ into blocks and exactly one
 26 smaller junction. Removing all redundant ports from this refinement gives us the refined street complex
 27 $S(T, \gamma \cup \gamma')$. Conversely, the intersection of γ' with any street or junction in $S(T, \gamma)$ is a set of elementary
 28 arcs. There are three types of elementary arcs within any junction, each connecting two of the junction’s
 29 three ports. The **junction coordinates** of γ' list the number of elementary arcs of each type in each
 30 junction of $S(T, \gamma)$. Similarly, the **street coordinates** of γ' list the number of such arcs within each street

of $S(T, \gamma)$. Junction and street coordinates have the same simple linear relationship as corner and edge coordinates; in fact, the normal coordinates of a curve γ are just the junction and street coordinates of γ in the trivial street complex $S(T, \emptyset)$.

Our tracing strategy must handle normal curves that are partially drawn on the surface; we slightly extend our definitions to include such curves. A **normal path** is any simple path whose endpoints lie in the interior of edges of T and that can be extended to a normal curve on Σ . Let γ be composed of a normal curve γ' and possibly a normal path π disjoint from γ' . A **fork** is the union of two ports that share one of the endpoints of π ; for most purposes, we can think of a fork as a degenerate junction. Formally, we call a port *redundant* if it separates two blocks and it is not part of a fork; modified definitions of streets and the street complex follow immediately. The modified street complex $S(T, \gamma)$ clearly still has complexity $O(n)$. See Figure 2.3.

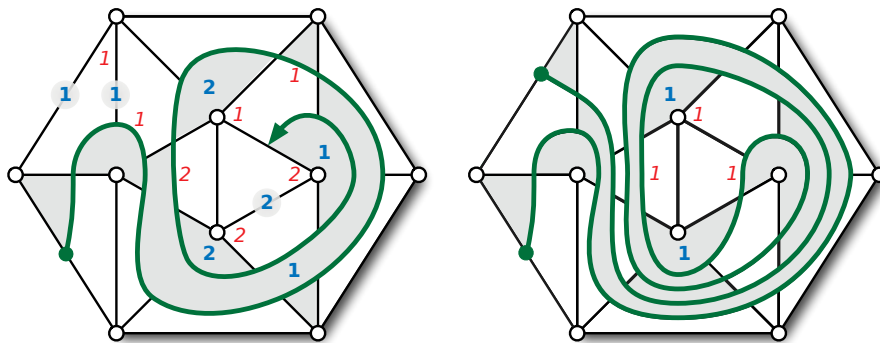


Figure 2.3. Street complexes for two subcurves of the curve in Figure 2.1, with **street** and **junction** coordinates. On the left, the arc component is being traced; on the right, the arc has been completely traced, but there is another untraced component. Zero coordinates are omitted for clarity. Compare with Figure 2.2.

3 Tracing Connected Normal Curves

In this section, we describe our algorithm to trace *connected* normal curves. Given a triangulation T of an orientable surface Σ and the corner and edge coordinates of a connected normal curve γ , our tracing algorithm computes the street complex $S(T, \gamma)$. We extend our algorithm to *reduced* curves with multiple components in Section 4, and we consider arbitrary normal curves in Section 6.

Our algorithm maintains a normal subpath π of γ that is growing at one end, along with the street complex $S(T, \pi)$ and the junction and street coordinates of the complementary path $\gamma \setminus \pi$. If γ is an arc, we trace it from one endpoint to the other. If γ is a cycle, we trace it starting at some intersection point with an edge of T . In either case, π is initially a single crossing point, which splits some edge into two smaller segments, each of which is a street with crossing length 1. If γ is a cycle, these two segments also define a fork. During the rest of the tracing algorithm, existing streets are extended, but no other streets are created or destroyed, except at the very last step if γ is a cycle, when two pairs of streets are merged together at the initial fork; see the middle of Figure 3.2.

During the rest of the tracing algorithm no new streets are created and no streets are removed (except possibly at the last step when γ get closed); however, existing streets are extended.

3.1 Steps

In each **step** of our algorithm, we extend the path π through one junction or fork, and then through one street, updating both the street complex and the junction and street coordinates. After each step, we call

1 the streets on either side of the last segment added to π the left and right **active** streets. (Recall that
 2 “left” and “right” are defined with respect to a fixed but arbitrary orientation of the surface Σ .)

3 Suppose π is about to enter a junction. We call the streets adjacent to the junction but not to the
 4 endpoint of π the *left exit* and the *right exit*. Suppose the local junction coordinates are a , b , and c , and
 5 the active street coordinates are l and r , as shown in Figure 3.1. These coordinates satisfy the equation
 6 $l + r + 1 = a + c$, so either $l < a$ or $r < c$. If $l < a$, we extend π through the junction and through its
 7 left exit into the next junction; the left active street grows to the end of the left exit, and the left exit
 8 becomes the new right active street. We call this case a **left turn**; the symmetric case $r < c$ is called
 9 a **right turn**. In either case, we update the street and junction coordinates as shown in Figure 3.1. A
 10 similar case analysis applies when π crosses a fork; see Figure 3.2.

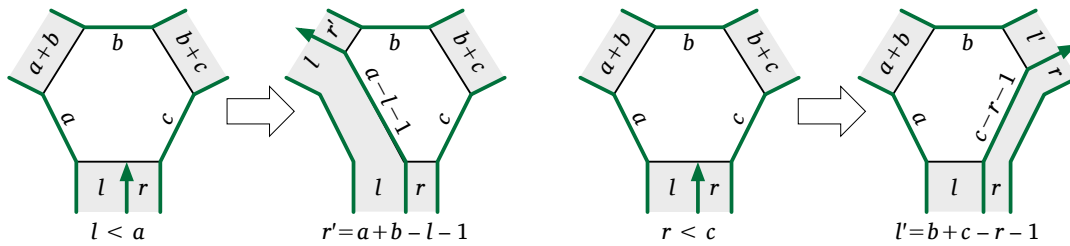


Figure 3.1. Tracing a curve through a junction.

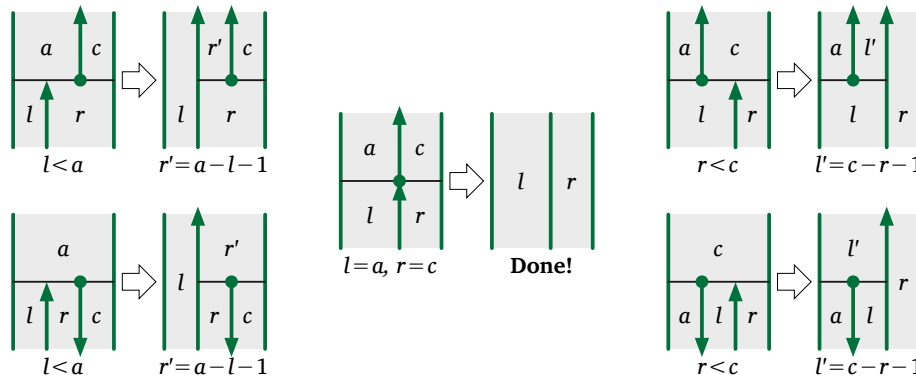


Figure 3.2. Tracing a curve through a fork.

11 The tracing algorithm ends when π hits either the boundary of Σ or the starting point of the trace.
 12 In all other cases, each step makes one active street longer, replaces the other active street, and makes
 13 the new active street narrower. All necessary operations for a single step—comparing and updating the
 14 junction and street coordinates and updating the street complex—can be performed in $O(1)$ time.

15 3.2 Phases and Spirals

16 Unfortunately, executing each step by brute force is not necessarily efficient. To improve the brute-force
 17 algorithm, we more coarsely partition the tracing process into **phases**. Each phase is a maximal sequence
 18 of either left turns or right turns. Every step in a phase consisting of left turns extends the same left
 19 active street; similarly, every step in a right phase extends the same right active street. In either case,
 20 each phase extends a single **active street**.

21 During each phase, we maintain a sequence of *directed* streets and junctions traversed during that
 22 phase. If the growing path π ever enters a street for the second time, in the same direction, during the
 23 same phase, then π has entered a **spiral**. In fact, the reentered street is the first street traversed during

1 the current phase; for the remainder of the phase, π repeatedly traverses the same sequence of directed
 2 streets and junctions. The **length** of a spiral is the total number of streets it traverses, counted with
 3 multiplicity, and the **depth** of the spiral is the number of times it repeats the *entire* sequence of directed
 4 streets and junctions. If the spiral has length ℓ and traverses m distinct directed streets, its depth is
 5 $\lceil \ell/m \rceil - 1$. Figure 3.3 shows a left spiral with length $\ell = 16$ and depth $d = 3$ through $m = 5$ distinct
 6 streets, plus the first step of the next phase.

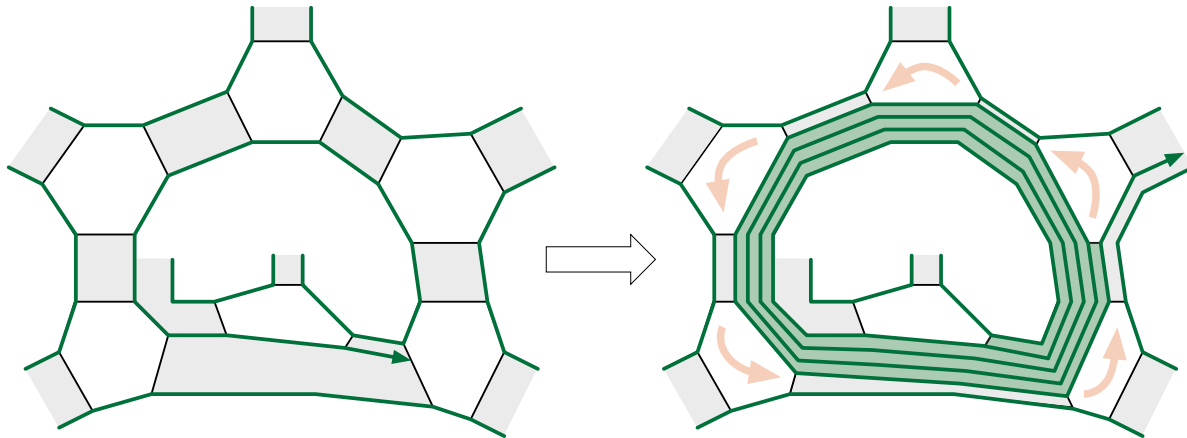


Figure 3.3. A left spiral, plus one step of the next phase.

