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Authors

Jin, Chenhao Ma, Eric Yue Karni, Ouri <u>et al.</u>

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Ultrafast dynamics in van der Waals heterostructures

- 3 Chenhao Jin¹, Eric Yue Ma², Ouri Karni², Emma C. Regan^{1,3,4}, Feng Wang^{1,4,5*}, Tony F. Heinz^{2,6*}
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- 6 ¹ Department of Physics, University of California at Berkeley, Berkeley, California 94720, USA.
- ² Department of Applied Physics, Stanford University, Stanford, California 94305, USA.
- ³ Graduate Group in Applied Science and Technology, University of California at Berkeley, Berkeley,
 California 94720, USA
- ⁴ Material Science Division, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA.
- ⁵ Kavli Energy NanoSciences Institute at University of California Berkeley and Lawrence Berkeley
- 12 National Laboratory, Berkeley, California 94720, USA.
- ⁶ SLAC National Accelerator Laboratory, 2575 Sand Hill Road, Menlo Park, California 94025, USA.
 14
- 15 * Correspondence to: tony.heinz@stanford.edu, fengwang76@berkeley.edu
- 16

18

17 Abstract

19 Van der Waals heterostructures are synthetic guantum materials composed of stacks of atomically 20 thin two-dimensional (2D) layers. Because the electrons in the atomically thin 2D layers are exposed 21 to layer-layer coupling, the properties of van der Waals heterostructures are defined not only by the 22 constituent monolayers, but also by the interactions between the layers. Many fascinating electrical, 23 optical, and magnetic properties have recently been reported in different types of van der Waals 24 heterostructures. In this review we focus on unique excited-state dynamics in transition metal 25 dichalcogenide (TMDC) heterostructures. TMDC monolayers are the most widely studied 2D 26 semiconductors, featuring prominent exciton states and accessibility to the valley degree of freedom. 27 Many TMDC heterostructures are characterized by a staggered band alignment. This band alignment 28 has profound effects on the evolution of the excited states in heterostructures, including ultrafast

29 charge transfer between the layers, the formation of interlayer excitons, and the existence of long-

- 30 lived spin and valley polarization in resident carriers. Here we review recent experimental and
- 31 theoretical efforts to elucidate electron dynamics in TMDC heterostructures, extending from time
- scales of femtoseconds to microseconds, and comment on the relevance of these effects for potential
 applications in optoelectronic and valleytronic/spintronic devices.
- 34
- 35

36 Main text

37 Advances in the isolation and manipulation of atomically-thin sheets of two-dimensional (2D) crystals, 38 starting with the investigations of graphene a decade ago, have ushered in a new era of basic 39 scientific research and technological innovation. 2D layers with diverse properties can now be 40 prepared separately and then stacked together to form new types of quantum materials, known as 41 van der Waals (vdW) heterostructures. The ability to combine materials with monolayer precision 42 enables the design and creation of functional 2D materials that do not exist in nature. Today we have 43 at our disposal a wide variety of atomically thin 2D layers, ranging from semiconducting MoS₂ and 44 insulating hexagonal boron-nitride (h-BN) to magnetic Crl_3 and superconducting NbSe₂, that can be 45 stacked one upon the other. Since the electrons in atomically thin layers are exposed, different 46 guantum states found in the individual layers can interact and couple to one another in ways that are

- 47 not possible in other systems.
- 48

49 VdW heterostructures constitute a vast family of new quantum materials, since they are defined not 50 only by the combination of constituent monolayer materials, but also by the stacking sequence and 51 relative crystallographic alignment of the layers. Further control of physical properties in 2D vdW 52 heterostructures can be achieved through the application of electrostatic gating and fields, as well as 53 substrate and strain engineering. Many fascinating physical phenomena have been reported in 54 different vdW heterostructures, as exemplified by transport measurements revealing Hofstadter 55 butterfly states, fractional Chern insulators, gate-tunable Mott insulators, unconventional superconductivity, etc¹⁻⁷. In addition to electrical transport, there has also been great progress in the 56 study of the optical properties and excited-state dynamics in vdW heterostructures. Here we review 57 58 the new dynamical phenomena that emerge in semiconducting vdW heterostructures composed of 59 stacked transition metal dichalcogenide (TMDC) layers. We focus our discussion on TMDC 60 heterostructures, since the individual TMDC layers, with their many distinctive and intriguing 61 properties, have already been well characterized and provide a strong basis for understanding the 62 emergent new properties of heterostructures.

63

64 TMDC semiconductors (MX_2 layers with 2H symmetry and M = Mo, W; X = S, Se, Te) exhibit direct 65 gaps at monolayer thickness. They feature strong light-matter interactions and dramatically enhanced 66 electron-electron interactions, with the optical properties largely defined by exciton states. The exciton binding energies in monolayer TMDCs are hundreds of meV- as much as two orders of 67 magnitude larger than in typical bulk semiconductors like silicon or GaAs^{8,9}. In addition, TMDC 68 69 monolayers provide a platform to investigate and control the valley degree of freedom — often 70 designated as valley pseudospin — associated with the energetically degenerate K and K' valleys and 71 accessible optically through the presence of valley circular dichroism. The valley pseudospin in TMDC is, moreover, coupled to the electron/hole spin due to strong spin-orbit interactions^{10,11}. 72

