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UAV Relaying Assisted Secure Transmission With Caching

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Abstract—Unmanned aerial vehicle (UAV) can be utilized as a relay to connect nodes with long distance, which can achieve significant throughput gain owing to its mobility and line-of-sight (LoS) channel with ground nodes. However, such LoS channels make UAV transmission easy to eavesdrop. In this paper, we propose a novel scheme to guarantee the security of UAV-relayed wireless networks with caching via jointly optimizing the UAV trajectory and time scheduling. For every two users that have cached the required file for the other, the UAV broadcasts the files together to these two users and the eavesdropping can be disrupted. For the users without caching, we maximize their minimum average secrecy rate by jointly optimizing the trajectory and scheduling, with the secrecy rate of the caching users satisfied. The corresponding optimization problem is difficult to solve due to its non-convexity, and we propose an iterative algorithm via successive convex optimization to solve it approximatively. Furthermore, we also consider a benchmark scheme in which we maximize the minimum average secrecy rate among all users by jointly optimizing the UAV trajectory and time scheduling when no user has the caching ability. Simulation results are provided to show the effectiveness and efficiency of our proposed scheme.

Index Terms—Caching, physical layer security, time scheduling, trajectory optimization, UAV relaying.

I. INTRODUCTION

Unmanned aerial vehicles (UAVs) have been widely utilized in wireless networks to improve the system performance recently [1], which have many advantages. First, UAVs often provide line-of-sight (LoS) channel links with ground users, which can enhance the transmission performance significantly [2]. Then, UAVs can be deployed quickly and flexibly for on-demand wireless systems due to their high mobility and agility. In addition, UAVs are less expensive than traditional communication infrastructures such as ground base stations

(BSs). Due to its promising performance, UAV assisted communication has attracted great interest from both industry and academia.

First, UAVs can be employed as aerial BSs to improve the capacity and coverage of traditional wireless networks [3]–[6]. For example, UAVs can be used to establish connections with users in some areas without infrastructures or achieve rapid service recovery after ground infrastructures being damaged in natural disasters. In [4], some fundamental work has been conducted to maximize the minimum throughput in multi-UAV networks via jointly optimizing the trajectory, power and scheduling. UAVs can also help ground BSs offload data traffic in crowded areas or improve the performance of cell-edge users [7]–[10]. Then, UAVs can be adopted as relays to help transmit information from source nodes to long-distance destination nodes [11]–[14]. Compared with ground static relays, UAV relay can achieve significant throughput gain because of its mobility and LoS channel. In [11], the throughput for UAV relaying system was maximized by designing UAV's trajectory and optimizing the source/relay transmit power. The outage probability of the UAV relaying network was significantly minimized by optimizing the UAV trajectory and power allocation in [12] and [13]. When there exist multi-layer UAV relays in the cellular network, mean packet transmission delay was minimized by optimizing resource allocation in [14]. In addition, UAVs should often connect to the core networks via limited wireless backhaul, which will degrade the user experience at peak-traffic hours. To overcome this problem, caching can be exploited for UAVs transmission to avoid network congestion [7], [15], [16]. In [15], the UAVs in a cloud radio access network were proposed to cache appropriate content during off-peak time via predicting users' behavior. An effective algorithm based on liquid state machine learning was proposed to predict the content request distribution of the users in LTE-U UAV networks [16]. In [7], the UAVs stored the enhancement layer segments of videos in advance, and then, they can fly close to the users who required the videos to provide transmission. Proactive caching can be also utilized to overcome the endurance issue for UAV communications, and some excellent work has been done in [17].

On the other hand, the security of wireless networks gains increasing attentions due to the broadcast characteristic of wireless channels [18], especially in UAV-assisted networks. This is because eavesdropper can intercept the information from the UAV more easily due to the LoS channel between the UAV and the eavesdropper. Recently, physical layer security is becoming an important technique to improve the network security via physical-layer methods [19]. Secrecy rate is a key metric to measure the performance of physical layer security, which denotes the rate of confidential information that can be

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reliably transmitted without eavesdropping [20]. There have been plenty of works on physical layer security to disrupt the eavesdropping. The information beamforming and jamming beamforming were jointly optimized in [21] to guarantee the transmit and receive security for a full duplex BS. In [22], an overview of research on enhancing wireless transmission secrecy via cooperation was presented. The secure multiple amplify-and-forward relaying was studied in [23] over correlated fading channels. In [24], interference alignment was exploited to guarantee the secure transmission in wireless multi-user networks, and the methods of interference alignment and transceiver optimization for physical layer security were compared in [25]. In [26], artificial noise was generated and leveraged to improve the security of the cognitive non-orthogonal multiple access networks with simultaneous wireless information and power transfer. Two secure schemes of secure precoding were proposed for directional modulation systems via artificial noise in [27]. In [28], some fundamental research has been done to introduce artificial neural network to guarantee the security of cellular-connected UAVs. In [29], the joint trajectory design and user scheduling were applied in a novel dual-UAV-enabled wireless network to guarantee the secure transmission. Caching can be leveraged to improve the security of wireless networks, and in [30], the pre-cached file was transmitted along with the target file to cache-enabled user, which would disturb eavesdropping effectively.

Due to the LoS channel of UAV, the adversarial eavesdropping is a key threat for the UAV transmission, and some initial works have been focused on this aspect [31], [32]. In [31], the secrecy rate of UAV relaying systems was maximized by optimizing the transmit power of the source node and the UAV relay. In [32], the UAV trajectory was optimized to guarantee the secrecy rate from the UAV to the ground destination. Different from these works, in this paper, the secrecy rate of the UAV-relayed multi-user wireless network is guaranteed when there exists an eavesdropper, via using local caching and jointly optimizing the UAV trajectory and time scheduling.

The main motivations and contributions of this paper are summarized as follows.

- In this paper, the UAV trajectory and time scheduling are jointly optimized to guarantee the secure transmission in UAV-relaying systems with local caching. For every two users who have cached the file that is not required by themselves but required by the other, the UAV can broadcast the files cooperatively to them and disrupt the eavesdropping. For the users without caching, their secrecy rate should be improved through the trajectory optimization of UAV.
- In the optimization problem, the minimum secrecy rate of the uncached users is maximized through jointly maximizing the trajectory and time scheduling, with the secrecy rate requirement of caching users satisfied. The problem is a mixed-integer non-convex problem. We divide it into two subproblems, and propose an iterative algorithm to solve them alternately. The convergence of the algorithm is proved.
- We also consider the scenario in which no user is equipped with cache as a benchmark, and the mini-

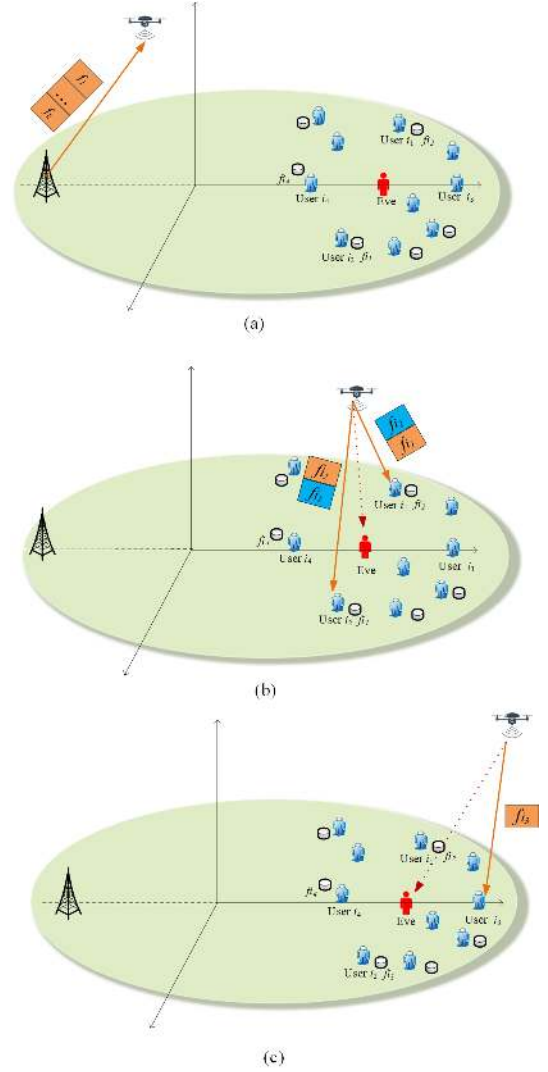


Fig. 1. UAV relaying assisted secure transmission with caching: (a) UAV obtains files from the BS; (b) UAV broadcasts files to User i_1 ($i_1 \in \mathcal{I}_1$) and User i_2 ($i_2 \in \mathcal{I}_2$); (c) UAV transmits file to User i_3 ($i_3 \in \mathcal{I}_3$).

imum secrecy rate among all users is maximized through jointly optimizing UAV trajectory and time scheduling. The corresponding problem is also non-convex, which can be solved similarly as that with caching. Through comparison, we can conclude that local caching can help the UAV relaying assisted networks improve the security significantly.

The rest of the paper is organized as follows. We describe the system model and formulate the optimization problem in Section II. In Section III, an iterative algorithm is proposed to solve it through two subproblems alternately. In Section IV, the problem and its corresponding algorithm for the scenario without caching are presented. Simulation results are shown in Section V, followed by conclusions in Section VI.

