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## Toposym 4-B

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### **Tychonoff Spaces that Have a Compactification with Countable Remainder**

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TYCHONOFF SPACES THAT HAVE A COMPACTIFICATION  
WITH COUNTABLE REMAINDER

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In 1935, L. Zippin showed that every separable rimcompact completely metrizable space has a metrizable compactification with a countable (not necessarily infinite) remainder [Z]. A Tychonoff space  $X$  with a compactification  $\gamma X$  such that  $|\gamma X - X| \leq \omega$  is called a *Zippin space* and  $\gamma X$  is called a *Zippin compactification*. If, in addition,  $\gamma X - X$  is metrizable,  $X$  is called a *strongly Zippin space* and  $\gamma X$  a *strongly Zippin compactification*. In this paper, an attempt is made to characterize spaces that are Zippin or strongly Zippin.

We succeed in this goal only in small part, but we do obtain a number of conditions on a space that are either necessary or sufficient for such compactifications to exist. For the most part, proofs are omitted. A more complete version of this paper will appear elsewhere.

At the Fourth Prague Topological Symposium, T. Hoshina also presented a paper on this topic. His results and mine overlap, but are not identical.

All topological spaces considered are assumed to be Tychonoff spaces. Any such space has a maximal compactification  $\beta X$ , called the *Stone-Cech compactification* of  $X$  that maps continuously onto any compactification  $\gamma X$  of  $X$  with a mapping that extends the identity map [GJ, Chapter 6]. If the topology of  $X$  has a base of open sets with compact boundary, then  $X$  is called *rimcompact* (the term *semicompact* is used in [Z] and *semibicompact* is used in [M]). Every rimcompact space has a compactification  $\phi X$  maximal among the compactifications with a zero-dimensional remainder.  $\phi X$  is called *the Freudenthal compactification* of  $X$  [I, pp. 109-122] [M].

If  $P$  is a property of topological spaces, then  $X$  has  $P$  at  $\infty$  if  $\beta X - X$  has  $P$ . It is noted in [HI, Sec. 3] that if  $P$  is compactness, local compactness,  $\sigma$ -compactness, or the Lindelöf property, then  $X$  has  $P$  at  $\infty$  if and only if  $\gamma X - X$  has  $P$  for any compactification  $\gamma X$  of  $X$ . A space that is  $\sigma$ -compact at  $\infty$  is said to be *Cech-complete* or an *absolute*  $G_\delta$ . It is well known that a metrizable space is Cech-complete if and only if it admits a complete metric [E, p. 190].  $X$  is Lindelöf at  $\infty$  if and only if every compact subset  $K_1$  of  $X$  is contained in a compact set  $K_2$  for which there is a countable family  $\{U_i\}$  of open sets containing  $K_2$  such that any open set containing  $K_2$  contains some  $U_i$ . In particular, every metrizable space is Lindelöf at  $\infty$  [HI, Sec. 3]. Also, if  $X$  is Lindelöf at  $\infty$  and has a compactification with 0-dimensional

remainder, then  $X$  is rimcompact by [I, p. 114].

It follows that every Zippin space is rimcompact and Čech-complete. (See also [R1] [R2]). As is noted in [I, p. 109]:

$\mathcal{C}l_{\gamma X}(\gamma X - x) = (\gamma X - X) \cup R(X)$  for any compactification  $\gamma X$  of  $X$ , where  $R(X)$  is the set of points of  $X$  that fail to have a compact neighborhood.

Thus, by [CN, Sec. 6], we have:

1. *Proposition* If  $X$  is a Zippin space then

- (a)  $X$  is rimcompact.
- (b)  $X$  is Čech-complete.
- (c)  $|R(X)| \leq \exp \exp \omega$ .

If  $X$  is strongly Zippin, then, in addition:

- (d)  $R(X)$  is a Lindelöf space.

The upper bound in (c) cannot be lowered. For if  $Q$  is the space of rational numbers, then  $\beta Q$  is a strongly Zippin compactification of  $\beta Q - Q = R(\beta Q - Q)$ , and  $|\beta Q| = |\beta Q - Q| = \exp \exp \omega$  [GJ, Chap. 9].

