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¹ Transient Optoelectronic Analysis of the Impact of Material ² Energetics and Recombination Kinetics on the Open-Circuit Voltage ³ of Hybrid Perovskite Solar Cells

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11 S Supporting Information

ABSTRACT: Transient optoelectronic measurements were used to evaluate the factors 12 determining the open-circuit voltage of a series of planar photovoltaic devices based on 13 hybrid perovskite layers with varying iodine/bromine ratios. Employing differential charging 14 and transient photovoltage measurements, we used a simple device model based on the 15 charge-carrier-density dependence of nongeminate recombination to re-create correctly not 16 only the measured device open-circuit voltage $(V_{\rm OC})$ as a function of light intensity but also 17 its dependence on bromine substitution. The 173 (\pm 7) mV increase in device voltage 18 observed with 20% bromine substitution is shown to result from a 227 (± 8) mV increase in 19 20 effective electronic band gap, which was offset in part by a 56 (± 5) mV voltage loss due to faster carrier recombination. The faster recombination following 20% bromine substitution 21 can be avoided by indene $-C_{60}$ bisadduct (ICBA) substitution into the [6,6]-phenyl- C_{61} -22 butyric acid methyl ester (PCBM) electron-collection layer, resulting in a further 73 (±7) 23



mV increase in device V_{OC} . These results are consistent with surface recombination losses at the perovskite/fullerene interface being the primary limitation on the V_{OC} output of bromine-substituted devices. This study thus presents, and experimentally validates, a simple model for the device physics underlying voltage generation in such perovskite-based solar cells and demonstrates that this approach can provide key insights into factors limiting this voltage output as a function of material energetics.

1. INTRODUCTION

29 Organic-inorganic lead halide perovskites are attracting 30 extensive interest for photovoltaic device applications. Rapid 31 progress has been made in device efficiencies, with several 32 recent studies reporting solar power conversion efficiencies ³³ exceeding 20% for devices based on the ABX₃ perovskite ³⁴ structure,^{1,2} with methylammonium lead halide (MAPX) being 35 the most well-known.^{3,4} Such promising device efficiencies are 36 motivating studies of the materials and device physics 37 underlying device function. One important performance 38 parameter determining photovoltaic device efficiency is the 39 open-circuit voltage, $V_{\rm OC}$. Indeed, the remarkably high $V_{\rm OC}$ 40 values achieved for MAPX-based devices, approaching to within 41 0.4 V of the MAPX optical band gap, are a key factor behind $_{42}$ their high device efficiencies.^{5–7} However, at present, there is 43 no consensus on how photovoltage generation in perovskite 44 devices depends on variations in material composition and 45 device architecture. Several studies have provided evidence that 46 the energetics of both the perovskite active layer^{8,9} and the 47 electrodes^{5,10} are the important factors determining V_{OC} . It has

also been reported that methylammonium lead iodide (MAPI) ⁴⁸ materials can exhibit remarkably long charge-carrier lifetimes in ⁴⁹ films (given their high carrier mobilities),^{11–14} although the ⁵⁰ relevance of these long carrier lifetimes to achieving high device ⁵¹ voltages is not as well-established. Herein, we report a transient ⁵² optoelectronic analysis of a series of planar junction perovskite ⁵³ solar cells. The approach assesses the impacts of both kinetics ⁵⁴ and energetics on device voltage. Employing a simple device ⁵⁵ model, we demonstrate that, by using these transient ⁵⁶ measurements, it is possible to successfully rationalize not ⁵⁷ only the absolute device open-circuit voltage $V_{\rm OC}$ but also its ⁵⁸ variation with light intensity and perovskite-layer halide ⁵⁹ substitution.

Charge extraction (CE), small-perturbation transient photo- ₆₁ current (TPC), and small-perturbation transient photovoltage ₆₂ (TPV) have been shown to be powerful in situ probes of ₆₃

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64 charge-carrier accumulation and recombination and have been 65 employed to demonstrate how changes in material energetics 66 and charge-carrier recombination dynamics impact cell voltage 67 in both organic and dye-sensitized solar cells.^{15–17} Although 68 such transient optoelectronic measurements have previously 69 been used to probe perovskite solar cells,¹⁸⁻²² the validity of 70 this approach to understanding and reconstructing perovskite 71 solar cell performance is yet to be demonstrated, due in part to 72 the presence of hysteresis phenomena in the devices studied to 73 date, which complicates both data measurement and analysis.²³ 74 The planar hybrid organic-inorganic perovskite device 75 structure utilizing the common organic interlayers poly(3,4-76 ethylenedioxythiophene):poly(styrene sulfonate) (PE-77 DOT:PSS) and [6,6]-phenyl-C₆₁-butyric acid methyl ester 78 (PCBM) is of interest to many because of the potential for low-79 cost, low-temperature solution processability with the added 80 benefit of significantly reduced hysteresis.^{24–26} This reduced 81 hysteresis, combined with a respectable photovoltaic perform-82 ance and stability, makes these planar perovskite solar cells an 83 ideal foundation for a detailed optoelectronic analysis of the 84 materials and device physics underlying photovoltage gen-85 eration in perovskite solar cells.

86 As an example system, we investigate the effect of exchanging 87 iodide bromide in planar devices made from the CH₃NH₃Pb-88 $(I_{1-x}Br_x)_3$ (MAPX) perovskite system. Bromide addition results ⁸⁹ in an increase in material band gap within a limited Br range 7-9.27-9090 and has been shown to lead to higher $V_{\rm OC}$ values.^{7-9,27-3} 91 CH₃NH₃Pb($I_{1-x}Br_x$)₃ therefore represents an example of a 92 material series where the voltage appears to be determined, at 93 least in part, by the perovskite energetics. We also investigate 94 the effect of partially substituting PCBM with indene- C_{60} 95 bisadduct (ICBA) in the electron-collection layer to raise the 96 energetics of this layer and, thereby, to investigate the role of 97 Fermi-level pinning in such bromine-substituted devices. The 98 first aim of this work was to investigate whether the transient 99 optoelectronic techniques discussed above can be used to re-100 create correctly the open-circuit voltage of a planar MAPI solar 101 cell and its dependence on light intensity, thereby testing a 102 simple kinetic model of $V_{\rm OC}$. Our second aim was to investigate ¹⁰³ whether this approach is able to quantify the contributions from 104 materials energetics and from charge recombination dynamics 105 to the observed variation in device $V_{\rm OC}$ with bromine and ICBA 106 substitution.

