

# Ubiquitin signaling in immune responses

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**Ubiquitination has emerged as a crucial mechanism that regulates signal transduction in diverse biological processes, including different aspects of immune functions. Ubiquitination regulates pattern-recognition receptor signaling that mediates both innate immune responses and dendritic cell maturation required for initiation of adaptive immune responses. Ubiquitination also regulates the development, activation, and differentiation of T cells, thereby maintaining efficient adaptive immune responses to pathogens and immunological tolerance to self-tissues. Like phosphorylation, ubiquitination is a reversible reaction tightly controlled by the opposing actions of ubiquitin ligases and deubiquitinases. Deregulated ubiquitination events are associated with immunological disorders, including autoimmune and inflammatory diseases.**

**Keywords:** ubiquitination; innate immunity; adaptive immunity; T cell activation; immune tolerance; autoimmunity; NF- $\kappa$ B; Peli1; TRAF

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## Introduction

Ubiquitination is a posttranslational mechanism of protein modification involving covalent conjugation of ubiquitin to lysine (K) residues of target proteins, a process that is catalyzed by the sequential action of ubiquitin-activating (E1), ubiquitin-conjugating (E2), and ubiquitin-ligating (E3) enzymes [1]. Formation of polyubiquitin chains involves isopeptide bond connection between the carboxyl-terminal glycine residue of ubiquitin and an internal K residue or the amino-terminal methionine (M1) of another ubiquitin. The presence of seven Ks, along with the M1, creates a large variety of ubiquitin chains, including K6-, K11-, K27-, K29-, K33-, K48-, K63-, and M1-linked ubiquitin chains, as well as mixed ubiquitin chains. The substrate specificity of ubiquitination is mainly determined by E3s, a family of > 600 mammalian members that recognize protein substrates and assist or directly catalyze the transfer of ubiquitin from E2s to the substrates [2, 3]. Ubiquitination is a reversible and dynamic event, since the conjugated

ubiquitin chains can be cleaved by a family of ubiquitin-specific proteases, termed deubiquitinases (DUBs) [4]. Although the best-known function of ubiquitination is to target substrate proteins for degradation in the 26S proteasome, it is now clear that some ubiquitin chains, such as the K63-linked and M1-linked (also called linear) ubiquitin chains, mediate signal transduction via nondegradative mechanisms [5, 6]. Such ubiquitin chains can function as a platform that facilitates protein-protein interactions in signal transduction. A growing family of proteins with ubiquitin-binding domains (UBDs) recognize target proteins when they are attached with specific types of ubiquitin chains and, thereby, mediate assembly of signaling complexes [7]. Ubiquitination is indispensable for a large variety of biological processes, including different aspects of immune functions.

The immune system is a complex network of cells, tissues, and organs evolved to protect host from infectious agents. All animals, as well as plants, possess an innate immune system, which senses infections by recognizing essential and conserved components of pathogens known as pathogen-associated molecular patterns (PAMPs) [8]. Innate immune cells, such as macrophages, dendritic cells (DCs), and neutrophils, express several families of pattern-recognition receptors (PRRs) that recognize the

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different PAMPs and mediate phagocytosis and signal transduction that triggers production of antimicrobial factors and inflammatory mediators [9]. Thus, innate immunity provides immediate defense against infections and mediates induction of inflammation that is in turn important for recruiting immune cells to sites of infection. Innate immunity also regulates the induction of adaptive immunity, a more sophisticated immune response available only in mammals and other vertebrates [10]. The adaptive immunity relies on T and B lymphocytes characterized by the presence of highly specific antigen-recognition receptors, the T cell receptor (TCR) and B cell receptor (BCR). B cells produce antibodies and mediate humoral immunity, whereas T cells, including CD4<sup>+</sup> and CD8<sup>+</sup> T cells, mediate cellular immunity and provide help for activation of B cells in humoral immune response.

The activation of naive T cells occurs when antigen-presenting cells (APCs), mostly DCs, have been alerted by an infection or other dangerous signals. Following exposure to PAMPs, DCs are stimulated to mature into competent APCs and migrate to the draining lymph nodes, where they activate naive T cells by presenting foreign antigens to the TCR and stimulating costimulatory molecules, such as CD28. PAMP-stimulated DCs and macrophages also release a plethora of cytokines that guide the differentiation of CD4<sup>+</sup> T cells to several subsets of T helper (Th) cells involved in different aspects of immune response. Under normal condition, T cells launch robust response to invading pathogens but are tolerant to self-antigens [11]. Ubiquitination has a crucial role in the regulation of innate and adaptive immune responses as well as immune tolerance. In this review, we discuss recent progress regarding how ubiquitination regulates immune functions, focusing on PRR signaling in innate immunity and inflammation, DC maturation, T cell activation and differentiation, and T cell tolerance.

## Ubiquitination in PRR signaling

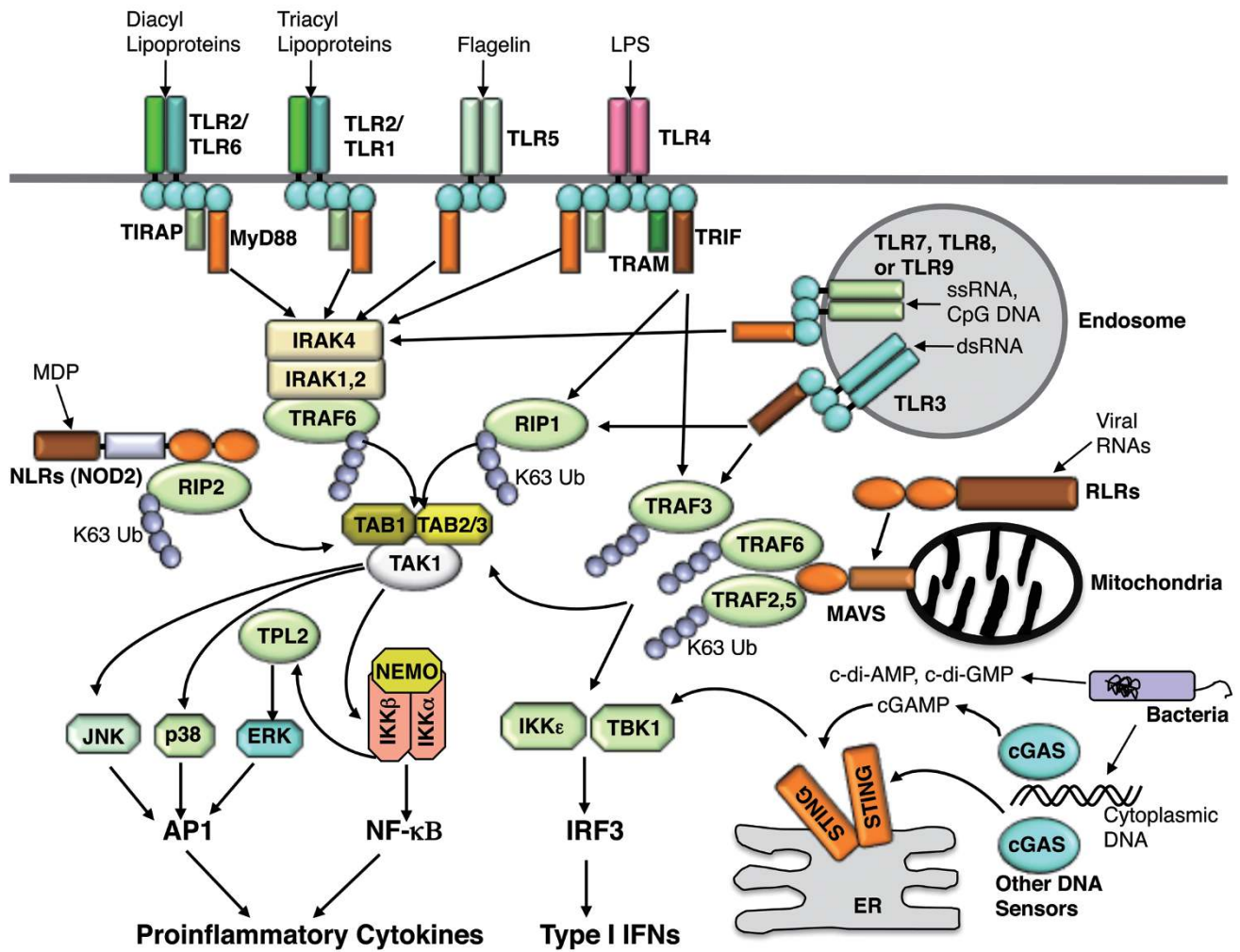
Innate immune recognition is based on PAMP detection by several families of PRRs, including toll-like receptors (TLRs), RIG-I-like receptors (RLRs), NOD-like receptors (NLRs), C-type lectin receptors (CLRs), and cytosolic DNA sensors [12]. TLRs are a family of membrane-bound PRRs that are located on cell surface or intracellular vesicles (Figure 1). Cell-surface TLRs sense pathogens present in the extracellular environment by recognizing various membrane components of the microbes, whereas intracellular TLRs are located on the membrane of endosomes and lysosomes and detect

nucleic acids internalized into the lumen of these intracellular vesicles from the extracellular environment [13]. RLRs, including RIG-I, MDA5, and LGP2, are RNA helicases that sense RNAs from RNA viruses in the cytosol [13, 14]. The NLR family includes a large number of cytoplasmic PRRs characterized by the presence of an N-terminal effector domain, a central nucleotide-binding NOD domain, and a C-terminal leucine-rich repeat domain mediating ligand binding [15]. An extensively studied function of NLRs is their involvement in the formation and activation of inflammasomes, a signaling pathway mediating activation of caspase-1 and secretion of inflammatory cytokines IL-1 and IL-18 [16-18]. In addition, some NLRs also mediate noninflammasome functions, as demonstrated for the NLR family founding members NOD1 and NOD2. NOD1 and NOD2 detect bacterial cell wall peptidoglycan components,  $\gamma$ -D-glutamyl-meso-diaminopimelic acid (iE-DAP) and muramyl dipeptide (MDP), respectively, and mediate antimicrobial innate immunity and inflammatory responses [19]. CLRs are membrane-associated PRRs that contain a characteristic C-type lectin-like domain and recognize carbohydrates, such as the fungal cell wall component  $\beta$ -1, 3-glucans. A well-recognized function of the CLRs is mediating anti-fungal immunity. The prototypical CLR member, Dectin-1, recognizes the fungal cell wall carbohydrate  $\beta$ -1, 3-glucans and is required for innate immunity against several fungal species [20]. Cytosolic DNA sensors mediate innate immunity against various bacterial and viral pathogens. More than 10 putative DNA sensors have been identified over the past few years [21], and the recently discovered cyclic GMP-AMP synthase (cGAS) appears to have a predominant role in mediating type I interferon (IFN-I) induction by cytoplasmic DNAs [22].

Signal transduction by PRRs relies on their association with specific adaptors (Figure 1). MyD88 is a common adaptor for all TLRs, except TLR3, whereas TRIF is an adaptor for TLR3 and TLR4 [13]. Both MyD88 and TRIF contain a TIR domain, which mediates interaction with the TIR of TLRs. MyD88 also contains a death domain (DD) that mediates interaction with the DD of IL-1R-associated kinases (IRAKs). Upon recruitment to the TLRs, MyD88 assembles a complex with IRAK4, IRAK1, and IRAK2 via DD-DD interactions, causing the activation of IRAK4 and phosphorylation of IRAK1 and IRAK2. Phosphorylated IRAK1/2 then mediates the activation of TRAF6, which in turn functions as both an adaptor and an E3 ligase conjugating K63-linked ubiquitin chains to itself and other proteins. TRAF6 activates the ubiquitin-dependent kinase TAK1, which in turn activates IKK and the MAPKs JNK and p38. Via

an IKK-dependent mechanism, TAK1 also activates the kinase TPL2 and its downstream kinase ERK [23]. These signaling events ultimately lead to the activation of transcription factors AP1 and NF- $\kappa$ B and induction of proinflammatory cytokines (Figure 1). In addition to targeting the TAK/IKK/MAPK signaling axis, TRIF activates the IKK-related kinases TBK1 and IKK $\epsilon$ , resulting in the activation of transcription factor IRF3 and induction of type I IFNs. Unlike MyD88, TRIF does not contain a

DD, and its signaling in the proinflammatory pathway relies on a receptor-interacting kinase (RIP) homotypic interaction motif (RHIM) [24]. In response to signals from TLR3 and TLR4, TRIF recruits RIP1 via RHIM-RHIM interaction and stimulates K63-linked polyubiquitination of RIP1, which is important for the recruitment and activation of TAK1 and IKK [25]. Analogous to TRIF, the NLR members NOD1 and NOD2 recruit RIP2 and stimulate K63-linked ubiquitination of RIP2, which



**Figure 1** Main signaling pathways of PRRs. A common signaling feature of different PRRs is the dependence on adaptor molecules. TLRs, except TLR3, associate with MyD88, which in turn recruits and activates IRAK4, leading to phosphorylation of IRAK1 and IRAK1-mediated activation of the downstream adaptor TRAF6. TLR3 and TLR4 associate with TRIF and activate the downstream adaptor RIP1. Via a ubiquitin-dependent mechanism, TRAF6 and RIP1 both activate TAK1 and its downstream pathways, leading to induction of proinflammatory cytokines. TRIF also activates TBK1 (or IKK $\epsilon$ ) for the induction of type I IFNs, via a mechanism thought to involve the adaptor TRAF3. RLRs signal via the mitochondrial adaptor MAVS and several TRAF members to activate the TAK1 proinflammatory pathway and the type I IFN pathway, whereas the NLR member NOD2 (as well as NOD1) activates the TAK1 proinflammatory pathway via the signaling adaptor RIP2. STING is a signaling adaptor of cytoplasmic DNA sensors, such as cGAS, which activates STING via synthesizing the second messenger, cyclic dinucleotide cGAMP. STING also senses bacteria-derived cyclic di-nucleotides, c-di-AMP and c-di-GMP.

is required for the activation of IKK and MAPKs and induction of proinflammatory cytokines [26].

