

ARTICLE

https://doi.org/10.1038/s41467-021-21196-2

OPEN

Ultra-high-Q resonances in plasmonic metasurfaces

M. Saad Bin-Alam^{1,7}, Orad Reshef ^{2,7⊠}, Yaryna Mamchur^{1,3}, M. Zahirul Alam ², Graham Carlow⁴, Jeremy Upham², Brian T. Sullivan⁴, Jean-Michel Ménard ², Mikko J. Huttunen⁵, Robert W. Boyd^{1,2,6} & Ksenia Dolgaleva^{1,2}

Plasmonic nanostructures hold promise for the realization of ultra-thin sub-wavelength devices, reducing power operating thresholds and enabling nonlinear optical functionality in metasurfaces. However, this promise is substantially undercut by absorption introduced by resistive losses, causing the metasurface community to turn away from plasmonics in favour of alternative material platforms (e.g., dielectrics) that provide weaker field enhancement, but more tolerable losses. Here, we report a plasmonic metasurface with a quality-factor (*Q*-factor) of 2340 in the telecommunication C band by exploiting surface lattice resonances (SLRs), exceeding the record by an order of magnitude. Additionally, we show that SLRs retain many of the same benefits as localized plasmonic resonances, such as field enhancement and strong confinement of light along the metal surface. Our results demonstrate that SLRs provide an exciting and unexplored method to tailor incident light fields, and could pave the way to flexible wavelength-scale devices for any optical resonating application.



¹ School of Electrical Engineering and Computer Science, University of Ottawa, Ottawa, ON, Canada. ² Department of Physics, University of Ottawa, Ottawa, ON, Canada. ³ National Technical University of Ukraine "Igor Sikorsky Kyiv Polytechnic Institute", Kyiv, Ukraine. ⁴ Iridian Spectral Technologies Inc., Ottawa, ON, Canada. ⁵ Photonics Laboratory, Physics Unit, Tampere University, Tampere, Finland. ⁶ Institute of Optics and Department of Physics and Astronomy, University of Rochester, Rochester, NY, USA. ⁷These authors contributed equally: M. Saad Bin-Alam, Orad Reshef. ⁵⁸email: orad@reshef.ca

etallic nanostructures are essential to many applications in photonics, including biosensing¹, spectroscopy^{2,3}, nanolasing⁴, all-optical switching⁵, nonlinear optical processes⁶, and metasurface technologies^{7–9}. These plasmonic elements form flexible components with geometry-dependent responses and have many desirable properties, such as the possibility to confine light to sub-wavelength scales and large localfield enhancements^{9,10}. Metals also possess intrinsic nonlinear optical constants that are many orders of magnitude larger than dielectric materials¹¹.

When structured at the sub-wavelength scale^{8,9,12}, individual nanostructures exhibit localized surface plasmon resonances (LSPRs), where electromagnetic fields couple to the free-electron plasma of a conductor at a metal-dielectric interface^{6,10}. Depending on its shape, an individual nanoparticle may be polarized by an incident light beam, acting as a lossy dipole antenna¹³ and trapping light for a short period of time. In contrast to other photonic resonant devices such as whispering gallery mode resonators, microring resonators, or photonic crystals¹⁴⁻¹⁶, resonating dipoles in a metasurface can easily be accessed by a beam propagating in free space and require only a sub-wavelength propagation region for operation. Therefore, a plasmonic metasurface resonator enables a series of specialized optical responses, including phase-matching-free nonlinear optical effects^{6,17}, strongly localized field enhancements⁹, multi-mode operation¹⁸, and a spatially localized optical response⁷. Such a metasurface with a large quality factor (Q-factor) could be used as a cavity for applications that need increased light-matter interactions, small mode volumes, large field enhancements, and large optical nonlinearities, such as an ultra-flat nano-laser with a large transverse mode size^{4,19} or frequency conversion applications (e.g., nonlinear harmonic generation²⁰ or THz-wave generation²¹). One frequently cited limitation of LSPR-based metasurfaces are their low Q-factors (e.g., Q < 10) due to the intrinsic Ohmic losses present in metals at optical frequencies^{10,22-24}. As the Q-factor is related to the light-matter interaction time as well as to enhancements to the electric field, it is typically desirable to maximize this quantity¹⁴. Low Q-factors therefore make many potential applications of plasmonics-based metasurface devices impractical, and new methods for obtaining large Q-factor resonances in a metasurface have long been sought after.

The optical response of coupled plasmonic nanoresonators has been a topic of intense study²⁵. Notably, plasmonic metasurfaces of large periodically arranged nanostructures support collective resonances called surface lattice resonances (SLRs)^{26–32}. Here the individual responses from the surface plasmons of many individual nanostructures form a collective response that couples to in-plane diffraction orders of the periodic array^{26,30}. As a consequence, a relatively high-Q resonance can emerge at an optical wavelength $\lambda_{SLR} \approx nP$, close to the product of the refractive index of the background medium n and the lattice period $P^{26,32}$. Recent theoretical studies of this platform have predicted Q-factors on the order of 10^3 by properly engineering the dimensions of the individual nanostructures and the period of the lattice³¹⁻³³, hinting at the possibility of combining the aforementioned benefits of metals with long interaction times provided by high Qfactors. However, to date, the highest experimentally observed Qfactor in an SLR-based metasurface is 43034. The disparity between theory and experiment has been attributed to a variety of reasons, including poor spatial coherence of light beams^{28,35}, small array sizes^{30,31,36}, fabrication imperfections^{30,31}, and the addition of an adhesion layer³⁷.

Inspired by this discrepancy, here we perform a detailed investigation to determine the dominant factors that most drastically affect the observed Q of an SLR-based metasurface: the nanostructure geometry, the array size, and the spatial coherence

of the probing light source. Using the results of this study, we demonstrate a plasmonic metasurface capable of supporting ultra-high-Q SLRs.