2. EXPERIMENTAL SECTION

2.1. Fabrication. Cells were fabricated using a previously 107 108 reported procedure.³¹ Indium tin oxide- (ITO-) coated glass 109 substrates were coated with a PEDOT:PSS layer (P VP AL 110 4083, Heraeus) at 3500 rpm for 45 s and then subjected to 111 annealing at 150 °C for 10 min. A perovskite precursor solution 112 was made by dissolving a stoichiometric ratio of 1.25 M PbI₂ and methylammonium iodide in a mixture of dimethyl sulfoxide 113 (DMSO) and γ -butyrolactone (GBL) (7:3) and stirring for 10 114 115 min. In the case when bromine was added, the ratios of PbBr₂ to methylammonium bromide and of PbI₂ to methylammo-116 nium iodide in the precursor solutions were adjusted to 0.9:0.1 117 118 and 0.8:0.2, respectively. Perovskite precursor solution was 119 coated in a nitrogen-environment glovebox by a spin-coating 120 procedure using two speeds, with toluene being dripped onto 121 the surface during the second step. This was followed by drying 122 at 100 °C post-deposition for 10 min. A [6,6]-phenyl-C₆₁-123 butyric acid methyl ester (PC₆₁BM) (Solenne) layer was 124 deposited by spin coating of an 18 mg/mL solution in

chlorobenzene at 1000 rpm for 1 min. For ICBA:PCBM layers, 125 a solution of indene $-C_{60}$ bisadduct (IC₆₁BA) (Solenne) was 126 made by dissolving the solid in chlorobenzene at a 127 concentration of 18 mg/mL. This solution was then added to 128 the PC₆₁BM solution at a ratio of 20:80, after which the mixture 129 was deposited by spin coating at 1000 rpm for 1 min. The cells 130 were contacted by sequentially evaporating a Ca layer (5 nm) 131 and an Al layer (150 nm), leaving an active area of 0.045 cm². 132 The devices were then encapsulated using a glass coverslip and 133 a UV-curable epoxy sealant (Ossila) for further testing. 134

2.2. J-V/EQE Measurements. Current density-voltage 135 (J-V) characteristics were measured using a xenon lamp at 136 AM1.5 solar illumination (Oriel Instruments) calibrated to a 137 KG5 silicon reference cell with a Keithley 2400 source meter at 138 a scan speed of 0.125 V/s.

External quantum efficiency (EQE) measurements were 140 performed using a PV Measurements QEX10 system. Spectral 141 response was measured between 300 and 850 nm in dc mode 142 with a step size of 10 nm and calibrated using a silicon reference 143 photodiode. 144

2.3. Transient Measurements. Transient photocurrent 145 (TPC) and transient photovoltage (TPV) measurements and 146 analysis were carried out as previously reported.³² To briefly 147 summarize, background illumination was provided by a ring of 148 12 white light-emitting diodes (LEDs) capable of a power of up 149 to 4 sun equivalents, calibrated to the short-circuit current 150 density (J_{SC}) as measured under simulated AM1.5 illumination. 151 Any changes in background illumination or bias conditions 152 were followed by a wait time greater than 2 s before 153 measurements commenced to avoid effects of hysteresis as a 154 result of these conditions. Although still expected, the hysteresis 155 equilibrates considerably faster than for the architecture 156 investigated.²⁴ During TPV measurements, the device was 157 held at a range of open-circuit conditions utilizing the 1 M Ω 158 input impedance of a Tektronix TDS3032 oscilloscope and 159 controlled by the background illumination. Following a small 160 optical excitation provided by a pulsed Continuum Minilite 161 Nd:YAG laser at 532 nm with a pulse width of <10 ns, the 162 resulting small-perturbation voltage transient decay, measured 163 on the oscilloscope, was fitted with a monoexponential to 164 obtain the small-perturbation carrier lifetime. The intensity of 165 the small optical excitation, which remained constant under all 166 background illumination conditions, was set to achieve a 167 voltage perturbation of less than 20 mV at 1-sun background 168 illumination to operate within the small-perturbation regime; 169 however, the perturbation also had to be large enough to be 170 distinguished at higher light intensities (as the voltage 171 deflection decreased at higher density of state population). 172 During TPC measurements, the device was held close to short- 173 circuit conditions with a 50 Ω measurement resistance. The 174 resulting current transient from the same excitation as for TPV 175 measurements was integrated to obtain an estimate of the 176 number of carriers generated by the laser excitation. A value for 177 the total charge Q at low background light intensity (<20% 178 sun) was used for differential charging analysis to avoid 179 nonlinear recombination losses at short circuit that could be 180 present at high light intensities. Key assumptions of TPC/TPV 181 measurements require the extra charge to equilibrate with the 182 device electrodes prior to recombination and generation to be 183 independent of applied field between short circuit and open 184 circuit in high-permittivity materials. Fitting of the experimental 185 data was typically done at light intensities greater than 10% of 186 1-sun equivalent background illumination; this should be within 187



Figure 1. Device performance: (a) AM1.5 J-V response and (b) EQE of ITO/PEDOT:PSS/CH₃NH₃(I_{1-x}Br_x)₃/PC₆₀BM/Ca/Al as a function of bromine composition. The increase in perovskite band gap with increasing Br content led to an increase in the device V_{OC} value. The black J-V curve corresponds to an x = 0.2 device with 20% ICBA added to the PCBM, which increased the V_{OC} value further.