II. SYSTEM MODEL

A. System Model

We consider a UAV-enabled relaying communication system with one BS, multiple users and one eavesdropper on the

ground. The eavesdropper is close to the users. There are no direct links from the BS to the users and the eavesdropper due to long distance or blockages between them. The UAV is exploited as a mobile relay to ferry the information from the BS to the users owing to its mobility, as shown in Fig. 1(a). Assume that the users all require a specific file from a library denoted by \mathcal{F} , and the size of each file in the library is limited and proper due to the limited caching capacity of each user. In addition, assume that the UAV is equipped with a large-size cache, and the users have limited caching capacity. The users with caching capability can pre-cache some popular files during off-peak time. During the peak-traffic time, we assume that there are four different categories of users. Define \mathcal{I}_l as the set of the users in the l th category, $l = 1, 2, 3, 4$. Assume that User i requires file i with data size W_i . The 1st category of users and the 2nd category of users have cached the files that are required by the users in the other category. For example, User i_1 in the 1st category ($i_1 \in \mathcal{I}_1$) has cached the file f_{i_2} required by User i_2 in the 2nd category ($i_2 \in \mathcal{I}_2$), and User i_2 in the 2nd category has cached the file f_{i_1} required by User i_1 in the 1st category. The UAV can broadcast f_{i_1} and f_{i_2} to them as shown in Fig. 1(b), which will disrupt the eavesdropping towards User i_1 or User i_2 via caching. The 3rd category of users have no caching abilities. When they obtain their required files from the UAV at different slots, they are much easier to be eavesdropped, as shown in Fig. 1(c). The users in the 4th category have cached their required files. Thus, they can obtain the required files directly from their local caches without eavesdropping. Meanwhile, it can help reduce the peak traffic and alleviate the backhaul load, which can avoid the network congestion. Thus, the secrecy rate of the users in the 3rd category should be guaranteed by optimizing the trajectory and time scheduling of UAV.

Without loss of generality, Cartesian coordinate is adopted to describe the proposed model. We assume that the horizontal locations of the BS, the eavesdropper and the i th user are denoted as $\mathbf{w}_b = (x_b, y_b)$, $\mathbf{w}_e = (x_e, y_e)$ and $\mathbf{w}_i = (x_i, y_i)$, respectively, $i \in \mathcal{I}_1 \cup \mathcal{I}_2 \cup \mathcal{I}_3 \cup \mathcal{I}_4$. The location of the ground eavesdropper can be detected and tracked by the UAV through the equipped optical camera or synthetic aperture radar [33], and thus the eavesdropping channel state information (CSI) can be obtained due to the LoS channel from UAV to the eavesdropper, which is a common assumption in the existing literature of UAV [31], [32]. The flight altitude and period of the UAV are assumed to be H and T , respectively. We can observe that a larger flight period T will achieve higher throughput since more time can be provided for the UAV to fly closer to each ground user to make better wireless channel. Nevertheless, larger T will also result in higher energy consumption and larger access delay since each user need to wait for longer time to communicate with the UAV in the next cycle. Therefore, we need to choose the period T properly to keep balance between the throughput, the access delay as well as the energy consumption. In addition, our proposed scheme can also be utilized in delay-tolerant applications and can exploit energy harvesting techniques such as solar energy to provide sufficient energy supply. The period T is divided into N equal time slots, i.e., $T = N\zeta$, where ζ is small enough to

guarantee that the UAV's location is approximately unchanged within each slot. The horizontal position of the UAV at the n th time slot is denoted as $\mathbf{q}[n] = (x[n], y[n])$, $n = 1, 2, \dots, N$. The UAV's maximum speed is assumed to be V_{\max} . Besides, we assume that the UAV's initial location is fixed, which is denoted as $\mathbf{q}_0 = (x_0, y_0)$. Thus, we have the trajectory constraints as

$$\begin{aligned} x[1] &= x[N] = x_0, \\ y[1] &= y[N] = y_0, \end{aligned} \quad (1)$$

$$\begin{aligned} (x[n+1] - x[n])^2 + (y[n+1] - y[n])^2 &\leq d_\zeta^2, \\ n &= 1, 2, \dots, N-1, \end{aligned} \quad (2)$$

where $d_\zeta = V_{\max}T/N$.

The UAV obtains the required files of the users from the BS first, and then transmits them to the users. Thus, the total N time slots are divided into two parts. Assume that the 1st slot to the N_1 th slot are allocated to the UAV for obtaining the files required for the users from the BS¹, while the remaining slots are allocated to the UAV for transmitting the files to the users. The UAV cannot broadcast the files to cache-enabled users i_1 ($i_1 \in \mathcal{I}_1$) and i_2 ($i_2 \in \mathcal{I}_2$) and transmit file to user i_3 ($i_3 \in \mathcal{I}_3$) simultaneously due to interference. Instead, it serves the users without caching or cache-enabled user pairs via the time division multiple access (TDMA) protocol. For convenience, some binary variables, i.e., $\alpha_b[n]$ and $\alpha_i[n]$, $i \in \mathcal{I}_1 \cup \mathcal{I}_2 \cup \mathcal{I}_3 \cup \mathcal{I}_4$, are defined, which reflect the UAV time scheduling. The UAV obtains the files from the BS at the n th time slot if $\alpha_b[n] = 1$, otherwise, $\alpha_b[n] = 0$. Thus, we know that $\alpha_b[n] = 1, n = 1, \dots, N_1$ and $\alpha_b[n] = 0, n = N_1 + 1, \dots, N$. In addition, since User i_4 in the 4th category can obtain the desired file from its local cache, we have $\alpha_{i_4}[n] = 0$ over all slots. Furthermore, the UAV broadcasts f_{i_1} and f_{i_2} to User i_1 and User i_2 at the n th time slot if $\alpha_{i_1}[n] = \alpha_{i_2}[n] = 1$, otherwise, $\alpha_{i_1}[n] = \alpha_{i_2}[n] = 0$, $i_1 \in \mathcal{I}_1$, $i_2 \in \mathcal{I}_2$. Similarly, if $\alpha_{i_3}[n] = 1$, the UAV transmits f_{i_3} to User i_3 at the n th time slot, $i_3 \in \mathcal{I}_3$. Then, the following conditions should be satisfied as

$$\alpha_{i_1}[n] = \alpha_{i_2}[n] \in \{0, 1\}, \alpha_{i_3}[n] \in \{0, 1\}, \forall n, \quad (3)$$

$$\alpha_b[n] + \alpha_{i_1}[n] + \alpha_{i_3}[n] \leq 1, \forall n, i_1 \in \mathcal{I}_1, i_2 \in \mathcal{I}_2, i_3 \in \mathcal{I}_3. \quad (4)$$

For simplicity, the wireless links from the BS to the UAV and from the UAV to the ground users and eavesdropper are assumed to be dominated by LoS. The Doppler effect due to the UAV mobility is assumed to be perfectly compensated at the receivers. Thus, the free-space path-loss model can be adopted, which is a common assumption in [4], [11], [31], [32]. Thus, when $\alpha_b[n] = 1$, the instantaneous rate in bit/second/Hz (bit/s/Hz) of the UAV at the n th time slot can be expressed as

$$r_{u,b}[n] = \log_2 \left(1 + \frac{P_1 \rho_0}{\sigma^2 (H^2 + (x[n] - x_b)^2 + (y[n] - y_b)^2)} \right), \quad (5)$$

where P_1 is the transmit power of the BS, σ^2 is the noise

¹The UAV can first fly close to the users to collect the request information through uplink channel, and then inform the BS before relaying the files from BS to users via UAV in the upcoming cycle.

power, and ρ_0 is the reference channel power for the distance $d_0 = 1$ m.

Let z_{i_1} and z_{i_2} denote the signals of f_{i_1} and f_{i_2} with unit power, respectively. When $\alpha_{i_1}[n] = \alpha_{i_2}[n] = 1$, the UAV broadcasts the signal $\sqrt{\theta_{i_1}} P_2 z_{i_1} + \sqrt{\theta_{i_2}} P_2 z_{i_2}$ to User i_1 and User i_2 [30]. θ_{i_l} ($l = 1, 2$) is the portion of the UAV's transmit power P_2 allocated to the file f_{i_l} , where $0 < \theta_{i_l} < 1$, $\theta_{i_1} + \theta_{i_2} = 1$. Since User i_l knows the pre-cached f_{i_j} perfectly, $j, l = 1, 2, j \neq l$, it can eliminate the interference f_{i_j} using the similar method of successive interference cancellation (SIC) in non-orthogonal multiple access (NOMA) [34]. Therefore, the instantaneous rate of User i_l ($l = 1, 2$) at the n th time slot can be expressed as

$$r_{u,i_l}[n] = \log_2 \left(1 + \frac{\theta_{i_j} P_2 \rho_0}{\sigma^2 (H^2 + (x[n] - x_{i_l})^2 + (y[n] - y_{i_l})^2)} \right), \quad \forall l = 1, 2, i_l \in \mathcal{I}_l. \quad (6)$$

When $\alpha_{i_3}[n] = 1$, the instantaneous rate of User i_3 at the n th time slot can be expressed as

$$r_{u,i_3}[n] = \log_2 \left(1 + \frac{P_2 \rho_0}{\sigma^2 (H^2 + (x[n] - x_{i_3})^2 + (y[n] - y_{i_3})^2)} \right), \quad i_3 \in \mathcal{I}_3. \quad (7)$$

In addition, when the eavesdropper wants to eavesdrop User i_l , $l = 1, 2$, the instantaneous eavesdropping rate at the n th time slot can be expressed as

$$r_{u,e i_l}[n] = \log_2 \left(1 + \frac{\frac{\theta_{i_l} P_2 \rho_0}{H^2 + (x[n] - x_e)^2 + (y[n] - y_e)^2}}{\frac{\theta_{i_j} P_2 \rho_0}{H^2 + (x[n] - x_e)^2 + (y[n] - y_e)^2} + \sigma^2} \right), \quad \forall i_l \in \mathcal{I}_l, l, j = 1, 2, j \neq l, \quad (8)$$

where $\frac{\theta_{i_j} P_2 \rho_0}{H^2 + (x[n] - x_e)^2 + (y[n] - y_e)^2}$ is the interference from the file f_{i_j} . Since f_{i_j} is unknown by the eavesdropper, it will be viewed as interference to disrupt the eavesdropping when the eavesdropper aims to eavesdrop User i_l , $l = 1, 2, j \neq l$.