The common signaling adaptor for RLRs is mitochondrial antiviral-signaling protein (MAVS; also named IPS-1, VISA, and CARDIF), which contains a caspase activation and recruitment domain (CARD) [27-30]. TRAF3 has been implicated as a signaling adaptor that mediates induction of type I IFNs by RLRs as well as TLRs [31, 32]. However, in some studies, TRAF3 was found to be dispensable for type I IFN induction by RNA viruses, vesicular stomatitis virus (VSV) and Sendai virus (SeV), arguing for the redundant functions of several other TRAF members, including TRAF2, TRAF5, and TRAF6 [33, 34]. It is possible that different TRAFs may regulate type I IFN induction in different cell types or different PRR pathways. RLRs also stimulate the NF- $\kappa$ B and MAPK pathways and the expression of proinflammatory cytokines.

Stimulator of IFN gene (STING; also known as MITA, MPYS, TREM173, and ERIS) is an endoplasmic reticulum (ER)-localized protein that functions as an adaptor in the cytoplasmic DNA-sensing pathway [35-37]. STING also directly senses cyclic di-nucleotides, including cyclic-di-guanylate monophosphate (c-di-GMP) and cyclic-di-adenylate monophosphate (c-di-AMP), produced by bacteria [38-42]. Upon stimulation by these bacterial second messengers, STING becomes activated and then stimulates the TBK1/IRF3 signaling pathway to mediate type I IFN induction. The recently identified cytosolic DNA sensor cGAS catalyzes the synthesis of cyclic GMP-AMP (cGAMP), which functions as an endogenous second messenger that stimulates STING activation [43-45]. The cGAS-mediated DNA-sensing pathway responds to cytosolic DNAs derived from both pathogens and self, suggesting its involvement in both innate immunity against infection and the pathogenesis of certain autoimmune diseases [22, 46, 47]

#### *Ubiquitination in IKK activation*

A common downstream target of the PRRs is IKK, which together with MAPKs mediates induction of proinflammatory cytokines and chemokines (Figure 1). IKK functions through activation of NF- $\kappa$ B transcription factors – dimeric complexes of several structurally related DNA-binding proteins, including RelA (also called p65), RelB, c-Rel, p50, and p52. NF- $\kappa$ B members are normally sequestered in the cytoplasm as inactive complexes via association with inhibitors, I $\kappa$ Bs, and the activation of NF- $\kappa$ Bs can be mediated by canonical and noncanonical pathways [48, 49]. The canonical NF- $\kappa$ B pathway is a major target of the PRRs, although the non-canonical NF- $\kappa$ B pathway has a modulatory role in spe-

cific PRR pathways, particularly the type I IFN pathway [50]. Activation of canonical NF- $\kappa$ B relies on the typical trimeric IKK complex, composed of catalytic subunits IKK $\alpha$  and IKK $\beta$  and a regulatory subunit termed NF- $\kappa$ B essential modulator (NEMO, also called IKK $\gamma$ ). Upon activation, IKK phosphorylates a prototypical I $\kappa$ B member, I $\kappa$ B $\alpha$ , causing K48-linked ubiquitination and proteolysis of I $\kappa$ B $\alpha$  and concomitant nuclear translocation of canonical NF- $\kappa$ B dimers. In addition, IKK also phosphorylates other I $\kappa$ B members, including the I $\kappa$ B-like protein p105, contributing to canonical NF- $\kappa$ B activation [23]. The noncanonical NF- $\kappa$ B pathway, which specifically activates the p52/RelB dimer, is the subject of several reviews [48, 49] and will not be discussed here.

Early studies revealed that IKK activation depends on K63-linked polyubiquitin chain synthesis mediated by TRAF6 and the E2 dimer Ubc13/Uev1A, leading to the identification of TAK1 as a ubiquitin-dependent kinase that activates both IKK and MAPKs [51, 52]. Depending on the stimuli, K63-linked polyubiquitin chain conjugation and IKK activation can also be mediated by other E3 ubiquitin ligases, such as TRAF2, inhibitor of apoptosis 1 (cIAP1), and cIAP2 [53]. More recently, linear ubiquitination, catalyzed by the linear ubiquitin chain assembly complex (LUBAC), has been shown to also contribute to the activation of IKK and NF- $\kappa$ B in some receptor signaling pathways [6, 54]. In agreement with these studies, the activation of IKK is negatively regulated by DUBs that cleave K63 and linear ubiquitin chains, such as CYLD, A20, and Otulin [4, 54, 55]. Mechanistically, the ubiquitin-dependent activation of TAK1 and IKK relies on the ubiquitin-binding functions of their regulatory proteins: TAB2 (or TAB3) for TAK1 and NEMO for IKK. TAB2 and TAB3 have a UBD that preferentially binds K63-linked polyubiquitin chains [56]. The ubiquitin-binding function of TAB2 and TAB3 mediates the recruitment of TAK1 to ubiquitinated adaptors, such as TRAF6, and appears to also directly promote the autophosphorylation and activation of TAK1 [53]. NEMO also contains a UBD, known as an UBAN (ubiquitin binding in ABIN and NEMO) domain, which binds to both linear ubiquitin chains and K63-linked ubiquitin chains albeit more strongly to the former [57, 58]. The functional significance of the ubiquitin-binding activity of NEMO in IKK activation is underscored by the finding that NEMO mutations in its UBAN domain are associated with impaired NF- $\kappa$ B activation and X-linked ectodermal dysplasia with immunodeficiency [59]. Notably, NEMO not only binds linear ubiquitin chains but is also conjugated with linear ubiquitin chains [60]. It has been proposed that linear ubiquitin-conjugated NEMO may be bound by another NEMO molecule, which facil-

itates the dimerization and activation of IKK [61]. The role of linear ubiquitination in NF- $\kappa$ B regulation has been extensively studied in the TNF receptor 1 signaling pathway, but accumulating evidence suggests that linear ubiquitination also plays a role in NF- $\kappa$ B activation by PRRs [62].

#### *Ubiquitination in MAPK activation*

The PRRs activate three major families of MAP kinases (MAPKs), including p38, JNK, and ERK. The activation of p38 and JNK, as seen with the activation of IKK, is largely dependent on TAK1 [63, 64]. Although ERK is not directly targeted by TAK1, its activation is nevertheless dependent on TAK1 signaling. In this case, the TAK1-activated IKK is required for activation of TPL2, an upstream kinase of the ERK signaling pathway [23] (Figure 1). Under normal condition, TPL2 is physically associated with an NF- $\kappa$ B precursor protein, p105; upon activation by TAK1, IKK induces phosphorylation-dependent p105 degradation, causing the release of TPL2 and allowing TPL2 to phosphorylate and activate the ERK kinase, MEK1/2 [65-68]. Thus, TAK1 is a kinase that is required for activation of both IKK and three families of MAPKs [23, 69]. Given the ubiquitin-dependent nature of TAK1 activation, it is not surprising that activation of the MAPK signaling pathways by different PRRs is dependent on ubiquitination. Genetic deficiency in a K63-specific E2 component, Ubc13, impairs activation of TAK1 and MAPKs by TLR ligands and the inflammatory cytokine IL-1 $\beta$  [70, 71]. Ubc13 has an essential role in mediating NEMO ubiquitination in IL-1 $\beta$ -stimulated MEFs, although the role of Ubc13 in TRAF6 ubiquitination was less clear due to the discrepancy between two different studies [70, 71]. While one study suggests that Ubc13 is dispensable for IL-1 $\beta$ -stimulated TRAF6 ubiquitination in MEFs [71], another study demonstrates that splenocytes from mice with heterozygous Ubc13 deletion are defective in LPS-stimulated TRAF6 ubiquitination [70]. Whether the discrepancy is due to cell type differences or experimental variations is unclear. Nevertheless, these studies clearly demonstrate a role for K63-linked ubiquitination in regulating activation of MAPKs by TLRs and IL-1R.

#### *Ubiquitination in TBK1/IKK $\epsilon$ activation*

TBK1 and its homologue IKK $\epsilon$  are IKK-related kinases that mediate phosphorylation and activation of transcription factor IRF3 and induction of type I IFNs [72-76]. This antiviral signaling pathway is stimulated by various PRRs, including TRIF-dependent TLRs (TLR3 and TLR4), RLRs (RIG-I and MDA5), NLRs (NOD1, NOD2, and Nlrp6), and cytoplasmic DNA sensors [77,

78]. Ubiquitination has a critical role in regulating both signal-induced and homeostatic activation of TBK1 and IKK $\epsilon$ . Genetic deficiency in the DUB CYLD causes constitutive activation of TBK1 and IKK $\epsilon$  in DCs, rendering the cells hyperresponsive to VSV-induced type I IFN expression [79, 80]. Although this phenotype may involve ubiquitin-dependent activation of RIG-I, CYLD also directly targets TBK1 and IKK $\epsilon$  to inhibit their ubiquitination [79]. A20 has also been shown to inhibit the activation of TBK1 and IKK $\epsilon$ , and this function of A20 requires its associated protein Tax1-binding protein 1 (TAX1BP1), which appears to function as an adaptor that facilitates the binding of A20 to TBK1 and IKK $\epsilon$  [81]. Interestingly, A20 inhibits the K63-linked ubiquitination of TBK1 and IKK $\epsilon$  in a DUB-independent mechanism, probably through interference of the binding of TBK1 and IKK $\epsilon$  with a putative ubiquitin ligase, TRAF3 [81]. Several other E3 ligases have been implicated in the conjugation of K63-linked ubiquitin chains to TBK1 and IKK $\epsilon$  in the induction of type I IFNs. The ubiquitin ligases mind bomb 1 (MIB1) and MIB2, bind to TBK1 in response to SeV infection or double-stranded RNA stimulation and conjugate K63-linked polyubiquitin chains to TBK1 [82, 83]. MEFs deficient in MIB1 or in both MIB1 and MIB2 exhibited attenuated induction of IFN $\beta$  and IFN $\beta$ -target genes in response to SeV infection. Nrdp1 (also called ring finger protein 41 and FLRF) is an E3 with dual roles in regulating TLR-stimulated signaling. On the one hand, it promotes TRIF-dependent activation of TBK1 and IRF3 by mediating K63-linked ubiquitination of TBK1; on the other hand, it catalyzes K48-linked ubiquitination of MyD88 and negatively regulates MyD88-dependent activation of NF- $\kappa$ B and AP1 [84]. The ubiquitination of IKK $\epsilon$  in cancer cell lines is dependent on cIAP1, cIAP2, and TRAF2, which may form an E3 complex to mediate K63-linked polyubiquitination of IKK $\epsilon$  [85]. However, cIAPs appear to be dispensable for IFN responses in immune cells, since inhibition of cIAPs in macrophages does not affect the TLR4-stimulated expression of type I IFNs [86].

K63-linked polyubiquitination of TBK1 and IKK $\epsilon$  occurs at two K residues, K30 and K401, which are conserved between these two homologous kinases [85, 87]. Mutation of either K30 or K401 severely inhibits the ubiquitination and kinase activity of IKK $\epsilon$ , whereas simultaneous mutations of both residues are required for blocking the ubiquitination and kinase function of TBK1. The ubiquitination of TBK1 requires its dimerization, although how dimerization promotes TBK1 ubiquitination is unknown [87]. It is also unclear how ubiquitination of TBK1 and IKK $\epsilon$  facilitates their catalytic activation. It has been proposed that K63-linked ubiquitination of

TBK1 may facilitate the recruitment of a kinase that phosphorylates and activates TBK1 or promote the association of TBK1 with NEMO to form a higher order complex for triggering TBK1 autophosphorylation [87, 88]. Although NEMO mainly functions as the regulatory subunit of IKK, it is also required for the activation of TBK1 and induction of type I IFNs in some PRR pathways [33, 89].