Table 1. Comparison of Energy Shifts Relative to $CH_3NH_3PbI_3$ as a Function of Br Content in $CH_3NH_3Pb(I_{1-x}Br_x)_3$ Planar Cells

Br content	$\Delta V_{\rm OC}^{\ a}$ (±0.007) (V)	$\Delta E_{\rm opt}^{\ b}$ (±0.09) (eV)	$\Delta V_{\text{ele}}^{c}$ (±0.009) (V)	$\Delta V_{\mathrm{kin}}^{}d}$ (±0.005) (V)	$\Delta V_{\rm eff} \left(\Delta V_{\rm ele} + \Delta V_{\rm kin} \right) (\pm 0.010) ({ m V})$
$0 \rightarrow 0.10$	+0.026	+0.07	+0.081	-0.053	+0.028
$0 \rightarrow 0.20$	+0.173	+0.12	+0.227	-0.056	+0.171
$V_{\rm OC}$ from $J-V$	curves at 1 sun. ${}^{b}\Delta E_{opt}$ fr	com optical absorption n	neasurements (Figure S	1). ^c Effective electronic l	band-gap shift ($\Delta V_{ m ele}$) from <i>n</i> vs $V_{ m OC}$

 $V_{\rm OC}$ from j - v curves at 1 sun. $\Delta E_{\rm opt}$ from optical absorption measurements (Figure 31). Effective electronic band-gap shift ($\Delta v_{\rm ele}$) from n vs $v_{\rm OC}$ (Figure 3a) at 3.5 × 10¹⁵ cm⁻³. ^dKinetic shift ($\Delta v_{\rm kin}$) from τ vs n (Figure 3b) at 3.5 × 10¹⁵ cm⁻³.

 188 the small-perturbation regime and avoids the $V_{\rm OC}$ shunt-limited 189 regime at low light intensity.

3. RESULTS

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3.1. Device Characterization. Figure 1 shows plots of the 190 -V performance and external quantum efficiency (EQE) 191 J 192 spectra of the planar hybrid perovskite solar cells studied in this work. Devices were fabricated with the device structure ITO/ 193 194 PEDOT:PSS/CH₃NH₃Pb(I_{1-x}Br_x)₃/PC₆₁BM/Ca/Al following 195 literature procedures, as detailed in the Experimental Section. 196 Perovskite and PC₆₀BM layer thicknesses were consistently measured to be 300 and 30 nm $(\pm 5 \text{ nm})$, respectively, for all 197 devices. We focus on the range of Br contents x from 0 to 0.2; 198 199 higher levels of bromide substitution resulted in large losses of 200 device performance and reproducibility, due to the disruption 201 of the crystal structure and probable light-induced halide 202 segregation. This light-induced halide segregation, which has been well-documented in films, remains largely unstudied in 203 devices.^{9,33-36} Although not observed in the range of 204 compositions studied, we believe that the methods demon-2.05 strated herein would be well-suited for further study of these 206 phenomena. Cells were measured under simulated AM1.5 207 illumination and exhibited negligible hysteresis over the scan 208 speeds and temperatures employed (see Figure S2 in the 209 210 Supporting Information). Box plots of the device-to-device performance variability can also be found in the Supporting 211 212 Information (Figure S3). Devices employed for the transient 213 studies reported herein showed device efficiencies ranging from 214 8.3% ($\pm 0.2\%$) for the CH₃NH₃PbI₃ device to 10.7% ($\pm 0.2\%$) 215 for the $CH_3NH_3Pb(I_{0.8}Br_{0.2})_3$ device, slightly lower than the 216 initial device performance following fabrication (as reported in 217 Figure S3) due to initial degradation or burn-in. This decrease

is primarily associated with lower current density, with $V_{\rm OC}$ ²¹⁸ remaining largely stable; further degradation over the course of 219 the measurements was not observed. Although the device 220 performance reported is lower than the top efficiencies 221 reported for this architecture, the associated trends, particularly 222 in $V_{\rm OC}$, as is the focus herein, with the range of Br contents 223 studied are consistent with the results of Noh et al. and other 224 higher-performing examples.^{8,9,27} All devices showed good 225 linearity of J_{SC} as a function of light intensity (Figure S4), 226 suggesting that nonlinear recombination processes are not a 227 significant limitation at short circuit because of good charge 228 collection. This study focused instead on the impact of 229 recombination losses on $V_{\rm OC}$. It is apparent that substitution 230 of 20% bromide into the material resulted in an increase in $V_{
m OC}$ 231 from 0.836 (\pm 0.005) V to 1.009 (\pm 0.005) V (Figure 1a), 232 correlated with an increase in the optical band gap from 1.56 233 (± 0.09) eV (795 nm) to 1.68 (± 0.09) eV (738 nm) 234 (determined from the optical absorbance onset), as is apparent 235 from the EQE spectrum (Figure 1b) and the optical absorbance 236 spectrum (Figure S1). It is of particular note that the change in 237 $V_{\rm OC}$ (173 mV) was almost 50% larger than the change in 238 optical band gap (120 meV), as detailed in Table 1, clearly 239 tl indicating that measurements of the optical band gap alone are 240 not a quantitative indicator of changes in cell voltage. A further 241 73 (\pm 7) mV increase in voltage was observed for 20% bromide 242 with the substitution of 20% ICBA into the PCBM electron 243 layer, as discussed further below. In this study, we combined 244 differential charging as a measure of electronic, rather than 245 optical, band gap with transient photovoltage measurements of 246 carrier lifetime to obtain a quantitative understanding of device 247 $V_{\rm OC}$. 248



Figure 2. Transient voltage and capacitance response: (a) Decay of the transient photovoltage over a range of light intensities between darkness and 1 sun for a pure MAPI planar hybrid perovskite. (b) Differential capacitance measured from transient photocurrent and transient photovoltage as a function of the open-circuit voltage (V_{OC}) over a range of background light intensities from 0 to 5 sun equivalents.