When the eavesdropper wants to intercept the information for User i_3 , the instantaneous eavesdropping rate at the n th time slot can be presented as

$$r_{u,e i_3}[n] = \log_2 \left(1 + \frac{P_2 \rho_0}{\sigma^2 (H^2 + (x[n] - x_e)^2 + (y[n] - y_e)^2)} \right), \quad \forall i_3 \in \mathcal{I}_3. \quad (9)$$

Thus, the achievable average transmission rate for the UAV to obtain the files from the BS can be expressed as

$$R_u = \frac{1}{N} \sum_{n=1}^N \alpha_b[n] r_{u,b}[n]. \quad (10)$$

The average transmission rate from the UAV to the i th user can be presented as

$$R^{[i]} = \frac{1}{N} \sum_{n=1}^N \alpha_i[n] r_{u,i}[n], i \in \mathcal{I}_1 \cup \mathcal{I}_2 \cup \mathcal{I}_3. \quad (11)$$

The average secrecy rate from the UAV to the i th user can be denoted as

$$R_s^{[i]} = \frac{1}{N} \sum_{n=1}^N [\alpha_i[n] (r_{u,i}[n] - r_{u,e i}[n])]^+, i \in \mathcal{I}_1 \cup \mathcal{I}_2 \cup \mathcal{I}_3, \quad (12)$$

where $[x]^+ \triangleq \max(x, 0)$.

B. Problem Formulation

In this paper, we mainly aim at maximizing the minimum secrecy rate of the users without caching by jointly optimizing the UAV trajectory and time scheduling, with the secrecy rate of other caching users guaranteed. Define $\mathbf{A} = \{\alpha_k[n], \forall n, \forall k = b, i_1, \dots, i_4, \forall i_l \in \mathcal{I}_l\}$, $\mathbf{x} = \{x[n], \forall n\}$, and $\mathbf{y} = \{y[n], \forall n\}$. The optimization problem can be formulated as

$$(P1) \max_{\mathbf{A}, \mathbf{x}, \mathbf{y}} \phi_3 \quad (13a)$$

$$s.t. R_s^{[i_3]} \geq \phi_3, \forall i_3 \in \mathcal{I}_3 \quad (13b)$$

$$R_s^{[i_l]} \geq \eta, \forall i_l \in \mathcal{I}_l, l = 1, 2, \quad (13c)$$

$$R^{[i_l]} \geq \beta^{[i_l]}, \forall i_l \in \mathcal{I}_l, l = 1, 2, 3, \quad (13d)$$

$$R_u \geq \gamma, \quad (13e)$$

$$\alpha_b[m] = 1, \forall m = 1, 2, \dots, N_1, \quad (13f)$$

$$\alpha_b[n] = 0, \forall n = N_1 + 1, \dots, N, \quad (13g)$$

$$\alpha_{i_1}[n] = \alpha_{i_2}[n] = \{0, 1\}, \alpha_{i_3}[n] = \{0, 1\}, \forall n, \quad (13h)$$

$$\alpha_b[n] + \alpha_{i_1}[n] + \alpha_{i_3}[n] \leq 1, \forall n, \quad (13i)$$

$$x[1] = x[N] = x_0, y[1] = y[N] = y_0, \quad (13j)$$

$$(x[n+1] - x[n])^2 + (y[n+1] - y[n])^2 \leq d_\zeta^2, \quad n = 1, 2, \dots, N-1. \quad (13k)$$

Although the eavesdropping towards User i_1 and User i_2 can be effectively disrupted via local caching, to maximize the minimum secrecy rate of User i_3 , more resource will be allocated to it, which will lead to a severe decline in the transmission rate of User i_1 and User i_2 . Thus, to guarantee their performance, we add the secrecy rate constraints for these cached users as (13c), where η means the threshold of average secrecy rate for them. In addition, $\beta^{[i_l]}$ is the average transmission rate threshold of User i_l , which should satisfy $\beta^{[i_l]} \geq \frac{W_{i_l}}{BT}$. B is the channel bandwidth in Hertz. The constraint of the average transmission rate for the UAV to obtain the files from the BS is also added in (13e), where γ is its corresponding threshold satisfying $\gamma \geq \frac{\sum_{i_l \in \mathcal{I}_l} W_{i_l} + \sum_{i_2 \in \mathcal{I}_2} W_{i_2} + \sum_{i_3 \in \mathcal{I}_3} W_{i_3}}{BT}$.

It is important to notice that (P1) is non-convex due to the non-concave objective function and non-convex constrains. In addition, $R_s^{[i_l]}$ in (P1) is non-smooth at zero due to $[\cdot]^+$ in (12). Furthermore, (13f) to (13h) are integer constraints since the time scheduling variables are binary. Therefore, the optimization problem (P1) is quite difficult to solve directly, which will be further discussed in Section III.

III. ITERATIVE ALGORITHM FOR PROBLEM (P1)

In this section, we will propose an effective algorithm to solve the problem (P1) approximately. First, according to the following proposition, the objective function of (P1) can be changed to be smooth.

Proposition 1: The optimization problem (P1) can be expressed as the following problem (P1') with the same

solutions.

$$(P1') \max_{\mathbf{A}, \mathbf{x}, \mathbf{y}} \phi_3 \quad (14a)$$

$$s.t. \frac{1}{N} \sum_{n=1}^N \alpha_{i_3}[n] (r_{u,i_3}[n] - r_{u,e i_3}[n]) \geq \phi_3, \forall i_3 \in \mathcal{I}_3, \quad (14b)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] (r_{u,i_l}[n] - r_{u,e i_l}[n]) \geq \eta, \forall i_l \in \mathcal{I}_l, l=1, 2, \quad (14c)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] r_{u,i_l}[n] \geq \beta^{[i_l]}, \forall i_l \in \mathcal{I}_l, l=1, 2, 3, \quad (14d)$$

$$(13e) - (13k). \quad (14e)$$

Proof: It's obvious to find that the optimized secrecy rate of User i_3 at each time slot must be higher than or equal to 0, due to the fact that if the secrecy rate of User i_3 is less than 0 at the n th time slot, $\alpha_{i_3}[n]$ will be equal to 0 for maximizing the minimum average secrecy rate of the users without caching. In addition, the value of $r_{u,e i_l}[n]$ is always lower than or equal to that of $r_{u,i_l}[n]$ due to the interference from pre-cached file, $l = 1, 2$. Thus, the optimization problems (P1) and (P1') have same solutions. ■

According to Proposition 1, the problem (P1') has same solutions as those of (P1). Then, the integer constraints in (13h) are relaxed into continuous ones, and the optimization problem (P1') can be reformulated as

$$(P1'') \max_{\mathbf{A}, \mathbf{x}, \mathbf{y}} \phi_3 \quad (15a)$$

$$s.t. \frac{1}{N} \sum_{n=1}^N \alpha_{i_3}[n] (r_{u,i_3}[n] - r_{u,e i_3}[n]) \geq \phi_3, \forall i_3 \in \mathcal{I}_3, \quad (15b)$$

$$0 \leq \alpha_{i_1}[n] = \alpha_{i_2}[n] \leq 1, 0 \leq \alpha_{i_3}[n] \leq 1, \forall n \quad (15c)$$

$$(14c), (14d), (13e), (13f), \quad (15d)$$

$$(13g), (13i), (13j), (13k). \quad (15e)$$

Although the above transformations have been performed, the optimization problem (P1'') is still difficult to solve due to its non-convexity. Thus, (P1'') is divided into two subproblems, which can be solved alternately through an iterative algorithm in next subsections.

A. Subproblem 1: Time Scheduling Optimization With Fixed Trajectory

The time scheduling optimization of (P1'') can be expressed as the following subproblem with given trajectory.

$$(SP11) \max_{\mathbf{A}} \phi_3 \quad (16a)$$

$$s.t. \frac{1}{N} \sum_{n=1}^N \alpha_{i_3}[n] (r_{u,i_3}[n] - r_{u,e i_3}[n]) \geq \phi_3, \quad (16b)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] (r_{u,i_l}[n] - r_{u,e i_l}[n]) \geq \eta, l = 1, 2, \quad (16c)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] r_{u,i_l}[n] \geq \beta^{[i_l]}, l = 1, 2, 3, \quad (16d)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_b[n] r_{u,b}[n] \geq \gamma, \quad (16e)$$

$$\alpha_b[m] = 1, \forall m = 1, 2, \dots, N_1, \quad (16f)$$

$$\alpha_b[n] = 0, \forall n = N_1 + 1, \dots, N, \quad (16g)$$

$$\alpha_b[n] + \alpha_{i_1}[n] + \alpha_{i_3}[n] \leq 1, \forall n, \quad (16h)$$

$$0 \leq \alpha_{i_1}[n] = \alpha_{i_2}[n] \leq 1, 0 \leq \alpha_{i_3}[n] \leq 1, \forall n. \quad (16i)$$

It can be observed that (SP11) is a standard linear programming. Thus, we can solve it through using standard optimization tools such as CVX.

