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#### Under niche construction: an operational bridge between

#### ecology, evolution, and ecosystem science

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#### Abstract

All living organisms modify their biotic and abiotic environment. Niche construction theory posits that organism-mediated modifications to the environment can change 2 selection pressures and influence the evolutionary trajectories of natural populations. While 3 there is broad support for this proposition in general, there is considerable uncertainty 4 about how niche construction is related to other similar concepts in ecology and evolution. 5 Comparative studies dealing with certain aspects of niche construction are increasingly 6 common, but there is a troubling lack of experimental tests of the core concepts of niche 7 construction theory. Here, we propose an operational framework to evaluate comparative 8 and experimental evidence of the evolutionary consequences of niche construction, and 9 suggest how such research can improve our understanding of ecological and evolutionary 10 dynamics in ecosystems. We advocate for a shift toward explicit experimental tests of how 11 organism-mediated environmental change can influence the selection pressures underlying 12 evolutionary responses, as well as targeted field-based comparative research to identify the 13 mode of evolution by niche construction and assess its importance in natural populations. 14

Keywords: niche construction, eco-evolutionary dynamics, ecosystem
 engineering, alternative stable states, coevolution, diffuse coevolution, trophic
 interactions, eco-evolutionary feedbacks, ecosystems

#### 18 Introduction

The basic premise of niche construction theory is that organisms can act as potent agents 19 of natural selection by modifying biotic and abiotic environmental conditions (Lewontin, 20 1983; Odling-Smee et al., 2003, 2013). Previous research on niche construction has 21 extensively documented how living organisms, through their metabolism, activities, and 22 choices, can alter their surrounding environment and by doing so influence prevailing 23 selection pressures (Odling-Smee et al., 1996, 2003). Animals, for example, dig burrows, 24 build nests, aerate soils, construct webs, and forage for prey, while plants photosynthesize, 25 weather rocks, produce soil, and create shade (Odling-Smee et al., 2003). Such activities 26 can modify the selective environment of the organism doing the environmental modification 27 (Odling-Smee et al., 1996) or of an unrelated population (Odling-Smee et al., 2003, 2013). 28 Organism-mediated environmental modifications can also persist through time and affect 29 selection pressures experienced by future generations, a process referred to as ecological 30 inheritance (Odling-Smee et al., 2003). Ecological inheritance is a key element of niche 31 construction theory that is increasingly being integrated into evolutionary theory 32 (Bonduriansky and Day, 2009; Danchin et al., 2011; Bonduriansky, 2012). 33 When using the term niche construction (Odling-Smee et al., 2003, 2013), niche refers to 34 the sum of all natural selection pressures experienced by a population and construction 35 refers to the modification of selection pressures, either through physical modification of the 36

environment or through habitat choice (Odling-Smee et al., 2003). At the outset, niche 37 construction theory focused on how organisms can modify their own selective environments 38 (Odling-Smee et al., 1996), and so many classic examples of niche construction highlight 39 the importance of reciprocal interactions between organisms and their own selective 40 environment (Odling-Smee et al., 2003). Leaf cutter ants, for example, cultivate gardens of 41 fungus upon which they are obligately dependent (Mueller and Gerardo, 2002), and, in 42 some cases, this has culminated in a loss of genes associated with the acquisition of specific 43 nutrients (Ellers et al., 2012). Earthworms modify the structure of their soil environment 44 in a way that facilitates water uptake into their bodies, thereby partially solving a critical 45 physiological problem associated with living in terrestrial environments (Turner, 2002). 46 However, it is increasingly evident that organism-mediated environmental modifications 47 can have a wide range of direct and indirect evolutionary effects on multiple species in 48 natural communities (Odling-Smee et al., 2013; Walsh, 2013). Odling-Smee et al. (2003) 49 describe one type of indirect evolutionary effect as an environmentally mediated genotypic 50 association (EMGA), which is an association that develops between distinct genotypes in 51 the environment mediated by the effect of organisms on biotic or abiotic conditions. For 52 example, earthworms might influence the selective environment experienced by plants 53 growing in the same soils, potentially leading to covariance between the plant's fitness and 54 the worm's genes that underlie modifications to the soil environment (Odling-Smee et al., 55 2003).56

Clarifying the relationship between environment-modifying activities of organisms and 57 fitness variation has been controversial throughout the development of niche construction 58 theory (Dawkins, 2004; Laland and Sterelny, 2006). Dawkins (2004) argues that the 59 buildup of covariance between fitness and phenotype is much more likely to occur within a 60 gene pool, consistent with the idea of an extended phenotype (Dawkins, 1982), rather than 61 across gene pools (Dawkins, 2004). In the case of an extended phenotype, the phenotypic 62 trait that underlies the organism-mediated modifications of the environment must vary 63 within a population, have a genetic basis, and be the target of the altered selection regime 64 caused by the environmental modifications (Dawkins, 2004; Brodie III, 2005). For example, 65 genetically based variation among gall wasps in their ability to construct oak galls can 66 affect rates of parasitoid infection in the next generation of gall wasps, leading to a 67 covariance between gall forming traits and offspring fitness (Bailey et al., 2009). While not 68 disputing the importance of extended phenotypes, niche construction theory (Odling-Smee 69 et al., 2003) argues that the traits underlying specific environmental modifications neither 70 need to have a strong genetic basis (for example, they can be acquired characters) nor need 71 to be the same traits that develop strong associations with fitness. Hence, compared to 72 Dawkins (2004), Odling-Smee et al. (2003, 2013) consider a broader range of selective 73 agents that can potentially drive evolution, and suggest that covariance between fitness 74 and phenotype can frequently build up across species, resulting from organism-mediated 75 modifications to both biotic and abiotic environmental conditions. While empirical data 76

and theoretical work are increasingly supporting this view (Kerr et al., 1999; Odling-Smee
et al., 2003; Krakauer et al., 2009; Laland, 2010; Kylafis and Loreau, 2011), the ongoing
challenge is to determine how much of the variance in fitness of one organism can be
explained by organism-mediated environmental modifications compared to other agents of
selection.

Since its inception, niche construction theory has captured the attention of a wide range of 82 evolutionary biologists, ecologists, and philosophers (Erwin, 2008; Lehmann, 2008; 83 Krakauer et al., 2009; Post and Palkovacs, 2009; Loreau, 2010; Kylafis and Loreau, 2011; 84 Van Dyken and Wade, 2012), but has also provoked considerable debate as to its novelty 85 (Brodie III, 2005), scope (Okasha, 2005; Kylafis and Loreau, 2008), and usefulness 86 (Dawkins, 2004). Niche construction has been defined with a deliberately broad scope 87 (Laland and Sterelny, 2006), and this has offered ecologists new insights about how 88 modifications to the environment by organisms might persist over time (e.g. ecological 89 inheritance), result from byproducts and acquired characters (Odling-Smee et al., 2003), 90 and interact with other environmental sources of selection so as to influence evolutionary 91 change in natural populations (Odling-Smee et al., 2013). 92

<sup>93</sup> While generally received sympathetically, the broad scope of niche construction theory has <sup>94</sup> nonetheless led to some confusion and conflicts about how aspects of the theory are <sup>95</sup> positioned in relation to other closely related ideas in both ecology and evolution. For <sup>96</sup> example, the concept of reciprocal interactions between organisms and their selective

environments is both fundamental to niche construction theory and long-established in 97 some areas of standard evolutionary theory (Fisher, 1930; Roughgarden, 1976; Crespi, 98 2004; Frank, 2009), particularly in classic work on coevolution and diffuse coevolution 99 (Thompson, 2005; Haloin and Strauss, 2008). In ecology, there is also some uncertainty 100 about precisely what new insights niche construction theory can offer. On the one hand, 101 niche construction theory has already made important contributions to emerging syntheses 102 between ecological and evolutionary dynamics (Fussmann et al., 2007; Kokko and 103 López-Sepulcre, 2007; Post and Palkovacs, 2009; Schoener, 2011; Matthews et al., 2011b; 104 Kylafis and Loreau, 2011). In particular, niche construction research has documented a 105 broad range of organism-mediated environmental modifications that can influence selection 106 pressures (Odling-Smee et al., 2003). With the growing realization that ecological and 107 evolutionary timescales can be congruent (Hairston et al., 2005; Ellner et al., 2011), such 108 environmental modifications might turn out to be more important agents of selection and 109 drivers of evolutionary change than previously thought (Odling-Smee et al., 2013). On the 110 other hand, the precise relationship between niche construction theory and 111 eco-evolutionary dynamics is unclear, and there is confusion about how niche construction 112 is related to other ecological concepts in general, and to ecosystem engineering in particular 113 (Odling-Smee et al., 2003; Boogert et al., 2006; Pearce, 2011). Even though ecosystem 114 engineering theory clearly recognizes that the engineering effects of organisms can have 115 important evolutionary consequences (Jones et al., 1994), the strict definitions of ecosystem 116

engineering (Jones et al., 1994, 1997) and niche construction (Odling-Smee et al., 1996,
2003) refer to distinct concepts (see below).

In our view, niche construction theory has the potential to bridge many related concepts in 119 ecology, evolution, and ecosystem science. With the goal of integration in mind, 120 Odling-Smee et al. (2013) recently distinguished between two important "aspects" of the 121 process of niche construction. The first aspect is the environment-altering activities of 122 organisms, and the second is the subsequent modification of the selective environment 123 (Odling-Smee et al., 1996, 2003, 2013). Niche construction is only present if both aspects 124 occur, as not all environmental modifications will alter selection pressures. Similarly, not 125 all changes to selection pressures will cause an evolutionary response, meaning that niche 126 construction can occur without influencing evolution. In order to evaluate the importance 127 of evolution by organism-mediated environmental modification in natural populations, we 128 need to translate niche construction theory into empirical practice (Odling-Smee et al., 129 2013). To do this, we propose the following criteria to test for the presence of niche 130 construction (Criteria 1 and 2) and determine when niche construction affects evolution 131 (Criterion 3). 132

#### 133 Criterion 1

An organism (i.e. a candidate niche constructor) must significantly modify
 environmental conditions.

