# Uncertainty Identification for a Nominal LPV Vehicle Model Based on **Experimental Data**

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Abstract-In this paper a practical method is presented for modelling uncertainty of a nominal linear parametervarying (LPV) vehicle model. The aim with the uncertainty model is to bound the nominal model-error and satisfy robust stability and performance objectives during robust control design. Existing frequency-domain model-validation methods are applied to perform the first aim. The linear fractional uncertainty structure and the distribution of nominal modelerror among the uncertainty blocks and disturbances are chosen to perform the second aim. The paper is motivated by the problem of steering a vehicle by alternately braking the front wheels in emergency situations. The identification is performed on real experiment data. The method and the results are demonstrated on a yaw-rate tracking problem and  $\mu$ -controller design on constant scheduling variable of the LPV model. Using the proposed algorithm, on the supposition that nominal model error remains below the bound estimated from the validation data set, an unfalsified model is constructed for robust control guaranteeing robust performance against worstcase uncertainty and disturbance.

## I. INTRODUCTION

Model validation for control is a central research area of system identification. The product of identification, i.e. the model, should be a reliable base of controller design. The validation or invalidation approaches depend on the control strategy. Robust control typically requires strict bounds on the model-error. Usually this error is structured as disturbances originating from outer signals and a variety of model uncertainty types due to neglected dynamics.

Both time- and frequency-domain techniques have been developed for model validation problems for robust control. Concerning time-domain approaches Ljung maintains the concept of model error modelling based on statistical tools in many papers, e.g. in [1] and references therein. Another fundamental paper is by Poolla at al. [2]. Sizable research has been devoted to algorithmize the creation of validated, more exactly unfalsified models for a specific control approach. The validation step of the identification process and the control design step get interlacing. For example, in the field of adaptive control directly the controller is falsified before it is implemented [3]. Applications of unfalsification concept in adaptive control can also be found e.g. in [4] and [5].

Of the works most relevant to this paper Smith [6], [7] and Lim [8] studied model validation problems on the frequencydomain. Their concept will be applied in constructing unfalsified models.

#### A. Problem setup

The nominal model is assumed to be linear time-invariant (LTI) or linear parameter-varying provided that the scheduling variable can be fixed constant while performing a single experiment. This is required because the measured data will be transformed into frequency-domain. The nominal model can be the result of any classical identification method, e.g. [9], or constructed by the help of physical considerations. All signals are assumed to be elements of  $\mathcal{L}_2$  and the model perturbations are stable, causal and LTI, as usual in robust control [10]. The structure of the uncertainty is a designer choice. The only limitation on it that at least a part of the disturbance should be additive and the perturbation is structured in linear-fractional transformation (LFT) form. Once the structure is selected, the unfalsification means finding the minimum required sizes of the uncertainty blocks and disturbances along some defined trade-off between them. The unfalsified model describes all model-error in the validation data set.

In the robust control setup the control problem is defined by weighting functions. They reflect the frequency-contents of outer signals, like reference, noise, and disturbances and penalize signals that should be small. Some of these are objective: reference, noise, control energy and tracking are nature and requirements of the environment. But disturbance and model-perturbation are only modelling tools to capture the nominal-error. Once an unfalsified uncertainty structure is created it remains to test whether the specified, objective performance goals can be achieved. If not, the designer's freedom stands in the uncertainty structure and in the tradeoff.

## B. The application problem

In the most common vehicles, where no electronic steering system is available but the braking is controlled by onboard computers, the only way to automate or assist steering is the use of the electronic brake system, the application of individual or unilateral wheel brakes. There are many papers concerning different approaches that develop steering by the braking systems. In [11] active steering and individual wheel braking is compared in yaw and roll control point of view. A method for unilateral braking for rollover avoidance can be found in [12], [13], for avoiding unintended lane departure in e.g. [14], [15]. The model presented in this paper is designed

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for this latter application. All the details of the identification of the nominal vehicle model is described in [16]

The frequency-domain invalidation method of [6], [7] and [8] is summarized in section II. Then the concept of the unfalsification for achieving robust performance is detailed in section III. The nominal vehicle model is presented in section IV. The computation results for the vehicle and controller simulation results can be found in section V.

