# Transcriptional regulation of photoreceptor development and homeostasis in the mammalian retina

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Abstract | In the developing vertebrate retina, diverse neuronal subtypes originate from multipotent progenitors in a conserved order and are integrated into an intricate laminated architecture. Recent progress in mammalian photoreceptor development has identified a complex relationship between six key transcription-regulatory factors (ROR $\beta$ , OTX2, NRL, CRX, NR2E3 and TR $\beta$ 2) that determine rod versus M cone or S cone cell fate. We propose a step-wise 'transcriptional dominance' model of photoreceptor cell fate determination, with the S cone representing the default state of a generic photoreceptor precursor. Elucidation of gene-regulatory networks that dictate photoreceptor genesis and homeostasis will have wider implications for understanding the development of nervous system function and for the treatment of neurodegenerative diseases.

Leber's congenital amaurosis A congenital form of early-onset blindness caused by mutations at many genetic loci.

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"Tamaso ma jyotir Gamaya ..." (lead us from darkness to light)

In Sanskrit from the discussion of the life force 'Prana' in Brihadaranyaka Upanishad (1.3.28) (800–2000 BC)

For thousands of years, humankind has sought light and vision to promote growth, survival and intellectual development. Darkness and the loss of sight are among our most basic fears. In humans, many higher-order neuronal functions including behaviour, learning, memory and emotions are dictated, to a considerable extent, by vision<sup>1</sup>. Importantly, almost 30% of the sensory input to the brain originates in the retina, which is referred to as the "window to the brain"<sup>1,2</sup>. Given the ease of diagnosis and quantification of visual defects, it is not surprising that as many as 30% of approximately 20,000 entries in the <u>Online Mendelian Inheritance in Man</u> (OMIM) database (see the online links box) reveal some association with the eye, vision and/or visual dysfunction.

The retina is an excellent model for studying complex features of the nervous system, such as cell lineage and connectivity in general and visual function in particular. The vertebrate retina consists of six major types of neurons (rod and cone photoreceptors, and horizontal, bipolar, amacrine and ganglion cells) that exhibit laminar organization and form numerous parallel microcircuits for integration and processing of visual signals<sup>2-4</sup> (FIG. 1a). The process of vision begins at the photoreceptors, which are unique sensory neurons that are specialized to capture light quanta. The chemical output of photoreceptors is integrated and processed by interneurons (bipolar, horizontal and amacrine cells) and transmitted to visual centres in the brain by ganglion cells. Abnormalities, dysfunction and/or death of retinal photoreceptors constitute the primary cause of visual impairment or blindness in most retinal diseases, such as Leber's congenital amaurosis (LCA), retinitis pigmentosa and macular degeneration.

In this Review, we synthesize current knowledge of photoreceptor development and propose a framework for the regulatory transcriptional networks that determine photoreceptor cell fate in the mammalian retina. We briefly describe genetic defects that result in syndromic or non-syndromic photoreceptor degeneration due to aberrant differentiation, function or homeostasis, and discuss potential treatment strategies for neurodegenerative blinding diseases based on the current understanding of transcriptional networks.

### Photoreceptors: types and organization

Mammalian photoreceptors are highly compartmentalized for specialized functions and have an intimate relationship with the retinal pigment epithelium (RPE), which supports photoreception (FIG 1a,b). Cone photoreceptors



Figure 1 | **Functional circuitry of the retina. a** | Organization of retinal circuits. Rod (R) and cone (C) photoreceptors have cell bodies (CBs) in the outer nuclear layer and extend inner segments (IS), which contain metabolic machinery, and outer segments (OS), which associate with retinal pigment epithelial (RPE) cells. Photoreceptor axons terminate in the outer plexiform layer and synapse with horizontal (H) and bipolar (B) cells in the inner nuclear layer, which also contains Müller glial (M) and amacrine (A) cells. Bipolar cells relay signals to amacrine and ganglion (G) cells through synapses in the inner plexiform layer. Ganglion cell axons project towards the optic nerve head and carry signals to the brain. End-feet of Müller glia form the outer and inner limiting membranes. A representative cone pathway is shown in blue and a representative rod pathway is shown in orange. **b** | Illustrations of rod and cone morphologies that include subcellular locations of functions. **c** | A surface-view representation of cone distribution across the mammalian retina. In humans, cones express S opsins (peak sensitivity to blue light), L opsins (peak sensitivity to red light) or M opsins (peak sensitivity to green light) in a mosaic-like pattern. In mice, cones express S opsins and M opsins in opposing distribution gradients along the superior (M opsin-high) to inferior (S opsin-high) axis. Syn, synaptic terminus.

### Retinitis pigmentosa

An inherited progressive degeneration of photoreceptors, generally beginning in the peripheral retina with rod cell dysfunction.

### Macular degeneration

A progressive dystrophy initially affecting photoreceptors in the 5–6 mm area around the fovea (the macula), which contains a higher ratio of cones to rods than the peripheral retina. Juvenile forms exhibit a Mendelian inheritance pattern, whereas age-related macular degeneration is a complex multifactorial disease.

### Syndromic

Related to a pathology or disease involving multiple organs.

### Retinal pigment epithelium

A polarized sheet of epithelial cells between the choroidal capillaries and the photoreceptor cells. respond to bright light, mediate colour vision and permit high resolution of visual images. Rod photoreceptors function only under conditions of low light and can respond to single light quanta, being a hundred-fold more sensitive than cones<sup>5</sup> (see the <u>Webvision</u> website, listed in Further information)

Across the retina, rods and various cone subtypes are arranged in an elegant laminar and non-random pattern  $(mosaic)^{6-8}$  (FIG. 1c) that must be sequentially generated with the right number of components, correct spatial organization and appropriate wiring to interneurons for serial and parallel processing of visual information9. In mice and humans, photoreceptors constitute over 70% of retinal cells, but rods outnumber cones by 30:1 in mice and 18-20:1 in humans<sup>6,7</sup>. A major difference between humans (and diurnal primates) and mice (and most other mammals) is the presence in humans of a thin, pit-like, cone-only region in the centre of the retina, called the fovea, which is responsible for highest visual acuity. In humans, the density of rods increases from the fovea to the periphery of the retina, with the highest rod density in the parafoveal region<sup>10</sup>.