9

#### 136 Criterion 2

The organism-mediated environmental modifications must influence selection
 pressures on a recipient of niche construction.

#### 139 Criterion 3

There must be a detectable evolutionary response in a recipient of niche construction that is caused by the environmental modification of the niche constructor.

Here, we refer to the environment in relation to both biotic and abiotic characteristics, and 142 the selective environment as the environmental context in which natural selection occurs. 143 The first two criteria define the term niche construction (Odling-Smee et al., 2013). The 144 organism changing the environmental conditions is only classified as a niche constructor if 145 criterion 2 is satisfied. The third criterion is a test of evolution by niche construction, or in 146 other words, evolution via selection that is mediated by organismal modification of the 147 environment. We consider an evolutionary response as a genetic change in a population 148 that alters the relationship between the phenotype distribution (including mean, variance, 149 and other moments of the distribution) and fitness variation. We distinguish between a 150 niche constructor and a recipient of niche construction, but explicitly recognize that both 151 can refer to the same organism. For example, in the case of an extended phenotype the 152 niche constructor and recipient of niche construction would be organisms within the same 153 gene pool, whereas in the case of an environmentally mediated genotypic association the 154

<sup>155</sup> niche constructor and recipient could be different species.

Using these three criteria we can evaluate which sets of ecological and evolutionary 156 interactions describe evolution by niche construction, and which do not. We summarize 157 this approach graphically in Figure 1 where we consider a wide range of scenarios in which 158 organisms are connected with their biotic and abiotic environment via pathways of 159 evolutionary (dashed arrows) and non-evolutionary (solid arrows) effects. Evolutionary 160 effects are those cases where organisms cause an evolutionary response (e.g. Criterion 3), 161 while non-evolutionary effects include the effects organisms have on the abundance, 162 distribution, and behavior of interacting biota (e.g. collectively referred to as ecological 163 effects), as well as effects on the physical (e.g. engineering effects) and chemical state of 164 their environment (Criterion 1, Figure 2A). For a particular scenario in Figure 1 to satisfy 165 evolution by niche construction (i.e. the minimum condition for satisfying Criterion 3), the 166 pathway of effects must start (from the left) with a niche constructor, it must include at 167 least two sequential effects (i.e. connections in sequence along the pathway of effects), and 168 there must be an evolutionary effect beyond the first effect. This last condition follows 169 from our second criterion, which requires selection pressures to be mediated through some 170 form of environmental modification by an organism, including changes to either abiotic or 171 biotic conditions (Figure 2). Evolution by niche construction does not occur for scenarios 172 where the evolutionary response of an organism is caused solely by the direct selection 173 effects of another organism or by an environmental condition that is unmodified by another 174

organism. Such scenarios are examples of evolution, but not of evolution by niche 175 construction (Figure 1). Following our scheme, there are many simple modules of ecological 176 interactions that do not meet all three criteria (Figure 1: modules within the ecology box 177 but outside the evolution box). This highlights that there is considerable scope for 178 ecologists to use niche construction theory to help integrate evolution and ecosystem 179 ecology. To facilitate this, we clarify how niche construction (Criteria 1 and 2) and 180 evolution by niche construction (Criterion 3) are related to several key concepts, such as: 181 ecosystem engineering, (diffuse) co-evolution, and eco-evolutionary dynamics and feedbacks. 182 Ecosystem engineering - The distinction between ecosystem engineering and niche 183 construction is currently unclear in the literature (Boogert et al., 2006; Post and Palkovacs, 184 2009; Pearce, 2011; Odling-Smee et al., 2013). Ecosystem engineers are organisms that 185 modify their physical surroundings (e.g. light environment, physical habitat structure) so 186 as to modulate the availability of resources or energy fluxes in an ecosystem (Jones et al., 187 1994, 1997). By comparison, niche constructors are organisms that alter selection pressures 188 of a recipient organism by modifying any aspect of the abiotic and biotic environment 189 (Figure 2). Evidence of ecosystem engineering would only satisfy our first criterion, and 190 would not provide evidence of niche construction. Nevertheless, ecosystem engineers are 191 excellent candidates for being niche constructors because their effects on the physical 192 environment can propagate to influence chemical fluxes and species interactions, and cause 193 ecosystem effects that are large, multidimensional, and persistent (Wright and Jones, 2006; 194

Hastings et al., 2007; Jones, 2012). Ecosystem engineering is hence a putative mechanism
of niche construction, and further work should focus on the how engineers might alter
selection pressures on themselves or on other species (Criterion 2).

Coevolution and diffuse coevolution - Based on our criteria and schematic (Figure 1), all 198 examples of pairwise coevolution and diffuse coevolution are examples of evolution by niche 199 construction. Pairwise coevolution is the situation where two interacting organisms are 200 both niche constructors and recipients of niche construction (Figure 2B) and they both 201 drive reciprocal evolutionary responses on one another. Diffuse coevolution is the case 202 where a niche constructor drives an evolutionary response of a recipient that is a different 203 species, and where this response is mediated through the niche constructors's ecological or 204 evolutionary effect on another species that interacts with the recipient (Haloin and Strauss, 205 2008). Hence, diffuse co-evolution is equivalent to evolution by niche construction where 206 the selective environment is modified by species interactions in the community. In sum, 207 compared to all forms of coevolution, evolution by niche construction considers a broader 208 range of potential agents of selection and effect pathways that underlie evolutionary 209 responses (Figure 1). 210

*Eco-evolutionary dynamics* - The emerging field of eco-evolutionary dynamics has a very
broad focus that includes both the ecological and evolutionary responses of populations to
interactions between organisms and their environment (Fussmann et al., 2007; Urban et al.,
2008; Post and Palkovacs, 2009; Matthews et al., 2011b; Schoener, 2011). Eco-evolutionary

dynamics grew out the recognition that population dynamics and phenotypic evolution can
occur on similar timescales, leading to an important contemporary interplay between
evolutionary and ecological dynamics in natural populations (Thompson, 1998; Hairston
et al., 2005; Schoener, 2011).

Evolution by niche construction is closely related to eco-evolutionary dynamics but the two 219 concepts have slightly different emphases and are distinguishable in our schematic (Figure 220 1). Although the distinction is often likely to be subtle, it is useful to identify the 221 minimum conditions that constitute each process in order to perform more targeted 222 experimental tests of the specific mechanisms. Eco-evolutionary dynamic scenarios must 223 include at least two organisms and at least one evolutionary and one ecological effect (i.e. a 224 non-evolutionary effect terminating with a biotic recipient). Neither of these two conditions 225 are necessary for evolution by niche construction. 226

Following our scheme, there are simple cases of evolution by niche construction that do not 227 constitute eco-evolutionary dynamics, and vice versa (Figure 1). Unlike eco-evolutionary 228 dynamics, evolution by niche construction includes scenarios made up of entirely 229 evolutionary effects (Figure 1), including linked chains of evolutionary effects (e.g. 230 evolutionary cascades) and reciprocal evolutionary effects (e.g. coevolution). In addition, 231 evolution by niche construction includes simple scenarios where an evolutionary effect 232 follows from an organism's effect on abiotic environmental conditions. In relation to Figure 233 1, for example, worms (square) can modify (solid arrow) the soil environment (circle) and 234

affect the evolution (dashed arrow) of plants (square). Such chains of interactions where abiotic modifications influence selection pressures are an important emphasis of niche construction theory (Odling-Smee et al., 2013) but in their simplest form can fall outside the domain of eco-evolutionary dynamics (Figure 1).

Eco-evolutionary dynamics scenarios can also occur without evolution by niche 230 construction. In relation to Figure 1, for example, a predator (square) may cause an 240 evolutionary response (dashed arrow) in the life history of a prey population (circle) that 241 subsequently changes prey consumption rates (solid arrow) on a resource (circle). This is 242 illustrated by recent work showing that alewives, a common planktivorous fish in 243 freshwater lakes of eastern North America, drive evolution in *Daphnia* in a way that alters 244 their grazing rates on phytoplankton (Walsh et al., 2012). This particular example does 245 not meet our second criterion for niche construction, because the selection pressure of 246 alewives on *Daphnia* is not mediated by an environmental modification caused by alewives. 247 In more complicated scenarios, evolution by niche construction and eco-evolutionary 248 dynamics will likely overlap, particularly when there are multiple interacting species and 249 complex networks of ecological and evolutionary effects. This may also be true for the 250 alewife system (as discussed below), where there is additional evidence for eco-evolutionary 251 feedbacks and niche construction (Palkovacs and Post, 2008; Post and Palkovacs, 2009). 252 *Eco-evolutionary feedbacks* - Eco-evolutionary feedbacks are a specific type of 253 eco-evolutionary dynamics that describe a reciprocal interaction between an ecological and 254

evolutionary process (Post and Palkovacs, 2009). To provide evidence of evolution by niche
construction an eco-evolutionary feedback must include an evolutionary response to
organism-mediated changes in the environment (Figure 1). Eco-evolutionary feedbacks do
not always satisfy the criteria for niche construction (Criterion 2) or for evolution by niche
construction (Criterion 3). In some situations, the sequence of the linked effects can be
important for identifying evolution by niche construction.