B. Subproblem 2: Trajectory Optimization With Fixed Time Scheduling

For any given time scheduling, the UAV trajectory optimization problem of (P1'') can be expressed as

$$(SP12) \max_{\mathbf{x}, \mathbf{y}} \phi_3 \quad (17a)$$

$$s.t. \frac{1}{N} \sum_{n=1}^N \alpha_{i_3}[n] (r_{u,i_3}[n] - r_{u,e i_3}[n]) \geq \phi_3 \quad (17b)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] (r_{u,i_l}[n] - r_{u,e i_l}[n]) \geq \eta, l = 1, 2, \quad (17c)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] r_{u,i_l}[n] \geq \beta^{[i_l]}, l = 1, 2, 3, \quad (17d)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_b[n] r_{u,b}[n] \geq \gamma, \quad (17e)$$

$$x[1] = x[N] = x_0, y[1] = y[N] = y_0, \quad (17f)$$

$$(x[n+1] - x[n])^2 + (y[n+1] - y[n])^2 \leq d_\zeta^2, \quad (17g)$$

$$n = 1, 2, \dots, N-1.$$

The problem (SP12) is difficult to solve due to the fact that the constraints in (17b), (17c), (17d) and (17e) are non-convex with respect to \mathbf{x} and \mathbf{y} . Thus, we transform (SP12) into a convex problem approximatively by utilizing successive convex optimization. Before the transformation, we introduce Lemma 1 as follows.

Lemma 1: Define a bivariate function as

$$f(\bar{x}, \bar{y}) = \log_2 \left(1 + \frac{D}{L + \bar{x} + \bar{y}} \right), \quad (18)$$

where $D > 0$, $L > 0$, $\bar{x} \geq 0$, and $\bar{y} \geq 0$. We have the

following inequality for any given \bar{x}_0 and \bar{y}_0 .

$$\log_2 \left(1 + \frac{D}{L + \bar{x} + \bar{y}} \right) \geq \log_2 \left(1 + \frac{D}{L + \bar{x}_0 + \bar{y}_0} \right) + \frac{-D \log_2 e}{(L + D + \bar{x}_0 + \bar{y}_0)(L + \bar{x}_0 + \bar{y}_0)} ((\bar{x} - \bar{x}_0) + (\bar{y} - \bar{y}_0)). \quad (19)$$

Proof: The Hessian matrix of the function $f(\bar{x}, \bar{y})$ can be expressed as

$$\nabla^2 f(\bar{x}, \bar{y}) = \begin{bmatrix} C & C \\ C & C \end{bmatrix}, \quad (20)$$

where

$$C = \frac{(2L + 2\bar{x} + 2\bar{y} + D)D \log_2 e}{((L + \bar{x} + \bar{y} + D)(L + \bar{x} + \bar{y}))^2} > 0. \quad (21)$$

It can be concluded that the Hessian matrix is positive semidefinite when $\bar{x} \geq 0$, and $\bar{y} \geq 0$. Thus, the function is convex with respect to \bar{x} and \bar{y} . Then, according to the fact that the value of the convex function is larger than or equal to that of its first-order Taylor expansion at any point [35], we obtain the inequation (19). ■

$r_{u,k}[n], \forall k = b, i_1, i_2, i_3, \forall i_l \in \mathcal{I}_l$, in (17b), (17c), (17d), and (17e) is neither convex or concave with respect to $x[n]$ and $y[n]$. When we let

$$\bar{x}_k[n] = (x[n] - x_k)^2, \quad (22)$$

$$\bar{y}_k[n] = (y[n] - y_k)^2, \quad (23)$$

$r_{u,k}[n]$ is in the form of $\log_2 \left(1 + \frac{D_k}{L + \bar{x}_k[n] + \bar{y}_k[n]} \right)$, where $D_b = \frac{P_1 \rho_0}{\sigma^2}$, $D_{i_l} = \frac{\theta_{i_l} P_2 \rho_0}{\sigma^2}, l = 1, 2$, $D_{i_3} = \frac{P_2 \rho_0}{\sigma^2}$ and $L = H^2$.

Then, according to Lemma 1, we can obtain

$$\begin{aligned} r_{u,k}[n] &\geq A_k^r[n] ((\bar{x}_k[n] - \bar{x}_k^r[n]) + (\bar{y}_k[n] - \bar{y}_k^r[n])) + B_k^r[n] \\ &= A_k^r[n] ((x[n] - x_k)^2 - \bar{x}_k^r[n] + (y[n] - y_k)^2 - \bar{y}_k^r[n]) + B_k^r[n] \\ &\triangleq \check{r}_{u,k}[n], \quad k = b, i_1, i_2, i_3, \forall i_l \in \mathcal{I}_l, \forall n, \end{aligned} \quad (24)$$

where $A_k^r[n]$, $B_k^r[n]$, $\bar{x}_k^r[n]$ and $\bar{y}_k^r[n]$ are the constants expressed as

$$A_k^r[n] = \frac{-D_k}{(L + D_k + \bar{x}_k^r[n] + \bar{y}_k^r[n])(L + \bar{x}_k^r[n] + \bar{y}_k^r[n]) \ln 2} < 0, \quad (25)$$

$$B_k^r[n] = \log_2 \left(1 + \frac{D_k}{L + \bar{x}_k^r[n] + \bar{y}_k^r[n]} \right), \quad (26)$$

$$\bar{x}_k^r[n] = (x^r[n] - x_k)^2, \quad (27)$$

$$\bar{y}_k^r[n] = (y^r[n] - y_k)^2, \quad (28)$$

where $\mathbf{x}^r = \{x^r[n], \forall n\}$ and $\mathbf{y}^r = \{y^r[n], \forall n\}$ describe the UAV flying trajectory in the r th iteration. It is observed that $\check{r}_{u,i_3}[n]$ is concave with respect to $x[n]$ and $y[n]$ since the value of $A_k^r[n]$ is less than 0. Then, for the secrecy rate of User i_3 , we have

$$R_s^{[i_3]} \geq \frac{1}{N} \sum_{n=1}^N a_{i_3}[n] (\check{r}_{u,i_3}[n] - r_{u,e i_3}[n]), \quad \forall i_3 \in \mathcal{I}_3. \quad (29)$$

In order to further transform the optimization problem into a

convex one, the constraint in (17b) needs to be convex with respect to $x[n]$ and $y[n]$. Since $\check{r}_{u,i_3}[n]$ is concave with respect to $x[n]$ and $y[n]$, we can transform $r_{u,e i_3}[n]$ into a convex function to make the constraint in (17b) convex as follows.

We introduce slack variables as

$$\mathbf{S} = \{S_{xe}[n] \mid S_{xe}[n] = (x[n] - x_e)^2, S_{ye}[n] \mid S_{ye}[n] = (y[n] - y_e)^2, \forall n\}. \quad (30)$$

Then, $r_{u,e i_3}[n]$ expressed as (10) can be rewritten as

$$\begin{aligned} r_{u,e i_3}[n] &= \log_2 \left(1 + \frac{P_2 \rho_0}{\sigma^2 (H^2 + S_{xe}[n] + S_{ye}[n])} \right) \\ &= \log_2 \left(1 + \frac{D_{i_3}}{\sigma^2 (L + S_{xe}[n] + S_{ye}[n])} \right) \triangleq \hat{r}_{u,e}[n]. \end{aligned} \quad (31)$$

According to Lemma 1, $\hat{r}_{u,e}[n]$ is convex with respect to $S_{xe}[n]$ and $S_{ye}[n]$, which also makes $r_{u,e i_3}[n]$ convex. Thus, the constraint in (17b) can be approximatively transformed into convex.

On the other hand, the constraint (17c) can be approximatively expressed as

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] (\check{r}_{u,i_l}[n] - r_{u,e i_l}[n]) \geq \eta, \quad l = 1, 2. \quad (32)$$

Similarly, since $\check{r}_{u,i_l}[n]$ ($l = 1, 2$) is concave, we can transform $r_{u,e i_l}[n]$ into a convex function to make the constraint (32) convex, $l = 1, 2$. For convenience, $r_{u,e i_l}[n]$ ($l = 1, 2$) in (9) can be simplified as

$$\begin{aligned} r_{u,e i_l}[n] &= \log_2 \left(1 + \frac{P_2 \rho_0}{\sigma^2 (H^2 + (x[n] - x_e)^2 + (y[n] - y_e)^2)} \right) \\ &\quad - \log_2 \left(1 + \frac{\theta_{i_j} P_2 \rho_0}{\sigma^2 (H^2 + (x[n] - x_e)^2 + (y[n] - y_e)^2)} \right) \\ &= \hat{r}_{u,e}[n] - \log_2 \left(1 + \frac{\theta_{i_j} P_2 \rho_0}{\sigma^2 (H^2 + \bar{x}_e[n] + \bar{y}_e[n])} \right), \\ &\quad \forall i_l \in \mathcal{I}_l, \forall l, j = 1, 2, j \neq l, \end{aligned} \quad (33)$$

where

$$\bar{x}_e[n] = (x[n] - x_e)^2, \quad (34)$$

$$\bar{y}_e[n] = (y[n] - y_e)^2. \quad (35)$$

Then, according to Lemma 1, we have

$$\begin{aligned} &\log_2 \left(1 + \frac{\theta_{i_j} P_2 \rho_0}{\sigma^2 (H^2 + \bar{x}_e[n] + \bar{y}_e[n])} \right) \\ &\geq E_{i_j}^r[n] ((\bar{x}_e[n] - \bar{x}_e^r[n]) + (\bar{y}_e[n] - \bar{y}_e^r[n])) + F_{i_j}^r[n] \\ &= E_{i_j}^r[n] ((x[n] - x_e)^2 - \bar{x}_e^r[n] + (y[n] - y_e)^2 - \bar{y}_e^r[n]) + F_{i_j}^r[n] \\ &\triangleq \check{r}_{e i_l}[n], \quad \forall i_l \in \mathcal{I}_l, \forall l, j = 1, 2, j \neq l, \end{aligned} \quad (36)$$

where $E_{i_j}^r[n]$, $F_{i_j}^r[n]$, $\bar{x}_e^r[n]$ and $\bar{y}_e^r[n]$ are the constants expressed as

$$E_{i_j}^r[n] = \frac{-D_{i_j}}{(L + D_{i_j} + \bar{x}_e^r[n] + \bar{y}_e^r[n])(L + \bar{x}_e^r[n] + \bar{y}_e^r[n]) \ln 2} < 0, \quad (37)$$

$$F_{i_j}^r[n] = \log_2 \left(1 + \frac{D_{i_j}}{L + \bar{x}_e^r[n] + \bar{y}_e^r[n]} \right), \quad (38)$$

$$\bar{x}_e^r[n] = (x^r[n] - x_e)^2, \quad (39)$$

$$\bar{y}_e^r[n] = (y^r[n] - y_e)^2. \quad (40)$$

Thus, $r_{u,ei_l}[n]$ in constraint (32) satisfies the following inequation.