Results

The metasurface in consideration consists of a rectangular array of rectangular gold nanostructures embedded in a homogeneous silica glass $(n \sim 1.45)$ environment (Fig. 1a). The lattice constant $P_{v} = 1060 \text{ nm}$ was selected to place the SLR wavelength in the telecommunication window; $P_x = 500 \text{ nm}$ was reduced from a square lattice, increasing the nanoparticle density and consequently increasing the extinction ratio of the resonance. The overcladding is carefully matched to the substrate material to ensure a symmetric cladding index, as it has been shown that the Q of an SLR may be affected by the homogeneity of the environment^{26,38,39}. As shown by the numerical predictions in Fig. 1b, for an x-polarized beam, this metasurface is expected to support an LSPR at $\lambda_{LSPR} = 830$ nm and an SLR of the first type around $\lambda_{SLR} = 1550 \text{ nm}$ (See Supplementary Sec. S2: SLR type). The SLR linewidth is substantially narrower than that of the LSPR, corresponding to a much higher Q-factor. Incidentally, the inset field profiles in Fig. 1b also reveal that the SLR provides a more significant field enhancement, with $|E_{\rm max}(\lambda_{\rm SLR})| \sim 3|E_{\rm max}(\lambda_{\rm LSPR})|$. Figure 1c shows an image of the fabricated device with dimensions matching those of the simulations. The measured transmission spectra are presented in Fig. 1d, closely matching the predicted spectrum. Notably, the full width at half-maximum of the linewidth is only $\Delta \lambda = 0.66$ nm, corresponding to a Q-factor of Q = 2340. This value exceeds the record for plasmonic metasurfaces by an order of magnitude^{34,37,40} and is among the highest reported in a metasurface. It is roughly within a factor of two of semi-analytic calculations performed using the lattice sum approach (LSA), where $Q \sim 5000$ (see "Methods" for details). In order to observe this value for the *Q*-factor, both the metasurface and the measurement apparatus needed to be arranged with a few considerations in mind, which we describe in greater detail below.

The role of nanoparticle polarizability. First, the individual structures need to be engineered to exhibit the appropriate response at λ_{SLR} . The optical response of a nanostructure can be approximated using the polarizability of a Lorentzian dipole,

$$x(\omega) = \frac{A_0}{\omega - \omega_0 + i\gamma},\tag{1}$$

where A_0 is the oscillator strength, $\omega_0 = 2\pi c/\lambda_{\text{LSPR}}$ corresponds to the nanoparticle resonance frequency, and γ is the damping term. These quantities all depend on the particle geometry¹² (here the length L_{γ} and width L_{x} of a rectangular bar). The contribution of the particle lattice to the polarizability can be introduced using the LSA^{39,41}:

$$\alpha^*(\omega) = \frac{\alpha(\omega)}{1 - \epsilon_0 \alpha(\omega) S(\omega)},\tag{2}$$

where $\alpha^*(\omega)$ is known as the effective polarizability of the entire metasurface and $S(\omega)$ corresponds to the lattice sum. This latter term depends only on the arrangement of the lattice. An SLR appears approximately where $S(\omega)$ exhibits a pole, at $\omega_{SLR} = (2\pi c/\lambda_{SLR})$. At this spectral location, the individual responses of all of the nanostructures contribute cooperatively⁴¹.

Equation (2) may be used to predict the optical response of the entire metasurface, including the behavior of its many resonances, as a function of the geometry of its nanostructures (see "Methods"): by changing the geometry of a nanostructure^{12,42}, its individual resonance wavelength λ_{LSPR} , oscillator strength A_0 ,

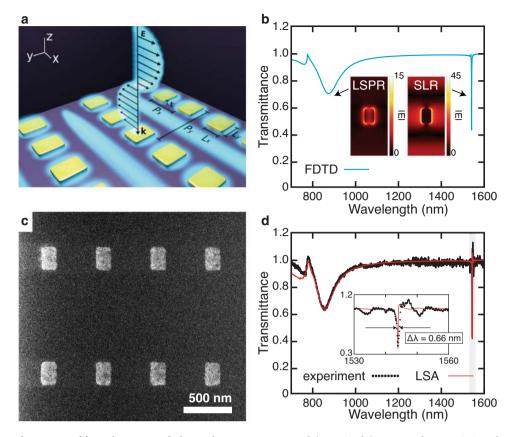


Fig. 1 High-Q metasurface nanocavities using arrays of plasmonic nanostructures. a Schematic of the metasurface consisting of a rectangular array of rectangular gold nanostructures. Here $L_x = 130 \text{ nm}$, $L_y = 200 \text{ nm}$, $P_x = 500 \text{ nm}$, and $P_y = 1060 \text{ nm}$. The blue shaded regions illustrate the electric field, reproducing the mode structure in the inset of **b. b** Numerical (FDTD) calculations of the transmission spectrum of this metasurface for *x*-polarized light. Both the LSPR and the SLR are observed in these results. Inset: The simulated magnitude of the electric field |*E*| for the entire unit cell of both LSPR and SLR modes in the *x*-*y* plane that bisects the nanoparticles. The color bar indicates the relative magnitude when normalized to the incident plane wave. **c** Helium ion microscopic image of the fabricated metasurface prior to cladding deposition. **d** Measured transmission spectrum (black dots) and fits to semi-analytic calculations (LSA, red line). Inset: Zoomed plot of the highlighted region in **d**. Fitting the measurement to a Lorentzian function yields a linewidth of $\Delta \lambda = 0.66 \text{ nm}$, corresponding to Q = 2340 (see Supplementary Sec. S1: *Q*-factor extraction).

and damping constant y are all modified. In turn, adjusting these values changes the polarizability of the nanostructures throughout the spectrum, including at the SLR wavelength $\alpha(\omega_{SLR})$, and therefore also the response of the entire metasurface at this wavelength $\alpha^*(\omega_{SLR})$. Here we adjust the above parameters by changing the dimensions of the nanostructures (see "Methods"), while the parameters could be alternatively modified by considering altogether different nanostructure shapes, such as nanorings, nanorods, or core-shell nanoparticles⁴². By contrast, the spectral location of the SLR wavelength is dictated mainly by the lattice period and the background index $\lambda_{SLR} \approx nP^{32,43,44}$. In other words, the lattice configuration governs the presence of the SLR, and the nanostructure geometry dictates its coupling efficiency to free space. Indeed, recent theoretical studies in this platform have shown Q-factors on the order of 10³ by properly selecting the dimensions of the individual nanostructures^{31,33}.