Eco-evolutionary feedbacks that begin with an ecological effect and subsequently cause an 261 evolutionary effect are clearly classified as evolution by niche construction. For example, 262 migratory and landlocked populations of the alewives can have contrasting effects on the 263 composition and size structure of their prey communities (Palkovacs and Post, 2008), and 264 this is thought to generate divergent selection and contribute to the phenotypic divergence 265 among allopatric populations of alewives (Post and Palkovacs, 2009). In this case, 266 evolution by niche construction has occurred if the ecological effects of alewives (i.e. 267 changes in prev species composition, or life history of a specific prev) drive phenotypic 268 evolution of the alewives themselves, or indirectly cause an evolutionary response of some 269 other organism in the system. Recently, Walsh et al. (2012) reviewed several studies that 270 piece together the network of ecological and evolutionary interactions between alewives, 271 zooplankton, and phytoplankton. Together these studies provide growing evidence for 272 eco-evolutionary feedbacks and niche construction in natural populations. 273

274 Eco-evolutionary feedbacks that begin with an evolutionary effect may or may not be

classified as evolution by niche construction. Consider an eco-evolutionary feedback in 275 which a predator is both causing the evolutionary effect on a prev species and is the 276 recipient of the ecological effect from the altered evolution of the prey. If the ecological 277 effect that feeds back on the predator subsequently modifies the predator's evolutionary 278 effect on the prey, then this would constitute evolution by niche construction (Criterion 3). 270 For example, in relation to Figure 1, evolution by niche construction would occur if the 280 predator (circle) directly alters the genotype distribution (dashed arrow) of prey (circle), 281 this has a feedback on the population dynamics of the predator (solid arrow), and this 282 subsequently changes the predator's effect on the genotype distribution (dashed arrow) of 283 prey. This is analogous to situations where predator-mediated selection pressures are 284 dependent on densities of predators and prey (i.e. density- and frequency-dependent 285 selection). Evolution by niche construction would not occur if the evolutionary responses of 286 the prey were independent of (or insensitive to) variation in predator density, because the 287 ecological effects of prey evolution on predator population dynamics would have no further 288 influence on prev evolution. In such a scenario, an eco-evolutionary feedback could occur in 289 the absence of evolution by niche construction. Again, we acknowledge this is subtle 290 distinction between eco-evolutionary feedbacks and evolution by niche construction, but 291 such considerations might help to decipher the mechanisms underlying coupled ecological 292 and evolutionary dynamics. 293

<sup>294</sup> So far, we have used our criteria to clarify how key elements of niche construction theory

<sup>295</sup> are positioned relative to other closely related concepts in ecology and evolution. In the <sup>296</sup> following sections, we (i) use our criteria to evaluate evidence of niche construction from a <sup>297</sup> wide range of studies and to identify new research directions, (ii) present new comparative <sup>298</sup> and experimental approaches for testing several elements of niche construction theory, and <sup>299</sup> (iii) describe a well-established model system in ecology that is useful for studying <sup>300</sup> evolution by niche construction in natural ecosystems.

#### <sup>301</sup> Bridging disciplinary gaps with niche construction

#### 302 research

We surveyed a wide selection of literature that was relevant to understanding the multiple 303 facets of niche construction theory and used our criteria to identify potential future 304 avenues of research (Table 1). Although our review is not exhaustive, it illustrates the 305 following three issues; (i) some of the potential mechanisms of niche construction (Figure 306 2) are well studied while others are not (Table 1: GAP I), (ii) several research areas in 307 ecology and evolution could be expanded to test for new examples of evolution by niche 308 construction by measuring evolutionary responses of organism-mediated environmental 300 modifications (Table 1: GAP II), (iii) many studies that explicitly discuss niche 310 construction are based on comparative evidence and would benefit from additional 311 experimental support (Table 1: see Criteria column). 312

313 (i) Broaden the study of potential niche construction mechanisms: Table 1, 314 GAP I

There are numerous mechanisms by which organisms can modify their environment, and 315 parsing these out (Figure 2A) can provide clues about the potential fitness effects on 316 recipient organisms (Figure 2B). To begin, it is useful to partition the environment into 317 components that are either modifiable or un-modifiable by a particular organism over a 318 relevant timescale necessary to assess a change in selection pressures or to track an 319 evolutionary response in a recipient organism. We then split each environmental partition 320 into the four categories shown in Figure 2A, which we discuss below, as a way to 321 summarize the wide range of potential mechanisms of niche construction that we have 322 identified from previous studies (Table 1). 323

Abiotic effects: Physical - The ubiquity of ecosystem engineers across a range of natural
systems testifies to the capacity for organisms to strongly modify their physical
environment (Figure 2A). Interestingly, such effects can also have strong cascading effects
on other biotic and abiotic factors (Jones et al., 1994; Hastings et al., 2007), but very little
is known about how ecosystem engineers mediate selection pressures and drive evolutionary
responses in natural populations.

Abiotic effects : Chemical - Organisms with strong nutrient homeostasis (Sterner and Elser,
 2002) can affect their chemical environment through the acquisition and regeneration of

resources (Figure 2A). The evolution of consumer elemental ratios (e.g. C:N:P) is often 332 closely related to growth, such that variation in the growth rate among organisms can have 333 major impacts on biologically mediated flows of chemicals in the environment (Sterner and 334 Elser, 2002). Feedbacks between consumer growth rate and modifications to the chemical 335 environment have been addressed by theory (Mizuno and Kawata, 2009), but little is known 336 about how variation in organismal C:N:P ratios might affect selection pressures in nature. 337 *Biotic effects : Consumer resource interactions* - Host-parasite and predator-prey 338 interactions are both archetypal consumer-resource interactions (Figure 2A) and provide 339 some of the best empirical examples of how organisms can modify their biotic environment 340 (Lafferty et al., 2008; Holt and Lawton, 1994). Predators, for example, can have strong 341 effects on community structure (Chase et al., 2009) and ecosystem functions (Schmitz, 342 2010) and can drive eco-evolutionary feedbacks (Post and Palkovacs, 2009; Becks et al., 343 2012). The prevalence of trait-mediated indirect effects (Werner and Peacor, 2003; Walsh, 344 2013) suggests a rich set of ways that consumers can alter selection pressures through 345 modification of biotic interactions. 346

Biotic effects : Non trophic direct interactions - Non-trophic direct interactions between species (Olff et al., 2009) can also drive changes to the biotic environment leading to altered selective environments (Figure 2A). This category of potential mechanisms of niche construction reflects the non-consumptive activities of organisms that might lead to evolutionary changes, such as interference competition, cooperation, induced defence, and

<sup>352</sup> behavioral modification. As one example, the relationship between a plant's fitness and its
<sup>353</sup> tolerance to herbivory by deer (i.e. a selection gradient) is influenced by whether insect
<sup>354</sup> herbivores are active in the system (Stinchcombe and Rausher, 2002).

Partitioning the mechanisms of organism-mediated environmental effects (e.g. Figure 2) 355 provides a structure for isolating the interactions underlying organismal effects on selective 356 environments (Criterion 2) and for detecting subsequent evolutionary responses (Criterion 357 3). In general, very little is known about how organism-mediated modifications to the 358 chemical and physical state of the environment can affect selection pressures (GAP I in 359 Table 1). Among the more evolutionarily oriented studies in our literature review, the 360 greater focus on the biotic effects (MacColl, 2011) over the abiotic effects (Jones et al., 361 1994) of organisms is symptomatic of the limited cross-fertilization of ideas between 362 evolutionary biology and ecosystem ecology (Matthews et al., 2011b). For example, there is 363 considerable experimental work aimed at deciphering which species interactions underlie 364 the divergent selection regimes that drive ecological speciation (Schluter, 2000; Nosil, 365 2012), but there is much less research about how recent adaptive divergence between 366 closely related species can affect abiotic environmental conditions (Harmon et al., 2009), 367 and no experimental tests about whether such effects can influence selection pressures so as 368 to either promote or constrain further evolutionary divergence (Losos, 2010; Yoder et al., 369 2010). 370

<sup>371</sup> It is important to identify the modifiable components of the environment that might

underly selection pressures (Criterion 2) and drive evolutionary responses (Criterion 3), 372 because multiple interacting agents of selection can lead to complex relationships between 373 fitness and phenotype (Wade and Kalisz, 1990; MacColl, 2011). Organisms, for example, 374 might modify the environment in ways that either counteract or amplify other drivers of 375 environmental change (Odling-Smee et al., 2003, 2013), meaning that the various 376 mechanisms of niche construction (Figure 2B) may vary in their likelihood of driving 377 evolutionary responses in a particular environmental setting. Currently, we know little 378 about how selective agents interact across a range of environmental conditions (Wade and 379 Kalisz, 1990; MacColl, 2011), and this poses a major challenge for predicting the course of 380 adaptive evolution in natural populations (Barrett and Hoekstra, 2011). As part of an 381 intensive research effort integrated across disciplines, ecologists can use niche construction 382 theory to better understand the ecological causes of a broad range of evolutionary 383 dynamics. 384

(ii) Measure evolutionary responses to organism-mediated environmental
 effects: Table 1, GAP II

<sup>387</sup> Our literature review revealed that many of the more ecologically oriented studies rarely <sup>388</sup> investigate organism-mediated environmental effects together with evolutionary responses <sup>389</sup> (GAP II in Table 1). Recent research on the reciprocal interactions between ecological and

evolutionary dynamics is increasingly filling this gap (Hairston et al., 2005; Schoener, 2011; 390 Becks et al., 2012), but more studies are needed that examine how chemical modifications 391 of the environment by organisms affect the evolution of consumer resource demand 392 (Mizuno and Kawata, 2009; Matthews et al., 2011b), and how physical modification of the 393 environment by ecosystem engineers can modify selection gradients of the engineers 394 themselves or of other organisms (Wright et al., 2012). An interesting example of this gap, 395 and one we will return to later, is that while there is considerable research on 396 organism-mediated transitions between alternative stable states in ecosystems (Scheffer 397 et al., 2001), there is little research quantifying to what extent such states generate 398 contrasting selection pressures and lead to quantifiable differences in evolutionary 399 responses. 400

#### 401 (iii) Experimentally test more putative mechanisms of niche construction

In our literature review, studies that explicitly discuss niche construction more often rely on comparative (Beerling, 2005; Erwin and Tweedt, 2011) than experimental (Donohue et al., 2005; Goddard, 2008) evidence to support their arguments (Table 1). For example, the habitat modifying activities of bioturbating species, such as earthworms and bivalves, are consistent with adaptive explanations (Turner, 2002; Odling-Smee et al., 2003), and the adaptive radiations following the evolution of bioturbators strongly suggest a macroevolutionary response driven by modifications to soils and sediments (Turner, 2002;

Meysman et al., 2006; Erwin and Tweedt, 2011). However, there is little experimental 409 evidence showing how bioturbation activities can affect selection pressures (Criterion 2) in 410 a way that would affect evolutionary responses (Criterion 3). There are, however, 411 experimental studies that measure changes in selection pressures caused by 412 organism-mediated modifications to the environment, illustrative of the type of research 413 needed to address the second criterion (Wright et al., 2012). In a study on ecosystem 414 engineers, Wright et al. (2012) showed that invasive seaweeds (*Caulerpa taxifolia*) modify 415 the physical and chemical characteristics of coastal marine sediments, and, in so doing, 416 alter selection gradients on native bivalves (Anadara trapezia). Specifically, the 417 relationships between several morphological traits (e.g. shell length, gill weight, and palp 418 weight) and relative performance (i.e. change in biomass over time) of Anadara trapezia 419 (the recipient of niche construction) differed in the presence and absence of Caulerpa 420 taxifolia (the niche constructor). While this study showed habitat-specific variation in 421 selection gradients, it did not document contrasting evolutionary responses and so does not 422 meet our third criterion. Nevertheless, similar experimental approaches could be expanded 423 upon to test for evolutionary responses of organisms to a broad range of environmental 424 modifications. In the following section, we expand on earlier ideas (Odling-Smee et al., 425 2003) in order to develop new approaches to comparatively and experimentally test key 426 elements of niche construction theory. 427

#### <sup>428</sup> Designing comparative tests of niche construction

#### 429 theory

Many of the archetypical examples used to explain niche construction theory are largely
based on comparative evidence (Odling-Smee et al., 2003). Here, we summarize some
comparative approaches to identify niche construction and test for evolution by niche
construction.

