

TRUESET: Faster Verifiable Set Computations

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TRUESET: Faster Verifiable Set Computations*

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Abstract

Verifiable computation (VC) enables thin clients to efficiently verify the computational results produced by a powerful server. Although VC was initially considered to be mainly of theoretical interest, over the last two years impressive progress has been made on implementing VC. Specifically, we now have open-source implementations of VC systems that handle *all* classes of computations expressed either as circuits or in the RAM model. Despite this very encouraging progress, new enhancements in the design and implementation of VC protocols are required to achieve truly practical VC for real-world applications.

In this work, we show that for functions that can be expressed efficiently in terms of set operations (e.g., a subset of SQL queries) VC can be enhanced to become drastically more practical: We present the design and prototype implementation of a novel VC scheme that achieves orders of magnitude speed-up in comparison with the state of the art. Specifically, we build and evaluate TRUESET, a system that can verifiably compute any polynomial-time function expressed as a circuit consisting of "set gates" such as union, intersection, difference and set cardinality. Moreover, TRUESET supports hybrid circuits consisting of both set gates and traditional arithmetic gates. Therefore, it does not lose any of the expressiveness of previous schemes-this also allows the user to choose the most efficient way to represent different parts of a computation. By expressing set computations as polynomial operations and introducing a novel Quadratic Polynomial Program technique, our experiments show that TRUESET achieves prover performance speed-up ranging from 30x to 150x and up to 97% evaluation key size reduction compared to the state-of-the-art.

1 Introduction

Verifiable Computation (VC) is a cryptographic protocol that allows a client to outsource expensive computation tasks to a worker (e.g., a cloud server), such that the client can verify the result of the computation in less time than that required to perform the computation itself. Cryptographic approaches for VC [5, 6, 7, 12, 13, 14, 21] are attractive in that they require no special trusted hardware or software on the server, and can ensure security against arbitrarily malicious server behavior, including software/hardware bugs, misconfigurations, malicious insiders, and physical attacks.

Due to its various applications such as secure cloud computing, the research community has recently made impressive progress on Verifiable Computation, both on the theoretical and practical fronts. In particular, several recent works [2, 3, 9, 23, 25, 26, 29] have implemented Verifiable Computation for general computation tasks, and demonstrated promising evidence of its efficiency. Despite this encouraging progress, performance improvement of orders of magnitude is still required (especially on the time that the server takes to compute the proof) for cryptographic VC to become truly practical.

Existing systems for Verifiable Computation are built to accommodate any language in NP: Specifically, functions/programs are represented as either circuits (Boolean or arithmetic) or sets of constraints and cryptographic operations are run on these representations. While such an approach allows us to express any polynomial-time computation, it is often not the most efficient way to represent common computation tasks encountered in practice. For example, Parno et al. [23] point out that the behavior of their construction deteriorates abruptly for functionalities that have "bad" arithmetic circuit representation and Braun et al. [9] recognize that their scheme is not quite ready for practical use, restricting their evaluations to "smaller scales than would occur in real applications."

In order to reduce the practical cost of Verifiable Computation, we design and build TRUESET. TRUESET is an efficient and *provably secure* VC system that specializes in handling *set-centric* computation tasks. It allows us to

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model computation as a *set circuit*—a circuit consisting of a combination of set operators (such as intersection, union, difference and sum), instead of just arithmetic operations (such as addition and multiplication in a finite field). For computation tasks that can be naturally expressed in terms of set operations (e.g., a subset of SQL database queries), our experimental results suggest *ordersof-magnitude* performance improvement in comparison with existing VC systems such as Pinocchio [23]. We now present TRUESET's main contributions:

Expressiveness. TRUESET retains the expressiveness of existing VC systems, in that it can support arbitrary computation tasks. Fundamentally, since our set circuit can support intersection, union, and set difference gates, the set of logic is complete¹. Additionally, in Section 4.4, we show that TRUESET can be extended to support circuits that have a mixture of arithmetic gates and set gates. We achieve this by introducing a "split gate" (which, on input a set, outputs the individual elements) and a "merge gate" (which has the opposite function of the split gate).

Input-specific running time. One important reason why TRUESET significantly outperforms existing VC systems in practice is that it achieves *input-specific* running time for proof computation and key generation. Input-specific running time means that the running time of the prover is proportional to the size of the current input.

Achieving input-specific running time is not possible when set operations are expressed in terms of Boolean or arithmetic circuits, where one must account for worstcase set sizes when building the circuit: For example, in the case of intersection, the worst case size of the output is the minimum size of the two sets; in the case of union, the worst case size of the output is the sum of their sizes. Note that this applies not only to the set that comprises the final outcome of the computation, but to every intermediate set generated during the computation. As a result, existing approaches based on Boolean or arithmetic circuits incur a large blowup in terms of circuit size when used to express set operations. In this sense, TRUESET also achieves *asymptotic* performance gains for set-centric computation workloads in comparison with previous approaches.

TRUESET achieves input-specific running time by encoding a set of cardinality c as a polynomial of degree c (such an encoding was also used in previous works, e.g., [18, 22]), and a set circuit as a circuit on polynomials, where every wire is a polynomial, and every gate performs polynomial addition or multiplication. As a result, per-gate computation time for the prover (including the time for performing the computation and the time for

SELECT COUNT(UNIVERSITY.id) FROM UNIVERSITY JOIN CS ON UNIVERSITY.id = CS.id

Figure 1: An example of a JOIN SQL query (between tables **UNIVERSITY** and **CS**) that can be efficiently supported by TRUESET. TRUESET will implement JOIN with an intersection gate and COUNT with a cardinality gate.

producing the proof) is (quasi-)linear in the degree of the polynomial (i.e., cardinality of the actual set), and not proportional to the worst-case degree of the polynomial.

Finally, as in other VC systems, verifying in TRUESET requires work proportional to the size of inputs/outputs, but not in the running time of the computation.

Implementation and evaluation. We implemented TRUESET and documented its efficiency comparing it with a verifiable protocol that compiles a set circuit into an arithmetic circuit and then uses Pinocchio [23] on the produced circuit. In TRUESET the prover's running time is reduced by approximately **30x** for all set sizes of 64 elements or more. In particular, for a single intersection/union gate over 2 sets of 256 elements each, TRUESET improves the prover cost by nearly 150x. We also show that, while other systems [23] cannot-in a reasonable amount of time-execute over larger inputs, TRUESET can scale to large sets, e.g., sets with cardinality of approximately 8000 (2^{13}) , efficiently accommodating instances that are about 30x larger than previous systems. Finally, TRUESET greatly reduces the evaluation key size, a reduction that can reach 97% for some operations.

Applications. TRUESET is developed to serve various information retrieval applications that use set operations as a building block. For example, consider an SQL query that performs a JOIN over two tables and then computes MAX or SUM over the result of the join operation. TRUESET can model the join operation as an intersection and then use the split gate to perform the maximum or the summation/cardinality operation over the output of the join-see Figure 1. Other queries that TRUESET could model are advanced keyword search queries containing complicated filters that can be expressed as arbitrary combinations of set operations (union, intersection, difference) over an underlying data set. Finally, the computation of similarity measurements for datasets often employs set operations. One of the most popular measurements of this type, is the Jaccard index [17] which is computed for two sets, as the ratio of the cardinalities of their intersection and union, a computation that can be easily compiled with TRUESET.

Technical highlight. Our core technical construction is inspired by the recent *quadratic span and arithmetic programs* [14], which were used to implement VC for any

¹Any function computable by Boolean circuits can be computed by a set circuit: If one encodes the empty set as 0 and a fixed singleton set $\{s\}$ as 1, a union expresses the OR gate, an intersection expresses the AND gate and a set difference from $\{s\}$ expresses the NOT gate.

Boolean or arithmetic circuit. Since our internal representation is a polynomial circuit (as mentioned earlier), we invent quadratic polynomial programs (QPP). During the prover's computation, polynomials on the wires of the circuit are evaluated at a random point *s*—however, this takes place in the exponent of a bilinear group, in a way that the server does not learn s. Evaluating the polynomial at the point s in effect reduces the polynomial to a value therefore one can now think of the polynomial circuit as a normal arithmetic circuit whose wires encode plain values. In this way, we can apply techniques resembling quadratic arithmetic programs. While the intuition may be summarized as above, designing the actual algebraic construction and formally proving its security is nonetheless challenging, and requires a non-trivial transformation of quadratic arithmetic programs.