We reproduce this dependence in this platform explicitly by plotting the calculated transmission of a metasurface (see "Methods") as a function of nanostructure resonance wavelength λ_{LSPR} (Fig. 2). (The dependence of the SLR behavior on particle dimensions, which is connected to the resonance wavelength, is also demonstrated using full-wave simulations in Supplementary Sec. S3: Dependence of SLR behavior on particle dimensions.) Here we hold the oscillator strength A_0 and damping term γ constant and slowly increase the nanoparticle resonance wavelength λ_{LSPR} . Note that the resonance position differs slightly from the position of the dip due to the incorporation of a longwavelength correction⁴⁵. In Fig. 2b, c, the SLR wavelength does not change substantially from its location around $\lambda_{SLR} = 1542$ nm; however, the extinction ratio ΔT and the linewidth $\Delta \lambda$ of the resonance change dramatically. In Fig. 2d, we plot the extracted Q-factors for these SLRs and for other values of A_0 , as well (see Supplementary Sec. S1: Q-factor extraction for the fits). Based on well-established relationships between nanoparticle geometry and polarizability^{10,46}, this A_0 range corresponds to a change in nanoparticle volume of roughly 20%. We find that, for every given value of A_0 , there is a corresponding λ_{LSPR} for which light couples optimally to the lattice resonance at λ_{SLR} and produces the highest Q-factor. The optimal conditions are therefore found in the balance between increasing α relative to P_{ν} (i.e., increasing coupling strength) and maintaining a large spectral gap between λ_{LSPR} and λ_{SLR} (i.e., limiting Ohmic losses associated with metallic nanoparticles). The trade-off between coupling and loss is a traditional one for optical resonators and is reproduced in the SLR-based metasurface platform⁴⁷.

Effect of array size. Next, we study the dependence of the *Q*-factor on the array size. For certain metasurfaces, it has already been predicted that larger array sizes lead to better device performance^{36,48}. This dependence makes some intuitive sense—since high-*Q* operation requires low absorption losses, we are

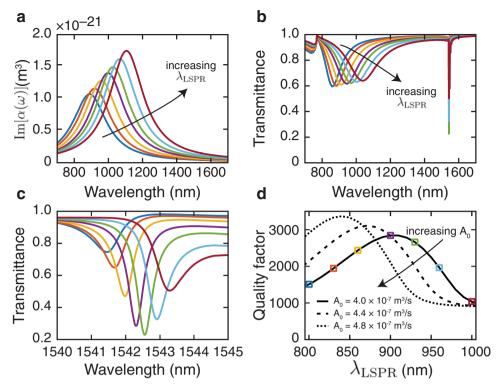


Fig. 2 Coupling to a surface lattice resonance. The colors in **a**-**d** are consistent, corresponding to the same type of nanoparticle. **a** The imaginary part of the individual particle polarizability for various nanostructures with increasing resonance wavelength λ_{LSPR} , holding both the oscillator strength A_0 and the damping term γ fixed. Here λ_{LSPR} is tuned from 800 to 1000 nm. **b** Simulated broadband transmission spectra for gold nanostructure arrays as a function of tuning λ_{LSPR} . By tuning the LSPR wavelength, the extinction factor of the SLR is observed to change near $\lambda = 1542$ nm. While λ_{LSPR} changes dramatically, the SLR wavelength λ_{SLR} does not change much. **c** Zoomed-in plot of the SLR in **b**. **d** The Q-factor of the surface lattice resonance as a function of λ_{LSPR} for various oscillator strengths A_0 . The optimal LSPR wavelength for a high-Q SLR changes as a function of A_0 . The oscillator strength A_0 increases from 3.98×10^{-7} to 4.77×10^{-7} m³/s, roughly corresponding to a 20% increase in the particle volume. The squares indicate the Q values extracted from the curves in **c**.

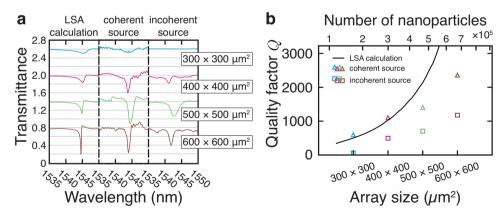


Fig. 3 Effects of array size and spatial coherence of light source. a Calculated and measured (using coherent and incoherent sources) transmission spectra for identical metasurface arrays of varying size (from top to bottom: 300×300 , 400×400 , 500×500 , and $600 \times 600 \ \mu\text{m}^2$, respectively). The spectra are offset for clarity, and each vertical division corresponds to an increment of $\Delta T = 0.2$ in transmittance. **b** The *Q*-factors extracted from Lorentzian fits to the calculations and to the measurements shown in **a**. An increase in the number of nanostructures in the array results in an increase in the estimated *Q*-factors. Additionally, the observed *Q*-factor is globally larger for each array when measured using the coherent source.

required to operate the device far from the LSPR. However, at a sufficiently far operating wavelength, the scattering cross-section is also small, resulting in each antenna scattering very weakly. Consequently, far from the LSPR, one requires a sufficiently large number of scatterers to build up the resonance. Equivalently, the standing wave mode in an SLR consists of counter-propagating surface waves; therefore, a larger array provides an expanded propagation length in the cavity to support these modes. To examine the dependence of Q on the number of nanostructures explicitly, we fabricated and characterized a series of devices of increasing array size. Figure 3 shows the resulting transmission spectra, as well as their corresponding semi-analytic predictions. The observed Q-factors increase monotonically as a function of array size (Fig. 3b—see Supplementary Sec. S1: Q-factor extraction for the fits). In the smallest array ($300 \times 300 \ \mu\text{m}^2$), the SLR is almost imperceptible. This trend might help explain the

relatively low *Q* values observed in previous studies^{9,30,31,36} where array sizes were typically no larger than $250 \times 250 \,\mu\text{m}^2$, likely due to the relatively slow write-speed of the electron-beam lithography process necessary for fabrication^{26,37}. By contrast, our devices have array sizes reaching up to $600 \times 600 \,\mu\text{m}^2$ (see Supplementary Sec. S4: Image of the device).

