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#### ORIGINAL RESEARCH

# Type 2 diabetes mellitus might be a risk factor for mild cognitive impairment progressing to Alzheimer's disease

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'Alzheimer's Disease and Related Disorders Center, <sup>2</sup>Department of Geriatric Psychiatry, Shanghai Mental Health Center, Shanghai Jiaotong University School of Medicine, Shanghai, People's Republic of China **Background:** Mild cognitive impairment (MCI) is the prodromal stage of Alzheimer's disease (AD), so identification of the related risk factors can be helpful. Although the association between type 2 diabetes mellitus (T2DM) and these modest changes in cognition is well established, whether T2DM will promote the transformation of MCI into AD is not a unified conclusion.

**Objective:** This study aims to explore the relationship between T2DM and MCI in the elderly population living in the community in Shanghai, People's Republic of China.

**Methods:** A total of 197 participants were included in the study. They were screened for T2DM, hyperlipidemia, traumatic brain injury, and family history of dementia. The Mini-Mental State Examination and the Montreal Cognitive Assessment were used to assess cognitive function. The diagnosis of AD was made according to *Diagnostic and Statistical Manual of Mental Disorders, Fourth Edition*, whereas the diagnosis of MCI was made according to Petersen's criteria. Then, we investigated the relation between T2DM and MCI.

**Results:** A total of 82 (41.6%) participants had no cognitive impairment, 82 (41.6%) participants had MCI, and 33 (16.8%) participants had AD. Multivariate logistic regression models demonstrated that T2DM was a risk factor for AD (odds ratio =49.723, 95% CI =21.173–111.987).

**Conclusion:** T2DM might be a risk factor for MCI progressing into AD.

Keywords: T2DM, AD, MCI, risk factor

### Introduction

Alzheimer's disease (AD) is a progressive neurodegenerative disorder and the most common form of dementia among the population aged >65 years. It is characterized by extracellular amyloid plaques and neurofibrillary tangles. Mild cognitive impairment (MCI) is often considered to be a transitional phase between healthy cognitive aging and AD. Up to 60% of MCI patients will develop AD within a 10-year period, but many people can remain cognitively stable or regain normal cognitive function. There are many factors that affect the progress of MCI, for example, sex difference. Type 2 diabetes mellitus (T2DM) might also be a potential risk for MCI progressing into AD, by inducing vascular dysfunction and oxidative and inflammatory stress. Many cognitive functions, such as learning and memory, mental flexibility, and mental speed, have also been proved to be impaired in patients with T2DM. Some epidemiological studies demonstrated that T2DM was a risk factor for developing cognitive impairment and dementia, including AD. Also, a prospective longitudinal study conducted in the southeastern region of Singapore showed that T2DM was associated with an increased incidence of MCI and progression to dementia. However, Leibson et al.

Correspondence: Shifu Xiao Alzheimer's Disease and Related Disorders Center, Shanghai Jiaotong University School of Medicine, No. 600 South Wanping Road, Xuhui Distinct, Shanghai 200030, China Tel +86 21 6438 7250 Email xiaoshifu@msn.com reported that T2DM can increase the risk of AD in male patients, whereas Atiea et al believed that T2DM will not affect the prognosis of MCI. Some previous studies even reported that T2DM cases with MCI have less neurofibrillary tangles and fewer neuritic plaques in both cerebral cortex and hippocampal region.  $^{12}$  And some antidiabetic medications, such as the glucagon-like peptide-1 receptor agonists, might inhibit the accumulation of A $\beta$  protein.  $^{13}$  Since there are no definitive conclusions (based on the published data) about the relation between T2DM and MCI in Chinese population, we designed this study to explore the association of MCI with T2DM and the potential risk factors for AD in the People's Republic of China.

### **Methods**

### Study participants

This cross-sectional, population-based study was carried out between June 1 and August 31, 2011. All the participants were recruited from urban sites in the North XinJing district of Shanghai, People's Republic of China. The inclusion criteria were as follows: 1) 55 years and older; 2) living in Shanghai for at least 2 years; 3) no evidence of serious physical illness or serious mental illness, such as schizophrenia and mental retardation; 4) primary school and higher education; and 5) agreed to participate in the study. Exclusion criteria were as follows: 1) acute stress state, for example, acute medical disorders; 2) serious physical illness or serious mental illness; 3) illiteracy; and 4) the participants or the guardians refused to participate in the study. Finally, 525 elderly participants entered this study.