# II. MODEL VALIDATION METHOD - THE BASIS OF UNFALSIFICATION

The following frequency-domain model validation method borrowed from Smith [6], [7] and Lim [8] is the basis of unfalsification and therefore summarized here.

Given a nominal discrete-time, linear time-invariant system in the frequency-domain with linear fractional transformation (LFT) type uncertainty structure and disturbance signal w in the form as follows

$$\begin{bmatrix} \eta \\ \hat{y} \end{bmatrix} = \begin{bmatrix} P_{11} & P_{12} & P_{13} \\ P_{21} & P_{22} & P_{23} \end{bmatrix} \begin{vmatrix} \xi \\ w \\ u \end{vmatrix},$$
(1)

$$\xi = \Delta \eta, \quad \Delta \in \mathcal{D}$$

$$\mathcal{D} := \{ \Delta \in \mathcal{C}^{m \times n} : \Delta = diag\{ \Delta_i, i = 1, \dots, \tau \} \},$$
(2)

where the signals are given in the frequency-domain. Practically the control input  $u \in C^{n_u}$  and the measured output of the real system  $y_n \in C^{n_y}$  is computed via N-point discrete Fourier-transformation (DFT) on the frequencies  $z_k = e^{j\omega_k}$ ,  $\omega_k = \frac{2\pi}{T_s} \frac{k}{N}, k = 1, \ldots, \lfloor \frac{N}{2} \rfloor$ , where  $T_s$  is the sampling time. Introduce real scalar bounds  $\nu_i$  and  $\gamma_w$  so that

$$\|\Delta_i\|_{\infty} \le \nu_i, i = 1, \dots, \tau, \qquad |w| \le \gamma_w, \tag{3}$$

then the model validation problem can be stated like this: if there exist  $\Delta \in \mathcal{D}$  and  $w \in \mathcal{C}^{n_w}$  such that  $\hat{y} = y_n$  and (2) and (3) are satisfied, then the data does not falsify the model with the given bounds  $\nu := [\nu_1 \cdots \nu_\tau]^T$  and  $\gamma_w$ .

The condition  $\hat{y} = y_n$  means that the nominal model-error  $e = y_n - P_{23}u$  can be written as

$$e = M \begin{bmatrix} \xi \\ w \end{bmatrix}, \qquad M := \begin{bmatrix} P_{21} & P_{22} \end{bmatrix}$$
(4)

If  $e \in Im(M)$  does not hold, the validation fails. This can be avoided by appropriate selection of the uncertainty structure. If M is invertible, there is a trivial unique solution.

If the dimension of the space of e is less then that of  $\begin{bmatrix} \xi \\ w \end{bmatrix}$ , then we can extend the vector e by  $\theta$  so that the augmented coefficient matrix will be invertible and we get

$$\begin{bmatrix} \xi \\ w \end{bmatrix} = \begin{bmatrix} M_{\xi}^+ & N_{\xi} \\ M_w^+ & N_w \end{bmatrix} \begin{bmatrix} e \\ \theta \end{bmatrix},$$

where  $\begin{bmatrix} M_{\xi}^+\\ M_w^+ \end{bmatrix}$  is the Moore-Penrose pseudo-inverse of M. We are looking for an uncertainty vector  $\begin{bmatrix} \xi\\ w \end{bmatrix}$  so that (4) is satisfied with the constraint (2). Clearly we have  $dim(N_{\xi})$  freedom, i.e infinitely many solutions. The aim can be to find the minimum norm solution, i.e the smallest uncertainty and/or disturbance required.

Now consider the inequality constraint on w:

$$w| = \left| \left[ \begin{array}{cc} M_w^+ & N_w \end{array} \right] \left[ \begin{array}{c} e \\ \theta \end{array} \right] \right| < \gamma_w$$

The space of  $\theta$  can be divided according to the kernel space of  $N_w$ . Using singular value decomposition (SVD) (\* denotes conjugate transpose)

$$N_w = U_w S_w V_w^* = \begin{bmatrix} U_1 & U_2 \end{bmatrix} \begin{bmatrix} S_1 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} V_1^* \\ V_2^* \end{bmatrix}$$
$$\theta = V_1 \gamma + V_2 \psi$$
$$w = M_w^+ e + U_1 S_1 \gamma$$