K48-linked ubiquitination plays a negative role in the regulation of TBK1/IKK $\epsilon$  function and type I IFN induction. Several E3 ubiquitin ligases have been shown to target TBK1 or IKK $\epsilon$  for degradation. The RING finger E3 ubiquitin ligase Deltex 4 (DTX4) mediates K48-linked ubiquitination and degradation of TBK1 in a mechanism that depends on the NLR member NLRP4 [90]. It has been proposed that upon activation by double-stranded RNA and DNA or RNA viruses, phosphorylated TBK1 is bound by NLRP4, which in turn recruits DTX4 and allows this E3 to conjugate K48-linked ubiquitin chains onto K670 of TBK1 to trigger TBK1 degradation [90]. A more recent study suggests that dual-specificity tyrosine phosphorylation-regulated kinase 2 (DYRK2) phosphorylates TBK1 at serine 527, which is required for the recruitment of NLRP4 and DTX4 to mediate ubiquitin-dependent TBK1 degradation [91]. Another E3 ligase implicated in the regulation of TBK1 degradation is TRAF-interacting protein (TRIP), which directly binds to and promotes K48-linked ubiquitination of TBK1, thereby negatively regulating type I IFN induction by TRIF- and RIG-I-mediated antiviral pathways [92]. A tripartite motif-containing (TRIM) family member, TRIM27, functions as an E3 that mediates ubiquitin-dependent degradation of TBK1 in a negative feedback pathway of type I IFN signaling [93]. During viral infection, type I IFNs induce the expression of sialic acid-binding Ig-like lectin 1 (Siglec1), which interacts with the adaptor molecule DAP12 and recruits the protein tyrosine phosphatase SHP2. SHP2 in turn functions as a scaffolding protein to recruit the E3 ubiquitin ligase TRIM27, leading to ubiquitin-dependent degradation of TBK1 and negative regulation of type I IFN induction [93]. A recent study suggests that TBK1 is also targeted for ubiquitin-dependent degradation by suppressor of cytokine signaling 3 (SOCS3) [94], a member of a protein family that is induced by various cytokine signals and function as important regulators of innate and adaptive immunity [95]. SOCS proteins exert their immunoregulatory functions via different mechanisms, one of which being forming an E3 ubiquitin ligase complex with elongins B and C, cullin5, and Rbx-1 [96, 97]. Within this E3 ligase complex, SOCS proteins function as the substrate-binding subunit and induce degradation of a variety of proteins.

SOCS3 binds to TBK1 and mediates K48-linked ubiquitination of TBK1 at K341 and K344, thereby promoting TBK1 degradation and inhibiting IRF3 activation by VSV and influenza A virus [94].

#### *Ubiquitination in TLR signaling*

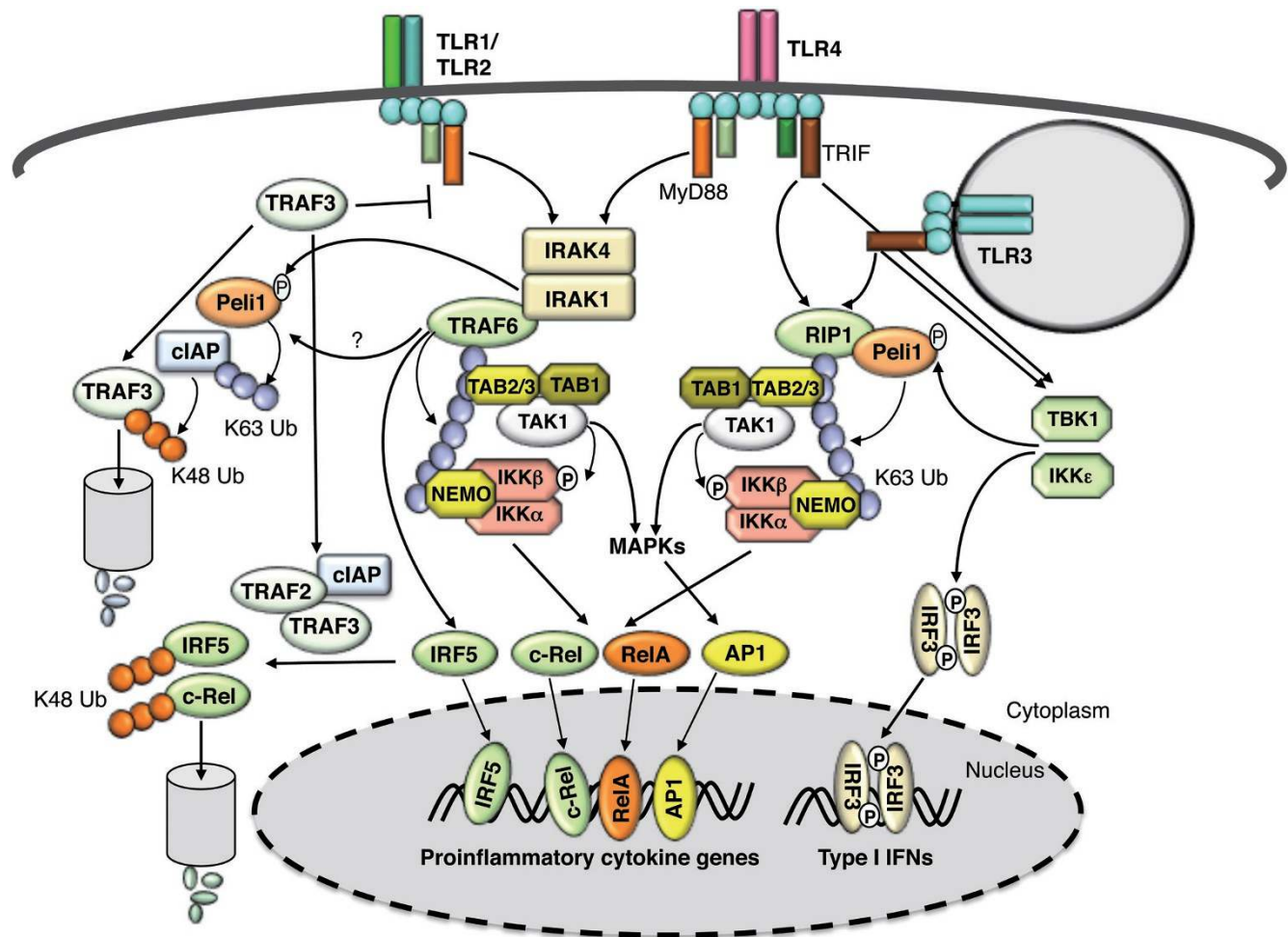
Ubiquitination plays a crucial role in the signaling function of the adaptor proteins TRAF6 and RIP1 in the MyD88- and TRIF-dependent TLR pathways (Figure 2). TRAF6 has E3 ubiquitin ligase function and conjugates K63-linked ubiquitin chains in cooperation with the E2 dimer Ubc13/Uev1A [52]. In addition to ubiquitinating other molecules, TRAF6 undergoes self-conjugation with K63-linked polyubiquitin chains, which serve as a platform to facilitate the recruitment and catalytic activation of TAK1 and IKK [5]. As discussed in an above section, both TAK1 and IKK have a regulatory subunit, TAB2 or TAB3 for TAK1 and NEMO for IKK, with ubiquitin-binding functions. It is generally believed that TAB2/3 recruits TAK1 to ubiquitinated TRAF6, where TAK1 is activated via autophosphorylation; activated TAK1 then phosphorylates the catalytic subunits of IKK, causing IKK activation (Figure 2). However, this model is questioned by some recent studies using mutant mouse models. Macrophages derived from TAB2/TAB3 double knockout mice have no defects in TLR-stimulated activation of TAK1 or its downstream kinases, IKK and MAPKs [98]. In B cells, the double deletion of TAB2 and TAB3 inhibits TLR- and CD40-mediated activation of MAPKs, predominantly ERK, without affecting the activation of TAK1 and NF- $\kappa$ B. These findings suggest that the ubiquitin-binding regulatory subunits of TAK1 are either not important or functionally redundant with other ubiquitin-binding factors in TAK1 activation.

The role of TRAF6 ubiquitination is also controversial. While one study demonstrates that the auto-ubiquitination site of TRAF6 (K124) is crucial for mediating activation of TAK1 and IKK [99], another study suggests that auto-ubiquitination of TRAF6 is dispensable for the recruitment and activation of TAK1 [100]. Nevertheless, both of these studies emphasize the importance of the RING domain of TRAF6 for its signaling function, highlighting a role for the E3 ligase activity of TRAF6. It is likely that TRAF6 also transduces TLR signaling via ubiquitination of other signaling molecules. For example, TRAF6 is known to mediate K63-linked polyubiquitination of IRAK1, which seems to be crucial for IKK activation by TLRs and IL-1R [101]. A recent study suggests that MyD88 is also conjugated with K63-linked polyubiquitin chains in response to infection by the nontypeable *Haemophilus influenzae* (NTHi) [102]. Although it is unclear whether this ubiquitination event

is mediated by TRAF6 or another E3, the ubiquitination of MyD88 is negatively regulated by the DUB CYLD and is important for activating downstream proinflammatory signaling. Another intriguing mechanism of TRAF6 function is to activate TAK1 via synthesizing free or unanchored polyubiquitin chains [103]. Binding of free ubiquitin chains by TAB2 triggers autophosphorylation and catalytic activation of TAK1. It will be important to

examine whether the TAB2/TAB3 double deficient cells have a defect in TAK1 activation under conditions that involve unanchored polyubiquitin chains.

Unlike TRAF6, RIP1 does not possess E3 ligase activity. TRAF6 was initially thought to mediate RIP1 ubiquitination; however, TRAF6 is not essential for TRIF-dependent activation of IKK, suggesting an additional E3(s) for RIP1 ubiquitination [25, 104]. Genetic evidence



**Figure 2** Ubiquitin regulation of TLR signaling. In response to MyD88- and TRIF-dependent TLR signals, TRAF6 undergoes self-ubiquitination and RIP1 is ubiquitinated by Peli1, an E3 ligase that is activated via its phosphorylation by IRAK1 and TBK1 or IKKε. It is generally thought that K63-linked ubiquitin chains conjugated to TRAF6 and RIP1 facilitate the recruitment and activation of TAK1 and IKK, which requires the ubiquitin-binding proteins TAB2 (or TAB3) and NEMO. Activated IKK and MAPKs (downstream of TAK1) mediate activation of NF-κB c-Rel and RelA, and members of the AP1 family, which together with TRAF6-stimulated IRF5 transactivate a variety of proinflammatory cytokine genes. As discussed in the text, this signaling model requires further modifications, since some parts are not supported by knockout mouse studies. TLR signaling is subject to control by negative regulators, one of which is TRAF3 that negatively regulates TLR-stimulated proinflammatory signaling by two possible mechanisms: interfering with the signaling function of the MyD88 complex and mediating degradation of IRF5 and c-Rel by forming an E3 complex with TRAF2 and cIAP. At least in the TLR4 signaling pathway, TRAF3 is targeted for ubiquitin-dependent degradation via a mechanism in which Peli1 either cooperates with TRAF6 or functions downstream of TRAF6 to mediate K63-linked ubiquitination and activation of cIAP, allowing the activated cIAP to conjugate K48-linked ubiquitin chains to TRAF3. By mediating TRAF3 degradation, Peli1 promotes proinflammatory TLR signaling.

suggests that TLR-stimulated RIP1 ubiquitination requires the E3 ubiquitin ligase Peli1 (also called Pellino1) [105], a member of a highly homologous ubiquitin ligase family that also includes Peli2 and Peli3 [106] (Figure 2). The E3 ligase function of Peli1 can be stimulated via its phosphorylation by the IKK-related kinases, TBK1 and IKK $\epsilon$ , or by the kinase IRAK1 [107]. Peli1 deficiency impairs the ubiquitination of RIP1 and attenuates the activation of NF- $\kappa$ B in cells stimulated with TLR3 and TLR4 ligands, poly(I:C) and LPS. Consistently, Peli1 is important for poly(I:C)- and LPS-stimulated expression of proinflammatory cytokines, and Peli1-deficient mice are resistant to LPS-induced septic shock [105]. In peripheral innate immune cells, Peli1 is mainly required for the TRIF-dependent proinflammatory signaling, which is probably due to functional redundancy between Peli1 and other Peli members in the MyD88-dependent pathway. In support of this idea, the central nervous system (CNS)-resident macrophages, microglia, predominantly express Peli1 and rely on Peli1 for both TRIF- and Myd88-dependent TLR signaling [108]. In the MyD88 pathway, Peli1 does not seem to activate a major signaling component but rather promotes MyD88 signaling through mediating ubiquitin-dependent degradation of a negative regulator, TRAF3 [108] (Figure 2).