7 Instead of tracing the spiral step by step, we compute the depth of the spiral directly in $O(m)$ time as
 8 follows. Let J_0, J_1, \dots, J_{m-1} be the junction coordinates modified during the first iteration of the spiral.
 9 Let w denote the **width** of the active street, defined as the corresponding street coordinate plus 1. The
 10 depth of the spiral is $d = \min_i \lfloor J_i/w \rfloor$, and the spiral ends at the first junction whose coordinate J_i
 11 is smaller than dw . Once we compute d , we can update the street complex $S(T, \pi)$ and the appropriate
 12 street and junction coordinates in $O(m)$ time. In particular, as long as the depth of the spiral is at least 2,
 13 the combinatorial structure of $S(T, \pi)$ (the 1-skeleton and the rotation system encoding its embedding
 14 in Σ) depends only on the last $\ell \bmod m$ steps of the spiral.

15 The lengths and widths of the streets, as well as junction and street coordinates of the remainder of
 16 the curve, can all be updated in $O(m)$ time. The length of the active street increases by d times the total
 17 length of the m distinct directed streets in the spiral, plus the total length of the last $\ell \bmod m$ streets;
 18 no other street changes length. Each *undirected* street in the spiral is traversed $d, d + 1, 2d, 2d + 1$ or
 19 $2d + 2$ times, depending on whether the street is traversed in one or both directions, and which of those
 20 traversals occur in the last $\ell \bmod m$ steps of the phase. We can compute all such numbers in $O(m)$ time,
 21 after which updating the widths of the streets traversed by the spiral is straightforward.

22 The crude upper bound $m = O(n)$ immediately implies that each phase of our tracing algorithm can
 23 be executed in $O(n)$ time. We analyze the number of phases, as a function of the total crossing number
 24 of the traced curve, in Section 4.

25 3.3 History

26 For some applications of our tracing algorithm, it is useful to maintain the **history** of the street complex,
 27 which records the evolution of each street during the algorithm's execution. We identify each street
 28 by a distinct numerical index. For each phase of the tracing algorithm, the history records the tuple
 29 $(a; \ell; m; i_0, i_1, \dots, i_{m-1})$, where

- 30 • a is the index of the street that is active for the entire phase;

- 1 • ℓ is the number of steps in the phase;
- 2 • m is the number of distinct directed streets traversed during the phase; and
- 3 • i_0, i_1, \dots, i_{m-1} are the indices of these m directed streets in the order they are traversed.

4 If the same street is traversed in both directions during the phase, the index of that street will appear
5 twice in the sequence i_0, i_1, \dots, i_{m-1} .

6 The resulting history encodes a context-free grammar whose terminals correspond to the edges of T
7 and most of whose productions have the following form, where $d = \lceil l/m \rceil - 1$:

$$8 \quad \begin{aligned} X_a &\rightarrow X_b (X_{i_0} X_{i_1} \cdots X_{i_{m-1}})^d X_{i_0} X_{i_1} \cdots X_{i_{(\ell-1) \bmod m}} \\ \bar{X}_a &\rightarrow \bar{X}_{i_{(\ell-1) \bmod m}} \cdots \bar{X}_{i_1} \bar{X}_{i_0} (\bar{X}_{i_{m-1}} \cdots \bar{X}_{i_1} \bar{X}_{i_0})^d \bar{X}_b. \end{aligned}$$

9 (We refer readers unfamiliar with context-free grammars to Hopcroft *et al.* [34, Chapter 5] or Sipser [74,
10 Chapter 4].) The language of each non-terminal X_i is a single string, recording the crossing sequence
11 of the street at the end of some phase. In the example above, X_a is the crossing sequence of the *active*
12 street just *after* the phase ends; X_b is the crossing sequence of the active street just *before* the phase
13 begins; and \bar{X}_i denotes the reversal of X_i . If the same street is traversed in both directions during a
14 phase, we will have $X_{i_j} = \bar{X}_{i_k}$ for some indices $j \neq k$, so both the forward and reverse productions are
15 necessary; otherwise, the indices i_j are distinct. The grammar also contains terminal productions of the
16 form $X_i \rightarrow e_i$ and $\bar{X}_i \rightarrow e_i$ for each edge e_i in the input triangulation. (We can encode *signed* crossing
17 sequences, which record the direction of each crossing in addition to the crossed edge, by changing
18 these terminal productions to $X_i \rightarrow e_i$ and $\bar{X}_i \rightarrow \bar{e}_i$.)

19 This context-free grammar can be transformed into Chomsky normal form by replacing each pro-
20 duction in the form above with $O(m + \log d)$ productions of the form $A \rightarrow BC$. Context-free grammars
21 whose language contains a single string are sometimes called **straight-line programs** [37] or *grammar-*
22 *based codes* [38]. Thus, our history data structure is equivalent to the *compressed intersection sequence*
23 constructed by Schaefer *et al.* [66, 75]. We analyze the complexity of our history data structure and the
24 resulting compressed intersection sequence in the next section.

25 4 Analysis

26 We now bound the running time of our tracing algorithm. In Section 4.1, we bound the time required to
27 trace a *connected* normal curve; we extend our analysis to *reduced* curves with multiple components in
28 Section 4.2 and to the complexity of compressed intersection sequences in Section 4.3. Throughout our
29 analysis, we let N denote the current number of streets in the evolving street complex; N is constant if
30 we are tracing a *connected* normal curve, but for disconnected curves, N increases or decreases by at
31 most 2 when we start or finish tracing each component. Because we actually trace only reduced curves,
32 Lemma 2.3 implies that $N = \Theta(n)$ at all times.

33 Our analysis can be viewed as a generalization of Lamé's classical analysis of Euclid's GCD algorithm
34 in terms of Fibonacci numbers [41, 73]. This connection is not a coincidence; for tracing a single cycle
35 on the unique triangulation of the torus with two triangles, our algorithm actually reduces to Euclid's
36 algorithm. In particular, handling each phase in $O(n)$ time, instead of constant time per step, generalizes
37 the use of division in Euclid's algorithm instead of repeated subtraction. Euclid's algorithm is invoked
38 explicitly by the orbit-counting algorithm of Agol *et al.* [1] and by the compressed pattern-matching
39 algorithms [37, 49, 61] underlying the results of Schaefer *et al.* [66, 75]. See also related results of
40 Moeckel [50] and Series [71, 72] on encoding (infinite) geodesics in surfaces of constant curvature by
41 continued fractions.

In retrospect, our analysis (at least for connected curves) is nearly identical to Dynnikov and Weist's analysis of their transmission-relaxation method [16, 19], although the algorithms themselves appear to be quite different. In particular, the potential function Φ in the proof of Lemma 4.1 closely resembles their definition of the *AHT-complexity* of a braid (named after Agol, Hass, and Thurston). Dehornoy *et al.* [16, page 196] draw a similar analogy between their approach and the fast Euclidean algorithm.

4.1 Abstract Tracing

In each phase of our tracing algorithm, the crossing length of the active street increases by the sum of the crossing lengths of the other traversed streets, counted with appropriate multiplicity. The algorithm ABSTRACTTRACE, shown in Figure 4.1, abstractly models this growth. For any positive integer k , we write $[k]$ to denote the set $\{1, 2, \dots, k\}$.

```

ABSTRACTTRACE( $N$ ):
  for  $j \leftarrow 1$  to  $N$ 
     $x[j] \leftarrow 1$ 
   $a \leftarrow 1$ 
  while not done
    choose an integer  $m \in [N]$ 
    choose an integer  $\ell \geq m$ 
    choose a vector  $(i_0, i_1, \dots, i_{m-1}) \in [N]^m$ 
     $d \leftarrow \lfloor \ell/m \rfloor - 1$ 
    for  $j \leftarrow 0$  to  $m - 1$ 
       $x[a] \leftarrow x[a] + d \cdot x[i_j]$ 
    for  $j \leftarrow 0$  to  $(\ell - 1) \bmod m$ 
       $x[a] \leftarrow x[a] + x[i_j]$ 
     $a \leftarrow i_{(\ell-1) \bmod m}$ 

```

Figure 4.1. Our abstract tracing algorithm.

ABSTRACTTRACE maintains an array $x[1..N]$ of positive integers, corresponding to the crossing lengths of the streets maintained in our tracing algorithm, along with the index a of the current active street. Each iteration of the outer loop of ABSTRACTTRACE models a phase of our tracing algorithm. The inner loops update the crossing length $x[a]$ of the active street as the curve traverses a spiral of length ℓ and depth d , containing m distinct streets whose indices are in the vector $(i_0, i_1, \dots, i_{m-1})$. The last street traversed in the current phase becomes the active street for the next phase. For purposes of analysis, we assume that the termination condition for the outer loop and the parameters ℓ , m , and $(i_0, i_1, \dots, i_{m-1})$ of each iteration are determined **by a malicious adversary** instead of the topology of the input curve.

To analyze ABSTRACTTRACE, we derive an upper bound on the number of phases required to reach any fixed values of $x[1..N]$; equivalently, we derive a lower bound on the values $x[1..N]$ for a given number of phases. To minimize the increase in $x[a]$ and therefore maximize the number of phases, we can assume conservatively that $m = 1$ in every phase; equivalently, we can ignore the contribution to the active street's crossing length from all but the last street in every spiral. (We could also conservatively assume that $\ell = 1$ at this point, but it will be useful later to consider larger values of ℓ .) Thus, we consider the simpler algorithm SIMPLETRACE shown in Figure 4.2. The new variable δ is the number of times the last street in the spiral is traversed; specifically, $\delta = d$ if ℓ/m is an integer and $\delta = d + 1$ otherwise. The other new variable Δ is used only in the analysis. Note that an upper bound on the number of phases of ABSTRACTTRACE is implied by a lower bound on the summation of x_i 's.

Lemma 4.1. *At the end of each iteration of SIMPLETRACE, we have $\Delta \leq 2 \sum_{i=1}^N \lg x[i]$.*

```

SIMPLETRACE( $N$ ):
  for  $j \leftarrow 1$  to  $N$ 
     $x[j] \leftarrow 1$ 
   $\Delta \leftarrow 0$ 
   $a \leftarrow 1$ 
  while not done
    choose an index  $i \in [N]$ 
    choose an integer  $\delta \geq 1$ 
     $x[a] \leftarrow x[a] + \delta \cdot x[i]$ 
     $\Delta \leftarrow \Delta + \lg(\delta + 1)$ 
     $a \leftarrow i$ 

```

Figure 4.2. A simplified tracing algorithm for analysis.

Proof: Consider the potential function $\Phi := 2 \sum_{i=1}^N \lg x[i] - \lg x[a]$. Initially we have $\Phi = 0$. There are two cases to consider, depending on whether $x[a]$ is smaller or larger than $x[i]$ at the start of each iteration of the loop.