Ethical approval was obtained from Shanghai Mental Health Centre, and all the participants had signed an informed consent before the study was initiated.

# Study design and measurements

This study consisted of two steps: step 1 for screening all the eligible participants and step 2 for the confirmation of the suspected cases of MCI and AD.

In step 1, all the 525 participants completed a face-to-face interview with a standardized questionnaire. The interview was conducted by trained graduate students, and it included general demographics (eg, age, sex, education, occupation, and living arrangements), living habits (eg, smoking, drinking, and tea), and history of diseases (eg, T2DM, hyperlipidemia, traumatic brain injury, and family history of dementia). Then, the Mini-Mental State Examination (MMSE) and the Montreal Cognitive Assessment (MoCA) were used to screen for cognitive impairment. The MMSE is a good cognitive assessment tool, which has been widely used

in both clinical and research settings. It can be used to screen patients for cognitive impairment, track changes in cognitive functioning over time, and access the effects of therapeutic agents on cognitive function.<sup>14</sup> MMSE has a good sensitivity and specificity for the detection of dementia, but for the detection of nondementia cognitive impairment, its sensitivity is only 20%-60%. 15 In order to correct MMSE deficiencies, the MoCA has been introduced into clinical practice. MoCA is a 10-20-minute screening test designed for assisting clinicians in detecting early or minor cognitive impairment. It covers many major cognitive domains, including episodic memory, language, attention, orientation, visuospatial ability, and executive functions. 16 Similar to MMSE, MoCA is also very brief and easy to administer. However, it contains some more challenging tests such as executive function, higher-level language, and complex visuospatial processing, which are considered to be able to detect MCI with less ceiling effect. 17 The scores of the MMSE ( $\leq$ 27) and MoCA ( $\leq$ 26) were taken together to evaluate cognitive impairment.<sup>18</sup>

After cognitive testing, an extensive medical examination was conducted by trained and qualified medical clinicians which led to a consensus diagnosis of MCI and AD. MCI was diagnosed according to the revised Petersen's diagnostic algorithm, <sup>19</sup> and AD was diagnosed according to the *Diagnostic and Statistical Manual of Mental Disorders, fourth edition*. In order to exclude vascular dementia (VD) and other diseases that might affect cognition, we added magnetic resonance imaging and blood biochemical tests. At the same time, we also applied the geriatric depression scale<sup>20</sup> to exclude depression.

### Data analysis

Continuous variables were expressed as mean and SD, and categorical variables were expressed as frequencies (%). One-way analysis of variance (ANOVA) was used to compare the differences among the no cognitive impairment (NCI) group, MCI group, and AD group. Related factors of cognition were analyzed using multifactor logistic regression models. Two-tailed tests were used at a significance level of P < 0.05 for all analyses. The data were analyzed using SPSS 22.0 (IBM Corporation, Armonk, NY, USA).

### Results

Using a combination of cognitive tests, the clinical investigations, and laboratory testing, three groups were established consisting of NCI (n=82), MCI (n=82), and AD (n=33). (Other missing data included: four people who could not be diagnosed, eight people with VD, two people with

frontotemporal dementia, three people with mixed dementia, 27 people with depression, one with syphilis, one with type 1 diabetes mellitus, while others could not be determined if there was a combination of T2DM).