Both the MyD88- and TRIF-dependent TLR pathways are subject to regulation by negative regulators, among which are the recently reported TRAF members, TRAF2 and TRAF3 [109, 110]. Both TRAF2 and TRAF3 negatively regulate TLR-stimulated expression of proinflammatory cytokines in innate immune cells, and genetic deficiency in either TRAF promotes inflammation in mice [111, 112]. The mechanism by which TRAF2 and TRAF3 negatively regulate proinflammatory TLR signaling appears to be complex. TRAF3 may target upstream signaling factors in the MyD88 complex and is thought to inhibit the cytoplasmic translocation of the MyD88 signaling complex, thereby attenuating LPS-stimulated MAPK activation [109]. Since TRAF3 also negatively regulates proinflammatory cytokine induction by the TRIF-dependent TLR3 ligand poly(I:C), it suggests additional mechanisms of TRAF3 function [111]. In bone marrow-derived macrophages, TRAF2 and TRAF3 regulate the steady level of c-Rel and IRF5, transcription factors that are important for TLR-stimulated proinflammatory cytokine gene expression [111]. During M-CSF-induced macrophage differentiation, c-Rel and IRF5 are transcriptionally induced, programming the cells for inflammatory responses to TLR stimulation [111, 113]. TRAF2 and TRAF3 mediate ubiquitin-dependent degradation of c-Rel and IRF5 proteins, thereby preventing aberrant accumulation of these proinflammatory transcrip-

tion factors [111]. Deletion of either TRAF2 or TRAF3 greatly elevates the steady state level of c-Rel and IRF5, rendering macrophages hyper-responsive to TLR-stimulated proinflammatory cytokine induction. TRAF2 and TRAF3 are known to associate with cIAP (cIAP1 or cIAP2) and form an E3 ubiquitin ligase complex that promotes ubiquitin-dependent degradation of the kinase NIK in the noncanonical NF- $\kappa$ B signaling pathway [48, 49]. cIAP appears to be also involved in the degradation of c-Rel and IRF5, since a cIAP inhibitor, Smac mimetic, enhances the steady state level of c-Rel and IRF5 in bone marrow-derived macrophages [111]. Thus, by mediating degradation of two major proinflammatory transcription factors during M-CSF-induced macrophage differentiation, the TRAF/cIAP complex negatively regulates the induction of proinflammatory cytokines in macrophages (Figure 2).

The TLR4 ligand LPS stimulates TRAF3 degradation in both the Raw264.7 macrophage cell line and the CNS-resident macrophages, which likely serves as a mechanism to override the inhibitory function of TRAF3 [86, 108]. cIAP is thought to function as an E3 ubiquitin ligase that conjugates K48-linked ubiquitin chains to TRAF3 leading to TRAF3 degradation, since the cIAP inhibitor, Smac mimetic, inhibits LPS-stimulated TRAF3 ubiquitination and degradation [86]. It has been proposed that the K48-linked ubiquitin ligase activity of cIAP is triggered through its K63-linked ubiquitination by TRAF6 [109]. Interestingly, genetic evidence suggests that LPS-stimulated K48 ubiquitination and degradation of TRAF3 in microglia requires the E3 ligase Peli1 [108] (Figure 2). Peli1 may promote TRAF3 degradation by mediating K63-linked ubiquitination and activation of cIAP, since Peli1 deficiency blocks LPS-stimulated K63-linked ubiquitination of cIAP without affecting the K63-linked ubiquitination of TRAF6 [108]. When expressed in HEK293 cells, both Peli1 and TRAF6 stimulate K63-linked ubiquitination of cIAP, and Peli1 is required for TRAF6-stimulated ubiquitination of cIAP, suggesting that Peli1 may cooperate with TRAF6 or function downstream of TRAF6 in mediating cIAP ubiquitination and activation [108] (Figure 2).

The *in vivo* function of Peli1 in mediating TRAF3 degradation was demonstrated in experimental autoimmune encephalomyelitis (EAE), an animal model of the autoimmune neuroinflammatory disease multiple sclerosis [108]. Peli1 deficiency causes accumulation of TRAF3 in microglia during EAE induction, which contributes to impaired expression of chemokines and proinflammatory cytokines and ameliorated EAE disease. These findings suggest that microglial TRAF3 is induced and rapidly degraded in a Peli1-dependent manner during



EAE induction. These findings also establish Peli1 as a potential drug target in the treatment of multiple sclerosis and other types of inflammatory diseases.

#### *Ubiquitination in RLR signaling*

The two major RLR members, RIG-I and MDA5, are both CARD-containing PRRs, and they associate with MAVS via CARD-CARD interactions. The RIG-I/MAVS interaction also requires K63-linked ubiquitination of RIG-I at K172 located in the second CARD [114]. Viruses and double-stranded RNA induce K63-linked ubiquitination of RIG-I, which facilitates its association with MAVS for MAVS activation [114]. Two E3 ubiquitin ligases, TRIM25 and RIPLET (also called RNF135), have been shown to mediate RIG-I ubiquitination and type I IFN induction [114-116]. The crucial role of TRIM25 and RIPLET in mediating RIG-I ubiquitination and type I IFN induction has been demonstrated using TRIM25 knockdown and knockout cells and RIPLET knockout mice [114, 117]. Consistently, both TRIM25 and RIPLET are antagonized by a viral protein, non-structural protein 1 (NS1) of influenza A virus, further emphasizing the importance of these E3s in antiviral immunity [118, 119]. Regarding the underlying mechanism, RIPLET conjugates K63-linked ubiquitin chains to K788 at the C-terminal domain of RIG-I, which triggers an open conformation of RIG-I to allow its exposed CARDS to be bound and ubiquitinated by TRIM25, thereby promoting the association of RIG-I with MAVS and TBK1 [120].

CYLD is a DUB known to negatively regulate RIG-I ubiquitination and RIG-I-mediated IFN gene induction [79, 80]. CYLD deficiency causes constitutive activation of TBK1 and IKK $\epsilon$  in DCs, and the CYLD-deficient DCs and MEFs are hyper-responsive to VSV in IFN $\beta$  induction [80]. CYLD binds to RIG-I and inhibits the ubiquitination and signaling function of RIG-I [79, 80]. In addition, CYLD also inhibits the ubiquitination of TBK1 and IKK $\epsilon$ , which also contributes to the negative regulation of IFN responses by CYLD [79]. It is important to note that the role of CYLD in antiviral innate immunity appears to be complex. Despite enhanced RIG-I signaling, the CYLD-deficient cells and mice are more susceptible to VSV infection due to attenuated signaling and antiviral gene expression induced by IFN $\beta$ , suggesting a positive role for CYLD in regulating type I IFN receptor function. Several other DUBs have also been implicated in the regulation of RIG-I ubiquitination and virus-induced type I IFN expression; these include A20, USP3, USP15, USP21, and USP25 [121-126]. The reason for the involvement of so many DUBs in RIG-I regulation is unclear, but one possibility is that different DUBs may function in distinct cell types. Furthermore, some of

these findings are based on cell lines and overexpression systems and yet to be confirmed by *in vivo* and genetic approaches. There are also some controversies, such as in the USP15 studies. While one study implicates USP15 as a positive regulator of RIG-I signaling, the other suggests an opposite role [125, 126].

Ubiquitination also has a negative role in regulating RLR signaling. Ubiquitination mediates proteasomal degradation of RIG-I as well as its signaling adaptor MAVS, and this negative-regulatory mechanism involves the ubiquitin ligase RNF125 (also called TRAC-1) [127]. RNF125 is upregulated by IFN $\alpha$  or its inducer poly(I:C) and then conjugates K48-linked ubiquitin chains to RIG-I, MAVS, and MDA5 to induce their degradation. Thus, RNF125 functions as a feedback regulator in the type I IFN induction signaling circuit. A recent study suggests that the linear ubiquitin ligase complex LUBAC negatively regulates type I IFN induction by the RIG-I and TRIM25 signaling axis [128]. LUBAC inhibits the RIG-I-mediated signaling and virus-induced type I IFN expression by two different mechanisms. First, LUBAC competes with TRIM25 for RIG-I binding and, thereby, inhibits TRIM25-mediated RIG-I ubiquitination and activation. Second, LUBAC mediates ubiquitin-dependent degradation of TRIM25. Interestingly, LUBAC promotes conjugation of both linear and K48-linked ubiquitin chains to TRIM25. Since LUBAC is known to be a linear ubiquitin chain ligase, it is unclear how it mediates K48-linked ubiquitination of TRIM25. One possibility is that the linear ubiquitin chains conjugated to TRIM25 promote K48-linked ubiquitination by recruiting a K48-specific E3 ligase.

#### *Ubiquitination in NLR signaling*

NLRs respond to both PAMPs and various endogenous ligands called danger-associated molecular patterns and mediate activation of inflammasomes as well as noninflammasome functions. Since the topic of inflammasomes has been discussed in several recent reviews [16-18], this section will focus on the noninflammasome functions of NLRs. The founding members of the NLR family, NOD1 and NOD2, detect the bacterial peptidoglycan components iE-DAP and MDP, respectively, and mediate signaling via the adaptor RIP2 [19]. In response to ligand stimulation, NOD1 and NOD2 recruit RIP2 through CARD-CARD homotypic interactions [129, 130]; this in turn triggers K63-linked polyubiquitination of RIP2, thereby facilitating the recruitment and activation of TAK1 and IKK [131-134]. Several E3 ubiquitin ligases have been implicated in the regulation of RIP2 ubiquitination and NOD1/2 signaling; these include TRAF2, TRAF5, TRAF6, cIAP1, cIAP2, and XIAP

[132–137]. Moreover, a recent study provides genetic evidence for a role of Peli3 in mediating NOD2-induced activation of NF- $\kappa$ B and MAPKs and innate immunity protection from intestinal inflammation [138]. Analogous to Peli1-mediated RIP1 ubiquitination, Peli3 conjugates K63-linked ubiquitin chains to RIP2 to facilitate proinflammatory signaling. Why the ubiquitination of RIP2 involves so many different E3s is still not fully understood, although it is possible that some of them may be functionally cooperative. Another E3, ITCH, has also been shown to mediate K63-linked ubiquitination of RIP2; however, the function of ITCH in the NOD2 pathway appears to be complex, since it promotes activation of MAPKs that inhibits activation of NF- $\kappa$ B [139].

Another important noninflammasome function of NLRs is to negatively regulate signal transduction from other PRRs and inhibit inflammatory responses. NLRP12 (also known as Monarch1 and PYPAF7) is a potent negative regulator of TLR-induced proinflammatory cytokine production and appears to function through inhibiting IRAK1 phosphorylation and NF- $\kappa$ B activation [140]. NLRP12 also negatively regulates noncanonical NF- $\kappa$ B signaling via a mechanism involving its physical association with NIK and TRAF3 [141, 142]. TRAF3 is a NIK-binding protein that mediates ubiquitin-dependent NIK degradation by recruiting NIK to the E3 ubiquitin ligase cIAP [48, 49]. NLRP12 promotes NIK degradation via a yet-to-be defined mechanism, and NLRP12-deficient mice display enhanced susceptibility to colon inflammation and colitis-associated cancer [142, 143]. Of note, the pathogenesis of NLRP12-deficient mice may also involve aberrant activation of T cells. NLRP12 has a T cell-intrinsic role in regulating IL-4 production and the pathogenesis of the T cell-dependent autoimmune disease EAE [144].

An atypical NLR member, NLRX1, contains a mitochondrial targeting sequence and associates with the mitochondrial adaptor MAVS to inhibit RLR signaling [145]. NLRX1 interferes with the association of MAVS with RIG-I and attenuates the activation of NF- $\kappa$ B and IRF3 pathways and induction of inflammatory cytokines and type I IFNs in response to RNA viruses [145]. In response to influenza virus infection, NLRX1-deficient mice exhibit increased expression of IFN $\beta$  and IFN-target genes as well as the proinflammatory cytokine IL-6 [146]. In addition to inhibiting the MAVS-RIG-I interaction, NLRX1 binds to TRAF6 and IKK to negatively regulate NF- $\kappa$ B activation by the TLR4 ligand LPS [146, 147]. This function of NLRX1 involves a ubiquitin-dependent dynamic regulation of protein-protein interactions. In unstimulated cells, NLRX1 forms a complex with TRAF6, and upon LPS stimulation, NLRX1

undergoes K63-linked polyubiquitination and is released from TRAF6. Ubiquitinated NLRX1 then associates with the IKK complex, via the ubiquitin-binding activity of NEMO, to inhibit IKK and NF- $\kappa$ B activation [147]. However, the role of NLRX1 in antiviral signaling is controversial, since two other studies fail to demonstrate a role for NLRX1 in negatively regulating MAVS-dependent antiviral responses [148, 149].