$$r_{u,ei_l}[n] \leq \hat{r}_{u,e}[n] - \check{r}_{ei_l}[n], \quad \forall i_l \in \mathcal{I}_l, \forall l = 1, 2. \quad (41)$$

Since $E_{ij}^r[n] < 0$, $\check{r}_{ei_l}[n]$ is concave with respect to $x[n]$ and $y[n]$. In addition, $\hat{r}_{u,e}[n]$ is convex with respect to $S_{xe}[n]$ and $S_{ye}[n]$. Therefore, the constraint (32) can be transformed into convex as

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] (\check{r}_{u,i_l}[n] - \hat{r}_{u,e}[n] + \check{r}_{ei_l}[n]) \geq \eta, l = 1, 2. \quad (42)$$

Then, the optimization problem (SP12) can be rewritten as

$$\max_{\mathbf{x}, \mathbf{y}, \mathbf{S}} \phi_3 \quad (43a)$$

$$s.t. \quad \frac{1}{N} \sum_{n=1}^N \alpha_{i_3}[n] \left(\check{r}_{u,i_3}[n] - \log_2 \left(1 + \frac{P_2 \rho_0}{\sigma^2 (H^2 + S_{xe}[n] + S_{ye}[n])} \right) \right) \geq \phi_3 \quad (43b)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] (\check{r}_{u,i_l}[n] - \hat{r}_{u,e}[n] + \check{r}_{ei_l}[n]) \geq \eta, l = 1, 2, \quad (43c)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] \check{r}_{u,i_l}[n] \geq \beta^{[i_j]}, l = 1, 2, 3, \quad (43d)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_b[n] \check{r}_{u,b}[n] \geq \gamma, \quad (43e)$$

$$S_{xe}[n] \leq (x[n] - x_e)^2, \forall n, \quad (43f)$$

$$S_{ye}[n] \leq (y[n] - y_e)^2, \forall n, \quad (43g)$$

$$(13j), (13k). \quad (43h)$$

It can be concluded that in (43f) and (43g), all constraints can be satisfied with equality to obtain the optimal solution, due to the fact that we can always increase $S_{xe}[n]$ and $S_{ye}[n]$ to enhance the objective value. However, the constraints in (43f) and (43g) are not convex with respect to $x[n]$ and $y[n]$. Thus, Lemma 2 is introduced to make the constraints in (43f) and (43g) convex.

Lemma 2: Define a quadratic function as

$$h(x) = (x - a)^2, \quad (44)$$

where a is a constant. For any given x^r , it satisfies the following inequality as

$$(x - a)^2 \geq 2(x^r - a)(x - x^r) + (x^r - a)^2. \quad (45)$$

Proof: It is obvious that the quadratic function is convex with respect to x . Recall that the value of the convex function is larger than or equal to that of its first-order Taylor expansion at any point, we can obtain (45). ■

Thus, according to Lemma 2, with given $x^r[n]$ and $y^r[n]$, we have

$$(x[n] - x_e)^2 \geq 2(x^r[n] - x_e)(x[n] - x^r[n]) + (x^r[n] - x_e)^2, \quad (46)$$

$$(y[n] - y_e)^2 \geq 2(y^r[n] - y_e)(y[n] - y^r[n]) + (y^r[n] - y_e)^2. \quad (47)$$

Finally, according to the above transformations, the original optimization subproblem (SP12) can be approximatively

transformed into a convex optimization problem as shown in Proposition 2.

Proposition 2: The optimization subproblem (SP12) can be expressed as (48) approximatively, which is convex and its optimal objective value is a lower bound of that of subproblem (SP12) in (17).

$$\max_{\mathbf{x}, \mathbf{y}, \mathbf{S}} \phi_3 \quad (48a)$$

$$s.t. \quad \frac{1}{N} \sum_{n=1}^N \alpha_{i_3}[n] (\check{r}_{u,i_3}[n] - \hat{r}_{u,e}[n]) \geq \phi_3, \forall i_3 \in \mathcal{I}_3, \quad (48b)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] (\check{r}_{u,i_l}[n] - \hat{r}_{u,e}[n] + \check{r}_{ei_l}[n]) \geq \eta, l = 1, 2, \quad (48c)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_{i_l}[n] \check{r}_{u,i_l}[n] \geq \beta^{[i_j]}, l = 1, 2, 3, \quad (48d)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_b[n] \check{r}_{u,b}[n] \geq \gamma, \quad (48e)$$

$$x[1] = x[N] = x_0, y[1] = y[N] = y_0, \quad (48f)$$

$$(x[n+1] - x[n])^2 + (y[n+1] - y[n])^2 \leq d_c^2, \quad (48g)$$

$$n = 1, 2, \dots, N-1, \quad (48h)$$

$$S_{xe}[n] \leq (x^r[n] - x_e)^2 + 2(x^r[n] - x_e)(x[n] - x^r[n]), \forall n, \quad (48i)$$

$$S_{ye}[n] \leq (y^r[n] - y_e)^2 + 2(y^r[n] - y_e)(y[n] - y^r[n]), \forall n. \quad (48j)$$

Proof: Since $\check{r}_{u,k}[n]$ is concave with respect to $x[n]$ and $y[n]$, $k = b, i_1, i_2, i_3$, the constraints in (48c), (48d) and (48e) are convex. The constraint in (48b) is convex because $\check{r}_{u,i_3}[n]$ is concave and $\hat{r}_{u,e}[n]$ is convex. In addition, according to the inequalities (46) and (47), the constraints of slack variables can be transformed into linear constraints as (48h) and (48i). Thus, the optimization subproblem (48) is convex. On the other hand, we can conclude that any feasible solution of the subproblem (48) can also make the subproblem (SP12) feasible, but the reverse is not always true. Thus, the optimal objective value of the subproblem (48) is less than or equal to that of the subproblem (SP12), which is a suboptimal solution. ■

Therefore, according to all the above transformations, the optimization problem (48) can be solved efficiently by using standard convex optimization tools such as CVX, since it has been changed into convex.

C. Iterative Algorithm

According to the above two subproblems, we propose an iterative algorithm to solve (SP11) and (48) alternately until convergent, and the suboptimal solutions of (P1) can be obtained. The algorithm is summarized as follows.

Algorithm 1 Iterative algorithm for (P1)

- 1: Initialize \mathbf{x}^r and \mathbf{y}^r . Set $r = 0$.
 - 2: **repeat**
 - 3: Solve the subproblem (SP11) under given \mathbf{x}^r and \mathbf{y}^r , and update the solution as \mathbf{A}^{r+1} .
 - 4: Solve the subproblem (48) under given \mathbf{A}^{r+1} , and update the solution as \mathbf{x}^{r+1} and \mathbf{y}^{r+1} .
 - 5: Update $r = r + 1$.
 - 6: **until** The fractional increase of the objective value is below a predefined threshold $\epsilon > 0$.
-

Since Algorithm 1 only needs to solve a standard linear programming of (16) and a convex optimization problem of (48) in each iteration, it can be solved with polynomial complexity in the worst case [35]. In addition, the convergence of Algorithm 1 is proved in Proposition 3.

Proposition 3: Algorithm 1 can be guaranteed to converge at the suboptimal solutions for the original problem (P1'').

Proof: Define

$$R_{s3}(\mathbf{A}, \mathbf{x}, \mathbf{y}) = \min \left(\frac{1}{N} \sum_{n=1}^N \alpha_{i3}[n] (r_{u,i3}[n] - r_{u,e3}[n]) \right), \quad \forall i_3 \in \mathcal{I}_3, \quad (49)$$

$$\check{R}_{s3}(\mathbf{A}, \mathbf{x}, \mathbf{y}) = \min \left(\frac{1}{N} \sum_{n=1}^N \alpha_{i3}[n] (\check{r}_{u,i3}[n] - \hat{r}_{u,e}[n]) \right), \quad \forall i_3 \in \mathcal{I}_3. \quad (50)$$

Firstly, in the $(r+1)$ th iteration, because the optimal solution \mathbf{A}^{r+1} can be obtained by solving the subproblem (SP11) under given \mathbf{x}^r and \mathbf{y}^r , we have

$$R_{s3}(\mathbf{A}^r, \mathbf{x}^r, \mathbf{y}^r) \leq R_{s3}(\mathbf{A}^{r+1}, \mathbf{x}^r, \mathbf{y}^r). \quad (51)$$

Second, according to the fact that the value of the function is equal to that of its first-order Taylor expansion at the given local points, we have

$$R_{s3}(\mathbf{A}^{r+1}, \mathbf{x}^r, \mathbf{y}^r) = \check{R}_{s3}(\mathbf{A}^{r+1}, \mathbf{x}^r, \mathbf{y}^r). \quad (52)$$