# Demographic characteristics

Table 1 shows the characteristics of the 197 participants. The average age was 74.2±10.7 years (range 55–100 years): 18.7% were in the 55–59-year group, 13.7% were in the 60–64-year group, 10.7% were in the 65–69-year group, 11.2% were in the 70–74-year group, 21.3% were in the 75–79-year group, 24.4% were in the 80–100-year group; 69 (35%) were males; 65 (33%) were engage in mental work; 167 (84.8%) had lived in the city for a long time, 13 (6.6%)

**Table I** Demographic characteristics of the study population

Characteristics	N	%	
Age group (years)			
55–59	37	18.7	
60–64	27	13.7	
65–69	21	10.7	
70–74	22	11.2	
75–79	42	21.3	
≥80	48	24.4	
Sex			
Male	69	35	
Female	128	65	
Professional nature			
Mental labor	65	33	
Manual labor	132	67	
Long-term residence			
City	167	84.8	
Town	13	6.6	
Village	17	8.6	
Smoking			
Yes	37	18.8	
No	160	81.2	
Drinking alcohol			
Yes	27	13.7	
No	170	86.3	
Drinking tea			
Yes	75	38.1	
No	122	61.9	
Diabetes			
Yes	33	16.8	
No	164	83.2	
Hyperlipidemia			
Yes	41	20.8	
No	156	79.2	
Family history of dementia			
Yes	4	2	
No	193	98	
Traumatic brain injury			
Yes	14	7.1	
No	183	92.9	

had lived in the town for a long time, and 17 (8.6%) had lived in the villages for a long time; 37 (18.8%) had a smoking habit; 27 (13.7%) had a drinking habit; 75 (38.1%) had a tea drinking habit; 33 (16.8%) with T2DM; 41 (20.8%) with hyperlipidemia; four (2%) had a history of dementia; and 14 (7.1%) experienced traumatic brain injury.

# Differences among the three groups (NCI, MCI, and AD) by one-way ANOVA

Table 2 shows the differences among the three groups (NCI, MCI, and AD) in categorical variables using the one-way ANOVA. Professional nature (engaged in mental or physical labor), long-term residence (city, town, or village), drinking tea (yes or no), T2DM (yes or no), hyperlipidemia (yes or no), and traumatic brain injury (yes or no) were statistically significantly different among the three groups (P<0.05), and their P-values were 0.000, 0.003, 0.000, 0.032, 0.001, and 0.041, respectively.

Table 3 shows the differences among the three groups in continuous variables. Age, education, the scores of MMSE and MoCA, height, and weight were statistically significantly different among the three groups (P<0.05), and their P-values were 0.000, 0.000, 0.000, 0.000, 0.034, and 0.003, respectively.

Table 4 shows the detailed disease information in the three groups, T2DM (yes or no), hyperlipidemia (yes or no), and traumatic brain injury (yes or no) and they were statistically significantly different among the three groups (P<0.05), and their P-values were 0.032, 0.001, and 0.041, respectively.

Table 5 shows the detailed disease information between MCI group and AD group, and hyperlipidemia (yes or no) was statistically significantly (P=0.001) different between the two groups.

# Possible factors associated with AD by multivariate logistic regression models

Based on previous statistical conclusions and clinical experience, professional nature (engaged in mental or physical labor), long-term residence (city, town, or village), drinking tea (yes or no), T2DM (yes or no), hyperlipidemia (yes or no), traumatic brain injury (yes or no), age, sex, education, the scores of MMSE and MoCA, height, and weight were included in the multivariate logistic regression models. We found that T2DM (odds ratio =49.723, 95% CI =21.173–111.987) might be a risk factor for AD (Table 6; comparison between the AD group and MCI group), while there was no related factor found between the AD group and NCI group.

Table 2 Univariate analysis of cognitive impairment (categorical variables)

Group	Sum of squares	df	Mean square	F	P-value
Sex: male or female	0.889	2	0.445	1.963	0.143
Professional nature: mental or manual	4.300	2	2.150	10.766	0.000
Long-term residence: city, town, or village	4.142	2	2.071	6.069	0.003
Smoking: yes or no	0.505	2	0.253	1.659	0.193
Drinking alcohol: yes or no	0.080	2	0.040	0.353	0.703
Drinking tea: yes or no	3.574	2	1.787	8.118	0.000
Diabetes: yes or no	1.503	2	0.527	3.514	0.032
Hyperlipidemia: yes or no	5.310	2	2.655	13.272	0.001
Family history of dementia: yes or no	0.875	2	0.438	2.451	0.653
Traumatic brain injury: yes or no	0.420	2	0.210	3.235	0.041

Abbreviation: df, degrees of freedom.