NLRC3 and NLRC5 are two other NLR members that negatively regulate PRR signaling and inflammatory responses [150–152]. NLRC3 physically associates with TRAF6 and inhibits TLR-stimulated K63-linked polyubiquitination of TRAF6 and activation of the downstream NF- $\kappa$ B signaling pathway [152]. NLRC3 also binds to stimulator of IFN genes (STING, also called MITA, MPYS, or ERIS), an adaptor of cytoplasmic DNA sensors [153]. NLRC3-STING binding appears to prevent the proper trafficking of STING to perinuclear and punctated region, a site required for STING activation. NLRC3 also blocks the binding of STING to the downstream kinase TBK1. Thus, NLRC3 deficiency promotes the induction of type I IFNs and proinflammatory cytokines by cytosolic DNA and DNA viruses [153]. NLRC5 negatively regulates PRR signaling by two different mechanisms [151]. First, NLRC5 directly suppresses the activation of IKK by interacting with the IKK catalytic subunits, IKK $\alpha$  and IKK $\beta$ , blocking their association with NEMO and the assembly of the functional IKK complex. Second, NLRC5 binds to the RLRs, RIG-I and MDA5, to interfere with their association with MAVS and, thereby, inhibits the induction of type I IFNs by poly(I:C) and the RNA virus VSV [151]. However, controversies exist regarding the role of NLRC5 in regulating NF- $\kappa$ B activation and PRR signaling, since some studies failed to detect an effect of NLRC5 deficiency on the induction of type I IFNs or proinflammatory cytokines by viruses or bacteria [154, 155]. The precise reason for the discrepancies is unclear, but it could be due to the cell type-specific functions of NLRC5 or the status of NLRC5 ubiquitination [156, 157]. It has been recently shown that in LPS-stimulated cells, NLRC5 undergoes K63-linked polyubiquitination, which triggers the dissociation of NLRC5 from IKK, relieving the IKK-inhibitory function of NLRC5 [156]. The ubiquitination of NLRC5 is mediated by TRAF2 or TRAF6 and negatively regulated by several potential DUBs, including USP14, USP18, and USP22 [156].

#### *Ubiquitination in STING signaling*

Sensing DNA in the cytoplasm is a crucial innate immune mechanism against various bacterial and viral pathogens and also serves as a mechanism to respond

to danger signals elicited due to release of DNA to the cytoplasm [21]. Upon recognition of DNAs, cytoplasmic DNA sensors activate the adaptor STING, which mediates activation of TBK1/IKK $\epsilon$  and IKK pathways, leading to the induction of type I IFNs and proinflammatory cytokines. As indicated already, STING senses bacterial c-di-AMP and c-di-GMP as well as the endogenous cyclic di-nucleotide cGAMP synthesized by cGAS as a response to cytoplasmic DNAs [22]. Ubiquitination plays an important role in the signaling function of STING. A type I IFN-induced E3 ubiquitin ligase, TRIM56, interacts with STING and targets STING for K63-linked polyubiquitination, which appears to facilitate STING dimerization and its association with the downstream kinase TBK1 [158]. Thus, TRIM56 overexpression enhances IFN $\beta$  induction by double-stranded DNAs, whereas TRIM56 knockdown attenuates this response. Another E3 that mediates K63-linked ubiquitination and activation of STING is TRIM32 [159]. TRIM32 interacts with STING at mitochondria and ER and promotes the interaction of STING with TBK1. STING is also ubiquitinated by autocrine motility factor receptor (AMFR), an E3 ligase that is located in the ER membrane and known to catalyze polyubiquitination of a subset of ER-associated protein degradation target proteins [160]. In response to cytoplasmic DNA stimulation, AMFR interacts with STING in a mechanism that depends on insulin-induced gene 1 (*Insig1*) and conjugates K27-linked polyubiquitin chains to STING. The K27-linked ubiquitin chains appear to facilitate the recruitment of TBK1 to STING for activation. Genetic deficiencies in AMFR and *Insig1* attenuate host defenses against the DNA virus herpes simplex virus 1 (HSV1) [161].

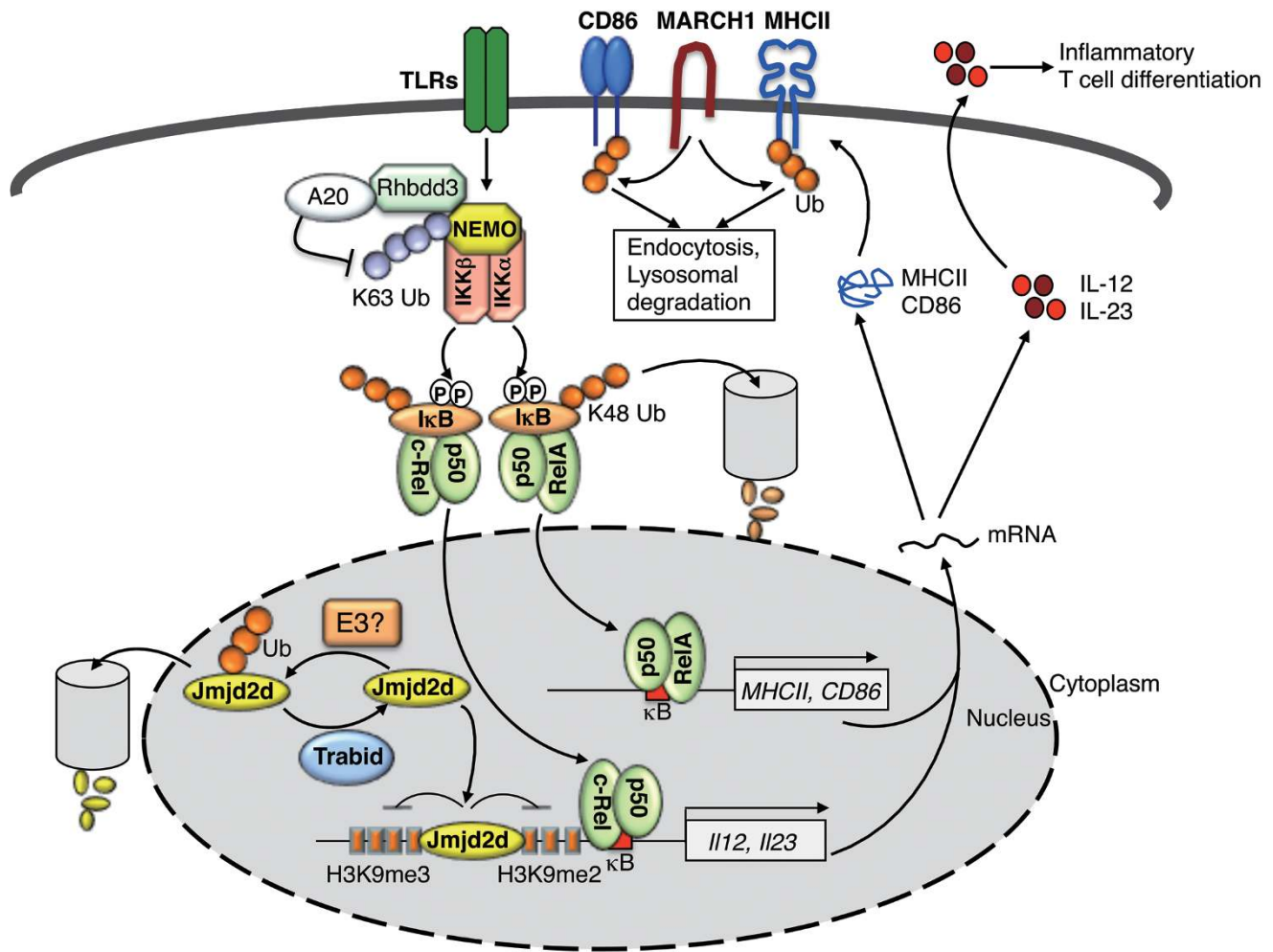
Ubiquitination also plays a negative role in regulating STING signaling, and several E3 ubiquitin ligases have been implicated in the control of the STING pathway. RNF5 (also called RMA1) is an E3 that binds to STING in mitochondria upon viral infection and conjugates K48-linked ubiquitin chains to K150 of STING to target STING for proteasomal degradation [162]. The RNF5-mediated STING degradation is negatively regulated by another E3 ligase, RNF26, which conjugates K11-linked ubiquitin chains to K150 of STING and likely interferes with the conjugation of K48-linked ubiquitin chains by RNF5 [163]. Ubiquitin-dependent degradation of STING is also mediated by TRIM30a, an E3 that is induced by HSV-1 infection in DCs [164]. In addition to STING, DNA sensors may also be targeted for ubiquitin-dependent degradation. One example is DDX41, a DNA sensor that is ubiquitinated by the E3 ligase TRIM21 [165]. TRIM21 interacts with and mediates K48-linked ubiquitination and degradation of

DDX41 to negatively regulate type I IFN induction by double-stranded DNA [165].

### Ubiquitination in DC maturation and function

DCs are professional APCs that are crucial for both stimulating T cell responses to foreign antigens and maintaining immune tolerance to self-antigens [166-168]. Under steady state condition, DCs exist in immature states and present self-antigens or commensal microbial antigens to T cells to induce T cell tolerance, thereby preventing autoimmune and inflammatory disorders [167, 168]. During an infection, DCs recognize PAMPs via their PRRs and are stimulated to mature and become competent APCs, which present microbial antigens to naive T cells to induce an efficient T cell response required for the clearance of the infection [166]. Upon PRR stimulation, DCs also secrete various cytokines that regulate the differentiation of CD4<sup>+</sup> T cells to different subsets of helper T cells, including Th1, Th2, Th9, Th17, T follicular helper (Tfh) cells, and inducible Treg cells [169].

DC maturation involves upregulation of surface MHC class II (MHCII) and costimulatory molecules, such as CD80 and CD86. An important mechanism that controls the surface expression of MHCII and CD86 is ubiquitin-dependent degradation of these molecules in the lysosome [170-172]. Membrane-associated RING-CH-1 (MARCH1) is an E3 ligase that ubiquitinates MHCII and CD86, promoting their endocytosis and lysosomal degradation [170, 173, 174] (Figure 3). MARCH1 is downregulated during DC maturation, and MARCH1 downregulation is opposed by the autocrine action of IL-10 that induces MARCH1 expression [170, 174, 175]. Thus, by reducing the surface expression of MHCII and CD86 in DCs, MARCH1 restricts the antigen presentation capability of DCs. However, the biological function of MHCII ubiquitination seems to be complex, since a recent study unexpectedly reveals that DCs lacking MARCH1 or expressing a ubiquitination-defective MHCII mutant have reduced, rather than enhanced, ability to activate naive CD4<sup>+</sup> T cells despite the expression of higher levels of MHCII [176]. Although how MHCII ubiquitination mediates the T cell-activation function of DCs is unknown, the mutant DCs defective in MHCII ubiquitination exhibit reduced expression of integrin  $\beta$ 2 and cytokine IL-12, which may partially contribute to their impaired function. Another E3 ubiquitin ligase, Hrd1, promotes MHCII gene transcription by mediating ubiquitin-dependent degradation of the transcriptional repressor B lymphocyte-induced maturation protein 1 (BLIMP1) [177]. Hrd1 deficiency in DCs impairs DC-mediated priming of CD4<sup>+</sup> T cells and renders mice



**Figure 3** Ubiquitin regulation of DC activation. Dendritic cells (DCs) are primary antigen-presenting cells (APCs) that are activated by signaling from PRRs, such as TLRs, during an infection. Activated DCs undergo maturation, including upregulation of MHCII and the costimulatory molecule CD86 that are required for antigen presentation and T cell costimulation. Activated DCs also produce various cytokines, such as the proinflammatory cytokines IL-12 and IL-23, which in turn regulate the differentiation of antigen-activated T cells. The membrane-bound E3 ubiquitin ligase MARCH1 mediates ubiquitin-dependent internalization and lysosomal degradation of MHCII and CD86, thereby negatively regulating the function of DCs in T cell activation. The rhomboid family protease Rhbdd3 negatively regulates DC activation by binding to NEMO and recruiting the DUB A20 for deconjugation of K63-linked ubiquitin chains from NEMO. Trabid is a member of the A20 subfamily of OTU-containing DUBs that deubiquitinates and stabilizes Jmjd2d, a histone demethylase that removes transcriptionally repressive histone modifications, H3K9me2 and H3K9me3, from the *Il12* (*Il12a* and *Il12b*) and *Il23* (*Il23a*) promoters to promote the recruitment of c-Rel-containing NF- $\kappa$ B complexes to the  $\kappa$ B enhancer element for *Il12/Il23* transcription. The E3 ubiquitin ligase catalyzing Jmjd2d ubiquitination is currently unknown.

resistant to the induction of EAE, a T cell-dependent autoimmune disease [177].