- If $x[a] \leq x[i]$, then the assignment $x[a] \leftarrow x[a] + \delta \cdot x[i]$ increases Φ by at least $\lg(\delta + 1)$, and the assignment $a \leftarrow i$ does not decrease Φ .
- If $x[a] \geq x[i]$, then the assignment $x[a] \leftarrow x[a] + \delta \cdot x[i]$ does not decrease Φ , and the assignment $a \leftarrow i$ increases Φ by at least $\lg(\delta + 1)$.

In both cases, Φ increases by at least $\lg(\delta + 1)$ in each iteration. It immediately follows by induction that $\Delta \leq \Phi \leq 2 \sum_{i=1}^N \lg x[i]$ at the end of every iteration. \square

Lemma 4.2. *ABSTRACTTRACE(N) runs for at most $2L = O(N \log X)$ phases, where L is the final value of $\sum_{i=1}^N \lg x[i]$ and X is the final value of $\sum_{i=1}^N x[i]$.*

Proof: To maximize the number of phases, we assume that $m = \ell = 1$ in every phase. This assumption allows us to simplify the execution to an instance of SIMPLETRACE where $\delta = 1$ in every phase, and therefore Δ is simply the number of phases. Lemma 4.1 implies that the algorithm terminates after at most $2L$ phases. The parameter L is maximized as a function of N and X when $x[i] = X/N$ for all i . (Our assumption that $X = \Omega(n^2)$ implies that $\log(X/N) = \Theta(\log X)$.) \square

The trivial inequality $m \leq N$ now implies the following time bound:

Corollary 4.3. *ABSTRACTTRACE(N) runs in $O(NL) = O(N^2 \log X)$ time, where L is the final value of $\sum_{i=1}^N \lg x[i]$ and X is the final value of $\sum_{i=1}^N x[i]$.*

Theorem 4.4. *Let T be a surface triangulation with n triangles, and let γ be a **connected** normal curve in T with total crossing number X . Given the normal coordinates of γ , we can trace γ in $O(n^2 \log X)$ time.*

There is an interesting tension between the two steps of our analysis. To bound the number of phases in Lemma 4.2, we conservatively assume that each phase traverses only a constant *number* of streets; however, to bound the total number of steps in Corollary 4.3, we conservatively assume that each phase traverses a constant *fraction* of the streets. Despite this tension, both bounds are asymptotically tight in the worst case, at least when X is sufficiently large.

Lemma 4.5. *ABSTRACTTRACE(N) executes $\Omega(N \log X)$ phases in the worst case.*

Proof: Suppose the adversary chooses $i = (a \bmod N) + 1$ and $\delta = 1$ in every phase of SIMPLETRACE. An easy inductive argument implies that for any integer $r \geq 1$, at the end of $r \cdot (N - 1)$ phases we have $x[i] \leq 2^r$ for all i . Thus, SIMPLETRACE must perform at least $(N - 1)\lg(X/N) = \Omega(N \log X)$ iterations before $\sum_i x[i] = X$. \square

Lemma 4.6. *ABSTRACTTRACE(N) runs in $\Omega(N^2 \log X)$ time in the worst case, assuming $X = \Omega(N^{2+\varepsilon})$ for some $\varepsilon > 0$.*

Proof: Suppose $N = 2k$ for some integer $k \geq 2$, and in every phase of ABSTRACTTRACE, the adversary chooses $\ell = m = k + 1$ (and therefore $d = 0$) and $(i_0, i_1, \dots, i_k) = (k + 1, k + 2, \dots, 2k, (a \bmod k) + 1)$. In other words, the adversary mimics the strategy described in the previous proof in the lower half $x[1..k]$ of the array, but uses the upper half $x[k + 1..2k]$ to add k additional steps to the start of each phase. The values in $x[k + 1..2k]$ never change; at all times, we have $a \leq k$ and $x[i] = 1$ for all $i > k$. Thus, the additional steps have little impact on the growth of the sum $\sum_i x[i]$.

A straightforward inductive argument implies that for any integer $r \geq 1$, at the end of $r \cdot (k - 1)$ phases, we have $\sum_{i=1}^k x[i] < (2^r - 1)k^2 + k < 2^r k^2 - k$ and therefore $\sum_{i=1}^N x[i] < 2^r N^2 / 4$. Thus, ABSTRACTTRACE must execute at least $(N - 1)\lg(4X/N^2) = \Omega(N \log X)$ phases before $\sum_{i=1}^N x[i] = X$. Each phase requires $\Omega(N)$ time. \square

We leave open the possibility that our analysis is *not* tight for instances that actually arise from tracing normal curves on triangulated surfaces. We conjecture that Lemma 4.2 is still tight in this context, but that Corollary 4.3 is not.

4.2 Tracing Reduced Curves

Now consider the more general case where γ is a *reduced* curve, possibly with more than one component. (For the applications we describe in Section 6, this is the most general case we need to consider.) Our tracing algorithm requires little modification to handle these curves; we simply trace the components one at a time, in arbitrary order. Each component refines the street complex defined by the previous components. Lemma 2.3 immediately implies that the resulting algorithm runs in $O(n^3 \log X)$ time, but this time bound can be improved with more careful analysis.

Theorem 4.7. *Let T be a surface triangulation with n triangles, and let γ be a **reduced** normal curve in T with total crossing number X . Given the normal coordinates of γ , we can trace all components of γ in $O(n^2 \log X)$ total time.*

Proof: Consider the effect of ending one component and starting another on the vector of crossing lengths modeled by the array $x[1..N]$ in SIMPLETRACE. When we begin tracing a new cycle component, we split some street into three smaller streets by introducing a fork; one of the three new streets becomes the active street for the first phase of the new component. This update can be modeled in SIMPLETRACE by adding the following lines:

if starting a cycle:
choose an index $i \in [N]$
choose an integer $y \in [x[i]]$
 $x[i] \leftarrow x[i] - y + 1$
 $x[N + 1] \leftarrow y$
 $x[N + 2] \leftarrow y$
 $N \leftarrow N + 2$
 $a \leftarrow N + 2$

1 When we finish tracing a cycle component, we merge the four streets adjacent to the initial fork into two
 2 longer streets; see the center of Figure 3.2. This update can be modeled in SIMPLETRACE by adding the
 3 following lines:

if ending a cycle:
choose an index $j \in [N]$
choose an index $k \in [N]$
 $x[j] \leftarrow x[j] + x[N - 1] - 1$
 $x[k] \leftarrow x[k] + x[N] - 1$
 $N \leftarrow N - 2$

5 Similarly, when we begin tracing a new arc component, we split some street (ending on the boundary
 6 of Σ) into two narrower streets. This update can be modeled in SIMPLETRACE by adding the following
 7 lines:

if starting an arc:
choose an index $i \in [N]$
 $x[N + 1] \leftarrow x[i]$
 $N \leftarrow N + 1$
 $a \leftarrow N + 1$

9 No additional changes are necessary when we end an arc component. Again, for purposes of analysis,
 10 we assume that the decision of when to end one component and begin another, whether each new
 11 component is an arc or a cycle, and the array elements involved in starting or ending a component are
 12 all chosen *adversarially* instead of being determined by the topology of a curve.

13 Altogether, ending one component and starting a new one decreases the potential function Φ by at
 14 most $O(\log X)$. An easy modification of the proof of Lemma 4.1 now implies that after each iteration of
 15 SIMPLETRACE, we have $\Delta \leq 2 \sum_{i=1}^N \lg x[i] + O(t \lg X)$, where t is the number of components we have
 16 completely traced so far. Lemma 2.2 implies that any reduced normal curve has $O(n)$ components. We
 17 conclude that SIMPLETRACE(N) executes at most $O(N \log X) = O(n \log X)$ phases; each phase trivially
 18 requires $O(n)$ time. \square

19 When we trace curves with multiple components, we also record the start and end of each component
 20 in the tracing history. We omit the straightforward but tedious details.

21 4.3 Logarithmic Spiral Cost

22 Recall from Section 3.3 that our history data structure can be transformed into a context-free grammar
 23 in Chomsky normal form, also known as a *straight-line program*, that encodes the crossing sequence of
 24 every component of the traced curve γ . For each phase of the tracing algorithm, this grammar contains
 25 $O(m + \log d)$ productions, where m is the number of distinct streets traversed in that phase and d is the
 26 depth of that phase's spiral.

27 Lemma 4.1 immediately implies that the total size of this grammar is $O(n^2 \log X)$ for any connected
 28 normal curve. In particular, the sum of all the $O(\log d)$ terms is only $O(n \log X)$; this sum is bounded by
 29 the parameter Δ maintained in SIMPLETRACE. The sum of all the $O(m)$ terms is bounded by the running
 30 time of the tracing algorithm, which is $O(n^2 \log X)$ by Corollary 4.3. The proof of Theorem 4.7 extends
 31 this analysis to reduced curves with multiple components.

32 **Theorem 4.8.** *Let T be a surface triangulation with n triangles, and let γ be a **reduced** normal curve
 33 in T with total crossing number X . Given the normal coordinates of γ , we can compute a straight-
 34 line program of length $O(n^2 \log X)$ that encodes the crossing sequences of every component of γ , in
 35 $O(n^2 \log X)$ total time.*

1 Štefankovic [75, Lemma 3.4.2] (also [66, Lemma 3.1]) proves that the crossing sequence of any
 2 *connected* normal curve can be compressed into a straight-line program of length $O(n \log X)$, which can
 3 be computed in $O(n \log X)$ time; his time and length bounds are smaller than the bounds in Theorem 4.8
 4 by a factor of $O(n)$. However, Štefankovic’s result does not generalize immediately to disconnected
 5 curves, at least with the same time and length bounds; the most direct generalization of his algorithm
 6 would require advance knowledge of the crossing length of each component.

7 The geodesic tracing algorithm described in Section 7 requires $O(m + \log d)$ time to trace a spiral of
 8 depth d through m distinct streets; thus, the same analysis implies that the overall running time of that
 9 algorithm is also $O(n^2 \log X)$. We defer further details to Section 7.

10 5 Untracing

11 Several of the problems we consider ask for the normal coordinates of one or more components of the
 12 input curve, with respect to the input triangulation. These coordinates can be recovered from the street
 13 complex and some additional information, essentially by running the tracing algorithm backward. We
 14 emphasize that recovering the normal coordinates of a curve from the street complex alone is impossible;
 15 two curves may have combinatorially isomorphic street complexes even if they are not normal isotopic.

16 5.1 Untracing from History

17 The simplest method to untrace a curve uses the full history of the street complex, as defined in
 18 Section 3.3. The normal coordinates of any normal curve γ can be recovered from a straight-line
 19 program of length T encoding the crossing sequences of γ ’s components, by straightforward dynamic
 20 programming, in $O(nT)$ time [27, 66, 75]. Theorem 4.8 immediately implies that we can extract the
 21 normal coordinates of any subcurve of γ in $O(n^3 \log X)$ time from the tracing history. Our untracing
 22 algorithm improves this approach by a factor of $O(n)$.