73

74 Understanding the dynamic interplay and evolution of the charge, spin, and valley excitations in vdW 75 heterostructures is of fundamental scientific interest. It is also of central importance for many potential 76 applications of TMDC materials in optoelectronics, spintronics, and valleytronics. The dissociation of 77 optically excited excitons into free carriers is, for example, critical for photovoltaic devices, while the 78 ability to control and stabilize valley polarization is essential for valley tronic applications. The 79 formation of vdW heterostructures in TMDC layers can profoundly affect their excited-state dynamics, 80 ranging from the dissociation of intralayer excitons and the formation of interlayer excitons to the 81 relaxation of spin and valley polarization. The use of vdW heterostructures provides a powerful 82 platform to control and optimize the dynamic response of the constituent materials. In this review, we

83 survey recent progress in probing electron dynamics, extending from femtoseconds to microseconds,

- in TMDC heterostructures. On short time scales (\lesssim 1ps), the dynamics is dominated by the charge
- transfer and energy relaxation processes in the heterostructure. On longer time scales (\gtrsim 1ps), the
- 86 recombination of interlayer excitons and relaxation of the spin and valley degrees of freedom become
- 87 relevant. The rate of these processes can vary by orders of magnitude depending on the configuration,
- temperature, and doping of the heterostructure. We will also touch upon the dynamics of lateral
- transport of spin and valley polarization in TMDC heterostructures. We describe the physical
 mechanisms that underlie the different types of dynamic response in TMDC heterostructures and
- 91 distinguish the behavior from that of the constituent layers, as well as mention briefly the implications
- 92 for potential new technologies.
- 93

94 Band alignment in TMDC heterostructures

In describing the single-particle electronic states in a vertical TMDC heterostructure, most authors in
the literature consider the states to be largely localized within the individual layers. This approximation
holds well for electronic states close to the band gap due to unusually weak interlayer coupling in
TMDCs at the relevant K and K' points in the Brillouin zone (Fig. 1a)¹². Therefore one can directly

99 examine the relative energy difference, or band alignment, of material-specific electronic band

100 extrema, just as is commonly done for heterostructures and quantum wells based on bulk

101 materials^{13,14}. An important distinction for atomically thin layers is that the concept of band bending 102 near an interface does not apply¹⁵.

102 103

Semiconductor heterostructures can have different types of band alignment depending on the energy difference of the conduction and valence band extrema in the constituent layers (Fig. 1b)^{13,14}: The lowest-lying states for electrons and holes reside in the same layer for a type-I heterostructure¹⁶, but are separated in different layers for a type-II heterostructure^{13,17}. Further offsets in energy could, in principle, lower the conduction band minimum (CBM) of one layer to a position below the valence band maximum (VBM) of the other layer, yielding a type-III heterostructure¹⁸.

110

111 The type of band alignment has profound effects on the excited-state dynamics in a vdW

112 heterostructure. In a type-I heterostructure carriers can only flow from the layer with the larger band

113 gap to the layer with the smaller band gap (assuming that they do not have excess energy greater

- than the band offsets). The same applies to energy transfer through the exchange of virtual photons.
- 115 In a type-II heterostructure, on the other hand, electrons accumulate in the layer with the lower CBM,
- while holes accumulate in the other layer^{17,19,20}. Energy transfer can, however, still occur from the
 larger-gap to the smaller-gap material²¹.
- 118

119 There have been numerous theoretical studies of the band alignment in TMDC

heterostructures^{13,14,18,22-24}. The result of one recent calculation is shown in Fig. 1c. Many of the

theoretical studies make use of DFT or related methods and cannot accurately account for the effects

of doping (Fermi level difference), dielectric screening, and excitonic interactions²⁵. They provide

123 nonetheless guidelines for realizing specific types of heterostructures and combination of band gaps.

Although theoretical studies are advancing rapidly, at present a definitive determination of $\frac{126}{27}$

- heterostructure band alignment must rely on experiment 26,27 .
- 126

127 In the following, we describe experimental findings concerning the dynamics of charge and energy

128 transfer for representative TMDC heterostructures, followed by a summary of the relevant theoretical

129 studies.

130



131	
132	Fig. 1 Band alignment in vertical vdW heterostructures of TMDCs. (a)
133	Calculated electronic states in a MoS ₂ /WS ₂ vertical heterostructure,
134	showing layer-localized states near the band edges at the K point ¹² . (b)
135	Schematic of allowed charge transfer in heterostructures with type-I (top)
136	and type-II (bottom) band alignment ¹⁶ . (c) Calculated band-edge energies
137	for various TMDCs ²² based on different theoretical treatments: DFT-PBE
138	(blue) and G_0W_0 (pink).

139 Charge transfer and energy transfer in TMDC heterostructures - Experiment

Following the predictions of type-II band alignment in TMDC heterostructures, several experimental studies were reported that aimed (among other goals) to validate these theoretical results by probing the associated charge transfer (CT) or energy transfer (ET) processes. Vertical heterostructures have been examined for various material combinations prepared with different fabrication methods, including stacking of layers exfoliated from bulk crystals and from layers grown by chemical vapor deposition (CVD), as well as heterostructures grown directly by CVD.