Then, since the optimal solution \mathbf{x}^{r+1} and \mathbf{y}^{r+1} are obtained by solving the subproblem (43) under given \mathbf{A}^{r+1} , we have

$$\check{R}_{s3}(\mathbf{A}^{r+1}, \mathbf{x}^r, \mathbf{y}^r) \leq \check{R}_{s3}(\mathbf{A}^{r+1}, \mathbf{x}^{r+1}, \mathbf{y}^{r+1}). \quad (53)$$

Finally, because the optimal objective value of subproblem (48) is the lower bound of that of the subproblem (SP12), we have

$$\check{R}_{s3}(\mathbf{A}^{r+1}, \mathbf{x}^{r+1}, \mathbf{y}^{r+1}) \leq R_{s3}(\mathbf{A}^{r+1}, \mathbf{x}^{r+1}, \mathbf{y}^{r+1}). \quad (54)$$

Thus, based on (51)-(54), we can obtain

$$R_{s3}(\mathbf{A}^r, \mathbf{x}^r, \mathbf{y}^r) \leq R_{s3}(\mathbf{A}^{r+1}, \mathbf{x}^{r+1}, \mathbf{y}^{r+1}). \quad (55)$$

From (55), we can know that the optimal objective value of problem (P1'') is non-decreasing and finite over iterations. As a result, the proposed algorithm is guaranteed to converge at the suboptimal solutions for the original problem (P1'') [11], [36], [37]. ■

According to the results of Algorithm 1, $\alpha_{i_l}[n], l = 1, 2, 3$,

should be changed back into binary. If the optimized values of $\alpha_{i_l}[n]$ can converge to binary, this relaxation does not have any influence on the optimization. Otherwise, we can reconstruct $\alpha_{i_l}[n]$ by further dividing each time slot into $\tau \geq 1$ sub-slots according to [4]. Then, the number of sub-slots assigned to User i_l in the n th time slot can be denoted as $\mathcal{N}_{i_l}[n] = \tau \alpha_{i_l}[n]$. It is obvious that as τ increases, $\mathcal{N}_{i_l}[n]$ will approach an integer.

IV. SECURITY OPTIMIZATION WITHOUT CACHING

In the previous sections, we improve the network security by optimizing UAV trajectory and time scheduling with caching. In this section, we consider another scenario where no user is equipped with cache. At this point, any user is easy to be eavesdropped. To guarantee the secure transmission, we maximize the minimum secrecy rate among all users through jointly optimizing UAV trajectory and time scheduling, which can be formulated as

$$(P2) \max_{\mathbf{A}, \mathbf{x}, \mathbf{y}} \phi \quad (56a)$$

$$s.t. \bar{R}_s^{[i]} \geq \phi, \forall i \in \mathcal{I}_1 \cup \mathcal{I}_2 \cup \mathcal{I}_3 \cup \mathcal{I}_4 \quad (56b)$$

$$R_u \geq \gamma, \quad (56c)$$

$$\alpha_b[m] = 1, \forall m = 1, 2, \dots, N_1, \quad (56d)$$

$$\alpha_b[n] = 0, \forall n = N_1 + 1, \dots, N, \quad (56e)$$

$$\alpha_i[n] = \{0, 1\}, \forall n, \forall i, \quad (56f)$$

$$\alpha_b[n] + \sum_{i=1}^4 \alpha_i[n] \leq 1, \forall n, \quad (56g)$$

$$x[1] = x[N] = x_0, y[1] = y[N] = y_0, \quad (56h)$$

$$(x[n+1] - x[n])^2 + (y[n+1] - y[n])^2 \leq d_c^2, \quad (56i)$$

$$n = 1, 2, \dots, N-1,$$

where the constraint (56g) implies that the UAV only communicates with at most one ground node at each time slot. The average secrecy rate of User i in (56b) can be expressed as

$$\bar{R}_s^{[i]} = \frac{1}{N} \sum_{n=1}^N [\alpha_i[n] (\bar{r}_{u,i}[n] - r_{u,e3}[n])]^+, \quad (57)$$

where

$$\bar{r}_{u,i}[n] = \log_2 \left(1 + \frac{P_2 \rho_0}{\sigma^2 (H^2 + (x[n] - x_i)^2 + (y[n] - y_i)^2)} \right). \quad (58)$$

Similarly, the optimization problem (P2) can be approximately divided into two convex subproblems: (SP21) for the UAV time scheduling optimization with fixed trajectory and (SP22) for the UAV trajectory optimization with fixed time

scheduling as follows.

$$(SP21) \max_{\mathbf{A}} \phi \quad (59a)$$

$$s.t. \frac{1}{N} \sum_{n=1}^N \alpha_i[n] (\bar{r}_{u,i}[n] - r_{u,e3}[n]) \geq \phi, \forall i, \quad (59b)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_b[n] r_{u,b}[n] \geq \gamma, \quad (59c)$$

$$\alpha_b[m] = 1, \forall m = 1, 2, \dots, N_1, \quad (59d)$$

$$\alpha_b[n] = 0, \forall n = N_1 + 1, \dots, N, \quad (59e)$$

$$0 \leq \alpha_i[n] \leq 1, \forall n, \forall i, \quad (59f)$$

$$\alpha_b[n] + \sum_{i=1}^4 \alpha_i[n] \leq 1, \forall n. \quad (59g)$$

$$(SP22) \max_{\mathbf{x}, \mathbf{y}, \mathbf{S}} \phi \quad (60a)$$

$$s.t. \frac{1}{N} \sum_{n=1}^N \alpha_i[n] (\tilde{r}_{u,i}[n] - \hat{r}_{u,e3}[n]) \geq \phi, \forall i, \quad (60b)$$

$$\frac{1}{N} \sum_{n=1}^N \alpha_b[n] \tilde{r}_{u,b}[n] \geq \gamma, \quad (60c)$$

$$x[1] = x[N] = x_0, y[1] = y[N] = y_0, \quad (60d)$$

$$(48g), (48h), (48i). \quad (60e)$$

Since the subproblems (SP21) and (SP22) above are both convex, they can be solved efficiently by using standard convex optimization tools. Then, similar iterative algorithm as Algorithm 1 can solve the subproblems (SP21) and (SP22) alternately until convergence. Therefore, we can obtain the optimal solutions of the problem (P2) accordingly.

V. SIMULATION RESULTS AND DISCUSSION

In this section, we provide numerical simulation results to demonstrate the performance of our proposed UAV relaying network for secure transmission. We first take four typical users, i.e., $\mathcal{I}_1 = \{1\}$, $\mathcal{I}_2 = \{2\}$, $\mathcal{I}_3 = \{3\}$, and $\mathcal{I}_4 = \{4\}$. Set the horizontal locations of the BS and the users are $\mathbf{w}_b = (-1000 \text{ m}, 0 \text{ m})$, $\mathbf{w}_1 = (500 \text{ m}, 500 \text{ m})$, $\mathbf{w}_2 = (500 \text{ m}, -500 \text{ m})$, $\mathbf{w}_3 = (1000 \text{ m}, 0 \text{ m})$, and $\mathbf{w}_4 = (0 \text{ m}, 0 \text{ m})$ respectively. For simplicity, we assume that all the files have the same size equal to 150 Mbits, i.e., $W_i = 150 \text{ Mbits}, \forall i$. We also set $\gamma = 3 \text{ bit/s/Hz}$, $\beta = 1 \text{ bit/s/Hz}$ and $\eta = 0.75 \text{ bit/s/Hz}$. In addition, we assume that the time allocated for the UAV obtaining files from the BS is half of the total period, i.e. $N_1\zeta = 0.5N\zeta$. Unless stated, other parameters in the simulations are given in Table I.

In Fig. 2, we show the UAV trajectory for different schemes with $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$. We adopt the elliptical trajectory (Scheme 1) and the linear trajectory (Scheme 2) as benchmarks, while our proposed scheme is named as Scheme 3. In Scheme 1 and Scheme 2, the time scheduling is optimized with given trajectory, T is set to be 200 s, and $N = 200$. In Scheme 3, we set $T > \frac{2L}{V_{\max}} = 80 \text{ s}$ to guarantee the convergence of UAV trajectory. From the results, we can see that the UAV can fly closer to each user to obtain better performance in our

TABLE I
PARAMETERS FOR THE SIMULATIONS

UAV flight altitude	$H = 100 \text{ m}$ [4], [11]
UAV maximum speed	$V_{\max} = 50 \text{ m/s}$ [4], [11]
BS transmit power	$P_1 = 0.1 \text{ W}$
UAV transmit power	$P_2 = 0.1 \text{ W}$ [4]
Noise power	$\sigma^2 = -110 \text{ dBm}$ [4]
Reference channel power for $d_0 = 1 \text{ m}$	$\rho_0 = -60 \text{ dB}$ [4]
Power allocation coefficients	$\theta_1 = \theta_2 = 0.5$

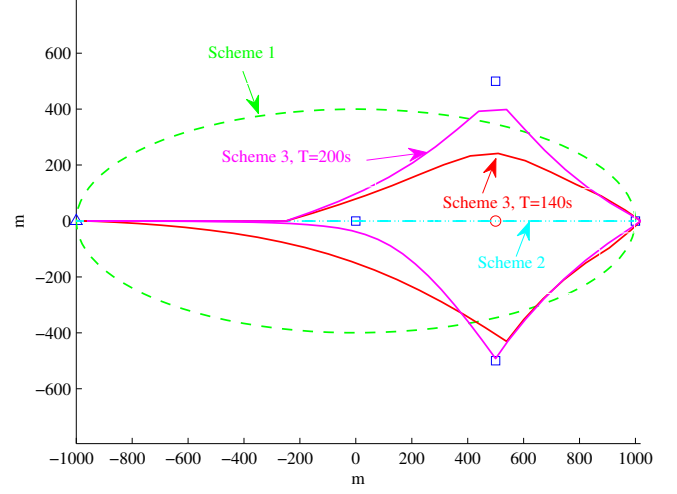


Fig. 2. Comparison of UAV trajectory for different schemes and different T , when $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$.

proposed scheme, and the performance can be still improved with larger T .