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3.2. Optoelectronic Measurements. The kinetic ap-249 proach applied herein to analyze $V_{\rm OC}$ is based on the balance 250 between carrier generation, $J_{gen}(V)$, and recombination 251 252 currents, $J_{loss}(V)$. At V_{OC} under steady-state conditions, no external current flows; therefore, the generation and recombi-253 nation currents must be equal: $J_{gen}(V_{OC}) = J_{loss}(V_{OC})$. The size 254 of $V_{\rm OC}$ can therefore be understood if both the generation 255 current and the recombination current can be measured. We 256 assumed carrier generation efficiency to be voltage-independent 257 between short circuit and open circuit for high-permittivity 258 perovskite materials. If recombination losses at short circuit are 2.59 260 low because of sufficiently fast carrier extraction, as supported $_{261}$ for the cells herein by observation of a linear dependence of J_{SC} 262 on light intensity (Figure S4), we can use $J_{gen}(V) = J_{gen} = J_{SC}$ for each light intensity employed. 263

Small-perturbation transient photovoltage (TPV) decays 264 265 were employed as an assay of charge-carrier recombination; 266 typical transients are shown in Figure 2a for CH₃NH₃PbI₃ device as a function of background light intensity. In all cases, 267 the decays could be reasonably fitted by single-exponential 268 decays (Figure S10), consistent with the small-perturbation 269 limit employed in these studies. We note that this situation 270 contrasts with previous TPV analyses of perovskite devices 271 employing mesoporous titania electron-collection layers, which 272 exhibited more complex biexponential decays, associated with 273 the hysteresis phenomena observed in these devices. $^{18,22}\ \mathrm{It}$ is 274 also apparent that the time scale of the TPV decays observed 275 for the CH₃NH₃PbI₃ device studied herein varies by several 276 orders of magnitude with light intensity, with exponential decay 277 times ranging from 1.60 ms at 9 \times 10⁻⁵ sun equivalent 278 (corresponding to $V_{\rm OC}$ = 0.429 V) to 0.59 μ s at 1 sun 279 (corresponding to V_{OC} = 0.813 V). This strong dependence is 280 indicative of charge recombination in these devices accelerating 281 strongly as the charge density in the device is increased under 282 stronger irradiation. Such a dependence is at least qualitatively 283 consistent with transient optical studies of excitation-intensity-284 dependent bimolecular recombination in CH₃NH₃PbI₃ films. 285 We now turn to an analysis of the charge density in the 286 287 device at open-circuit voltage over a range of light intensities 288 relevant for typical device operation. To perform this analysis, 289 we use the method of differential charging (DC), a

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combination of transient photocurrent (TPC) and transient 290 photovoltage (TPV) measurements.^{15,18} The differential 291 capacitance $C_{\rm DC}$ is calculated at each $V_{\rm OC}$ value as 292

$$C_{\rm DC} = \frac{\Delta Q}{\Delta V_0(V_{\rm OC})} \tag{1}_{293}$$

where ΔQ is the short-circuit photogenerated charge from the 294 small-perturbation laser pulse, measured from the TPC, and 295 ΔV_0 is the corresponding initial open-circuit voltage deflection 296 during TPV. We note that charge extraction, a widely used 297 alternative method for determining charge density, gave 298 unreasonably large charge densities, as has been noted 299 previously,¹⁸ and so was not used in this study (see the 300 Supporting Information for a discussion of this point). 301 Crucially, DC provides a small-perturbation measurement 302 that does not involve a large switch in internal field during 303 the measurements; rather, measurements at short circuit and 304 open circuit are undertaken independently under steady-state 305 conditions after any significant hysteresis or polarization. 306

The differential capacitance for a representative 307 CH₃NH₃PbI₃ planar device can be seen in Figure 2b. It is 308 apparent that this capacitance exhibits two regimes depending 309 on the size of the quasi-Fermi-level splitting of $V_{\rm OC}$ (controlled 310 by the background light intensity). At low light levels, the 311 differential capacitance is independent of voltage and has a 312 value of 55.5 nF cm⁻². This capacitance is in reasonable 313 agreement with the geometric capacitance of the device 314 electrodes, which is expected to dominate at low quasi-Fermi- 315 level splitting, corresponding to an effective relative dielectric 316 constant for MAPI of 19, consistent with values reported by 317 others for this type of device.^{38,39} This capacitance is therefore 318 assigned to charging of the device electrodes, with electrode 319 charge constituting a significant fraction of charge accumulation 320 at low light levels. At an equivalent light intensity of 0.3% of 1 321 sun, there is a transition to the differential capacitance varying 322 approximately exponentially with voltage, with a slope in the 323 range of (0.2-0.33)kT. This can be primarily assigned to the 324 chemical capacitance of the active layer, corresponding to the 325 charge stored in the perovskite photoactive layer as the quasi- 326 Fermi levels approach the semiconductor conduction- and 327



Figure 3. Optoelectronic characterization: (a) Active-layer charge-carrier density (n_{AL}) as a function of quasi-Fermi-level splitting (V_{OC}) . (b) Average charge-carrier lifetime (τ) as a function of n_{AL} for CH₃NH₃Pb($I_{1-x}Br_x$)₃ cells with Br contents of x = 0, 0.1, and 0.2. The same approximate range of light intensities, between 0.1 and 3 sun equivalents, was used for each material system. The results for the use of 20% ICBA in PCBM for the x = 0.2 device is shown with black squares. Gray dashed lines represent fits according to (a) eq 2 and (b) eq 3.

328 valence-band edges, supported by our studies as a function of 329 bromide substitution, as discussed below.

The total excess charge Q stored in the device at open circuit 330 $(V_{\rm OC})$ can be calculated by integration of the differential 331 capacitance with $V_{\rm OC}$ ¹⁵ Using this integration, we found the 332 total excess charge present in the device under 1-sun conditions 333 at open circuit to be 70 nC cm⁻² (shown in Figure S8), with 334 approximately 45% stored in the perovskite layer (correspond-335 ing to a charge density of 6.6×10^{15} cm⁻³) and the remainder 336 337 on the device electrodes.

We note that the decay dynamics of TPC transients used to 338 $_{339}$ determine $C_{\rm DC}$ exhibited decay times similar to, and in some cases slower than, the TPV transients at similar light intensities 340 (Figure S9), unusual for a material system known for high fill 341 factors (FFs) and efficient collection at short circuit. These 342 slower TPC decay dynamics appear to be RC-limited, 343 associated in particular with slow transport dynamics in the 344 345 PCBM electron-collection layer. The RC time constant for the 346 MAPI device studied above is 0.8 μ s, determined from the electrode capacitance of 55.5 nF cm⁻² measured above and the 347 measured device series resistance of 150 Ω , in good agreement 348 349 with our measured TPC decay kinetics (see Figure S9). (This RC limitation does not impede the validity of our differential 350 capacitance analysis, as discussed in the Supporting Informa-351 tion.) The origin of this relatively slow RC time constant was 352 investigated by varying the PCBM layer thickness (see Figure 353 S6) and using the TPV rise time as a transport measurement 354 that avoids the RC limitations.⁴⁰ At low irradiance conditions, 355 where the electrode capacitance dominates over the active-layer 356 capacitance and, therefore, the generation of cell voltage 357 requires electron transport through the PCBM to the metal top 358 electrode, the photovoltage rise time was observed to increase 359 from being instrument-response-limited (190 ns) for a 40-nm 360 361 PCBM layer to 250 ns for a 150-nm-thick layer, correlated with 362 an increase in sheet resistance (R_s) from 55 to 150 Ω . Using the voltage drop across the low-dielectric PCBM ($V_{PCBM} = V_{bi}C_{tot}$ / 363 364 C_{PCBM} , where V_{bi} is the built-in voltage, C_{tot} is the total 365 capacitance, and C_{PCBM} is the capacitance of PCBM), a drift $_{366}$ carrier mobility of 9.7×10^{-4} cm² V⁻¹ s⁻¹ can be estimated (see 367 Supporting Information), consistent with literature data,^{41,42}

and significantly lower than the high reported mobilities in $_{368}$ MAPI (1 cm² V⁻¹ s⁻¹).¹¹ As well, the *J*–*V* curve for the thick $_{369}$ PCBM layers (Figure S7) shows a reduced fill factor and the $_{370}$ presence of an S-shape, consistent with a buildup of space $_{371}$ charge due to the restriction of carriers out of the device.⁴³ $_{372}$ Both results are indicative of the charge extraction from the $_{373}$ device (and therefore the cell resistance) being limited by the $_{374}$ PCBM layer.

3.3. Energetics and Recombination Kinetics. We now 376 turn to a consideration of the impact of bromide substitution 377 on the charge accumulation and recombination dynamics and, 378 thereby, on the cell voltage in the planar perovskite cells studied 379 herein. We focus on the high-light-level regime (10-300% of 1 380 sun) most relevant to practical device operation, where, for all 381 devices, the active-layer chemical capacitance was determined 382 to dominate over the electrode capacitance. Figure 3a shows 383 f3 the total average excess charge density in the active layer, n_{AL} , at 384 open circuit as calculated from differential charging for cells 385 with varying bromine contents. In comparison to the values for 386 typical organic photovoltaic (OPV) devices,^{32,44} n_{AL} was 387 observed to be lower for the perovskite devices studied herein, 388 consistent with the faster bimolecular recombination kinetics 389 limiting charge accumulation in the active layer of the 390 perovskite devices at open circuit. At low light levels, all 391 devices exhibited similar dependencies of the total accumulated 392 charge Q on $V_{\rm OC}$, consistent with this charge being associated 393 with the electrode capacitance, independent of the active-layer 394 energetics (see Figure S12). At higher light levels, for all 395 devices, the charge started to increase exponentially with $V_{\rm OC}$, 396 assigned as above to increasing charge accumulation in the 397 active layer of the device. Bromide substitution was observed to 398 result in an increase in the voltage at which this active-layer 399 charge accumulation started to dominate over electrode charge. 400 This is consistent with the increase in perovskite band gap with 401 bromide substitution, as indicated by the optical measurements 402 above, and confirms our assignment of this exponentially 403 increasing charge to active-layer charge. 404

More quantitatively, $n_{\rm AL}$ was well fitted to the exponential 405 function 406



Figure 4. Light-intensity-dependent V_{OC} and ideality factor: (a) Measured (crosses) and reconstructed (circles) open-circuit voltage values as a function of illumination intensity for CH₃NH₃Pb(I_{1-x}Br_x)₃ cells with Br contents of x = 0, 0.1, and 0.2. (b) Light ideality factor as a function of light intensity, calculated from the slope of V_{OC} versus light intensity. The effect of adding 20% ICBA to the PCBM is shown in black.

$$n_{\rm AL} = n_0 \, \exp\!\left(\frac{qV_{\rm OC}}{mk_{\rm B}T}\right) \tag{2}$$

40

408 where n_0 and m are experimentally derived constants. m409 specifies how the slope of $n(V_{OC})$ deviates from the thermal 410 voltage kT/q. Values of *m* for the cells studied here were in the 411 range of 3-5, decreasing slightly with increasing bromide 412 content. Although in certain situations m can be used as a 413 measure of the shape of the density of states, it can be 414 influenced by factors such as doping or surface recombination as a result of spatially inhomogeneous charge distributions.⁴⁵ If 415 416 we assume the simple relation $n \propto \exp(qV/2E_{\rm ch})$, where $E_{\rm ch}$ is 417 the characteristic energy (see ref 45 for more details), to be 418 valid for intrinsic semiconductors, slopes in the range 3 < m < 5419 suggest a characteristic energy for the density of states of 37.5-420 62.5 meV. The shift of n_{AL} with bromide substitution allows for 421 the quantification of the increase in the effective electronic band 422 gap with increasing bromide concentration. We note that the 423 term "effective electronic band gap" refers to the onset of 424 charge accumulation as a function of quasi-Fermi-level splitting; 425 this might differ from the threshold for photon absorption 426 characterized by the optical band gap due to the presence of 427 optically dark states and polaronic or excitonic effects. Taking 3.5×10^{15} cm⁻³ as a representative charge density, we conclude 428 429 that 10% bromide substitution results in an 81 ± 9 meV increase in this effective electronic band gap and 20% 430 substitution results in a 227 \pm 9 meV increase. We note that 431 432 these increases in effective electronic band gap with bromine substitution are larger than the increases in optical band gap 433 discussed above (see Table 1 for a comparison). 434

435 Before considering the impact of this increased effective 436 electronic band gap on V_{OC} , we address the impact of bromide 437 substitution on the charge-carrier lifetimes. TPV transients 438 analogous to those shown in Figure 2a were collected as a 439 function of bromide substitution. These TPV transients were 440 fitted using a monoexponential from which the small-441 perturbation carrier lifetime $\tau_{\Delta n}$ was determined. $\tau_{\Delta n}$ can be 442 related to the pseudo-first-order carrier lifetime $\tau_n = \delta \tau_{\Delta n}$, an 443 average of all potential recombination mechanisms across the 444 entire device, where δ is the overall recombination order with respect to *n* as defined by $J_{loss} = kn^{\delta}$, where *k* is a rate coefficient 445 independent of *n*. The dependence of carrier lifetime on carrier 446 density is plotted in Figure 3b. It is apparent that the carrier 447 lifetime exhibits a power-law dependence on charge density, as 448 expected for a bimolecular charge recombination process of the 449 form 450

$$\tau_n = \tau_{n_0} \left(\frac{n}{n_0}\right)^{(1-\delta)}$$
(3) 451

where n_0 was defined above and τ_{n_0} is an experimentally derived $_{452}$ constant from the voltage dependence of τ_n . Further discussion $_{453}$ and derivations can be found in the Supporting Information $_{454}$ and elsewhere. 17,32,44,46 This pseudo-first-order carrier lifetime $_{455}$ for all three compositions is seen to have an approximate n^{-2} (δ $_{456} \approx 3$) dependence on active-layer charge-carrier density, over $_{457}$ the range of *n* values studied. Further interpretation of this $_{458}$ order would be unwise without further knowledge of doping $_{459}$ densities and the spatial distribution of charges; however, in an $_{460}$ undoped and sufficiently thick device, it would be compatible $_{461}$ with recombination through trap states. $_{46}^{46}$ We note that this $_{462}$ bimolecular recombination processes.

When comparing the recombination dynamics of material 465 systems with different energetic profiles, it is important to 466 address how lifetime varies with carrier density, rather than cell 467 voltage. It is apparent from Figure 3b that, for matched carrier 468 densities, the recombination lifetime was shortened by addition 469 of Br to the perovskite structure. This acceleration of charge 470 recombination losses with bromine substitution was also 471 apparent from approximately 2-fold lower accumulated charge 472 densities observed for the bromine-substituted devices for 473 matched light intensities (see Figure 3a). Again, taking 3.5×474 10¹⁵ cm⁻³ as a representative charge density, one can see from 475 Figure 3b a shortening of the lifetime from 4.08 (± 0.23) μ s in 476 CH₃NH₃PbI₃ to 0.80 (\pm 0.08) μ s at 10% bromide content and 477 0.73 (\pm 0.04) μ s at 20% bromide content, indicating a 5-fold 478 reduction in carrier lifetime following bromine substitution, 479 calculated to result in a 56 (± 5) mV loss of cell voltage (see the 480 Supporting Information for calculation details). This shortening 481



Figure 5. Energy diagrams: (a,c) $CH_3NH_3PbI_3$ and (b,d), $CH_3NH_3Pb(I_{0.8}Br_{0.2})_3$. (a,b) Low light intensity, where charges are mostly on the electrodes. (c,d) Light intensity closer to 1 sun, where charges begin to build up in the active layer. Even though panel d shows a greater voltage than panel c because of the increase in band gap, faster recombination leads to less charge in the active layer and quasi-Fermi levels that are not able to approach the band edges as much.

⁴⁸² of carrier lifetime tends to decrease the photovoltage for a given ⁴⁸³ J_{gen} value, somewhat opposing the shift in the density of states ⁴⁸⁴ to higher energies with increasing Br content, as seen in Figure ⁴⁸⁵ 3a.

3.4. V_{OC} **Reconstruction and Device Ideality.** If this description of in situ energetics and recombination kinetics is accurate, it should be possible to reconstruct the V_{OC} of the measured devices over the range of light intensities studied. As discussed above, at open circuit, the recombination loss current $J_{1oss} = (q \ dn)/\tau_n$ must be equal to the generation current J_{gen} , assumed to equal J_{SC} . From eqs 2 and 3, it is possible to obtain a simple expression for V_{OC} as

$$V_{\rm OC}^{\rm calc} = \frac{mk_{\rm B}T}{q\delta} \ln \left(\frac{J_{\rm SC}\tau_{n_0}}{q \, \mathrm{d}n_0} \right) \tag{4}$$

⁴⁹⁵ where all of the terms were obtained directly from our ⁴⁹⁶ measured TPV decays and DC data and J_{SC} was measured ⁴⁹⁷ under the same irradiation conditions at short circuit. Figure 4a ⁴⁹⁸ shows the resulting calculated device open-circuit voltages V_{OC}^{calc} ⁴⁹⁹ determined using eq 4 overlaid on the directly measured

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f4

voltages $V_{\rm OC}$. It is apparent that there is excellent agreement 500 (±5 mV) between our calculated and measured values for $V_{\rm OC}$. 501 Thus, our simple device model and transient optoelectronic 502 analyses are able to yield not only the correct absolute open-503 circuit voltage for these devices but also the variation of the 504 voltage with light intensity and bromine substitution. The 505 excellent correlation between the calculated and measured $V_{\rm OC}$ 506 values over such a range of light intensities, reflecting real-life 507 operating conditions, demonstrates the applicability of the 508 discussed optoelectronic techniques in the study of perovskite 509 photovoltaics. This agreement, along with the data in Table 1, 510 furthermore demonstrates how energetic changes and kinetic 511 changes combine to give the observed device voltage. 512

Finally, Figure 4b shows the light ideality factor, as calculated 513 from the derivative of the voltage as a function of light intensity, 514 $n_{\rm id} = (q \ dV_{\rm OC})/\{kT[d \ \ln(\phi)]\}^{47}$ A value of 1 is nominally 515 assigned to the recombination of free charges or to 516 recombination at the semiconductor electrode interfaces, 517 whereas a value of 2 is assigned to the recombination of free 518 charge with a trap state toward midgap.⁴⁸ The observed values 519

s20 for $n_{\rm id}$ for the devices studied herein are in the range of 1–1.5, s21 that is, lower than the values of $n_{\rm id} \approx 2$ commonly reported in s22 the literature.^{3,49,50} The open-circuit voltages obtained in our s23 devices are substantially lower than the radiative open-circuit s24 voltage of MAPI, which is about 1.33 V,^{51,52} indicating that s25 radiative free-carrier recombination, one possible source of $n_{\rm id} =$ s26 1, is not dominant in our devices. Figure 4b shows the $n_{\rm id}$ s27 decreased as the Br content was increased. In the case of 20% s28 Br, a clear reduction in $n_{\rm id}$ with increasing light intensity can be s29 seen. This is a common observation for devices in surface s30 recombination becomes a dominant loss pathway, resulting in s31 increased Fermi-level pinning as $V_{\rm OC}$ approaches the built-in s32 voltage $V_{\rm bi}$.^{43,47}