146

147 Ultrafast optical measurements using pump-probe spectroscopy provide the possibility of accessing charge and energy transfer processes with femtosecond (fs) time resolution. In initial experiments, 148 149 Hong et al. examined the dynamics of the MoS₂/WS₂ heterostructure. Following excitation by an ultrafast laser pulse resonant with the lower-energy MoS₂ A exciton, they observed a transient change 150 151 in reflectivity near the higher-energy WS₂ exciton (Fig. 2a)¹⁹. Based on theoretical predictions of type-II band alignment of the two materials, this transient response was identified as arising from CT of a 152 153 hole from the MoS₂ monolayer to the WS₂ monolayer. By deconvolving the instrument response from the rise-time of the signal, the authors were able to provide an upper limit of 50 fs for the charge 154 transfer time. Ceballos et al. observed similar dynamics in a heterostructure of MoS₂/MoSe₂, without 155 spectrally resolving the reflection of the probe²⁸. They concluded, based on the theoretically predicted 156 157 band alignment, that electrons were transferred from MoSe₂ to MoS₂. In addition, when exciting both

158 layers (using excitation resonant with the higher-energy exciton feature in MoS₂) and comparing the

transient reflectivity signal of the monolayers to that of the heterostructure, the authors identified the presence of hole transfer in the opposite direction, *i.e.*, from MoS_2 to $MoSe_2$ (Fig. 2b).

161

162 Following these first experimental investigations, several groups examined the nature of the ultrafast 163 CT for different types of heterostructures under different conditions. Heo et al. compared CVD-grown and manually stacked WS₂/MoS₂ heterostructures with a focus on the dependence on the relative 164 (twist) angle of the two constituent lattices²⁹. Measuring the transient transmission of the probe signal, 165 they did not resolve differences in the rise time of the signal associated with CT, but did observe a 166 167 pronounced difference in the decay times of the signal. Wang et al. examined several WSe₂/WS₂ heterostructures composed of CVD-grown layers mechanically stacked with different twist angles^{20,29}. 168 169 Using pump-probe measurements, together with steady-state techniques such as reflection contrast 170 spectroscopy, they showed the same ultrafast signature from the onset of CT, either from electrons 171 moving from WSe₂ to WS₂ or from holes traveling in the opposite direction. They concluded that interlayer CT takes place within 450 fs, close to the duration of the pump pulses in their experiment, 172 and does not exhibit measurable sensitivity to the twist angle. Further reinforcing this conclusion, Zhu 173 et al. explored deterministically aligned heterostructures of mechanically exfoliated MoS₂ and WSe₂; 174 175 they that the CT signal appears within 40 fs regardless of twist angle (Fig. 2c)³⁰. On the other hand, 176 the time scale of the decay was varied with the twist angle, but without any clear trend. Ji et al. 177 reported a similar CT rise time for stacks of CVD-grown MoS₂ and WS₂ (Ref ³¹). Such rapid interlayer CT irrespective of crystal orientation (and thus crystal momentum) is somewhat unintuitive. Chen et 178 179 al. probed intraband transitions in a heterostructure using infrared light and suggested a potential explanation based on the rapid formation of "hot" interlayer excitons³². Additional theoretical 180 investigations are summarized in the next section. 181 182



Fi	g. 2 Experimental studies of ultrafast charge transfer in vertical
Т	MDC heterostructures. (a) Schematic and energy-resolved transient
ab	osorption spectra of a MoS ₂ /WS ₂ heterostructure, excited by an optical
ρι	Ilse near the lower-energy MoS ₂ A exciton feature, indicating hole
tra	ansfer ¹⁹ . (b) Schematic and time-resolved differential reflection of a
M	$oS_2/MoSe_2$ heterostructure (blue) and of monolayer MoS_2 (purple),
ex	cited by an optical pulse above the band gaps of both materials,
in	dicating both electron and hole transfer ²⁸ . (c) Charge transfer (red
sh	hading) and recombination (blue) times in heterostructures of MoS ₂ and
W	Se ₂ with different twist angles, as indicated ³⁰ . (d) Differential reflection
at	the energy of the MoS ₂ A exciton following excitation at the energy of
th	e MoSe ₂ A exciton in a MoSe ₂ /WS ₂ /MoS ₂ trilayer heterostructure ³³ . (e)
Pl	$_{-}$ quenching ³⁴ in MoS ₂ /WSe ₂ and (f) broadening of the features
ab	osorption features ³⁵ in a MoS ₂ /WS ₂ heterostructure compared to the
re	sponse of the separated monolayers.

Further demonstrating its robustness, the signature of \sim ps electron transfer was observed in a trilayer heterostructure where monolayers of MoSe₂ and MoS₂ were separated by a monolayer WS₂ (Fig. 2d, Ref ³³). The authors suggested that such rapid CT across multiple materials is coherent, rather than sequential. CT dynamics was also revealed in investigations of the coupling between pairs of TMDC layers. CT in
 a MoS₂/MoTe₂ heterostructure was identified using pump-probe experiments³⁶, leading to the
 suggestion of MoTe₂ as a good electrical contact for other semiconducting TMDCs and metals. Later,
 cascaded transfer of electrons and holes across several TMDCs heterostructures was invoked to
 explain correlated blinking observed in the photoluminescence (PL) in those stacks³⁷.

210

211 In addition to these time-resolved pump-probe measurements, CT between two TMDC layers in a heterostructure has been inferred from quenching of the photoluminescence of the constituent 212 monolayers^{19,20,28-33,38-40} and by broadening of the resonant features in the monolayers in optical 213 absorption measurements^{20,35}, both phenomena arising as a consequence of the presence of 214 215 additional relaxation channels in the heterostructures. A reduction in the PL intensity by factor of a few tens to a few hundreds has commonly been observed (Fig. 2e)^{19,34}. This suggests a corresponding 216 ratio for the charge transfer time compared to the population lifetime in the isolated material. 217 218 Assuming the latter to be a few hundred picoseconds for excitons in monolayer TMDCs⁴¹ at room 219 temperature, we estimate a CT time of ~ 1 ps, somewhat longer than measured by pump-probe 220 techniques. This discrepancy can be explained as the result of a small fraction of the heterostructure 221 exhibiting poor contact between the layers, thus yielding reduced PL guenching compared to that of 222 the ideal structure. In optical absorption measurements, linewidth broadening of heterostructures 223 compared with that of the separate monolayers has been reported and used to estimate non-radiative decay rates comparable to those deduced from pump-probe experiments (Fig. 2f)³⁵. Extrinsic factors, 224 225 such as strain introduced in fabricating the heterostructure, can potentially also play a role. The 226 extremely rapid (few femtosecond) relaxation times inferred for high-lying states may, however, 227 remain difficult to probe directly in the time domain, but is easily observable by lineshape analysis. 228

229 Another dynamic process that may compete with CT is energy transfer (ET). In this latter process, an 230 exciton created in one layer recombines, and the released energy creates an exciton in the other layer. Kozawa et al. reported evidence for such a process in a MoSe₂/WS₂ heterostructure (Ref ²¹) on the 231 232 basis of a measured enhancement of PL from the MoSe₂ feature under excitation resonant with the WS_2 optical band gap. Recently, ET was also identified in heterostructures of MoS₂ and WS₂ 233 separated by insulating layers of h-BN⁴². In these structures, PL quenching from the heterostructure 234 235 was reduced, or even become PL enhancement, upon increasing the thickness of the h-BN spacer. 236 This was interpreted as an ET process between B excitons in MoS₂ and A excitons in WS₂. The 237 dependence of the enhancement on spacer thickness, with an optimum of PL enhancement for ~5 238 layers of h-BN and subsequent reduction of this effect with increasing layer thickness, is compatible 239 with the predicted trend for a dipole-dipole interaction. This highlights the major difference between 240 ET and CT processes: While the latter requires intimate coupling of the two constituent monolayers, 241 the former, originating in dipole-dipole interactions, can act at greater distances and across insulating 242 spacers. Although this difference between the processes is clear, there is currently little direct 243 experimental information on the absolute rates of energy transfer for TMDC layers and in what 244 regimes and under what conditions energy transfer competes with charge transfer.

245 Charge transfer and energy transfer in TMDC heterostructures – Interpretation and theory

Since most experiments have excited and probed excitonic resonances of the constituent layers, it has often been assumed that CT occurs between their direct band-edge (K/K' valley) states. Band structure calculations have shown that the K/K' states are localized around the central layer of metal atoms and have weak interlayer interactions. On the other hand, for states of different momentum, 250 such as in the Γ or Q valleys, the interlayer coupling may be significantly stronger. One consequence 251 of this difference is the transition from indirect to direct band gap upon thinning TMDCs to the monolayer limit: the bulk CBM in the Q valley, more affected by interlayer coupling, lies above the K 252 valley in monolayers^{43,44}. For the same reason, the K-valley states are not expected to show such 253 254 rapid interlayer charge transfer. This contradiction with experiment is further heightened by the 255 apparent independence of CT on twist angle and lattice mismatch, the factors that dictate the 256 momentum difference between the initial and final states, as well as its insensitivity to temperature. 257 Here we briefly survey some of the approaches presented in the literature to identify the mechanism 258 responsible for the very efficient CT processes observed experimentally in vertical TMDC 259 heterostructures.

260

261 Zhu et al., following considerations relevant for CT processes in molecular systems, have pointed out the possible role of localization in bridging the momentum mismatch⁴⁵. The electrostatic attraction 262 between the optically excited electron and hole, which leads to the formation of tightly bound excitons, 263 gives rise to a distribution of momenta for the charge carriers across a significant range wavevectors 264 265 in the Brillouin zone (BZ). This situation could explain the apparent lack of momentum conservation in the observed CT process. However, it is unclear with this effect alone could supply the large 266 momentum required for CT in heterostructures with large twist angles³⁰. In addition, for excitation 267 268 substantially above the band gap, it is unclear whether exciton formation occurs prior to charge transfer²⁸. 269

270

271 Several groups have also employed numerical calculations using molecular dynamics (MD) and time-272 dependent density-functional theory (TD-DFT) to elucidate the mechanism for interlayer charge 273 transfer. Since accurately accounting for the effect of excitons is computationally demanding, these 274 calculations have focused on the transfer of free charge carriers from one monolayer to its neighbor. 275 Wang et al. described a process of transferring holes directly between the K valleys of the two layers mediated by the electric dipole interaction of the initial and final states, which enhances the coupling 276 between the states to above a critical level for collective charge transfer⁴⁶. In this description, both 277 twist angle and temperature have a significant influence on the transfer rate⁴⁶. Zhang *et al.* pointed 278 279 out the significance of such dipole coupling between specific states in the vicinity of the K valley and highlighted the expected twist-angle dependence of the CT rate⁴⁷. Additionally, the authors argue that 280 281 the omission of excitonic effects for above gap excitation may not be significant if the time scales for 282 CT and exciton formation are comparable. (This argument is, however, problematic for explaining CT 283 from excitons created directly by resonant excitation.)

284

A slightly different picture is presented by Long et al.48 and by Li et al.49 for CT processes in 285 286 MoSe₂/MoS₂ and MoS₂/WS₂ systems, respectively. They propose that holes and/or electrons undergo transfer from one layer to the other due to mixing between the electronic states near the K point of 287 both layers^{48,49}: the states into which the charge carriers are optically injected are coherently mixed 288 289 (and therefore delocalized) across the two layers, so that CT need only to be driven by an intralayer 290 relaxation process to the K-valley, mediated by phonon emission. In this model, the coupling relies on 291 specific layer orientations to facilitate the state mixing in the heterostructure. The discrepancy 292 between this requirement and the apparent twist-angle independence of CT in experiments is explained in terms of changes in the relative local atomic positions in the two layers across the moiré 293 pattern of the heterostructure^{50,51}. Regardless of the twist angle of the layers, such lateral 294 295 inhomogeneity provides regions where the coupling between the layers is strong³¹. In all of the above scenarios, phonons are necessary for the completion of the CT process, but not for its initiation. 296

298 Recent work has also explored a more direct role for phonons in the initiation of CT. Wang et al. 299 considered momentum-conserving charge transfer between the layers in various regions of the BZ. including at the K, Q and Γ points for different twist angles and degrees of lattice mismatch⁵². as 300 301 shown in Fig. 3a-d. The proposed mechanism involves scattering by phonons from the K valley to the 302 Γ valley (for holes) or to the Q valley (for electrons), regions where the interlayer coupling is strong 303 and interlayer charge transfer is rapid. After charge transfer, scattering with another phonon would 304 bring the charge carriers back to the K-valley. For intralayer scattering within 20 fs, the entire charge 305 transfer process would occur in less than 100 fs, as observed experimentally. A similar scheme was 306 developed by Zheng et al. using a numerical MD TD-DFT calculation, although without accounting for inhomogeneities from the moiré pattern⁵³. These theories better match the observed twist-angle 307 308 independence, as the coupling around the Γ and Q points is not sensitive to the layer orientation. The 309 expected temperature dependence of this mechanism is also weak, as it only requires emission of 310 phonons to dissipate the excess energy available from the transfer. This general mechanism has been adopted in the interpretation of recent experiments related to CT processes^{30,33,39}. 311 312



314	
315	Fig. 3 Theoretical concepts explaining the robust and ultrafast
316	nature of CT in TMDC heterostructures ⁵² . (a) Schematic representation
317	of phonon mediated electron transfer. (b) The same for hole transfer. (c)
318	Top view of the twisted BZ of the two layers, where electron transfer
319	between the K-points is mediated by phonon coupling to the Q-point. (d)
320	The same for hole transfer, mediated in this case by the coupling to the
321	Γ-point.
322	
323	

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324 Spin and valley dynamics in TMDC heterostructures

The previous sections addressed carrier dynamics in TMDC heterostructures on the ultrafast time scale (\lesssim 1ps) relevant for interlayer charge transfer in systems with type-II band alignment. In the following section, we focus on spin and valley relaxation dynamics in TMDC heterostructures, which take place on considerably longer time scales.

329

330 Within a TMDC monolayer, there are two distinct relaxation processes to consider. First, the population decay of optically excited excitons has a characteristic time scale of few picoseconds to 331 nanoseconds, depending on choice of material, sample preparation, temperature, etc.⁵⁴⁻⁵⁸. Second, 332 the exciton spin-valley lifetime, which determines how long information can be stored in the spin-333 valley degree of freedom, has been found to be a few picoseconds in isolated monolayer TMDCs⁵⁹⁻⁶³. 334 335 Both the population and the spin-valley lifetimes in type-II heterostructures, where the electrons and 336 holes reside in different layers after the rapid initial charge transfer process, can differ markedly from the corresponding lifetimes in isolated monolayers. 337

338

Below we review recent experimental results on dynamics on the pico-to-micro-second time scale in

340 heterostructures and show that both the population lifetime and the spin-valley lifetime can be

341 significantly longer than for the monolayer case. We then summarize recent efforts towards

342 understanding the physical mechanisms of the corresponding intervalley scattering processes. Finally,

- 343 we discuss the spatio-temporal dynamics of spin and valley polarization in TMDC heterostructures.
- 344

345 Long spin and valley lifetime in TMDC heterostructures

346 Traditional electronic devices are based on the manipulation of electron charges in the real space. 347 Using other electron degrees of freedom as the information carrier, such as spin and valley, can 348 potentially overcome fundamental limits of speed and power consumption, giving rise to intriguing new 349 concepts in spintronics and valleytronics. A long spin/valley lifetime is necessary to ensure that the 350 spin/valley information will be maintained in the idle state and can persist long enough to be 351 processed. We note that the valley lifetime discussed here should not be confused with the valley 352 depolarization time: the former can originate from different mechanisms, including the population 353 decay of the valley information carriers, while the latter only describes the intervalley scattering 354 process.

355

TMDCs offer a promising platform for spintronic and valleytronic applications, owing to several 356 attractive properties of these materials. The valley-dependent optical selection rule allows for 357 358 convenient creation, manipulation, and detection of excitons in specific valleys with circularly polarized light⁶⁴⁻⁶⁶. Furthermore, the spin-valley locking effect suggests that a very long spin-valley lifetime is 359 possible because intervalley scattering of electrons or holes requires both a large momentum change 360 from K to K' and a simultaneous spin flip^{10,11}. However, several groups have measured exciton spin-361 valley dynamics using time-resolved Kerr rotation (TRKR)⁵⁹⁻⁶³, and the spin-valley lifetime was found 362 to be rather short, ranging from one to few picoseconds, even at low temperatures. This counter-363 intuitive observation was later explained as a consequence of the exchange interaction between 364 excitons in the two valleys⁶⁷⁻⁷⁰: a bright exciton always has total momentum and spin of zero and 365 366 therefore does not require any change in momentum or spin to scatter to the other valley as an intact 367 exciton. This reduced spin-valley lifetime of excitons in TMDC monolayers significantly limits their use in carrying spin-valley information. 368

370 A general strategy for improving the spin-valley lifetime in TMDCs is to eliminate the exciton exchange 371 interaction by converting excitons into other excitations that serve as alternative carriers of valley 372 information. An additional figure of merit, the conversion efficiency, must be introduced to quantify the 373 final valley imbalance created from each optically excited exciton. To avoid loss of valley information 374 during conversion, the time scale of the conversion process (*i.e.*, the exciton population lifetime) must 375 be comparable to or shorter than the picosecond spin-valley lifetime of excitons. In monolayer TMDCs, several candidates have been considered as the alternative information carriers, including trions⁷¹⁻⁷³, 376 dark excitons^{74,75}, biexcitons^{76,77}, and resident carriers⁷⁸⁻⁸¹. For example, Fig. 4a,b show the valley 377 dynamics of trions and resident electrons probed by TRKR measurement. Unlike bright excitons, 378 379 these excitations have non-zero total momentum and/or total spin, and therefore will not suffer from 380 rapid spin-valley relaxation through the exchange interaction. Their spin-valley lifetimes at low 381 temperatures range from tens of picoseconds to a microseconds, but the conversion efficiency from 382 the initially generated exciton has rarely been characterized. However, since the exciton population 383 lifetime is comparable to or longer than the exciton spin-valley lifetime in these cases, the valley 384 conversion efficiency is likely to be considerably less than unity.

On the other hand, the interlayer charge transfer process in type-II heterostructures provides an
 attractive mechanism to break intralayer excitons on the femtosecond time scale. As discussed above,
 the ultrafast charge transfer process occurs very rapidly, typically within ~50 fs, in TMDC
 heterostructures^{19,82}. Because this time scale is much faster than exciton spin-valley relaxation, the
 loss of spin-valley information during the conversion process is expected to be minor.

392 In nearly aligned heterobilayers, electrons and holes can form bright interlayer excitons after the charge transfer process^{28,40,83}. Rivera *et al.* observed 40% positive circular helicity from interlayer 393 394 exciton emission in WSe₂/MoSe₂ bilayer and measured a spin-valley lifetime of a few nanoseconds in a time-resolved photoluminescence (TR-PL) study⁸⁴, as shown in Fig. 4c. The significantly longer 395 396 spin-valley lifetime of interlayer excitons can be understood by noting that the electron and hole 397 wavefunctions have much smaller overlap in interlayer excitons compared to intralayer excitons; they 398 will therefore have a weaker exchange interaction and exhibit slower recombination processes (both 399 radiative and non-radiative).

400

385

369

401 Recently, there has been increasing research interest in the nature of the interlayer exciton state and 402 the origin of the circular helicity of emission in nearly aligned heterostructures. Hsu et al. reported negative circular helicity of interlayer exciton emission⁸⁵ in WSe₂/MoSe₂ bilayer, while Ciarrocchi et al. 403 and Hanbicki et al. observed two separate interlayer exciton emission peaks with opposite signs of 404 helicitv^{86,87}. Meanwhile, various configurations of interlayer excitons have been proposed as the 405 emitting state, including spin singlet zero-momentum excitons^{84,88}, spin-triplet zero-momentum 406 excitons⁸⁹, and finite-momentum excitons^{90,91}. The rich set of observations originates in part from the 407 408 complex conduction band structure in the WSe₂/MoSe₂ bilayer, where electron states of spin up and 409 spin down, and at K and Q valleys, are all close in energy. Furthermore, the real-space distribution of 410 interlayer excitons when a moiré pattern is present can further modify the optical selection rules ^{92,93}. 411 The exact mechanisms behind these interesting observations are yet to be fully understood.

412

413 An alternative approach involves using single-particle states, such as holes in WSe₂ to carry valley 414 information in the heterostructure. Because the momentum match between electrons and holes

415 (required for efficient exciton emission) is not relevant in this approach, a large-twist-angle bilayer is

preferred to separate electrons and holes in momentum space and further reduce their exchange 416 417 interaction and recombination rate. Kim et al. measured the spin-valley lifetime of holes in WSe₂/MoS₂ heterostructures using circularly polarized pump-probe spectroscopy⁹⁴. Figure 4d shows 418 the measured decay dynamics of the total hole population and valley-polarized hole population in the 419 420 WSe₂ layer at a temperature of 10 K. Both the population lifetime and the spin-valley lifetime of holes 421 are around one microsecond, indicating that the decay of the spin-valley imbalance occurs primarily 422 through population loss. On the other hand, the valley polarization remains almost a constant for a 423 few microseconds, from which one can extract a valley depolarization time (or intervalley scattering 424 time) exceeding 40 µs. The other critical figure of merit, the conversion efficiency, was also determined experimentally to be close to unity for valley-polarized holes⁹⁴. The nearly ideal 425 426 conversion efficiency is consistent with an interlayer charge transfer process that is far faster than 427 intervalley scattering processes.

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429 The spin-valley lifetime of resident holes in the heterostructure can be further improved by tuning the 430 carrier concentration. Figure 4e summarizes the doping-dependent spin-valley lifetime of holes in a WSe₂/WS₂ heterostructure (red circles), as compared to the population lifetime of holes (blue 431 432 triangles)⁹⁵. In charge neutral and electron-doped heterostructures, the spin-valley lifetime is similar to 433 the population lifetime; however, for hole-doping, the spin-valley lifetime becomes orders of 434 magnitude longer than the population lifetime. This doping dependence is a consequence of the 435 interlayer electron-hole recombination process, as shown in Fig. 4f,g. For electron-doped or charge 436 neutral heterostructures (Fig. 4f), all of the holes in WSe₂ are pump-generated excess holes. 437 Therefore, when hole population decays to zero due to interlayer electron-hole recombination, no 438 holes -- and certainly no valley-polarized holes -- remain in the WSe₂. The valley lifetime is then 439 limited by the lifetime of the total hole excess. On the other hand, if the original hole density is much 440 greater than the photo-generated density, excess electrons in WS₂ will recombine with holes from 441 both valleys of WSe₂ with nearly equal probability (Fig. 4g). Consequently, a pure spin-valley 442 imbalance (*i.e.*, equal excess and deficiency of holes in the K and K' valley) is generated, the lifetime 443 of which can be much longer than the population lifetime and has been found to exceed 20 μ s. Furthermore, the decrease of spin-valley imbalance is negligible during the population decay, and the 444 overall efficiency of this two-step conversion process can approach 100%⁹⁵. 445 446

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469 Potential mechanism behind intervalley scattering

As discussed in the previous sections, the spin-valley lifetime can be limited either by the population
lifetime or by the intervalley scattering process. The first limitation can be removed for valley-polarized
holes in hole-doped heterostructures, making them promising candidates for spin-valley information
carriers. Furthermore, directly probing hole dynamics provides relatively clean information about the
decay mechanism owing to the simplicity of the valence band maximum. This configuration thus
provides a valuable platform for understanding intervalley scattering processes in TMDC materials.
Figure 5a shows the decay dynamics of valley polarization at different temperature for holes in

478 WSe₂/MoS₂ heterostructures, with the valley depolarization lifetime summarized in Fig. 5b (Ref. ⁹⁴).

The depolarization lifetime changes from 10 ns at 77 K to above 40 μ s at 10 K, which roughly follows a thermally activated rate: $\tau \sim exp\left(\frac{\Delta}{k_BT}\right)$, with k_B denoting the Boltzmann constant and $\Delta \sim 20$ meV.

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The inter-valley scattering of holes in WSe₂ requires a large momentum change and a simultaneous spin flip. However, due to the strong spin-orbit coupling in WSe₂, the picture of electrons and holes with perfectly defined up and down spin states in the K and K' valleys, respectively, is not strictly valid for states away from the K and K' points. Therefore, inter-valley scattering of carriers near, but not exactly at K and K' points is allowed. This process, often designated as the Elliott-Yafet mechanism, has a characteristic temperature dependence of

$$\tau_{EY}^{-1} \sim T^2 \ \tau_p^{-1},$$

where τ_p is the momentum scattering lifetime for a spin-conserving process. The T^2 -dependence 499 originates from the fact that at higher temperature, thermally excited carriers are further away from 500 501 band minima (K or K') and will therefore scatter more efficiently. However, the predicted T^2 dependence does not describe the strong observed variation with temperature, which presumably 502 reflects from the temperature dependence of τ_p^{-1} . Indeed, a thermally activated temperature 503 dependence is expected for phonon-assisted intervalley scattering at low temperatures, and the 504 experimental activation energy of ~20 meV agrees with WSe₂ phonon energy (at the K-point) required 505 for intervalley scattering⁹⁶. Phonon-assisted intervalley scattering, accompanied by spin flip through 506 507 the Elliott-Yafet mechanism, can thus account for the observed spin-valley depolarization of holes. 508