1.1 Related Work

There exists a large amount of theoretical work on VC: Micali [21] presented a scheme that can accommodate proofs for any language in NP. A more efficient approach is based on *succinct non-interactive arguments of knowledge* (SNARKs) [5, 6, 7, 14]. For the case of polynomialtime computable functions, protocols based on fullyhomomorphic encryption [12, 13] and attribute-based encryption [24] have also been proposed. In general, the above schemes employ heavy cryptographic primitives and therefore are not very practical.

Recent works [2, 3, 9, 23, 25, 26, 29] have made impressive progress toward implementations of some of the above schemes, showing practicality for particular functionalities. Unfortunately, the server's cost for proof computation remains too high to be considered for wide deployment in real-world applications.

The problem of verifying a circuit of set operations was first addressed in a recent work by Canetti et al. [10]. Their proofs are of size linear to the size of the circuit, without however requiring a preprocessing phase for each circuit. In comparison, our proofs are of constant size, once such a preprocessing step has been run.

Papamanthou et al. [22] presented a scheme that provides verifiability for a single set operation. However, more general set operations can be accommodated by sequentially using their approach, since all intermediate set outputs are necessary for verification. This would lead to increased communication complexity.

A related scheme appears in the work of Chung et al. [11]. As this scheme uses Turing machines for the underlying computation model, the prover has inherently high complexity. Another work that combines verifiable computation with outsourcing of storage is [1], where a protocol for streaming datasets is proposed but the supported functionalities are quadratic polynomials only.

2 Definitions

In this section we provide necessary definitions and terminology that will be useful in the rest of the paper.

Circuits of sets and polynomials. TRUESET uses the same computation abstraction as the one used in the VC scheme by Parno et al. [23]: a circuit. However, instead of field elements, the circuit wires now carry *sets*, and, instead of arithmetic multiplication and addition gates, our circuit has three types of gates: *intersection, union* and *difference*. For the sake of presentation, the sets we are considering are simple sets, though our construction can be extended to support multisets as well. We therefore begin by defining a set circuit:

Definition 1 (Set circuit C) *A set circuit* C *is a circuit that has gates that implement set union, set intersection or set difference over sets that have elements in a field* \mathbb{F} .

A set circuit is a tool that provides a clean abstraction of the computational steps necessary to perform a set operation. This structured representation will allow us to naturally encode a set operation into a number of execution conditions that are met when it is performed correctly. We stress that it is merely a theoretical abstraction and does not affect the way in which the computation is performed; the computing party can use its choice of efficient native libraries and architectures. In comparison, previous works that use arithmetic circuits to encode more general computations, require the construction (or simulation) and evaluation of such a circuit, an approach that introduces an additional source of overhead.

As mentioned in the introduction, our main technique is based on mapping any set circuit C to a circuit \mathcal{F} of polynomial operations, i.e., to a circuit that carries univariate polynomials on its wires and has polynomial multiplication and polynomial addition gates. We now define the polynomial circuit \mathcal{F} :

Definition 2 (Polynomial circuit \mathcal{F}) A polynomial circuit \mathcal{F} in a field \mathbb{F} is a circuit that has gates that implement univariate polynomial addition and univariate polynomial multiplication over \mathbb{F} . We denote with d the number of multiplication gates of \mathcal{F} and with N the number of input and output wires of \mathcal{F} . The input and output wires are indexed $1, \ldots, N$. The rest of the wires² are indexed $N + 1, \ldots, m$.

SNARKs. TRUESET's main building block is a primitive called *succinct non-interactive argument of knowledge* (SNARK) [14]. A SNARK allows a client to commit to

²These wires include free wires (which are inputs only to multiplication gates) and the outputs of the internal multiplication gates (whose outputs are not outputs of the circuit). The set of these wires is denoted with I_m and has size at most 3d.

a computation circuit C and then have a prover provide succinct cryptographic proofs that there exists an assignment on the wires w (which is called witness) such that the input-output pair $x = (\mathcal{I}, \mathcal{O})$ is valid.

As opposed to *verifiable computation* [24], a SNARK allows a prover to specify some wires of the input \mathcal{I} as part of the witness w (this is useful when proving membership in an NP language, where the prover must prove witness existence). For this reason, SNARKs are more powerful than VC and therefore for the rest of the paper, we will show how to construct a SNARK for hierarchical set operations. In the full version of our paper [20], we show how to use the SNARK construction to provide a VC scheme as well as a VC scheme for outsourced sets, where the server not only performs the computation, but also stores the sets for the client. We now give the SNARK definition, adjusted from [14].

Definition 3 (SNARK scheme) A SNARK scheme consists of three probabilistic polynomial time (PPT) algorithms (KeyGen, Prove, Verify) defined as follows.

- (pk, sk) ← KeyGen(1^k, C). The key generation algorithm takes as input the security parameter k and a computation circuit C; it outputs a public key pk, and a secret key sk.
- 2. $\pi \leftarrow \mathsf{Prove}(\mathsf{pk}, x, w)$: The prover algorithm takes as input the public key pk , an input-output pair $x = (\mathcal{I}, \mathcal{O})$, a valid witness w and it outputs a proof π .
- 3. $\{0, 1\} \leftarrow \text{Verify}(\mathsf{sk}, x, \pi)$: Given the key sk , a statement x and a proof π , the verification algorithm outputs 0 or 1.

We say that a SNARK is publicly-verifiable if sk = pk. In this case, proofs can be verified by anyone with pk. Otherwise, we call it a secretly-verifiable SNARK, in which case only the party with sk can verify.

There are various properties that a SNARK should satisfy. The most important one is *soundness*. Namely, no PPT adversary should be able to output a verifying proof π for an input-output pair $x = (\mathcal{I}, \mathcal{O})$ that is not consistent with C. All the other properties of SNARKs are described formally in Appendix 6.2.

3 A SNARK for Polynomial Circuits

In their recent seminal work, Gennaro et al. [14] showed how to compactly encode computations as quadratic programs, in order to derive very efficient SNARKs. Specifically, they show how to convert any arithmetic circuit into a comparably-sized Quadratic Arithmetic Program (QAP), and any Boolean circuit into a comparably-sized Quadratic Span Program (QSP). In this section we describe our SNARK construction for polynomial circuits. The construction is a modification of the optimized construction for arithmetic circuits that was presented by Parno et al. [23] (Protocol 2) and which is based on the original work of Gennaro et al. [14]. Our extension accounts for univariate polynomials on the wires, instead of just arithmetic values. We therefore need to define a *quadratic polynomial program*:

Definition 4 (Quadratic Polynomial Program (QPP))

A QPP Q for a polynomial circuit \mathcal{F} contains three sets of polynomials $\mathcal{V} = \{v_k(x)\}, \mathcal{W} = \{w_k(x)\}, \mathcal{Y} = \{y_k(x)\}$ for k = 1, ..., m and a target polynomial $\tau(x)$. We say that Q computes \mathcal{F} if: $c_1(z), c_2(z), ..., c_N(z)$ is a valid assignment of \mathcal{F} 's inputs and outputs iff there exist polynomials $c_{N+1}(z), ..., c_m(z)$ such that $\tau(x)$ divides p(x, z) where

$$p(x,z) = \left(\sum_{k=1}^{m} c_k(z)v_k(x)\right) \left(\sum_{k=1}^{m} c_k(z)w_k(x)\right) - \left(\sum_{k=1}^{m} c_k(z)y_k(x)\right).$$
(3.1)

We define the degree of Q to equal the degree of $\tau(x)$.

The main difference of the above quadratic program with the one presented in [23] is the fact that we introduce another variable z in the polynomial p(x, z) representing the program (hence we need to account for bivariate polynomials, instead of univariate), which is going to account for the polynomials on the wires of the circuit.