The mammalian retina has only one type of rod visual pigment (rhodopsin, which has a peak spectral sensitivity at ~500 nm). Most mammals (including

the mouse) have two types of cone opsins that confer dichromatic colour vision: S opsin (also known as bluesensitive opsin), which has peak sensitivity in the short wavelength (ultraviolet or blue) region of the spectrum; and M opsin (also known as green-sensitive opsin), which has peak sensitivity in the medium-long wavelength (green) region of the spectrum. Duplication of an ancestral M opsin-like gene on the X chromosome has provided humans and diurnal primates with an additional opsin, Lopsin (also known as red-sensitive opsin), which is sensitive to longer wavelength (red) light and, together with S opsin and M opsin, confers trichromatic colour vision<sup>11</sup>. Each human cone expresses only one of the three opsins, giving a mosaic-like pattern over the retina<sup>12</sup>. In mice and other rodents, M opsins and S opsins are expressed in opposing gradients across the retina<sup>13</sup>. Cones in mid-retinal regions express varying amounts of both M and S opsin<sup>14,15</sup>, leading to the concept of S- or M-dominant cone subpopulations in some species16.

### Multipotent progenitors and neuronal diversity

The proportions and distribution of photoreceptor subtypes in the mature retina are optimized to the precise needs of a given species for capturing visual information in diverse habitats. The identification of genes and signals that direct a dividing retinal progenitor cell (RPC) to become a photoreceptor or another cell type has been the subject of intense investigations<sup>17–19</sup>. Classical birth-dating studies using <sup>3</sup>H-thymidine labelling, lineage tracing experiments, or cell-type specific antibodies demonstrated a fixed chronological and overlapping order of birth for all retinal neurons from common pools of progenitor cells in the vertebrate retina<sup>20–24</sup>. The generation of functionally mature neurons from multipotent RPCs proceeds through a series of steps that increasingly restrict lineage choices and commit cells to a particular fate<sup>25–27</sup> (FIG. 2). How is this process stringently controlled to be appropriate to the temporal and spatial context?

Initially, it was proposed that the developmental potency of uncommitted progenitors changes with developmental age<sup>28</sup>. According to a more detailed model<sup>29</sup> both intrinsic control mechanisms of the progenitor cells and the environment change over time, and changes in competence generate different neurons. The progressive-restriction and competence models for generating cellular diversity provided a valuable framework for subsequent developmental and molecular genetic studies. A complex interplay of transcription factors (including homeodomain proteins such as paired box protein PAX6, retinal homeobox protein RX1, SIX3, SIX6, visual system homeobox 2 (VSX2) and LIMhomeobox protein LHX2) is implicated in an evolutionarily conserved programme that establishes the anterior neuroectodermal region for eye formation and maintains the retinogenic potential of progenitor cells<sup>30-34</sup>. The transient and quantitatively precise expression of regulatory transcriptional proteins, which are often bHLH transcription factors and homeodomain transcription factors<sup>18,19,26</sup>, mediates the acquisition of specific cell fates and provides a starting point for the elucidation of regulatory pathways underlying neurogenesis. It has emerged that many transcription factors (including PAX6, VSX2 and neurogenic differentiation factor 1 (NEUROD1)) participate at an early point in cell fate specification as well as later in functional maturation and maintenance (homeostasis).

### The timing of photoreceptor genesis

In mammals, the genesis of photoreceptors occurs over a long temporal window<sup>20,21,35,36</sup> (FIG. 3). After exit from final mitosis, functional maturation of a committed photoreceptor precursor (rod or cone) can take weeks to months depending on the species (FIG. 3). The process of photoreceptor development can be divided into five major steps (FIG. 2): first, proliferation of multipotent RPCs; second, restriction of the competence of RPCs; third, cell fate specification and commitment to photoreceptor precursors during or after final mitosis; fourth, expression of photoreceptor genes, such as those for phototransduction and morphogenesis; and fifth, axonal growth, synapse formation and outer segment biogenesis. In humans, all photoreceptors are generated prenatally. The first cones and rods are born around foetal week (Fwk) 8

and Fwk 10, respectively<sup>37,38</sup>. S opsin mRNA is produced as early as Fwk 12, followed by rhodopsin, M opsin and L opsin transcripts at Fwk 15 (REF. 38) (FIG. 3). At birth, opsin expression patterns are reasonably well established, but photoreceptors are still immature. In the mouse, photoreceptor development is less advanced than in humans at birth, and the eyes of the newborn pup remain closed for almost 2 weeks. Cone genesis is essentially complete at birth (having started at embryonic day (E) 11), and S opsin is expressed at late embryonic stages. In mice, rods are born both preand postnatally. The peak of rod genesis occurs in the first few postnatal days, accompanied soon thereafter by the expression of rhodopsin<sup>20,21</sup> (FIG. 3). Expression of M opsin by cones begins around postnatal day 6 and lags behind that of other opsins. As photoreceptors mature, the opsin levels increase substantially, outer segments elaborate towards the RPE and synapses form with horizontal and bipolar neurons.

### From progenitors to photoreceptor precursors

The earliest steps in photoreceptor development involve signalling through the <u>Notch</u> receptor<sup>39,40</sup>. High levels of Notch seem to maintain the cycling of progenitor cells, and inhibition of Notch drives progenitors to commit to the cone or rod photoreceptor fate, depending on the developmental stage, over other cell fates. Notably, signalling molecules such as sonic hedgehog can also influence progenitor commitment by converging on downstream targets of Notch<sup>41</sup>.

Notch signals through the bHLH transcription factors HES1 and HES5, which maintain the progenitor pool by downregulating the expression of proneural bHLH proteins in the developing mouse retina<sup>39,40,42</sup>. The molecular mechanisms that generate photoreceptor precursors from RPCs, including downregulation of Notch signalling, remain uncharacterized. The proneural bHLH transcription factors (such as NEUROD1, neurogenin 2, NEUROD4 (also known as MATH3), protein atonal homologue 7 (ATOH7; also known as MATH5) and achaete-scute homologue 1 (ASCL1; also known as MASH1)) have redundant and poorly defined roles in photoreceptor development<sup>42,43</sup>. Their promiscuous roles in early neuronal development make it difficult to determine specific instructive or participatory function in progenitor competence, lineage restriction and/or photoreceptor fate specification (FIG. 2). Each step in early differentiation is probably regulated by combinatorial and transient actions of several transcription factors and signalling proteins.