<sup>434</sup> Do organism-mediated environmental modifications affect selective
 <sup>435</sup> environments?

The environmental effects of organisms are often determined by their biomass and 436 dominance in an ecosystem (Vanni et al., 1997), by their functional role (Jones et al., 437 1997), and by their phenotype (Schmitz, 2010). A comparative study that builds on such 438 ecological work, could gain support for the first criterion by finding contrasting 439 relationships between the un-modifiable and modifiable components of the environment in 440 the presence and absence of a putative niche constructor (Figure 3A). Further support 441 could come from relationships between the abundance of a niche constructor and 442 unexplained variation in the modifiable component of the environment (Figure 3B). 443 To test the second criteria, one could use well-established approaches to test how putative 444 selective agents (i.e. environmental modifications) shape the phenotypic distribution of a 445

population. Evidence of selection can be quantified by measuring selection differentials, 446 which are the mean trait differences between the entire population and the subset of 447 individuals that parent the next generation (Endler, 1986), and by quantifying selection 448 gradients, which are the slopes of the relationships between relative fitness and a 449 quantitative trait that is expressed in units of standard deviation (Hoekstra et al., 2001). 450 In a comparative study, one can either test for crossing reaction norms of the fitness of a 451 recipient organism in habitats with and without a niche constructor (Figure 3C), or test 452 whether variation in the environmental effects of a putative niche constructor covaries 453 positively or negatively with selection gradients of a recipient population (Figure 3D). 454 It is important to note that identifying such associations requires extensive data sets in 455 terms of the number of sampling sites or habitats. In addition, these studies would need to 456 rule out several alternative explanations for associations. These include non-random 457 habitat selection by the niche constructor based specifically on the environmental 458 conditions that it could otherwise modify, and habitat-specific variation in either the 459 carrying capacity of the niche constructor within its potential niche space or in the 460 selective environment favoring certain phenotypes. These alternate explanations can be 461 difficult to eliminate without experimental manipulations. 462

#### <sup>463</sup> Does the modified environment by an organism cause an evolutionary

#### 464 response in a recipient?

To test for evolution by niche construction (Criterion 3), one must determine whether an 465 organism-mediated environmental modification acts as an agent of selection and causes an 466 evolutionary response in a recipient species. One potential comparative approach would be 467 to quantify how the rate of evolutionary change of a recipient differs in environments that 468 are either modified or unmodified by a niche constructor. Rates of evolution can be 460 quantified in Haldane units, which measure the change in a mean trait value per generation 470 relative to its standard deviation (Hendry and Kinnison, 1999). However, such an approach 471 would also include any phenotypic changes caused by plasticity, and would not satisfy our 472 third criterion that requires a genetic component of evolutionary change. This could be 473 addressed by performing common garden experiments with organisms from the recipient 474 population that have been exposed to the modified and unmodified environments. 475 In a recent review, Hansen et al. (2012) propose clear criteria for quantifying adaptive 476 genetic responses to specific environmental changes, and these can be adopted to test 477 criterion 3. In summary, the approach is to (i) demonstrate that suitable genetic variation 478 exists that could respond to a specific environmental modification, (ii) test for a genetic 479 change over time consistent with selection, and (iii) confirm that the environmental 480 modification caused the observed genetic change within the defined population (Hansen 481

et al., 2012). Indeed, it is not easy to unambiguously show that the environmental modifying activities of organisms affect their own evolutionary trajectory, or that of another recipient population. The most direct way is to test for relationships between allele frequencies or genotypic trait values of a recipient species and the extent of environmental modification caused by the niche constructor (Figure 4C panels i and ii). We are not aware of any studies that have attempted this in the framework of niche construction theory.

#### **Designing experimental tests of niche construction**

It is not a trivial task to determine whether or not organism-mediated environmental 489 modifications can alter selection pressures and subsequently drive an evolutionary response, 490 and it is likely best addressed by experimental tests (MacColl, 2011; Barrett and Hoekstra, 491 2011). In general, it is much easier to measure the strength and form of natural selection 492 (Hoekstra et al., 2001; Siepielski et al., 2009) than to determine the underlying causes (i.e. 493 agents) (Wade and Kalisz, 1990; MacColl, 2011) and eventual outcomes (Barrett and 494 Hoekstra, 2011). It is even difficult to identify the agents of selection in nature for 495 well-described polymorphic traits with a known genetic basis (MacColl, 2011). The 496 challenge partly stems from interactions among multiple selective agents that can lead to 497 complex fitness landscapes where selection differentials are a function of multiple axes of 498 modifiable or un-modifiable environmental conditions (Wade and Kalisz, 1990; MacColl, 499

2011). The most convincing experimental studies are those that manipulate putative 500 agents of selection and measure the consequences for the strength of selection (MacColl, 501 2011; Barrett and Hoekstra, 2011). Even more persuasive, and decidedly rare, are 502 experiments that simultaneously manipulate both the agent of selection and the target of 503 selection (Lankau and Strauss, 2007). As a first approach, one could carry out targeted 504 experiments in which the biomass or dynamics of a potential niche constructor is 505 manipulated and evolutionary responses are monitored in a recipient population 506 (Odling-Smee et al., 2003). To illustrate this idea, we propose the following series of 507 questions as a guide for future experimental tests of evolution by niche construction. 508

## <sup>509</sup> Question 1: What is the effect-size distribution of organism-mediated <sup>510</sup> environmental effects?

It is useful to quantify the distribution of organisms' environmental effects (Criterion 1) because niche construction is more likely to occur if such effects are not too weak, too diffuse, or too transient to cause a detectable change in selection. Common gardening experiments (Matthews et al., 2011*b*) that are conducted in outdoor experimental ecosystems that are either self-contained (Harmon et al., 2009; Matthews et al., 2011*a*) or located in situ (Palkovacs and Post, 2009) are particularly useful for quantifying the distribution of organisms' environmental effects. Such experiments are designed to

investigate how an organism modifies its environment, either relative to the absence of the 518 organism or relative to how another organism modifies the same environment. By 519 analyzing time-series of multiple environmental metrics in replicate ecosystems (that start 520 with identical conditions), one can disentangle the environmental effects of a putative niche 521 constructor from external forcing by temperature, rainfall, or incident radiation (Matthews 522 et al., 2011a). This is possible for experiments in which the organism causing the 523 environmental modification is either present or absent, and in designs where the biomass of 524 the organism is kept constant but its phenotype or genotype varies among treatments 525 (Harmon et al., 2009; Matthews et al., 2011a). A more elaborate experimental design 526 would be to manipulate the niche-constructing activities of an organism, while still keeping 527 the organisms in the system. This might be possible by routinely removing structures 528 created by the organism, or by homogenizing some aspect of the environment that the 529 organism modifies and that is thought to affect selection pressures. Such experiments 530 would require a detailed knowledge about both the traits underlying the environmental 531 modification, and about how variation in fitness of the recipient organism is aligned with 532 the modifiable environmental conditions. 533

<sup>534</sup> Quantifying how organisms differentially affect their environment (e.g. Figure 4A) might
<sup>535</sup> help predict how they shape selection pressures and drive evolutionary responses
<sup>536</sup> (Odling-Smee et al., 2003, 2013). One possibility is that organisms may differentially
<sup>537</sup> modify multiple axes of environmental variation so as to increase the dimensionality of

selection regimes and strengthen divergent selection (Nosil et al., 2009). Another
possibility is that organisms narrow the range of environmental conditions experienced by
the organism and impose stabilizing selection, which could happen by habitat choice
(Donohue et al., 2005) or by physical manipulation of the environment that buffers the
evolutionary response of populations to external environmental drivers (Turner, 2002;
Laland and Brown, 2006).

Question 2: How persistent through time are organism-mediated environmental effects?

The environmental effects of organisms range from trivial modifications that dissipate 546 quickly, to long lasting habitat modifications that persist beyond the lifetime of the 547 organism (Odling-Smee et al., 2003; Hastings et al., 2007; Jones, 2012). Persistence time 548 can be measured in a simple common gardening experiment by extending the design 540 proposed in Figure 4A to include a phase in which the niche constructor is removed (Figure 550 4B). Upon removal of the niche constructor, persistence time is the interval over which one 551 can statistically differentiate the modified and unmodified ecosystems (Figure 4B). This 552 metric is analogous to quantifying the rate of ecosystem recovery to a pulsed stressor (i.e. a 553 putative niche constructor), which is often measured in experimental tests of ecosystem 554 resilience (Cottingham and Carpenter, 1994). Persistence is closely related to the concept 555

of ecological inheritance (Odling-Smee et al., 2003), which posits that organisms not only transmit genes to subsequent generations, but also leave a legacy of environmental modification that can affect selective pressures beyond their own lifetime. Ecosystem engineers, for example, can affect environments over a very broad range of spatial and temporal scales (Hastings et al., 2007), allowing ample opportunity for evolutionary effects to occur. We are unaware of any experimental tests of how the ecosystem engineering activities of organisms can alter selection pressures and drive evolutionary responses.