Ubiquitination also plays an important role in regulating the signaling pathways involved in the induction of DC maturation. K63-linked ubiquitination of NEMO is a crucial mechanism mediating the activation of IKK and NF- $\kappa$ B. A point mutation of NEMO (C417R) in patients with EDI interferes with NEMO ubiquitination and

activation of the NF- $\kappa$ B member c-Rel by CD40 ligand. The EDI DCs are defective in CD40-mediated induction of the c-Rel-dependent inflammatory cytokine IL-12 and costimulatory molecules, associated with impaired function in inducing T cell activation [178]. A rhomboid family protease, Rhbdd3, negatively regulates DC activation by promoting deconjugation of K63-linked polyubiquitin chains from NEMO. Rhbdd3 binds to NEMO via its

UBA domain, which recognizes K27 ubiquitin chains attached to K302 of NEMO. Rhbdd3 in turn recruits DUB A20 to NEMO, allowing A20 to cleave the K63-linked ubiquitin chains of NEMO, thereby inhibiting IKK activation (Figure 3). Rhbdd3 deficiency causes aberrant DC activation and production of the inflammatory cytokine IL-6 in response to TLR ligands, and Rhbdd3-deficient mice display perturbed T cell homeostasis and spontaneously develop autoimmunity [179].

A recent study has demonstrated a role for ubiquitination in mediating an epigenetic mechanism that regulates DC function [180]. Travid (also known as Zranb1), an A20 subfamily of OTU domain-containing DUB, is required for TLR-stimulated expression of the inflammatory cytokines IL-12 and IL-23 in DCs (Figure 3). Previous studies suggest that the NF- $\kappa$ B family member c-Rel plays an important role in TLR-stimulated expression of IL-12 and IL-23 [181, 182]. Interestingly, Travid is dispensable for the induction of c-Rel nuclear translocation but is required for the recruitment of c-Rel to the promoters of *Il12a*, *Il12b*, and *Il23a* genes. Travid appears to function by deubiquitinating and stabilizing a histone demethylase, Jmjd2d, which in turn regulates histone modifications at the *Il12* and *Il23* promoters to facilitate c-Rel recruitment (Figure 3). DC-conditional deletion of Travid impairs IL-12 and IL-23 production in DCs and the generation of Th1 and Th17 subsets of inflammatory T cells, rendering mice refractory to the induction of EAE [180]. It is currently unclear which E3 ubiquitin ligase mediates the ubiquitination of Jmjd2d.

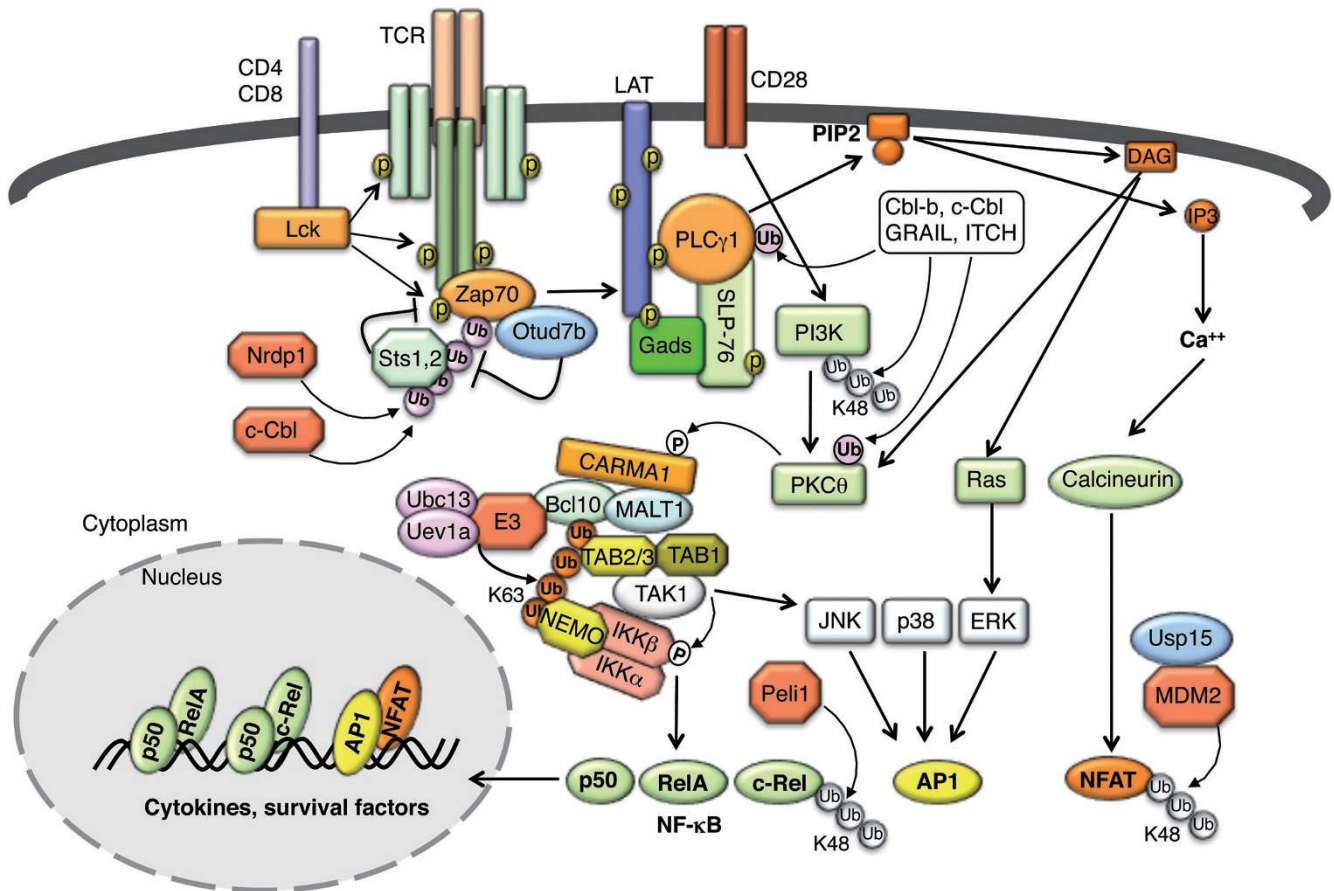
### Ubiquitination in T cell activation

T cells serve as a central component of adaptive immunity. Upon maturation in the thymus, naive CD4<sup>+</sup> and CD8<sup>+</sup> T cells migrate to the periphery and circulate between the blood stream and lymphoid organs, and become activated when their TCR detects a foreign antigen presented by MHC molecules on APCs. Activated T cells undergo clonal expansion and subsequently differentiate into various subsets of effector T cells that participate in different aspects of immune function [183, 184]. T cell activation requires ligation of both the TCR and costimulatory molecules, particularly CD28. The TCR/CD28 costimulation triggers cascades of signaling events, which regulate both the initial activation and subsequent differentiation of T cells [183]. TCR stimulation leads to the activation of protein tyrosine kinase Lck, which phosphorylates the TCR signaling chain CD3 $\zeta$  resulting in the recruitment of tyrosine kinase Zap70 to the TCR complex [183]. Activated Zap70 phosphorylates a number of signaling adaptors and enzymes, most importantly

the scaffold proteins LAT (linker for activation of T cells) and SLP-76 (Src homology 2 domain-containing leukocyte protein of 76 kDa). Upon phosphorylation at different tyrosine residues, LAT and SLP-76 recruit various signaling factors, triggering the activation of phospholipase C,  $\gamma$  1 (PLC $\gamma$ 1) and its downstream protein kinase C  $\theta$  (PKC $\theta$ ), Ras, and calcium pathways (Figure 4). These pathways in turn lead to the activation of transcription factors NF- $\kappa$ B, AP1, and NFAT, which cooperate in the induction of genes involved in T cell proliferation and survival.

### TCR-proximal signaling

Ubiquitination plays a crucial role in the regulation of TCR-proximal signaling. Several E3 ubiquitin ligases, including Cbl-b, GRAIL, Itch, and Deltex, negatively regulate the TCR-proximal signaling events by mediating ubiquitination and degradation of key TCR signaling components, including CD3 $\zeta$ , PKC $\theta$ , Zap70, PLC $\gamma$ 1, and PI3 kinase (PI3K) [185-188]. Ubiquitination also regulates TCR-stimulated endocytosis and degradation of LAT, although the underlying mechanism is poorly defined [189, 190]. T cells lacking the ubiquitin ligase c-Cbl or expressing a RING mutant form of c-Cbl exhibit a defect in TCR-stimulated LAT internalization, which is associated with elevated cellular levels of LAT [189]. However, a LAT mutant incapable of undergoing ubiquitination is still efficiently internalized, although it displays moderately increased stability [190]. It has been proposed that the effect of c-Cbl on LAT may be indirectly mediated through ubiquitination of other proteins that are associated with LAT [190]. LAT ubiquitination may also regulate its signaling function via a degradation-independent mechanism, since expression of the ubiquitination-resistant LAT mutant in T cells causes enhanced TCR signaling [191]. A role for non-degradative protein ubiquitination in regulating TCR signaling has been more clearly demonstrated by other studies [192, 193]. Cbl-b and Itch mediate conjugation of K33-linked ubiquitin chains to TCR $\zeta$ , which inhibits the association of TCR $\zeta$  with Zap70 via a non-degradative mechanism [193]. It has also been shown that c-Cbl binds to Zap70 in response to TCR signaling and inhibits Zap70 activation, although it is not clear whether c-Cbl mediates degradation or non-degradative inhibition of Zap70 [194, 195]. Recent studies suggest that ubiquitination of Zap70 may negatively regulate Zap70 activity by recruiting the protein tyrosine phosphatase Sts1 (also called TULA-2 or Ubash3b) and its homologue, Sts2 (also called TULA or Ubash3a) [196] (Figure 4). In addition to their phosphatase domain, Sts1 and Sts2 contain a UBD and an SH3 domain, which allow these phosphatases to



**Figure 4** Ubiquitin regulation of T cell activation. TCR signaling is initiated by antigen/MHC-stimulated colocalization of the TCR complex with T cell coreceptor, CD4 or CD8, which allows the CD4/CD8-associated protein tyrosine kinase Lck to phosphorylate the signaling chains of TCR. Phosphorylated TCR $\zeta$  chains recruit and activate the protein tyrosine kinase Zap70, which in turn phosphorylates LAT and SLP-76, triggering the recruitment and activation of key signaling components, such as PLC $\gamma$ 1. PLC $\gamma$ 1 hydrolyzes the membrane lipid PIP2 to generate second messengers, DAG and IP3, which in turn mediate activation of PKC $\theta$ , Ras, and calcium pathways, leading to activation of NF- $\kappa$ B, AP1, and NFAT. K63-linked ubiquitination is crucial for activation of NF- $\kappa$ B and AP1 pathways by the intermediate signaling complex, composed of CARMA1, BCL10, and MALT1. This complex associates with an E3 and the E2 dimer Ubc13/Uev1a to conjugate K63-linked ubiquitin chains to BCL10, thereby promoting the recruitment and activation of TAK1 and IKK. Several E3 ubiquitin ligases, including Cbl-b, c-Cbl, GRAIL, and ITCH, negatively regulate TCR-proximal signaling by mediating ubiquitin-dependent degradation of major signaling components. Some E3 ligases, likely including Nrdp1 and c-Cbl, conjugate nondegradative ubiquitin chains, including K33-linked chains, to Zap70, which facilitates the recruitment of protein tyrosine phosphatases Sts1 and Sts2 to dephosphorylate and inhibit Zap70. Peli1 and MDM2 are E3s that mediate ubiquitin-dependent degradation of activated transcription factors, c-Rel and NFATc2, respectively.

bind substrates (like Zap70) that are dually modified by ubiquitination and tyrosine phosphorylation [197]. By dephosphorylating Zap70, Sts1 and Sts2 negatively regulate Zap70 activation and TCR-proximal signaling. In CD8<sup>+</sup> T cells, the E3 ligase Nrdp1 conjugates K33-linked polyubiquitin chains onto Zap70 and promotes the dephosphorylation of Zap70 by Sts1 and Sts2, thereby negatively regulating the early phase of TCR signaling [198]. Nrdp1 specifically functions in CD8<sup>+</sup> T cells, since

Nrdp1 deficiency has no effect on the activation of CD4<sup>+</sup> T cells, suggesting the involvement of additional E3s in the regulation of non-degradative ubiquitination of Zap70.

Compared to E3 ligases, DUBs involved in the regulation of TCR-proximal signaling are still poorly defined. Nevertheless, a recent study has identified Otud7b as a DUB of Zap70 that facilitates TCR signaling [199]. Otud7b is a member of the A20 subfamily of OTU do-

main-containing DUBs implicated in the regulation of the NF- $\kappa$ B pathway [200]. In B cells, Otud7b suppresses the activation of noncanonical NF- $\kappa$ B signaling by deubiquitinating and stabilizing a negative regulator, TRAF3 [201]. Otud7b deficiency promotes B cell activation and antibody production. Interestingly, in contrast to its negative regulatory role in B cells, Otud7b plays a positive role in promoting TCR/CD28-stimulated T cell activation and differentiation into the Th1 cells [199]. Otud7b binds to and deubiquitinates Zap70, thereby preventing the interaction of Zap70 with the negative-regulatory phosphatases, Sts1 and Sts2 [199] (Figure 4). Otud7b-deficient T cells are hypo-responsive to antigen stimulation, and Otud7b knockout mice exhibit attenuated T cell response against bacterial infection and are refractory to EAE, a T cell-dependent autoimmune disease [199]. These findings establish Otud7b as a DUB that positively regulates TCR-proximal signaling and mediates T cell response in immunity and autoimmunity. Another DUB, USP9X, has also been shown to regulate TCR-proximal signaling events, although the target of USP9X has not been defined [202]. It appears that the function of USP9X is complex. Despite the hypoproliferative phenotype of USP9X-deficient T cells, USP9X-deficient mice have spontaneous expansion of T cells with an activated phenotype, associated with lupus-like autoimmunity and lymphoproliferative disease [202]. This latter phenotype may be due to impaired negative selection of thymocytes and enhanced production of self-reacting T cells [202].

#### *CBM signaling axis*

A well-studied intermediate signaling component in the TCR pathway is the so-called CBM complex, which is composed of the scaffold protein CARMA1 (also called CARD11), the adaptor BCL-10, and the paracaspase MALT1 (mucosa-associated lymphoid tissue lymphoma translocation gene 1). This signaling complex is predominantly involved in the activation of IKK and JNK pathways [203], although it is also involved in TCR-stimulated activation of the metabolic kinase mTORC1 [204-206]. Upon TCR/CD28 stimulation, CARMA1 becomes phosphorylated by PKC $\theta$ , which triggers the formation of the CBM complex and the association with a K63-specific E2 enzyme dimer, Ubc13/Uev1A, and an E3 ligase [207, 208]. It is thought that Ubc13 conjugates K63-linked ubiquitin chains onto adaptor molecules, such as BCL10 and MALT1, which facilitate the recruitment and activation of TAK1 and its downstream kinases IKK and MAPKs. Indeed, Ubc13 deletion in T cells inhibits the activation of TAK1 and MAPKs JNK and p38, and partially impairs the activation of IKK [209]. Ubc13 also mediates ubiquitination

of the IKK-regulatory subunit NEMO, which may also contribute to IKK activation [207-209]. The functional significance of K63-linked ubiquitination in T cells is underscored by the drastic reduction of peripheral T cells in T cell conditional Ubc13 knockout mice despite normal development of thymocytes [209]. When stimulated with TCR/CD28 agonistic antibodies or T cell mitogens, the Ubc13-deficient thymocytes exhibit defective proliferation. The E3 ligase mediating K63-linked ubiquitination in the CBM complex is yet to be definitively defined by genetic approach. Early *in vitro* studies suggest that TRAF6 is an E3 that physically interacts with MALT1 and ubiquitinates NEMO, thereby mediating TCR-stimulated IKK activation [207]. However, TRAF6 deletion in T cells does not inhibit TCR-stimulated activation of NF- $\kappa$ B [210], indicating functional redundancy between TRAF6 and other TRAF members or the involvement of other E3s. Regarding the latter possibility, a more recent study identified MIB2 as an E3 ligase that binds to BCL10 and is recruited to the CBM complex in the EL-4 T cell line [211]. MIB2 ubiquitinates NEMO and promotes activation of TAK1 and IKK, and MIB2 knockdown in the Jurkat T cell line inhibits the induction of NF- $\kappa$ B reporter gene by TCR/CD28 [211]. However, it remains to be examined whether MIB2 plays a role in CBM-mediated NF- $\kappa$ B activation and T cell activation under *in vivo* conditions. Deletion of the MIB2 homolog, MIB1, in mice impairs the development of T cells and marginal zone B cells [212]. However, this function of MIB1 is not cell-intrinsic but rather mediated through regulation of Notch ligands in thymic and splenic stromal cells. Furthermore, in contrast to MIB1, MIB2 is dispensable for the development of T cells and marginal zone B cells [212]. It remains to be examined whether MIB2 regulates peripheral T cell activation and NF- $\kappa$ B activation in these cells.

In line with the positive role of K63 ubiquitination in mediating TCR signaling, T cell activation is controlled by specific DUBs known to cleave K63-linked ubiquitin chains [4]. These DUBs act on either the CBM components or downstream signaling factors, including TAK1 and IKK. CYLD is a crucial DUB that regulates the development and homeostasis of T cells [213-216]. CYLD controls the threshold of T cell activation by negatively regulating TCR/CD28-stimulated activation of TAK1 and its downstream kinases JNK and IKK [214]. Loss of CYLD causes accumulation of ubiquitinated TAK1 and aberrant TAK1 activation. CYLD deletion renders T cells hyper-responsive to TCR and CD28 costimulation, and CYLD-deficient mice exhibit perturbed T cell homeostasis characterized by increased frequencies of T cells with effector or memory phenotypes. These mutant animals

spontaneously develop, or are pre-disposed to, colitis likely due to aberrant immune responses to commensal microflora in the gut [214, 217]. T cell adoptive transfer studies suggest an important role for T cells in mediating the intestinal inflammation in these mutant animals [214]. The DUB USP18 also plays a role in regulating TCR signaling, which involves deubiquitination of TAK1 and its regulatory protein TAB1 [218]. However, in contrast to CYLD, which controls homeostatic activation of TAK1, USP18 regulates TAK1 signaling during the differentiation of Th17 subset of CD4<sup>+</sup> effector T cells [218].

Another DUB that regulates the strength and duration of IKK/NF- $\kappa$ B activation by the TCR and CD28 signals is A20 [219, 220]. A20 cleaves the K63-linked ubiquitin chains from MALT1 to inhibit the recruitment and activation of IKK [220]. In the Jurkat T cell line, A20 knock-down allows strong activation of IKK/NF- $\kappa$ B by the TCR signal without CD28 costimulation [220]. Consistently, mice with conditional deletion of A20 in peripheral T cells display autoimmune phenotypes, including lymphadenopathy and T cell infiltrations in peripheral organs [221]. A20-deficient CD8<sup>+</sup> T cells show enhanced production of cytokines, including IL-12 and IFN- $\gamma$ , in response to antigen stimulation and display stronger antitumor activity in a transplantable B16 melanoma model [221]. A20 deficiency causes a higher level of nuclear c-Rel, coupled with a reduced cytoplasmic I $\kappa$ B $\alpha$  level, in CD8<sup>+</sup> T cells stimulated with anti-CD3 and anti-CD28 antibodies, emphasizing a role for A20 in negatively regulating NF- $\kappa$ B signaling in T cells [221].

DUBs may also play positive roles in the regulation of CBM signaling. One example is USP9X. In addition to facilitating TCR-proximal signaling, USP9X interacts with the CBM complex and facilitates CBM-mediated activation of NF- $\kappa$ B, although the precise underlying mechanism has not been defined. It has been proposed that USP9X may maintain the integrity of the BCL10-MALT1 complex by deubiquitinating BCL10 [222].

### *Transcription factor regulation*

Ubiquitination also controls the activation and fate of downstream transcription factors in the TCR pathway. One example is the ubiquitin-dependent regulatory mechanism for c-Rel [223], an NF- $\kappa$ B member with a crucial role in regulating T cell activation and differentiation [181, 224-227]. Activation of c-Rel in T cells requires the costimulation of TCR and CD28 [228, 229]. Compared to RelA, c-Rel is also less sensitive to I $\kappa$ B $\alpha$ -mediated feedback regulation. Ubiquitin-dependent degradation appears to be an important mechanism of c-Rel regulation during T cell activation, and the c-Rel ubiquitination is mediated by the E3 ligase Peli1 [223]

(Figure 4). Although Peli1 is known for its role in mediating K63-linked polyubiquitination in TLR signaling [105, 108], Peli1 also has the ability to conjugate K48-linked ubiquitin chains [223, 230]. Peli1, but not Peli2 or Peli3, is abundantly expressed in T cells and further upregulated along with T cell activation. Peli1 deficiency inhibits c-Rel ubiquitination and causes accumulation of nuclear c-Rel in activated T cells [223]. Consistently, Peli1 deficiency renders T cells hyper-responsive to TCR/CD28 stimulation and less sensitive to Treg-mediated inhibition, and Peli1-deficient mice develop autoimmune symptoms.

A more recent study has demonstrated a mechanism that regulates ubiquitin-dependent degradation of an NF-AT family member, NF-ATc2, which involves the E3 ubiquitin ligase MDM2 [231]. MDM2 is known as an oncogenic E3 ligase that mediates degradation and functional inhibition of the tumor suppressor p53 [232]. However, in T cells, MDM2 negatively regulates TCR signaling by targeting NF-ATc2 for ubiquitination and degradation. During T cell activation, MDM2 itself also undergoes ubiquitin-dependent degradation, a molecular event that facilitates nuclear accumulation of NF-ATc2 and induction of cytokines, particularly IFN- $\gamma$  [231]. Under normal condition, a DUB, Usp15, deubiquitinates MDM2 to inhibit MDM2 degradation, thereby negatively regulating the generation of IFN- $\gamma$ -producing Th1 effector T cells. USP15 deficiency promotes Th1 responses against bacterial infections and tumor challenge in a transplantable melanoma model [231]. These studies suggest that pharmacological inhibition of USP15 may be an approach to promote antitumor immunity. However, it is important to note that chronic production of IFN- $\gamma$  in Usp15 knockout mice may promote the induction of PD1 ligand (PD-L1) and other immunosuppressive factors in tumor microenvironment, which in turn facilitate tumor growth, as demonstrated with a chemical-induced fibrosarcoma model [233].

### **Ubiquitination in T cell tolerance and autoimmunity**

Under normal condition, T cells respond to foreign antigens derived from invading pathogens but are tolerant to self-antigens, thus preventing the development of autoimmunity [234]. The induction of T cell tolerance can occur during T cell development in the thymus, in which self-reactive T cells are deleted by the mechanism of negative selection. In addition to this so-called central tolerance, peripheral tolerance is required for suppressing the autoimmune action of self-reacting T cells that have escaped from the central tolerance. Both the central tolerance and peripheral tolerance of T cells are subject



to regulation by ubiquitination.

### *Central tolerance*

Thymic epithelial cells (TECs) provide an essential microenvironment for the development and selection of thymocytes. While cortical TECs (cTECs) are important for positive selection of thymocytes capable of recognizing self-MHC with appropriate affinity, medullary TECs (mTECs) are crucial for negative selection to eliminate self-reactive T cells [11]. A hallmark of mTECs is the expression of autoimmune regulator (AIRE), which was initially identified by positional cloning from patients with autoimmune polyendocrinopathy-candidiasis-ectodermal dystrophy (APECED) [235]. In mTECs, AIRE functions as a transcription factor mediating promiscuous expression of a large variety of tissue-restricted self-antigens that are required for thymocyte negative selection [236]. AIRE contains two plant homeodomains (PHDs), one of which (PHD1) has E3 ubiquitin ligase activity [237, 238]. The E3 ubiquitin ligase activity of AIRE is thought to play a role in mediating the function of AIRE in central tolerance [238]; however, whether AIRE is an E3 remains controversial, since another study could not demonstrate the E3 activity of the PHD1 of AIRE [239].

The E3 ligase TRAF6 is another master regulator of central tolerance, which functions by mediating the development of thymic stroma [240]. TRAF6 deficiency in mice impairs the maturation of mTECs and reduces the expression of AIRE, thereby interfering with deletion of self-reacting T cells and causing autoimmunity [240]. The TEC-specific function of TRAF6 has been demonstrated by both fetal thymic stroma tissue transplantation and generation of TEC-conditional TRAF6 knockout mice [240, 241]. The mechanism of TRAF6 function in mTECs involves the activation of canonical NF- $\kappa$ B and, thereby, induction of RelB expression [240]. Cell lines derived from TRAF6-deficient mTECs express much lower levels of RelB compared to wild-type mTEC lines. Defects in mTEC development and central tolerance induction have also been seen in RelB knockout mice or mice defective in noncanonical NF- $\kappa$ B signaling required for RelB activation [242]. Precisely how RelB and non-canonical NF- $\kappa$ B signaling regulate mTEC development is still poorly understood.