### **Transcription factors in differentiation**

Although we have only a limited understanding of the signals that prompt a progenitor to become a photoreceptor precursor, we have better insights into subsequent events that direct a photoreceptor precursor to differentiate into a rod or a cone. Here, we discuss six transcription factors that dictate key steps of photoreceptor differentiation in the developing mammalian retina — namely, homeobox protein <u>OTX2</u>, cone-rod homeobox protein (<u>CRX</u>), neural retina leucine zipper

#### Retinal progenitor cell

A proliferating cell that can give rise to mature retinal cells.

#### Lineage tracing

An experimental method to identify the origin (progenitor) of a differentiated cell.

#### Competence

The ability of a retinal progenitor or precursor cell to produce specific cell types.

### bHLH transcription factors

A family of transcription factors that contain a characteristic basic region and a helix–loop–helix domain.

### Homeodomain transcription factors

A family of transcription factors that contain a characteristic DNA recognition domain, called the homeodomain. They are often involved in patterning spatial domains of developing tissues.

#### Specification

The developmental process that biases an immature cell to adopt a particular fate; the specified cell is not yet committed to the fate and retains developmental plasticity.

#### Final mitosis

The last mitotic division of a cell.

### Photoreceptor precursor

A post-mitotic cell that is not yet differentiated and does not have a mature functional phenotype of a rod or a cone.



Figure 2 | **Stages of photoreceptor development.** Early in retinogenesis, multipotent retinal progenitor cells (RPCs) divide and produce additional multipotent progenitors (thick circular arrow) or progenitor cells that become restricted in their competence to generate various cell types (thin circular arrow). Some of these proliferating cells become restricted to a lineage that will give rise to at least one photoreceptor cell and possibly to non-photoreceptor cells. After cell cycle exit, postmitotic precursors can remain plastic. During cell type specification of photoreceptors, precursors are directed to become cones or rods that eventually express photopigments (M opsin and S opsin in cones, and rhodopsin in rods), and form outer segments and synapses. The bar on the far left lists key transcription factors and signalling proteins that maintain RPC multipotency and proliferation: paired box protein PAX6, retinal homeobox protein RX1, SIX3, SIX6, LIM–homeobox protein LHX2, visual system homeobox 2 (VSX2), HES1 and Notch 1. The bar on the far right lists key transcription regulatory proteins that are involved in cone and rod differentiation and maintenance (for cones: thyroid hormone receptor  $\beta 2$  (TR $\beta 2$ ), retinoid X receptor- $\gamma$  (RXR $\gamma$ ), nuclear receptor ROR $\beta$ , COUP transcription factor 1 (COUP-TF1; also known as NR2F1), neurogenic differentiation factor 1 (NEUROD1), cone–rod homeobox protein (CRX) and homeobox protein OTX2; for rods: ROR $\beta$ , neural retina leucine zipper protein (NRL), photoreceptor-specific nuclear receptor (NR2E3), OTX2, CRX, achaete-scute homologue 1 (ASCL1; also known as MASH1), NEUROD1 and E3 SUMO-protein ligase PIAS3).

protein (NRL), photoreceptor-specific nuclear receptor (NR2E3), nuclear receptor <u>ROR</u> $\beta$  and thyroid hormone receptor  $\beta 2$  (<u>TR</u> $\beta 2$ ).

## Paired-type homeodomain transcription factor

A DNA-binding transcriptionregulating protein that contains a homeodomain with the characteristic amino acid residues of the homeodomain of the *Drosophila melanogaster* Paired transcription factor.

Basic motif-leucine zipper transcription factor A transcription factor that contains a characteristic basic motif for DNA binding and a leucine zipper domain for dimerization. OTX2 and commitment to a photoreceptor fate. The paired-type homeodomain transcription factor OTX2 is a key regulator of the photoreceptor lineage. It is expressed during final mitosis in retinal progenitors and in early precursors (T. Furukawa, personal communication) that become committed to the photoreceptor cell fate<sup>44,45</sup>. A conditional knockout of Otx2 in immature retinal progenitors leads to almost complete loss of rods and cones, as well as a loss of bipolar and horizontal cells in mice<sup>44,45</sup>. Retrovirus-mediated expression of Otx2 in RPCs of neonatal mice can drive cells towards the rod cell fate<sup>44</sup>. As OTX2 is also expressed in other cell types throughout the brain and retina, it provides a necessary, but not a sufficient, signal to induce the photoreceptor cell fate. *CRX and terminal differentiation of photoreceptors.* The transcriptional regulator CRX was initially identified as a photoreceptor-specific retinopathy gene, but is now known to also be expressed in other mature retinal neurons<sup>46–50</sup>. In *Crx*-deficient mice, photoreceptors are generated but fail to express many phototransduction genes, resulting in a lack of outer segments and eventually retinal degeneration<sup>51</sup>. CRX is expressed early in postmitotic photoreceptor precursors and acts downstream of OTX2 (REFS 44,45). Its exact role at this stage remains undefined. CRX enhances the expression of photoreceptor-specific genes<sup>52,53</sup> and is important for terminal differentiation of rods and cones; however, CRX alone does not determine specific photoreceptor cell fate<sup>51</sup>.

*NRL and the specification of rod photoreceptor fate.* The decision to be or not to be a rod is largely determined by NRL, a basic motif–leucine zipper transcription factor of



Figure 3 | **Photoreceptor genesis and maturation in mice and humans.** The relative numbers of cone and rod precursor cells that are born over time are shown for mouse and human retinogenesis. **a** | In mice, cones are generated prenatally as early as embryonic day (E) 11. Rods, which vastly outnumber cones, are generated both before and after birth, from around E12 to postnatal day (P) 10. Expression of S opsin begins at ~E18, that of M opsin at ~P6 and that of rhodopsin at ~P2. Photopigment levels increase substantially until after weaning in mice. As photoreceptors mature, outer segments (OS) and synapses form. The inset panel shows double fluorescent detection of cone (thyroid hormone receptor  $\beta$ 2-positive cells (red staining)) and rod precursors (neural retina leucine zipper protein (NRL) -positive cells (green staining)) in mouse retina at E18, superimposed on a phase contrast picture that reveals the outer neuroblastic layer (ONBL) (D. Sharlin, Alok Swaroop and D. Forrest, unpublished data). Newly generated photoreceptors tend to reside near the edge of the retina. **b** | In humans, cones and rods are generated around foetal week (Fwk) 8 and Fwk 10, respectively. Generation of cones is completed prenatally, whereas that of rods continues into the early postnatal period. Expression of S opsin is observed at ~Fwk 12, and that of L opsin, M opsin and rhodopsin at ~Fwk 15. Functional maturation of photoreceptors continues postnatally, RPE, retinal pigment epithelium. Data are taken from REFS 14,20,21,38,80,151,152.

the Maf subfamily<sup>54</sup>. In mammals, NRL interacts with CRX and many other transcription factors and induces the expression of rod-specific genes<sup>52,55,56</sup>. Loss of NRL in mice leads to a retina with no rods and an excess of S opsin-expressing cones (S cones) because the precursors that were committed to become rods are converted to cones<sup>57</sup>. Ectopic expression of NRL in immature photoreceptor precursors results in loss of cone gene expression and likely transformation to rods<sup>58</sup>. These studies establish an essential and instructive role of NRL in determining rod cell fate.