Constructing a QPP. We now show how to construct a QPP Q for a polynomial circuit. The polynomials in $\mathcal{V}, \mathcal{W}, \mathcal{Y}$ and the polynomial $\tau(x)$ are computed as follows. Let r_1, r_2, \ldots, r_d be random elements in \mathbb{F} . First, set $\tau(x) = (x - r_1)(x - r_2) \dots (x - r_d)$ and compute the polynomial $v_k(x)$ such that $v_k(r_i) = 1$ iff wire k is the *left input* of multiplication gate *i*, otherwise $v_k(r_i) = 0$. Similarly, $w_k(r_i) = 1$ iff wire k is the *right* input of multiplication gate *i*, otherwise $w_k(r_i) = 0$ and $y_k(r_i) = 1$ iff wire k is the *output* of multiplication gate i, otherwise $y_k(r_i) = 0$. For example, consider the circuit of Figure 2 that has five inputs and one output and its wires are numbered as shown in the figure (gates take the index of the their output wire). Then $\tau(x) = (x - r_6)(x - r_7)$. For v_k we require that $v_k(r_6) = 0$ except for $v_2(r_6) = 1$, since the second wire is the only left input for the sixth gate, and $v_k(r_7) = 0$ except for $v_1(r_7)$ and $v_6(r_7)$ which are 1, since the first and sixth wire contribute as left inputs to gate 7. Right input polynomials w_k are computed similarly and output polynomials y_k are computed such that $y_6(r_6) = y_7(r_7) = 1$; all other cases are set to 0.

To see why the above QPP computes \mathcal{F} , let us focus on a single multiplication gate g, with k_1 being its



Figure 2: A sample polynomial circuit.

output wire and k_2 and k_3 be its left and right input wires respectively. Due to the divisibility requirement, it holds $p(r_i, z) = 0$ for i = 1, ..., d, hence Equation 3.1 will give $(\sum_{k=1}^{m} c_k(z)v_k(r_g))(\sum_{k=1}^{m} c_k(z)w_k(r_g)) =$ $(\sum_{k=1}^{m} c_k(z)y_k(r_g))$. Now, from the way the polynomials v_k, w_k, y_k were defined above, most terms are 0 and what remains is $c_{k_2}(z)v_{k_2}(r_g) \cdot c_{k_3}(z)w_{k_3}(r_g) =$ $c_{k_1}(z)y_{k_1}(r_g)$ or else $c_{k_2}(z) \cdot c_{k_3}(z) = c_{k_1}(z)$, which is the definition of a multiplication gate. More formally:

Lemma 1 The above QPP Q computes \mathcal{F} .

Proof: (\Rightarrow) Suppose $c_1(z), c_2(z), \ldots, c_N(z)$ are correct assignments of the input and output wires but there do not exist polynomials $c_{N+1}(z), \ldots, c_m(z)$ such that $\tau(x)$ divides p(x, z). Then there is at least one multiplication gate r with left input x, right input y and output o, such that $p(r, z) \neq 0$. Let p be the path of multiplication gates that contains r starting from an input polynomial $c_i(z)$ to an output polynomial $c_i(z)$, where $i, j \leq N$. Since $c_i(z)$ and $c_i(z)$ are correct assignments, there must exist polynomials $c_x(z)$ and $c_y(z)$ such that $c_x(z)c_y(z) = c_o(z)$. Since r has a single left input, a single right input and a single output it holds $v_x(r) = 1$ and $v_i(r) = 0$ for all $i \neq x$. Similarly, $w_u(r) = 1$ and $w_i(r) = 0$ for all $i \neq y$ and $y_o(r) = 1$ and $y_i(r) = 0$ for all $i \neq o$. Therefore $p(r, z) \neq 0$ implies that for all polynomials $c_x(z), c_y(z), c_y(z$ $c_o(z)$, it is $c_x(z)c_y(z) \neq c_o(z)$, a contradiction.

(\Leftarrow) Suppose $\tau(x)$ divides p(x, z). Then p(r, z) = 0 for all multiplication gates r. By the definition of $v_i(x)$, $w_i(x)$, $y_i(x)$, the $c_1(z), c_2(z), \ldots, c_m(z)$ are correct assignments on the circuit wires.

We next give an efficient SNARK construction for polynomial circuits based on the above QPP. Recall that a polynomial circuit \mathcal{F} has d multiplication gates and m wires, the wires $1, \ldots, N$ occupy inputs and outputs and set $I_m = \{N + 1, \ldots, m\}$ represents the internal wires, where $|I_m| \leq 3d$. Also, we denote with n_i the degree of polynomial on wire i and we set n to be an upper bound on the degrees of the polynomials on \mathcal{F} 's wires.

3.1 Intuition of Construction

The SNARK construction that we present works as follows. First, the key generation algorithm KeyGen produces a "commitment" to the polynomial circuit \mathcal{F} by outputting elements that relate to the internal set of wires I_m of the QPP $\mathcal{Q} = (\mathcal{V}, \mathcal{W}, \mathcal{Y}, \tau(x))$ as the public key. These elements encode bivariate polynomials in the exponent, evaluated at randomly chosen points t and s, to accommodate for the fact that circuit \mathcal{F} encodes operations over univariate polynomials and not just arithmetic values (as is the case with [14]).

As was described in the previous section, for the prover to prove that an assignment $c_1(z), c_2(z), \ldots, c_N(z)$ of polynomials on input/output wires is valid, it suffices to prove there exist polynomials $c_{N+1}(z), \ldots, c_m(z)$ corresponding to assignments on the internal wires, such that the polynomial p(x, z) from Relation 3.1 has roots r_1, r_2, \ldots, r_d . To prove this, the prover first "solves" the circuit and computes the polynomials $c_1(z), c_2(z), \ldots, c_m(z)$ that correspond to the correct assignments on the wires. Then he uses these polynomials and the public evaluation key (i.e., the circuit "commitment") to compute the following three types of terms (which comprise the actual proof). The detailed computation of these values is described in Section 3.2.

• Extractability terms. These terms declare three polynomials in the exponent, namely polynomials $\sum_{k=N+1}^{m} c_k(z)v_k(x)$, $\sum_{k=N+1}^{m} c_k(z)w_k(x)$, and $\sum_{k=N+1}^{m} c_k(z)y_k(x)$. These polynomials correspond to the internal wires since the verifier can fill in the parts for the input and output wires.

The above terms are engineered to allow extractability using a knowledge assumption. In particular, given these terms, there exists a polynomialtime extractor that can, with overwhelming probability, recover the assignment $c_{N+1}(z), \ldots, c_m(z)$ on internal wires. This proves the existence of $c_{N+1}(z), \ldots, c_m(z)$.

- Consistency check terms. Extraction is done separately for terms related to the three polynomials $\sum_{k=N+1}^{m} c_k(z)v_k(x)$, $\sum_{k=N+1}^{m} c_k(z)w_k(x)$, and $\sum_{k=N+1}^{m} c_k(z)y_k(x)$. We therefore require a set of consistency check terms to ensure that the extracted $c_{N+1}(z), \ldots, c_m(z)$ polynomials are consistent for the above \mathcal{V}, \mathcal{W} , and \mathcal{Y} terms—otherwise, the same wire can have ambiguous assignments.
- Divisibility check term. Finally, the divisibility check term is to ensure that the above divisibility check corresponding to relation p(x, z) =

 $h(x, z)\tau(x)$, holds for the polynomial

$$\left(\sum_{k=1}^{m} c_k(z)v_k(x)\right) \left(\sum_{k=1}^{m} c_k(z)w_k(x)\right)$$
$$- \left(\sum_{k=1}^{m} c_k(z)y_k(x)\right)$$

declared earlier by the extractability terms.

3.2 Concrete Construction

We now give the algorithms of our SNARK construction, (following Definition 3). In comparison with the QSP and QAP constructions [14, 23], one difficulty arises in our setting when working with polynomials on wires. In essence, to express a polynomial $c_k(z)$ on a wire in our construction, we evaluate the polynomial at a committed point z = t. In existing QSP and QAP constructions, the prover knows the cleartext value on each wire when constructing the proof. However, in our setting, the prover does not know what t is, and hence cannot directly evaluate the polynomials $c_k(z)$'s on each wire. In fact, security would be broken if the prover knew the value of the polynomials at z = t.

To overcome this problem, we have to include more elements in the evaluation key which will contain exponent powers of the variable t (see the evaluation key below). In this way, the prover will be able to evaluate $c_k(t)$ in the exponent, without ever learning the value t. We now give the algorithms:

 $\begin{array}{l} (\mathsf{pk},\mathsf{sk}) \leftarrow \mathsf{KeyGen}(\mathcal{F},1^k) \text{: Let } \mathcal{F} \text{ be a polynomial cir-}\\ \hline \text{cuit. Build the corresponding QPP } \mathcal{Q} = (\mathcal{V},\mathcal{W},\mathcal{Y},\tau(x))\\ \text{as above. Let } e \text{ be a non-trivial bilinear map } e : \mathbb{G} \times \mathbb{G} \rightarrow\\ \mathbb{G}_T, \text{ and let } g \text{ be a generator of } \mathbb{G}. \ \mathbb{G} \text{ and } \mathbb{G}_T \text{ have prime}\\ \text{order } p. \ \text{Pick } s,t,r_v,r_w,\alpha_v,\alpha_w,\alpha_y,\beta,\gamma \text{ from } \mathbb{Z}_p \text{ and}\\ \text{set } r_y = r_vr_w \text{ and } g_v = g^{r_v}, g_w = g^{r_w} \text{ and } g_y = g^{r_y}.\\ \text{The public evaluation key } \mathsf{EK}_{\mathcal{F}} \text{ is} \end{array}$

1.
$$\{g_{v}^{t^{i}v_{k}(s)}, g_{w}^{t^{i}w_{k}(s)}, g_{y}^{t^{i}y_{k}(s)}\}_{(i,k)\in[n]\times I_{m}}$$
.
2. $\{g_{v}^{t^{i}\alpha_{v}v_{k}(s)}, g_{w}^{t^{i}\alpha_{w}w_{k}(s)}, g_{y}^{t^{i}\alpha_{y}y_{k}(s)}\}_{(i,k)\in[n]\times I_{m}}$.
3. $\{g_{v}^{t^{i}\beta\cdot v_{k}(s)}g_{w}^{t^{i}\beta\cdot w_{k}(s)}g_{y}^{t^{i}\beta\cdot y_{k}(s)}\}_{(i,k)\in[n]\times I_{m}}$.
4. $\{g_{v}^{t^{i}s^{j}}\}_{(i,j)\in[2n]\times[d]}$.

The verification key $VK_{\mathcal{F}}$ consists of the values

$$g, g^{\alpha_v}, g^{\alpha_w}, g^{\alpha_y}, g^{\gamma}, g^{\beta\gamma} g_y^{t(s)}$$

and the set $\{g_v^{t^i v_k(s)}, g_w^{t^i w_k(s)}, g_y^{t^i y_k(s)}\}_{(i,k) \in [n] \times [N]}$. Note VK_F and EK_F are the public key pk of the SNARK. Our SNARK is publicly verifiable, hence sk = pk. $\pi \leftarrow \text{Prove}(\text{pk}, x, w)$: The input x contains input polynomials u and output polynomials y and the witness w (which contains assignments of polynomials on the internal wires). Let $c_k(z)$ be the polynomials on the circuit's wires such that $y = \mathcal{F}(u, w)$. Let h(x, z) be the polynomial such that $p(x, z) = h(x, z) \cdot \tau(x)$. The proof is computed as follows:

- 1. (Extractability terms) $g_v^{v_m(s,t)}$, $g_w^{w_m(s,t)}$, $g_y^{y_m(s,t)}$, $g_y^{y_m(s,t)}$, $g_y^{\alpha_v v_m(s,t)}$, $g_w^{\alpha_w v_m(s,t)}$, $g_y^{\alpha_y y_m(s,t)}$.
- 2. (Consistency check term) $g_v^{\beta \cdot v_m(s,t)} g_w^{\beta \cdot w_m(s,t)} g_y^{\beta \cdot y_m(s,t)}.$
- 3. (Divisibility check term) $g^{h(s,t)}$, where

 $\begin{array}{l} (a) \ v_m(x,z) = \sum_{k \in I_m} c_k(z) v_k(x); \\ (b) \ w_m(x,z) = \sum_{k \in I_m} c_k(z) w_k(x); \text{ and} \\ (c) \ y_m(x,z) = \sum_{k \in I_m} c_k(z) y_k(x). \text{ Note that the term} \\ g_v^{\beta \cdot v_m(s,t)} g_w^{\beta \cdot w_m(s,t)} g_y^{\beta \cdot y_m(s,t)} \text{ can be computed from public key terms} \\ \{g_v^{t^i \beta \cdot v_k(s)} g_w^{t^i \beta \cdot v_k(s)} g_y^{t^i \beta \cdot y_k(s)}\}_{(i,k) \in [n] \times I_m}. \\ \{0,1\} \leftarrow \text{Verify}(\mathsf{pk}, x, \pi): \text{ Parse the proof } \pi \text{ as} \end{array}$

- 1. $\gamma_v, \gamma_w, \gamma_y, \kappa_v, \kappa_w, \kappa_y$.
- 2. Λ.
- 3. γ_h .

First, verify all three α terms: $e(\gamma_v, g^{\alpha_v}) \stackrel{?}{=} e(\kappa_v, g) \wedge e(\gamma_w, g^{\alpha_w}) \stackrel{?}{=} e(\kappa_w, g) \wedge e(\gamma_y, g^{\alpha_y}) \stackrel{?}{=} e(\kappa_y, g)$. Then verify the divisibility requirement:

$$e(\lambda_v \cdot \gamma_v, \lambda_w \cdot \gamma_w)/e(\lambda_y \cdot \gamma_y, g) \stackrel{?}{=} e(\gamma_h, g^{\tau(s)}),$$

where $\lambda_v = g^{\sum_{k \in [N]} c_k(t)v_k(s)}$, $\lambda_w = g^{\sum_{k \in [N]} c_k(t)w_k(s)}$, $\lambda_y = g^{\sum_{k \in [N]} c_k(t)y_k(s)}$. Finally verify the β term:

$$e(\gamma_v \cdot \gamma_w \cdot \gamma_y, g^{\beta\gamma}) \stackrel{?}{=} e(\Lambda, g^{\gamma}).$$

3.3 Asymptotic Complexity and Security

In this section we analyze the asymptotic complexity of our SNARK construction for polynomial circuits. We also state the security of our scheme.

KeyGen: It is easy to see that the computation time of KeyGen is $O(n|I_m| + nd + nN) = O(dn)$.

<u>Prove:</u> Let T be the time required to compute the polynomials $c_i(z)$ for i = 1, ..., m and let n_i be the degree of the polynomial $c_i(z)$ for i = 1, ..., m. The computation of each $g^{c_i(z)v_i(x)}$ (similarly for $g^{c_i(z)w_i(x)}$ and $g^{c_i(z)y_i(x)}$) for $i \in I_m$ takes $O(n_i)$ time (specifically, $7 \cdot \sum n_i$ exponentiations are required to compute all the

proof), since one operation per coefficient of $c_i(z)$ is required. Then multiplication of $|I_m|$ terms is required. Therefore the total time required is

$$O\left(T + \sum_{i \in I_m} n_i + |I_m|\right) = O\left(T + d\nu\right) \,,$$

where $\nu = \max_{i=1,\dots,m} \{n_i\}$ is the maximum degree of the polynomials over the wires and since $|I_m| \leq$ 3d. To compute p(x, z), first the degree d polynomials $v_i(x), w_i(x), y_i(x)$ for i = 1, ..., m are parsed in time O(dm). Then p(x, z) is computed according to Equation 1; each summation term is computed in time $O(d\nu)$ with naive bivariate polynomial multiplication and then they are summed for total complexity of $O(md\nu)$. For the division, note that p(x, z) has maximum degree in z equal to 2ν and maximum degree in x equal to 2d. To do the division, we apply "the change of variable trick". We set $z = x^{2 \times (2d)+1}$ and therefore turn p(x, z) into a polynomial of one variable x, namely the polynomial $p(x, x^{2 \times (2d)+1})$. Therefore the dividend now has maximum degree $2\nu(4d+1) + 2d$ while the divisor has still degree d. By using FFT, we can do such division in $O(d\nu \log(d\nu))$ time. Therefore the total time for Prove is $O\left(T + d\nu \log(d\nu) + md\nu\right).$

<u>Verify:</u> The computation of each element $g^{c_i(z)v_i(x)}$ (resp. for $g^{c_i(z)w_i(x)}$ and $g^{c_i(z)y_i(x)}$) for i = 1, ..., Ntakes $O(n_i)$ time, since one operation per coefficient of $c_i(z)$ is required. Then multiplication of N terms is required. Hence, the total time required is $O(\sum_{i \in [N]} n_i)$, proportional to the size of the input and output.

We now have the following result. The involved assumptions can be found in Appendix 6.1 and we provide its proof of security in the full version of our paper [20].