The role of spatial coherence. Finally, it is of critical importance to consider all aspects of the characterization system in order to get an accurate measurement of the Q-factor. In particular, we have found that the spatial coherence of the probe beam was critical to obtaining a clean measurement of the dip in transmission indicating a resonance. A spatially coherent beam, such as a laser, excites every region of the metasurface in phase, producing a resonance feature that is both deeper and narrower compared to using a spatially incoherent source. Additionally, the higher-order modes of the lattice are more sensitive to angular variance in the measurements, leading to broader peaks when using incoherent sources³⁵. Furthermore, in our particular experiment, the transmitted signal from our coherent supercontinuum source was both brighter and could also be better collimated than our incoherent thermal source. Therefore, the light collected from the metasurface array could be isolated with a smaller pinhole in the image plane, selecting the signal coming from nanostructures at the center of the array with a more uniform collective response.

In Fig. 3, we compare the performance of the metasurface when illuminated using different light sources: a broadband supercontinuum laser (i.e., a well-collimated coherent source), and a tungsten-halogen lamp. The comparison between these measurements indicates that the Q increases with the coherence of the light source-using the thermal light source reduces the Qfactor by a factor of 2-5 when compared to the laser. Additionally, it decreases the resonance coupling strength, as is evident from the reduced extinction ratio of the SLRs. Figure 3b summarizes the Q-factors extracted from these measurements and compares them to numerical predictions. LSA calculations predict that Q-factors increase as a function of array size; this trend continues for both smaller and larger devices than those probed experimentally. Note that, even when using an incoherent source, the largest array still produces a very large Q-factor ($Q \sim$ 1000). The observation of such a high Q using an incoherent source reinforces the validity of our aforementioned metasurface design criteria-that is, the importance of the choice of nanostructure geometry and of the array size.

In some of the measurements, the value for the normalized transmittance can be seen to exceed unity (i.e., T > 1). We speculate that this is because the nanostructures aid in coupling to the substrate, reducing the reflections from the first interface.

Discussion

Despite promising results, Fig. 3b also highlights some discrepancies between the simulation and the experiment for the largest arrays, notably reducing the measured Q-factors. This disparity could be due to multiple reasons, which we enumerate below. First, the prediction produced by the LSA might be overestimating the Q by assuming that each nanoparticle is excited with a constant-valued local field. This assumption cannot be entirely correct for a Gaussian beam and a finite array, where particles closer to the boundaries of the array feel a weaker local field than the particles near the center. Second, the fabrication procedure produces stitching errors, which become more important for larger arrays. This added disorder might contribute to the reduction in Q. Lastly, the Q-factors might be limited due to additional measurement considerations, such as the finite

coherence length of the light source or imperfections with the collimation.

In this work, we only looked into rectangular nanoparticles in rectangular lattices. Based on LSA calculations and the discretedipole approximation (DDA) used in previous work^{26,39,44,49,50}, it is evident that any particle geometry (e.g., cylindrical, rectangular, or triangular) that can be approximated by dipoles with the same Lorentzian parameters A_0 , λ_{LSPR} , and γ will yield an identical SLR Q-factor. For nanoparticles that cannot be modeled by dipoles-regardless of the particle geometry-the SLR Q-factor will be the same provided that the polarizability at λ_{SLR} remains the same. Regarding different lattice configurations, the spectral responses of other lattice geometries such as hexagonal, orthorhombic, and kagome are likely to be different than the rectangular lattice design we have adopted. However, lattice sums can be computed for these regular lattices, and therefore they can also be treated using our method. Therefore, strategies presented in this work are largely blind to the specific lattice arrangements, and its conclusions will be helpful in obtaining resonances with large-Q factors in other geometries.

The Q-factors for the type of device presented here could be further increased, however, by considering larger arrays or by further optimizing the nanostructure dimensions—instead of rectangles, a more intricate nanostructure shape could tailor the Lorentzian dipole coefficients A_0 , $\lambda_{\rm LSPR}$, and γ more independently to allow for optimal coupling and higher extinction ratios. These shapes include L-shaped antennas⁵¹, split-ring resonators⁵², and others that also exhibit higher-order moments^{53,54}. Alternatively, a nanoparticle with a large aspect ratio could increase coupling to more neighboring particles using out-ofplane oscillations⁴⁴. Finally, the metasurface shown here can be combined with other established methods to enable multiple simultaneous resonances^{39,50,55}.

Table 1 contains a short survey of the literature on metasurface nanocavities. Other than the reported *Q*-factors, we have included, when available, information that is relevant to compare their work against ours, such as the operating wavelength, the material platform, the array size, and the type of light source used. Our work demonstrates the highest *Q* by an order of magnitude among metasurfaces with plasmonic components and is exceeded only by metasurfaces that incorporate a bound state in the continuum (BIC).

To summarize, we have fabricated and experimentally demonstrated a plasmonic metasurface nanoresonator with a high Q-factor, which is in excellent agreement with numerical predictions. Our work presents the experimental demonstration of a high-Q plasmonic metasurface nanoresonator with an orderof-magnitude improvement over prior art (see Table 1). We have found that the observed Q-factor obtained from an SLR may be limited by a poor choice of nanostructure dimensions, a small array size, or poor spatial coherence of the source illumination; we hypothesize that one or many of these factors may have been the cause for the low Q-factors reported in previous experiments featuring SLRs. Additionally, our device follows simple design principles that can be easily expanded upon to enable multiple resonances to fully tailor the transmission spectrum of a wavelength-scale surface. Our result highlights the potential of SLR-based metasurfaces and expands the capabilities of plasmonic nanoparticles for many optical applications.