*NR2E3, a suppressor of cone genes.* The orphan nuclear receptor NR2E3 (REF. 59) is a direct transcriptional target of NRL<sup>60</sup> and is expressed in postmitotic photoreceptors<sup>61</sup>. NR2E3 primarily suppresses the expression of cone genes, thereby irreversibly committing NRL-expressing precursors to a rod cell fate<sup>60,62–64</sup>. Mice carrying an L1 retrotransposon insertion<sup>65</sup> in the *Nr2e3* gene<sup>66</sup> (*rd7* mice) have rod-like photoreceptors that express many cone genes<sup>63,64,67</sup>. NR2E3, together with NRL and CRX, also serves as a co-activator of rod genes, but cannot substitute for NRL in rod gene activation<sup>63,68</sup>.

RORβ, a dual regulator in both rod and cone differentiation. RORβ is an orphan nuclear receptor that is expressed in all cell layers of the neural retina and in the pineal gland<sup>69,70</sup>. The retina of  $Rorb^{-/-}$  mice lacks rods and features an excess of S cone-like photoreceptors, therefore resembling that of  $Nrl^{-/-}$  mice<sup>71</sup>. However, the excess cones are non-functional and more primitive than those in  $Nrl^{-/-}$  mice. Nrl is not expressed in  $Rorb^{-/-}$  mice, indicating that Rorb lies upstream of Nrl in the photoreceptor differentiation pathway. ROR $\beta$ , like CRX, is also necessary for the formation of photoreceptor outer segments and contributes to the development of the inner nuclear and synaptic layers of the retina<sup>71</sup>. ROR $\beta$  acts synergistically with CRX to initiate S opsin transcription in cones<sup>72</sup>.

*TRβ2, a mediator of M cone differentiation.* The nuclear receptor TRβ2 is a ligand-regulated transcription factor<sup>73</sup> expressed in new cones in the mammalian foetal retina<sup>74,75</sup>. In TRβ2-deficient mice, cones lack M opsin and express only S opsin<sup>74</sup>, indicating a crucial role for thyroid hormone signalling in opsin patterning and maturation of the colour visual system. TRβ2 coordinates cone opsin patterning in response to the increasing thyroid hormone levels that accompany development<sup>76</sup>.

The preceding discussion of six key transcription factors suggests an intricate regulatory network that guides the determination of photoreceptor cell fate.

### The 'transcriptional dominance' hypothesis

Although a unified pathway cannot be put forward for all vertebrates<sup>17</sup>, we have synthesized the evidence from genetic studies of key transcription factors as well as earlier proposals that cones may differentiate into a common default form before diversifying into distinct cone subtypes<sup>13,14</sup>. We propose a 'transcriptional

### Nuclear receptor

A ligand-regulated transcription factor that includes members with known ligands such as thyroid hormone receptor and retinoid X receptor, and those lacking a known physiological ligand such as retinoid-related orphan receptor.





dominance' model of photoreceptor cell fate determination (FIG. 4) that includes three fundamental attributes: first, that all terminally differentiated photoreceptors originate from a common postmitotic photoreceptor precursor that has the potential to form rods or any of the cone subtypes; second, that a photoreceptor precursor cell follows a default pathway to differentiate into an S cone unless additional regulatory signals direct the precursor to acquire a rod or M cone identity; and third, that the acquisition of a specific fate by a photoreceptor precursor is established by a particular set of transcription-regulatory factors gaining dominance at a given developmental stage.

The model predicts that the transcriptional control signals exert a profound influence at two key points during fate specification: first, the decision to form either a rod or a cone; and second, the decision for a cone to differentiate with an S cone or M cone identity (FIG. 4). It is likely that the commitment to produce a functional rod or cone is dictated by context- and timedependent combinations of cell-specific and widely expressed transcription factors. Modest changes in the expression or activity of one or more transcriptional regulators in response to extrinsic or cell-cell communication signals might alter the overall outcome of differentiation. The model also predicts that, once the requisite numbers of committed cones are produced, by as yet unknown counting mechanisms, the rodinducing signals will overwhelmingly direct the differentiation of precursors away from the default S cone state to the rod state.

The coordinated and precisely balanced action of the six key transcription factors (discussed above) seems to be crucial as RPCs commit to a rod or cone photoreceptor cell fate. We propose that several of these factors compete for dominance in a newborn photoreceptor precursor. These sometimes conflicting regulators would determine the particular differentiation outcome of a given precursor cell at a specific stage of development. Several (if not all) of the six factors (OTX2, CRX, RORβ, NRL, NR2E3 and TRβ2) may be expressed at varying levels in a precursor cell (FIG. 4) and engage in a transcriptional contest for dominance ('tug of war') that will ultimately determine the cell fate. In the default scenario, OTX2 biases the progenitor to a photoreceptor precursor fate, and CRX and ROR $\beta$  initially induce the expression of S opsin. Other transcription factors including PR domain zinc finger protein 1 (also known as BLIMP1) are likely to help stabilize the commitment of OTX2-expressing precursors to a photoreceptor fate<sup>77,78</sup>. In the photoreceptor precursor, ROR $\beta$ , presumably in cooperation with other specific factors, also induces NRL expression. When a certain threshold of NRL expression and/or activity is achieved, NRL may recruit CRX and activate NR2E3 expression. An NRL-NR2E3 complex then suppresses S opsin and other cone genes, while NRL-CRX-containing complexes initiate the rod differentiation programme. Below a 'decisive threshold' of expression and/or activity of NRL-NR2E3, the precursor differentiates by default as a cone. In these non-rod precursors, TRB2 is crucial for the induction of M opsin in cones and differential patterning of both M opsins and S opsins.