To further investigate the potential for surface recombination 533 s34 at the perovskite/fullerene interface to limit $V_{\rm OC}$ of the 535 bromine-substituted devices, devices with 20% Br content were 536 fabricated with the PCBM layer containing 20% ICBA. ICBA 537 has a higher-lying lowest unoccupied molecular orbital 538 (LUMO) energy than PCBM and, therefore, has the potential 539 to reduce the energy offset between the perovskite and 540 fullerene layers. An ICBA content of 20% was the best-541 performing PCBM/ICBA ratio and was chosen to emphasize 542 the improvement in $V_{\rm OC}$, and reduction in $V_{\rm OC}$ pinning, that 543 can be achieved through a simple modification of the interlayer. 544 The J-V performance in Figure 1a shows a further increase in 545 device $V_{\rm OC}$ of 73 (±7) mV to 1.082 (±0.005) V. The 546 corresponding energetic and kinetic data are shown in Figure 3. 547 The change in voltage with inclusion of ICBA primarily resulted in a suppression of the faster carrier lifetimes (Figure 548 549 3b), observed for the Br-containing perovskites, returning the 550 measured lifetimes to values comparable to those of the pure 551 MAPI/PCBM system. A small decrease in the charge density at 552 a given $V_{\rm OC}$ was also observed (Figure 3a), consistent with a 553 higher fullerene LUMO level, alongside an increase in device 554 ideality factor, consistent with a reduction in surface 555 recombination losses (Figure 4b). Although a full analysis of 556 the effects of ICBA substitution on device performance is 557 beyond the scope of this work, these results are also indicative 558 of the $V_{\rm OC}$ of bromine-substituted devices being increasingly 559 limited by higher surface recombination losses due to the larger 560 perovskite/fullerene LUMO level offset, with this effect being 561 most prominent at high light intensities.

4. DISCUSSION

562 We have reported above a combined differential charging and 563 photovoltage transient optoelectronic analysis of the open-564 circuit voltage of planar organic/inorganic hybrid perovskite 565 solar cells as a function of light intensity and bromine 566 substitution. Using these data, a simple device model can 567 correctly predict (to with ± 5 mV) both the absolute device 568 open-circuit voltage and its dependence on light intensity and 569 bromine substitution without any fitting parameters. This 570 excellent agreement provides strong evidence for the validity of 571 both this simple model and this experimental approach for the 572 analysis of photovoltage generation in such devices. We first discuss the general implications of this agreement, before going 573 on to discuss in detail the implications for the effect of bromine 574 575 substitution.

At low light levels, the measured charge density in the device was found to be in good agreement with that estimated by treating the device as a simple parallel-plate capacitor with charge accumulating primarily on the device electrodes. At higher light levels, the cell capacitance starts to increase

exponentially with applied potential, indicative of the increasing 581 dominance of an "electronic chemical capacitance", correspond- 582 ing to increasing charge accumulation in the active layer(s) (or, 583 more specifically, the intrinsic or not strongly doped materials) 584 of the device, as illustrated in Figure 5a,c. This chemical 585 f5 capacitance shifts with bromine substitution, consistent with at 586 least one photogenerated charge carrier accumulating in the 587 perovskite layer of the device at open-circuit and 1-sun 588 conditions. We note that, from the data herein, it is not 589 possible to rule out that one of the charge carriers contributing 590 to this chemical capacitance is accumulating in an interlayer 591 (i.e., holes on the PEDOT:PSS layer or electrons on the 592 PCBM). This contrasts with photoluminescence quenching 593 data, typically obtained at low light irradiances, which shows 594 strong photoluminescence quenching upon the addition of 595 either PEDOT:PSS or PCBM hole- and electron-collection 596 layers.13 597

The chemical capacitance of the devices studied here was 598 observed to increase exponentially with open-circuit voltage, 599 with an exponent of q/mkT, where m = 3-5. This deviates 600 from the ideal behavior (m = 2) of an intrinsic semiconductor, 601 indicative of a significant tail of intraband gap or shallow trap 602 states, likely resulting from the presence of other (organic) 603 semiconducting layers. We note that this characteristic energy 604 for the tail of electronic states is larger than the observed 605 Urbach tail from optical measurements of perovskite films.^{53,54} 606 This distinction between optical and electronic measurements 607 of densities of states can also be observed in the difference we 608 observed between the increases in optical and effective 609 electronic band gaps with bromine substitution (see Table 1), 610 although a full understanding of this point, and the potential 611 impact of energetic alignment shifts at the device interfaces, is 612 beyond the scope of this study. 613

The charge-carrier lifetimes measured for the devices studied 614 herein were observed to be strongly dependent on light 615 intensity and charge-carrier density, as seen from an overall 616 order of recombination of $\delta > 3$. Lifetimes were in the range of 617 ~50 ns under 3-sun irradiation to 80 μ s under 0.01-sun 618 irradiation (Figure 2a). The large retardation in lifetime with 619 decreased light irradiation can be attributed, in part, to a 620 proportionally increased charge localization on the device 621 electrodes compared to the active layer, resulting in a more 622 effective spatial separation of the photogenerated charges. At 623 higher light levels, the lifetime is likely to be dominated by the 624 recombination of charge carriers in the perovskite layer. 625 Although a full analysis of this light- and charge-density- 626 dependent behavior is beyond the scope of this work, the high- 627 light-level data, where the active-layer charge dominates, 628 indicates a charge-density-dependent bimolecular recombina- 629 tion rate coefficient (Figure S13) in the range from 1×10^{-10} to 630 3×10^{-10} cm³ s⁻¹ at light intensities in the range from 0.5 to 3 ₆₃₁ suns, reasonably consistent with previous observations from 632 transient optical and terahertz transient photoconductivity 633 measurements of MAPI layers alone.^{12,37} 634