Table 3 Univariate analysis of cognitive impairment (continuous variables)

Group	Normal	MCI	Dementia	F	P-value
Age (years), mean $\pm$ SD	68.48±11.546	71.35±10.197	79.76±5.990	14.292	0.000
Education (years), mean $\pm$ SD	9.20±3.329	7.11±4.035	2.52±3.135	35.583	0.000
MMSE, mean $\pm$ SD	25.17±5.805	24.50±3.400	12.94±6.557	75.148	0.000
MoCA, mean $\pm$ SD	20.74±5.674	18.74±4.507	8.58±5.038	68.758	0.000
SBP (mmHg), mean $\pm$ SD	123.87±13.643	128.34±12.457	127.84±11.198	2.373	0.096
DBP (mmHg), mean $\pm$ SD	76.27±8.669	77.51±9.479	77.76±6.340	0.463	0.630
Height (cm), mean $\pm$ SD	1.60±0.076	1.61±0.076	1.563±0.085	3.456	0.034
Weight (kg), mean $\pm$ SD	60.59±9.745	64.44±11.282	56.07±13.703	6.195	0.003
BMI (kg/m $^2$ ), mean $\pm$ SD	23.78±3.186	24.91±4.319	22.98±4.099	2.956	0.055

Abbreviations: BMI, body mass index; DBP, diastolic blood pressure; MCI, mild cognitive impairment; MMSE, Mini-Mental State Examination; MoCA, Montreal Cognitive Assessment; SBP, systolic blood pressure.

Table 4 The detailed disease information among the three groups

Groups	Normal (n=82)	MCI (n=82)	AD (n=33)	F	P-value
Diabetes, n (%)					
Yes	10 (5.1)	20 (10.2)	3 (1.5)	3.514	0.032
No	72 (36.5)	62 (31.5)	30 (15.2)		
Drug therapy for diabetes, n (%)					
Yes	9 (28.1)	16 (50.0)	2 (6.3)	0.420	0.661
No	I (3.1)	4 (12.5)	I (3.I)		
Diabetes duration (mean $\pm$ SD)	10.20±9.485	9.30±6.208	8.67±7.095	0.071	0.932
Hyperlipidemia, n (%)					
Yes	15 (7.6)	25 (12.7)	I (0.5)	13.272	0.001
No	67 (34)	57 (28.9)	32 (16.2)		
Drug therapy for hyperlipidemia, n (%)					
Yes	7 (20.6)	11 (32.4)	0 (0)	0.747	0.477
No	4 (11.8)	12 (35.3)	0 (0)		
Hyperlipidemia duration (mean $\pm$ SD)	9.59±8.864	7.342±8.890		0.567	0.573
History of traumatic brain injury, n (%)					
Yes	4 (2.0)	10 (5.1)	0 (0)	3.235	0.041
No	78 (39.6)	72 (36.5)	33 (16.8)		
Family history of dementia, n (%)					
Yes	I (0.5)	3 (1.5)	0 (0)	2.451	0.653
No	80 (40.8)	79 (40.3)	33 (16.8)		

**Notes:** Results are in response to a one-way ANOVA, and P<0.05 was considered statistically significant.

 $\textbf{Abbreviations:} \ \mathsf{AD}, \ \mathsf{Alzheimer's} \ \mathsf{disease;} \ \mathsf{MCI}, \ \mathsf{mild} \ \mathsf{cognitive} \ \mathsf{impairment}.$ 

Table 5 The comparison of detailed disease information between MCI group and AD group

Groups	MCI	AD	χ² or t	<i>P</i> -value
Diabetes, n (%)				
Yes	20 (17.4)	3 (2.6)	3.442	0.075
No	62 (53.9)	30 (26.1)		
Diabetes duration (mean $\pm$ SD)	9.30±6.208	8.67±7.095	0.146	0.895
Hyperlipidemia, n (%)				
Yes	25 (21.7)	I (0.9)	10.139	0.001*
No	57 (49.6)	32 (27.8)		
History of traumatic brain injury, n (%)				
Yes	10 (8.7)	0 (0.0)	4.408	0.060
No	72 (62.6)	33 (16.8)		
Family history of dementia, n (%)				
Yes	3 (2.6)	0 (0.0)	1.668	0.434
No	78 (67.8)	33 (16.8)		

Note: \*P<0.05.