Several lines of evidence suggest the existence of a common photoreceptor precursor. First, in the developing retina of humans and rodents, S opsin is expressed before other cone opsins (FIG. 3). Such observations in the rat led to the proposal of a default pathway in which cones initially express S opsin before a subpopulation subsequently switches to an M opsin identity<sup>79</sup>. In the human foetus, S cones cover almost 90% of the retina at Fwk 19, and this number decreases dramatically later in development, potentially reflecting a switch in opsin

expression from a default S cone state to an M or L cone identity<sup>8</sup>. Second, in TRβ2-deficient mice, cones lack M opsin and instead express S opsin<sup>74</sup>, consistent with a key role for TR<sup>β</sup>2 in M cone versus S cone patterning. Third, Nrl-/- mice possess S cones instead of rods, which supports the concept of the default S cone state<sup>57,80</sup>. Furthermore, NR2E3 and RORß were found to act on the same transcriptional pathway as NRL in directing precursors to a rod state<sup>60,63,71</sup>. Fourth, NRL-positive precursors selected from the retina of wild-type mice at E16 express S opsin transcripts<sup>58,80</sup>, which is consistent with the existence of an early photoreceptor precursor that has a propensity to follow a default S cone pathway. For a precursor to enter into rod differentiation, the S cone state must be actively turned off by the co-expression of NRL and NR2E3. Some of the precursors begin to express NRL together with S opsin because they are not yet fully committed to a rod fate. There is likely to be an 'interim' state as the cone versus rod fate becomes fixed. At postnatal stages when cone genesis is complete and only rods are produced, the default S cone state of the photoreceptor precursors is likely to be transient under the influence of strong NRL activity. Lastly, the model of a default S cone state is consistent with studies on the ontogeny and evolution of photoreceptors, which suggest that the S cone represents an evolutionarily older form of photoreceptor<sup>14,17,81</sup>.

The transient nature of plastic photoreceptor precursors at different developmental stages may make it difficult to demonstrate their existence *in vivo*. However, the model contains a testable prediction in that some (or all) early photoreceptor precursors co-express some or many of the key transcription factors before their final commitment to form a rod or a cone. Other bHLH transcription factors, such as ATOH7, ASCL1 and NEUROD1, are at least transiently expressed in some photoreceptor precursors and may contribute to the counting mechanism or the balance between the cone- and the rod-inducing programmes.

### **Cone opsin distribution patterns**

For cone-committed precursors that do not receive signals to form rods, the next decision concerns the expression of S opsin versus M opsin (in most mammals) or S opsin versus either M opsin or L opsin (in humans, as discussed below)<sup>82,83</sup>. The key questions are: how is an opsin gene selected for expression, and how does this exclude the expression of other opsins in that cone photoreceptor?

Selective expression of S opsin versus M opsin. The S opsin (<u>Opn1sw</u>) and M-opsin (<u>Opn1nw</u>) genes are located on different chromosomes (chromosome 6 and the X chromosome, respectively, in mice), and each gene is subject to independent transcriptional regulation in cone subpopulations. The mechanism of mutual exclusion of S opsin and M opsin expression has not been elucidated, but a combination of intrinsic transcriptional controls, external signals including thyroid hormone and signals that relate to spatial location on the retinal surface seem to have key roles.

TRβ2 is a major regulator of M opsin and S opsin patterning in mice but is expressed in cones in all retinal regions, suggesting that other factors contribute to the regional variations in M opsin and S opsin distribution. One such factor is the nuclear receptor retinoid X receptor- $\gamma$  (RXR $\gamma$ ), which can heterodimerize with TR $\beta$ 2 and suppress S opsin expression in regions of the superior retina in mice<sup>84</sup>. Other possible patterning factors are COUP transcription factor (COUP-TF) orphan nuclear receptors<sup>85</sup>, which help to ensure a normal distribution of S cones over the retina, and bone morphogenic protein receptor, which may control COUP-TF expression<sup>85</sup>. Factors that augment M and S opsin expression include RORa<sup>86</sup> and, indirectly, NEUROD1, which enhances the expression of TRβ2 and M opsin in cones<sup>87,88</sup>.

The action of TR $\beta$ 2 requires the thyroid hormone triiodothyronine (T3) at appropriate developmental stages<sup>76,89,90</sup>. In mice, the concentration of thyroid hormone in the circulation rises progressively during postnatal development and provides a temporal signal that induces the expression of M opsin in the second postnatal week (FIG. 3). In mice with congenital hypothyroidism, induction of M opsin expression is impaired<sup>76</sup>, whereas treatment with excessive T3 suppresses the induction of S opsin expression at early stages<sup>89</sup>. At inappropriate neonatal stages, excessive T3 promotes cone-specific cell death.

Selective expression of L opsin versus M opsin genes in humans. A specialized mechanism of opsin patterning underlies human trichromacy and involves selective expression of L opsin or M opsin genes (OPN1LW or OPN1MW, respectively), which reside in a tandem cluster on the X chromosome<sup>82</sup>. In a given cone, expression of an L opsin or M opsin gene is selected by an upstream locus control region (LCR), thereby ensuring their mutually exclusive expression<sup>91,92</sup>. Studies in mice carrying a miniature human L opsin-M opsin gene cluster indicate that the pairing of the LCR with either the L opsin or M opsin gene promoter is determined by stochastic events and not by individual sets of opsinspecific transcription factors93. Transcription factor complexes involved in LCR-mediated activation of the L opsin or M opsin genes have not been elucidated. In human retinoblastoma cell lines that have some cone properties, assembly of regulatory complexes at the LCR determines L opsin versus M opsin expression during final mitosis94. LCR-mediated selection of opsin gene expression has also been observed in zebrafish, which differentially express a tandem array of four greensensitive RH2 opsin genes95. TRβ2 and RXRγ in human foetal cones<sup>96</sup> might participate in the activation of L opsin and M opsin genes, analogously to their role in M opsin induction in mice.