The norm of a vector remains unchanged when multiplied by a unitary matrix. A new variable  $\phi$  is introduced:

$$\begin{bmatrix} U_1^* \\ U_2^* \end{bmatrix} w = \begin{bmatrix} U_1^* M_w^+ e + S_1 \gamma \\ U_2^* M_w^+ e \end{bmatrix} =: \begin{bmatrix} \phi \\ U_2^* M_w^+ e \end{bmatrix}$$
$$w = U_1 \phi + (I - U_1 U_1^*) M_w^+ e$$
$$\Rightarrow \phi = U_1^* w.$$

The  $\phi$  is the free variable in w. The inequality constraint can be written as follows

$$|\phi|^2 + |U_2^* M_w^+ e|^2 \le \gamma_w^2 \tag{5}$$

Now consider again equality constraint (2)

$$\begin{aligned} \xi &= \left[ \begin{array}{cc} M_{\xi}^{+} & N_{\xi} \end{array} \right] \left[ \begin{array}{c} e \\ \theta \end{array} \right] \\ &= M_{\xi}^{+} e + N_{\xi} (V_{1}\gamma + V_{2}\psi), \text{ where} \\ \gamma &= S_{1}^{-1} (\phi - U_{1}^{*} M_{w}^{+} e), \text{ from the def. of } \phi \\ \xi &= (M_{\xi}^{+} - N_{\xi} V_{1} S_{1}^{-1} U_{1}^{*} M_{w}^{+}) e + N_{\xi} V_{1} S_{1}^{-1} \phi + \\ &+ N_{\xi} V_{2} \psi \end{aligned}$$
(6)  
$$\eta &= \left[ \begin{array}{c} P_{11} & P_{12} \end{array} \right] \left[ \begin{array}{c} \xi \\ w \end{array} \right] + P_{13} u \\ &= \left[ \begin{array}{c} P_{11} & P_{12} \end{array} \right] \left( \left[ \begin{array}{c} M_{\xi}^{+} - N_{\xi} V_{1} S_{1}^{-1} U_{1}^{*} M_{w}^{+} \\ (I - U_{1} U_{1}^{*}) M_{w}^{+} \end{array} \right] e + \\ &+ \left[ \begin{array}{c} N_{\xi} V_{1} S_{1}^{-1} \\ U_{1} \end{array} \right] \phi + \left[ \begin{array}{c} N_{\xi} V_{2} \\ 0 \end{array} \right] \psi \right) + P_{13} u \end{aligned}$$
(7)

There are two free variables in  $\xi$  and  $\eta$ :  $\phi$  and  $\psi$ . Once they are found, a  $\Delta$  satisfying (2) can be constructed. A general optimization problem can be stated with the introduction of positive-semidefinite trade-off variables  $\Lambda_{\nu}$  for the uncertainty blocks and  $\Lambda_w$  for the disturbance

$$\min_{\phi,\psi,\nu} \nu^T \Lambda_{\nu} \nu + w^* \Lambda_w w$$
subject to the constraints
$$\nu_i \ge \frac{|\xi_i|}{|\eta_i|}, i=1..\tau, \text{ and } (5),$$

$$(8)$$

This optimization is performed on each frequency points  $\omega_k$ ,  $k = 1, \ldots, \lfloor \frac{N}{2} \rfloor$ . The validation method can handle also real or complex repeated scalar uncertainty. For details see [8].

In the next section a procedure is presented that applies this validation algorithm to create unfalsified models.



Fig. 1. A general robust control design structure.

# III. THE CONCEPT OF IDENTIFYING AN UNCERTAINTY MODEL FOR ROBUST CONTROL

In the following part of the paper the disturbance is assumed to be additive on the outputs, i.e.  $P_{12} = 0$  and  $P_{22} = I_{n_y}$  in (1). This is the only restriction on the uncertainty model structure. The signals belong to the  $\mathcal{L}_2$ space as usual in the robust control context [10]. The goal of identifying an uncertainty model for robust control can be comprise within the next sentence.

Select an uncertainty LFT structure and an additive disturbance bound as function of frequency so that an unfalsified model be created with minimum size of uncertainty and robust performance objectives of the control setup be satisfied.

An example for robust performance objectives can be seen on Fig. 1. The disturbance weight  $W_w$  and the uncertainty weight  $W_{\Delta}$  are the results of the unfalsification process, while the other weighting functions represent the objective requirements of the closed-loop system and are considered to be given. They are defined in section V.

In order to perform the goal, the following steps are applied.

Step 1. Preparations. Transform the nominal model into discrete-time and perform DFT for input u and output  $y_n$  of each experiments in the validation data set.

**Step 2. Minimum disturbance.** Compute the minimum disturbance for each experiments on each frequency ( $\phi = 0$  in (5)) and take a bound function  $B_1$  defined as

$$B_1(\omega) \ge |U_2^*(\omega)M_w^+(\omega)e(\omega)| \tag{9}$$

for all  $\omega$ , in all experiments as plotted on Fig. 2, where the points represent the right side of (9).

**Step 3. Maximum disturbance.** Define a real-valued bound function  $B_2$  over the Euclidean norm of the nominal model-error, i.e.  $B_2(\omega) \ge |e(\omega)|$  for all  $\omega$ , in all experiments. On Fig. 2  $B_2$  is plotted. Would  $B_2$  be defined for the components of  $e(\omega)$  and were these bounds the magnitude of the disturbance weight functions, there were no need for uncertainty.

Step 4. Disturbance bound. Design a disturbance bound function  $\gamma_w(\omega) \ge B_1(\omega)$  that guaranties the existence of the solution to optimization problem (8). With the relative distance of  $\gamma_w$  from the lower and upper bounds the tradeoff between disturbance and uncertainty can be planned. On Fig. 2 the upper bound  $B_2$  and  $\gamma_w$  can be seen. Also the DC data of the minimum disturbances are plotted with



Fig. 2. Design of the disturbance bound  $\gamma_w(\omega)$ .



Fig. 3. Design of the yaw rate disturbance bound  $B_r$  (left) and the inputmultiplicative uncertainty bound  $W_{\Delta_i}(right)$ .  $W_{nr}$  is the yaw rate sensor model (left).

stars, shifted from  $\omega = 0$  to  $\omega = 1e - 4$  in order to see them in the logarithmic scale. It's worth adverting loop shaping viewpoints in selecting the trade-off variable (see [10], chapter 5).

**Step 5. Optimization.** Select a trade-off parameter  $\Lambda_{\nu}$  for the uncertainty blocks and perform the optimization problem (8) with  $\Lambda_w = 0$ , i.e minimize the uncertainty subject to the selected disturbance constraint.

Step 6. Bounds over the results. Define bounds  $B_{w_i}(\omega)$ over the resulted disturbance points  $|w_i(\omega)| \ i = 1, \ldots, n_y$ and  $W_{\Delta_i}(\omega)$  over  $\nu_i(\omega) \ i = 1, \ldots, \tau$ . See Fig. 3 for the case of  $n_y = 2$  and  $\tau = 1$ . In the example of the figures maximum disturbance was specified for the DC frequency which corresponds to zero uncertainty. Therefore in designing  $W_{\Delta_i}(\omega)$  any small values can be placed to frequencies below  $\omega_1$ . If information is required below  $\omega_1$ , the number of DFT points N should be increased.

Step 7. Correction with sensor model If a sensor model is available, the magnitude of its weight function  $|W_n(\omega)|$  can be subtracted from  $B_w(\omega)$  since noise is also additive and was included in the unfalsification process. The real disturbance weight is defined accordingly as  $|W_w(\omega)| = |B_w(\omega)| - |W_n(\omega)|$ 

As soon as the diagonal normalization weights  $W_w$  and  $W_\Delta$  are computed, the following assumptions are applied on

the disturbance and uncertainty

$$\|\Delta\|_{\infty} \le 1, \qquad |w| \le 1.$$

Step 8. Validation by controller design. Now we have an unfalsified model and all weighting functions in the robust control setup. It remained to check whether robust performance of the closed loop system can be guaranteed against worst-case disturbances and uncertainties. If not, there is a lots of freedom in the trade-off variables  $\gamma_w(\omega)$ and  $\Lambda_{\nu}(\omega)$ , go back to steps 4 or 5.

In the next section the method is demonstrated on a linear velocity-scheduled vehicle model. It makes no difficulty about extending the proposed method for LTI systems to LPV systems of that kind. The vehicle driven on constant velocity defines an LTI model per experiments. In this paper LTI uncertainty model is identified, i.e.  $W_w$  and  $W_\Delta$  are velocity-independent, while the nominal model changes from experiment to experiment due to the velocity.

# IV. THE NOMINAL LPV MODEL OF THE VEHICLE

The experimental vehicle is an MAN truck, with disk breaks at the front axle. During the data acquisition the vehicle is driven with constant velocity v. The control input is the brake pressure difference  $\Delta p$ . The measured outputs are the yaw-rate r and steering angle  $\delta$ . The nominal model consists of the yaw dynamics of the vehicle body and the steering system. The details of the derivation and identification of the LPV model can be found in [16]. From the resulted model in [16], which is in predictor form, only the A, B, C, D state-space matrices are kept, the predictor gain is omitted for the robust control setup. So the nominal model is as follows

$$\begin{bmatrix} \dot{r} \\ \dot{\delta} \\ \ddot{\delta} \end{bmatrix} = \begin{bmatrix} \frac{-p_1l}{v} + p_2v & p_1 & 0 \\ 0 & 0 & 1 \\ \frac{p_3l}{v} + p_4v & -p_3 & p_5 \end{bmatrix} \begin{bmatrix} r \\ \delta \\ \dot{\delta} \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ p_6 \end{bmatrix} \Delta p$$

and  $y = \begin{bmatrix} r & \delta \end{bmatrix}^T$  where the parameters given in table I.

# TABLE I Parameters of the nominal model

Let  $P_v(s)$  denote the Laplace-transform of the nominal model on velocity v.

Fig. 4 shows the outputs of the real system and the model in the time-domain on a low (v=8 m/s) and a higher (v=17m/s) velocity.

The model-error is mainly due to disturbances of the lateral road-slope and the neglected roll dynamics, i.e. tireload variation.

# V. UNCERTAINTY MODELLING FOR THE LPV VEHICLE MODEL

In this section three type of uncertainty structures are compared to demonstrate the procedure. The unfalsified



Fig. 4. The nominal model in time-domain. Measurements (solid), nominal model (dashed).

uncertainty models are compared on the same objectives of the control setup, see Fig. 1.

The reference command  $y_c$  is the yaw-rate command  $r_c$ . Its weight function  $W_C$  that normalizes  $y_c = r_c$  to  $y_{c1}$  is computed by bounding the DFT of the measured yaw-rate. It is assumed that similar commands can occur during the identification experiments. See Fig. 5 for  $W_C$  and other performance weights.

The reference model  $W_R$  is a well-damped second-order LTI system.

The weight functions  $W_n = \begin{bmatrix} W_{nr} \\ W_{n\delta} \end{bmatrix}$  for the noises are computed by bounding the DFT of the outputs of an experiment with zero control input ( $\Delta p = 0$ ).

The penalty functions for the control energy  $W_u$  and tracking-error  $W_t$  are parameterized by the DC-gain in order to compare the three uncertainty model on different performance specifications.

$$W_t(s) = A_t \frac{s/10 + 1}{s/0.02 + 1}$$
$$W_u(s) = A_u \frac{(s/0.02 + 1)^2}{(s/50 + 1)^2}$$

 $H_{\infty}$  control design  $(K_{H_{\infty}})$ . In the first structure all of the model errors are considered as additive disturbance and noise. Steps 4 and 5 can be omitted, since the optimization



Fig. 5. Performance weight functions of the control setup in Fig. 1

would have trivial solution with the choice  $\gamma_w = B_2$ . The resulted disturbances w would be equal to e. Bounding the components of the nominal error vector  $e(\omega)$  the procedure can be continued from step 7 with  $W_{\Delta} = 0$ . The controller is a simple  $H_{\infty}$  controller designed by  $\gamma$ -iteration with the *hinfsyn* command in the  $\mu$ -Analysis and Synthesis Toolbox in MATLAB [17].

 $\mu$ -control design with input-multiplicative uncertainty  $(K_i)$ . Figures 2 and 3 show the design steps of this type. The Laplace-transform of the output of the uncertain model can be written as

$$y(s) = P_v(s)(1 + W_{\Delta_i}(s)\Delta_i(s))u(s) + W_w(s)w(s),$$
  

$$w = \begin{bmatrix} w_r \\ w_\delta \end{bmatrix},$$
  

$$\|\Delta_i\|_{\infty} \leq 1,$$
  

$$\|w\|_2^2 = \int_{-\infty}^{\infty} |w(t)|^2 dt \leq 1,$$

where  $P_v(s)$  is the nominal model on velocity v.

 $\mu$  control design with input-multiplicative and statespace uncertainty ( $K_{is}$ ). The state-space uncertainty is represented by a 3 by 3 full complex block  $\Delta_s$  defined with the following open-loop plant model specification

$$\begin{aligned} \dot{x} &= Ax + B\tilde{u} + \xi_s \\ \xi_s &= W_{\Delta_s} \Delta_s \eta_s \\ \eta_s &= x, \\ \|\Delta_s\|_{\infty} &\leq 1, \end{aligned}$$

where the input is loaded with the input-multiplicative uncertainty block:

$$\tilde{u} = (1 + W_{\Delta_i} \Delta_i) u$$

The closed-loop robust performance is summarized in table II. The last three columns of the table corresponds to three different performance objectives in the penalty functions. The rows corresponds to the three type of uncertainty descriptions. The table shows the achieved performance values and controller order. The  $K_{H_{\infty}}$  and  $K_{is}$  controllers achieved similar performance in each specification. The conclusion can be drawn that further improvement on the trade-off variables  $\gamma_w$  and  $\Lambda_{\nu}$  are required. The second controller  $K_i$  could not satisfy robust performance by the first specification. Either control energy should be allowed to increase or tracking performance should be abated.

 TABLE II

 COMPARISON OF THE THREE UNCERTAINTY STRUCTURES.

	$A_t$	95	75	95
	$A_u$	1e-6	1e-6	1e-7
$K_{H_{\infty}}$	$\gamma$	0.984	0.777	0.984
	order	23	23	23
$K_i$	$\mu$	1.053	0.999	0.986
	order	30	30	24
$K_{is}$	$\mu$	0.986	0.777	0.985
	order	29	29	29

For time-domain simulation the nominal models are feed back with worst-case uncertainty of size  $\|\Delta_i\|_{\infty} = 1$ . The reference command  $r_c$  is the filtered yaw-rate measurement of the experiment shown on the right of Fig. 4 and the disturbance is proportional to the nominal model-error

$$w(t) := e(t) \left[ \begin{array}{cc} 0.63 & 0 \\ 0 & 1 \end{array} \right]$$

The yaw-rate error is decreased in order to keep the DC disturbance bound. The noise is simulated, band limited and white, of power 0.01.

On Fig. 6 the three solution denoted with boldface in table II are compared. It can be seen, that the worst tracking performance was produced by the second controller with inputmultiplicative uncertainty, while  $K_{H_{\infty}}$  and  $K_{is}$  presented similar results. Note, that in case of  $K_{is}$  the worst-case inputmultiplicative and state-space uncertainty was simulated in addition to the same disturbances as at the  $K_{H_{\infty}}$  controller, where the nominal model did not have uncertainty.

#### VI. CONCLUSIONS

In the general robust control setup the proposed method ensures a reliable uncertainty model of a nominal LTI or a special kind of LPV model. Assuming appropriate (informative) validation data set an unfalsified model can be guaranteed by some simple design steps. The selection from the candidate models was performed by  $\mu$ -control design.



Fig. 6. Validation of the model in the time-domain by controller-simulation. Differential pressure (top), yaw-rate (down). (worst-case uncertainty, measured disturbances and reference).  $K_i$  dotted, reference solid,  $K_{is}$  dashed,  $K_{H_{\infty}}$  dash-dot.

The procedure was demonstrated using real experimental data on a velocity-scheduled linear vehicle model. Some practical viewpoints were presented to the selection of the design parameters.

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