# <sup>563</sup> Question 3: Do modifiable components of the environment affect selection <sup>564</sup> pressures and evolutionary responses?

Both selection experiments and experimental evolution trials are useful to test how 565 organism-mediated environmental modifications might influence the environmental sources 566 of selection and drive evolutionary responses (MacColl, 2011; Barrett and Hoekstra, 2011). 567 Selection experiments can test whether heritable phenotypic changes within a population 568 are caused by a particular environmental modification, and are well suited for testing 569 criterion 2. Experimental evolution trials performed over one or more generations can test 570 for evolutionary responses to selection, and are well suited for testing criterion 3. Designing 571 robust experiments to test criteria 2 and 3 is not trivial, because it requires that the 572 ecosystem modification caused by the niche constructor is the reason for a particular 573

<sup>574</sup> evolutionary response.

One robust experimental approach for testing criterion 2 and 3, is to do a common 575 gardening experiment, with treatments that manipulate either the abundance or modifying 576 activities of a niche constructor, followed by either a selection experiment (Criterion 2) or 577 an experimental evolution trial (Criterion 3) within the same set of experimental 578 ecosystems. The common gardening experiment would reveal the effect size distribution of 579 organism-mediated environmental modifications (Figure 4A). The selection phase of the 580 experiment would specifically test for niche construction, and reveal wether selection 581 pressures on a recipient organism differed among treatments in the common gardening 582 phase (Figure 4C). Alternatively (or additionally), an experimental evolution trial could be 583 performed in the modified environment in order to assess if evolutionary responses in a 584 recipient population differed among treatments, providing evidence that niche construction 585 led to alternative evolutionary outcomes (Figure 4C). In practice, working with relatively 586 isolated and controlled ecosystems (e.g. mesocosms) affords the opportunity to monitor 587 evolutionary changes in recipient populations over time. 588

A potentially more practical approach for testing criteria 2 and 3, is to experimentally manipulate environmental factors that are known to be modifiable by a putative niche constructor (e.g. emulate the physical conditions affected by an engineer) and perform selection experiments and experimental evolution trials under these manipulated conditions. For example, there is experimental evidence that Trinidadian guppies adapted

to different predation regimes (Reznick and Endler, 1982) can alter the flux of nutrients in 594 streams and have different effects on algal growth (Palkovacs and Post, 2009; Bassar et al., 595 2010, 2012). Odling-Smee et al. (2013) hypothesized that changes in algal biomass might 596 alter the distribution of dietary algal pigments that influence the coloration of male 597 guppies, which can subsequently affect either sexual selection or predator mediated 598 selection pressures. In order to test specific effect pathways in this system, one could mimic 599 the contrasting environmental effect of locally adapted guppies by manipulating the level of 600 nutrients in the system. Such an approach is eminently more feasible than common 601 gardening experiments in which variation in the density, genotype or phenotype of the 602 putative niche constructor (e.g. guppies) is used to modify the environment directly (see 603 Figure 4A). One drawback, however, is that the experimentally modified environments may 604 lack realism and not reflect the subtleties of the modifying activities of the niche 605 constructor. Indeed, the foraging activities of organisms can shape community composition 606 and ecosystem properties in ways that might not be reproducible by direct manipulation 607 (Vanni et al., 1997; Schmitz, 2010). In some cases, ecosystem engineers can shape the 608 geometry of their physical environment in complex ways that might be impossible to 609 recreate by experimental manipulation (Jones, 2012), but in other cases the effects of 610 engineers on physical habitat structure can be mimicked in an experimental setting 61 (Crooks and Khim, 1999; Lill and Marquis, 2003). Regardless, using artificially modified 612 environments to mimic the effect of a selective agent might lead to associations between 613

fitness and phenotype that do not reflect a realistic set of environmental conditions. For
this same reason, many laboratory manipulations of selection pressures have led to
misleading conclusions about the associations between genotype, phenotype, and fitness
(Barrett and Hoekstra, 2011).

Another complication with testing the third criterion is the need to demonstrate an 618 evolutionary response in a recipient population using a natural range of phenotypic and 619 genetic variation. Ideally, one should work with the standing genetic variation that is 620 present in a population of a recipient. This stringent condition is justified by the 621 prevalence of genotype x environment interactions and genotype x genotype x environment 622 interactions (Barrett and Hoekstra, 2011). Careful consideration of the genetic background 623 of the recipient is a critical step in both selection experiments and experimental evolution 624 trials, because putative adaptive alleles in one genetic background can produce different 625 fitness effects in another genetic background and fundamentally change selection 626 coefficients. Furthermore, epistatic interactions between genes can differ among 627 populations, and the environmental conditions in which they evolve might influence the 628 relationship between phenotype and fitness in a novel environment (Barrett and Hoekstra, 629 2011). 630

Overall, testing the wide range of potential niche construction mechanisms is best achieved
by an integrative research effort that combines comparative and experimental approaches.
In the following section we outline a model system for testing niche construction theory

that has been extensively studied by aquatic ecologists but has received comparably little attention from evolutionary biologists.

#### <sup>636</sup> A case study: Alternative stable states in shallow lake

#### 637 ecosystems

The presence of alternate stable states is the main explanation for sudden and dramatic 638 shifts observed in terrestrial, marine and inland water ecosystems (Scheffer et al., 2001). 639 Considerable research has been directed towards understanding the mechanistic basis of 640 tipping points between states (Scheffer and Carpenter, 2003; Carpenter et al., 2011). Shifts 641 in ecosystem state often occur because a specific group of organisms that has a stabilizing 642 effect on environmental conditions (e.g. trees on microclimate in a forest) is overwhelmed 643 by some environmental stressor (e.g. drought, exploitation), leading to dramatic changes in 644 both community composition and environmental conditions. Here, we use one of the 645 best-studied regime shifts, namely that between the turbid and clear-water state in shallow 646 lakes (Scheffer and Carpenter, 2003), to illustrate the existing evidence for niche 647 construction and exciting avenues for future research. 648

<sup>649</sup> Organisms in shallow lakes modify the abiotic and biotic environment in multiple ways <sup>650</sup> that can influence the transition between stable states (i.e. clear and turbid states).

<sup>651</sup> Macrophytes, for example (Figure 5), act as ecosystem engineers in shallow lakes because

their roots stabilize sediments and reduce phosphorus recycling to phytoplankton, and 652 their canopies reduce turbulent mixing and attenuate light availability (Byers et al., 2006). 653 There is both comparative (Scheffer et al., 2001) and experimental (Declerck et al., 2007) 654 evidence for the strong impact of macrophytes on the relationship between phosphorus 655 concentration and phytoplankton biomass (paralleling Figure 3A), and, as a result, in the 656 maintenance of the clear water state. Macrophytes can also affect the transition between 657 different states in shallow lakes by modifying trophic interactions (Byers et al., 2006). In 658 shallow lakes and ponds, omnivorous fish can dramatically modify the environment by 659 uprooting macrophytes, re-suspending sediments, and increasing turbidity levels (Drenner 660 et al., 1998). More generally, there is overwhelming comparative (Jeppesen et al., 1997) 661 and compelling experimental evidence (Carpenter and Kitchell, 1993; Vanni et al., 1997) 662 that fish in aquatic systems have important impacts on prey community composition and 663 size structure, particularly through their effects on large bodied cladocerans that play a 664 pivotal role in the cascading trophic interactions that influence phytoplankton abundance 665 (Carpenter and Kitchell, 1993). Mesocosm studies have demonstrated that the presence of 666 the large-bodied cladoceran *Daphnia maqna* can have a significant impact on the 667 community composition of phyto- and bacterioplankton (Verrevdt et al., 2012), and that 668 the genotype of *Daphnia maqna* can impact the community composition of zooplankton 669 (De Meester et al., 2007). 670

671 Despite a plethora of evidence for the effects of organisms on the environmental conditions

of shallow lakes, so far no studies have specifically tested for evolution by niche 672 construction. Macrophytes are good candidates for being niche constructors because of 673 their strong impacts on the abiotic and biotic conditions of shallow-lake ecosystems and 674 their central role in mediating the transition between alternative stable states. In 675 particular, there are many potential ways that macrophytes might influence evolutionary 676 responses through their modification of abiotic conditions (Figure 5). For example, 677 macrophytes might cause evolutionary responses in phytoplankton by directly 678 manipulating the light and nutrient environment of shallow lakes (Collins and Bell, 2004; 679 Stomp et al., 2004), or, alternatively, by providing habitat for zooplankton communities 680 that graze on phytoplankton. Differences in grazing pressure might explain the genetic 681 differentiation in the size and number of cells in colonies of the planktonic alga 682 Desmodesmus armatus isolated from a neighbouring turbid and clear-water system 683 (Vanormelingen et al., 2009). Macrophytes can also provide refugia for prey species that 684 are vulnerable to visually foraging predators. In recent work combining paleolimnology 685 with quantitative genetics, where organisms can be resurrected from resting stages 686 preserved in lake sediments, there is evidence for the adaptation of *Daphnia* phototactic 687 behaviour in response to changes in fish predation pressure (Cousyn et al., 2001). Similarly, 688 the genetic adaptation in pigmentation of Asellus aquaticus, a common freshwater 689 crustacean of shallow lakes, might be explained by a combined response to 690 macrophyte-mediated changes to habitat structure, light environments, and predation 69

pressure (Hargeby et al., 2005). While Figure 5 is not exhaustive, it highlights the
potential for one prominent group of organisms (i.e. macrophytes) to cause evolutionary
effects by altering abiotic conditions of shallow-lake ecosystems.