Twenty percent bromine substitution was observed to result 635 in a 173 (\pm 7) mV increase in device $V_{\rm OC}$ relative to that of the 636 pure MAPI device. This increase in cell voltage was found to 637 result primarily from a 227 (\pm 9) mV increase in active-layer 638 effective electronic band gap as determined from the shift in 639 carrier density. This increase in perovskite electronic band gap 640 was partly offset by a 5-fold acceleration of recombination 641 kinetics (measured at matched charge densities), calculated to 642 result in a 56 (\pm 5) mV loss of cell voltage, resulting in a 643 644 calculated net voltage increase of 171 (\pm 10) mV, in excellent 645 agreement with experimental observations. In other words, the 646 acceleration of recombination losses with bromine substitution 647 prevented the device quasi-Fermi levels from approaching the 648 band edges as closely as would be obtained for the pure MAPI 649 device, as illustrated in Figure 5c,d. The shift in chemical 650 capacitance with bromine substitution confirms the assignment 651 of this chemical capacitance to one or both charge carriers 652 accumulating in the perovskite layer.

Regarding the origin of the acceleration of recombination 653 654 losses with bromine substitution, it appears consistent with that 655 reported previously for the formamidinium lead mixed-halide 656 system FAPb($Br_x I_{1-x}$)₃.³⁶ This was attributed to an increase in 657 disorder as a result of the disruption of material crystallinity⁹ or change in direct recombination as a result of varying band 658 a 659 edges. It might also result from changes in morphology possible 660 for the different Br compositions, although scanning electron 661 microscopy (SEM) images showed little change over the Br 662 range studied (Figure S14). If the perovskite/PCBM interface 663 is important, interpenetration of the PCBM into the perovskite 664 through grain boundaries might also influence the recombina-665 tion.⁵⁵ A likely additional loss mechanism for full devices is 666 surface recombination, which is expected to increase as the 667 energetic offset between perovskite bands and both the PCBM 668 and PEDOT:PSS interlayers increases.³⁰ The increase in 669 CH₃NH₃Pb($I_{1-x}Br_x$)₃ effective electronic band gap with 670 bromine substitution is accompanied by a decrease in the 671 ideality factor $n_{\rm id}$, which could correlate with decreasing *m* value 672 in Figure 3a as δ remains constant.^{43,45,47} Studies of organic 673 solar cells have reported analogous changes in ideality in the 674 presence of a dominant surface recombination process. 43,56 The 675 inclusion of 20% ICBA in the PCBM layer appears to reduce 676 the impact of recombination at the perovskite/PCBM interface, 677 showing an increase in n_{id} (and m), with the ability to harness 678 the potential voltage gain from the increase perovskite band 679 gap. Because of a higher-lying LUMO in ICBA, the energetic 680 position of the electron quasi-Fermi level generated in the 681 perovskite can be accommodated at a lower fullerene electron 682 population, resulting in reduced recombination at this interface. 683 These observations strongly suggest that optimization of 684 interlayers and electrodes might be required to achieve the 685 highest efficiencies possible from this particular architecture, 686 especially with mixed-halide perovskites.

5. CONCLUSIONS

687 In conclusion, the substitution of iodide with bromide in $_{688}$ CH₃NH₃Pb(I_{1-x}Br_x)₃ top-cathode planar hybrid perovskite 689 photovoltaics is shown to be an ideal system for demonstrating 690 the applicability of transient optoelectronic techniques for 691 probing the factors influencing the open-circuit voltage of these 692 devices. Differential charging measurements provide insight 693 into the internal energetics and relative charge accumulation, 694 whereas transient photovoltage measurements provide in-695 formation on the kinetics of charge recombination. An increase 696 in $V_{\rm OC}$ for higher Br loadings is attributed to an increase in 697 energetics associated with the increase in perovskite band gap; 698 however, this is somewhat restricted by faster recombination, 699 likely due to increased surface recombination as a result of 700 poorer electrode/interlayer alignment with the perovskite 701 active layer. Remarkably, the measured lifetimes for these 702 devices are not dissimilar from those measured for common 703 organic bulk heterojunction devices. Although lifetimes in 704 OPVs benefit from charges residing on different molecules,

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despite the energetic price in LUMO offset, perovskites appear 705 to have the ability to efficiently separate charge, giving long 706 carrier lifetimes compared to what might be expected from the 707 high mobility. Finally, the excellent correlation between 708 calculated and measured $V_{\rm OC}$ values demonstrates the 709 applicability of these optoelectronic techniques to the 710 description of device performance over a range of light 711 intensities relevant for standard operation. It is hoped the 712 transient optoelectronic methodology presented herein can be 713 used to further understand the origin of changes in 714 recombination in perovskite devices and light-induced band 715 gap pinning in future studies.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the 719 ACS Publications website at DOI: 10.1021/acs.jpcc.7b02411. 720

Optical absorbance spectra of $CH_3NH_3Pb(I_{1-x}Br_x)_3$, 721 hysteresis effect on J-V curves, J-V parameter box 722 plots, linearity of J_{SC} with light intensity, charge 723 extraction/differential charging comparison, TPV rise 724 times, PCBM mobility calculations, J-V curves as a 725 function of PCBM thickness, plot of Q vs V_{OC} , examples 726 of TPV and TPC transients, single-exponential TPV 727 fitting example, small-perturbation lifetime $\tau_{\Delta n}$ as a 728 function of $V_{\rm OC}$, differential capacitance and Q as 729 functions of Br content, bimolecular recombination rate 730 constant as a function of active-layer charge-carrier 731 density, SEM images of $CH_3NH_3Pb(I_{1-r}Br_r)_3$ perovskite 732 films, calculation of total carrier lifetime, calculation of 733 kinetic voltage change, derivation of eq 4, and DC/TPV 734 model fitting parameters (PDF) 735

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