Theorem 1 (Security of the SNARK for \mathcal{F}) Let \mathcal{F} be a polynomial circuit with d multiplication gates. Let n be an upper bound on the degrees of the polynomials on the wires of \mathcal{F} and let q = 4d + 4. The construction above is a SNARK under the 2(n + 1)q-PKE, the (n + 1)q-PDH and the 2(n + 1)q-SDH assumptions.

4 Efficient SNARKs for Set Circuits

In this section, we show how to use the SNARK construction for polynomial circuits from the previous section to build a SNARK for set circuits.

We first define a mapping from sets to polynomials (see Definition 5– such representation was also used in prior work, e.g., the work of Kissner and Song [18]). Then we express the correctness of the operations between two sets as constraints between the polynomials produced from this mapping (e.g., see Lemma 2). For a set operation to

be correct, these constraints must be satisfied simultaneously. To capture that, we represent all these constraints with a circuit with loops, where a wire can participate in more than one constraint (see Figure 3).

4.1 Expressing Sets with Polynomials

We first show how to represent sets and set operations with polynomials and polynomial operations. This representation is key for achieving *input-specific* time, since we can represent a set with a polynomial evaluated at a random point (regardless of its cardinality). Given a set, we define its *characteristic polynomial*.

Definition 5 (Characteristic polynomial) Let A be a set of elements $\{a_1, a_2, ..., a_n\}$ in \mathbb{F} . We define its characteristic polynomial as $A(z) = (z + a_1) \dots (z + a_n)$.

We now show the relations between set operations and polynomial operations. Note that similar relations were used by Papamanthou et al. [22] in prior work.

Lemma 2 (Intersection constraints) Let A, B and I be three sets of elements in \mathbb{F} . Then $I = A \cap B$ iff there exist polynomials $\alpha(z)$, $\beta(z)$, $\gamma(z)$ and $\delta(z)$ such that

- 1. $\alpha(z)A(z) + \beta(z)B(z) = I(z).$ 2. $\gamma(z)I(z) = A(z).$
- 3. $\delta(z)I(z) = B(z)$.

Proof: (\Rightarrow) If I = A \cap B, it follows that (i) the great common divisor of polynomials A(z) and B(z) is I(z), therefore, by Bézout's identity, there exist polynomials $\alpha(z)$ and $\beta(z)$ such that (i) $\alpha(z)A(z) + \beta(z)B(z) = I(z)$; (ii) I(z) divides A(z) and B(z), therefore there exist polynomials $\gamma(z)$ and $\delta(z)$ such that $\gamma(z)I(z) = A(z)$ and $\delta(z)I(z) = B(z)$.

(\Leftarrow) Let A, B and I be sets. Suppose there exist polynomials $\alpha(z)$, $\beta(z)$, $\gamma(z)$ and $\delta(z)$ such that (1), (2) and (3) are true. By replacing (2) and (3) into (1), we get that $\alpha(z)$ and $\beta(z)$ do not have any common factor, therefore I(z) is the greatest common divisor of A(z) and B(z) and therefore A \cap B = I.

Corollary 1 (Union constraints) Let A, B and U be three sets of elements in \mathbb{F} . Then $U = A \cup B$ iff \exists polynomials i(z), $\alpha(z)$, $\beta(z)$, $\gamma(z)$ and $\delta(z)$ such that

- 1. $\alpha(z)\mathsf{A}(z) + \beta(z)\mathsf{B}(z) = i(z).$
- 2. $\gamma(z)i(z) = \mathsf{A}(z).$
- 3. $\delta(z)i(z) = \mathsf{B}(z).$
- 4. $\delta(z)A(z) = U(z).$



Figure 3: Set circuits for intersection (a), union (b) and difference (c) expressed as polynomial circuits with loops using Lemma 2, Corollary 1 and Corollary 2.

Corollary 2 (Difference constraints) Let A, B and D be three sets of elements in \mathbb{F} . Then D = A – B iff \exists polynomials i(z), $\alpha(z)$, $\beta(z)$, $\gamma(z)$ and $\delta(z)$ such that

- 1. $\alpha(z)\mathsf{A}(z) + \beta(z)\mathsf{B}(z) = i(z).$
- 2. $\mathsf{D}(z)i(z) = \mathsf{A}(z).$
- 3. $\delta(z)i(z) = \mathsf{B}(z).$

4.2 From Set to Polynomial Circuits

Polynomial circuits with loops. To compile a set circuit into a circuit on polynomials, we need to check that the constraints in Lemma 2 and Corollaries 1 and 2 simultaneously satisfy for all intersection, union, and set difference gates respectively. Doing this in a straightforward manner seems to require implementing a Boolean AND gate using polynomial algebra, which introduces an unnecessary representation overhead.

We use a simple idea to avoid this issue, by introducing polynomial circuits with *loops*. This means that the circuit's wires, following the direction of evaluation, can contain loops, as shown in Figure 3. When a circuit contains loops, we require that there exist an assignment for the wires such that every gate's inputs and output are consistent. It is not hard to see that we can build a QPP for a polynomial circuit with loops.

From set circuits to polynomial circuits. Suppose we have a set circuit C, as in Definition 1. We can compile C into a polynomial circuit with loops \mathcal{F} as follows:

- 1. Replace every intersection gate g_I with the circuit of Figure 3(a), which implements the constraints in Lemma 2. Note that 6 additional wires per intersection gate are introduced during this compilation, 4 of which are free wires. Also, for each intersection gate, 4 polynomial multiplication gates are added.
- 2. Replace every union gate g_U of C with the circuit of Figure 3(b), which implements the set of constraints in Corollary 1. Note that 7 additional wires per union gate are introduced during this compilation, 3

of which are free wires. Also, for each union gate, 5 polynomial multiplication gates are added.

3. Replace every difference gate g_D of C with the circuit of Figure 3(c), which implements the set of constraints in Corollary 2. Note that 7 additional wires per union gate are introduced during this compilation, 3 of which are free wires. Also, for each difference gate, 5 polynomial multiplication gates are added.

4.3 Asymptotic Complexity and Security

Let C be a set circuit with d gates (out of which d_1 are intersection gates and d_2 are union and difference gates) and N inputs and outputs. After compiling C into an polynomial circuit with loops, we end up with a circuit \mathcal{F} with $4d_1 + 5d_2$ multiplication gates since each intersection introduces 4 multiplication gates and each union or difference introduces 5 multiplication gates.

Therefore, a SNARK for set circuits with $d = d_1 + d_2$ gates can be derived from a SNARK for polynomial circuits with $4d_1 + 5d_2$ multiplication gates. Note that the complexity of Prove for the SNARK for set circuits is $O(d\nu \log^2 \nu \log \log \nu)$ because the prover runs the extended Euclidean algorithm to compute the polynomials on the free wires, which takes $O(t \log^2 t \log \log t)$ time, for t-degree polynomials as inputs.

Theorem 2 (Security of the SNARK for *C*) *Let C be a* set circuit that has d total gates and *N* total inputs and *outputs. Let n be an upper bound on the cardinalities of the sets on the wires of C and let* $q = 16d_1 + 20d_2 + 4$, *where* d_1 *is the number of intersection gates and* d_2 *is the number of union and difference gates* ($d = d_1 + d_2$). *The construction above is a SNARK for the set circuit C under the* 2(n + 1)q-PKE, *the* (n + 1)q-PDH *and the* 2(n + 1)q-SDH *assumptions.*

We note here that there do exist known SNARK constructions for languages in NP that have excellent asymptotic behavior and are *input-specific*, e.g., the work of Bitansky et al. [6], based on recursive proof composition. Therefore, in theory, our SNARK asymptotics are the same with the ones by Bitansky et al. [6] (when applied to the case of set operations). However, the concrete overhead of such techniques remains high; in fact, for most functionalities it is hard to deduce the involved constants. In comparison, with our approach, we can always deduce an upper bound on the number of necessary operations involved. We give a tight complexity analysis of our approach in the full version of our paper [20].

4.4 Handling More Expressive Circuits

As discussed in the introduction, by moving from QAPs to QPPs our scheme is not losing anything in expressiveness. So far we explicitly discussed the design of efficient set circuits that only consist of set gates. Ideally, we want to be able to efficiently accommodate "hybrid" circuits that consist both of set and arithmetic operations in an optimally tailored approach.

In this section we show how, by constructing a *split* gate (and a *merge* gate) that upon input a set A outputs its elements a_i , we gain some "backwards compatibility" with respect to QAPs. In particular, this allows us to compute on the set elements themselves, e.g., performing MAX or COUNT. Also, using techniques described by Parno et al. [23], one can go one step below in the representation hierarchy and represent a_i 's in binary form which yields, for example, more efficient comparison operations.

Hence we produce a complete toolkit that a delegating client can use for a general purpose computation, in a way that allows it both to be more efficient for the part corresponding to set operations and at the same time perform arithmetic and bit operations optimally, choosing different levels of abstraction for different parts of the circuit.

Zero-degree assertion gate. Arithmetic values can be naturally interpreted as zero-degree polynomials. Since we want to securely accommodate both polynomials and arithmetic values in our circuit, we need to construct a gate that will constrain the values of some wires to arithmetic values. For example, we need to assure that the outputs of a split gate are indeed numbers (and not higher degree polynomials).

Lemma 3 (Zero-degree constraints) Let p(z) be a univariate polynomial in $\mathbb{F}[z]$. The degree of p(z) is 0 iff \exists polynomial q(z) in $\mathbb{F}[z]$ such that p(z)q(z) = 1.

Proof: (\Rightarrow) Every zero-degree polynomial $q(z) \in \mathbb{F}[z]$ also belongs in \mathbb{F} . Since every element in \mathbb{F} has an inverse, the claim follows. (\Leftarrow) Assume now that p(z)q(z) = 1. Since polynomial 1 is of degree 0, p(z)q(z) must also be of degree 0. By polynomial multiplication, we know that p(z)q(z) has degree deg(p(z)) + deg(q(z)). Hence deg(p(z)) = deg(q(z)) = 0.



Figure 4: Implementation of a split gate for the set $A = \{a, b, c, d\}$. The elements z and 1 on the wires are hard-coded in the circuit during setup. All other polynomials on the wires are computed by the prover.

This simple gate consists of a multiplication gate between polynomial p(z) and an auxiliary input q(z) computed by the server and the output is set to the (hardcoded) polynomial 1. If the input is indeed a zero-degree polynomial, by the above Lemma, q(z) is easily computable by the server (an inverse computation in \mathbb{F}).

Split gate. A split gate, depicted in Figure 4, operates as follows. On input a wire with value A(z), it outputs n wires with the individual elements a_i . First, each of the wires carrying a_i is connected to a degree-zero assertion gate. This will make sure that these wires carry arithmetic values. Second, each of these wires is used as an input to an addition gate, with the other input being the degree-one polynomial z. Then the outputs of all the addition gates are multiplied together and the output of the multiplication is connected to the wire carrying A(z).

Split gate with variable number of outputs. In the above we assumed that the split gate has a fixed number of outputs, n. However, the number of outputs can vary. To accommodate this, we assume that n is an upper bound on the number of outputs of a split gate. Now, for each of the n output wires, we introduce an indicator variable ν_i (picked by the prover) such that if $\nu_i = 1$, this output wire is occupied and carries an arithmetic value, otherwise $\nu_i = 0$. Then, in the split gate of Figure 4, instead of $\prod_{i=1}^{n} (z + a_i)$ we compute $\prod_{i=1}^{n} [\nu_i(z + a_i) + (1 - \nu_i)]$. Note here that an additional restriction we need to impose is that $\nu_i \in \{0, 1\}$. Fortunately this can be checked very easily by adding one self-multiplication gate and a loop wire for each value that enforces the condition $\nu_i \cdot \nu_i = \nu_i$ that clearly holds iff $\nu_i = 0$ or 1.

Cardinality gate. One immediate side-effect of our construction for split gates with variable number of outputs, is that it indicates a way to construct another very important type of gate, namely a *cardinality* gate. Imagine for example a computation where the requested output is not a set but only its cardinality (e.g., a COUNT SQL-query or the Jaccard similarity index). A cardinality gate is implemented exactly like a split gate, however it only has a single output wire that is computed as $\sum_i \nu_i$, using n-1 addition gates over the ν_i wires.

Merge gate. Finally, the *merge* gate upon input n wires carrying numerical values a_i , outputs a single wire that carries them as a set (i.e., its characteristic polynomial). The construction is similar to that of the split gate, only in reverse order. First input wires are tested to verify they are of degree 0, with n zero-degree assertion gates. Then, these wires are used as input for union gates, taken in pairs, in an iterative manner (imagine a binary tree of unions with n leaves and the output set at the root).

5 Evaluation

We now present the evaluation of TRUESET comparing its performance with Pinocchio [23], which is the state-ofthe-art general VC scheme (already reducing computation time by orders-of-magnitude when compared with previous implementations). We also considered alternative candidates for comparison such as Pantry [9] which is specialized for stateful computations. Pantry is theoretically more efficient than Pinocchio, as it can support a RAM-based O(n)-time algorithm for computing set intersection (i.e., when the input sets are sorted), instead of the circuit-based $O(n \log^2 n)$ or $O(n^2)$ algorithms that Pinocchio supports. However, evaluation showed that Pantry requires considerable proof construction time, even for simple memory-based operations (e.g., 92 seconds for a single verifiable put operation in a memory of 8192 addresses), hence we chose to compare only with Pinocchio.

In our experiments, we analyze the performance of TRUESET both for the case of a single set operation and multiple set operations. We begin by presenting the details of our implementation and the evaluation environment and then we present the performance results.

5.1 Implementation

We built TRUESET by extending Pinocchio's C++ implementation so that it can handle set circuits, with the special set gates that we propose. However, since the original implementation of Pinocchio used efficient libraries for pairing-based cryptography and field manipulation that are not available for public use (internal to Microsoft), the first step was to replace those libraries with available free libraries that have similar characteristics. In particular, we used the Number Theory Library (NTL) [27] along with the GNU Multi-Precision (GMP) library [15] for polynomial arithmetic, in addition to an efficient free library for ate-pairing over Barreto-Naehrig curves [4], in which the underlying BN curve is $y^2 = x^3 + 2$ over a 254-bit prime field \mathbb{F}_p that maintains a 126 bit-level of security. As in Pinocchio, the size of the cryptographic proof produced by our implementation is typically equal to 288 bytes in all experiments regardless of the input or circuit sizes.

TRUESET's executable receives an input file describing a set circuit that contains one or more of the set gates described earlier. The executable compiles the circuit to a QPP in two stages. In the first stage, the set gates are transformed into their equivalent representation using polynomial multiplication and addition gates, as in Figures 3 and 4, and then the QPP is formed directly in the second stage by generating the roots, and calculating the V, W and Y polynomials.

Optimizations. For a fair comparison, we employ the same optimizations used for reducing the exponentiation overhead in Pinocchio's implementation. Concerning polynomial arithmetic, Pinocchio's implementation uses an FFT approach to reduce the polynomial multiplication costs. In our implementation, we use the NTL library, which already provides an efficient solution for polynomial arithmetic based on FFT [28].

In addition to the above, the following optimizations were found to be very useful when the number of set gates is high, or when the set split gate is being used.

1) For key generation, we reduce the generated key size by considering the maximum polynomial degree that can appear on each wire, instead of assuming a global upper bound on the polynomial degree for all wires (as described in previous sections). This can be calculated by assuming a maximum cardinality of the sets on the input wires, and then iterating over the circuit wires to set the maximum degree per wire in the worst case, e.g. the sum of the worst case cardinalities of the input sets for the output of a union gate, and the smaller for intersections.

2) The NTL library does not provide direct support for bivariate polynomial operations, needed to calculate h(x, z)through division of p(x, z) by $\tau(x)$. Hence, instead of doing a naive $O(n^2)$ polynomial division, we apply the change-of-variable trick discussed in Section 3.3 to transform bivariate polynomials into univariate ones that can be handled efficiently with NTL FFT operations.

3) Finally, calculation of the coefficients of the characteristic polynomial corresponding to the output is done by the prover and not by the verifier. The verifier then verifies that the set elements of the output (i.e., the roots of the characteristic polynomials) match the polynomial (expressed in coefficients) returned by the server. This can be efficiently done through a randomized check—see algorithm certify() from [22]. We specify that this slightly increases the communication bandwidth (the server effectively sends the output set twice, in two different encodings) but we consider this an acceptable overhead (This can be avoided by having the client perform the interpolation himself, increasing the verification time). It can also be noted that the input polynomial coefficients computa-

tion can be outsourced similarly to the server side, if the client does not have them computed already.

5.2 Experiments Setup

We now provide a comparison between TRUESET's approach and Pinocchio's approach based for set operations. For a fair comparison, we considered two different ways to construct the arithmetic circuits used by Pinocchio to verify the set operations:

- Pairwise comparison-based, which is the naive approach for performing set operations. This requires $O(n^2)$ equality comparisons.
- Sorting network-based, in which the input sets are merged and sorted first using and odd-even mergesort network [19]. Then a check for duplicate consecutive elements is applied to include/remove repeated elements, according to the query being executed. This requires $O(n \log^2 n)$ comparator gates, and O(n) equality gates.

Although the second approach is asymptotically more efficient, when translated to Pinocchio's circuits it results in numerous multiplication gates. This is due to the k-bits split gates needed to perform comparison operations, resulting into great overhead in the key generation and proof computation stages. For a k-bit possible input value, this split gate needs k multiplication constraints to constrain each bit wire to be either 0 or 1. (It should be noted that these gates translate a wire into its bit-level representation and they should not be confused with the split gates we introduce in this paper, which output the elements of a set as separate arithmetical values). On the other hand, the pairwise approach uses zero-equality gate translates into only two multiplication gates, requiring only two roots.

For fairness purposes, different Pinocchio circuits were produced for each different input set cardinality we experiment with, as each wire in Pinocchio's circuits represents a single element. On the other hand, TRUESET can use the same circuit for different input cardinalities.

We consider two Pinocchio circuit implementations:

- MS Pinocchio: This is the executable built using efficient Microsoft internal libraries.
- NTL-ZM Pinocchio: This is a Pinocchio version built using exactly the same free libraries we used for our TRUESET implementation. This will help ensure having a fair comparison.

The experiments were conducted on a Lenovo IdeaPad Y580 Laptop. The executable used a single core of a 2.3 GHz Intel Core i7 with 8 GB of RAM. For the input

sets, disjoint sets containing elements in \mathbb{F} were assumed. For running time statistics, ten runs were collected for each data point, and the 95% confidence interval was calculated. Due to the scale of the figures, the confidence interval of the execution times (i.e., error bars) was too low to be visualized.

5.3 Single-Gate Circuit

In this subsection, we compare TRUESET and Pinocchio's protocols based on the verification of a single union operation that accepts two input sets of equal cardinalities. We study both the time overhead and the key sizes with respect to different input set cardinalities. Note that, experiments for higher input cardinalities in Pinocchio's case incur great memory overhead due to the large circuit size, therefore we were unable to even perform Pinocchio's for large input sizes.

Figure 5 shows the comparison between TRUESET's approach and Pinocchio's pairwise and sorting network approaches, versus the cardinality of each input set. The results show clearly that TRUESET outperforms both approaches in the key generation and proof computation stages by orders of magnitude, while maintaining the same verification time. Specifically, TRUESET outperforms Pinocchio in the prover's running time by 150x when the input set cardinality is 28. This saving happens in both polynomial computations and exponentiation operations, as shown in Figure 5 (c). We also note that Pinocchio's pairwise comparison approach outperforms the sorting network approach due to the expensive split gates needed for comparisons in the sorting-network circuits, as discussed above, which results into a large constant affecting the performance at small cardinalities.

Considering evaluation and verification key sizes, Figure 5 also shows a comparison between TRUESET and Pinocchio under both the pairwise and sorting networks approaches. The figures demonstrate that TRUESET yields much smaller evaluation keys due to the more compact wire representation it employs (a single wire for a set as opposed to a wire per element), e.g., at an input set cardinality of 2^8 , the saving is about 98%. It can also be noticed that the keys generated in Pinocchio using sorting networks are much larger than the ones generated in pairwise circuits, due to the use of the split gates. On the other hand, TRUESET and Pinocchio almost maintain the same verification key sizes, as the verification key mainly depends on the number of input elements in addition to the number of output elements in the worst case. (The verification key in TRUESET is negligibly more than the verification key of Pinocchio, due to an additional value that is needed to be verified per each input or output set. This is because an n-element set is represented by an *n*-degree polynomial which requires n + 1 coefficients.)



Figure 5: Comparison between TRUESET and Pinocchio for the case of a single union gate. In the horizontal axis, we show the cardinality of each input set in logarithmic scale. (Note: Each time data point is the average of ten runs. The error bars were too small to be visualized). Subfigures (a), (b) and (d) show the comparison in terms of the key generation, proof computation and verification times, while (c) shows TRUESET's prover's time in more detail compared to Pinocchio's prover in the case of pairwise comparison. Subfigures (e) and (f) show the compressed evaluation and verification key sizes (The cryptographic proof for all instances is 288 bytes).



Out = ((A U B) - (C U D)) U ((E U F)∩(G U H))

Figure 6: The multiple-gate circuit used for evaluation.

5.4 Multiple-Gate Circuit

We now compare TRUESET and Pinocchio's performance for a complex set circuit consisting of multiple set operations, illustrated in Figure 6. The circuit takes eight input sets of equal cardinalities, and outputs one set. We compare both the prover's overhead and the key sizes with respect to different input set cardinalities, but this time we consider only Pinocchio circuits based on pairwise comparisons, as the sorting network approach has much larger overhead for computation times and key sizes as shown in the previous subsection.

Figure 7 shows a comparison between TRUESET's approach and Pinocchio's approach. The results again



Figure 7: Comparison between TRUESET and Pinocchio in the case of the multiple-gate circuit shown in Fig. 6, assuming the pair-wise comparison circuit for Pinocchio. In the horizontal axis, we show the cardinality of each input set in logarithmic scale. Subfigures (a), (b) and (d) show the comparison in terms of the key generation, proof computation and verification time, while (c) shows TRUESET's prover's time in more detail compared to Pinocchio's prover time. Subfigures (e) and (f) show the compressed evaluation and verification key sizes (The cryptographic proof for all instances is 288 bytes).

confirm that TRUESET greatly outperforms Pinocchio's elapsed time for key generation and proof computation, while maintaining the same verification time. In particular, for input set cardinality of 2^6 , TRUESET's prover has a speedup of more than **50x**. In terms of key sizes, the figure confirms the observation that the evaluation key used by TRUESET is tiny compared to that of Pinocchio, e.g., 97% smaller when the input cardinality is 2^6 .

5.5 Cardinality and Sum of Set Elements

Here, we evaluate TRUESET when a split gate is used to calculate the cardinality and sum for the output set of Figure 6. We compare that with Pinocchio's performance for the same functions. One important parameter that has to be defined for the split gate first is the maximum cardinality of the set it can support. This is needed for translating the split gate to the appropriate number of multiplication gates needed for verification. For example, a split gate added to the output of the circuit in Figure 6, will have to account for 4n set elements in the worst case, if n is the upper bound on the input set cardinalities.

Table 1 presents a comparison between TRUESET and Pinocchio in terms of the elapsed times in the three stages and the evaluation/verification key sizes, when the input set cardinality is 64. As the table shows, TRUESET can provide better performance in terms of the key generation and proof computation times (4x better proof computation time), in addition to a much smaller public evaluation key. It can be noted that, while there definitely exists a large improvement over Pinocchio, it is not as large as the one



Figure 8: Summary of TRUESET performance under all circuits in linear scale.

	TRUESET	MS Pinocchio	NTL-ZM Pinocchio
Key Generation (sec)	13.07	43.03	47.39
Proof Computation (sec)	32.45	174.99	137.79
Verification (sec)	0.065	0.074	0.066
Evaluation Key (MB)	12.7	72.45	72.45
Verification Key (KB)	49.65	48.6	48.6

Table 1: Comparison between TRUESET and Pinocchio on a circuit that computes **the cardinality and the sum** of the output set in the circuit in Figure 6, at input set cardinality of 64.

exhibited for the previous single-gate and multiple-gate circuits. Overall, we found the split gate to be costlier than set gates since the multiplication gates introduced by the split gate increase proportionally with the number of the set elements it can support, whereas set gates are "oblivious" to the number of elements.

5.6 Discussion of Results

The evaluation of TRUESET for single-gate and multiplegate circuits showed huge improvement for both key generation and proof computation time over Pinocchio. For example, for the single union case with 2^8 -element input sets, a speed-up of 150x was obtained for the prover's time, while providing more than 98% saving in the evaluation key size. For a multiple-gate circuit comprised of seven set gates with eight input sets, each of 2^6 elements, a prover speed-up of more than 50x, and key size reduction of 97% were obtained.

As can be qualitatively inferred by our plots, these improvements in performance allow us to accommodate problem instances that are several times larger than what was considered achievable by previous works. TRUESET achieves the performance behavior that Pinocchio exhibits for sets of a few dozen elements, for sets that scale up to approximately 8000 elements, handling circuits with nearly 30x larger I/O size. Figure 8 summarizes the behavior of TRUESET for all circuits we experimented with, illustrating its performance for the three stages in linear scale. In all cases, the running time increases approximately linearly in the input size. The cost increases more abruptly when a split gate is introduced due to the added complexity discussed above. Improving the performance of the split gate is one possible direction for future work.

Remarks. We discuss here a few points related to the performance of our scheme.

Performance on Arithmetic Circuits. The presented evaluation covered the case of set circuits only, in which our construction outperformed arithmetic circuits verified using Pinocchio. Our construction can support typical arithmetic circuits as well, by assuming that the maximum polynomial degree on each wire is 0. In this case, our construction will reduce to Pinocchio's, however due to the bivariate polynomial operations, there will be more overhead in accommodating arithmetic circuits. For example, for an arithmetic circuit handling the multiplication of two 50x50 32-bit element matrices, the prover's time with TRUESET increased by 10% compared to Pinocchio.

Outsourced Sets. In the above, we assumed that the client possesses the input sets. However, it is common practice in cloud computing, to not only delegate computations but storage as well. In this case, the client initially outsources the sets to the server and then proceeds to issue set operation queries over them. This introduces the need for an additional mechanism to ensure the authenticity of the set elements used by the server. The full version of our paper [20] describes a modified protocol that handles this case using Merkle tree proofs.

Supporting multisets. Finally, it should be noted that the comparisons with Pinocchio above assumed proper sets only. In a setting that accommodates multiset operations (i.e., sets that allow repetition in elements), we expect TRUESET's performance to be much better, as it can naturally handle multiset cases without adding any modifications. On the other hand, Pinocchio multiset circuits are going to become more complex due to the added complexity of taking repetitions into account. For example, in intersection gates, it will not be enough to only check that two element are equal, but it will also be necessary to make sure that the matched element was not encountered before, introducing additional overhead.

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6 Appendix

6.1 Computational Assumptions

Assumption 1 (q-PDH assumption [16]) The q-power Diffie-Hellman (q-PDH) assumption holds for G if for all PPT A the following probability is negligible in k:

$$\Pr\left[\begin{array}{c} (p, \mathbb{G}, \mathbb{G}_T, e, g) \leftarrow \mathcal{G}(1^k); s \leftarrow \mathbb{Z}_p^*;\\ \mathbf{G} \leftarrow \left[g, g^s, \dots, g^{s^q}, g^{s^{q+2}}, \dots, g^{s^{2q}}\right];\\ \sigma \leftarrow (p, \mathbb{G}, \mathbb{G}_T, e, \mathbf{G});\\ y \leftarrow \mathcal{A}(\sigma): y = g^{s^{q+1}} \end{array}\right].$$

Assumption 2 (q-PKE assumption [16]) The q-power knowledge of exponent assumption holds for G if for all PPT A there exists a non-uniform PPT extractor χ_A such that the following probability is negligible in k:

$$\Pr\left[\begin{array}{c} (p, \mathbb{G}, \mathbb{G}_T, e, g) \leftarrow \mathcal{G}(1^k); \{\alpha, s\} \leftarrow \mathbb{Z}_p^*;\\ \mathbf{G} \leftarrow \left[g, g^s, \dots, g^{s^q}, g^{\alpha}, g^{\alpha s}, \dots, g^{\alpha s^q}\right];\\ \sigma \leftarrow (p, \mathbb{G}, \mathbb{G}_T, e, \mathbf{G});\\ (c, \hat{c}; a_0, a_1, \dots, a_q) \leftarrow (\mathcal{A}||\chi_{\mathcal{A}})(\sigma, z):\\ \hat{c} = c^{\alpha} \wedge c \neq g \Pi_{i=0}^{q} {}^{a_i s^i} \end{array}\right]$$

for any auxiliary information $z \in \{0,1\}^{\mathsf{poly}(k)}$ that is generated independently of α . Note that $(y;z) \leftarrow (\mathcal{A}||\chi_{\mathcal{A}})(x)$ signifies that on input x, \mathcal{A} outputs y, and that $\chi_{\mathcal{A}}$, given the same input x and \mathcal{A} 's random tape, produces z.

Assumption 3 (q-SDH assumption [8]) The q-strong Diffie-Hellman (q-SDH) assumption holds for G if for all PPT A the following probability is negligible in k:

$$\Pr\left[\begin{array}{c} (p, \mathbb{G}, \mathbb{G}_T, e, g) \leftarrow \mathcal{G}(1^k); \{s\} \leftarrow \mathbb{Z}_p^*; \\ \sigma \leftarrow (p, \mathbb{G}, \mathbb{G}_T, e, \mathbf{G} = [g, g^s, \dots, g^{s^q}]); \\ (y, c) \leftarrow \mathcal{A}(\sigma) : y = e(g, g)^{\frac{1}{s+c}}. \end{array}\right].$$

6.2 Succinct Non-Interactive Arguments of Knowledge (SNARKs)

Definition 6 (SNARK) Algorithms

(KeyGen, Prove, Verify) give a succinct non-interactive argument of knowledge (SNARK) for an NP language L with corresponding NP relation R_L if:

Completeness: For all $x \in L$ with witness $w \in R_L(x)$, the following probability is negligible in k:

$$\Pr\left[\operatorname{Verify}(\mathsf{sk}, x, \pi) = 0 \middle| \begin{array}{c} (\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{KeyGen}(1^k), \\ \pi \leftarrow \mathsf{Prove}(\mathsf{pk}, x, w) \end{array} \right]$$

Adaptive soundness: For any PPT algorithm A, the following probability is negligible in k:

$$\Pr\left[\begin{array}{c|c} \mathsf{Verify}(\mathsf{sk}, x, \pi) = 1 \\ \land (x \notin L) \end{array} \middle| \begin{array}{c} (\mathsf{pk}, \mathsf{sk}) \leftarrow \mathsf{KeyGen}(1^k), \\ (x, \pi) \leftarrow \mathcal{A}(1^k, \mathsf{pk}) \end{array} \right]$$

- *Succinctness:* The length of a proof is given by $|\pi| = poly(k)poly log(|x| + |w|)$.
- *Extractability:* For any poly-size prover Prv, there exists an extractor Extract such that for any statement x, auxiliary information μ , the following holds:

$$\Pr\left[\begin{array}{c} (\mathsf{pk},\mathsf{sk}) \leftarrow \mathsf{KeyGen}(1^k) \\ \pi \leftarrow \mathsf{Prv}(\mathsf{pk},x,\mu) \\ \mathsf{Verify}(\mathsf{sk},x,\pi) = 1 \\ \wedge \\ w \leftarrow \mathsf{Extract}(\mathsf{pk},\mathsf{sk},x,\pi) \\ w \notin R_L(x) \end{array}\right] = \mathsf{negl}(k) \,.$$

Zero-knowledge: There exists a simulator Sim, such that for any PPT adversary A, the following holds:

$$\begin{split} \Pr\left[\begin{array}{c} \mathsf{pk} \leftarrow \mathsf{KeyGen}(1^k); (x, w) \leftarrow \mathcal{A}(\mathsf{pk}); \\ \pi \leftarrow \mathsf{Prove}(\mathsf{pk}, x, w) : (x, w) \in R_L \\ and \ \mathcal{A}(\pi) = 1 \end{array}\right] \\ \simeq \\ \Pr\left[\begin{array}{c} (\mathsf{pk}, \mathsf{state}) \leftarrow \mathsf{Sim}(1^k); (x, w) \leftarrow \mathcal{A}(\mathsf{pk}); \\ \pi \leftarrow \mathsf{Sim}(\mathsf{pk}, x, \mathsf{state}) : (x, w) \in R_L \\ and \ \mathcal{A}(\pi) = 1 \end{array}\right] \end{split}$$

We say that a SNARK is *publicly verifiable* if sk = pk. In this case, proofs can be verified by anyone with pk. Otherwise, we call it a *secretly-verifiable* SNARK, in which case only the party with sk can verify.