### *Cell-intrinsic mechanism of peripheral tolerance*

Although central tolerance plays a crucial role in eliminating autoimmune T cells, this mechanism alone is insufficient for preventing autoimmunity, since not all self-antigens are expressed in the thymus [11]. Some autoimmune T cells escape from negative selection in the thymus and exist in peripheral lymphoid tissues, which

are controlled by peripheral tolerance. The maintenance of peripheral T cell tolerance requires both intrinsic and extrinsic mechanisms [243]. A major extrinsic mechanism of peripheral tolerance is mediated by the Treg cells, which will be discussed in the following section. Intrinsic mechanism of T cell tolerance relies on various factors that negatively regulate TCR signaling and control the threshold of T cell activation. Under normal condition, naive T cell activation requires stimulation of both the TCR and costimulatory receptors, particularly CD28. T cells are efficiently activated by foreign antigens, which are typically presented by activated APCs expressing costimulatory molecules. However, when stimulated by self-antigens without costimulation, T cells become functionally inactivated and enter a state called anergy [244]. Genetic deficiencies in key negative regulators may lower the threshold of T cell activation and render T cells responsive to self-antigens, thus breaking the tolerance and leading to the development of autoimmunity.

As discussed in a previous section, several E3 ligases are involved in the negative regulation of TCR-proximal signaling. In fact, many of these E3 molecules, including c-Cbl, Cbl-b, GRAIL, and Itch, are involved in the regulation of T cell anergy [245]. Under tolerance conditions, such as TCR ligation in the absence of costimulation, these E3 molecules are induced via the calcium pathway and prevent T cell activation by suppressing TCR signaling [185, 188, 192, 246]. Genetic deficiencies in such E3 ligases impair the induction of T cell anergy and also reduce the sensitivity of T cells to Treg-mediated suppression, causing spontaneous development of autoimmunity in mice. TRAF6 is an adaptor E3 that regulates both central tolerance and peripheral tolerance. TRAF6-deficient T cells exhibit hyper-sensitivity to TCR stimulation and diminished requirement for CD28 costimulation, associated with enhanced activation of the PI3K-AKT signaling pathway [210]. These mutant T cells also have a defect in anergy induction and become refractory to Treg-mediated suppression [210, 247]. Peripheral T cell tolerance is also regulated by E3 ligases targeting downstream signaling factors of the TCR pathway. As discussed already, Peli1 is an E3 that mediates ubiquitin-dependent degradation of the NF- $\kappa$ B member c-Rel and controls the induction of IL-2 and IFN- $\gamma$ . Peli1-deficient T cells are less sensitive to *in vitro* inhibition by Treg cells and TGF- $\beta$  and display aberrant homeostasis and activation, and Peli1-deficient mice spontaneously develop autoimmunity characterized by lymphadenopathy, tissue infiltration of lymphocytes, and accumulation of autoimmune antibodies in the serum [223].

Roquin family of proteins, Roquin-1 and Roquin-2,

are RNA-binding RING-type E3 ubiquitin ligases that mediate the decay of different mRNAs, including those encoding ICOS and OX-40 in T cells [248]. An initial study reveals that mice carrying a point mutation of Roquin-1, termed sanroque mice, develop a lupus-like autoimmune disease characterized by enlargement of spleen and lymph nodes, accumulation of autoimmune antibodies, and generation of excessive numbers of Tfh cells and germinal centers [249]. Deregulated Tfh cell production in the sanroque mice contributes to the development of autoimmunity, and this abnormality is attributed to the aberrant expression of ICOS [250, 251]. Subsequent gene targeting studies suggest that Roquin-1 and Roquin-2 have functional redundancies in the regulation of T cell tolerance and Tfh cell differentiation [252-254]. Regarding the molecular mechanism, Roquin proteins recognize the constitutive decay element (CDE) in the 3'-untranslated region of target mRNAs and promote target mRNA degradation by recruiting the Ccr4-Caf1-Not deadenylase complex [255]. Since about 50 mRNAs contain CDE and 95 mRNAs can be significantly enriched by Roquin immunoprecipitation, it has been postulated that the complex immune phenotype of the Roquin knockout mice and saroque mice may involve deregulated degradation of multiple mRNAs [255]. It is unclear whether the ubiquitin ligase activity of Roquin proteins is required for their regulation of mRNA degradation and T cell functions. Nevertheless, Roquin-2 has been shown to mediate ubiquitination and degradation of apoptosis signal-regulating kinase 1 (ASK1), which is important for promoting cell death induced by reactive oxygen species [256]. Similar functions have been demonstrated for the Roquin-2 ortholog, RLE-1 (regulation of longevity by E3), in *Caenorhabditis elegans* [256]. A recent structural study suggests that RNA binding by Roquin-2 may influence its ubiquitin ligase activity [257].

#### *Treg development and function*

Treg cells form a subpopulation of T cells that is required for maintaining peripheral tolerance and preventing autoimmunity [258]. Treg cells develop in the thymus and can also arise from naive CD4<sup>+</sup> T cells in the periphery. DC-mediated antigen presentation is crucial for the development of Treg cells in the thymus [259]. MARCH1, a membrane-associated E3 ubiquitin ligase regulating MHCII ubiquitination in DCs, has a positive role in regulating thymic Treg development [260]. As discussed above, MARCH1 mediates ubiquitination of MHCII and the costimulatory molecule CD86, promoting their endocytosis and lysosomal degradation [170, 173, 174]. MARCH1 deficiency causes increased surface expression of MHCII, which is associated with reduced

production of thymus-derived Treg cells [260]. Similarly, knock-in mice expressing a ubiquitination-defective form of MHCII also have reduced number of thymic Treg cells, further emphasizing the role of MHCII expression in regulation of Treg development. The mechanism by which MHCII ubiquitination regulates Treg development is unknown, but it does not seem to involve the control of MHCII/peptide avidity [260]. It has been proposed that ubiquitinated MHCII may have altered surface dynamics or serve as signaling molecules, which conditions DCs for Treg cell generation.

A TRAF family member, TRAF3, has been shown to negatively regulate thymic Treg development, although it remains to be determined whether TRAF3 acts as an E3 ligase or an adaptor in this function [261, 262]. TRAF3 regulates the conversion of CD25<sup>+</sup>Foxp3<sup>-</sup> Treg precursors to the CD25<sup>+</sup>Foxp3<sup>+</sup> mature Treg cells [262], a step of Treg development that requires the action of the cytokine IL-2 [263, 264]. It appears that TRAF3 inhibits IL-2 receptor signaling by promoting the association of IL-2 receptor with a negative regulatory tyrosine phosphatase, TCPTP [262]. Interestingly, although T cell-conditional TRAF3 knockout mice have elevated numbers of Treg cells in both the thymus and periphery, these mutant animals display perturbed T cell homeostasis characterized by an increase in the frequency of CD4<sup>+</sup> T cells with effector- or memory-like surface markers [265]. This is apparently because of a positive role for TRAF3 in regulating the immunosuppressive function of established Treg cells [266]. Ablation of TRAF3 in Treg cells using the Foxp3-Cre deletion system causes perturbation of T cell homeostasis with a predominant increase in the frequency of Th1 type of effector/memory-like T cells [266]. The Treg-specific TRAF3 deficiency does not reduce, but rather moderately increases, the overall frequency of Treg cells; however, the TRAF3 deficiency attenuates antigen-stimulated production of follicular Treg (Tfr) cells, resulting in upregulated germinal center formation and antibody production [266]. TRAF3 plays a role in mediating MAPK activation and induction of ICOS, which in turn is important for antigen-stimulated Tfr generation [266]. Thus, TRAF3 has different roles in regulating the development and peripheral function of Treg cells.

The inducible production of Treg cells from naive CD4<sup>+</sup> T cells in the periphery is also regulated by ubiquitination. Cbl-b, which is a negative regulator of naive T cell activation, is a positive regulator of induced regulatory T (iTreg) cell induction by TGF- $\beta$ , since Cbl-b deficiency in mice impairs iTreg cell generation both *in vitro* and *in vivo* [267, 268]. Cbl-b appears to negatively regulate PI3K activation, thereby preventing the phosphorylation and inactivation of the downstream transcrip-

tion factors Foxo3a and Foxo1. These Foxo transcription factors bind to the promoter of Foxp3 to directly promote Foxp3 expression and iTreg generation [267]. TGF- $\beta$ -induced Foxp3 expression also requires the E3 ubiquitin ligase Itch, which mediates non-degradative ubiquitination of the transcription factor TIEG1, which in turn appears to enhance the transactivation function of TIEG1 at the Foxp3 promoter [269].

K63-linked ubiquitination plays a vital role in regulating the immunosuppressive function of Treg cells. Treg-specific deletion of the K63-specific E2 enzyme Ubc13 impairs the suppressive function of Treg cells, causing activation of effector T cells and development of autoimmune symptoms in mice [270]. Ubc13 deletion in established Treg cells has no effect on Foxp3 expression or the frequency of Treg cells. However, the Ubc13-deficient Treg cells lose the immunosuppressive functions *in vivo*, causing T cell activation and autoimmune symptoms. When adoptively transferred, along with naive T cells, into Rag1 knockout mice, the Ubc13-deficient Treg cells failed to suppress the activation of naive T cells. These mutant Treg cells display the effector functions of Th1 and Th17 inflammatory T cells, characterized by the production of IFN- $\gamma$  and IL-17. This function of Ubc13 involves the activation of IKK in the Treg cells, since expression of a constitutively active form of IKK $\beta$  could rescue the function of Ubc13-deficient Treg cells [270]. The Ubc13/IKK pathway is required for maintaining abundant expression of SOCS1, which in turn is required for preventing Treg cells from acquiring inflammatory effector functions of Th1 and Th17 cells. The promoter region of SOCS1 gene contains a STAT-NF- $\kappa$ B composite site and is cooperatively stimulated by the TCR-CD28 stimuli and inflammatory cytokines (IL-6 and IFN- $\gamma$ ) [270]. These results not only demonstrate an important role for K63-linked ubiquitination and IKK signaling in the maintenance of Treg function, but also highlight a paradoxical role for K63-linked ubiquitination in T cell responses: while required for T cell activation, it is also important for regulating the immunosuppressive function of Treg cells.

K48-linked ubiquitination also regulates the immunosuppressive function of Treg cells. In particular, the Treg master transcription factor Foxp3 is subject to tight regulation by ubiquitin-dependent degradation [271]. The ubiquitin ligase Stub1 has been shown to physically associate with Foxp3 and conjugate K48-linked ubiquitin chains to Foxp3 when Treg cells are stimulated with proinflammatory cytokines and the TLR4 ligand LPS [272]. Conversely, the DUB Usp7 deubiquitinates and stabilizes Foxp3 to promote Treg functions. USP7 knockdown or inhibition by a DUB inhibitor reduces

the level of Foxp3 protein and compromises the function of Treg cells [273]. In thymic Treg precursor cells, the E3 ubiquitin ligase Cbl-b cooperates with Stub1 to mediate TCR/CD28-stimulated Foxp3 degradation [274]. Cbl-b deficiency partially rescues the defect of CD28-deficient mice in natural Treg development. The E3 ligase GRAIL is highly expressed in Treg cells and contributes to the immunosuppressive function of Treg cells [275-277]. GRAIL-deficient Treg cells have normal expression Foxp3 and various surface markers, but these mutant Treg cells are partially impaired in their ability to suppress the activation of naive T cells [277]. GRAIL deficiency also promotes the expression of Th17 effector cytokines (IL-17 and IL-21) and transcription factors (ROR $\alpha$  and ROR $\gamma$ ) in Treg cells, which appears to involve upregulated expression of the transcription factor NFATc1 [277].

The E3 ligase von Hippel-Lindau (VHL) also has an essential role in maintaining the immunosuppressive function of Treg cells. Treg-conditional ablation of VHL using the Foxp3-Cre system leads to tissue inflammation due to loss of Treg functions. The VHL-deficient Treg cells display a Th1-like inflammatory phenotype producing high amounts of IFN- $\gamma$ . This phenotype involves aberrant accumulation of the hypoxia-inducible factor 1 $\alpha$  (HIF-1 $\alpha$ ), which appears to directly bind IFN- $\gamma$  promoter and mediate IFN- $\gamma$  induction in the VHL-deficient Treg cells [278].

## Concluding remarks

This review highlights some of the major functions of ubiquitination in the regulation of innate and adaptive immune responses. To date, a large number of E3 ubiquitin ligases and DUBs have been characterized as important immune regulators, and they participate in different aspects of immune functions, ranging from PRR signaling in innate immunity and inflammation to DC maturation and T cell activation and differentiation. Ubiquitination also plays a crucial role in regulating central and peripheral immune tolerance to prevent the development of autoimmunity. Studies using mutant mouse models have been instrumental in elucidating the physiological functions of E3 ubiquitin ligases and DUBs, and this area of research will continue adding important information to our understanding of the role of ubiquitination in the immune system.

A major challenge in future studies will be to characterize the molecular mechanisms underlying the immunoregulatory functions of the E3s and DUBs. Identification of the substrates of these enzymes in physiologically relevant immune cells represents an important, and chal-

lenging, task. Equally important is to understand how non-degradative ubiquitination regulates the function of protein kinases and other signaling components. An increasing number of UBD-containing proteins have been identified, and biochemical and physiological characterization of these ubiquitin-binding proteins will provide additional insight into the signaling mechanism of ubiquitination.

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