23 Our untracing algorithm maintains the street coordinates of the already-untraced components in the
 24 devolving street complex. Initially, all street coordinates are equal to zero; when the curve is completely
 25 untraced, the streets degenerate to edges, and the street coordinates are the required edge coordinates.
 26 We can then easily recover the corner coordinates in $O(n)$ time.

27 **Lemma 5.1.** *Let T be a surface triangulation with n triangles, let γ be a reduced normal curve in T
 28 with total crossing number X , and let λ be the union of any subset of components of γ . Given the
 29 street complex $S(T, \gamma)$ **and its history**, we can compute the normal coordinates of λ with respect to T
 30 in $O(n^2 \log X)$ time.*

31 **Proof:** Our untracing algorithm maintains an array $st[1..N]$ of street coordinates, initially all equal to
 32 zero, and a bit ϕ that indicates whether we are currently untracing a component of λ . We consider the
 33 phases stored in the history in reverse order. To undo a phase with parameters $(a; \ell; m; i_0, i_1, \dots, i_{m-1})$,
 34 we update the street coordinates as follows:

35 $d \leftarrow \lceil \ell/m \rceil - 1$
 for $j \leftarrow 0$ to $m - 1$
 $st[i_j] \leftarrow st[i_j] + d \cdot (st[a] + \phi)$
 for $j \leftarrow 0$ to $(\ell - 1) \bmod m$
 $st[i_j] \leftarrow st[i_j] + (st[a] + \phi)$

(Compare with the ABSTRACTTRACE algorithm in Figure 4.1.) Some additional bookkeeping is required at the beginning and end of each component of γ ; we omit the straightforward but tedious details. Note that the street coordinates $st[\dots]$ do not actually change until we start untracing a component of λ . When the algorithm ends, the array $st[\dots]$ contains the edge coordinates of λ ; we can then easily recover the corner coordinates of λ in $O(n)$ time.

Since we spend $O(m)$ time untracing each phase, the total time to untrace the entire curve is the same as the time spent tracing the curve, up to small constant factors. The $O(n^2 \log X)$ time bound now follows directly from Theorem 4.7. \square

5.2 Untracing Without History

Even without the complete tracing history, we can untrace a curve γ given only the crossing lengths of every street in street complex $S(T, \gamma)$. In fact, it is not necessary to follow the tracing algorithm backward; we can untrace the components of γ in any order, starting each cycle component at any crossing.

Lemma 5.2. *Let T be a surface triangulation with n triangles, let γ be a reduced normal curve in T with total crossing number X , and let λ be the union of any subset of components of γ . Given the street complex $S(T, \gamma)$ and the crossing length of every street, we can compute the normal coordinates of λ with respect to T in $O(n^2 \log X)$ time.*

Proof: Our untracing algorithm maintains the devolving street complex, its associated street and junction coordinates (all initially zero), and an array $x[1..N]$ storing the crossing lengths of each street. Our algorithm untraces every component of $\gamma \setminus \lambda$ (in arbitrary order), resets all street and junction coordinates to 0, and then untraces the components of λ (again in arbitrary order). When the algorithm terminates, all crossing lengths are equal to 1, and the street and junction coordinates are just the normal coordinates of λ .

First consider the untracing process for a single component of γ . Following the intuition of the tracing algorithm, we maintain a normal subpath π that is *shrinking* from one end toward the other. The last segment of π either separates two streets or separates a street and a junction. We can easily remove the last segment of π and update the appropriate street coordinates and crossing lengths in $O(1)$ time, by time-reversing the case analysis in Figures 3.1 and 3.2.

To complete the proof, it remains only to prove that we can untrace any spiral of any depth through m distinct streets in $O(m)$ time. The last segment of π separates two streets; call the longer of these the *active street*. The last segment of π is a spiral if and only if the active street is incident to itself at the junction where π ends; see Figures 3.3 and 5.1. This condition can be tested easily in constant time at each step.

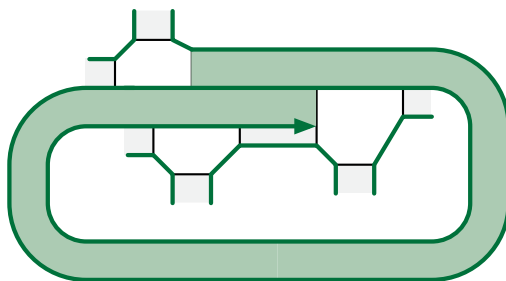


Figure 5.1. After tracing a spiral, the active street is incident to itself at the terminal junction.

Without loss of generality, suppose the active street lies to the left of the last segment of π , so we are untracing a left spiral, as shown in Figure 5.1. The m directed streets and junctions traversed by the spiral are all incident to the right side of the active street. Thus, we can recover the number m and indices i_0, i_1, \dots, i_{m-1} of the relevant streets in $O(m)$ time by traversing π backward until some edge is incident on the left. The depth of the spiral is

$$d := \left\lfloor \frac{x[a]}{\sum_{j=0}^{m-1} x[i_j]} \right\rfloor.$$

To untrace d complete turns of the spiral, we add $d \cdot (st[a] + 1)$ to the m relevant street and junction coordinates (where $st[a]$ is the street coordinate of the active street) and subtract $d \cdot \sum_{j=0}^{m-1} x[i_j]$ from the active crossing length $x[a]$. We then untrace the last $\ell \bmod m$ steps of the spiral by brute force in constant time each. Although computing the length ℓ of the spiral is straightforward, it is not actually necessary. The total time to untrace the entire spiral is $O(m)$, as required. \square

5.3 Abstract Untracing

We can also analyze our untracing algorithm directly by considering the growth of the street coordinates, just as we analyzed the forward tracing algorithm by the evolution of crossing lengths. Moreover, because our tracing and untracing algorithms have the same running time (up to constant factors), we obtain a new analysis of our *tracing* algorithm. Although our backward analysis leads to the same asymptotic time bound $O(n^2 \log X)$, we obtain more refined bounds for *connected* normal curves in terms of the bit-complexity of the normal coordinates. As in Section 4, $N = \Theta(n)$ denotes the number of streets in the current street complex.

First, suppose we are untracing a *connected* normal curve. Again, we ignore the actual topology of the curve and consider instead the abstract untracing algorithm shown in Figure 5.2. This algorithm includes the instructions described in the proof of Lemma 5.1 to update the street coordinates, with ϕ fixed to 1 for purposes of analysis.

```

ABSTRACTUNTRACE(N):
  for  $j \leftarrow 1$  to  $N$ 
     $st[j] \leftarrow 0$ 
   $i_0 \leftarrow 1$ 
  while not done
    choose an integer  $a \in [N]$ 
    choose an integer  $m \in [N]$ 
    choose an integer  $\ell \geq m$ 
    choose a vector  $(i_1, \dots, i_{m-1}) \in [N]^{m-1}$ 
     $d \leftarrow \lfloor \ell/m \rfloor - 1$ 
    for  $j \leftarrow 0$  to  $m - 1$ 
       $st[i_j] \leftarrow st[i_j] + d \cdot (st[a] + 1)$ 
    for  $j \leftarrow 0$  to  $(\ell - 1) \bmod m$ 
       $st[i_j] \leftarrow st[i_j] + (st[a] + 1)$ 
     $i_0 \leftarrow a$ 

```

Figure 5.2. Our abstract untracing algorithm.

The values in the array $st[1..N]$ correspond to the street coordinates of the N streets. At the end of each backward phase, the current active street becomes one of the streets traversed (and therefore widened) in the next phase; we re-index the streets in each spiral so that i_0 is always the index of the

1 previous active street. As in the forward analysis, we conservatively assume that the parameters of each
 2 phase and the termination condition for the main loop are determined *adversarially* instead of by the
 3 topology or tracing history of the curve.

4 As in the forward analysis, to maximize the number of phases, we can assume conservatively that
 5 $m = 1$ in every phase, which simplifies the abstract algorithm to the form shown in Figure 5.3. To
 6 simplify the algorithm further, we work with an array $w[1, ..N]$ of street *widths*, where $w[i] = st[i] + 1$
 7 for all i . Again, we introduce a new variable Δ strictly for purposes of analysis. Except for variable
 8 names, SIMPLEUNTRACE is *identical* to our earlier algorithm SIMPLETRACE, so our earlier analysis applies
 9 immediately.

SIMPLEUNTRACE(N):

for $j \leftarrow 1$ to N

$w[j] \leftarrow 1$

$\Delta \leftarrow 0$

$i \leftarrow 1$

while *not done*

choose an index $a \in [n]$

choose an integer $\delta \geq 1$

$w[i] \leftarrow w[i] + \delta \cdot w[a]$

$\Delta \leftarrow \Delta + \lg(\delta + 1)$

$i \leftarrow a$

Figure 5.3. Our simplified abstract untracing algorithm; compare with Figure 4.2.

10 **Lemma 5.3.** ABSTRACTUNTRACE(N) runs for at most $2W = O(N \log X)$ phases and $O(nW) = O(n^2 \log X)$
 11 total time, where W is the final value of $\sum_{i=1}^N \lg w[i]$ and X is the final value of $\sum_{i=1}^N w[i]$.

12 Again, both bounds in Lemma 5.3 are tight in the worst case.

13 Ignoring lower-order terms, the parameter W is the number of bits needed to store the edge
 14 coordinates of the traced curve γ ; Schaefer *et al.* [63, 66, 75] call W the *normal complexity* of γ . Recall
 15 from Section 4.1 that L is the total number of bits needed to store the crossing lengths in the street
 16 complex $S(T, \gamma)$. Both W and L are between $\Omega(n + \log X)$ and $O(n \log X)$, which implies the crude
 17 bounds $W = O(nL)$ and $L = O(nW)$. In fact, these crude bounds are tight in the worst case, even for
 18 actual curves; we leave the proof as an amusing exercise for the reader.

19 **Corollary 5.4.** Let T be a surface triangulation with n triangles, let γ be a *connected* normal curve
 20 in T . Given the normal coordinates of γ , we can trace γ in $O(n \cdot \min\{L, W\})$ time, where W is the total
 21 bit-length of the normal coordinates of γ , and L is the total bit-length of all crossing lengths in the
 22 resulting street complex $S(T, \gamma)$.

23 The backward analysis can be extended to disconnected reduced curves, exactly as in Section 4.
 24 However, since the resulting time bound does not improve our earlier analysis, we omit further details.

25 6 Normal Coordinate Algorithms

26 In this section, we describe efficient algorithms for several problems involving normal curves represented
 27 by their normal coordinates. For each of our algorithms, the input consists of a surface triangulation T
 28 with n triangles and the edge and corner coordinates of either one or two normal curves with total
 29 crossing length at most X . All of the problems we consider were previously solved by Schaefer *et al.* [63,

66, 75]. Table 1 summarizes our results and the best previous result for each problem. We list only the time bounds explicitly claimed by Schaefer *et al.*; however, it seems likely that more of these bounds can be improved using Štefankovic’s techniques [75].

Problem	Our result	Previous best result
Connectedness	$O(n^2 \log X)$ [Theorem 6.1]	$O(n \log X)$ [75]
Normal coordinates of one component	$O(n^2 \log X)$ [Theorem 6.2]	$O(n^2 \log X)$ [75]
Arc-index of crossing with a given edge-index	$O(n^2 \log X)$ [Theorem 6.3]	$O(\text{poly}(n, \log X))$ [63]
Edge-index of crossing with a given arc-index	$O(n^2 \log X)$ [Theorem 6.4]	—
Number and multiplicities of normal isotopy classes	$O(n^2 \log X)$ [Theorem 6.5]	$O(n^3 \log^2 X)$ [75]
Normal coordinates of each normal isotopy class	$O(n^3 \log X)$ [Corollary 6.6]	$O(n^3 \log^2 X)$ [75]
Number of components	$O(n^2 \log X)$ [Corollary 6.7]	$O(n \log X)$ [75]
Number and multiplicities of isotopy classes	$O(n^2 \log X)$ [Theorem 6.9]	$O(\text{poly}(n, \log X))$ [63]
Normal coordinates of each isotopy class	$O((g + b)n^2 \log X)$ [Corollary 6.10]	$O(\text{poly}(n, \log X))$ [63]
Signed normal coordinates	$O(n^2 \log X)$ [Corollary 6.11]	$O(n \log X)$ [75]
Algebraic intersection number	$O(n^2 \log X)$ [Corollary 6.12]	$O(n \log X)$ [75]

Table 1. Summary of our normal-coordinate algorithms. **Bold** time bounds are the best known for each problem.

6.1 Connectedness

Theorem 6.1. *Let T be a surface triangulation with n triangles, and let γ be a normal curve in T with total crossing length X , represented by its normal coordinates. We can determine whether γ is connected in $O(n^2 \log X)$ time.*

Proof: The input curve γ is connected if and only if, after tracing an arbitrary component of γ , every street coordinate in the resulting street complex is equal to zero. Because we need only trace one component of γ , the result now follows immediately from Theorem 4.4. \square

Štefankovic described an algorithm to test whether a normal curve γ is connected in $O(W) = O(n \log X)$ time, where W is the bit-complexity of γ ’s normal coordinates [75, Observation 3.3.1]. Our backward analysis in Section 5.3 implies that our algorithm actually runs in $O(nW')$ time, where W' is the bit-complexity of the normal coordinates of *just the traced component* of γ .

6.2 One Component

Theorem 6.2. *Let T be a surface triangulation with n triangles, and let γ be a normal curve in T with total crossing length X , represented by its normal coordinates; and let x be any intersection point of γ with an edge of T , represented by its index along that edge. We can compute the normal coordinates of the component of γ containing x in $O(n^2 \log X)$ time.*

Proof: Suppose x is the i th crossing point along some edge e ; let $\gamma(e)$ denote the number of crossings between γ and e ; and let γ_x denote the component of γ containing x . We trace γ_x starting at x , by splitting e into two smaller edges with street coordinates $i - 1$ and $\gamma(e) - i$; these two new edges and e define a fork. If γ_x is a cycle, the tracing algorithm eventually reaches x again. Otherwise, when the tracing algorithm reaches an endpoint y of γ_x , we continue the trace from x to the other endpoint, as if starting a new component of γ . (Alternatively, we can simply start over and trace γ_x from y to the other endpoint.) In all cases, tracing γ_x requires $O(n^2 \log X)$ time. Finally, to recover the normal coordinates of γ_x , we reset all the street and junction coordinates in $S(T, \gamma_x)$ to zero and then untrace γ_x , using either Lemma 5.1 or Lemma 5.2. \square

1 Stefankovic described an algorithm for this problem that runs in $O(nW) = O(n^2 \log X)$ time; see the
 2 proof of Lemma 3.3.3 in his thesis [75]. Like the previous theorem, more careful analysis implies that
 3 our algorithm runs in $O(nW')$ time, where W' is the bit-complexity of the normal coordinates of γ_x .

4 6.3 Forward and Reverse Indexing

5 Let x be a point of intersection between γ with an edge e of the surface triangulation. The **edge-index**
 6 of x is the position of x in the sequence of intersection points along e (directed arbitrarily). Similarly,
 7 if x lies on an arc component of γ , the **arc-index** of x is the position of x in the sequence of intersection
 8 points along that arc (again, directed arbitrarily). Schaefer *et al.* [63] describe an algorithm to compute
 9 the arc-index of an intersection point from its edge-index in time polynomial in $n \log X$. We can more
 10 efficiently transform edge-either-arc index into the other using our tracing and untracing algorithms.

11 **Theorem 6.3.** *Let T be a surface triangulation with n triangles, and let γ be a normal **arc** in T with*
 12 *total crossing length X , represented by its normal coordinates; and let x be any intersection point of γ*
 13 *with an edge e of T , represented by its edge-index. We can compute the arc-index of x in $O(n^2 \log X)$*
 14 *time.*

15 **Proof:** We trace γ against its chosen indexing direction, starting at x . As we trace γ , we maintain the
 16 crossing lengths of all streets in the evolving street complex. Also, whenever we traverse a street, we
 17 add its crossing length to a running counter. When the trace reaches the boundary of the surface, the
 18 counter contains the arc-index of x . □

19 **Theorem 6.4.** *Let T be a surface triangulation with n triangles, and let γ be a normal **arc** in T with*
 20 *total crossing length X , represented by its normal coordinates; and let x be any intersection point of γ*
 21 *with an edge of T , represented by its arc-index. We can compute the edge of T containing x and the*
 22 *index of x along that edge in $O(n^2 \log X)$ time.*

23 **Proof:** We trace γ along its chosen indexing direction, starting at one boundary point, maintaining the
 24 crossing lengths of all streets. Whenever the tracing algorithm traverses a street, we add its crossing
 25 length to a running counter. When the counter reaches the curve-index of x , we stop the tracing
 26 algorithm and add a fork to the street complex at the point x . Note that x may lie in the interior of the
 27 last street traversed by the trace. We then untrace the traced subpath of γ , again starting at the boundary
 28 endpoint and untracing toward x . When the untracing algorithm reaches x , the desired edge-index is
 29 one of the street coordinates of the fork. □

30 6.4 Normal Isotopy Classes

31 **Theorem 6.5.** *Let T be a surface triangulation with n triangles, and let γ be a normal curve in T with*
 32 *total crossing length X , represented by its normal coordinates. We can compute the number of normal*
 33 *isotopy classes of components of γ and the number of components in each normal isotopy class in*
 34 *$O(n^2 \log X)$ time.*

35 **Proof:** We begin by counting and deleting the trivial components of γ . Each trivial component is a cycle
 36 that separates an interior vertex v from the other vertices; the number of such cycles is just the minimum
 37 of the corner coordinates incident to v . Thus, we can easily count trivial cycles and delete them from γ ,
 38 by reducing the appropriate normal coordinates, in $O(n)$ time.

39 Next, we repeatedly trace one component of γ and then count and remove all other components
 40 in the same normal isotopy class, as follows. Suppose we have already traced components $\gamma_1, \dots, \gamma_{i-1}$.

Let $\hat{\gamma}_{<i}$ denote the reduced normal curve $\gamma_1 \cup \dots \cup \gamma_{i-1}$, and let $\gamma_{\geq i}$ denote the union of all components of γ that are *not* normal-isotopic to any component of $\hat{\gamma}_{<i}$. In particular, we have $\hat{\gamma}_{<1} = \emptyset$ and $\gamma_{\geq 1} = \gamma$. By assumption, we have computed the street complex $S(T, \hat{\gamma}_{<i})$ as well as the street and junction coordinates of $\gamma_{\geq i}$. Let x be the leftmost intersection point between $\gamma_{\geq i}$ and some non-redundant port p in $S(T, \hat{\gamma}_{<i})$, and let γ_i denote the component of $\gamma_{\geq i}$ that contains x . We trace γ_i through $S(T, \hat{\gamma}_{<i})$ to produce the street complex $S(T, \hat{\gamma}_{<(i+1)})$, along with the street and junction coordinates of $\gamma_{\geq i} \setminus \gamma_i$. The number of other components of γ that are normal isotopic to γ_i is the minimum of the junction coordinates just to the right of γ_i in the new street complex $S(T, \hat{\gamma}_{<(i+1)})$. Thus, we can easily count these components and reduce the appropriate street and junction coordinates in $O(n)$ time, thereby computing the street and junction coordinates of $\gamma_{\geq(i+1)}$.

Theorem 4.7 implies that the total time spent tracing all components γ_i is $O(n^2 \log X)$. Lemma 2.1 implies that there are at most $O(n)$ normal-isotopy classes of components in γ , so the total time spent counting and removing parallel components of γ is only $O(n^2)$. \square

The output of our algorithm is the street complex $S(T, \hat{\gamma})$, where $\hat{\gamma}$ is the reduced normal curve consisting of all traced components of γ . Each normal isotopy class in γ appears as a single cycle or arc in $\hat{\gamma}$, and thus is represented by a simple walk or cycle in the 1-skeleton of $S(T, \hat{\gamma})$. Štefankovic described an algorithm to count normal isotopy classes in $O(n^3 \log^2 X)$ time [75, Lemma 3.3.3]; his algorithm actually computes the normal coordinates of one component in each class. We can compute the same output representation by independently untracing each component of $\hat{\gamma}$, using either Lemma 5.1 or Lemma 5.2. Lemma 2.2 implies that the total time to untrace all components is $O(n^3 \log X)$, which is still slightly faster than Štefankovic's algorithm.

Corollary 6.6. *Let T be a surface triangulation with n triangles, and let γ be a normal curve in T with total crossing length X , represented by its normal coordinates. We can compute the normal coordinates of each normal-isotopy class of components of γ in $O(n^3 \log X)$ time.*

Theorem 6.5 also implies immediately that we can compute the number of components of a given normal curve in $O(n^2 \log X)$. Štefankovic described an algorithm that solves this problem in $O(n \log X)$ time [75, Observation 3.3.1].