There are well-established experimental designs to test for the presence of alternative 695 stable states (Schröder et al., 2005), and combining these with selection experiments and 696 experimental evolution trials (e.g. Figure 4) would help uncover the specific mechanisms 697 underlying how species interactions and organism-mediated changes to shallow-lake 698 ecosystems can influence selection pressures and drive evolutionary responses. In 699 particular, such research might offer new insights into which modifications to the abiotic 700 environment might persist and influence selection pressures through time (i.e. ecological 701 inheritance), and where such effects might lead to evolutionary responses (i.e. evolution by 702 niche construction). In aquatic mesocosms, one could use a common gardening experiment 703 to establish alternate stable states in replicated experimental mesocosms by directly 704 manipulating macrophytes, nutrients, and fish. To test criterion 2, one could measure 705 selection gradients of a target organism inhabiting both ecosystem states. To test criterion 706 3, one could track changes in the phenotype and genotype of short-lived organisms (e.g. 707 phytoplankton) through time in both clear and turbid states. To perform experiments at a 708 larger scale, one could also capitalize on whole-lake manipulations where fish are removed 709 in order to create opportunities for the establishment of macrophytes. In such cases, one 710 could monitor evolutionary responses of organisms at different trophic levels over time. In 711

<sup>712</sup> sum, shallow lakes offer a model system with many uncharted dimensions to explore in the
<sup>713</sup> context of niche construction. A fundamental question to address is how evolution by niche
<sup>714</sup> construction might stabilize or destabilize equilibrium states in systems that show regime
<sup>715</sup> shifts.

#### <sup>716</sup> Back to nature: some further challenges

While experimental approaches allow for strong tests of certain aspects of niche 717 construction theory, it remains a monumental challenge to identify the importance of 718 evolution by niche construction in nature. One can make progress by building on existing 719 studies of keystone species, ecosystem engineers, and the newly emerging model systems in 720 eco-evolutionary dynamics, but there are numerous complexities to consider. At the level 721 of niche constructors, environmental modifications that influence selection might be driven 722 by the combined action of multiple species, rather than any single species. Here, we only 723 consider niche construction to operate if the environmental modification leading to altered 724 selection pressures is attributable to particular organisms. At the level of the recipients of 725 niche construction, it is possible that there are no species with the evolutionary potential 726 to respond to organism-modified environmental conditions (Vincent and Brown, 2005) or 727 that an ecological response will preempt any evolutionary responses (Urban et al., 2008). 728 This latter point highlights an important conceptual link between niche construction and 729

evolving metacommunities (Urban et al., 2008). Following an organism-mediated change to 730 the environment, a community may change its average trait values (e.g. body size) by a 731 combination of changes in the relative abundance of species (cf. species sorting) and 732 evolutionary changes in the species that make up the community (Urban et al., 2008). 733 Evolution by niche construction is only present if the latter outcome occurs. 734 We believe that the testing of niche construction theory is still in its infancy and that the 735 approaches we advocate will lead to greater integration among related disciplines (Figure 736 1). There are ample examples in which species modify the environment, and where 737 environmental change alters selection pressures and induces evolutionary responses in focal 738 species, but only a handful of studies that show all of these aspects in the same system, 739 and even fewer that test a mechanistic link between evolutionary responses and the 740 environmental modifying activities of organisms. There are numerous descriptive cases of 741 niche construction and some intriguing experimental tests (e.g. Table 1), but there are 742 many plausible mechanisms of environmental modification (Figure 2) and numerous 743 organisms that could act as putative niche constructors and recipients of niche construction 744 (Table 1). Intriguingly, there are also numerous well-developed model systems that provide 745 exciting avenues for both evolutionary biologists and ecologists to explore niche 746 construction dynamics. Indeed, we are well poised to elucidate the network of interactions 747 between niche constructors and their environment, and to assess the importance of niche 748 construction in explaining ecological and evolutionary changes in nature. 740

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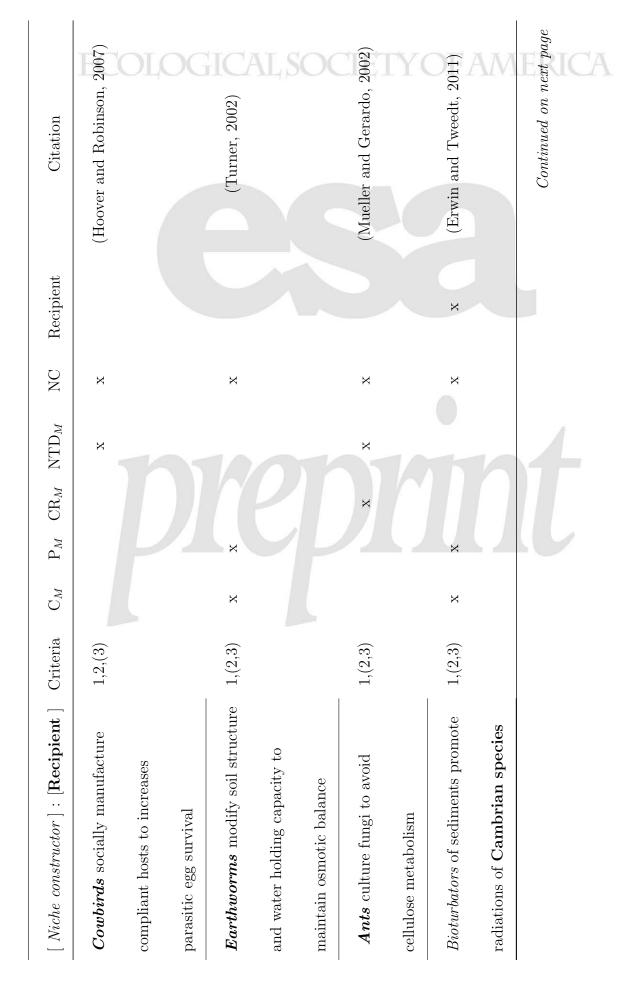
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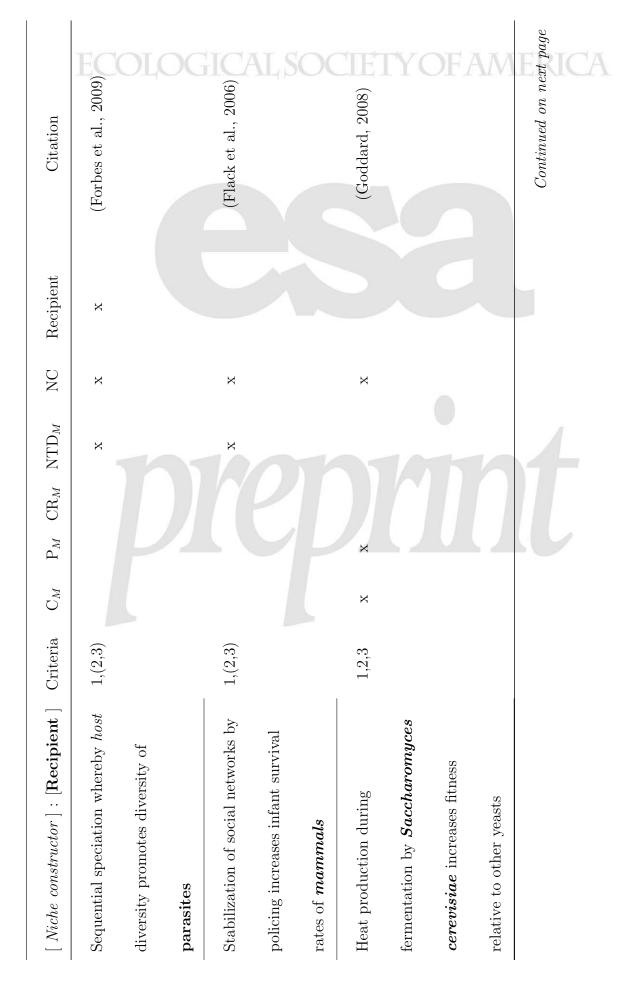
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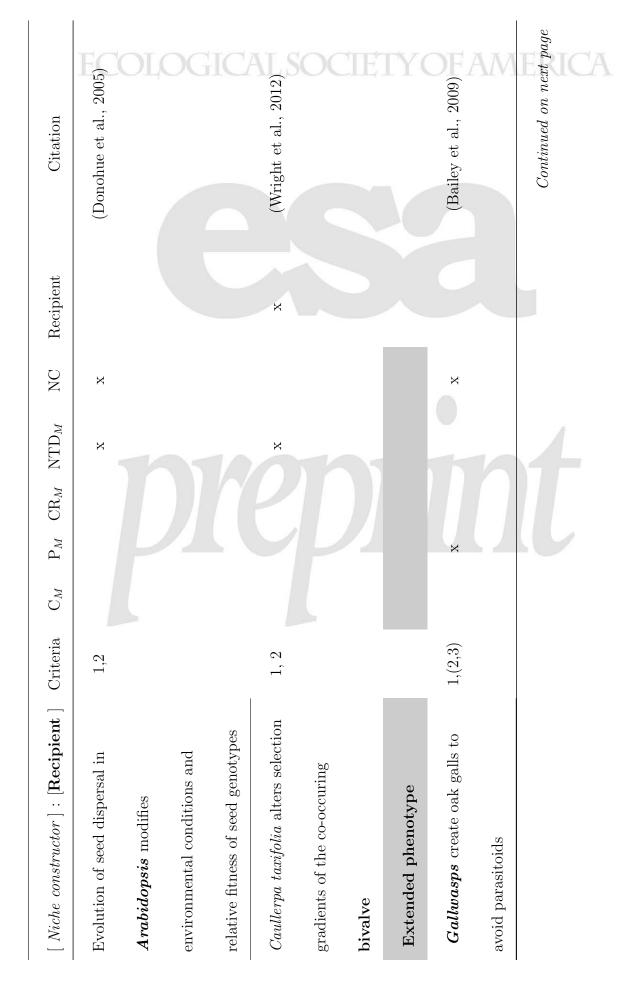
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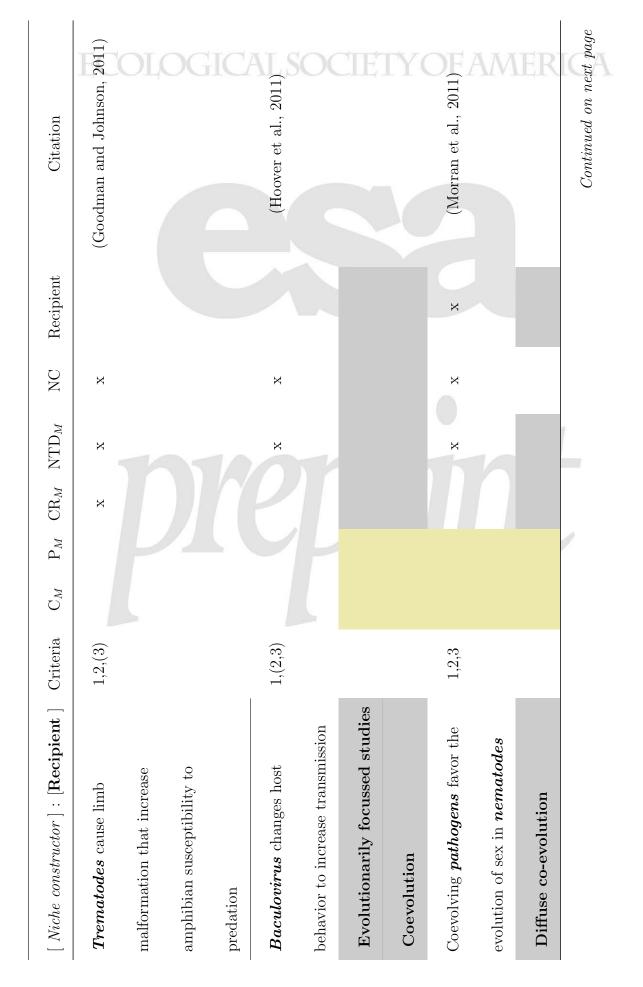