Other processes contribute to opsin patterning in the context of the growing eye. The retinal surface varies not only in terms of cone opsin patterning but also in the density and distribution of cone and rod cells<sup>10</sup>. Thus, the differential rates of generation of rods and cones<sup>20</sup> and their programmed cell death in development<sup>97,98</sup> may

Superior retina The dorsal region of the light-sensing tissue at the back of the eye.

also determine the pattern of each cell type across the retina. In the more local environment, cell–cell signalling may control spatial arrangements between groups of cell types<sup>99,100</sup>.

### **Nuclear receptors**

Nuclear receptors are ligand-regulated transcription factors. They include TRB2 and RXRy, which have known ligands (thyroid hormone and retinoids, respectively), and orphan receptors (such as NR2E3, COUP-TF, RORα and RORβ), which lack known physiological ligands. The potential of these transcription factors to respond to ligands allows an integration of transcriptional control with extrinsic signals that may be crucial for rod versus cone differentiation, cone opsin patterning or other functions during photoreceptor differentiation<sup>62-64,71,84,86</sup> (FIGS 3,4). Some orphan receptors, such as RORB, have a large carboxy-terminal pocket that may bind hydrophobic molecules with lower specificity and affinity than conventional hormonal ligands<sup>101</sup>. Thus, apart from the endocrine signal of thyroid hormone, other small molecules may provide paracrine or autocrine signals that locally modify nuclear receptor activity during development. Although some nuclear receptors such as nuclear receptor subfamily 2 group E member 1 (NR2E1; also known as TLX) may act early in neurogenesis in retinal progenitor cells<sup>102,103</sup>, nuclear receptors have a role predominantly at later stages of photoreceptor differentiation. This provides an interesting contrast to the roles of bHLH, homeodomain and other types of transcription factors<sup>42</sup> during earlier stages in retinal neurogenesis.

### Gene-regulatory networks (GRNs)

We primarily discuss GRNs pertaining to rod differentiation and homeostasis because most studies have focused on the regulation of rod gene expression. Early induction of NRL expression in photoreceptor precursors<sup>80</sup> seems to be a decisive step in determining the rod versus cone outcome. We predict that a substantial fraction of photoreceptor precursors express NRL at a given time in development in response to prevailing signals. The long period from final mitosis to the functional maturation of rods (2–4 weeks in mice and 5–10 weeks in humans) can be attributed to stepwise changes in gene expression patterns (influenced by extrinsic factors) that eventually lead to the expression of a specific set of phototransduction genes and a functional rod photoreceptor.

Regulation of NRL expression. A major regulator of

rod development upstream of NRL is RORβ, which is

widely expressed in retinal progenitors and in imma-

ture photoreceptors. The role of ROR<sup>β</sup> in driving a pre-

cursor into the first phase of the rod state is probably

mediated via induction of NRL expression<sup>71</sup> in coop-

eration with other transcription factors. An approxi-

mately 3-kb genomic region<sup>80</sup> that lies immediately

upstream of the transcription start site of the mouse

Nrl gene includes many conserved sequence elements

### Cis-acting elements

DNA sequences that affect the transcription of a gene and are present nearby, on the same chromosome.

#### Chromatin

immunoprecipitation Often abbreviated as ChIP, this is an experimental technique used to identify DNA sequences that bind to a specific DNA-binding protein *in vivo*.

with potential binding sites for bHLH proteins, nuclear receptors and other factors, such as myocyte enhancer factor 2C, that are expressed in the developing and mature retina (M.-A. Kautzmann, M. Akimoto and A. Swaroop, unpublished observations). Additional regulatory factors that could promote rod development via the ROR $\beta$ -NRL pathway include taurine, activin A, sonic hedgehog, fibroblast growth factor and retinoblastoma protein<sup>104-110</sup>. Retinoic acid increases the number of cells expressing rod marker genes<sup>111,112</sup>, potentially by elevating Nrl expression<sup>113</sup>. By contrast, the signal transducer and activation of transcription (STAT) signalling pathway, which is downstream of receptors for ciliary neurotrophic factor (CNTF) and leukaemia inhibitory factor, regulates NRL and CRX expression and therefore rod differentiation<sup>114,115</sup>. CNTF is a negative regulator of rhodopsin expression, yet it increases photoreceptor cell survival<sup>116,117</sup>. How the cytokine and janus kinase (JAK)-STAT signalling pathways are integrated in the transcriptional hierarchy of NRL-CRX-NR2E3 requires further investigation.

Three key regulators of rod development — NRL, CRX and NR2E3 — continue to be expressed at high levels in the mature retina, suggesting that they have important roles in rod homeostasis. We hypothesize that these proteins auto-regulate and cross-regulate each other, thereby maintaining their expression levels throughout the life of rods.

GRNs during development and homeostasis. GRNs<sup>118,119</sup> have three key components — transcription factors, cofactors and/or modulators, and transcriptional targets. In such networks, signalling molecules modulate the expression and/or activity of transcription factors that act as key nodes and control multiple cellular targets. One approach for elucidating GRNs is to examine global expression profiles after disrupting key regulatory nodes. Computer-based analysis of *cis*-acting elements **and** chromatin immunoprecipitation (ChIP) studies have identified shared as well as specific transcriptional targets of NRL, CRX, TRB2 and NR2E3 (REFS 60,62,120,121). To elucidate GRNs that underlie photoreceptor development and homeostasis, the approaches must be multi-pronged and include global expression profiling (or RNA sequencing) of purified photoreceptors from different genetic backgrounds (such as Nrl-/-, Crx-/-, Rorb-/- and rd7 mice), global target analyses using sequencing of ChIP DNAs (ChIP-Seq) at different stages of development, in vitro and in vivo strategies for determining direct biological responses (for example, *in vivo* electroporation<sup>122</sup>), and network and pathway analyses.

Another important question is, how are NRL, CRX and NR2E3 involved in assembling distinct transcriptional complexes to generate quantitatively distinct and precise gene expression patterns? In addition to unique combinations of *cis*-acting regulatory sequences, the strength of protein interactions and combinatorial synergy (or antagonism) can produce quantitative differences in the expression of genes that are regulated by a similar set of transcription factors. NRL and CRX can

### Enhanced S cone syndrome An inherited autosomal recessive retinal disease associated with greater sensitivity to blue light, night blindness and eventual photoreceptor degeneration.

recruit TATA box-binding protein<sup>123</sup>, CREB-binding protein and STAGA53 to generate transcriptional complexes. The strength and stability of interaction among regulatory factors can be modulated by post-translational modifications that permit the assembly of distinct complexes, which bind to enhancer sequences. For example, the human NRL protein of 237 amino acids contains 18 serine and 9 threonine residues and is highly phosphorylated<sup>124</sup>. SUMOylation of NRL is also crucial for its function during photoreceptor development<sup>125</sup>. The modulation of NRL activity by kinases and other signalling molecules might therefore be a key mechanism for controlling the timing and level of expression of rod genes. Notably, disease-causing mutations in NRL affect its phosphorylation state and interaction with CRX and other transcriptional regulators<sup>126</sup>. Another modulator of photoreceptor development is E3 SUMO-protein ligase PIAS3, which directly interacts with CRX and NR2E3; SUMOylation of NR2E3 by PIAS3 enhances its activity as a repressor of cone genes<sup>127</sup>.