509 The important role of K-point phonons in intervalley scattering is also supported by a recent resonant 510 Raman study⁹⁶, which reveals second-order Raman signals (peaks p1 to p4 in Fig. 5c) assigned to K-511 point phonons through a doubly resonant Raman (DRR) scattering process. This observation 512 suggests a strong interaction between charge carriers and K-point phonons, which dramatically 513 enhances their second-order Raman signals through the DRR process illustrated in Fig. 5d. 514

515 Spin-valley transport in TMDC heterostructures

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The efficient generation of pure spin-valley imbalance in hole-doped WSe₂/WS₂ heterostructures 516 517 provides a convenient way to create pure spin-valley current (Fig. 6a), which lies in the heart of spinvalley-tronic devices. Jin et al. performed space-and-time-resolved pump-probe spectroscopy to track 518 the evolution of the spin-valley imbalance and to image the flow of pure spin-valley diffusion currents 519 520 (Fig. 6b). Figure 6c shows experimental results for a hole-doped WS₂/WSe₂ heterostructure at an initial electrostatic hole doping of $p_0 = 1 \times 10^{12}$ /cm². At zero time delay, the spin-valley imbalance 521 522 matches the pump beam spatial profile (half-width of $\sim 1.5 \text{ µm}$); the signal is negligible for a pump-523 probe separations greater than 3 µm, as expected based on the convolution with probe spatial profile. At finite delay time, the spin-valley imbalance diffuses out of the excitation region, generating a pure 524 spin-valley current. This leads to a strong decrease and increase of signal, respectively, in regions 525 near and far away from the pump beam. The spin-valley current propagates to distances over 8 um 526 within 800 ns. Such direct imaging of the experimental spin-valley current flow (Fib. 6c) allows us to 527 528 determine important physical parameters by comparison with a diffusion-decay model (Fig. 6d). We 529 infer a diffusion constant of $D = 0.2 \text{ cm}^2/\text{s}$, a spin-valley lifetime of $\tau = 20 \text{ }\mu\text{s}$, and deduce a spin-valley diffusion length of $l = \sqrt{D\tau} = 20 \,\mu\text{m}$. 530 531



Fig. 6 **Spin-valley transport in a vdW heterostructure**⁹⁵. (a) Optical excitation of pure spin-valley imbalance at the left edge of a device will create a pure spin-valley diffusion current flowing to the right without any associated charge current. (b) Experimental configuration for direct imaging of the spin-valley current flow with space-and-time resolved pump-probe spectroscopy using pump and probe beams focused to lines on the sample at defined spatial separation. (c-d) Experimentally measured spatio-temporal evolution of the pure valley imbalance in the heterostructure (c) and a simulation of the results using a diffusion-decay model (d) for an initial hole doping of 10¹²/cm².

The efficient generation of spin-valley current with remarkably large current densities reflects the

nearly ideal conversion of photogenerated excitons into a spin-valley imbalance. Still higher spin-

valley currents may be achievable in TMDC heterostructures by increasing the initial hole doping
level to enable stronger optical pumping, as well as by improving the device quality to enhance the
diffusivity. The long spin-valley lifetimes and diffusion lengths of valley-polarized holes in TDMC
heterostructures hold promise for the generation, transport, and detection of spin-valley information
and open exciting opportunities for the realization of future spintronic and valleytronic devices.

553 Concluding remarks

554 Despite the rapid progress in the study of excited-states in van der Waals heterostructures 555 summarized above, many outstanding questions remain in understanding charge transfer processes 556 and the spin and valley relaxation dynamics.

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558 A complete picture of the underlying mechanism for the CT process in TMDC heterostructures 559 remains elusive. First, the mechanisms developed to date do not account for the Coulombic interactions between electrons and holes, despite the existence of strongly bound excitons both in the 560 TMDC monolayers^{8,97,98} and in the heterostructures⁴⁰. Though one can argue that the excitonic states 561 are superpositions of the guasiparticle band states and, hence, are affected similarly, a guantitative 562 563 picture in which excitonic correlations are taken into account remains a theoretical challenge. In this 564 context, it would also be interesting to learn whether the dielectric environment of the heterostructure, 565 which affects the excitonic interactions, also significantly influences the rate and efficiency of CT 566 processes. In addition, the fact that changes in the dielectric screening of Coulombic interactions in 567 TMDCs modify the guasiparticle band structure may provide a route to test the role of the Q and Γ 568 valleys in CT processes. Second, the time-domain probes of CT to date have been limited in their by 569 the instrumental response function and have not generally yielded precise CT times. In addition, 570 optical measurements, with their limited spatial resolution, average over moiré patterns formed 571 between the two layers. This may lead to a washing out of predicted trends for CT times. Overcoming 572 these limitations by improved temporal resolution and/or spatial resolution (such as through near-field 573 techniques) would provide important experimental information to inform and test further theoretical 574 models. 575

576 Similarly many outstanding questions exist regarding spin and valley dynamics in TMDC 577 heterostructures. Much more work is required to understand fully the intrinsic spin and valley 578 dynamics in TMDC heterostructures and the dependence of the dynamics on the constituent TMDC 579 materials, their relative crystallographic alignment, and their stacking order in multilayers. It remains, 580 for example, unclear what factors define the ultimate limit for the spin-valley lifetime in TMDC 581 heterostructures; also unknown is the role played by defects, edges, and grain boundaries, as well as 582 possible effects from large-period moiré superlattices formed in TMDC heterostructures with small 583 twist angles.

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