Whether the conditions of Proposition 1 are sufficient to insure that a space  $X$  is a Zippin space remains an open question. Below, two kinds of sufficient conditions are obtained; those that make  $R(X)$  a "large" part of  $X$ , and those that make it in a sense "small". I begin with the former.

A space  $X$  such that every family of pairwise disjoint of open sets is countable is said to satisfy the *countable chain condition* (CCC). A space  $X$  is called *metacompact* or *weakly paracompact* if every open cover has a point-finite open refinement. As is well known, every paracompact, and hence every metrizable space is metacompact [E, pp. 225-228].

As in [LM], a space  $X$  is called *dense separable* if every dense subspace of  $X$  is separable.

2. *Theorem.* Suppose  $X$  is a Zippin space such that  $X - R(X)$  is separable. Then:

- (a)  $X$  satisfies the CCC.
- (b) If  $X$  is metacompact or strongly Zippin, then  $X$  is a Lindelöf space.
- (c) If  $X$  is strongly Zippin, then  $X$  is separable.
- (d) If  $X - R(X)$  is dense separable, so is  $X$ .

3. *Corollary.* Suppose  $X$  is a metrizable space such that  $(X-R(X))$  is separable. Then the following are equivalent.

- (a)  $X$  is a strongly Zippin space.
- (b)  $X$  is a Zippin space.
- (c)  $X$  is separable, rimcompact, and Čech-complete.

Next, a characterization of a special class of strongly Zippin spaces is given. It is established by decomposing the remainder of  $X$  in its Freudenthal compactification  $\Phi X$ .

4. *Theorem.* If  $R(X)$  is locally compact, then  $X$  is a strongly Zippin space if and only if  $X$  is rimcompact, Čech-complete, and  $R(X)$  is a Lindelöf space. Indeed, such a space has a strongly Zippin compactification with remainder homeomorphic to either a countable discrete space or its one-point compactification.

I conclude with some remarks, examples, and questions.

A. By modifying [LM, Example 5.3], an example can be given of a Zippin space that is not strongly Zippin. It can be shown, however, that if  $R(X)$  is Lindelöf and  $X$  is a Zippin space, then  $X$  is strongly Zippin.

B. Clearly every closed subspace of a (strongly) Zippin space is (strongly) Zippin, and every open subspace of a Zippin space is rimcompact and Čech-complete by Proposition 1. The existence of open subspaces of  $\beta Q-Q$  that are not Lindelöf shows that an open subspace of a strongly Zippin space need not be strongly Zippin. I do not know, however, if an open subspace of a (strongly) Zippin space has to be a Zippin space.

C. Recall that a continuous closed surjection  $f: X \rightarrow Y$  such that  $f^{-1}(y)$  is compact for every  $y \in Y$  is called a *perfect* map. If  $Y = [0,1]-Q$ , then the projection map of  $Y \times [0,1]$  onto  $Y$  is perfect,  $Y$  is a strongly Zippin space, but  $Y \times [0,1]$  is not rimcompact and hence is not a Zippin space (although it is the product of a compact space and a strongly Zippin space). I do not know, however, if a perfect image of a (strongly) Zippin space must be (strongly) Zippin.

D. It follows easily from [GM, Example 5.3, ff.] that no connected Zippin space has a countable partition into compact sets.

E. It is easily verified that if  $R(X) = X$  is connected, then the remainder of  $X$  in any compactification is connected, whence  $X$  cannot be a Zippin space. (See [R 1, Corollary 3]). Indeed, if  $X$  is also Lindelöf at  $\infty$ , it cannot even be rimcompact. In particular, a countably infinite product of copies of  $R$  is not rimcompact.

F. It was shown by McCartney in [Mc, 3.6] that  $X$  has a maximal Zippin compactification if and only if  $X$  has a compactification with zero-dimensional remainder

and  $\beta X-X$  has only countably many components. Indeed, if this latter holds, then  $\Phi X$  is the maximal Zippin compactification. For a simpler proof see [D].

I am indebted to M. Rayburn for suggesting the study of Zippin spaces, and to Tom Savage and him for valuable conversations about it. Much of this material was presented at a seminar at Wesleyan University in the summer of 1976 and valuable comments were made by W. Comfort, T. Hager, L. Robertson, and T. Retta.

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