Although still a work in progress, a simplified GRN for rod differentiation and homeostasis is illustrated in FIG. 5.

### **Retinal and macular diseases**

Photoreceptor function and homeostasis are stringently controlled at many levels — regulation of gene expression, transport of selected proteins to the base of cilia, outer segment biogenesis and signal transmission (FIG. 1b,c). Mutations in over 100 genes that encode



Figure 5 | **A simplified representation of rod gene-regulatory networks.** Neural retina leucine zipper protein (NRL) acts synergistically with cone–rod homeobox protein (CRX) and/or photoreceptor-specific nuclear receptor (NR2E3) in many distinct complexes that bind to enhancer sequences and dictate the expression of various rod genes. The rhodopsin (RHO) promoter is likely to be regulated by additional factors, such as nuclear receptor subfamily 1 group D member 1 (NR1D1)<sup>68</sup>, to maintain high but precisely controlled levels in rods. Other promoters (such as that for rod cGMP-specific 3',5'-cyclic phosphodiesterase subunit- $\beta$  (PDE $\beta$ )) may bind the widely expressed transcription factors SP1 and SP4 (REF. 153), together with NRL. NRL and NR2E3 repress the activity of cone genes (such as S opsin), whereas CRX activates both rod and cone genes. M1, M2 and M3 indicate modulators (such as fibroblast growth factor 2, retinoic acid and post-translational modifiers) that control or fine-tune the activity of key transcription factors. Thin lines denote experiment-based suggested links, whereas thick lines denote confirmed regulatory connections. GNAT1, guanine nucleotide-binding protein G(t) subunit  $\alpha$ 1.

proteins with different roles in photoreceptor function have been associated with retinal degeneration<sup>128</sup> (see the <u>RetNet</u> website listed in Further information). Interestingly, distinct mutations can lead to a similar clinical phenotype, or disease caused by similar mutations may have variable severity. Unlike the retina of many fish and amphibians<sup>129,130</sup>, the adult mammalian retina cannot generate new photoreceptors; hence, dysfunction or death of photoreceptors results in the loss of vision.

Mutations in NRL, NR2E3 and CRX cause varying retinal disease phenotypes (TABLE 1). All NRL mutations reported so far are missense or frameshift, and most seem to have a dominant impact on transcriptionregulating activity<sup>126,131</sup>. NR2E3 mutations, by contrast, result in phenotypes such as enhanced S cone syndrome (ESCS)<sup>132-135</sup>, which can be explained by the primary role of NR2E3 as a repressor of cone genes in photoreceptor precursors<sup>63,136</sup>. CRX mutations generate an array of clinical phenotypes, from more severe congenital blindness in LCA to late-onset retinitis pigmentosa<sup>50,137</sup>. The retinal disease genes associated with photoreceptor dysfunction or death (based on the RetNet database; see Further information) are listed in Supplementary information S1 (table). A substantial fraction of these disease genes seem to be direct or indirect targets of NRL, CRX or NR2E3, as revealed by gene expression profiles of knockout mice and/or ChIP-Seq analysis<sup>60,63,64,138</sup> (H. Hao, D. Kim and A. Swaroop, unpublished observations).

*THRB* mutations are associated with the syndrome of resistance to thyroid hormone (see the OMIM entry for <u>THRB</u>), but photoreceptor dysfunction has been unexplored in this disease. Further studies of this syndrome and other congenital thyroid disorders are warranted to ascertain the requirement of human photoreceptors for thyroid hormone.

### **Designing knowledge-based treatments**

Molecular details of transcription-regulatory networks underlying photoreceptor development, combined with high throughput genomic and genetic technologies, have facilitated the design of potential new treatment strategies for retinal degenerative diseases.

Gene discovery. Disease-causing mutations have so far been identified in only approximately 50% of patients with inherited retinal degenerative diseases, owing to their extensive clinical and genetic heterogeneity. Comprehensive transcriptome analyses of photoreceptors and the retina have led to the identification of genetic defects in retinal diseases, particularly for cases in which the chromosomal region of a disease locus has been determined. Positional cloning strategies are inadequate in families with a low number of members affected by retinal degenerative disease. The knowledge of expression during photoreceptor development is also valuable in interpreting data from exome (capturing and sequencing all exons) and whole-genome sequencing methods, which are now being used for discovering novel disease mutations<sup>139,140</sup>.

Gene	Transcription factor type	Human diseases	Mouse mutant phenotypes
CRX	Paired-type homeodomain	Autosomal dominant cone–rod dystrophy; autosomal dominant and recessive Leber's congenital amaurosis; autosomal dominant retinitis pigmentosa	Lack of outer segments in rods and cones; circadian entrainment defect; impaired bipolar cell differentiation and maintenance
NRL	Maf family basic leucine zipper	Autosomal dominant and recessive retinitis pigmentosa	Complete loss of rods; transformation of rods into S cones, with shorter outer segments than normal; enhanced S cone function; photoreceptor layer rosettes
NR2E3	Orphan nuclear receptor	Enhanced S cone syndrome; autosomal dominant retinitis pigmentosa	Appearance of rod photoreceptors expressing cone genes; photoreceptor layer rosettes; retinal degeneration
OTX2	Paired-type homeodomain	Microphthalmia (syndromic)	Loss of rods and cones and increase in amacrine-like neurons; loss of pinealocytes; impaired bipolar cell differentiation and maintenance; patterning abnormalities in the midbrain and hindbrain
RORB	Orphan nuclear receptor	None discovered	Loss of rods and over-production of S cones; lack of all outer segments; retinal disorganization; retarded induction of S opsin expression in cones; circadian behaviour abnormalities; abnormal gait
THRB	Thyroid hormone-activated nuclear receptor	Resistance to thyroid hormone, typically autosomal dominant; endocrine and neurological dysfunction; rare colour vision abnormalities	Loss of M opsin and extended distribution of S opsin in cones across the retina instead of normal bias in the ventral region; endocrine dysfunction; deafness

### Table 1 | Clinical phenotypes caused by mutations in key transcriptional regulators of photoreceptor development

CRX, cone–rod homeobox protein; NR2E3, photoreceptor-specific nuclear receptor; NRL, neural retina leucine zipper protein; THRB, thyroid hormone receptor  $\beta$ . Data are from the RetNet database (http://www.sph.uth.tmc.edu/retnet/), the OMIM database (www.ncbi.nlm.nih.gov/omim) and from references in the main text.