Corollary 6.7. *Let T be a surface triangulation with n triangles, and let γ be a normal curve in T with total crossing length X , represented by its normal coordinates. We can compute the number of components of γ in $O(n^2 \log X)$ time.*

6.5 Isotopy Classes

Recall that two properly embedded cycles or arcs are isotopic if one can be continuously deformed to the other, keeping the curve properly embedded at all times. Our algorithm for counting isotopy classes uses the following classical characterizations of contractible and isotopic cycles and arcs. Parts (a) and (b) were proved by Epstein [22, Theorem 1.7 and Lemma 2.4]; parts (c) and (d) follow easily by considering the surface obtained by gluing two copies of Σ along corresponding boundary points.

Lemma 6.8. *Let Σ be an arbitrary orientable 2-manifold, possibly with boundary.*

- (a) *A simple cycle in Σ is contractible if and only if it is the boundary of a disk in Σ .*
- (b) *Two disjoint simple non-contractible cycles in Σ are isotopic if and only if they are the boundary of an annulus in Σ .*
- (c) *A simple arc in Σ is contractible if and only if there is a disk in Σ whose boundary consists of that arc and a segment of $\partial\Sigma$.*

(d) Two disjoint simple arcs in a surface Σ are isotopic if and only if there is a disk in Σ whose boundary consists of those two arcs and two segments of $\partial\Sigma$.

Theorem 6.9. *Let T be a surface triangulation with n triangles, and let γ be a normal curve in T with total crossing length X , represented by its normal coordinates. We can compute the number of isotopy classes of components of γ and the number of components in each isotopy class in $O(n^2 \log X)$ time.*

Proof: We begin by computing the number and multiplicities of the *normal* isotopy classes of components of γ in $O(n^2 \log X)$ time, as described in the proof of Theorem 6.5. Let $\hat{\gamma}$ be the reduced curve containing one component of γ in each non-trivial normal isotopy class, and let $\gamma_1, \gamma_2, \dots$ denote the components of $\hat{\gamma}$. The rest of the algorithm requires only $O(n)$ time.

Next we compute the Euler characteristic of the components of $\Sigma \setminus \hat{\gamma}$, where Σ is the surface triangulated by T ; to avoid confusion, we will refer to the components of $\Sigma \setminus \hat{\gamma}$ as *pieces*. Because each curve γ_i is a simple arc or cycle in the 1-skeleton of the street complex $S(T, \hat{\gamma})$, we can compute the Euler characteristic of every piece in $O(n)$ time using a depth-first search in the dual graph of $S(T, \hat{\gamma})$ [23]. In particular, we can identify which pieces are disks ($\chi = 1$) and annuli ($\chi = 0$).

We can now cluster the components of $\hat{\gamma}$ into isotopy classes as follows. Call a cycle or arc γ_i *obviously contractible* if it is the only component of $\hat{\gamma}$ on the boundary of a disk piece. Call two arcs γ_i and γ_j *obviously isotopic* if they are the only components of $\hat{\gamma}$ on the boundary of a disk piece. Finally, call two cycles γ_i and γ_j *obviously isotopic* if they comprise the boundary of an annulus piece. Let G be the graph whose nodes are the components of $\hat{\gamma}$ and whose edges connect obviously isotopic components. This graph has $O(n)$ nodes and $O(n)$ edges, and we can easily construct it in $O(n)$ time.

Lemma 6.8 implies by induction that an arc or cycle in $\hat{\gamma}$ is contractible if and only if it lies in the same component of G as an obviously contractible arc or cycle, and two arcs or cycles in $\hat{\gamma}$ are isotopic if and only if they lie in the same component of G . Thus, we can easily cluster the components of $\hat{\gamma}$ into isotopy classes in $O(n)$ time. We can also compute the number of components of γ in each isotopy class in $O(n)$ time by adding the sizes of the appropriate normal-isotopy classes. \square

Schaefer *et al.* [63] describe an algorithm to compute isotopy classes of normal curves in time polynomial in $n \log X$.³ Their algorithm actually computes the normal coordinates of one component in each isotopy class. We can compute these normal coordinates by untracing one component in each isotopy class; Lemma 2.1 implies that there are at most $O(g + b)$ classes to consider.

Corollary 6.10. *Let T be a surface triangulation with n triangles, and let γ be a normal curve in T with total crossing length X , represented by its normal coordinates. We can compute the **normal coordinates** of each isotopy class of components of γ in $O((g + b)n^2 \log X)$ time.*

6.6 Algebraic Intersection Numbers

Finally, suppose γ^+ and δ^+ are *directed* curves that intersect only transversely and only at a finite number of points. We call an intersection point in $\gamma \cap \delta$ a *positive* (resp. *negative*) crossing if γ^+ crosses δ^+ from left to right (resp. from right to left) at that point; see Figure 6.1. The **algebraic intersection number** $i(\gamma^+, \delta^+)$ is the number of positive crossings minus the number of negative crossings. We easily observe that $i(\gamma^+, \delta^+) = -i(\delta^+, \gamma^+) = -i(\gamma^-, \delta^+)$, where γ^- is the reversal of γ^+ . Algebraic intersection numbers are invariant under isotopy.⁴

³In their second paper [66], Schaefer *et al.* claim to have an algorithm to list the isotopy classes of components of a given normal curve in $O(gn^2 \log^2 X)$ time (in our notation); however, no such result appears in any of their papers [63, 66, 75]. In particular, it is unclear how to determine whether two components of $\hat{\gamma}$ are isotopic using Štefanković's techniques [75].

⁴In fact, the algebraic intersection number is an invariant of the *integer homology* classes of the two curves.

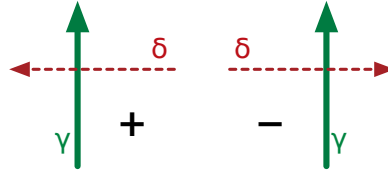


Figure 6.1. Positive and negative crossings.

1 The *signed edge coordinates* of a directed normal curve γ^+ are a list of the algebraic intersection
 2 numbers of γ^+ with each (arbitrarily oriented) edge in the triangulation. Similarly, the *signed corner*
 3 *coordinates* of γ^+ record, for each corner of the triangulation, the number of counterclockwise elementary
 4 segments in that corner, minus the number of clockwise segments. Reversing the direction of a normal
 5 curve negates all of its signed normal coordinates.

6 Given the (unsigned) normal coordinates of an undirected normal arc or cycle γ , we can compute the
 7 signed normal coordinates of some orientation γ^+ of γ as follows. We begin by tracing γ in the chosen
 8 direction. We give each street in the resulting street complex $S(T, \gamma)$ an arbitrary reference direction.
 9 Then we untrace γ , maintaining *signed* street coordinates. Thus, in each untracing step, we either add or
 10 subtract the active street coordinates, depending on whether the directions of the active streets on either
 11 side of γ agree or disagree. The additional bookkeeping increases the running time of the untracing
 12 algorithm by only a small constant factor. When the untracing algorithm ends, we have the signed edge
 13 coordinates of γ^+ ; computing the signed corner coordinates in $O(n)$ additional time is straightforward.

14 **Corollary 6.11.** *Let T be a surface triangulation with n triangles, and let γ be a **connected** normal*
 15 *curve in T with total crossing length X , represented by its unsigned normal coordinates. We can compute*
 16 *the signed normal coordinates of some orientation of γ in $O(n^2 \log X)$ time.*

17 Signed normal coordinates do *not* determine a unique curve up to normal isotopy; nevertheless, given
 18 the signed normal coordinates of γ^+ and δ^+ , we can compute $i(\gamma^+, \delta^+)$ in $O(n)$ time by choosing an
 19 appropriate drawing of the two curves [63]. For each edge of the triangulation, we move all intersections
 20 with γ^+ close to one of the endpoints, chosen arbitrarily, and all intersections with δ^+ close to the
 21 other endpoint, and we then draw every elementary segment as a straight line segment, as shown in
 22 Figure 6.2. Then it is easy to compute the number of positive and negative crossings within each triangle
 23 in constant time, by multiplying at most six pairs of signed corner coordinates.

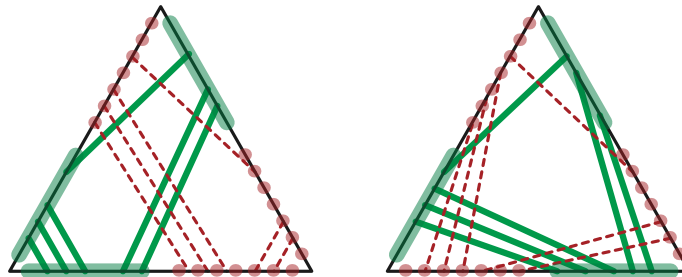


Figure 6.2. Intersection patterns of two normal curves within a single triangle.

24 The algebraic intersection number of two *undirected* normal curves γ and δ is well-defined only if
 25 both curves are connected, and then only up to a sign change. Formally, we define $i(\gamma, \delta) = |i(\gamma^+, \delta^+)|$,
 26 where the directions of γ^+ and δ^+ are chosen arbitrarily.

1 **Corollary 6.12.** *Let T be a surface triangulation with n triangles, and let γ and δ be **connected** normal*
 2 *curves in T with total crossing length X , represented by their normal coordinates. We can compute the*
 3 *algebraic intersection number $\hat{\iota}(\gamma, \delta)$ in $O(n^2 \log X)$ time.*

4 Štefankovic described algorithms to compute signed normal coordinates and algebraic intersection
 5 numbers in $O(n \log X)$ time [75, Observation 3.6.1], which is a factor of $O(n)$ faster than our algorithms.

6 7 Tracing Geodesics

7 Finally, we extend our tracing algorithm to simple geodesic paths on piecewise-linear triangulated
 8 surfaces. The input to our algorithm is a piecewise-linear surface Σ (specified by triangles and gluing
 9 rules), along with a starting point p and a direction vector v in the local coordinate system of some face
 10 of Σ that contains p . As an example application, we sketch an algorithm to trace the geodesic path γ
 11 that starts at p in direction v up to its first point of self-intersection. However, we can easily impose
 12 other stopping conditions, such as an upper bound on Euclidean length or the number of edge crossings.

13 7.1 Background

14 Before describing our tracing algorithm, we recall some standard definitions for piecewise-linear surfaces
 15 and geodesics.