Methods

Simulations

Finite-difference time domain (FDTD). Full-wave simulations were performed using a commercial three-dimensional FDTD solver. A single unit cell was simulated using periodic boundary conditions in the in-plane dimensions and perfectly matched layers in the out-of-plane dimension. The structures were modeled using

Mechanism	Q	λ (nm)	Material	Light source	Array size (µm²)	Reference
SLR	2340	1550	Au NPs	Supercontinuum	600 × 600	This work
LSPR	<10	700	Au NPs	Tungshalogen lamp	3000 × 3000	61
SLR	25	930	Au NPs	Collimated source	135 × 135	27
SLR	30	850	Au NPs	Tungshalogen lamp	3000 × 3000	61
SLR	40-60	600	Au NPs	Ellipsometer	200 × 200	28
SLR	60	800	Au NPs	Tungshalogen lamp	35 × 35	26
SLR	150	764	Au NPs	Tungshalogen lamp	N/A	62
SLR	230	900	Au NPs	Tungshalogen lamp	~10,000 × 10,000	63
SLR	300	1500	Au nanostripes	Tungshalogen lamp	300 × 100	40
SLR	330	648	Ag NPs	Tungshalogen lamp	2500 × 2500	37
SLR	430	860	Au NPs	Tungshalogen lamp	1000 × 1000	34
Mirror image	200	5000	ITO nanorods	Collimated source	N/A	35
EIT	483	1380	Si	Tungshalogen lamp	225 × 240	64
Fano resonance	65	THz	Al particles	THz laser	10,000 × 10,000	65
Fano resonance	100	THz	Au Assym. NPs	FTIR	150 × 150	66
Fano resonance	350	1000	Si	N/A	N/A	67
Fano resonance	600	1000	GaAs	N/A	N/A	67
BIC	2750	825	GaAs	Laser	60 × 108	68
Quasi-BIC	18,511	1588.4	Si	Laser	15 × 15	69

The results presented in this work are in bold.

Q quality factor, λ resonance wavelength, NP nanoparticle, SLR surface lattice resonance, LSPR localized surface plasmon resonance, EIT electromagnetically induced transparency, BIC bound state in the continuum.

fully dispersive optical material properties for silica⁵⁶ and for gold⁵⁷. Minimal artificial absorption (Im(n) ~ 10⁻⁴) was added to the background medium to reduce numerical divergences.

The optical transmission spectra can be obtained by using the optical theorem, Ext $\propto k Im(a^*)^{59}$:

$$T(\omega) = 1 - \frac{4\pi k}{P_x P_y} \operatorname{Im}[\alpha^*(\omega)], \qquad (7)$$

where P_x and P_y are the lattice constants along the x and y dimensions, respectively.

To produce the plots in Fig. 1d, we performed an LSA calculation using the following parameters for the single dipole: $\lambda_{\rm LSPR} = 780$ nm; $A_0 = 3.46 \times 10^{-7}$ m³/s, $\gamma = 8.5 \times 10^{13}$ s⁻¹. LSA parameters were determined by matching to FDTD data. The lattice constants were $P_x = 500$ nm and $P_y = 1067.5$ nm. The total array size was $600 \times 600 \ {\rm µm^2}$, corresponding to $N_x = 1200 \times N_y = 562$ nanostructures, respectively. The LSA calculations in Fig. 3 used these same parameters but varied the total number of nanostructures.

To calculate the figures in Fig. 2a, c, we performed a series of LSA calculation using the following parameters for the particle: $A_0 = 3.98 \times 10^{-7} \text{ m}^3$ /s, $\gamma = 1/[2\pi$ $(2.1 \text{ fs})] \approx 7.6 \times 10^{13} \text{ s}^{-1}$. The dipole resonance wavelengths λ_{LSPR} were 800, 833, 866, 900, 933, 966, and 1000 nm, respectively. Based on the performed FDTD simulations, these resonance wavelengths could correspond to rectangular gold nanostructures with widths of $L_x = 110, 120, 130, 140, 150, 160, and 170 nm,$ respectively, if $L_v = 190$ nm, and t = 20 nm. (Note that, in the main text, $L_v =$ 200 nm). See Supplementary Sec. S3: Dependence of SLR behavior on particle dimensions for the corresponding simulations. The lattice constants were $P_x =$ 500 nm and $P_y = 1060$ nm, respectively. The total array size was $600 \times 600 \ \mu\text{m}^2$, corresponding to $N_x = 1200 \times \hat{N}_y = 567$ nanostructures, respectively. To obtain Fig. 2d, a series of LSA calculations were performed for many values of λ_{LSPR} ranging from 800 to 1000 nm, and the Q-factors were extracted from the results using a fit to a Lorentzian. The curves in Fig. 2d come from repeating this procedure with oscillator strengths of $A_0 = 3.98 \times 10^{-7}$, 4.38×10^{-7} , and 4.77×10^{-7} $10^{-7} \text{ m}^{3/\text{s}}$.

Device details. We fabricated different metasurface devices with array sizes of 300×300 , 400×400 , 500×500 , and $600 \times 600 \ \mu\text{m}^2$, with a corresponding number of participating nanostructures of 600×284 , 800×378 , 1000×472 , and 1200×567 , respectively. The lattice constants of the rectangular arrays are $P_x = 500 \ \text{mm} \times P_y = 1060 \ \text{nm} \times L_y = 200 \ \text{nm}$, with a thickness of $t = 20 \ \text{nm}$. The lattice is embedded within a homogeneous background $n \approx 1.46$.

Fabrication. The metasurfaces are fabricated using a standard metal lift-off process. We start with a fused silica substrate. We deposit a silica undercladding layer using sputtering. We then define the pattern using electron-beam lithography in a positive tone resist bi-layer with the help of a commercial conductive polymer. The mask was designed using shape-correction proximity error correction⁶⁰ to correct for corner rounding. Following development, a thin adhesion layer of chromium (0.2-nm thick) is deposited using e-beam evaporation, followed by a layer of gold deposited using thermal evaporation. Lift-off is performed, and a final protective silica cladding layer is deposited using sputtering. The initial and final silica layers are sputtered using the same tool under the same conditions to ensure that the

Lattice sum approach. The LSA is a variant of the DDA method⁵⁸. It is a semianalytic calculation method that has been found to produce accurate results for plasmonic arrays^{39,41,44}. The main assumption in LSA when compared to DDA is that the dipole moments of all interacting nanoparticles are assumed to be identical⁴⁴. The main benefit of using LSA for our application compared to alternatives such as FDTD is its capability to model finite-sized arrays with an arbitrary number of nanostructures, by assuming that the overall response of the array closely follows the responses of the nanoparticles at the center of the array. By comparing simulations performed using the LSA against the DDA, this assumption has also been found to be quite accurate⁴⁴. Its rapid simulation time makes it a useful tool for iterating many simulations to study trends and behaviors of entire metasurfaces, especially for finite array effects, such as the effect of array size on the *Q*factor.