Abbreviations: AD, Alzheimer's disease; MCI, mild cognitive impairment.

### **Discussion**

In this study, T2DM was shown to be a risk factor for elderly Chinese people with MCI progressing into AD (we had adjusted those possible related factors, such as age and education), while it had no impact on the normal population. Leibson et al<sup>21</sup> confirmed that individuals with T2DM had increased the risk for developing AD. In a longitudinal cohort study lasting up to 9 years, the risk of developing AD was 65% higher in persons with diabetes than in nondiabetic controls.<sup>22</sup> Huang et al<sup>23</sup> found that over a maximum 11 years of follow-up, diabetic patients experienced a higher incidence of AD than nondiabetic subjects (0.48% vs 0.37%) but other studies have not shown a link between T2DM and dementia. 21,24 Although the association between T2DM and these modest changes in cognition is now well established, the relation between T2DM and MCI is still an area of controversy.25

In addition, we did not find the effect of the duration of T2DM and hypoglycemic agents on cognitive function. However, previous studies had shown that the duration of T2DM was negatively correlated with cognitive function. Hsu et al<sup>27</sup> pointed out that both sulfonylureas and metformin could decrease the risk of dementia, and a combined use of these two drugs could decrease the risk of dementia in T2DM patients by

**Table 6** The factor that might be associated with cognition

Factor	В	SE	Wald	df	P-value	OR	95% CI
T2DM	3.906	1.597	5.982	I	0.014	49.723	21.173-111.98

**Notes:** Results are in response to logistic regression analysis and P<0.05 is considered statistically significant.

**Abbreviations:** df, degrees of freedom; OR, odds ratio; SE, standard error; T2DM, type 2 diabetes mellitus; CI, confidence interval.

35% for >8 years. In addition, Abbatecola et al<sup>28</sup> proved that rosiglitazone may protect against cognitive decline in older individuals with T2DM and MCI. However, Ma et al<sup>29</sup> thought that insulin was not associated with significantly changed risk. And, in the Rotterdam study, the results showed that patients treated with insulin were at a 4.3-fold higher relative risk of dementia.<sup>30</sup> So, the results of our study are not very consistent with the previous conclusions, and this might be the result of insufficient sample size or sample selection bias.

There are several explanations for why T2DM might promote the conversion of MCI to AD. First, T2DM is associated with obesity, hypertension, inflammation, dyslipidemia, and hypothalamic pituitary adrenocortical axis abnormalities, all of which have been shown to negatively impact the brain.<sup>31</sup> In our study, we found that patients with MCI had higher incidence of hyperlipidemia than AD controls (Table 5). Previous studies suggested that hyperlipidemia would increase the risk of VD and AD,<sup>32</sup> so T2DM might promote the progression of transforming MCI into AD, by affecting the lipid metabolism. Second, individuals with T2DM were more likely to lead to the hyperphosphorylation of tau protein, abnormal regulation in the clearance process of amyloid beta, higher levels of cortical IL-6, and high frequency of microvascular infarcts.<sup>33,34</sup> Third, impaired insulin-PI3K-AKT signaling is one of the characteristics of T2DM, which might contribute to neurodegeneration in AD by downregulation of O-linked attachment of the monosaccharide  $\beta$ -N-acetyl-glucosamine (O-GlcNAcylation) and the consequent promotion of abnormal tau hyperphosphorylation and neurodegeneration.<sup>35</sup>

### **Conclusion**

T2DM might be a risk factor for MCI progressing into AD.

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### Limitations

There are some limitations in this study. First, the sample size was limited. Second, we only collected the information on whether the subjects had T2DM but we did not collect the information about detailed medication. In other words, the data on use of drugs to control T2DM were incomplete. Third, this was only a cross-sectional study, we could not determine the long-term influence of T2DM on cognitive function.

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## **Disclosure**

The authors report no conflicts of interest in this work.

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