According to the optimized scheduling and trajectory in Fig. 2, we compare the secrecy rate (SR) of the 2nd user and the 3rd user for different period T and different schemes in Fig. 3 and Fig. 4, when the horizontal location of the eavesdropper is set to $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$ and $\mathbf{w}_e = (700 \text{ m}, 0 \text{ m})$, respectively. $\zeta = 2 \text{ s}$. From the results in Fig. 3, we can see that the secrecy rate of the 3rd user in our proposed scheme can be improved effectively with larger T , due to the optimization of trajectory and scheduling. For the 3rd user in Scheme 1 and Scheme 2, its secrecy rate is much lower than that in our proposed scheme. We can also see that the secrecy rate of the 2nd user is almost the same in these three schemes, and will be nearly unchanged with T . This is because the secrecy rate of the 1st and 2nd user can be mainly guaranteed via caching as we analyzed. In Fig. 4, the eavesdropper is set to $\mathbf{w}_e = (700 \text{ m}, 0 \text{ m})$, which is farther away from the 1st user and the 2nd user and closer from the 3 user. From the results, we can see that the secrecy rate of the 3rd user in our proposed scheme can be still improved by optimizing the trajectory and scheduling. However, the secrecy rate of the 3rd user in Scheme 1 and Scheme 2 cannot be guaranteed, which is even much lower than the 2nd user.

In Fig. 5, the secrecy rate of our proposed scheme is compared with different number of time slots N_1 allocated for the UAV to obtain files from the BS, when $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$, $T = 200 \text{ s}$, $N = 200$. From the results, we can see that the secrecy rate of the 3rd user decreases with N_1 , because less

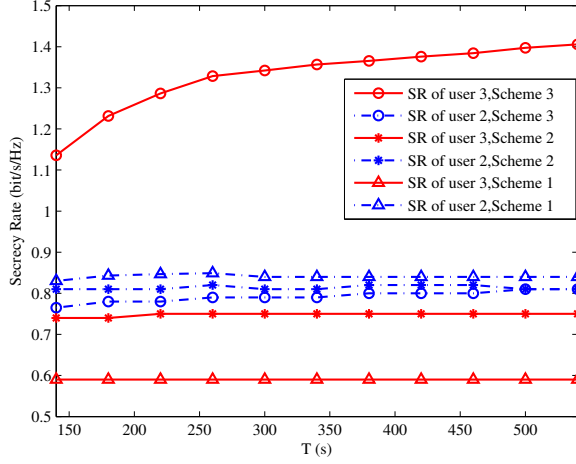


Fig. 3. Secrecy rate comparison of the 2nd user and the 3rd user for different schemes and different T , when the horizontal location of the eavesdropper is $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$.

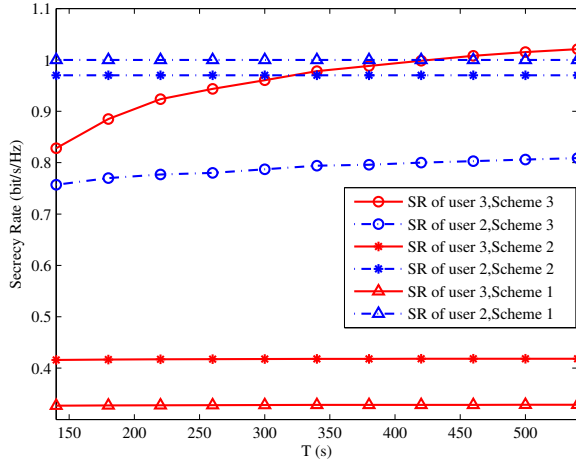


Fig. 4. Secrecy rate comparison of the 2nd user and the 3rd user for different schemes and different T , when the horizontal location of the eavesdropper is $\mathbf{w}_e = (700 \text{ m}, 0 \text{ m})$.

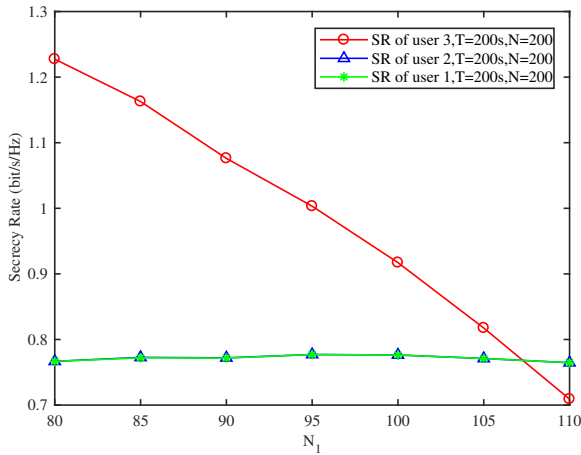


Fig. 5. Secrecy rate comparison of our proposed scheme with different number of time slots N_1 allocated for the UAV to obtain files from the BS, when $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$.

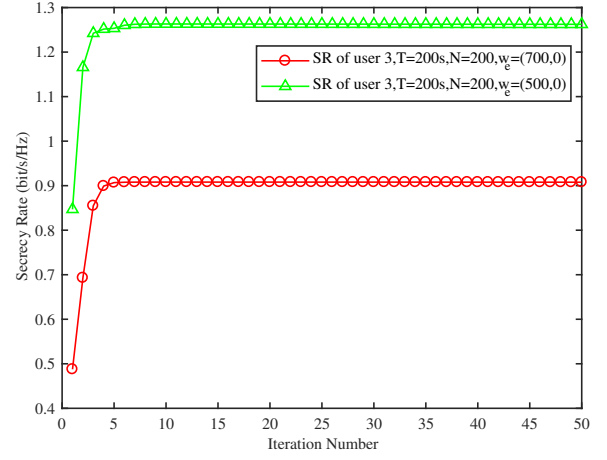


Fig. 6. Secrecy rate convergence of the 3rd user in Algorithm 1, when $T = 200 \text{ s}$ and $N = 200$.

time slots will be allocated to the 3rd user to guarantee its security. For the 1st and 2nd users, the secrecy rate remains almost unchanged with different N_1 , due to the fact that their security is mainly guaranteed via caching. On the other hand, we cannot set N_1 too small, otherwise, the constraint (13d) cannot be satisfied. Thus, we can conclude that N_1 should be set as small as possible to achieve better performance, on the condition that the optimization problem can be solved.

The convergence of Algorithm 1 for our proposed scheme is shown in Fig. 6, when $T = 200 \text{ s}$ and $N = 200$. From the results, we can see that the proposed Algorithm 1 can be guaranteed to converge for both $\mathbf{w}_e = (700 \text{ m}, 0 \text{ m})$ and $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$ within about 5 iterations, which is consistent with the analysis in Proposition 1. In addition, recall that we only need to solve two convex problems in each iteration, and the computational complexity of Algorithm 1 is appropriate for practical applications.

In the simulations above, we assume that the 4th user can obtain the required file from its local cache directly, the 1st user and the 2nd user receive files cooperatively via caching, and the 3rd user does not cache the required file, which can be defined as Case 1. To analyze the performance of the proposed scheme much more comprehensively, more cases are considered in Fig. 7 and Table II. First, the optimized UAV trajectories are shown in Fig. 7. From the results, we can observe that the UAV flies close to the user without caching within several time slots to transmit the files in all the cases. On the contrary, it is not necessary for the UAV to fly close to the cached-enabled users and stay there to broadcast the files, due to the fact that the secrecy rate of these users can be guaranteed through transmitting the pre-cached files cooperatively to confuse the eavesdropper. Then, the secrecy rate of the users in different cases are presented in Table II, where \star means that the file required for the user already exists in its local cache and bold data are the secrecy rate of the users without caching in different cases. From the results, we can see that the secrecy rate of the user without caching in Case 3 to Case 6 are higher than that in Case 1 and Case 2. This is because the user without caching in Case 3 to Case

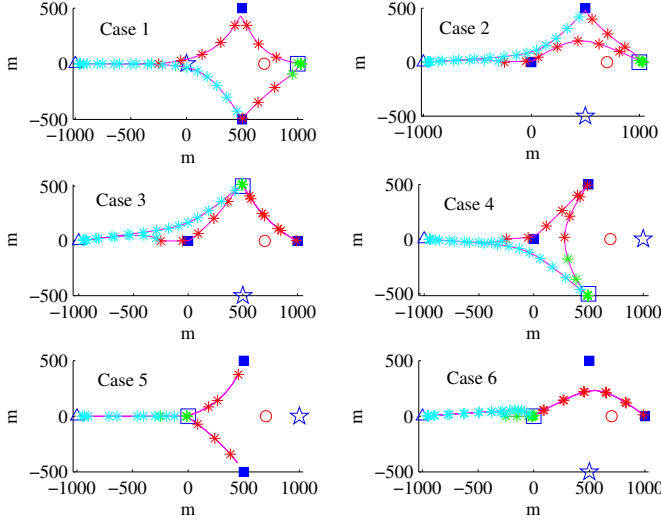


Fig. 7. Optimized trajectories for different cases. The BS is marked by blue ' \triangle ' and the eavesdropper is marked by red ' \circ '. The user whose required file already exists in its local cache is marked by blue ' \star ', the two cooperative caching users are marked by blue ' \blacksquare ', and the user without cached file is marked by blue ' \square '. The trajectory is sampled every 4 s and marked with ' \star '. The sampled points marked by cyan ' \star ' show that the UAV obtains files from the BS. The sampled points marked by red ' \star ' indicate that the UAV broadcasts files to two cooperative caching users. The sampled points marked by green ' \star ' mean that the UAV transmits file to the user without caching.