Using the LSA approach, the dipole moment ${\bf p}$ of any particle in the array is written as

$$\mathbf{p} = \frac{\epsilon_0 \alpha(\omega) \mathbf{E}_{\text{inc}}}{1 - \epsilon_0 \alpha(\omega) S(\omega)} \equiv \epsilon_0 \alpha^*(\omega) \mathbf{E}_{\text{inc}},\tag{3}$$

where the effect of inter-particle coupling is incorporated in the lattice sum *S* and α^* is the effective polarizability. This equation produces Eq. (2) in the main text. The calculations presented in this work also incorporate a modified long-wavelength correction⁴⁵:

$$\alpha(\omega) \to \frac{\alpha_{\text{static}}(\omega)}{1 - \frac{2}{3}ik^3 \alpha_{\text{static}}(\omega) - \frac{k^2}{l} \alpha_{\text{static}}(\omega)},$$
(4)

where *k* is the wavenumber in the background medium $k = (2\pi n/\lambda)$ and *l* is the effective particle radius. Also here, minimal artificial absorption $(\text{Im}(n) = 6 \times 10^{-4})$ was added to the refractive index n = 1.452 of the background medium to reduce numerical divergences associated with the approach when considering large arrays⁴¹. We set l = 180 nm for all calculations. The static polarizability of the nanoparticle is given by

$$\alpha_{\text{static}}(\omega) = \frac{A_0}{\omega - \omega_0 - i\gamma},\tag{5}$$

where A_0 is the oscillator strength, $\omega_0 = 2\pi c / \lambda_{\rm LSPR}$ corresponds to the nanoparticle resonance frequency, and γ is the damping term. For a planar array of N dipoles, the lattice sum term S is

 $S(\omega) = \sum_{j=1}^{N} \frac{\exp(ikr_j)}{\epsilon_0 r_j} \left[k^2 + \frac{(1 - ikr_j)(3\cos^2\theta_j - 1)}{r_j^2} \right],\tag{6}$

where r_j is the distance to the *j*th dipole and θ_j is the angle between \mathbf{r}_j and the dipole moment \mathbf{p} .

environment surrounding the metasurface is completely homogeneous. Before characterization, the surface of the device is then covered in index-matching oil. The backside of the silica substrate is coated with an anti-reflective coating to minimize substrate-related etalon fringes.

Characterization. See Supplementary Sec. S5: Experimental set-up for a schematic of the experimental set-up.

Coherent light measurements. To measure the transmission spectra, we floodilluminated all of the arrays in the sample using a collimated light beam from a broadband supercontinuum laser source. The wavelength spectrum of the source ranges from $\lambda = 470$ to 2400 nm. The beam comes from normal incidence along the z-direction with light polarized in the x-direction. The incident polarization is controlled using a broadband linear polarizing filter. Light transmitted by the metasurface is then imaged by a f = 35 mm lens, and a 100-µm pinhole is placed in the image plane to select the desired array. The transmitted light is collected in a large core (400 µm) multimode fiber and analyzed using an optical spectrum analyzer and is normalized to a background trace of the substrate without gold nanostructures. The resolution of the spectrometer is set to 0.01 nm.

Incoherent light measurements. Here the experiment goes as above, but the samples are excited using a collimated tungsten-halogen light source (ranging from $\lambda = 300$ to 2600 nm) and a 400-µm pinhole.

Data availability

The data that support the plots within this paper and other findings of this study are available from the corresponding author upon reasonable request.

Code availability

The code used to analyze the data and the related simulation files are available from the corresponding author upon reasonable request.

Received: 29 June 2020; Accepted: 14 January 2021; Published online: 12 February 2021

References

- Anker, J. et al. Biosensing with plasmonic nanosensors. Nat. Mater. 7, 442–453 (2008).
- Nie, S. & Emory, S. R. Probing single molecules and single nanoparticles by surface-enhanced Raman scattering. *Science* 275, 1102–1106 (1997).
- Willets, K. A. & Van Duyne, R. P. Localized surface plasmon resonance spectroscopy and sensing. *Annu. Rev. Phys. Chem.* 58, 267–297 (2007).
- Azzam, S. I. et al. Ten years of spasers and plasmonic nanolasers. Light Sci. Appl. 9, 90 (2020).
- Ren, M. et al. Nanostructured plasmonic medium for terahertz bandwidth alloptical switching. Adv. Mater. 23, 5540–5544 (2011).
- Kauranen, M. & Zayats, A. V. Nonlinear plasmonics. Nat. Photonics 6, 737 (2012).
- Yu, N. et al. Light propagation with phase discontinuities: generalized laws of reflection and refraction. *Science* 334, 333–337 (2011).
- Won, R. The rise of plasmonic metasurfaces. Nat. Photonics 11, 462–464 (2017).
- 9. Meinzer, N., Barnes, W. L. & Hooper, I. R. Plasmonic meta-atoms and metasurfaces. *Nat. Photonics* 8, 889–898 (2014).
- 10. Maier, S. A. Plasmonics: Fundamentals and Applications (Springer, 2007).
- 11. Boyd, R. W. Nonlinear Optics 4th edn (Academic, 2020).
- Oldenburg, S. J., D., A. R., Westcott, S. L. & Halas, N. J. Nanoengineering of optical resonances. *Chem. Phys. Lett.* 288, 243–247 (1998).
- Novotny, L. & Van Hulst, N. Antennas for light. Nat. Photonics 5, 83–90 (2011).
- Zhang, X., Choi, H. S. & Armani, A. M. Ultimate quality factor of silica microtoroid resonant cavities. *Appl. Phys. Lett.* 96, 153304 (2010).
- Ji, X. et al. Ultra-low-loss on-chip resonators with sub-milliwatt parametric oscillation threshold. *Optica* 4, 619–624 (2017).
- Asano, T., Ochi, Y., Takahashi, Y., Kishimoto, K. & Noda, S. Photonic crystal nanocavity with a Q factor exceeding eleven million. *Opt. Express* 25, 1769 (2017).
- Krasnok, A., Tymchenko, M. & Alù, A. Nonlinear metasurfaces: a paradigm shift in nonlinear optics. *Mater. Today* 21, 8–21 (2018).
- Celebrano, M. et al. Mode matching in multiresonant plasmonic nanoantennas for enhanced second harmonic generation. *Nat. Nanotechnol.* 10, 412–417 (2015).
- Zhou, W. et al. Lasing action in strongly coupled plasmonic nanocavity arrays. Nat. Nanotechnol. 8, 506–511 (2013).

- Michaeli, L., Keren-Zur, S., Avayu, O., Suchowski, H. & Ellenbogen, T. Nonlinear surface lattice resonance in plasmonic nanoparticle arrays. *Phys. Rev. Lett.* 118, 243904 (2017).
- Luo, L. et al. Broadband terahertz generation from metamaterials. *Nat. Commun.* 5, 3055 (2014).
- Choi, D.-Y. et al. Imaging-based molecular barcoding with pixelated dielectric metasurfaces. *Science* 360, 1105–1109 (2018).
- Sain, B., Meier, C. & Zentgraf, T. Nonlinear optics in all-dielectric nanoantennas and metasurfaces: a review. *Adv. Photonics* 1, 1 (2019).
- 24. Koshelev, K. et al. Subwavelength dielectric resonators for nonlinear nanophotonics. *Science* **367**, 288–292 (2020).
- Nordlander, P., Oubre, C., Prodan, E., Li, K. & Stockman, M. I. Plasmon hybridization in nanoparticle dimers. *Nano Lett.* 4, 899–903 (2004).
- Auguié, B. & Barnes, W. L. Collective resonances in gold nanoparticle arrays. *Phys. Rev. Lett.* 101, 143902 (2008).
- Chu, Y., Schonbrun, E., Yang, T. & Crozier, K. B. Experimental observation of narrow surface plasmon resonances in gold nanoparticle arrays. *Appl. Phys. Lett.* 93, 181108 (2008).
- Kravets, V., Schedin, F. & Grigorenko, A. Extremely narrow plasmon resonances based on diffraction coupling of localized plasmons in arrays of metallic nanoparticles. *Phys. Rev. Lett.* **101**, 087403 (2008).
- Vecchi, G., Giannini, V. & Rivas, J. G. Surface modes in plasmonic crystals induced by diffractive coupling of nanoantennas. *Phys. Rev. B* 80, 201401 (2009).
- Kravets, V. G., Kabashin, A. V., Barnes, W. L. & Grigorenko, A. N. Plasmonic surface lattice resonances: a review of properties and applications. *Chem. Rev.* 118, 5912–5951 (2018).
- Khlopin, D. et al. Lattice modes and plasmonic linewidth engineering in gold and aluminum nanoparticle arrays. J. Opt. Soc. Am. B 34, 691 (2017).
- Zou, S., Janel, N. & Schatz, G. C. Silver nanoparticle array structures that produce remarkable narrow plasmon line shapes. *J. Chem. Phys.* 120, 10871–10875 (2004).
- Zakomirnyi, V. I. et al. Refractory titanium nitride two-dimensional structures with extremely narrow surface lattice resonances at telecommunication wavelengths. *Appl. Phys. Lett.* **111**, 123107 (2017).
- Deng, S. et al. Ultranarrow plasmon resonances from annealed nanoparticle lattices. Proc. Natl. Acad. Sci. USA 117, 23380 (2020).
- Li, S. Q. et al. Ultra-sharp plasmonic resonances from monopole optical nanoantenna phased arrays. *Appl. Phys. Lett.* **104**, 231101 (2014).
- Rodriguez, S. R. K., Schaafsma, M. C., Berrier, A. & Gomez Rivas, J. Collective resonances in plasmonic crystals: size matters. *Phys. B Condens. Matter* 407, 4081–4085 (2012).
- 37. Le-Van, Q. et al. Enhanced quality factors of surface lattice resonances in plasmonic arrays of nanoparticles. *Adv. Opt. Mater.* **7**, 1801451 (2019).
- Auguié, B., Bendana, X. M., Barnes, W. L. & de Abajo, F. J. G. Diffractive arrays of gold nanoparticles near an interface: critical role of the substrate. *Phys. Rev. B* 82, 155447 (2010).
- Reshef, O. et al. Multiresonant high-Q plasmonic metasurfaces. Nano Lett. 19, 6429–6434 (2019).
- Thackray, B. D. et al. Super-narrow, extremely high quality collective plasmon resonances at telecom wavelengths and their application in a hybrid grapheneplasmonic modulator. *Nano Lett.* 15, 3519–3523 (2015).
- Markel, V. A. Divergence of dipole sums and the nature of non-Lorentzian exponentially narrow resonances in one-dimensional periodic arrays of nanospheres. J. Phys. B 38, L115–L121 (2005).
- Teperik, T. & Degiron, A. Design strategies to tailor the narrow plasmonphotonic resonances in arrays of metallic nanoparticles. *Phys. Rev. B* 86, 245425 (2012).
- Offermans, P. et al. Universal scaling of the figure of merit of plasmonic sensors. ACS Nano 5, 5151–5157 (2011).
- 44. Huttunen, M. J., Dolgaleva, K., Törmä, P. & Boyd, R. W. Ultra-strong polarization dependence of surface lattice resonances with out-of-plane plasmon oscillations. *Opt. Express* 24, 28279–28289 (2016).
- Jensen, T., Kelly, L., Lazarides, A. & Schatz, G. C. Electrodynamics of noble metal nanoparticles and nanoparticle clusters. *J. Cluster Sci.* 10, 295–317 (1999).
- Huang, C.-p, Yin, X.-g, Huang, H. & Zhu, Y.-y Study of plasmon resonance in a gold nanorod with an LC circuit model. Opt. Express 17, 6407–6413 (2009).
- McKinnon, W. R. et al. Extracting coupling and loss coefficients from a ring resonator. Opt. Express 17, 17010 (2009).
- Zundel, L. & Manjavacas, A. Finite-size effects on periodic arrays of nanostructures. J. Phys. Photonics 1, 015004 (2019).
- Huttunen, M. J., Rasekh, P., Boyd, R. W. & Dolgaleva, K. Using surface lattice resonances to engineer nonlinear optical processes in metal nanoparticle arrays. *Phys. Rev. A* 97, 053817 (2018).
- Huttunen, M. J., Reshef, O., Stolt, T., Dolgaleva, K. & Boyd, R. W. Efficient nonlinear metasurfaces by using multiresonant high-Q plasmonic arrays. *J. Opt. Soc. Am. B* 36, 118 (2019).

ARTICLE

- Husu, H., Mäkitalo, J., Laukkanen, J., Kuittinen, M. & Kauranen, M. Particle plasmon resonances in l-shaped gold nanoparticles. *Opt. Express* 18, 16601–16606 (2010).
- Corrigan, T. D. et al. Optical plasmonic resonances in split-ring resonator structures: an improved LC model. *Opt. Express* 16, 19850–19864 (2008).
- Barnes, W. L. Particle plasmons: why shape matters. Am. J. Phys. 84, 593–601 (2016).
- Alaee, R., Rockstuhl, C. & Fernandez-Corbaton, I. An electromagnetic multipole expansion beyond the long-wavelength approximation. *Opt. Commun.* 407, 17–21 (2018).
- Baur, S., Sanders, S. & Manjavacas, A. Hybridization of lattice resonances. ACS Nano 12, 1618–1629 (2018).
- 56. Palik, E. D. Handbook of Optical Constants of Solid (Academic, 1985).
- Johnson, P. & Christy, R. Optical constants of the noble metals. *Phys. Rev. B* 6, 4370–4379 (1972).
- Oldenburg, S. J., D., A. R., Westcott, S. L. & Halas, N. J. Discrete-dipole approximation for scattering calculations. J. Opt. Soc. Am. A 11, 1491–1499 (1994).
- 59. Jackson, J. D. Classical Electrodynamics 3rd edn (Wiley, 1999).
- Schulz, S. A. et al. Quantifying the impact of proximity error correction on plasmonic metasurfaces [Invited]. Opt. Mater. Express 5, 2798–2803 (2015).
- 61. Rodriguez, S. R. et al. Coupling bright and dark plasmonic lattice resonances. *Phys. Rev. X* **1**, 021019 (2011).
- Kravets, V. G., Schedin, F., Kabashin, A. V. & Grigorenko, A. N. Sensitivity of collective plasmon modes of gold nanoresonators to local environment. *Opt. Lett.* 35, 956–958 (2010).
- Yang, A. et al. Real-time tunable lasing from plasmonic nanocavity arrays. Nat. Commun. 6, 6939 (2015).
- 64. Yang, Y., Kravchenko, I. I., Briggs, D. P. & Valentine, J. All-dielectric metasurface analogue of electromagnetically induced transparency. *Nat. Commun.* **5**, 5753 (2014).
- 65. Singh, R. et al. Ultrasensitive terahertz sensing with high-Q Fano resonances in metasurfaces. *Appl. Phys. Lett.* **105**, 171101 (2011).
- Wu, C. et al. Fano-resonant asymmetric metamaterials for ultrasensitive spectroscopy and identification of molecular monolayers. *Nat. Mater.* 11, 69–75 (2011).
- 67. Campione, S. et al. Broken symmetry dielectric resonators for high quality factor fano metasurfaces. *Nano Lett.* **3**, 2362–2367 (2016).
- 68. Ha, S. T. et al. Directional lasing in resonant semiconductor nanoantenna arrays. *Nat. Nanotechnol.* 13, 1042-1047 (2018).
- 69. Liu, Z. et al. High-Q quasibound states in the continuum for nonlinear metasurfaces. *Phys. Rev. Lett.* **123**, 253901 (2019).

Acknowledgements

Fabrication in this work was performed in part at the Centre for Research in Photonics at the University of Ottawa (CRPuO). The authors acknowledge support from the Canada Excellence Research Chairs (CERC) Program, the Canada Research Chairs (CRC) Program, and the Natural Sciences and Engineering Research Council of Canada (NSERC) Discovery funding program. M.S.B.-A. acknowledges the support of the Ontario Graduate Scholarship (OGS), the University of Ottawa Excellence Scholarship, and the University of Ottawa International Experience Scholarship. O.R. acknowledges the support of the Banting Postdoctoral Fellowship of the NSERC. Y.M. was supported by the Mitacs Globalink Research Award. M.J.H. acknowledges the support of the Academy of Finland (Grant No. 308596) and the Flagship of Photonics Research and Innovation (PREIN) funded by the Academy of Finland (Grant No. 320165).

Author contributions

M.S.B.-A., O.R., and M.J.H. conceived the basic idea for this work. O.R. and M.S.B.-A. performed the FDTD simulations. M.J.H., M.S.B.-A., and O.R. performed the lattice sum calculations. O.R. and G.C. fabricated the device. M.Z.A. and M.J.H. designed the preliminary experimental set-up. M.S.B.-A. and Y.M. carried out the measurements. O.R., M.S.B.-A., and Y.M. analyzed the experimental results. J.U., B.T.S., J.-M.M., M.J.H., R.W. B., and K.D. supervised the research and the development of the manuscript. M.S.B.-A. and O.R. wrote the first draft of the manuscript. All co-authors subsequently took part in the revision process and approved the final copy of the manuscript. Portions of this work were presented at the 2020 SPIE Photonics West Conference in San Francisco, CA.

Competing interests

The authors declare no competing interests.

Additional information

Supplementary information The online version contains supplementary material available at https://doi.org/10.1038/s41467-021-21196-2.

Correspondence and requests for materials should be addressed to O.R.

Peer review information *Nature Communications* thanks the anonymous reviewers for their contribution to the peer review of this work.

Reprints and permission information is available at http://www.nature.com/reprints

Publisher's note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit http://creativecommons.org/ licenses/by/4.0/.

© The Author(s) 2021