**Determining gene-regulatory networks.** Temporal ChIP-Seq analyses may allow identification of direct targets (the 'targetome') of the six key transcription factors and help establish regulatory networks that dictate photoreceptor morphology and function. Elucidation of such gene-regulatory networks should have a major impact on delineating the pathways of photoreceptor degeneration (BOX 1). Proteomics analysis of the developing retina<sup>141</sup> and of purified photoreceptors may also yield novel insights into regulatory pathways and provide disease-specific biomarkers.

### Gene- and small-molecule-based interventions.

Modulation of transcription factor activity can be used to control the expression of downstream targets and consequently cellular phenotypes. For example, a short 37-amino-acid residue region of NRL that is sufficient for transactivation<sup>123</sup> can be used in combination with a specific DNA-binding domain to modulate the expression of downstream genes. Agonists of NR2E3 may be useful in retinal diseases including an enhanced S cone phenotype<sup>142</sup>. Additionally, global patterns of gene expression in photoreceptors at distinct stages of differentiation and ageing can be used as a reference point to test the effects of candidate compounds for the treatment of specific degenerative diseases.

*Photoreceptor precursors and cell-based therapies.* Cell-based strategies to replace defective or dying cells are among the promising approaches for the treatment of diverse retinal degenerative diseases. Numerous studies have attempted to design stem cell-based therapies for producing new photoreceptors. The feasibility of one such approach was demonstrated by transplantation of NRL-expressing committed rod precursors tagged with green flourescent protein into wild-type and degenerating mouse retina<sup>143</sup>. The photoreceptor precursors differentiated into mature rods and formed synaptic connections with interneurons, resulting in light responsiveness. The age of the donor cells was important, as only the postmitotic committed precursors - and not the dividing cells or the mature photoreceptors - survived and were integrated effectively. Many groups have now demonstrated successful differentiation of photoreceptors from human embryonic stem cells or from induced pluripotent stem cells and their transplantation into the mouse retina<sup>144-146</sup>. However, appropriate cell surface markers will be needed for sorting and purification of photoreceptor precursors that can be used for transplantation research in humans. CD73, a recently identified marker of photoreceptor precursors147 and other cell surface markers may assist in making the cell-based therapy feasible for retinal neurodegeneration.

### Conclusions

We have summarized the current status of research on the genesis of photoreceptors, with a focus on transcription-regulatory factors that control the differentiation of rods and cones. We note that additional mechanisms (such as cellular interactions,



including those caused by mutations in rhodopsin (*Rho*), rod cGMP-specific 3',5'-cyclic phosphodiesterase subunit- $\beta$  (*Pde6b*), peripherin 2 (*Prph2*; also known as *Rds*), centrosomal protein of 290 kDa (*Cep290*) and X-linked retinitis pigmentosa GTPase regulator (*Rpgr*) (left column). We suggest that temporal profiling of changes in gene expression in mutant photoreceptors will allow the identification of a limited number of pre-apoptotic signalling molecules (middle column). Targeting terminal effectors of apoptosis (right column) for therapy may be ineffective and lead to undesirable side effects. As the photoreceptor degeneration process is associated with the remodelling of the inner retina, global gene profiling of purified rods and cones<sup>80</sup> should reduce the background noise<sup>140</sup>. Gene profiling or RNA sequencing, followed by network or pathway analysis, should lead to identification of common pre-apoptotic signals. These can then be targeted to screen small molecules to intervene in retinal degenerative diseases.

microenvironment and epigenetic modifications) also influence the final pattern of the photoreceptor mosaic<sup>27,99,100</sup>, although we are only beginning to understand how such mechanisms guide the development of the mammalian retina. Despite considerable advances during the past decade, several important questions remain. What controls the timing, number and ratios of different photoreceptor cell types? What determines the expression of only one opsin in a human cone photoreceptor? What sets the morphological and functional polarity of a photoreceptor? How is the spatial and functional relationship of rods and cones with the RPE and interneurons organized in different regions of the retina? How does a cone or a rod photoreceptor form synaptic connections with its cognate bipolar or horizontal cell? How is the photoreceptor mosaic arranged? How is cone survival linked to rod death? The answers to at least some of these questions may lie in delineating GRNs that determine the temporal sequence of events of photoreceptor development and homeostasis. Such insights will also help in

designing treatment strategies for retinal degenerative diseases, including the development of new drugs and photoreceptor replacement therapy.

Studies of ROR $\beta$ , NRL and NR2E3 in mammals have provided particularly strong support for the common photoreceptor precursor hypothesis that is based on an S cone default state. However, it is worth mentioning that a mutation of the zebrafish *Tbx2b* transcription factor gene has been associated with excess rods and a loss of cones that are sensitive to ultraviolet light<sup>148</sup>. Although fish have a more complex set of cones than mammals, it is possible that additional developmental plasticity from rods to cones may be independent of the cone to rod plasticity directed by ROR $\beta$ , NRL and NR2E3 discussed in this article.

Numerous challenges exist, yet we are confident that technological advances in genetics and highthroughput genomics will further our understanding of neurodevelopment and neurodegeneration of retinal cells and intensify the development of novel treatment paradigms.

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### Competing interests statement

The authors declare no competing financial interests.

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### FURTHER INFORMATION

Anand Swaroop's homepage: <u>http://www.nei.nih.gov/</u> intramural/nnrl.asp

Douglas Forrest's homepage: http://www2.niddk.nih.gov/ NIDDKLabs/IntramuralFaculty/ForrestDouglas.htm The Webvision website: http://webvision.med.utah.edu/ RetNet: http://www.sph.uth.tmc.edu/retnet/

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