TABLE II
SECURITY RATE FOR THE USERS IN DIFFERENT CASES

SR (bit/s/Hz)	User 1	User 2	User 3	User 4
Case 1	0.7765	0.7764	0.9078	\star
Case 2	0.8000	\star	0.9994	0.8000
Case 3	1.3691	\star	0.7962	0.7962
Case 4	0.8251	1.4556	\star	0.8251
Case 5	0.8256	0.8256	\star	1.4597
Case 6	0.7956	\star	0.7956	1.5938

6 is farther away from the eavesdropper than the user in Case 1 and Case 2.

Furthermore, we consider a more general case with six users, which are randomly distributed. In the simulation, User 1 and User 2 have cached the files that are required for each other, User 3, User 4 and User 5 have no caching capability, and User 6 has already cached its required file, i.e., $\mathcal{I}_1 = \{1\}$, $\mathcal{I}_2 = \{2\}$, $\mathcal{I}_3 = \{3, 4, 5\}$ and $\mathcal{I}_4 = \{6\}$. We aim to maximize the minimum secrecy rate of all the uncached users by jointly optimizing the UAV trajectory and time scheduling, with the secrecy rate of other caching users guaranteed. We set $T = 200$ s, $N = 100$, $N_1 = 40$, $\gamma = 3.5$ bit/s/Hz, $\beta = 0.7$ bit/s/Hz and $\eta = 0.6$ bit/s/Hz. The optimized UAV trajectory is shown in in Fig. 8, and the secrecy rate of the users are presented in Table III. From the results, we can conclude that our proposed scheme can also be utilized in the general case of more users with reliable performance. Specifically, the minimum secrecy rate of all the uncached users can be optimized to be 0.607 bit/s/Hz, with the secrecy rate of cached users higher than $\eta = 0.6$ bit/s/Hz.

Finally, we consider the scenario in which no user has caching ability, and we maximize the minimum secrecy rate

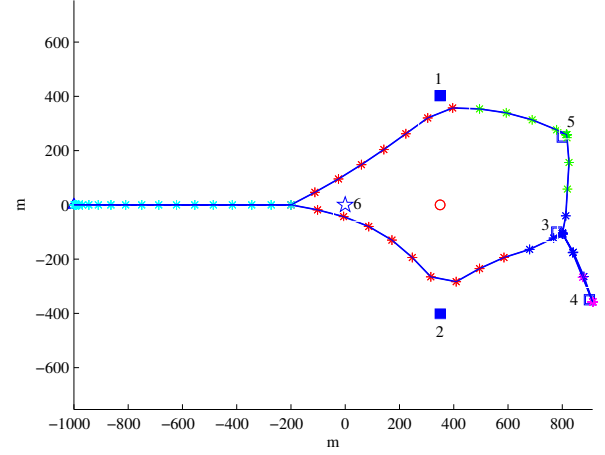


Fig. 8. Optimized UAV trajectory for the case of 6 users. The BS is marked by blue ' \triangle ' and the eavesdropper is marked by red ' \circ '. The user with its required file cached is marked by blue ' \star ', the cooperative caching users are marked by blue ' \blacksquare ', and the users without caching are marked by blue ' \square '. Each trajectory is sampled each 2 s and marked with ' \star '. The sampled points marked by cyan ' \star ' show that the UAV obtains files from the BS. The points marked by red ' \star ' indicate that the UAV broadcasts files to the cached users. The points marked by blue, mauve and green ' \star ' show that the UAV transmits files to the uncached users 3, 4, and 5, respectively.

TABLE III
SECURITY RATE OF THE CASE WITH 6 USERS

SR (bit/s/Hz)	User 1	User 2	User 3	User 4	User 5
	0.635	0.635	0.607	0.607	0.607

among all users by jointly optimizing the UAV trajectory and time scheduling according to (P2). The optimized UAV trajectories with different locations of the eavesdropper are presented in Fig. 9, with $\gamma=4$ bit/s/Hz. For comparison, the optimized UAV trajectories of our proposed scheme with caching are also presented in Fig. 10. From the results, we can see that in the proposed scheme with caching, the UAV can serve the two users with caching on in a much larger range and serve the 3rd user without caching only when it flies above it. This is because the secrecy rate of the two caching users can be mainly guaranteed through transmitting the pre-cached files cooperatively to confuse the eavesdropper. While in benchmark system model without caching, we can see that the UAV will serve a specific user if and only if the distance between the UAV and the user does not exceed the distance between the UAV and the eavesdropper. This is because the instantaneous secrecy rate of all the users in each time slot should be higher than 0; otherwise, the UAV will serve other users with positive secrecy rate to improve the network security. In addition, the secrecy rate of each user in the proposed and benchmark schemes is compared in Table IV when $\mathbf{w}_e = (700 \text{ m}, 0 \text{ m})$. From the results, we can observe that the secrecy rate of each user in the proposed scheme with caching is much higher than that in the benchmark scheme without caching. Thus, we can conclude that cooperative caching can significantly improve the security for the UAV relaying assisted networks.

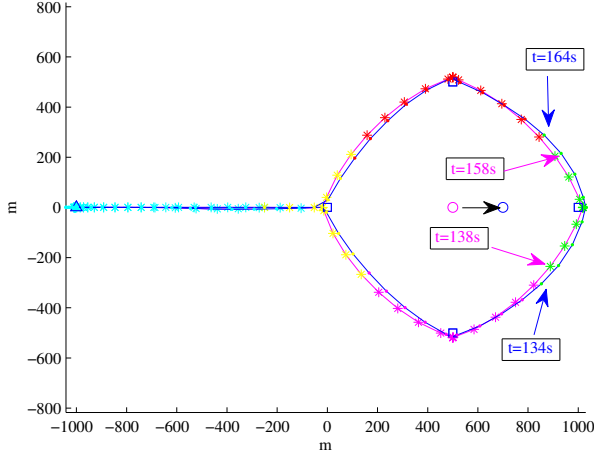


Fig. 9. Optimized trajectory for maximizing the minimum secrecy rate over all users without caching. The BS is marked by blue ‘ \triangle ’, the users are marked by blue ‘ \square ’ and the eavesdropper is marked by mauve ‘ \circ ’ when $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$ or blue ‘ \circ ’ when $\mathbf{w}_e = (700 \text{ m}, 0 \text{ m})$. The mauve curve is optimal UAV trajectory when $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$, while the blue curve is optimal UAV trajectory when $\mathbf{w}_e = (700 \text{ m}, 0 \text{ m})$. Each trajectory is sampled every 2 s and marked with ‘ \ast ’ or ‘ \cdot ’. The sampled points marked by cyan ‘ \ast ’ show that the UAV obtains files from the BS. The sampled points marked by red ‘ \ast ’, mauve ‘ \ast ’, green ‘ \ast ’ and yellow ‘ \ast ’ indicate that the UAV transmits file to the 1st user, the 2nd user, the 3rd user and the 4th user when $\mathbf{w}_e = (500 \text{ m}, 0 \text{ m})$, respectively. When $\mathbf{w}_e = (700 \text{ m}, 0 \text{ m})$, the marks ‘ \ast ’ are replaced by ‘ \cdot ’.

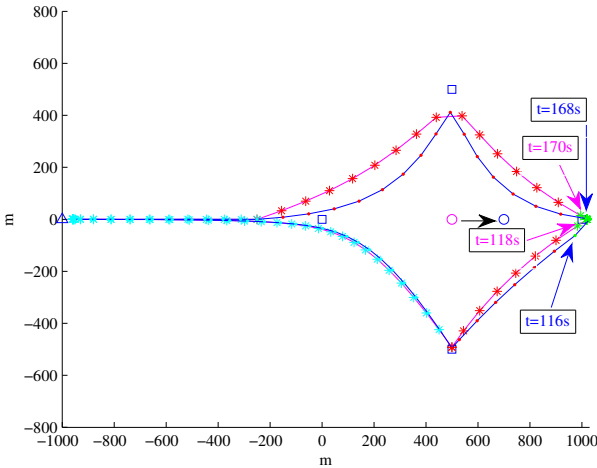


Fig. 10. Optimized trajectory for maximizing the minimum secrecy rate over all users with caching. The markers are similar to those in Fig. 9.

VI. CONCLUSIONS

In this paper, the security of UAV-relayed wireless networks with caching has been studied. In our proposed scheme, the UAV first obtains the files from the BS and then transmits them to the users. The users with caching capability can pre-cache some files during off-peak time. When two users have cached the file required by the other, the UAV can broadcast the files to them and disrupt the eavesdropping. For the users without caching, their security can be guaranteed by optimizing the UAV trajectory. Thus, we propose to maximize the minimum

TABLE IV
SECURITY RATE OF THE PROPOSED AND BENCHMARK SCHEMES

SR (bit/s/Hz)	User 1	User 2	User 3	User 4
Proposed Scheme	0.7765	0.7764	0.9078	★
Benchmark Scheme	0.3908	0.3908	0.3908	0.3908

secrecy rate of the uncached users via jointly optimizing the UAV trajectory and time scheduling, with the performance requirement of caching users satisfied. The problem is non-convex, which is divided into two subproblems, and an iterative algorithm is proposed to solve them alternately. In addition, we also consider the scenario in which no user is equipped with cache as a benchmark. Simulation results are finally presented to show the effectiveness and efficiency of the secure transmission in proposed UAV relaying systems with local caching.

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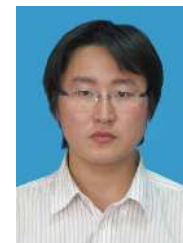


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