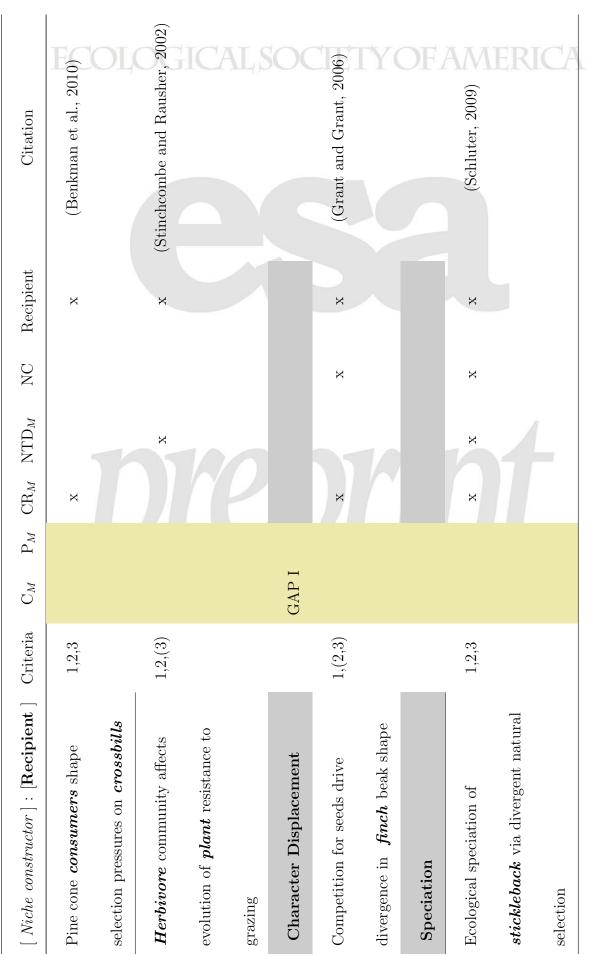
Table 1: Gray shading illustrates the primary focus of each research field. For each study (row), the first column summarizes how the putative	î each research	ı field. For each :	study (rov	w), the first column s	ummarizes how the putative
niche constructor (organisms in italics) affects selection		n a recipient of n	iche cons	truction (organisms i	pressures on a recipient of niche construction (organisms in bold). The second column
indicates whether there is support for each criterion,		ther from only co	mparativ	e (number in parent	coming either from only comparative (number in parentheses) or both comparative
and experimental evidence. The next four columns identify the modifiable ( $M$ subscript) characteristics of the environment, as illustrated	identify the n	nodifiable ( $M$ su	bscript) e	characteristics of the	environment, as illustrated
in Figure 2 (i.e. Chemical: C; Physical: P; Consumer-Resource interactions: CR, and Non-Trophic Direct Interactions NTD). The columns	r-Resource in	teractions: CR,	and Non-	Trophic Direct Inter	actions NTD). The columns
'NC' (niche constructor) and 'Recipient' indicate which		are subject to c	hanges in	the selection pressu	organisms are subject to changes in the selection pressures caused by the organisms
identified as doing the environmental modification. V	We identify of	two research gal	s in the	main text, labelled h	identify of two research gaps in the main text, labelled here as GAP I and GAP II.
					TIF
Niche constructor : Recipient Criteria	$\mathbf{C}_M  \mathbf{P}_M$ (	$\operatorname{CR}_M$ NTD $_M$	NC	Recipient	Citation
Niche construction theory					OF
Vascular <b><i>plants</i></b> decreased $CO_2$ by $1,(2,3)$	x		×	×	(Beerling, 2005)
accelerating weathering and carbon					IER
burial					IC
					Continued on next page



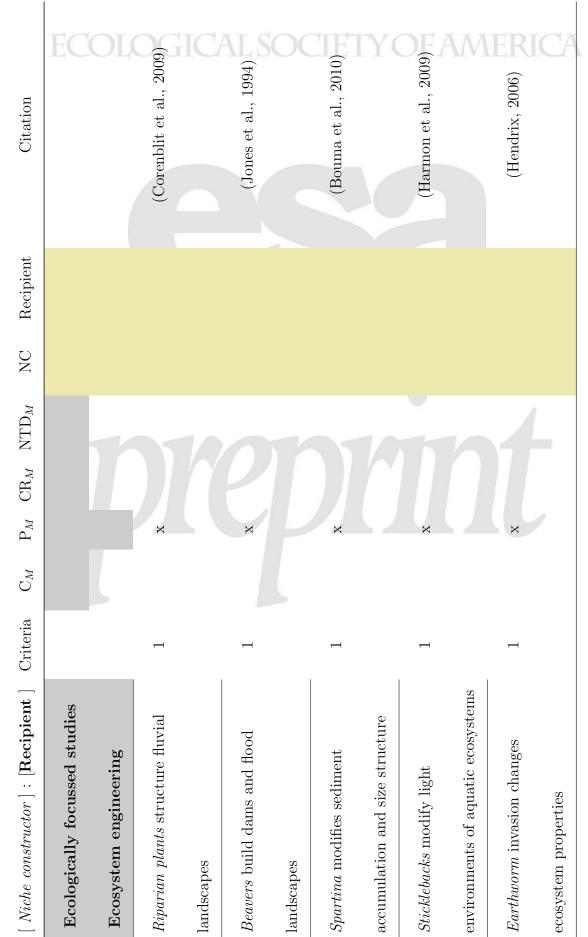




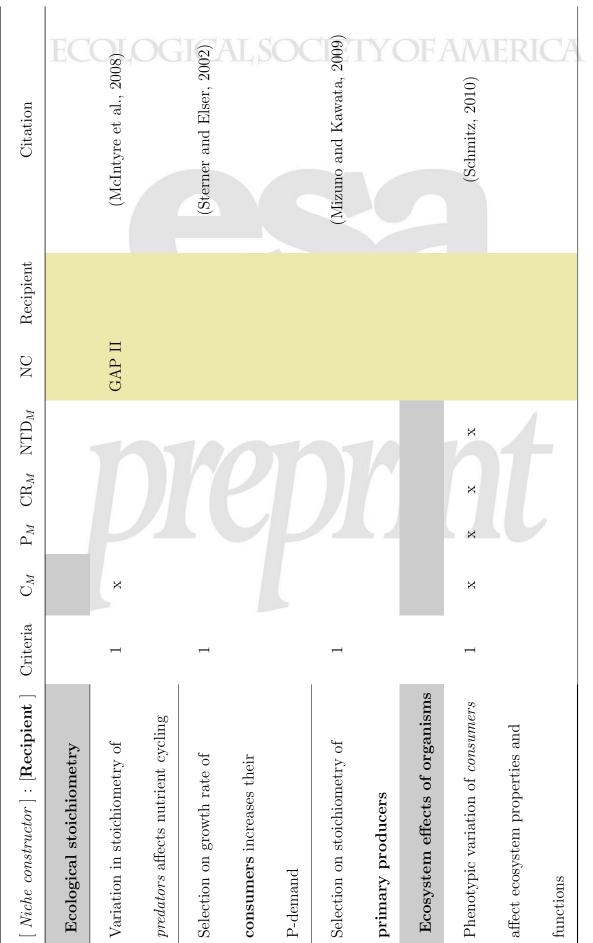




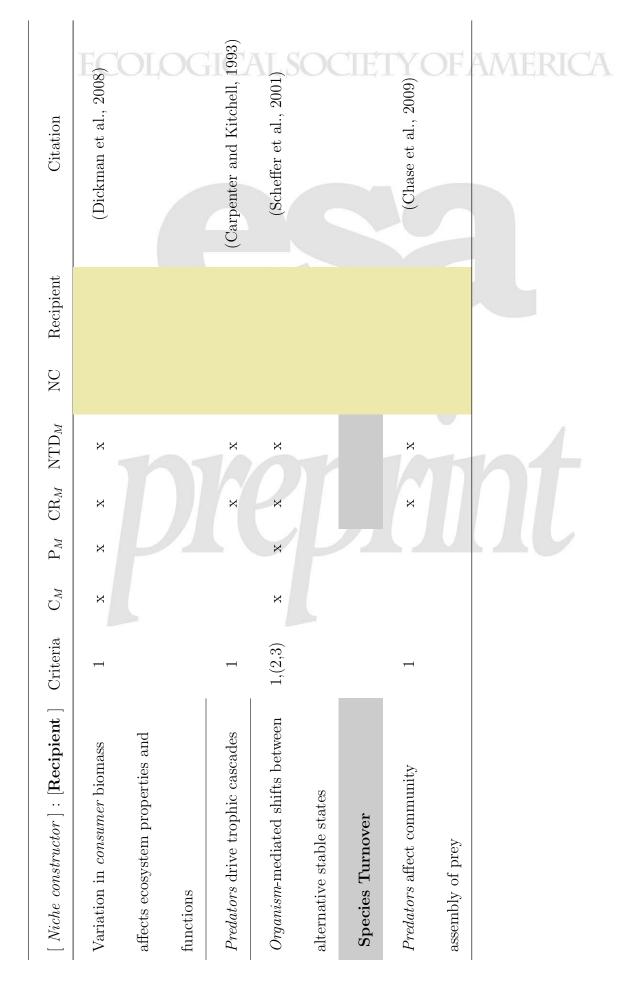
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#### **Figure Legends**