16 A **piecewise-linear** surface is a 2-manifold, possibly with boundary, constructed from a finite number
 17 of closed *Euclidean* polygons by identifying pairs of equal-length edges. The interiors of the constituent
 18 polygons are called *faces* of the surface; without loss of generality, we assume that all faces are triangles.
 19 The *vertices* and *edges* of the surface are the equivalence classes of vertices and edges of the polygons,
 20 respectively. One of the simplest examples of a piecewise-linear surface is the boundary of a convex
 21 polyhedron in \mathbb{R}^3 ; however, we do *not* assume that our input surfaces are embedded polyhedra. Indeed,
 22 most piecewise-linear surfaces cannot be embedded in *any* Euclidean space so that every face is flat;
 23 consider, for example, the *flat torus* obtained by identifying opposite sides of the unit square.⁵ Our
 24 algorithms assume only that the surface is orientable and that each face has its own local coordinate
 25 system; affine transformations between the local coordinate systems of neighboring faces can be derived
 26 from the gluing rules.

27 A path $\gamma: [0, 1] \rightarrow \Sigma$ is **geodesic** if it is *locally* as short as possible; for any real $t \in [0, 1]$, and for any
 28 sufficiently small $\varepsilon > 0$, the restriction of γ to the interval $[0, 1] \cap [t - \varepsilon, t + \varepsilon]$ is a shortest path. If γ
 29 is a geodesic in a piecewise-linear surface Σ , any subpath of γ that lies entirely within a face of Σ is a
 30 straight line segment. Similarly, a subpath of γ that crosses an edge of Σ from one face A to another
 31 face B is a line segment in the polygon obtained by *unfolding* A and B into a common planar coordinate
 32 system [17, 47]. A geodesic is **simple** if it does not self-intersect. We emphasize that a simple geodesic
 33 may cross each face of a piecewise-linear surface arbitrarily many times, or even *infinitely* many times;
 34 again, consider the flat torus. Every simple geodesic of finite length is also a normal path.

⁵A delicate theorem of Burago and Zalgaller [8, 9, 62] states that any compact piecewise-linear surface has an *isometric* piecewise-linear embedding in \mathbb{R}^3 . However, because the given surface and its embedding generally have different cellular structures, we regard them as distinct PL surfaces.

7.2 Brute-Force Tracing

To simplify our exposition, we assume that both the surface Σ and the direction vector v are *generic*; thus, the geodesic γ does not intersect any vertex of Σ but does eventually intersect itself.⁶ We emphasize that even for generic inputs, the total crossing number of γ is not bounded *a priori* by any function of n .

Any simple geodesic path γ that starts and ends on edges of the triangulation is a *normal* path. Thus, the street complex of γ is well-defined and has complexity $O(n)$ by Lemma 2.3. Moreover, each of the $O(n)$ faces of the street complex is isometric to a convex polygon with at most six sides; in particular, every street is either a triangle or a convex quadrilateral. (The street complex may have $\Omega(n)$ vertices on the boundary of a single street, but at most four are actually corners of the quadrilateral that corresponds to the street.)

Although we do not know the normal coordinates of γ in advance, we can still easily decide in constant time at each step of our tracing algorithm whether a geodesic γ entering a junction leaves through its left exit, leaves through its right exit, or hits an earlier segment of γ . Geometrically, this decision is equivalent to a ray-shooting query in a convex polygon with at most six sides. Thus, we can easily adapt the brute force tracing algorithm described in Section 3.1 to the geodesic setting. However, to achieve a running time of $O(n^2 \log X)$, we require a new algorithm to efficiently compute the depth of a geodesic spiral. We develop such an algorithm in the next two subsections.

7.3 Annular Ray Shooting

Computing spiral depth eventually reduces to the following *annular ray shooting* problem, which may be of independent interest: Given a ray ρ on a piecewise-linear annulus \mathcal{A} , how many times does ρ wrap around \mathcal{A} before hitting the boundary? More formally, suppose we are given a triangulated simple polygon P in the plane, with two edges e_0 and e_1 of equal length, and a ray ρ that starts on e_0 and points into P . Identifying the edges e_0 and e_1 transforms P into the annulus \mathcal{A} . Equivalently, e_0 and e_1 are *portals*; when the ray exits P at any point on e_1 , it immediately reenters P through the corresponding point on e_0 at the same incidence angle [21, 53, 79, 80, 81]. An annular ray shooting query asks for the number of times that ρ crosses the portal(s) before hitting a non-portal edge of P .

For the rest of this section, let n denote the number of vertices in P , and let t^* denote the integer output of the annular ray-shooting query. We explicitly consider only *generic* polygons P ; in particular, we assume that e_0 and e_1 are not parallel. (Adapting our algorithm to polygons with parallel portals is straightforward.) Without loss of generality, we assume that the edge e_0 is vertical, the polygon P lies locally to the right of e_0 , and that the transformation τ is a counterclockwise rotation by some angle $0 < \theta < \pi$. This assumption immediately implies that $t^* \leq \lceil \pi/\theta \rceil$; however, we emphasize that t^* is not bounded *a priori* by any function of n .

The naïve solution to this problem requires $O(n + t^* \log n)$ time: Preprocess P for standard ray-shooting queries in $O(n)$ time, and then perform $t^* + 1$ queries, each in $O(\log n)$ time [32]. Our algorithm improves this naïve bound exponentially.

Lemma 7.1. *The annular ray-shooting problem can be solved in $O(n + \log t^*)$ time and space, where n is the number of edges in P and t^* is the output value.*

Proof: Assume that $t^* \geq 10$, since otherwise, the naïve algorithm already satisfies the desired time bound. (In fact, we could safely assume that $t^* \geq n/\log n$.) This assumption implies that $\theta \leq \pi/11$.

⁶In a piecewise-linear surface where the total angle around every vertex is an integer multiple of π , almost every geodesic path can be extended infinitely without self-intersection. Examples of such surfaces include the boundary of a regular tetrahedron, the flat torus defined by identifying opposite edges of any parallelogram, and the *eierlegende Wollmilchsau* [30].

1 First we observe that we need only consider polygons P with a special geometric structure. Following
 2 Chazelle and Guibas [13], we define the *hourglass* H of P as the union of all shortest paths from
 3 points in e_0 to points in e_1 . The hourglass is bounded by the shortest paths in P between corresponding
 4 endpoints of the two portals; we call these shortest paths A (“above”) and B (“below”). Our assumption
 5 that $t^* \geq 1$ implies that ρ reaches e_1 without intersecting either A or B ; thus, A and B are disjoint convex
 6 chains [3, 78]. In particular, H is contained in the convex hull of the portals e_0 and e_1 . Because P is
 7 already triangulated, it is straightforward to construct its hourglass in $O(n)$ time [12, 42, 78]. Any line
 8 segment from e_0 to e_1 intersects a non-portal edge of P if and only if it intersects a non-portal edge of H ;
 9 it follows that annular ray-shooting queries in P and in H , with the same ray, yield exactly the same
 10 answer. Thus, it suffices to describe an algorithm to answer annular ray-shooting queries in H .

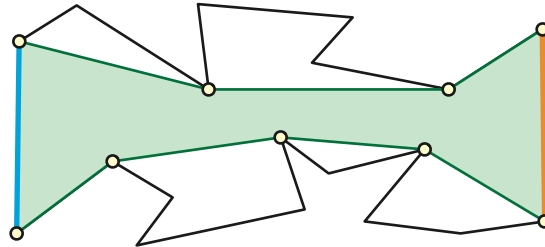


Figure 7.1. The hourglass between the portals of a polygon.

11 Our algorithm considers, but does not actually construct, finite portions of the *universal cover* of
 12 the annulus determined by H . Let $\tau: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ denote the unique rigid motion that maps e_0 onto e_1 ;
 13 our genericity assumption implies that τ is a rotation. For each integer $i > 0$, let $\tau^i = \tau \circ \tau^{i-1}$,
 14 and let $e_i = \tau(e_{i-1}) = \tau^i(e_0)$. Similarly, let $H_0 = H$, and for any integer $i > 0$, let $H_i = \tau(H_{i-1}) =$
 15 $\tau^i(H_0)$; by construction, the segment e_i is an edge of both H_{i-1} and H_i . Finally, for any non-negative
 16 integer t , let $H_{<t}$ denote the topological disk obtained from the polygons H_0, H_1, \dots, H_{t-1} by identifying
 17 corresponding edges e_j in H_{j-1} and H_j , for all j between 1 and $t-1$. The disk $H_{<k}$ grows to the right
 18 and curves upward as the parameter k increases. (The disk $H_{<k}$ is actually a simple polygon for all
 19 $k \leq \lfloor 2\pi/\theta \rfloor$, but we never use this fact.)

20 The output t^* of the annular ray-shooting query is the maximum of all integers t such that ρ intersects
 21 edges e_0 and e_t but no other edge of $H_{<t}$. Our algorithm finds t^* using a standard unbounded search
 22 strategy due to Bentley and Yao [4]. We emphasize that our algorithm does not actually construct $H_{<t^*}$
 23 or any significant portion thereof, but instead computes on the fly only the vertices and edges required
 24 for the search.

25 Let $a_0, a_1, \dots, a_\alpha$ denote the vertices of A in order from left to right, and let b_0, b_1, \dots, b_β denote the
 26 vertices of B in order from left to right. Thus $e_0 = a_0b_0$ and $e_1 = a_\alpha b_\beta$; we also have $\alpha + \beta \leq n + 2$. For
 27 any indices i and j , let $a_{i,j} = \tau^j(a_i)$ denote the vertex of H_j corresponding to a_i , and let $b_{i,j} = \tau^j(b_i)$
 28 denote the vertex of H_j corresponding to b_i . In particular, we have $a_{0,j} = a_{\alpha,j-1}$ and $b_{0,j} = b_{\beta,j-1}$ for
 29 every positive integer j .

30 We define two families of segments that connect adjacent copies of A and B . For any index j , let c_j
 31 (“the j th ceiling”) denote the lower common tangent of A_{j-1} and A_j . By symmetry, there are indices l
 32 and r such that $c_j = a_{l,j-1}a_{r,j}$ for every index j . Similarly, let f_j (“the j th floor”) denote the upper
 33 common tangent of B_{j-1} and B_j . By symmetry, there are indices p and q such that $f_j = b_{p,j-1}b_{q,j}$ for
 34 every index j . Because τ is a counterclockwise rotation, we have $r \leq l$ and $p \leq q$; thus, segments c_j
 35 and c_{j+1} are interior-disjoint, but segments f_j and f_{j+1} intersect inside H_j . See Figure 7.2.

36 Our algorithm applies a standard unbounded search strategy of Bentley and Yao [4] to find the
 37 smallest positive value of t that satisfies at least one of the following conditions:

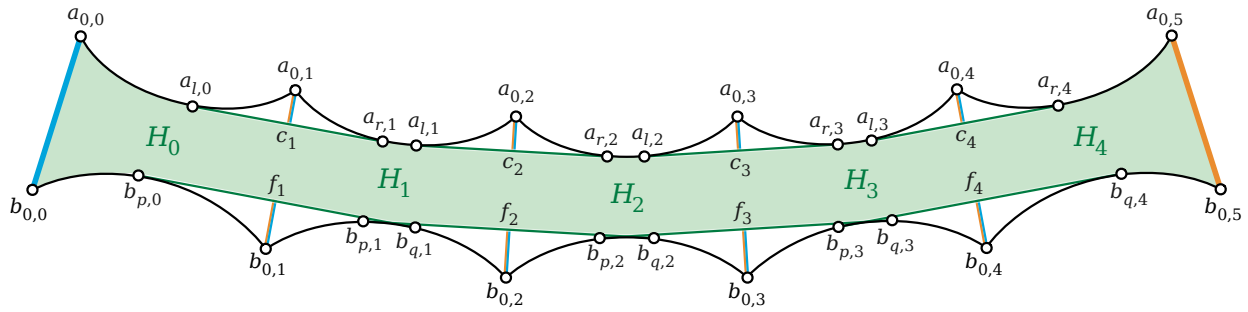


Figure 7.2. Floors and ceilings in the universal cover of the annulus.

- 1 (A) Segment c_t contains a point below ρ .
 2 (A') The angle of c_t (relative to the positive x -axis) is larger than the angle of ρ .
 3 (B) Segment f_t contains a point above ρ .

4 By definition of t^* , the ray ρ intersects either A_{t^*} or B_{t^*} . If ρ hits A_{t^*} first, then either condition (A)
 5 or (A') holds for all t such that $t^* < t \leq t^* + \lfloor \pi/\theta \rfloor \leq 2t^* - 1$. In particular, condition (A') is necessary
 6 to detect the situation where ρ crosses A_{t^*} twice between the ceiling endpoints a_{r,t^*} and a_{l,t^*} . On the
 7 other hand, if ρ hits B_{t^*} first, then condition (B) holds for all t such that $t^* < t \leq t^* + \lfloor \pi/\theta \rfloor \leq 2t^* - 1$.
 8 Finally, none of the conditions holds for any positive $t < t^*$.

9 Starting with the estimate $t = 1$, our algorithm repeatedly doubles t until at least one of these four
 10 conditions is satisfied, and then performs a binary search for the critical value of t . To avoid messy
 11 boundary conditions when $t^* \approx \pi/\theta$, we actually check the conditions for all t between 2^k and $2^k + 3$
 12 in the k th iteration of the doubling search. When the unbounded search ends, there are three cases to
 13 consider, depending on which conditions are satisfied.

- 14 (A) Suppose c_t contains a point below ρ but c_{t-1} does not. Then ρ must hit either A_{t-1} or A_t ; that
 15 is, either $t^* = t - 1$ or $t^* = t$. We can distinguish between these two cases in $O(n)$ time by brute
 16 force.
 17 (A') Suppose c_{t-1} and c_t both lie above ρ , and the angle of ρ lies between the angles of c_{t-1} and c_t .
 18 Then either ρ hits A_{t-1} , or ρ does not intersect any upper chain A_j . Again, we can distinguish
 19 between these two cases in $O(n)$ time by brute force. If ρ hits A_{t-1} , we return $t^* = t - 1$.
 20 Otherwise, we perform a second unbounded search to find the first chain B_{t^*} hit by ρ .
 21 (B) Finally, if f_t contains a point above ρ but f_{t-1} does not, then ρ must hit either B_{t-1} or B_t . Again,
 22 we can distinguish between these two cases in $O(n)$ time by brute force.

23 If the critical value of t satisfies more than one termination condition, we perform the relevant
 24 computation for all satisfied conditions and return the smallest value found.

25 An important subtlety in the algorithm is that computing the coordinates of c_t or f_t from scratch
 26 requires $\Theta(\log t)$ time, because we do not assume a model of computation that supports exact constant-
 27 time trigonometric and inverse trigonometric functions. However, by computing an array of $O(\log t^*)$
 28 rotations of the form τ^{2^i} during the doubling phase of the unbounded search, we can compute the
 29 coordinates of the appropriate segments c_t or f_t in $O(1)$ time in each iteration of the search.

30 To summarize: Our algorithm spends $O(n)$ time constructing the hourglass H and the segments c_1
 31 and f_1 ; performs an unbounded binary search to approximate t^* up to a small additive constant, spending
 32 $O(1)$ time per iteration; and then spends $O(n)$ postprocessing time to find the precise value of t^* . \square

7.4 Tracing Geodesic Spirals

Now we describe our reduction from the problem of tracing a geodesic spiral to the annular ray-shooting problem. At a high level, the reduction is straightforward. If the growing geodesic γ enters the same street in the same direction during the same phase, we construct P by unfolding all the streets and junctions traversed so far in that phase, perform an annular ray-shooting query with γ as the ray, and then perform $O(n)$ more steps by brute force to finish tracing the spiral. However, there are three important subtleties that must be taken into account.

First, the topological disk P that we obtain by unfolding streets and junctions into a common plane may not be a simple polygon; some streets and junctions may overlap. However, because P contains a line segment between the two portals, the *hourglass* H of P is a simple polygon. Moreover, we can construct H by extending the shortest paths A and B through one street or junction at a time, as described by Hershberger and Snoeyink [31]. There is no need to construct the disk P explicitly.

Second, we actually require the following minor modification: Given a polygon P with equal-length portal edges and a ray ρ , we need to determine how many times ρ crosses the portal before hitting either a non-portal edge of P or some earlier point in ρ . Assuming ρ always passes through each street in only one direction during the current phase, every self-intersection point along ρ has the form $\rho \cap \tau^k(\rho)$ for some integer k ; because τ is a simple rotation, the first such crossing (if any) occurs at the point $\rho \cap \tau(\rho)$. Thus, we need to compute the largest integer t such that ρ does not intersect $A_{<t}$ or $B_{<t}$ and does not cross the ray $\tau(\rho)$ inside the polygon $H_{<t}$. To solve this modified problem, we add a fourth termination condition to the unbounded search:

(C) The rays ρ and $\tau(\rho)$ intersect inside the convex quadrilateral $\text{conv}\{e_{t-1}, e_t\}$.

Adding this condition increases the running time of the unbounded search algorithm by only a small constant factor.

Finally, it is possible for a geodesic to traverse a single street in both directions during a single phase of the tracing algorithm; in this case, merely adding condition (C) to the unbounded search algorithm might fail to detect a self-intersection. To avoid this possibility, we partition each street that does not end at the boundary of Σ into two *lanes* with a geodesic segment we call the *median*. (If a street ends at the boundary of Σ , it obviously cannot be traversed more than once.) The endpoints of the median are vertices of the triangular faces (in the original surface triangulation T) incident to the ends of the street, as shown in Figure 7.3. Straightforward case analysis implies that γ crosses a median only in the last step of each phase. For example, if γ enters a street with a left turn, either it exits the street with another left turn and does not cross the median, or it crosses the median and then exits the street with a right turn, thereby ending the current phase. Informally, the geodesic “drives on the left” during left-turning phases and “drives on the right” during right-turning phases; see Figure 7.3.

Similarly, each junction is partitioned into *fragments* by the medians of the three streets incident to that junction. Each fragment has constant only one direction during a phase (if it is traversed at all). Thus, to compute the depth of a spiral, we compute the m lanes and m junction fragments traversed by the spiral on the fly, in $O(1)$ time each; unfold these lanes and fragments into a common plane in $O(m)$ time; compute the hourglass of the resulting (possibly self-overlapping) polygon in $O(m)$ time; and finally invoke our modified annular ray-shooting algorithm.

Lemma 7.2. *The depth d of a geodesic spiral through m distinct directed streets can be computed in $O(m + \log d)$ time.*

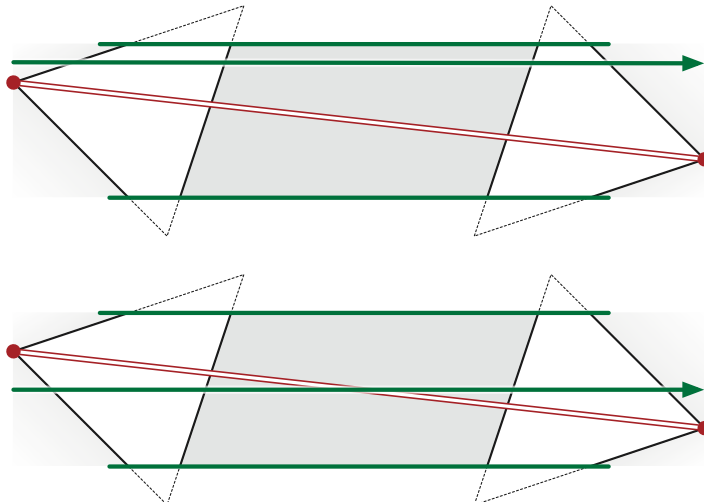


Figure 7.3. Top: γ enters a street with a left turn, traverses the left lane, and exits the street with a left turn. Bottom: γ enters a street with a right turn, crosses the median, and exits the street with a left turn.

7.5 Summary

The proof of Theorem 4.8 now immediately implies that our geodesic tracing algorithm runs in $O(n^2 \log X)$ time, where X is the number of times the geodesic crosses an edge of the input triangulation T . The output of our tracing algorithm is the final street complex $S(T, \gamma)$, the face of $S(T, \gamma)$ that contains the first self-intersection point x , and the local coordinates of x within that face.

Finally, we can also locate x in the input triangulation in $O(n^2 \log X)$ additional time as follows. Each street and junction in the street complex $S(T, \gamma)$ has its own local coordinate system. Each junction inherits its local coordinate system from the triangle of Σ that contains it; similarly, each street inherits its local coordinate system from one of the triangles incident to the corresponding edge in the initial triangulation. During the tracing algorithm, for each edge e of the evolving street complex, we maintain the rigid motion $\tau_e: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ that maps from the local coordinates on one side of e to the local coordinates on the other side. The matrices encoding these rigid motions can be updated in $O(m + \log d)$ time in each phase of the tracing algorithm.

After locating x in the street complex $S(T, \gamma)$, we untrace γ , keeping track of which face of the devolving street complex contains x and the local coordinates of x within that face. There are three cases to consider in each phase of the untracing algorithm. If x lies inside a junction, we can stop untracing immediately. Otherwise, if x does not lie in the active street of the current phase, its local coordinates do not change during that phase. Finally, if x lies in the active street of the phase, we can determine its new local coordinates in $O(m + \log d)$ time.

We conclude:

Theorem 7.3. *Let Σ be a triangulated piecewise-linear surface with n triangles. Given the starting point and direction of a geodesic γ in Σ , we can compute the first self-intersection point in γ in $O(n^2 \log X)$ time, where X is the number of edges γ crosses before it self-intersects.*

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