1049	Figure 1: A Venn diagram showing which modules of biotic (square) and abiotic (circles)
1050	entities, which are connected by evolutionary (dashed lines) and non-evolutionary
1051	effects (solid lines), are associated with different major concepts in ecology and
1052	evolution (bounded by labelled shaded boxes). Non-evolutionary effects include
1053	organism-mediated effects on both biotic and abiotic conditions (e.g. ecological
1054	effects shown in Figure 2A), and evolutionary effects include evolutionary responses
1055	to selection. The asterisk denotes effects on the physical state of the abiotic
1056	environment, to distinguish ecosystem engineering (yellow box) from effects on other
1057	abiotic conditions (e.g. the chemical environment). The minimum condition for
1058	evolution by niche construction to occur is to have a pathway that starts and ends
1059	with an organism (i.e. a niche constructor and a recipient of niche construction), and
1060	has at least two connections with an evolutionary effect beyond the first connection.
1061	Starting from the left of each pathway the red dashed arrow defines where evolution
1062	by niche construction has occurred.

Figure 2: (A) A partitioning of how organisms can modify their biotic and abiotic
 environments. (B) An elaboration of how organism mediated environmental
 modifications can affect the fitness of another organism (e.g. potentially a recipient of
 niche construction), through a variety of pathways (abbreviated following Figure 2A:

1067	Physical [P]; Chemical [C], Consumer-Resource [CR], Non-trophic direct [NTD]).
1068	Niche construction can occur when organism-mediated environmental modifications
1069	alter the evolutionary response of organisms relative to other environmental drivers of
1070	selection (e.g. unmodifiable environment).
1071	Figure 3: Four examples of comparative tests of the niche construction (Criteria 1 and 2).
1072	(A) Tests for relationships between unmodified and modifiable environmental
1073	properties in the presence and absence of a candidate niche constructor (Criteria 1).
1074	Differences in such relationships (e.g. line slopes) could be associated with
1075	organism-mediated environmental modifications. (B) Tests for the relationship
1076	between the abundance of an agent and variation in a modified component of the
1077	environment that is unexplained by other environmental conditions (Criteria 1). The
1078	indicated relationship could occur if the organism's effects on the modified
1079	component of the environment are linearly related with the abundance of the
1080	organism. (C) Tests of whether the relative fitness of two organisms with different
1081	phenotypes differ between two environments that are either unmodified or modified
1082	by a putative niche constructor (Criteria 2). (D) Tests for a relationship (for example
1083	among sites) between selection gradients and the degree of environmental
1084	modification of a niche constructor (Criteria 2). See Odling-Smee et al. (2003) for a
1085	description of counteractive and inceptive niche construction.

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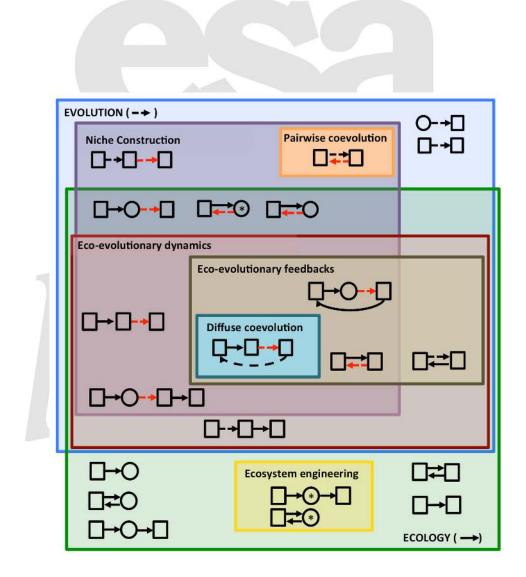
1086	Figure 4: (A) Experimental design of a common gardening experiment used to measure
1087	how potential niche constructors can have contrasting effects on ecosystems (e.g.
1088	organism 1 modifies the environment from square to star, while organism 2 modifies
1089	the environment from square to hexagon), relative to unmodified ecosystems (i.e.
1090	squares). The difference between the modified and the control ecosystems is the effect
1091	size for a given ecosystem metric, as shown in the panel on the right. Dotted lines
1092	delineate where the effect size is not significantly different from zero (dashed line).
1093	(B) Same approach as in (A) except the ecosystem metrics are measured multiple
1094	times (e.g. $t_1, t_2$ ) after the organism doing the ecosystem modification is removed.
1095	(C) Four different ways to quantify evolutionary changes in common gardening
1096	experiments. In (i) and (ii) genetic properties of populations or individuals can be
1097	measured along a gradient of ecosystem modification, and, following the removal of a
1098	potential niche constructor, one can measure how selection gradients (iii: times refer
1099	to Panel B) change through time (iv).

<sup>1100</sup> Figure 5: A schematic emphasizing how macrophyte communities can have

non-evolutionary effects (shown as solid arrows) on the abiotic environment (circles)
of shallow lakes in general, and on the physical (indicated by an asterisk) and
chemical conditions in particular. Such effects could lead to both evolutionary effects
(shown as dashed arrows) and ecological effects (arrows not shown for clarity but

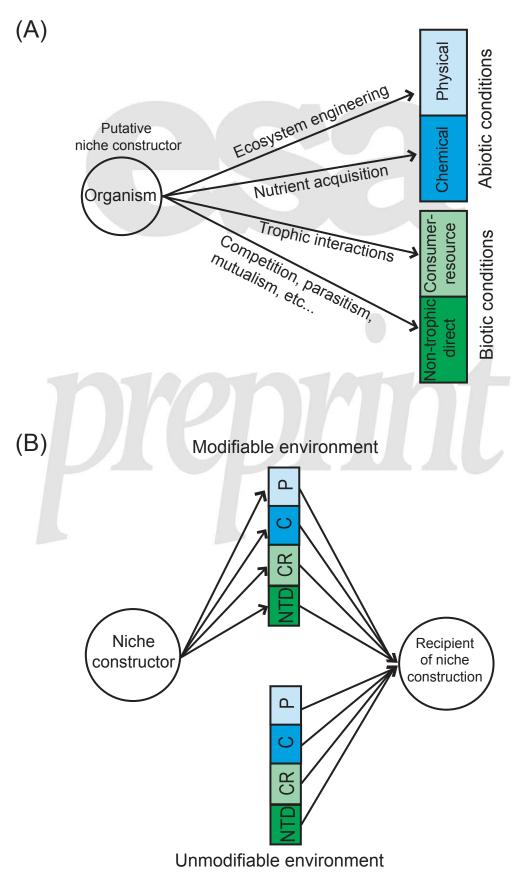
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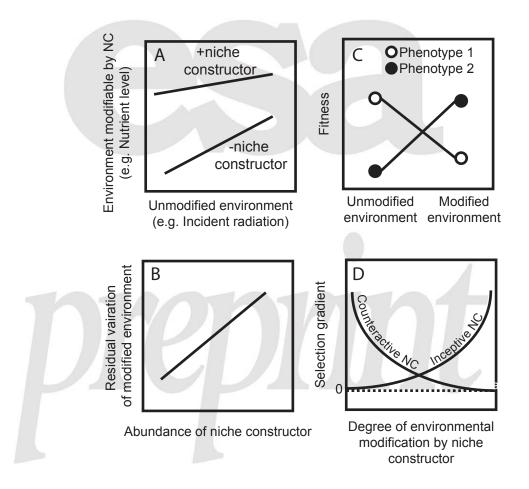
1105	follow the same paths as evolutionary effects) on organisms (circles) that play a role
1106	in the transition between turbid and clear states (shown as a double-headed arrow).
1107	Following figure 1, macrophytes could drive evolution by niche construction by a
1108	variety of mechanisms in shallow lakes. Such evidence could come from pathways of
1109	effect that start with macrophytes, pass through an abiotic environmental condition,
1110	and end with an evolutionary effect on a recipient organism. Ecological and
1111	evolutionary effects between organisms (e.g. trophic interactions) are also left out for
1112	clarity, but are also very important for understanding transitions between stable
1113	states in shallow lakes.
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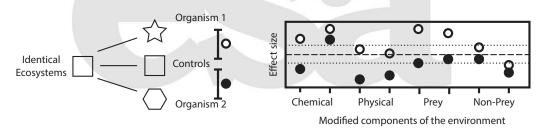


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Figure 1:

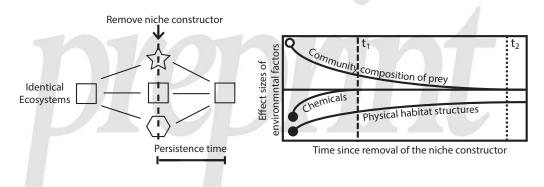




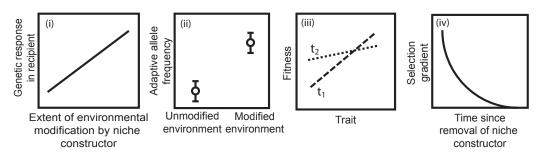


A: Measuring the effect-size distribution of organism-mediated environmental modifications

B: Exploring the persistence time of environmental modifications



C: Evolutionary responses of recipients (y-axis) caused by niche constructors (x-axis)



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Figure 4:

